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Title: Induced Seismicity and Hydraulic Fracturing for the Recovery of Hydrocarbons

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Corresponding Author: Prof. Richard Davies, Ph.d.

Corresponding Author's Institution:

First Author: Richard Davies

Order of Authors: Richard Davies; Gillian Foulger; Annette Bindley; Peter Styles

Abstract: We compile published examples of induced earthquakes that have occurred since 1929 that have magnitudes equal to or greater than 1.0. Of the 198 possible examples, magnitudes range up to 7.9. The potential causes and magnitudes are (a) mining (M 1.6 - 5.6); (b) oil and gas field depletion (M 1.0 - 7.3); (c) water injection for secondary oil recovery (M 1.9 - 5.1); (d) reservoir impoundment (M 2.0 - 7.9); (e) waste disposal (M 2.0 - 5.3); (f) academic research boreholes investigating induced seismicity and stress (M 2.8 - 3.1); (g) solution mining (M 1.0 - 5.2); (h) geothermal operations (M 1.0 - 4.6) and (i) hydraulic fracturing for recovery of gas and oil from low-permeability sedimentary rocks (M 1.0 - 3.8).

Reactivation of faults and resultant seismicity occurs due to a reduction in effective stress on fault planes. Hydraulic fracturing operations can trigger seismicity because it can cause an increase in the fluid pressure in a fault zone. Based upon the research compiled here we propose that this could occur by three mechanisms. Firstly, fracturing fluid or displaced pore fluid could enter the fault. Secondly, there may be direct connection with the hydraulic fractures and a fluid pressure pulse could be transmitted to the fault. Lastly, due to poroelastic properties of rock, deformation or 'inflation' due to hydraulic fracturing could increase fluid pressure in the fault or in fractures connected to the fault. The following pathways for fluid or a fluid pressure pulse are proposed: (a) directly from the wellbore; (b) through new, stimulated hydraulic fractures; (c) through pre-existing fractures and minor faults; or (d) through the pore network of permeable beds or along bedding planes. The reactivated fault could be intersected by the wellbore or it could be 10s to 100s of metres from it.

We propose these mechanisms have been responsible for the three known examples of felt seismicity that are probably induced by hydraulic fracturing. These are in the USA, Canada and the UK. The largest such earthquake was M 3.8 and was in the Horn River Basin, Canada. To date, hydraulic fracturing has been a relatively benign mechanism compared to other anthropogenic triggers, probably because of the low volumes of fluid and short pumping times used in hydraulic fracturing operations. These data and analysis should help provide useful context and inform the current debate surrounding hydraulic fracturing technology.

Highlights (for review)

- Hydraulic fracturing is not an important mechanism for causing felt earthquakes
- Fault reactivation due to hydraulic fracturing is well known and readily detected
- Hydraulic fracturing will probably induce felt seismicity in the future

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Induced Seismicity and Hydraulic Fracturing for the Recovery of Hydrocarbons

Richard Davies¹, Gillian Foulger¹, Annette Bindley^{1,2} and Peter Styles³

¹Durham Energy Institute, Department of Earth Sciences, Durham University, Science Labs,
Durham DH1 3LE, UK.

²Present address: Working Smart Ltd, Lynton House, Station Approach, Woking, GU22 7PY,
UK.

³School of Physical and Geographical Sciences, Keele University, Keele, ST5 5BG,
Staffordshire UK.

ABSTRACT

We compile published examples of induced earthquakes that have occurred since 1929 that have magnitudes equal to or greater than 1.0. Of the 198 possible examples, magnitudes range up to 7.9. The potential causes and magnitudes are (a) mining (M 1.6 – 5.6); (b) oil and gas field depletion (M 1.0 – 7.3); (c) water injection for secondary oil recovery (M 1.9 – 5.1); (d) reservoir impoundment (M 2.0 – 7.9); (e) waste disposal (M 2.0 – 5.3); (f) academic research boreholes investigating induced seismicity and stress (M 2.8 – 3.1); (g) solution mining (M 1.0 – 5.2); (h) geothermal operations (M 1.0 – 4.6) and (i) hydraulic fracturing for recovery of gas and oil from low-permeability sedimentary rocks (M 1.0 – 3.8).

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1. INTRODUCTION

It has been known since the 1960s that earthquakes can be induced by fluid injection. At that time, military waste fluid was injected into a 3671-m-deep borehole at the Rocky Mountain Arsenal, Colorado (e.g., Hsieh and Bredehoeft, 1981). This induced the so-called ‘Denver earthquakes’. They ranged up to M 5.3, caused extensive damage in nearby towns, and as a result, use of the well was discontinued in 1966. Despite the importance of induced seismicity, only a few holistic reviews have been published (e.g., Nicholson, 1992; Gupta, 2002; Li et al., 2007). Compilations often focus on selected mechanisms although there are notable exceptions (National Academy of Sciences, 2012).

Recently, the attention of regulators, agencies and the general public has been drawn to induced seismicity linked to the hydraulic fracturing of low-permeability sedimentary rocks such as ‘tight’ sandstones and shale, for oil and gas exploration and production. Hydraulic fractures are stimulated to increase the surface area of rock which is connected to the wellbore. This is achieved by pumping water, proppant and chemicals during multiple fracture stages, a process known as ‘fracking’ (e.g., King, 2010). After pumping ceases the injected fluid is allowed to flow back to the surface and can be disposed of by reinjection or processing. Although hydraulic fracturing has been carried out since the 1940s, the combination of multiple stages of fracturing in horizontal wells in shale and tight sandstones and the widespread deployment of this technology did not start until the 1990s (e.g., Curtis, 2002).

During or soon after hydraulic fracturing there may be an increase in fluid pressure along a fault plane, which, if critically stressed, can be reactivated inducing seismicity (Fig. 1ab). A thorough review of the history of induced seismicity caused by a variety of mechanisms including hydraulic fracturing is timely as it places the magnitudes and frequency of hydraulic-fracturing-triggered seismicity into context. We introduce the theory behind how earthquakes are induced, review the context of global induced seismicity since 1929, and discuss the evidence that faults are being reactivated as a result of hydraulic fracturing and the processes by which this could be occurring.

1.1 Earthquakes

All rock masses that experience progressively changing stress are potentially seismogenic, i.e., capable of producing earthquakes. Progressive loading of stress by tectonic plate movements is the primary geological earthquake-inducing process. It results in intense deformation at the boundaries of plates, which are the most active earthquake zones. Plates are not absolutely rigid and the effect of their motions is transmitted into their interiors. There, lower-level, intraplate deformation occurs. This is sometimes localized in rift zones, e.g., the East African rift, and sometimes distributed throughout broad regions, e.g., Britain, mainland Europe, and the Basin and Range Province, western U.S.A. (Sykes and Sbar, 1973).

Fluids play a critical role in triggering seismicity in many different geological scenarios. Earthquake activity accompanies volcanic activity, and liquid magma is involved in those cases, e.g., at Yellowstone, USA. Occasionally, large earthquakes are accompanied by significant changes in groundwater, e.g., changes in the level of the water table. Usually, however, there is no direct evidence of fluid involvement. Nevertheless, fluids must lubricate fault surfaces that slip in earthquakes because otherwise friction on the fault plane would be too large to be overcome at the failure energy levels observed. This conjecture is supported by the absence of a large heat flow anomaly above the San Andreas fault zone, which would inevitably be generated by the friction of dry rock surfaces slipping past each other (Lachenbruch and Sass, 1980).

Artificially injecting fluids into the Earth's crust induces earthquakes (e.g., Green et al., 2012). Fluid injection not only perturbs stress (Fig. 1b) (Scholz, 1990) and creates new fractures, but it also potentially introduces pressurised fluids into pre-existing fault zones, causing slip to occur earlier than it would otherwise have done naturally (Fig. 1ab).

1.2 Earthquake sizes

Earthquakes range in magnitude from a maximum of ~ 10 down to arbitrarily small values. In the most sensitive microearthquake monitoring experiments, the lower magnitude limit of earthquakes that are reported is approximately $M -3$. Although traditional earthquake

magnitudes are a familiar measure to most people, they are an empirical measure and no longer fit for modern purposes. They have thus been superseded by seismic moment, a measure that has physical meaning.

In the past, many magnitude scales were proposed to suit convenience in different situations, and several are still in widespread use. Magnitudes are calculated from measurements made directly from recorded seismograms, such as wave amplitudes or durations. Magnitude formulae usually take into account the epicentral distance of the earthquake from the recording station, but they ignore many other factors such as the hypocentral depth and the structure of the Earth between the source and the recorder. As a result, magnitude is not a measure of source physics, but of seismogram characteristics. Different magnitudes are typically obtained by analysing seismograms recorded at different seismic stations, or by applying different magnitude scales to the same seismogram. Examples of different magnitude scales are the local magnitude scale (M_L —popularly known as the “Richter” magnitude scale), the surface-wave magnitude scale (m_s), and the duration magnitude scale (M_D). A further complication is that the type of instrument used may be included in the magnitude scale definition. For example, local magnitude is defined as applying to measurements made from seismograms recorded on Wood-Anderson seismographs. These instruments are now obsolete, so the “Richter” magnitudes commonly reported nowadays are not valid, for this reason alone.

A rigorous way of estimating earthquake size is by using seismic moment. This is the low-frequency scalar moment, M_0 , and it is a measure of size based on the fundamental physics of the earthquake source. M_0 varies by over 18 orders of magnitude, and thus it is conventional to express it using an empirically derived logarithmic moment-magnitude relationship that yields numbers similar to typical magnitudes. This formula is:

$$M_w = \frac{2}{3} \log M_0 - 10.7$$

where M_0 is measured in dyne-cm (Hanks and Kanamori, 1979; Kanamori, 1977). The moment magnitude (M_w) of an earthquake is theoretically the same regardless of where the earthquake was measured, the type of recording instrument, structure along the wavepaths, or

1 which stations are used. If earthquake size is an important parameter it is crucial to use
2 moment magnitude. Only then can the sizes of earthquakes from different regions or time
3 periods be meaningfully compared.
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8 If moments are unavailable, the next best thing is to use the same type of magnitude,
9 e.g., M_L or M_D . Estimates for the same earthquake made using different magnitude scales
10 may vary by one, or even as much as two, magnitude units.
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14 **1.3 Earthquake numbers**

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16 Earthquakes result from brittle failure of the Earth's crust. They exhibit a log normal
17 frequency distribution (Gutenberg and Richter, 1944). The frequency-magnitude slope of
18 earthquake sequences is usually approximately unity, meaning that for every reduction of one
19 magnitude unit, ten times as many earthquakes occur (Gutenberg and Richter, 1944). The
20 seismic rate for the world is approximately one magnitude 9 earthquake per decade, one
21 magnitude 8 per year, 10 magnitude 7s, 100 magnitude 6s and so on. The stress released by
22 an earthquake is, however, approximately 30 times that released by an earthquake one
23 magnitude unit smaller. From this is easy to see why large earthquakes cannot be prevented
24 by inducing many smaller earthquakes. The fractal nature of earthquakes induced by human
25 operations is not fundamentally different from that of natural earthquakes, and no case has
26 ever been reported where several tens of earthquakes of a given magnitude have been induced
27 without also producing events a magnitude unit larger.
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43 The number of earthquakes detected by a seismic network is dependent on
44 observational factors, e.g., the proximity of the nearest seismic station and the quality of the
45 installation. The closer the station and the higher-quality the installation, the lower will be the
46 magnitude detection threshold and the larger the number of earthquakes reported.
47 Improvement of a network such that it detected earthquakes one magnitude unit lower, e.g.,
48 by adding additional stations close to the activated zone, would immediately increase the
49 numbers of earthquakes reported by an order of magnitude. Thus, the number of earthquakes
50 reported must be taken in context. For example, a report that the number of earthquakes
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1 observed at one project was greater than the number observed at another project is
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3 meaningless unless the monitoring conditions were identical.
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7 Earthquake magnitudes follow a power law distribution described by the Gutenberg-
8 Richter relationship (Gutenberg and Richter, 1944):
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$$\log N = a - bM,$$

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12 where N is the number of earthquakes with magnitude greater than or equal to magnitude M ,
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14 and a and b are constants.
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21 **1.4 Induced earthquakes**

