

1 Reduced flow impacts salmonid smolt emigration in a river 2 with low-head weirs

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15 Abstract

16 The impacts of large dams on the hydrology and ecology of river systems are well
17 understood, yet the impacts of low-head structures are poorly known. While impacts of small weirs
18 on upstream-migrating fish have long been mitigated by fish ladders, it is assumed that downstream
19 migration of surface-oriented fishes is unaffected under natural flow regimes. To test this, the
20 effects of low-head weirs and the influence of river flow on the migration of brown trout (*Salmo*
21 *trutta*) smolts in the River Tweed, UK, was examined. Movements of acoustic tagged smolts were
22 quantified in 2010 and 2011 using automatic listening stations and manual tracking throughout the
23 migration route. In both years smolts exhibited major losses, most likely due to predators, with
24 escapement rates of 19% in 2010 and 45% in 2011. Loss rates were greater in 2010 when flows were
25 frequently below Q95 (20% of study period), compared to 2011 when more typical flows
26 predominated (0% of study period below Q95). Smolts experienced significantly longer delay at
27 weirs during 2010 than 2011, associated with the different hydrographs during emigration as well as
28 weir design. Flow comparisons within the study periods and historical records shows that low flows
29 experienced in 2010 were not unusual. The swimming behaviour of smolts in relation to flow
30 conditions differed between years, with smolts in 2010 increasing their rate of movement in relation
31 to increasing flow at a faster rate than smolts in 2011. This is the first study to demonstrate river
32 flow impacts on the migration success of wild salmonid smolts at small weirs. Because small weirs
33 are common in rivers and because spring-summer low flow periods may become more frequent with
34 climate change (based on UKCIP09 models) and altered river hydrology, further research and
35 improved management is needed to reduce the impacts of low river flows in combination with low-
36 head weirs on salmonid smolt migration.

37 Keywords: *Salmo trutta*, smolt migration, habitat fragmentation, river obstructions, low flow

38 **1. Introduction**

39 In many developed countries there is a long history of river modification and, as a result, in-river
40 structures such as dams and weirs are present in half of the world's rivers (Dynesius and Nilsson,
41 1994; Nilsson et al., 2005). Such modification has been integral to human population growth through
42 processes such as flood defence; power generation and farming in floodplains (Nilsson et al., 2005;
43 Poff and Hart, 2002). However, in-river barriers such as dams and weirs have a major role in the
44 fragmentation of fluvial ecosystems (Dynesius and Nilsson, 1994; Fullerton et al., 2010; Jungwirth,
45 1998; Kemp and O'Hanley, 2010). In-river barriers can have major impacts on fish populations by
46 preventing or restricting movement to habitats required for essential stages of fish life history
47 (Branco et al., 2012; Lucas and Baras, 2001; Lucas and Batley, 1996; Lucas et al., 2009; Wollebaek et
48 al., 2011). In-river barriers not only impact fish populations by restricting essential movement, there
49 is also major impacts on fish habitat due to alteration of the downstream flux of water and
50 sediment, nutrient movement, and water temperatures within rivers (Poff and Hart, 2002). The
51 effects of migration obstacles depend on factors such as fish species; river hydrology and barrier
52 type, with effects varying from short delays to complete blockage (Kemp and O'Hanley, 2010;
53 Northcote, 1998). In Europe, legislation such as the Water Framework Directive (WFD; 2000/60/EC)
54 requires free passage for migratory fish travelling between areas of river essential for their life
55 history, such as juvenile emigration from natal areas and adult spawning migrations. Failure to
56 comply can result in the river being assigned less than "Good ecological status" and may result in
57 sanctions.

58 The seaward migration of juvenile anadromous salmonids (smolts) is a crucial event in their
59 life history. Smoltification is a period of great morphological, behavioural and physiological change
60 when juvenile salmonids develop various adaptations that enable them to survive at sea (Debowski
61 et al., 1999a; Debowski et al., 1999b; Denton and Saunders, 1972; Lysfjord and Staurnes, 1998;
62 McCormick et al., 1998). The smolt migratory period is precisely timed with photoperiod, river
63 discharge and temperature playing determinate roles in its commencement (Björnsson et al., 1995;

64 Björnsson et al., 2010; McCormick, 1994; McCormick et al., 2000; McCormick et al., 2007;
65 McCormick et al., 2002). Throughout migration smolts are subject to elevated predation risk from
66 mammalian; avian and fish predators (Aarestrup et al., 1999; Aarestrup and Koed, 2003; Carss et al.,
67 1990; Dieperink et al., 2002; Dieperink et al., 2001; Harris et al., 2008; Heggenes and Borgstrom,
68 1988; Koed et al., 2002; Steinmetz et al., 2003; Svenning et al., 2005a; Svenning et al., 2005b; Wiese
69 et al., 2008). Delays at river obstructions during such a timing-specific and vulnerable life history
70 stage can potentially have large impacts on the survival of smolts and the health of salmonid stocks
71 as a whole.

72 The impacts of large dams on the hydrology and ecology of temperate river systems,
73 including downstream fish passage, especially of economically important salmonids, are relatively
74 well known. In general downstream salmonid passage efficiency over dams is high (74.6%) based on
75 recent quantitative assessment (Noonan et al., 2012). However, high smolt mortalities due to both
76 physical damage and predation have been observed at major impoundments and hydro-power
77 facilities (Aarestrup et al., 1999; Hockersmith et al., 2003; Keefer et al., 2012; Muir et al., 2001a;
78 Muir et al., 2001b; Raymond, 1979; Raymond, 1988; Smith et al., 2006; Smith et al., 2002; Williams
79 et al., 2001). Low flows due to regulation in river reaches also cause delays in smolt emigration and
80 result in increased duration of exposure to mortality risks (Aarestrup and Koed, 2003; Keefer et al.,
81 2012). However, the impacts of low-head structures, such as simple overflow weirs are poorly
82 known for downstream migrants (Lucas and Baras, 2001) with the exception of bottom-orientated
83 freshwater eels (Acou et al., 2008). While impacts of small weirs on upstream-migrating fish (Lucas
84 and Frear, 1997; Ovidio and Philippart, 2002) have been partially mitigated by fish ladders designed
85 specifically to assist upstream passage (Clay, 1995), average passage efficiencies are relatively low
86 (41.7%) (Noonan et al., 2012) and presence of passage facilities is not always guaranteed to
87 mitigate passage concerns (Roscoe and Hitch, 2010). However, it is generally assumed that
88 downstream migration of wild surface-oriented fishes such as salmonid smolts is relatively
89 unaffected and that they will pass simple overflowing weirs unhindered under reasonably natural

90 flow regimes (Lucas and Baras, 2001). Some studies on passage of hatchery-reared smolts past small
91 weirs, in particular that of Aarestrup and Koed (2003), strongly contradict this. To test this
92 assumption for wild fish, the effects of low-head weirs and the influence of natural variations in river
93 flow on the migration behaviour and survival of anadromous brown trout (*Salmo trutta*) smolts were
94 examined in the River Tweed, UK, a catchment with very strong wild migratory salmonid stocks.