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25 A fault slips when the normal stress across a fault plane drops to a sufficiently low
26 level that the shear stress overcomes the static friction on the fault surface. This is expressed
27 by the Mohr diagram (Fig. 1b). A fault can be brought to a critical state either by increasing
28 the shear stress, e.g., by plate motions or surface loading, or by decreasing the normal stress
29 that clamps the fault surfaces together. The latter could be caused by processes such as
30 stretching, exhumation and erosion and by increasing the fluid pressure in the fault zone.
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38 Stress is perturbed, and earthquakes induced, by a number of anthropogenic activities
39 that change the loading state of the Earth's crust. These include the removal of subsurface
40 volume by mining the solid rock or the extraction of oil and gas. Mine-quakes are a
41 significant safety hazard and are common for example in the UK and South Africa. Some
42 mining operations, e.g., deep gold mines in South Africa, are seismically monitored for safety
43 reasons. Depleted hydrocarbon reservoirs are often seismogenic, as reservoirs collapse in
44 response to the removal of pore fluids.
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52 The injection of fluids into the subsurface is an increasingly common activity. It is
53 done to dispose of waste water or chemicals, to flush hydrocarbons out of oil reservoirs, to
54 fracture shale for gas and oil extraction and to introduce water into geothermal reservoirs to
55 create permeability and for circulation of hot fluid. Because of the importance of managing
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1 induced earthquakes, the factors that could affect the size of the largest earthquakes induced
2 by fluid-injection are of critical interest. Candidate operational parameters include the
3 temperature and volume of the fluid injected, and its type, phase, injection rate, pressure and
4 depth below the surface. The pre-existing stress- and fracture state of area, i.e., whether the
5 area contains large faults and is tectonically active, may also be important. Fluid injections in
6 stable continental interiors where differential stress levels are low and static, and there is no
7 history of seismicity, are likely be less seismogenic than injections in areas of active tectonics
8 that already have a high natural seismic rate and are thus critically stressed even before
9 injection commences. Sometimes, induced seismicity can reveal the presence of previously
10 unknown faults. Correlations of various operational and seismic parameters have been
11 measured in an attempt to explore possible mitigating operational approaches.
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23 **2. HISTORY OF INDUCED SEISMICITY**

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27 Since 1993 there have been seven generally accepted criteria that must be met before
28 fault reactivation is considered to have an anthropogenic origin (Davis and Frohlich, 1993).
29 These are:
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- 32 1. Are these events the first known earthquakes of this character in the region?
- 33 2. Is there a clear correlation between injection and seismicity?
- 34 3. Are epicentres near wells (within 5 km)?
- 35 4. Do some earthquakes occur at or near injection depths?
- 36 5. If not, are there known geologic structures that may channel flow to sites of earthquakes?
- 37 6. Are changes in fluid pressures at well bottoms sufficient to encourage seismicity?
- 38 7. Are changes in fluid pressures at hypocentral distances sufficient to encourage
39 seismicity?
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51 The literature on induced seismicity dates back to 1933 (Gupta, 1985; Rothé, 1970),
52 well before the proposal by Davis and Frohlich (1993) of these criteria. In this paper we
53 compile all potential examples of induced seismicity, many of which did not use these
54 criteria. The total of 198 possible examples, come from 66 published papers and reports
55 (Table 1, 2 and 3). Because we only use published examples, our database is not
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1 comprehensive. For instance, we are aware of many unpublished examples of induced
2 earthquakes associated with the mining industry in the UK, but it is beyond the scope of this
3 review paper to analyse unpublished datasets. Lastly, in cases where a swarm of earthquakes
4 thought to be induced is reported, we have only recorded the magnitude of the largest event.
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10 We subdivide the seismicity by likely trigger mechanism into: (a) mine subsidence,
11 (b) oil and gas field depletion, (c) fluid injection for secondary oil recovery, (d) research-
12 related projects, (e) waste-water disposal, (f) solution mining, (g) Enhanced Geothermal
13 Systems (EGS) operations, (h) reservoir impoundment, (i) groundwater extraction, and (j)
14 hydraulic fracturing for recovery of hydrocarbons from shale. We briefly review (a) - (i), and
15 consider (j) in more detail.
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23 **2.1 Mine subsidence**

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27 Earthquakes induced by mine subsidence are some of the most widely studied. They
28 are often due to collapse of mine workings (e.g., Bennett et al., 1996; Hubert et al., 2006; Li
29 et al., 2007). These earthquakes range from M 1.6 to 5.6 (Table 1). Often the only damage
30 they cause is to the mines and miners working in them, but they have been known to damage
31 the wider community (Li et al., 2007).
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38 **2.2. Oil and gas field depletion**

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42 Earthquakes are caused by compaction of reservoirs as a result of hydrocarbon
43 extraction (e.g., Suckale, 2009). The flexure of the overburden generates shear stresses that
44 can induce slip along weak shale strata (e.g., Hamilton et al., 1992). At the Lacq gas field
45 (southwest France) 1639 earthquakes were detected around the field in the magnitude range
46 M 1.9 to 6 (Bardainne et al., 2008). In 1976 and 1984 there were M 7.0 events at Gazli,
47 Uzbekistan. The area around Gazli had been aseismic until these events. It is uncertain that
48 these events were induced, but several criteria indicate that these are the largest examples of
49 earthquakes induced by gas extraction from a conventional gas field (Table 2).
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58 **2.3 Fluid injection for secondary oil recovery**

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Water is injected into oil fields to increase the percentage of oil recovered and it can enter faults reducing normal stress and allowing reactivation. Fluid injection for oil recovery also maintains reservoir pressure and reduces or eliminates the compaction effects if that pressure is communicated effectively throughout the reservoir. Davis and Pennington (1989) documented events with $M_b - 4.3$ to $M_L - 5$ between 1974 and 1982 at the Cogdell oil field in West Texas, USA. Cesca et al. (2011) document an example of a 4.3 M event at the Ekofisk field (North Sea, UK), probably caused by water injection. Magnitudes of earthquakes range from M 1.9 - 5.1 (Table 2).

2.4 Research-related projects

Approximately 400 earthquakes occurred in association with the German Continental Deep Drilling Program, which included a borehole drilled to 9.1 km depth. They occurred at an average depth of 8.8 km and are thought to have been induced by injection of brine into a 70-m-thick open-hole section near the bottom of the borehole. One conclusion of this work was that critically stressed, permeable fault zones exist in the crust, even at great depth and temperature (Zoback and Harjes, 1997). The event magnitudes ranged from 2.8 - 3.1 (Table 2).

2.5 Waste-water disposal

Frohlich et al. (2011) concluded that the most likely cause of an increase in seismicity in the Dallas Fort Worth area, USA, with events of up to M 3.6, was probably the result of injecting waste flowback water derived from the hydraulic fracturing of shale for gas production. The depth and location of seismicity were close to recent waste water injection activity. Magnitudes for a range of different waste water injection activities are 2.0 - 5.3 (Table 2).

2.6 Solution mining

1 Solution mining involves drilling wells into underground salt deposits and injecting
2 water into them to dissolve the salt. The earliest reported induced earthquake is attributed to
3 this operational technique (see Pechmann et al., 1995). That earthquake occurred in Attica
4 (New York, USA) in 1929, and had a magnitude of M 5.3.
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10 **2.7 Enhanced Geothermal Systems (EGS) operations**

11 The US\$60 million Basel, Switzerland Enhanced Geothermal Systems project
12 involved creating a fracture network in hot rock, through which fluid could be circulated to
13 extract heat. Earthquakes with magnitudes up to M_L 2.9 began to occur six days into the main
14 hydraulic fracturing operation (e.g., Häring et al., 2008). This activity exceeded a pre-decided
15 injection-cessation threshold, but even though pumping was stopped, several more
16 earthquakes with magnitudes exceeding M_L 3.0 occurred over the following two months. In
17 total, 13,500 earthquakes were recorded, nine of which were of M_L 2.5 or larger (Table 2).
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28 **2.8 Reservoir impoundment**

29 Reservoir impoundment is a widely documented cause of induced earthquakes, and a
30 significant review was carried out in 1985 (Gupta, 1985). The weight of water loading on the
31 surface provides enough pressure to induce earthquakes (Carder, 1945). Magnitudes of
32 recorded cases range from 1.0 to 7.9 (Table 3). There is dispute, however, as to whether the
33 very large Wenchuan, China M 7.9 earthquake resulted from filling the reservoir, or whether
34 it was a natural process (Ge et al., 2009 vs. Deng et al., 2010). It resulted in ~ 90,000 deaths
35 and ~ 100,000 injuries (Gahalaut and Gahalaut, 2010). This issue is currently causing concern
36 as the Three Gorges Dam on the Yangtze river fills, and induced earthquakes as large as M
37 6.5 there have been forecast (Lixin et al., 2012).
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50 **2.9 Groundwater extraction**

51 González et al. (2012), suggest that stress induced by major groundwater extraction
52 probably triggered the M_w 5.1 earthquake that occurred in Lorca, southeast Spain, 11th May
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1 2011. This earthquake caused nine fatalities and considerable devastation for such a moderate
2 event, principally because the focus was shallow at about 2-4 km depth.
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7 Faults in the crust are in a state of frictional equilibrium under complex systems of
8 stress, partly tectonic in this case through the interaction between the North African and
9 Southern European areas, and also because of the weight of the overburden itself. Isostatic
10 unloading and the associated elastic response of the crust and lithosphere is well known as
11 a cause of seismicity, and much of NW Scotland's historic seismicity is associated with
12 glacial unloading from the last ice sheet ca. 10,000 years ago. The Betic Cordillera is one of
13 the most seismically active areas in the Iberian Peninsula and it is not surprising that the
14 removal of 250 m of groundwater since 1960, a significant mass change over a short period of
15 time, together with the many centimetres of subsidence caused by the consequential
16 compaction, could provide the minor stress perturbation necessary to bring local faults to
17 failure.
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28 Figure 2 shows a graph of earthquake magnitude vs. frequency where magnitudes
29 range from 1.0 - 7.9. This graph only documents examples of induced seismicity which have
30 been published, and the hundreds of anecdotal mining-induced earthquakes with $M > 1$ in the
31 UK, for example, are not included. Figure 2 shows that the most commonly reported induced
32 earthquakes are M 3 - 4. The paucity of events of smaller magnitudes reflects lack of
33 detection and reporting. Mining, oil- and gas-field depletion, reservoir impoundment, EGS
34 wells, and waste water injection are the most frequently reported causes of induced
35 seismicity.
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45 **3. HYDRAULIC FRACTURING**

46 47 48 **3.1 Operations**

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52 Exploration wells targeting low permeability sedimentary reservoirs, particularly in
53 new exploration settings, are commonly drilled vertically and then hydraulically fractured.
54 Production wells are typically deviated so that the borehole is strata-parallel through the
55 reservoir (Fig. 1a). The production casing is perforated and hydraulic fractures are stimulated
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1 by injecting saline water with chemical additives. ‘Proppant’ – sand or synthetic ceramic
2 spheres – is used to keep the fractures open (e.g. King, 2010). Hydraulic fracture stimulation
3 from a horizontal borehole is usually carried out in multiple stages with fluids with known
4 volumes and compositions (e.g., Bell and Brannon, 2011). Approximately 10-40% of the
5 hydraulic fracturing fluid used flows back after stimulation. In some cases faulted areas of the
6 reservoir are specifically targeted because there may be pre-existing fault and fracture
7 permeability.
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16 There are many good examples of hydraulic fracturing that has caused fault or fracture
17 reactivation (e.g., Warpinski et al., 1998; Wolhart et al., 2005; Vulgamore et al., 2007;
18 Maxwell, 2008; Cipolla et al., 2012). The seismicity is generally very low magnitude ($< M 0$)
19 and typically not recorded above the noise level by traditional surface seismometer networks.
20 Monitoring of fracture growth and fault reactivation is thus done using downhole geophone
21 strings that are deployed within a few hundred metres of the hydraulic fracturing. Only by
22 deploying sensors so close to the seismicity can data be collected of sufficient high quality
23 that locations and other processing results can be calculated for these tiny events.
24 Alternatively, massive surface arrays comprising hundreds or thousands of seismometers are
25 deployed, so the signal-to-noise ratio can be enhanced by stacking the seismograms (Grechka,
26 2010; Gei et al., 2011).
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38 Most of the criteria proposed by Davis and Frohlich (1993) for induced seismicity are
39 fulfilled for seismicity recorded during hydraulic fracturing operations. We review the data
40 here, and use it to understand the geological processes by which fault reactivation occurs
41 during and after the hydraulic fracturing operations.
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47 **3.2 Earthquake magnitudes**