95 **2. Study areas**

96 The study was carried out on the River Tweed in southern Scotland, which drains west to east and
97 empties to the North Sea. The Tweed is the sixth largest river in mainland Britain and the second
98 largest in Scotland and has some of the largest Atlantic salmon (*Salmo salar*) and anadromous brown
99 trout populations in the UK (Gardiner, 1989; Sheail, 1998). The Tweed catchment covers 5000 km²
100 with an estimated 2160 kilometres of the main channel and tributaries accessible to fish (Gardiner,
101 1989). The water quality of the river is very high, with there being very little pollution present
102 (Currie, 1997). The River Tweed is a designated Site of Special Scientific Interest (SSSI) within the UK
103 and is an EU Special Area of Conservation (SAC) for Atlantic salmon and lampreys. Compared to
104 many rivers, there are relatively few anthropogenic impacts and the hydrology, although modified,
105 retains high natural variability in discharge. Several low-head engineered structures occur within the
106 River Tweed's main channel, downstream of one of the key spawning tributaries, the Ettrick Water,
107 as well as in the Ettrick itself (Figure 1). The Ettrick is a regulated river and its main tributary the
108 Yarrow Water is also regulated at its outflow from St Marys Loch, 23 km upstream of its confluence
109 with the Ettrick. The average annual flow on the Yarrow is 5.58 m³ s⁻¹, while on the Ettrick it is 15.1
110 m³ s⁻¹ and their combined catchment areas come to 501 km². The course of the river under
111 investigation is characterised by multiple low-head structures which are remnants of light industry,
112 most of which are now redundant (Figure 1, Table 1)

113 **-Figure 1 here-**

114 -Table * here-

115 3. Methods

116 3.1. Smolt capture and tagging

117 Trout smolts were captured in a trap on the Yarrow between the 1st of April and the 1st of June in
118 2010 and 2011. The smolt trap consisted of a meshed box trap placed in the outwash of the smolt
119 and debris screen of a fish farm.

120 The smolts were removed from the trap and immediately placed in a holding tub filled with
121 highly aerated river water. The fish were placed in an induction tank and anaesthetised using
122 Phenoxyethanol (0.3 ml l⁻¹), their fork length (mm) and weight (g) were recorded before those
123 sufficiently large for tagging (over 145 mm in fork length) were placed on a V-shaped surgical table.
124 An incision (12-14 mm) was made on the ventral side of the fish anterior to the pelvic girdle. A
125 miniature coded acoustic transmitter (either Model V7-2x, 7 mm diameter, 18 mm length, 1.4 g
126 weight in air, Vemco Ltd, Nova Scotia, Canada or Model LP-7.3, 7.3 mm diameter, 18 mm length, 1.9
127 g weight in air, Thelma Biotel AS, Trondheim, Norway) was then implanted in to the peritoneal cavity
128 through the incision. Tags were chosen to have code repeat periods of 20-60 seconds and estimated
129 lives of 100 days. The incision was closed with three independent sutures (4-0 Vicryl Rapide, Ethicon
130 Ltd, Livingston, UK). The gills were aspirated with a mixture of dilute Phenoxyethanol and river water
131 during the early stages of the procedure before switching to 100% river water during the later stages
132 of the procedure. All tagging was carried out under UK Home Office License and complied with the
133 UK Animals (Scientific Procedures) Act 1986.

134 Once the procedure was complete the fish were returned to a recovery tub filled with highly
135 aerated water. When recovered the fish were placed in a keep box in the intake channel overnight
136 before release into the river; no mortalities occurred during these procedures. Details of the fish
137 released in the two seasons are given in Table 2. There was no significant difference between the

138 lengths of smolts tagged in 2010 and 2011 (Mann-Whitney U; $n=103$, $Z=-0.445$, $p>0.05$). Release was
139 always in groups that included untagged fish (since smolts migrate in aggregations), within 24 hours
140 of tagging, in to a section of the river 100 m below the point of capture. Due to high losses of tagged
141 smolts within the upper study section in 2010, tagged smolts were released at two additional release
142 sites, one 2 km below the point of capture and another 200 m downstream of the the Murray Cauld
143 as a way to test the impact of the weir on migration in 2011 (Table 2, Figure 1). The Murray Cauld is
144 the only intact in-river structure on the migration route and so has only a fish pass as an alternative
145 to passage over its crest. The lengths of smolts in the three release groups in 2011 were not
146 significantly different (Kruskall-Wallis; $n=60$, $\chi^2= 1.0892$, $df= 2$, $p>0.05$).

147 **-Table 2 here-**

148 *3.2. Acoustic tracking*

149 Acoustic tracking was carried out via a combination of fixed automatic listening stations (ALS) and
150 manual tracking at 69 KHz. Fixed ALS positions (Models VR2 & VR2W, Vemco Ltd, Nova Scotia,
151 Canada) were set approximately 11 km apart along the migration route. Sites were chosen to detect
152 fish as they approached cross-river weirs or other features of interest, with acoustic loggers located
153 in calm water to give reliable recording of tags, based upon field tests. Positioning of loggers at some
154 sites was limited by the availability of calm, deep water as well as site access. Logging stations at
155 weirs were located 50-100 m upstream of obstructions. In the estuary multiple stations were placed
156 in both the inner and outer estuary to give effective coverage. ALS stations were downloaded on a
157 weekly basis during the study period, these data allowed for the locations of each fish to be
158 estimated and help determine areas to target for manual tracking.

159 Manual tracking was carried out on foot using a Vemco VR100 (Vemco Ltd, Nova Scotia, Canada)
160 with a VH110 Directional Hydrophone attached (Vemco Ltd, Nova Scotia, Canada). Range testing was
161 conducted by placing a test tag in a known position and then measuring the distance at which the
162 test tag became undetectable on manual tracking equipment, this was repeated in several different

163 river sections with varying hydromorphological conditions. In field tracking conditions, with the
164 hydrophone kept fully submerged, the range varied between 100 m in deep pools to less than 10 m
165 in fast flowing riffles. Fish locations were recorded by the VR100 inbuilt GPS unit and later stored in a
166 GIS database.

167 In 2010, 10 tags were deployed in mesh bags in the river to estimate tag failure rate. As a further
168 control, 10 tags were deployed loose on the river bed to determine whether, and under what
169 circumstances, tags lost by fish, or following predation and subsequent tag egestion, were moved
170 passively by flows and what their detectability was.

171 *3.3. Environmental data*

172 River flow is recorded along the smolt migration route at the Philiphaugh gauging station of the
173 Scottish Environment Protection Agency (SEPA) on the lower Yarrow and also at their Lindean
174 (Ettrick), Boleside and Sprouston (Both Tweed) and at the Norham gauging station of the
175 Environment Agency of England and Wales (EA)(Figure 1). Historic flow records for these stations
176 were obtained from the Centre for Ecology and Hydrology (CEH) National River Flow Archive (NRFA).

177

178 **4. Results**

179 *4.1. Inter-annual variations in survival out to sea and passage efficiencies at weirs*

180 Through the combined use of stationary ALS receivers and manual tracking, survival estimates were
181 calculated for the 43 tagged smolts released in 2010 and the 60 released in 2011. The approximate
182 distance travelled by each smolt was measured from its last known location. Tags that were either
183 missing after repeated manual tracking trips or repeatedly found at the same site, without any
184 movement on successive manual tracking trips were assumed to be smolt mortalities. In total, seven
185 tags in 2010 and three tags in 2011 were assumed to be dead after repeatedly being found in the
186 same location in the river. Conversely, 28 tags in 2010 and 30 tags in 2011 were assumed to have

187 been removed from the system by terrestrial predators after a cessation in logged movements and
188 not being detected after several manual tracking trips. All of the tags deployed in the river as
189 controls in retrievable mesh bags operated for their expected durations and 90% of the tags
190 deployed loose on the river bed could be detected over their study period, none moving more than
191 1 m.

192 In 2010 only 19% of the 43 released smolts were detected leaving the river on the outer
193 estuary logger whereas 45% of the 60 released smolts reached there in 2011. One notable difference
194 between years was the variation in mortality around the Murray Cauld; in 2010 a 44% decline in
195 survival was observed there compared to a 9% decline in 2011 (Figure 2). There was a slight variation
196 in survival out to sea for release sites A and B (above the Murray Cauld) and C (below it) in 2011,
197 which had relatively normal flow, with 40%; 55% and 40% survival being observed respectively
198 (Figure 2). In 2010 there was a significant difference in smolt length between successful migrants
199 and unsuccessful migrants, with successful smolts being larger (Mann-Whitney U; $n=43$, $Z=-2.07$,
200 $p=0.044$). This trend may be a result of the low number of successful smolts compared to the much
201 larger number of unsuccessful smolts. However, In 2011 there was no difference in length between
202 successful and unsuccessful migrants (Mann-Whitney U; $n=60$, $Z=-0.647$, $p>0.05$).

203 For both years a significant negative relationship between distance travelled from release
204 site and cohort survival was recorded (2010: linear regression; $n=43$, $R^2=0.495$, $F=12.064$, $p=0.005$;
205 Figure 2, 2011: linear regression; $n=60$, $R^2=0.84$, $F=84.731$, $p<0.001$; Figure 2). For all three release
206 sites in 2011 there were significant negative relationships between the distance travelled from
207 release sites and cohort survival (release site A: linear regression; $n=20$, $R^2=0.52$, $F=15.263$, $p=0.002$;
208 Figure 2, release site B: linear regression; $n=20$, $R^2=0.72$, $F=37.305$, $p<0.001$; Figure 2, release site C:
209 linear regression; $n=20$, $R^2=0.73$, $F=25.536$, $p=0.001$; Figure 2). Subsequently, two of the smolts
210 tagged in 2011 were detected 20 km up the estuary of the River Tees on an acoustic array associated
211 with a separate study. The Tees estuary is approximately 144 km south of the Tweed estuary, along

212 the North Sea coast, and the tags were detected for periods of 4.3 and 60.4 hours, after respective
213 periods of 20 and 10 days following escapement from the Tweed estuary. These detections fit in
214 with prior Carlin tag data from the Tweed that shows smolts moving down the UK coastline close to
215 shore and in neighbouring estuaries (Campbell, *unpublished data*).

216 The passage efficiencies at three different weirs differed between years, at Murray Cauld
217 passage efficiency differed markedly between years with 46% and 100% passage efficiency being
218 observed in 2010 and 2011 respectively. Differences in passage efficiency between 2010 and 2011
219 were also observed on the other two weirs studied but were not as pronounced (Table 3). What is
220 important to note is that weir design differs between all three weirs and Murray Cauld is the only
221 fully intact weir.

222 **-Figure 2 here-**

223 *4.2. The delay of smolts during seaward migration in 2010 and 2011 and its impact on* 224 *smolt movement rate*

225 When comparing the mean ground speeds of migrating smolts in 2010 and 2011, using the first
226 detection of each smolt on each ALS position along the migration route and factoring in each river
227 section in to the analysis, a significant difference was observed (ANOVA; $n=205$, $F=5.673$, $p<0.001$;
228 Figure 3) with smolts in 2011 moving significantly faster along the migration route. Ground speed
229 data for 2011 in the river sections between release site B and logging station 1 as well as release site
230 C and logging station 2 were not included in the analysis due to the stated release sites not being
231 used in 2010.

232 Records of the migration delays experienced by smolts at localities in both 2010 and 2011
233 were retrieved from stationary ALS receivers. Delay was quantified by the duration of time between
234 the first recording and the last recording on an ALS for each tagged smolt. Data from station 5 were
235 not included, since this logger was inefficient due to noise resulting from its suboptimal location. In

236 general, smolts experienced more delay in 2010 than 2011. Smolts were more significantly delayed
237 in 2010 compared to 2011 on all freshwater ALS stations; station 1 (Mann-Whitney U; $n=54$, $Z=-5.0$,
238 $p<0.001$; Table 3), station 2 (Mann-Whitney U; $n=47$, $Z=-2.33$, $p=0.02$; Table 3), station 3 (Mann-
239 Whitney U ; $n=32$, $Z=-2.712$, $p=0.011$; Table 3), station 4 (Mann-Whitney U; $n=19$, $Z=-2.966$, $p=0.002$;
240 Table 3), station 6 (Mann-Whitney U; $n=23$, $Z=-3.244$, $p=0.001$; Table 3) and station 7 (Mann-
241 Whitney U; $n=34$, $Z=-2.315$, $p=0.02$; Table 3). However, there was no significant difference in delay in
242 the Tweed estuary between 2010 and 2011 (Mann-Whitney U; $n=33$, $Z=-0.336$, $p>0.05$; Table 3),
243 suggesting that either the factors influencing delay within the river were not present or were of less
244 importance within the estuary or that a different set of factors govern estuarine movements.

245 **-Table 3 here-**

246 **-Figure 3 here-**

247 *4.3. Variation in flow conditions between 2010 and 2011 and its influence on smolt ground* 248 *speed*

249 Using mean daily flow data retrieved from SEPA and the EA and flow duration curves from the CEH
250 NRFA, the flow conditions along the migration route during the typical smolt migration period (1
251 April to 30 June) in 2010 and 2011 were analysed. The Lindean SEPA gauging station was used as a
252 proxy for the flow at the Murray Cauld as it is approximately 6 km downstream from the weir and
253 there are no large tributaries joining the Ettrick in this section of river. The two years' flows at
254 Lindean, during the key migration period, differed markedly, with mean daily flows declining below
255 the Q95 flow for 18 days in 2010 and not at all in 2011. There were several high flow events in 2011
256 whereas the only flow increases in 2010 were the results of artificial weekly freshets from St Mary's
257 Loch on the Yarrow system (Figure 4).

258 **-Figure 4 here-**

259 Using historical flow records from the CEH NRFA for Lindean extending back to 1962 the
260 prevalence of daily flows under Q95 was calculated for each year in the 49 year period. Days where
261 flow was low there during the migration period were not uncommon (Figure 5). Short periods of
262 flow restriction occurred frequently and periods where at least 15 days out of the 90 day period
263 were below Q95 daily flows occurred at least once a decade (Figure 5). There have therefore been
264 periods of flow restriction similar to that experienced in 2010 previously and they are likely to
265 reoccur.