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51 Fault reactivation can cause earthquakes with magnitudes larger than expected for
52 fracture propagation. Wolhart et al. (2005) demonstrated this in the Jonah Field in Wyoming,
53 USA (Fig. 3). Hydraulic fracturing of the Late Cretaceous Lance Formation was carried out
54 in a number of wells, with 9-11 hydraulic fracturing stages, using an energized borate cross-
55 linked gel (Wolhart et al., 2005; Downie et al., 2010). The East 1 well was used for seismic
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1 measurements and the East 3 well was used for the hydraulic fracturing (Fig. 3). A graph of
2 moment magnitude vs. distance is commonly used to identify seismicity that is anomalously
3 large, and that clusters at specific distances from the monitoring well. Both characteristics
4 indicate reactivation of a discrete fault (Fig. 3).
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10 Increases in the magnitude of the microearthquakes with time following the onset of
11 pumping are indicative of fault reactivation. These have been reported to have been
12 accompanied by a sharp reduction in b -value, calculated for a moving subset of events over
13 the time that pumping took place (Maxwell et al., 2009 – Fig. 4). For example, in the case of
14 the study of Maxwell et al. (2009), a thrust fault was penetrated by the treatment well.
15 Sandstones offset by the fault were hydraulically fractured with a ca. 80-minute-long
16 injection. After pumping ceased, the earthquakes would be expected to reduce in size, but in
17 this case they became larger. The b -value dropped from ~ 2 to ~ 1 , and this was interpreted as
18 indicating fault reactivation (Maxwell et al., 2009; Downie et al., 2010 – Fig. 4). Until
19 recently such analyses were carried out after hydraulic fracturing was completed. However,
20 Kratz et al. (2012) report results from the hydraulic fracturing of four horizontal wells in
21 Montague county in Texas, in the lower Barnett shale, and propose that the b -values are
22 evidence for early fault movement during and after the hydraulic fracturing.
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36 Precursory microseismicity was not recorded in the Preese Hall well, in Lancashire,
37 UK in 2011, where several events up to M 2.3 have been ascribed to fault reactivation (Fig. 5,
38 Green et al., 2012). At the Preese Hall 1 well, 55 events were recorded. That the hydraulic
39 fracturing caused fault reactivation was proposed on the basis of the unusually high
40 magnitude and the close temporal coincidence with hydraulic fracturing stages (Fig. 5).
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47 **3.3 Spatial and temporal characteristics**

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51 Spatial clustering of the larger earthquakes can occur (Wolhart et al., 2005 - Fig. 3).
52 Earthquakes induced at the Jonah Field, Wyoming, showed a spatial distribution that
53 suggested new hydraulic fractures fed hydraulic fracturing fluid into a fault which
54 consequently reactivated (Maxwell et al., 2008, – Fig. 6). The fault is approximately 200 m
55 from the injection well.
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3 Clustering can be temporal as well as spatial. Wessells et al. (2011) showed that for
4 three hydraulic fracturing operations in a 24 hour period there were significant increases in
5 the normalised seismic energy emitted, and this was interpreted as discrete episodes of fault
6 movement. Hulsey et al. (2010) describe induced strike-slip and reverse faulting in the
7 Marcellus shale, USA, resulting from hydraulic fracturing, and characterized by short bursts
8 of earthquakes.
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11 Mapping hydraulic fractures in the Montney Formation, Canada, using seismicity,
12 shows that hydraulic fractures can terminate at faults which have been mapped using 3D
13 seismic reflection data (Maxwell et al., 2011) (Fig. 7). The edge detection map (often used to
14 identify faults in 3D seismic datasets) reveals a number of faults that trend NW-SE. The
15 largest earthquakes located are close to a NW-SE trending fault, consistent with the
16 interpretation that it was reactivated.
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19 As well as injection into faults via new fractures, injection directly into faults has been
20 recorded in the Barnett Shale (USA) (Kratz et al., (2012) (Fig. 8). The faults are strike-slip,
21 whereas the fractures are normal. Thus, the changes in the sense of shear as well as the spatial
22 clustering are diagnostic of fault reactivation rather than the stimulation of new fractures.
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26 There is a growing body of research that models the process of fluid-injection-induced
27 seismicity (e.g., Shapiro and Dinske, 2009). For example Rozhko (2010) focus on the spatial
28 and temporal development of the microseismicity that occurs due to hydraulic fracturing and
29 proposes that it can modelled on the basis of linear pressure diffusion in the fluid, coupled to
30 deformation of a linear poroelastic medium. The microseismicity is considered to be caused
31 by changes in the Coulomb yielding stress along a pressure diffusion front, caused by seepage
32 forces (Rozhko, 2010). Geiser et al., (2012) propose that they can image extensive pre-
33 existing fractures stimulated by these processes using a passive seismic method coined
34 ‘tomographic fracture imaging’ caused by transmission of a fluid pressure pulse. The
35 following year Lacazette and Geiser (in press) clarified that, it’s not only a fluid pressure
36 pulse but also poroelastic coupling of the stress in the rock to pore and fracture fluids could
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1 cause the stress changes without any fluid flow that stimulates fractures 100s of metres from
2 place where hydraulic fractures were initiated.
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5 6 **3.4 Long-period and long-duration events** 7

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9 Because of the high pressure of the hydraulic fracturing fluid, faults poorly orientated
10 relative to the stress field may slip, but the slip may be slow and not generate conventional
11 high-frequency microearthquakes (Das and Zoback, 2011). Das and Zoback (2011) studied
12 10-80 Hz, long-period, long-duration (LPLD) events which have similar characteristics to
13 tectonic tremors observed in subduction zones and strike-slip plate boundaries. The maximum
14 number of LPLD events were detected in the hydraulic fracturing stages with the highest
15 pumping pressure and the highest natural fracture density (Fig. 9). The events were
16 interpreted as slow shear slip on pre-existing natural fractures as a result of the high fluid
17 pressure. The faults that moved were poorly orientated relative to the stress field.
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27 28 **3.5 Nuisance seismicity** 29

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31 The majority of data from the USA show that when fault reactivation occurs the
32 earthquake magnitudes tend to be very low, and do not exceed ~ M 1 (Fig. 10). There are
33 three known exceptions to this, Etsho and Kiwigana, Canada in 2009, 2010 and 2011 (BC Oil
34 and Gas Commission, 2012), the Eola Field, Oklahoma, USA in 2011 (Holland, 2011) and
35 Lancashire, UK in 2011 (de Pater and Baisch, 2011). In 2011 a felt earthquake of magnitude
36 M 2.3 occurred in Lancashire, UK, as a result of hydraulic fracturing of the Preese Hall well
37 (Fig. 5). The seismicity at the Eola Field, southern Garvin County, Oklahoma, has been
38 tentatively attributed to hydraulic fracturing. The field is characterised by a series of WNW -
39 ESE striking faults. 43 earthquakes were located there in 2011 with magnitudes up to 2.8.
40 Hydraulic fracturing was carried out in a number of stages and earthquakes onset 13 hours
41 after operations began (Holland, 2011).
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53 A total of 216 earthquakes occurred 2009-2011 at the Etsho and Kiwigana fields in
54 Horn River, Canada and 19 were between M_L 2 and 3 (Fig. 11). The largest event had a
55 magnitude of M_L 3.8, it occurred in May 2011, and it was felt. There was a clear temporal
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1 relationship between pumping and the seismicity, with earthquakes starting several hours
2 after the beginning of pumping (BC Oil and Gas Commission, 2012).
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6 7 **4. PROCESS MODEL** 8 9

10 A number of conclusions can be drawn from these examples. Firstly there is evidence
11 that faults can be connected to the injection well via hydraulic fractures (Fig. 6) as well as
12 direct injection into faults intersecting the treatment wells (Fig. 8). Even where faults are
13 intersected by the treatment wells, there is often a time lag of several hours between the start
14 of pumping and fault reactivation. At the Preese Hall 1 well, (Lancashire, UK) there was a
15 delay of 10 hours between cessation of pumping and the M 2.3 earthquake (de Pater and
16 Baisch, 2011). The same observation was made by Maxwell et al. (2009) who observed a
17 delay of approximately 80 minutes from the onset of pumping and evidence for fault
18 reactivation in gas wells in Western Canada. Examples of felt seismicity documented in the
19 Horn River, Canada occurred several hours after the start of pumping (BC Oil and Gas
20 Commission, 2012). The delay between pumping and the reactivation of some faults (e.g.,
21 Maxwell et al., 2009) may in part be because the fault into which fluid is injected has inherent
22 storage and transmissibility characteristics, or due to the time required for the transmission of
23 fluid pressure by pressure diffusion and due to poroelasticity (Lacazette and Geiser, in press).
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38 In summary there are several mechanism by which faults are reactivated due to hydraulic
39 fracturing to cause felt seismicity. Fracturing fluid or displaced pore fluid could enter the
40 fault, a fluid pressure pulse could be transmitted to the fault and due to poroelasticity,
41 deformation or ‘inflation’ of the rock due to injection could increase fluid pressure in the fault
42 or in the fractures connected to the fault (e.g. Lacazette and Geiser, in press). The following
43 pathways for fluid or a fluid pressure pulse are proposed: (a) directly from the wellbore; (b)
44 through new, stimulated hydraulic fractures; (c) through pre-existing fractures and minor
45 faults; or (d) through the pore network of permeable beds or along bedding planes (Fig. 12).
46 The reactivated fault could be intersected by the wellbore or it could be 10s to 100s of metres
47 from it.
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58 **5. CONCLUSIONS** 59 60 61 62 63 64 65

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3 Of the 198 possible examples of induced seismicity reported in the literature,
4 magnitudes range up to M 7.9. Hydraulic fracturing of sedimentary rocks, for recovery of gas
5 from shale, usually generates very small magnitude earthquakes only, compared to processes
6 such as reservoir impoundment, conventional oil and gas field depletion, water injection for
7 geothermal energy recovery, and waste water injections. We have proposed four primary
8 mechanisms for fault reactivation by hydraulic fracturing. Although there are approaches for
9 mitigating the risks (e.g., Brodylo et al., 2011; Green et al., 2012) and faults can often be
10 imaged by seismic reflection data, and avoided, it cannot be ruled out that reactivation of pre-
11 existing faults could induce felt seismicity. It should be noted, however, that after hundreds of
12 thousands of fracturing operations, only three examples of felt seismicity have been
13 documented. The likelihood of inducing felt seismicity by hydraulic fracturing is thus
14 extremely small but cannot be ruled out.
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31 research. We thank Peter Geiser for reviewing the paper.
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36 **FIGURES**

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39 Figure 1. Induced seismicity caused by hydraulic fracturing. (a) Cartoon of a well drilled
40 vertically and then horizontally into fine-grained, low-permeability strata (dark grey), which
41 are offset by a normal fault (thick black line). Fluid, or a fluid pressure pulse, can be
42 transmitted into a nearby or intersecting, critically stressed fault (white arrows). Compressive
43 stresses σ_1 , σ_2 , and σ_3 act upon the fault. In this case σ_1 is depicted as being vertical, σ_2 is
44 horizontal (out of the page and not shown), and σ_N is the normal stress acting on the fault
45 plane. Failure occurs when the shear stress (τ) is higher than the sum of the shear strength (τ_0)
46 and frictional stress on the fault plane ($\mu\sigma_N$), where μ is the coefficient of friction. (b) A Mohr
47 diagram for the fault plane. Mohr Circle 1 represents σ_1 and σ_3 for the critically stressed fault
48 plane prior to hydraulic fracturing. It is therefore located close to the Mohr failure envelope.
49 During hydraulic fracturing, or during shut in of the well before flowback, the fluid pressure
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1 within the fault zone could increase. This could occur due to transmission of a fluid pressure
2 wave or because hydraulic fracturing fluid or pore fluid enters the fault increasing fluid
3 pressure. This causes a reduction in the compressive stress, σ_1 and σ_3 , so the Mohr circle
4 shifts to the left (red arrow, Mohr Circle 2), intersects the failure envelope, shear failure
5 occurs, and if this is over a significant length of the fault, there is the potential for felt
6 seismicity.
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14 Figure 2 Frequency vs. magnitude for 198 published examples of induced seismicity (see
15 Tables 1, 2 and 3). The many examples of induced seismicity that are not published are not
16 included on this graph.
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21 Figure 3 Moment magnitude vs. distance from seismic stations for induced hydraulic
22 fracturing operations in a number of wells in the Jonah Field (Wyoming, USA – after Wolhart
23 et al., 2005). The clustering of events with larger magnitudes is indicative of fault reactivation
24 due to pumping of hydraulic fracturing fluid. Inset – location map.
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30 Figure 4 Detecting fault reactivation by changes in b -value. In this example a thrust fault was
31 reactivated after the injection period had ended and this is marked by a change in the b -value
32 from 2 to 1 (after Maxwell et al., 2009).
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38 Figure 5 Pumped volume, flowback volume and moment magnitude for several
39 microearthquakes vs. time for the Preese Hall well, drilled in 2011 in Lancashire, UK (de
40 Pater and Baisch, 2011).
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45 Figure 6 Microearthquakes from the Jonah Field, (Wyoming, USA, location Fig. 3 inset).
46 Blue dots: microearthquakes caused by the propagation of hydraulic fractures in East 3 well.
47 This probably allowed fluid movement into a fault, reducing normal stress, and reactivating it
48 (yellow and green dots). After Wolhart et al. (2005).
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54 Figure 7 (a) Three wells, A, B, and C, drilled into the early Triassic upper Montney
55 Formation in northeast British Columbia. The orange dashed line bounds the microseismicity
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1 in the northeast. (b) Edge attribute (see Brown, 2010) for a reflection in a 3D dataset over the
2 upper Montney Formation showing NW-SE orientated faults. After Maxwell et al. (2011).
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7 Figure 8 Map of microearthquakes induced by multiple stages of hydraulic fracturing in the
8 Barnett shale (after Kratz et al., 2012). Blue lines – boreholes, blue dots – earthquakes with
9 strike-slip motion, red dots – earthquakes with dip slip motion. Changes in the sense of shear
10 on failure planes are thought to indicate a change from the stimulation of new hydraulic
11 fractures (red dots) to fault reactivation (blue dots). Yellow-dashed lines mark interpreted
12 extents of damage zones. This case study probably represents an example of the direct
13 injection of fracturing fluid into a fault zone.
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21 Figure 9 Long-period, long-duration (LPLD) seismicity recorded during a multi-well, multi-
22 stage hydraulic fracturing operation in the Barnett Shale in Texas (after Das and Zoback,
23 2011). (a) Geometry and arrangement of wells A-E with reported seismicity. (b) Axial
24 spectrogram of stage 7 of wells A and B revealing numerous LPLD events. (c) Examples of
25 LPLD events observed at frequencies below 100 Hz taken from (b). Blue arrows point to the
26 LPLD seismic events.
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34 Figure 10 Comparison of earthquake moment magnitudes recorded in the USA, Canada and
35 UK. Red dots indicate felt seismicity with the magnitude marked. (1) from Warpinski et al.
36 (2012); (2) from Pater and Baisch (2011); (3) from Holland (2011); (4) from the BC Oil and
37 Gas Commission (2012).
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43 Figure 11 Range of magnitudes for the cases of felt seismicity including only magnitudes $> M$
44 1. Etsho and Kiwiganaola were reported on the M_L scale (magnitudes from figure 9 of BC Oil
45 and Gas Commission, 2012), Preese Hall-1 events were recorded as moment magnitudes (de
46 Pater and Baisch, 2011) and Eola Field, Oklahoma, USA events as duration magnitude.
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52 Figure 12 Cartoon of low-permeability reservoir with an intersecting fault and potential
53 mechanisms for the transmission of a pore fluid pressure pulse or fluid into a fault to cause
54 reactivation. 1 – Direct connection and injection into the fault (e.g., Hulsey et al., 2010); 2 –
55 fluid flow through the stimulated hydraulic fractures into the fault (e.g., Wolhart et al., 2005);
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3 – fluid flow through the existing fractures; 4 – fluid flow through permeable strata and
along bedding planes.

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Table 1. Earthquakes induced by mining operations. 1. Pechmann et al. (1995); 2. Bennett et
al. (1996); 3. Hubert et al. (2006); 4. Bischoff et al. (2009); 5. Redmayne (1988); 6. Fritschen
(2009); 7. Arabasz et al. (2005); 8. Zhang Zhong et al. (1997); 9. Vallejos and McKinnon
(2011); 10. Li et al. (2007); 11. Amidzic et al. (1999); 12. Majer (2011). Gaps in this and
subsequent tables are where information was not specified in the published source.

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Table 2. Earthquakes induced by waste injection, oil and gas field depletion, pressure support
for oil and gas fields, salt mining, hydraulic fracturing for shale gas exploitation and
geothermal exploitation. 1. Nicholson (1992); 2. Davis et al. (1995); 3. Lahaie et al. (1998); 4.
Mirzoev et al. (2009); 5. Roest and Kuilman. (1994); 5. Jalali et al. (2008); 6. Davis and
Pennington (1989); 7. Doser (1992); 8. Galybin et al. (1998); 9. Genmo et al. (1995); 10.
Ottermoller (2005); 11. Kouznetsov et al. (1994); 12. Giardini (2011); 13. Howe et al. (2010);
14. Van Eck et al. (2006); 15. Ohtake (1974); 16. Nicholson and Wesson (1990); 17. Zoback
and Harjes (1997); 18. Frohlich et al. (2011); 19. de Pater and Baisch (2011); 20. Van Poolen
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Table 1

Mine	Location	Resource	Largest Earthquake			Reference
			Date	Magnitude	Magnitude Type reported	
Trona Mines	Wyoming	Trona	1995	5.1	M _L	1
Newcastle	Australia	Coal	1989	5.6	M _o	2
Ural Mts	Russia		1995	4.4	M	2
	South Africa		1994	5.6	M	2
Kentucky	USA		1995	4	M	2
New York	USA		1994	3.6	M	2
Welkom	South Africa	Gold	1976	5.2	M _L	3
Klerksdorp	South Africa	Gold	1977	5.2	M _L	3
Carletonville	South Africa	Gold	1992	4.7	M _L	3
Klerksdorp	South Africa	Gold	2004	4.9	M _L	3
Klerksdorp	South Africa	Gold	2005	5.3	M _L	3
Saar	Germany	Coal	2008	4	M _L	4
Ruhr	Germany	Coal	2007	3.3	M _L	4
	UK	Coal	1986	2.8	M _L	5
Saarland	Germany	Coal	2008	4	M _L	6

Utah	USA	Coal	2000	2.2	M _L	7
Liaoning	China	Coal	1977	4.3	M	8
Copper Cliff North	Ontario, Canada		2008	3.8	M _o	9
Craig	Ontario, Canada		2007	2.2	M _o	9
Creighton	Ontario, Canada		2006	4.1	M _o	9
Fraser	Ontario, Canada		2008	2.4	M _o	9
Garson	Ontario, Canada		2008	3.3	M _o	9
Kidd Creek	Ontario, Canada		2009	3.8	M _o	9
Macassa	Ontario, Canada		2008	3.1	M _o	9
Nanshan	China	Coal	2001	3.7	M _L	10
Gangdong	China	Coal		2.3	M _L	10
Shengli	China	Coal	1978	2.8	M _L	10
Laohutai	China	Coal	1981	2.5	M _L	10
Wulong	China	Coal	2004	3.8	M _L	10
Taiji	China	Coal	1977	4.3	M _L	10
Benxi Caitun	China	Coal	2004	2.8	M _L	10
Mentougou	China	Coal	1994	4.2	M _L	10
Chengzi	China	Coal		3.4	M _L	10
Fangshan	China	Coal	1997	3	M _L	10

Jinhuagong	China	Coal		2.1	M _L	10
Baidong	China	Coal	1983	2.7	M _L	10
Hauting	China	Coal		3.3	M _L	10
Taozhuang	China	Coal	1982	3.6	M _L	10
Shunyuan	China	Coal	2002	3.6	M _L	10
Sanhejian	China	Coal	2003	3.4	M _L	10
Weixi	China	Salt	1979	4.2	M _L	10
Zigong	China	Salt	1985	4.6	M _L	10
Louguanshan	China		1994	4.3	M _L	10
Chayuan	China	Coal	1987	4.3	M _L	10
Yanshitai	China	Coal	1987	4.3	M _L	10
Huachu	China	Coal	1982	4.1	M _L	10
Sijiaotian	China	Coal	1985	2.7	M _L	10
Liuzhi	China	Coal	1991	3.6	M _L	10
Dizong	China	Coal	1985	2.7	M _L	10
Bingshuijing	China	Coal	1991	3.6	M _L	10
Dayong	China	Coal	1991	3.1	M _L	10
Xifeng Nanshan	China	Coal	1991	3.1	M _L	10
Shanjiaocun	China	Coal	1997	3.1	M _L	10

Yueliangtian	China	Coal	1997	3.1	M _L	10
Dahebian	China	Coal	1985	2.8	M _L	10
Kaiyang	China	Phosphorus	1990	2.2	M _L	10
Meitanba	China	Coal	1991	2.8	M _L	10
Enkou	China	Coal	1976	2.9	M _L	10
Doulishan	China	Coal	1985	2.5	M _L	10
Qiaotouhe	China	Coal	1974	2.2	M _L	10
Shixiajiang	China	Coal	1991	1.6	M _L	10
Xindong	China	Coal	1994	3	M _L	10
Niumasi	China	Coal	1997	3.2	M _L	10
Dahuatang	China	Coal	1997	2.7	M _L	10
Qingshan	China	Pyrite	1996	2.6	M _L	10
Qixingjiezhen	China	Coal	1996	3.1	M _L	10
Xujiadong	China	Uranium	1998	3.4	M _L	10
Niwan	China	Gypsum	2003	2.8	M _L	10
Shuikoushan	China	Lead-Zinc		2	M _L	10
Yanguan	China	Coal	1988	2.5	M _L	10
Huayazi	China	Coal	1973	2.8	M _L	10
Huaibashi	China	Coal	1972	3.6	M _L	10

Wacang	China	Coal	1971	3.8	M _L	10
Western Deep Levels East	South Africa	Gold	1996	4	M _L	11
Wappingers Falls	New York, USA		1974	3.3	M	12
Reading	Pennsylvania, USA		1994	4.3	M	12
Belchatow	Poland	Coal	1980	4.6	M	12

Table 2

Project	Location	Resource	Activity	Largest Earthquake			Ref
				Year	Magnitude	Magnitude Type reported	
Catoosa	Oklahoma, USA	Gas	Withdrawal	1956	4.7	M _L	1
East Durant	Oklahoma, USA	Gas	Withdrawal	1968	3.5	M _L	1
El Reno	Oklahoma, USA	Gas	Withdrawal		5.2	M _L	1
Flashing Field	Texas, USA	Gas	Withdrawal		3.4	M _L	1
Imogene Field	Texas, USA	Gas	Withdrawal	1984	3.9	M _L	1
War-Wink	Texas, USA	Gas	Withdrawal		3	M _L	1
Fashing	Texas, USA	Gas	Withdrawal	1993	4.3	M _b	2
Lacq	France	Gas	Withdrawal	1978	4.2	M _L	3
Gazli	Uzbekistan	Gas	Withdrawal	1976	7.3	M _L	4
Eleveld	Netherlands	Gas		1991	2.7	M _L	5
Snipe Lake	Alberta, Canada	Hydrocarbons	Secondary Recovery	1970	5.1	M _L	1
Strachan	Alberta, Canada	Hydrocarbons	Secondary Recovery	1974	4	M _L	1
Sleepy Hollow	Nebraska, USA	Hydrocarbons	Secondary Recovery		2.9	M _L	1
Love Co	Oklahoma, USA	Hydrocarbons	Secondary Recovery		1.9	M _L	1
Gobles Field	Ontario, USA	Hydrocarbons	Secondary Recovery	1979	2.8	M _L	1
Cogdell Field	Texas, USA	Hydrocarbons	Secondary Recovery	1989	5.3	M _L	1,6
Dollarhide	Texas, USA	Hydrocarbons	Secondary Recovery		3.5	M _L	1