266 **-Figure 5 here-**

267 The influence of flow conditions on smolt migration speed was calculated from the net
268 ground speed of individual smolts between two successive ALS positions using the first record of
269 each smolt at each ALS as it moved downstream and then matching the speed to the mean flow
270 conditions during the period of transit using 15-minute gauged flows from the nearest SEPA flow
271 gauging stations to the fixed ALS positions. This was carried out for all sequential pairs of ALSs. For
272 both years a positive relationship between elevated flow (m^3s^{-1}) and increased net ground speed (km
273 h^{-1}) was observed; 2010 (Regression; $n=88$, $R=0.719$, $p<0.001$; Figure 6), 2011 (Regression; $n=218$,
274 $R=0.579$, $p<0.001$; Figure 6). However, when the relationships between net groundspeed and mean
275 flow were compared between years using an ANCOVA there was a highly significant difference in
276 slope ($n=306$, $F=147.73$, $p<0.001$). These results suggest that smolts released in 2010 undertook
277 increasingly more active swimming within the flows in which they exhibited downstream migration
278 than the smolts released in 2011.

279 **-Figure 6 here-**

280

281

282

283 5. Discussion

284 This study shows, for the first time, that surface-orientated wild fishes, migrating
285 downstream, can be markedly impeded by small overflowing weirs, and that the effects of this are
286 dramatically increased during low-flow conditions. These delays are associated with losses of
287 migrating fishes, again substantially elevated during low-flow conditions. While these effects are
288 known for salmonids at large impoundments, especially hydroelectric dams, with or without surface
289 bypasses (Hockersmith et al., 2003; Muir et al., 2001a; Muir et al., 2001b; Raymond, 1979; Raymond,
290 1988; Smith et al., 2006; Williams et al., 2001), and also for benthically orientated eels (Acou et al.,
291 2008; Boubée and Williams, 2006; Gosset et al., 2005), they have not been recorded for wild juvenile
292 salmonids in relatively natural river systems. However, manipulative studies with smolts have shown
293 that modified surface bypasses reduce the delay in passing weirs compared to conventional
294 bypasses (Haro et al., 1998). These results strongly suggest that small obstructions can have much
295 larger than expected impacts on seaward escapement of anadromous brown trout smolts and given
296 the observation that low flows dramatically exacerbate these problems, any climate scenario (such
297 as UKCIP02 and UKCP09) that results in increased frequency of low river flows during spring and
298 early summer is a very real concern (Arnell, 2004; Christerson et al., 2012; Marsh, 2004; Wilby and
299 Harris, 2006). However, it is possible that climate change may bring an increase in water availability
300 for the UK in some scenarios (IPCC SRES A2 and B2) (Xenopoulos et al., 2005).

301 The results from the automated acoustic tracking of the smolts migrating to the sea in 2010
302 and 2011 clearly showed a disparity in the degree to which they were delayed in different river
303 sections between the two seasons. These also showed that obstructions in river sections, such as
304 weirs, also exacerbate delays during periods of reduced river flow. In general very little work has
305 been conducted to link overflowing barriers to the passage and behaviour of freshwater fish during
306 downstream movement. In Australian studies Murray cod (*Maccullochella peelii*) and golden perch
307 (*Macquaria ambigua*) displaced above weirs displayed a reluctance to move past low-head weirs
308 when attempting to home downstream (O'Connor et al., 2006). Negative impacts of weirs were also

309 observed in hatchery reared Atlantic salmon and anadromous brown trout smolts released in small
310 Danish rivers where they suffered from increased delay and mortality in proximity to small fish farm
311 weirs (Aarestrup and Koed, 2003). Low flows spread across the breadth of obstructions such as
312 overflowing weirs spanning whole channels, give depths over their crests that are very shallow,
313 which may reduce the behavioural stimuli (one or more combinations of velocity, depth, velocity
314 gradient, turbulence) needed to get fish to continue past the barrier. Haro et al., (1998) found
315 American shad (*Alosa sapidissima*) to be unwilling to approach the small surface water bypasses that
316 would allow them to move downstream at large barriers, while Enders et al. (2009) demonstrated a
317 similar unwillingness for salmonid smolts under experimental conditions, showing that hydraulic
318 changes at surface bypasses do not necessarily promote effective downstream passage of surface-
319 orientated fishes.

320 In the current study it was inferred that acoustic tag loss was very likely due to removal of
321 tagged fish from the river by terrestrial predators because; 1) transmitters were lost well within the
322 quoted lifetime of the tags; 2) control transmitters deployed in the river showed zero failure rate
323 within the quoted life; 3) loose control tags on the river bed could be reliably detected by tracking
324 gear and moved little and, 4) predation by aquatic predators (in this study area, large brown trout),
325 would have resulted in acoustic tags being retained in the aquatic environment and detectable. The
326 most common avian predators on the Tweed are goosander (*Mergus merganser*) and grey heron
327 (*Ardea cinerea*), the former occurs in large numbers during the smolt migration season when they
328 can form large feeding aggregations. Their diet on the Tweed has been investigated by Marquiss, et
329 al (1998), who estimated their consumption of smolt-sized salmonids could be up to 4.79 per
330 goosander per day in March and April and up to 1.8 per day in May. The survival of smolts during
331 migration was radically different between the two seasons studied, that of 2010 (19%) being below
332 half that of 2011 (45%). These levels can be compared with those of conventionally tagged
333 anadromous brown trout smolts in Norway which were estimated to have a survival rate of 24% for
334 their first seaward migration (Berg and Berg, 1987) and with the survival of chinook salmon

335 (*Oncorhynchus tshawytscha*) smolts migrating down the Snake and Columbia rivers where survival to
336 the sea was estimated to be around 27.5% (Welch et al., 2008). However, the Columbia River system
337 is of much greater size and has much larger impoundments than the Tweed catchment.

338 The mortality of Atlantic salmon smolts during in-river migration has been estimated for
339 several different rivers in previous studies. Overall mortality, calculated on a kilometre by kilometre
340 basis ranged from 0.3 to 5% per kilometre (Davidsen et al., 2009; Dieperink et al., 2002; Koed et al.,
341 2002; Martin et al., 2009; Moore et al., 1998; Thorstad et al., 2012a; Thorstad et al., 2012b). In
342 comparison anadromous brown trout smolts tracked in the Tweed in 2010 and 2011 suffered 0.88%
343 and 0.55% mortality per km respectively, well within the range of mortality observed for salmon. It is
344 important to note that these studies only included the lower reaches and estuary of their rivers
345 where predation is expected to be more intense while the present study examined migration over
346 100.29 km of river and estuary.