Dora Roberts	Texas, USA	Hydrocarbons	Secondary Recovery		3	M _L	1
Kermit Field	Texas, USA	Hydrocarbons	Secondary Recovery		4	M _L	1
Keystone	Texas, USA	Hydrocarbons	Secondary Recovery		3.5	M _L	1
Monahans	Texas, USA	Hydrocarbons	Secondary Recovery		3	M _L	1
Panhandle	Texas, USA	Hydrocarbons	Secondary Recovery		3.4	M _L	1
Ward-Estes	Texas, USA	Hydrocarbons	Secondary Recovery		3.5	M _L	1
Ward-South	Texas, USA	Hydrocarbons	Secondary Recovery		3	M _L	1
Apollo Hendrick Field	Texas, USA	Hydrocarbons	Secondary Recovery		2	M	7
	Iran	Hydrocarbons			6	M _L	5
Montebello	California, USA	Oil	Production	1987	5.9	M _L	1
Orcutt Field	California, USA	Oil	Production	1991	3.5	M _L	1
Wilmington	California, USA	Oil	Production		5.1	M _L	1
Richland	Illinois, USA	Oil	Production		4.9	M _L	1
Romashkinskoye	Russia	Oil	Production	1991	4	M _o	8
Renqiu	China	Oil	Production	1987	4.5	M _L	9
Xingtai	China	Oil	Production	1981	6	M _L	9
Hunt Field	Mississippi, USA	Oil	Secondary Recovery	1978	3.6	M _L	1
East Texas	Texas, USA	Oil	Secondary Recovery	1957	4.3	M _L	1
Ekofisk	North Sea, UK	Oil	Secondary Recovery	2001	4.2	M _o	10
Barsa-Gelmes-Wishka	Turkmenistan	Oil	Secondary Recovery		6	M _L	11
Akmaar	Netherlands	Oil	Withdrawal		3.5	M	12

Cleburne	Texas, USA	Oil	Withdrawal		2.8	M	13
Groningen Field	Netherlands	Oil	Withdrawal		3.2	M	14
Roswinkel	Netherlands	Oil	Withdrawal		3.4	M	14
Rotenburg	Germany	Oil	Withdrawal		4.5	M	13
Elsenbech	Germany	Other			5.8	M	13
Upper Silesian	Germany	Other			4.5	M	13
Rangely	Colorado, USA	Research	Research		3.1	M _L	1
Matsushiro	Japan	Research	Research	1970	2.8	M	15,16
KTB	Germany	Research	Research		2.8	M	17
Attica	New York, USA	Salt	Solution Mining	1929	5.2	M _L	1
Dale	New York, USA	Salt	Solution Mining	1971	1	M _L	1
Cleveland	Ohio, USA	Salt	Solution Mining		3	M _L	1
Dallas-Fort Worth	Texas, USA	Shale Gas	Water Disposal	2009	3.3	M	18
Ashtubla	Ohio, USA	Shale Gas	Water Disposal	1987	3.6	M _L	1
Perry	Ohio, USA	Shale Gas	Water Disposal		2.7	M _L	1
Bowland	UK	Shale Gas	Withdrawal	2011	2.3	M _o	19
Etsho and Kiwigana,	Canada	Shale Gas	Withdrawal	2009-2011	3.8	M _L	35
Eola Field	Ohio	Water	Injection		2.8	M	22
Cold Lake	Alberta, Canada	Waste	Disposal		2	M _L	1
El Dorado	Arizona, USA	Waste	Disposal		3	M _L	1,16
Denver	Colorado, USA	Waste	Disposal	1967	5.3	M _L	1,20

Lake Charles	Los Angeles, USA	Waste	Disposal		3.8	M _L	1
Paradox Valley	Colorado, USA	Waste	Disposal		4.3	M	21
Geysers	California, USA	Geothermal		1982	4.6	M _L	23
Rangely	Colorado, USA	Geothermal		1964	3.4	M _L	24
Basel	Switzerland	Geothermal		2006	3.4	M _L	25
Cooper Basin	Australia	Geothermal		2003	3.7	M _o	26
Soultz	France	Geothermal			2.7	M _L	27
Berlin	El Salvador	Geothermal		2003	4.4	M _o	28
Reykjanes	Iceland	Geothermal		2008	4	M _L	29
Larderello	Italy	Geothermal		1978	3.2	M _L	30
Fenton Hill	New Mexico, USA	Geothermal		1971	1	M	31
Bad Urach	Germany	Geothermal			1.8	M _o	32
Cesano	Italy	Geothermal			2	M _o	32
Krafla	Iceland	Geothermal			2	M _o	32
Landau	Germany	Geothermal			2.7	M _o	32
Latera	Italy	Geothermal			3	M _o	32
German Continental							
Deep Drilling Program	Germany	Geothermal			1.2	M _o	32
Monte Amiata	Italy	Geothermal			3.5	M _o	32
Mutnovsky	Russia	Geothermal			2	M	33
Ogachi	Japan	Geothermal			2	M	34

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Rosemanowes	UK	Geothermal	2	M _o	32
Torre Alfina	Italy	Geothermal	3	M _o	32
Unterhaching	Germany	Geothermal	2.4	M _o	32

Table 3

Reservoir	Location	Year of Impoundment	Largest Earthquake			References
			Date	Magnitude	Magnitude Type Reported	
Marathon	Greece	1929	1938	5.7	M _L	1
Oued Fodda	Algeria	1932	1933	3	M _L	1, 2
Hoover	Nevada, USA	1935	1939	5	M _L	1, 2
Shasta	California, USA	1944	1944	3	M _L	1
Clark Hill	Indiana, USA	1952	1974	4.3	M _L	1
Eucumbene	Australia	1957	1959	5	M _L	1
Kariba	Zambia	1958	1963	6.2	M _L	1, 3
Kerr	North Carolina, USA	1958	1971	4.9	M _L	1
Camerillas	Spain	1960	1964	4.1	M _L	1
Canellas	Spain	1960	1962	4.7	M _L	1, 2
Kurobe	Japan	1960	1961	4.9	M _L	1
Koyna	India	1962	1967	6.3	M _L	1, 2
Monteynard	France	1962	1963	4.9	M _L	1, 2
Contra	Switzerland	1963	1965	3	M _L	1
Aswan Dam	Egypt	1964	1981	5.5	M _L	1
Benmore	New Zealand	1964	1966	5	M _L	1
Kremesta	Greece	1965	1966	6.3	M _L	1, 2, 4
Piastra	Italy	1965	1966	4.4	M _L	1

Grancarevo	Serbia	1967	1967	3	M _L	1
Oroville	Washington, USA	1967	1975	5.7	M _L	1
Blowering	Australia	1968	1973	3.5	M _L	1
Vouglans	France	1968	1971	4.4	M _L	1
Kastraki	Greece	1969	1969	4.6	M _L	1
Hendrik Verwoerd	South Africa	1970	1971	2	M _L	1
Kamafusa	Japan	1970	1970	3	M _L	1
Schlegeis	Austria	1970	1971	2	M _L	1
Jocassee	South Carolina, USA	1971	1975	3.2	M _L	1, 5, 6
Talbingo	Australia	1971	1973	3.5	M _L	1
Nurek	Tajikistan	1972	1972	4.6	M _L	1, 5
Emmonson	Switzerland	1973	1973	3	M _L	1
Keban	Turkey	1973	1973	3.5	M _L	1
Volta Grande	Brazil	1973	1974	4	M _L	1
Idukki	India	1975	1977	3.5	M _L	1
Manicouagan	Quebec Canada	1975	1975	4.1	M _L	1
Itezhtezhi	Zambia	1976	1978	4	M _L	1
Monticello	California, USA	1977	1979	2.8	M _L	1
Srinagarind	Thailand	1977	1983	5.9	M _L	1, 7
Toktogul	Kyrgyzstan	1977		2.5	M _L	1
Zipingpu	China	2006	2008	7.9	M _L	1, 8, 9, 10, 11

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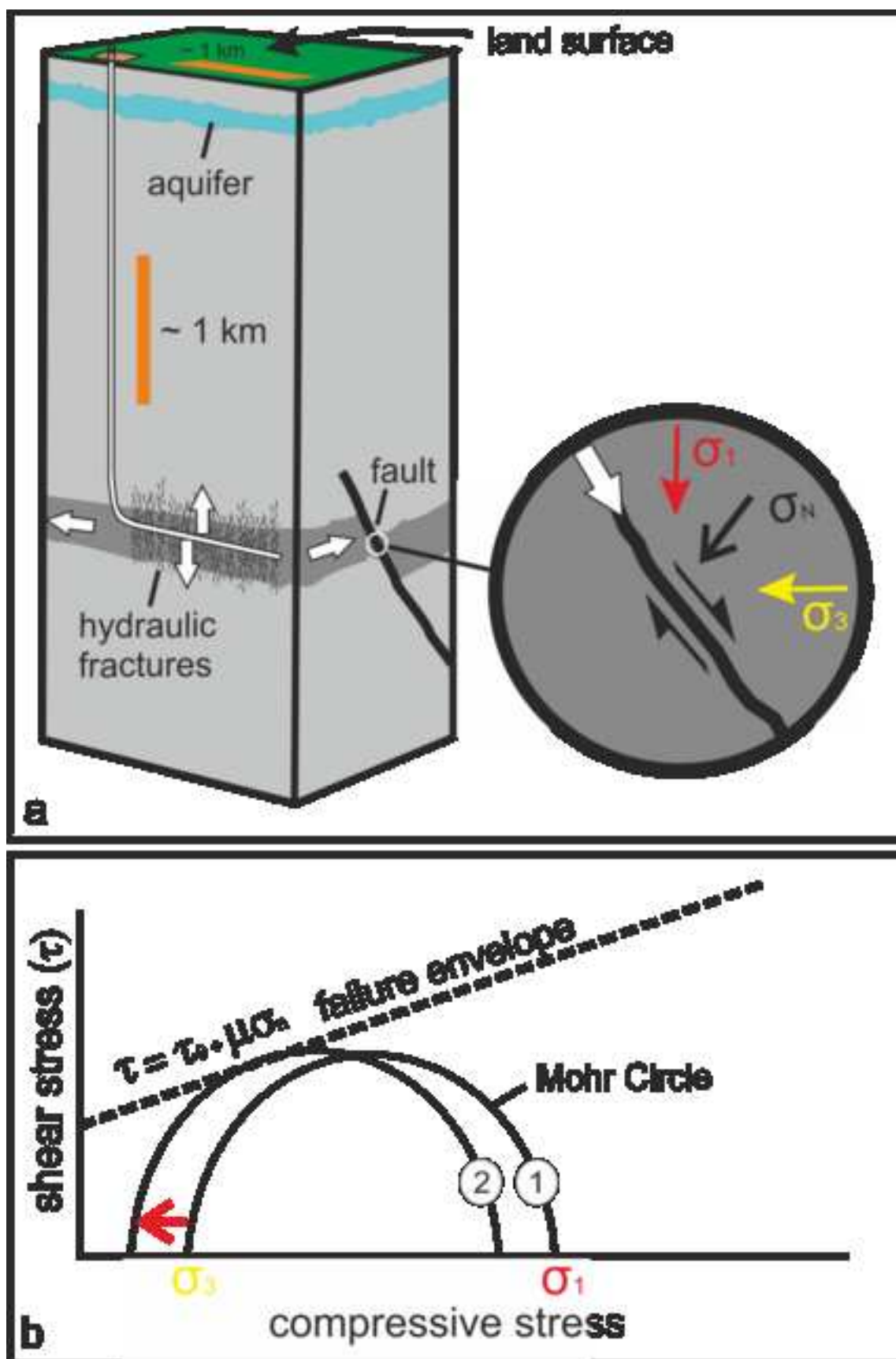