347 Mortality at individual weirs during migration varied within and between years, with
348 mortality ranging between 2-44% per cohort of fish arriving at each weir with an ALS near it (the
349 Murray Cauld, Melrose Cauld and Mertoun Cauld) in 2010 and 5-9% in 2011. In comparison, stocked
350 brown trout smolt mortality at various fish farm weirs in Denmark varied between 15-64%, although
351 it is important to note that piscivorous predators such pike (*Esox lucius*) and zander (*Sander*
352 *lucioperca*) are present in Danish rivers (Aarestrup and Koed, 2003) but are absent in the studied
353 section of the River Tweed. Passage efficiencies at these weirs also varied between 46-90% in 2010
354 and 92-100% in 2011. Murrays Cauld was particularly inefficient in 2010 with downstream passage
355 efficiency being only 46%, well below the average downstream passage efficiency of 68.5% seen in
356 Noonan et al., (2012). This low efficiency during low flow periods is most probably the consequence
357 of Murray Cauld being the only fully intact weir along the migration route, with other weirs either
358 being in a ruinous state or cut.

359 The flow conditions in the period of study were markedly different between years. The April
360 to June water levels of 2010 were characterised by low flows that dipped below Q95 for a total of 18
361 days whilst the 2011 flows for the same period exceeded Q10 flows for two consecutive days during
362 the largest spate and had other elevated periods. From a historical perspective, low flows similar to
363 those that were prevalent in 2010 for the study period have been recorded regularly on the Ettrick
364 between 1962 and 2011. The use of Q95 flows as an estimation of low flows is now widely practised
365 in Europe (Gustard et al., 1992; Laaha and Blöschl, 2007; Smakhtin, 2001). Studies into the migration
366 of chinook salmon on rivers with large barriers have shown a positive relationship between
367 increased river flow and increased smolt survival during migration (Connor et al., 2003; Smith et al.,
368 2003). While the Tweed is a much smaller river, with small barriers, the same pattern is apparent –
369 higher smolt mortality in seasons with low flows and *vice-versa*.

370 Smolt swimming speed increased in relation to flow in both years of the study. However,
371 smolts in 2010 showed a steeper relationship of ground speed to river discharge than smolts in
372 2011. This may be a consequence of the overall lower flow conditions in the river in 2010 compared
373 to 2011 possibly meaning that smolts moving downstream in 2010 did so more actively than smolts
374 released in 2011. Conversely, smolts in 2011 displayed more active swimming behaviour at lower
375 flow levels than smolts in 2010, this is possibly due to smolts in 2011 not suffering the same flow
376 restriction as smolts in 2010 and therefore movement may not be as impeded by in river structures.
377 Similarly, previous research into anadromous brown trout and Atlantic salmon smolt migration has
378 also found a correlation between river discharge and smolt net ground speeds (Aarestrup et al.,
379 2002; Martin et al., 2009). Smolt ground speeds were low in sections from release to detections
380 upstream of Philiphaugh weir in both 2010 and 2011, but these low speeds include periods during
381 which smolts may have been preparing to emigrate and exhibited holding behaviour.

382 The conclusion of this study is that passage of downstream-migrating salmonid smolts is not
383 only impacted by the large dams with which river managers are familiar, but probably also by much

384 smaller low head weirs that Lucas et al. (2009) report as being much more abundant and which
385 impound water and create zones of reduced flow rate. Current passage provision for downstream-
386 migrating salmonid smolts is probably inadequate at many weirs and periodic low flows during the
387 smolt migratory period should be a management concern, especially for areas where salmonid
388 stocks are a highly prized economic asset. Most fish passage facilities, such as technical fish ladders,
389 are designed for upstream migrants, and while downstream fish bypasses exist, they have been little
390 used on low-head overflowing weirs and have rarely been evaluated for their efficiency (Haro et al.,
391 1998; Scruton et al., 2002, 2007). In the face of climate change and un- certain variability in river
392 flows, where low-head structures are no lon- ger needed, removal should be strongly considered
393 along with the construction of bypasses for reducing emigration delays and mortality in salmonid
394 smolts (Arnell, 2004; Christerson et al., 2012; Garcia de Leaniz, 2008; Kemp and O'Hanley, 2010;
395 Marsh, 2004; Wilby and Harris, 2006; Xenopoulos et al., 2005). To ultimately test the impact of
396 weirs, future studies should consider a tenable before–after control impact (BACI) design, using
397 multiple years worth of smolt migration data for each treatment. Further to this, more detailed
398 information on smolts lost while migrating downstream would also be very useful for management
399 purposes, unless definite causes can be assigned for losses it is difficult to take measures against
400 them.

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611 Tables

612 Table 1: Descriptions of in river structures along the studied smolt migratory route. *

613 Structure crosses river at an angle to the flow.

Name of structure	Structure status	Year structure built	Structure width (m)	Structure head-loss (m)	Fish pass present	Location (latitude, longitude ,°)
Murray Cauld	Intact	1847	65	3	Pool and spill	55.537667, -2.874796
Melrose Cauld	Ruinous	Not known	102	1	None	55.602007, -2.726349
Mertoun Cauld	Cut	Rebuilt in 1990s	98	3	Pool and spill	55.582512,-2.623382
Rutherford Cauld	Ruinous	Not known	153	1	None	55.57769, -2.550825
Kelso Cauld	Cut	Middle ages	300*	2	Multiple pool and spill	55.599875,-2.439349
Hendersyde Cauld	Cut	Not known	230	2	Pool and spill	55.624852, -2.382158
The Lees Cauld	Cut	Not known	100	ca. 1	None	55.642852, -2.250394
Coldstream bridge apron	Cut	1784	96	ca. 1	None	55.654607, -2.241373
Milne Graden Cauld	Ruined	Not known	98	ca. 1	None	55.691506, -2.195022

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Table 2: Summary data for smolts tagged in 2010 and 2011. The release sites are shown on Figure 1. * Tag to body weight ratio is calculated from masses in air.