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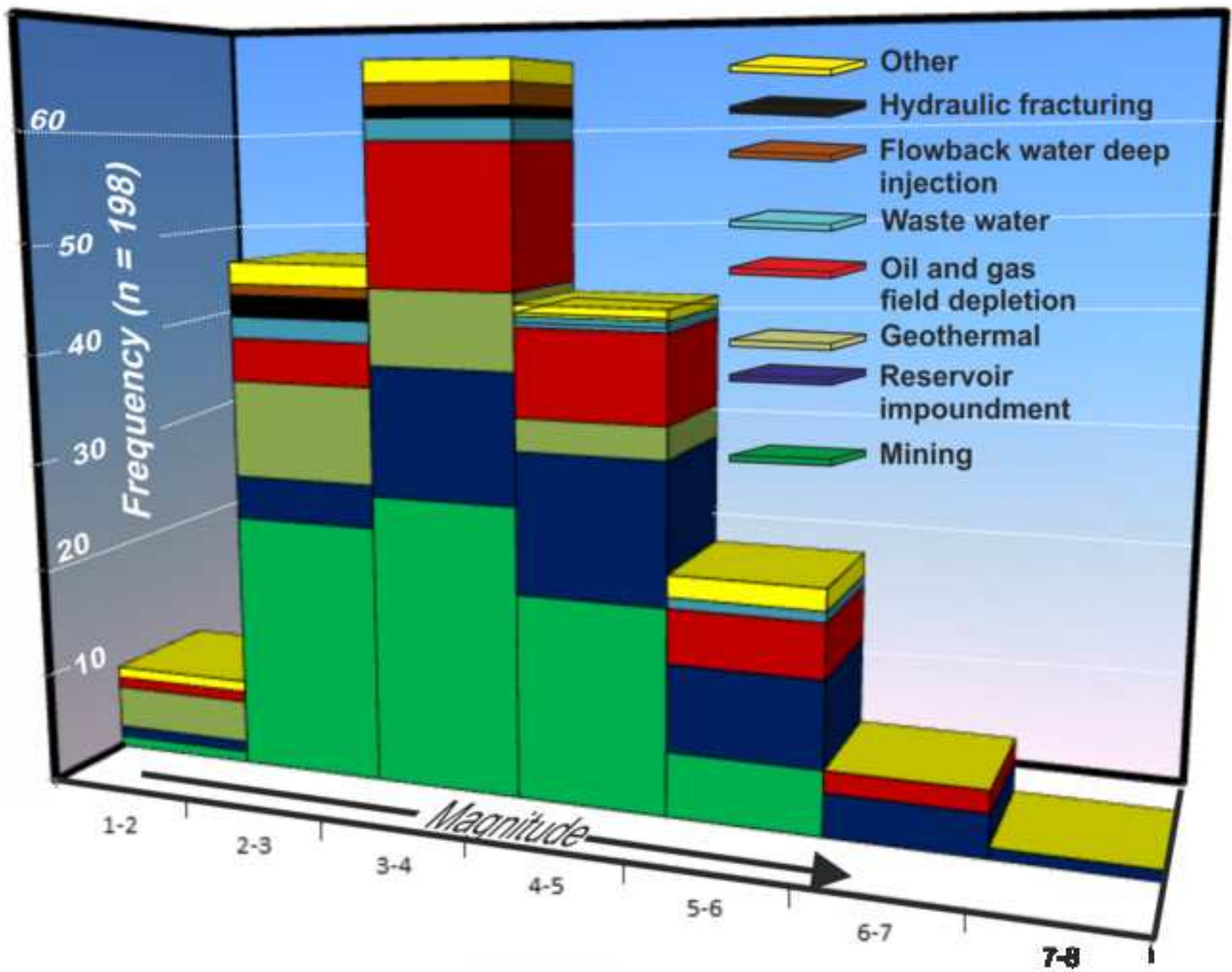


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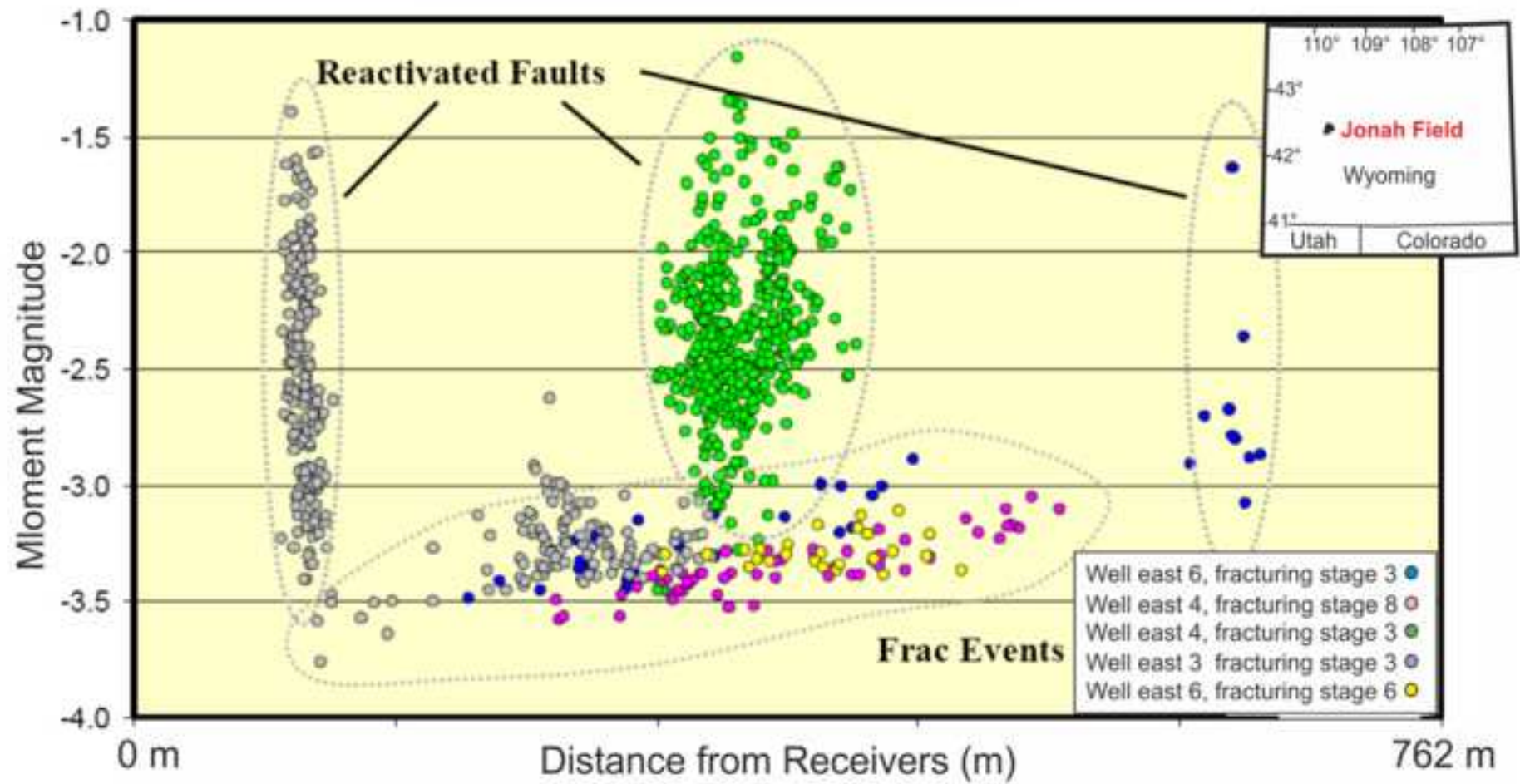
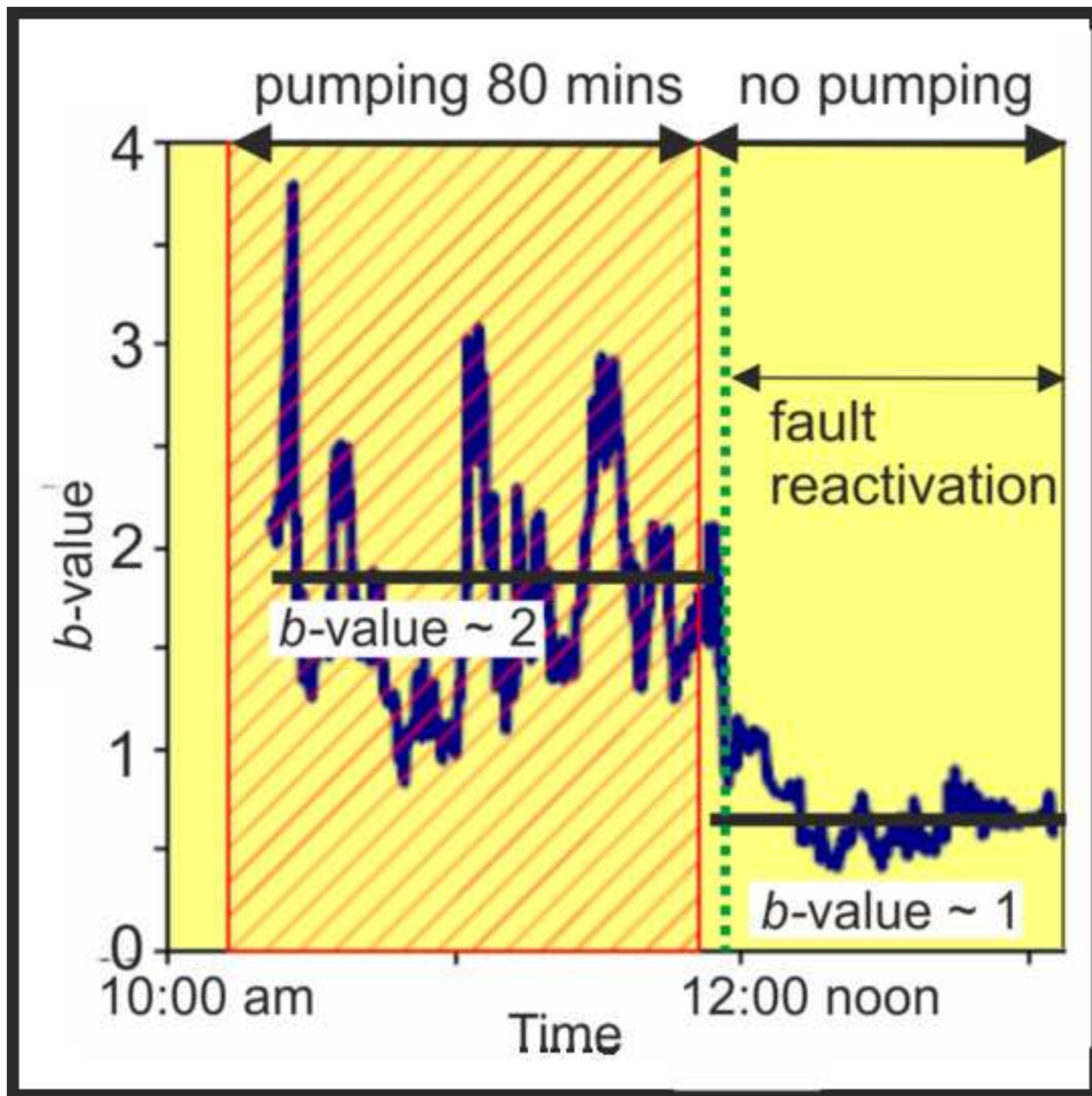


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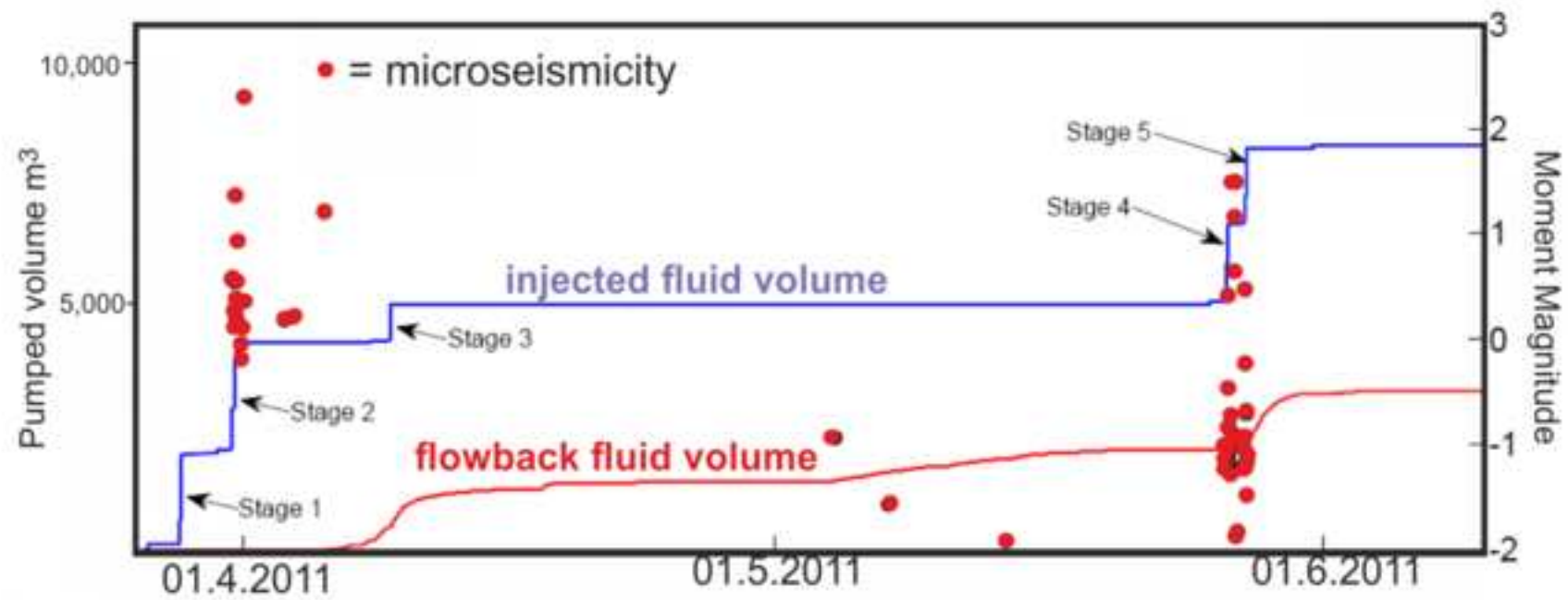


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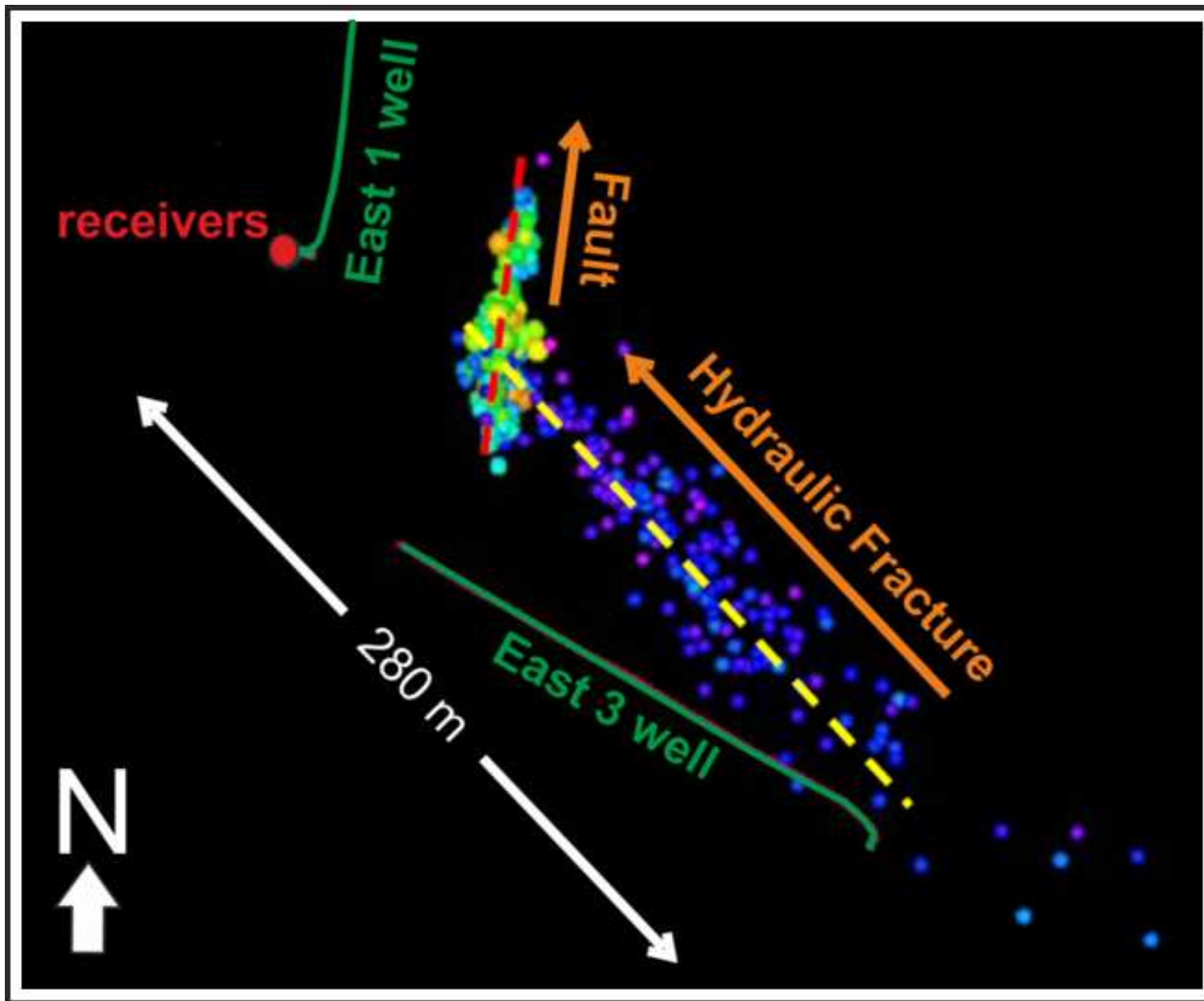
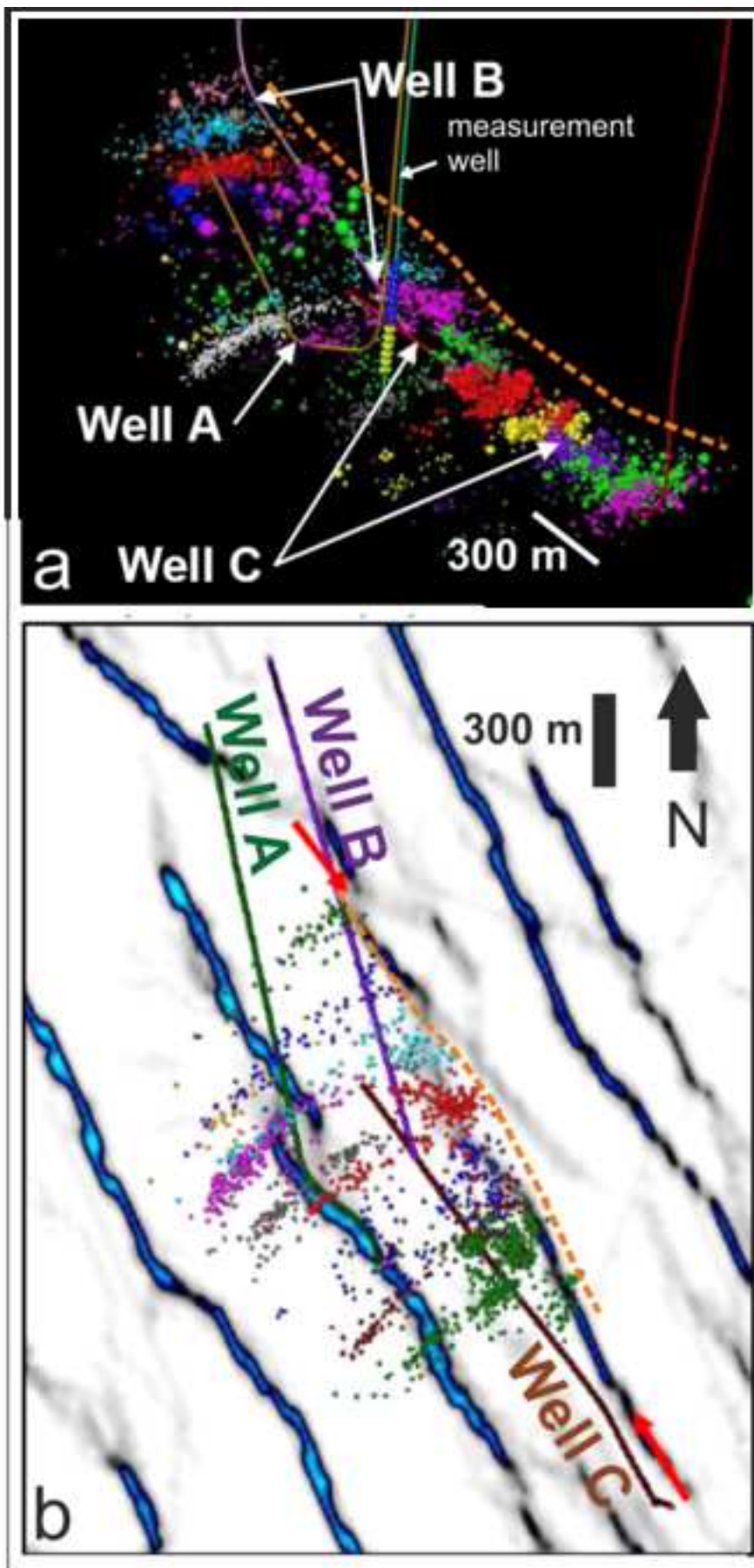