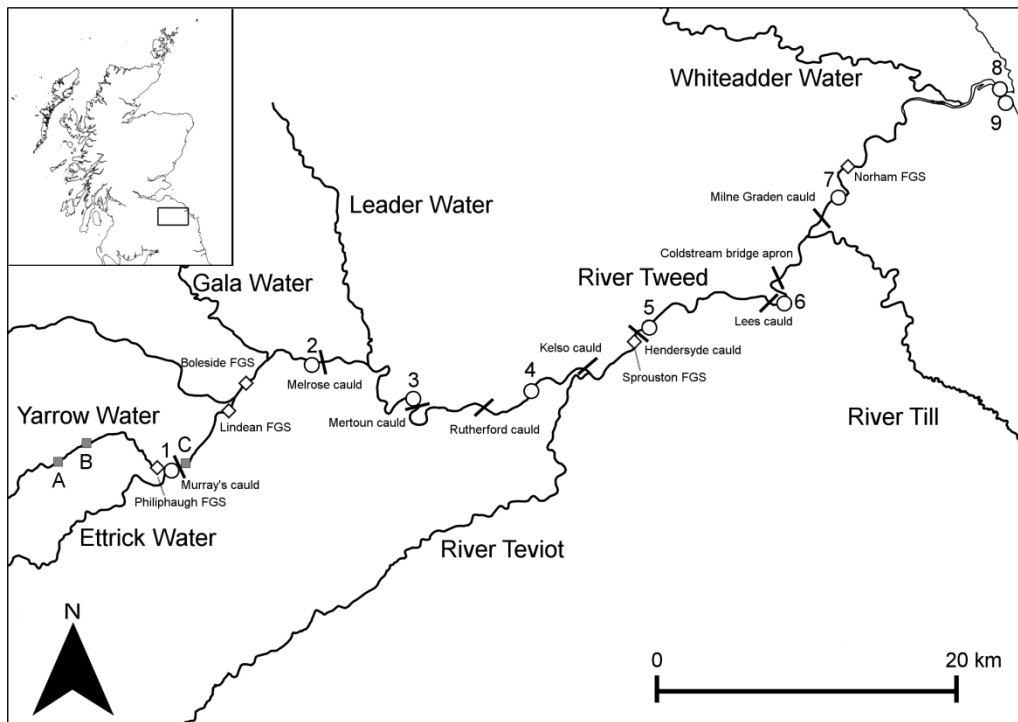
Release site	Tagging date	Number tagged	Fork length [mean \pm SD (range), mm]	Weight [mean \pm SD (range), g]	Tag/body weight ratio [mean (range), %]*
Release site A	29/04/2010	14	163.2 \pm 16.5 (145-190)	45.6 \pm 15.2 (30-77)	4.5 (2.5 – 6.3)
Release site A	07/05/2010	20	161.5 \pm 15.5 (140-202)	41.4 \pm 13.4 (23-82)	5.0 (2.3 -8.3)
Release site A	13/05/2010	9	175.8 \pm 18.3 (156-200)	54.6 \pm 18.6 (29-81)	3.9 (2.3 – 6.6)
2010	Total	43	165 \pm 17 (140-202)	45.5 \pm 15.7 (23-82)	4.6 (2.3 – 8.3)
Release site A	21/04/2011	3	155 \pm 8.7 (150-165)	38 \pm 9.5 (32-49)	5.2 (3.9 – 5.9)
Release site A	22/04/2011	6	164.3 \pm 19.5 (142-199)	45.7 \pm 16.7 (31-77)	4.5 (2.5 – 6.1)
Release site A	26/04/2011	4	182.2 \pm 17 (159-198)	59.3 \pm 17.5 (35-76)	3.5 (2.5 – 5.4)
Release site A	04/05/2011	7	165 \pm 33.9 (140-220)	50.4 \pm 32.6 (23-97)	5.1 (2.0 – 8.3)
Release site A	Total	20	166.7 \pm 24.3 (140-220)	48.9 \pm 22.6 (23-97)	4.6 (2.0 – 8.3)
Release site B	21/04/2011	3	160 \pm 15 (145-175)	44 \pm 11.5 (31-53)	4.6 (3.6 – 6.1)
Release site B	22/04/2011	6	161.5 \pm 20.3 (147-197)	41.8 \pm 12.5 (32-62)	4.8 (3.1 – 5.9)
Release site B	26/04/2011	4	161.5 \pm 7.3 (154-171)	42 \pm 7 (33-49)	4.6 (3.9 – 5.8)
Release site B	04/05/2011	7	170.3 \pm 16.9 (154-202)	50.3 \pm 17.7 (34-86)	4.1 (2.2 – 5.6)
Release site B	Total	20	164.4 \pm 15.9 (145-202)	45.2 \pm 13.3 (31-86)	4.5 (2.2 -6.1)
Release site C	21/04/2011	3	163.3 \pm 20.2 (140-175)	43.3 \pm 13.9 (28-55)	4.8 (3.5 -6.8)
Release site C	22/04/2011	6	171.7 \pm 8.1 (160-182)	50.5 \pm 8.3 (40-62)	3.8 (3.1 – 4.8)
Release site C	26/04/2011	4	173.8 \pm 21.6 (142-190)	58.5 \pm 19.7 (31-78)	3.7 (2.4 – 6.1)
Release site C	04/05/2011	7	167.4 \pm 20.7 (145-205)	46.9 \pm 20.5 (20-85)	4.8 (2.2 – 9.5)
Release site C	Total	20	169.4 \pm 16.8 (142-205)	49.8 \pm 16.1 (28-85)	4.3 (2.2 – 9.5)
2011	Total	60	166.8 \pm 19.2 (140-220)	47.9 \pm 17.6 (23-97)	4.5 (2.0 – 9.5)

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632 Table 3. Delay and barrier passage efficiencies at ALS positions along the smolt migration route
 633 through the river and estuary. Station 5 not listed due to insufficient sample size recorded there.

ALS Station	Immediately Upstream of in-river structure	In-river structure characteristics	2010 Delay (median(Q ₁ - Q ₃), minutes)	2011 Delay (median(Q ₁ - Q ₃), minutes)	2010 Passage efficiency (%)	2011 Passage efficiency (%)
1	Yes	Intact	4497.3 (109.9-25029.4)	5.8 (2.7-26.4)	46	100
2	Yes	Ruinous	7.1 (1.8-18.8)	2.1 (0.9-4.6)	76	92
3	Yes	Cut	1.11 (0.2- 2.7)	0.1 (0.1-0.5)	90	94
4	No	-	2.5 (1.3-81.6)	0.6 (0.1-0.8)	-	-
6	No	-	5 (3.1-18.9)	0.9 (0.1-1.1)	-	-
7	No	-	4.7 (2.7-11.7)	1.7 (0.9-2.7)	-	-
8	No	-	460 (61.8-1244.8)	314.3 (4.6-1719.9)	-	-

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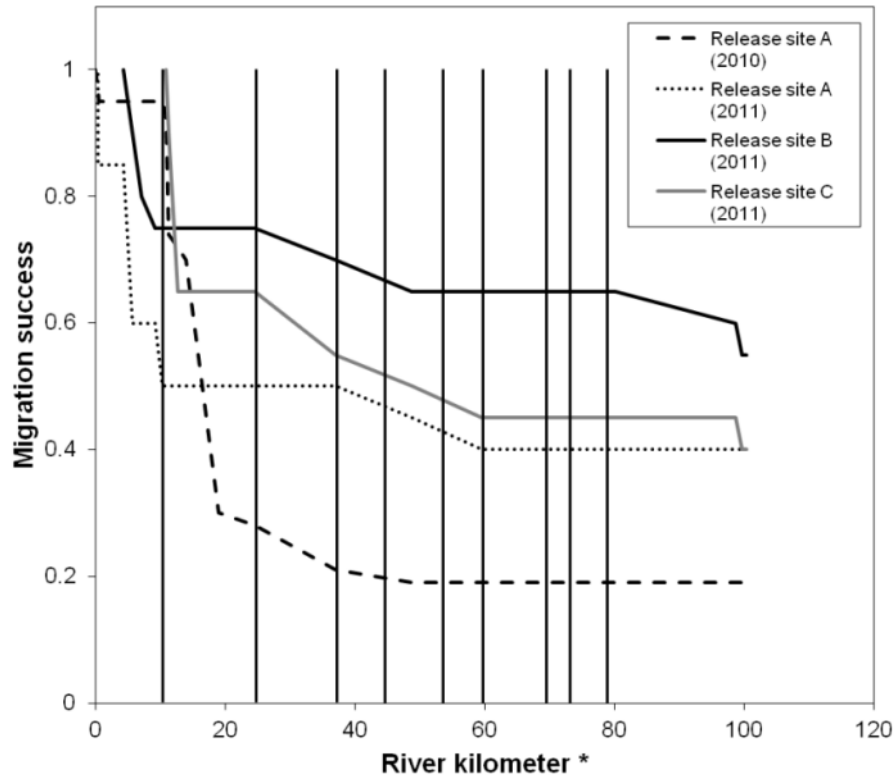
656

657 Figure 1: Map of the River Tweed showing all the major tributaries as well as the migration route
658 downstream from the Yarrow Water. Grey boxes denote the release sites along with white circles
659 denoting the ALS positions and white diamonds for SEPA flow gauging stations (FGS). Black bars
660 indicate the sites of in-river structures.