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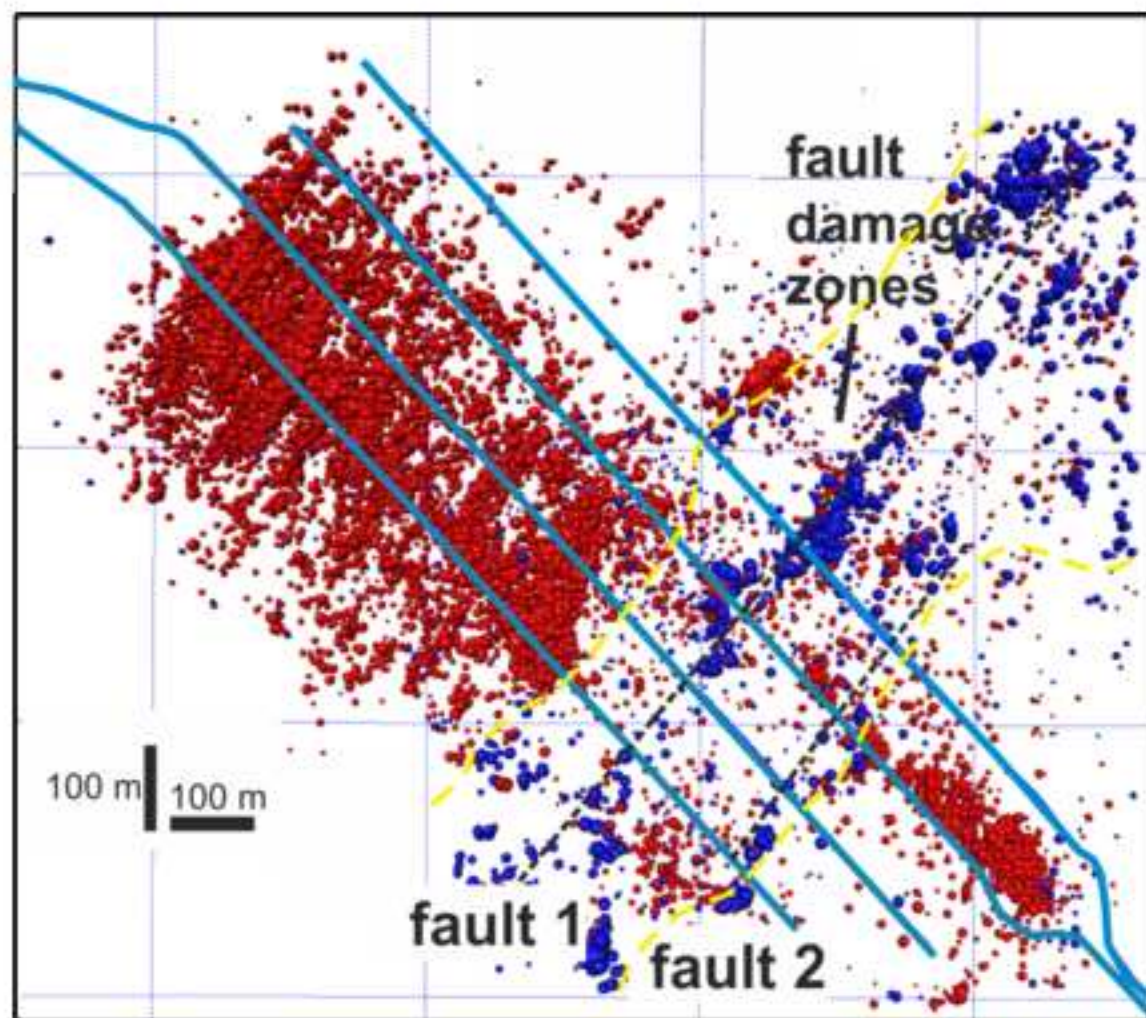
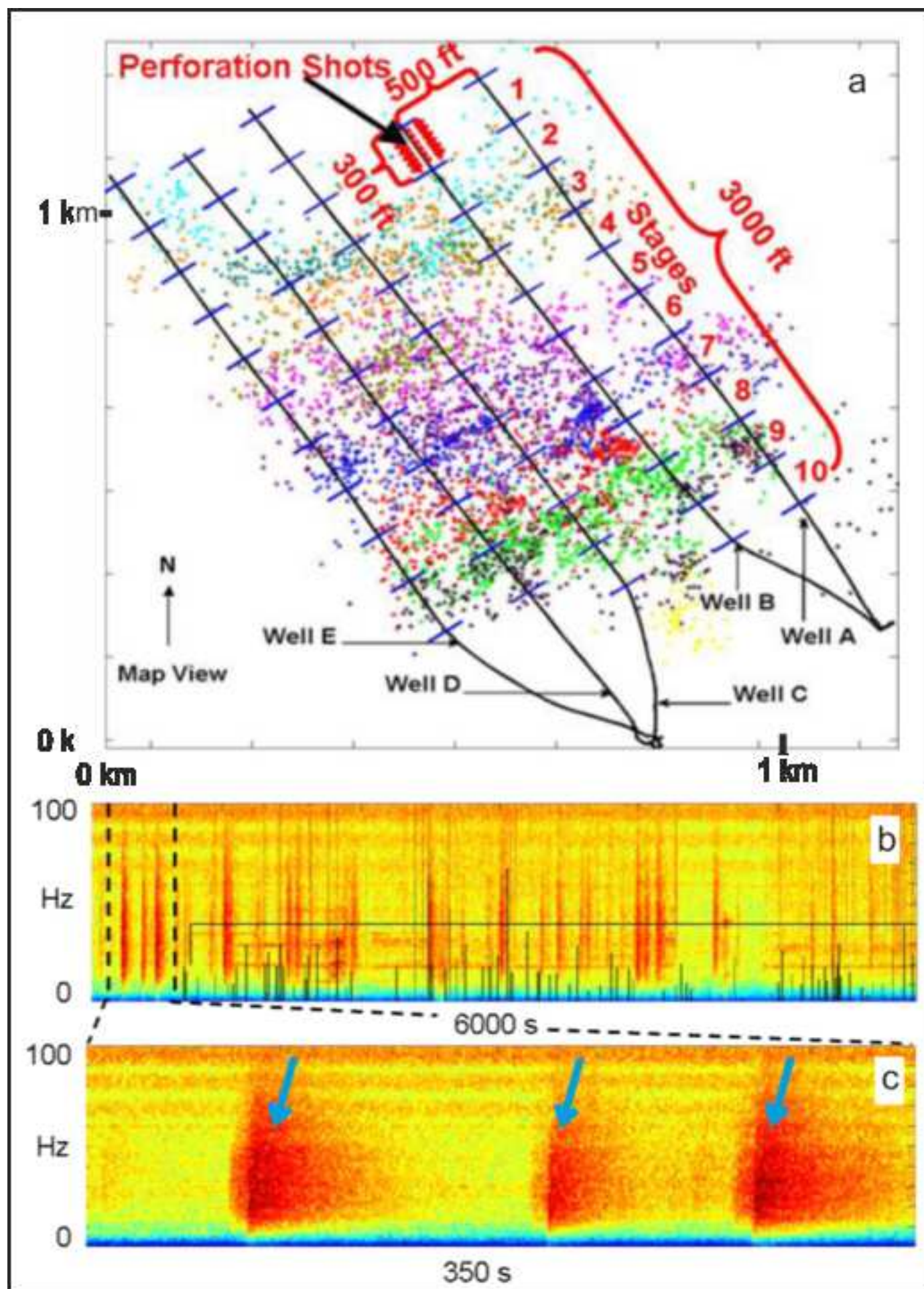


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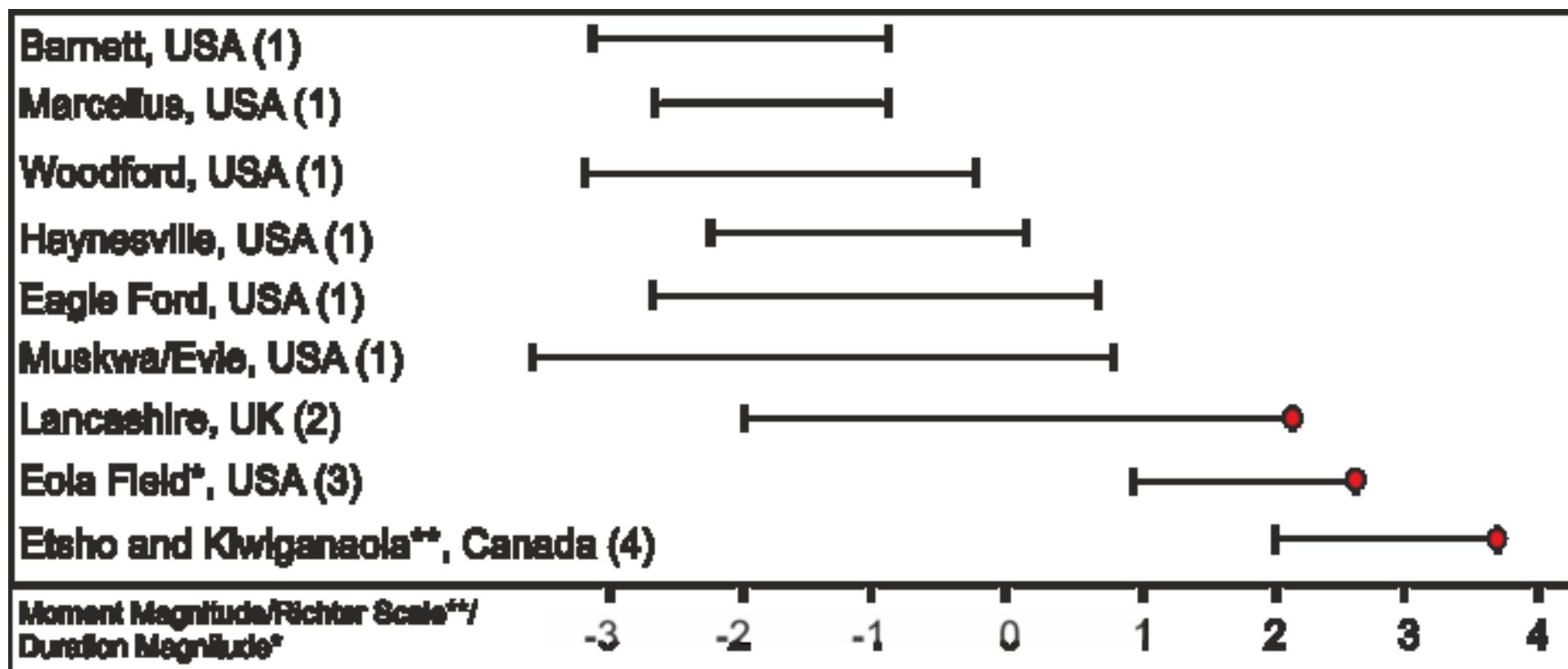
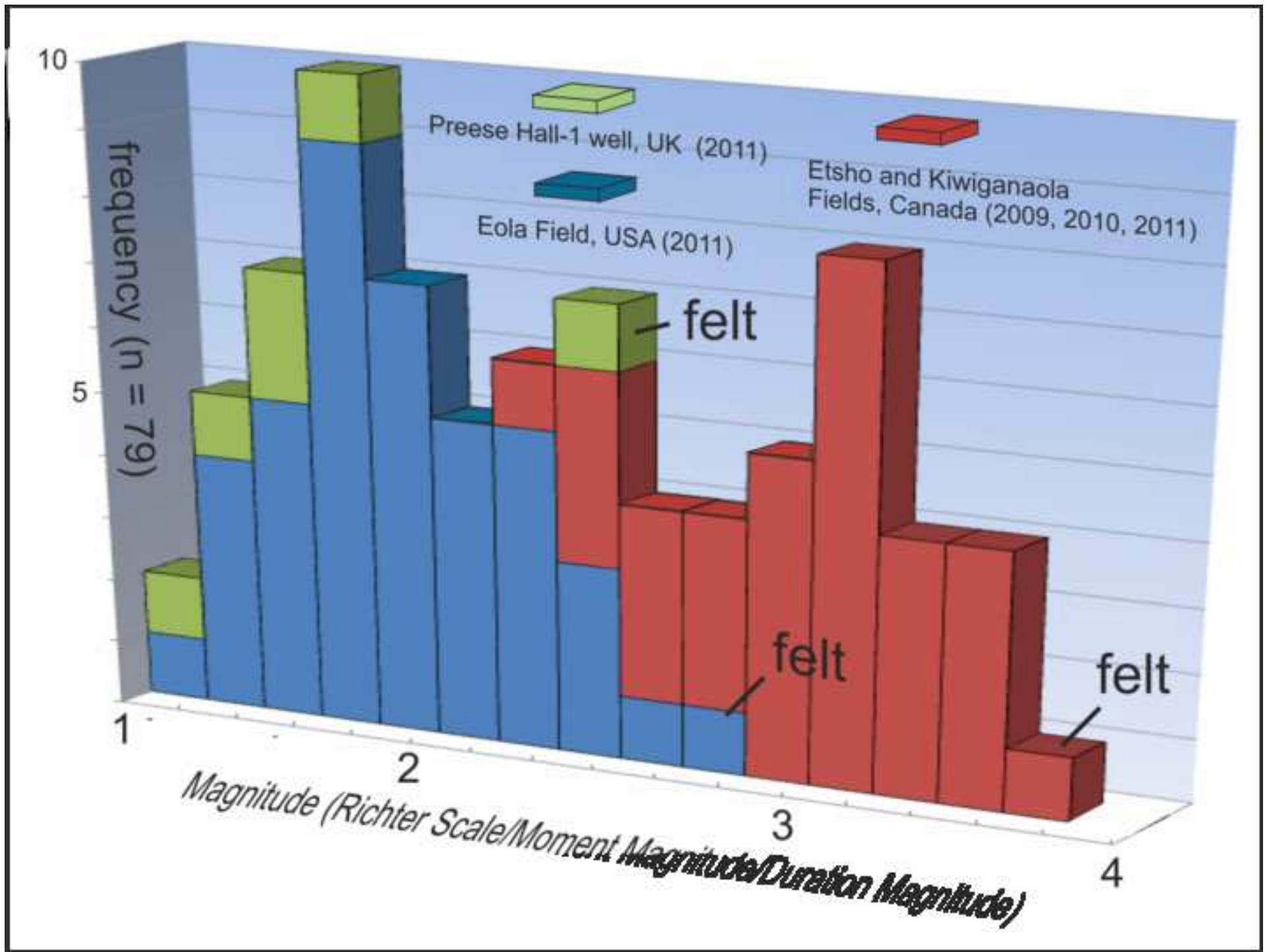


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