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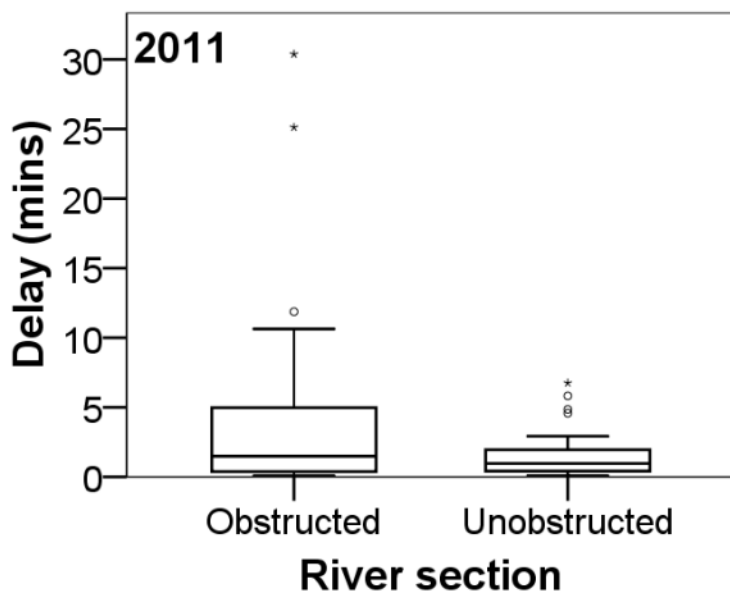
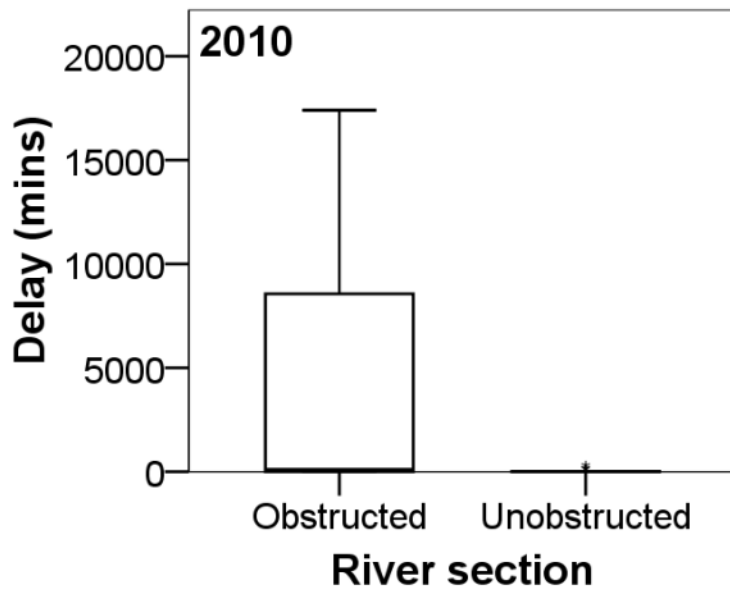
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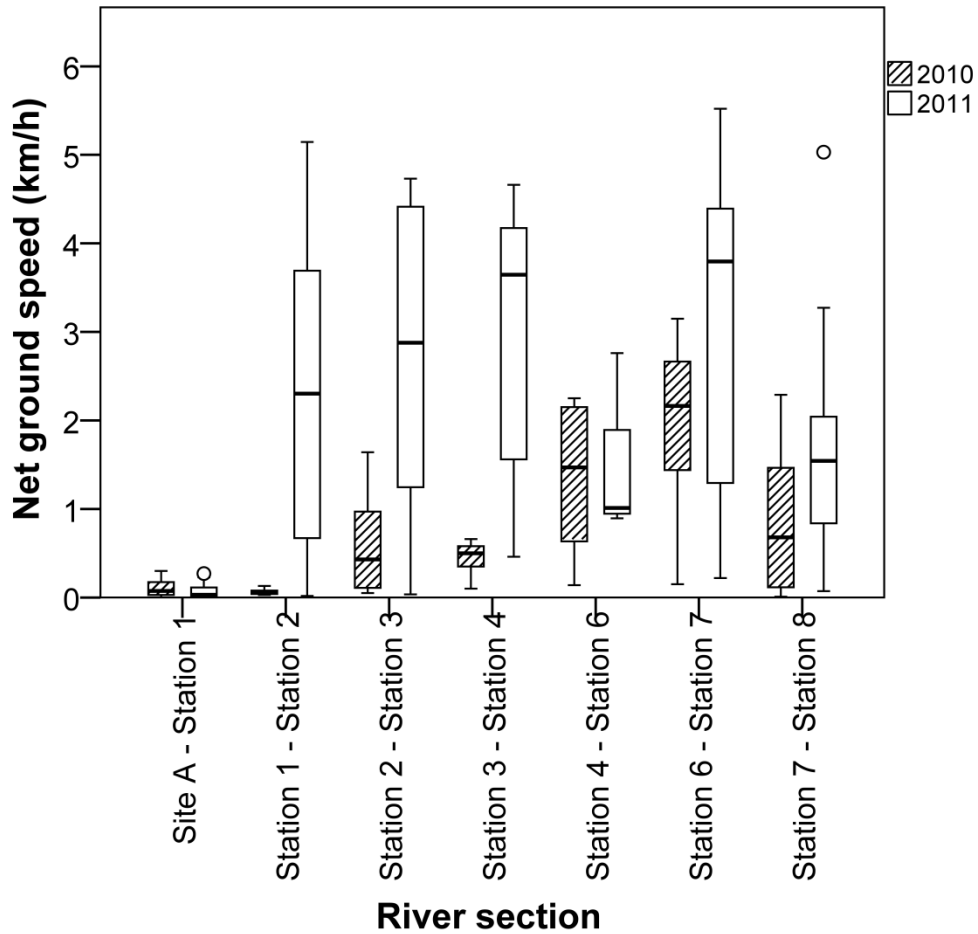
664

665 Figure 2. Cumulative survival of acoustically tagged brown trout smolts migrating out to sea in 2010
 666 and for three separate release groups in 2011. Black vertical bar represent weirs along the migration
 667 route. * Measured from the furthest upstream release point down to the estuary.



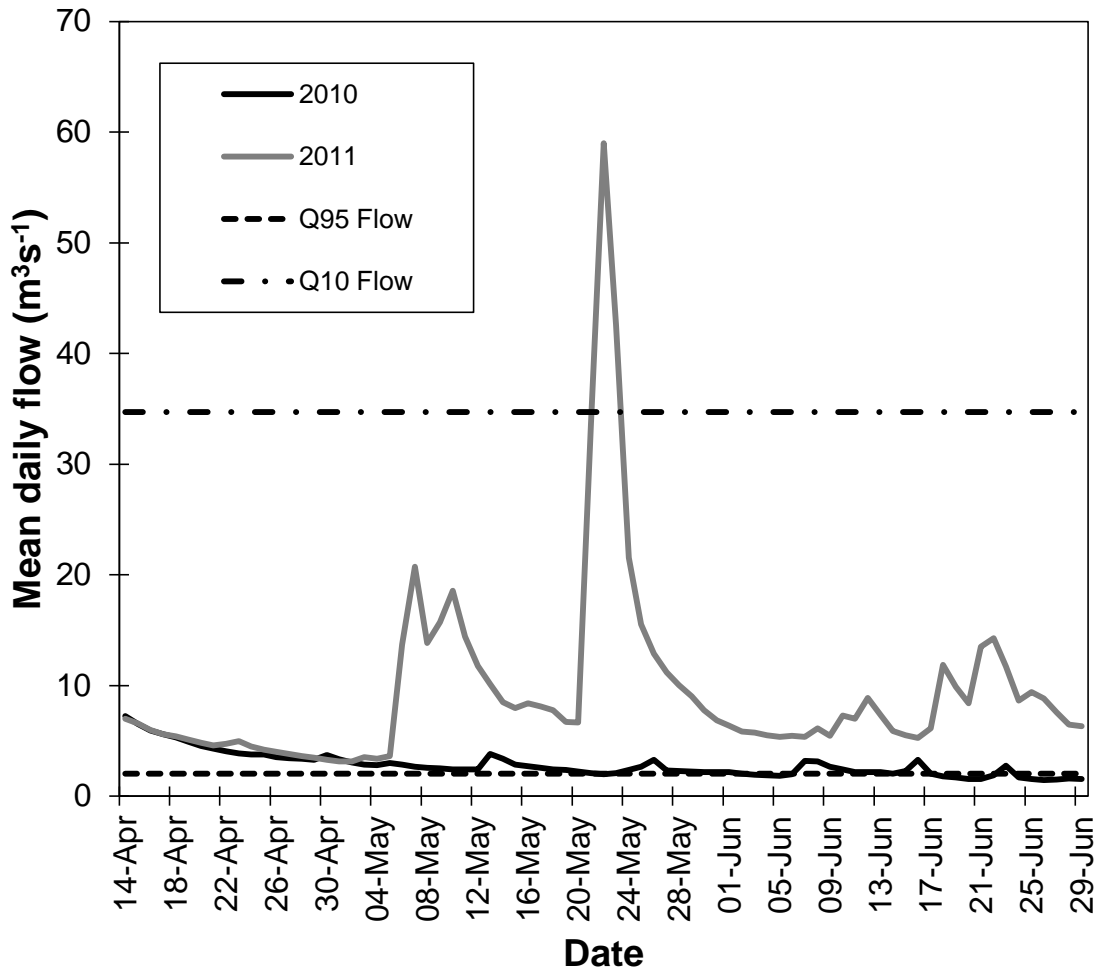
668

669 Figure 3. Time spent by individual smolts at ALS positions (delay) that were within the
 670 impoundment zones of in river structures (obstructed) compared with those that were
 671 not (unobstructed). Data are presented as box plots, showing median, upper and lower
 672 quartiles, upper and lower 5 percentiles, mild outliers (circles; $Q3 + 1.5 \times IQR$) and
 673 extreme outliers (asterisks; $Q3 + 3 \times IQR$). In the 2010, panel medians are obscured by
 674 other lines. Data do not include records from station 5 due to insufficient sample size.
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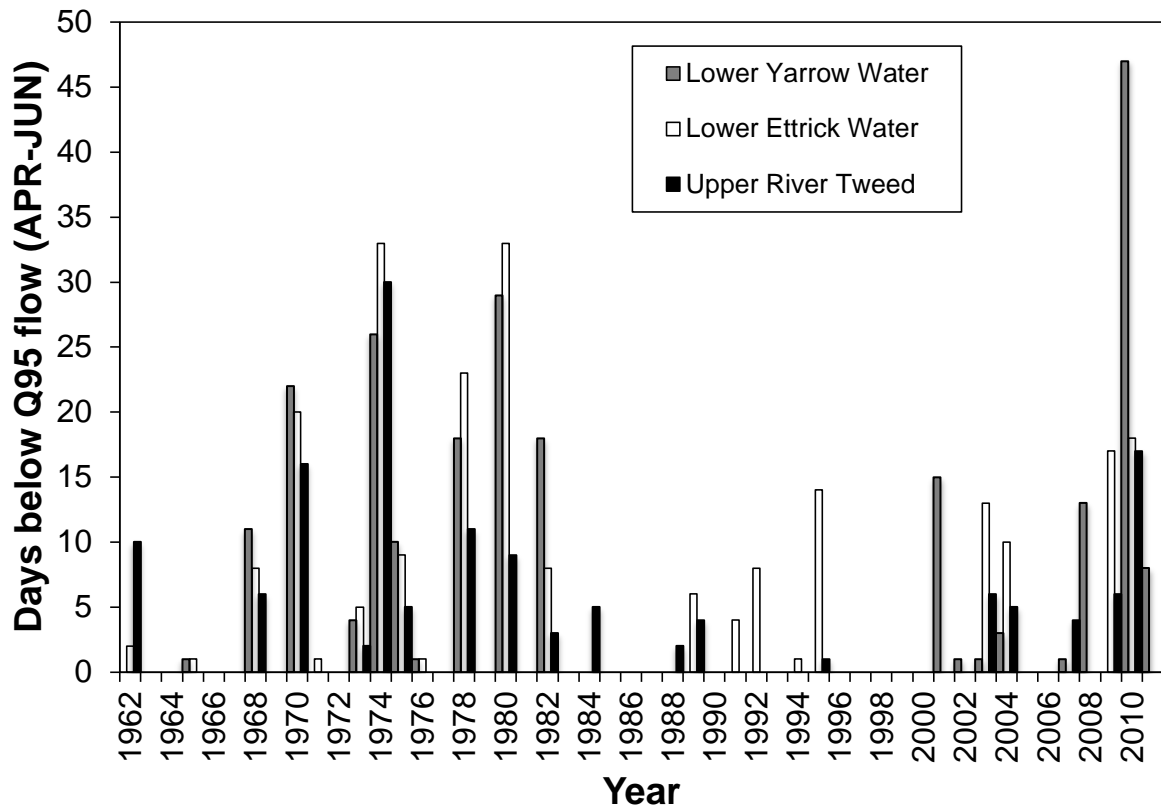
676

677 Figure 4. Box plot displaying the median net ground speeds of tagged trout smolts moving through
 678 each river section in both 2010 and 2011. Boxes represent upper and lower quartiles and T-bars
 679 represent the upper and lower 5 percentiles and round dots signify outliers. *Section of river
 680 between ALS stations, station 5 removed from analysis due to insufficient sample size.



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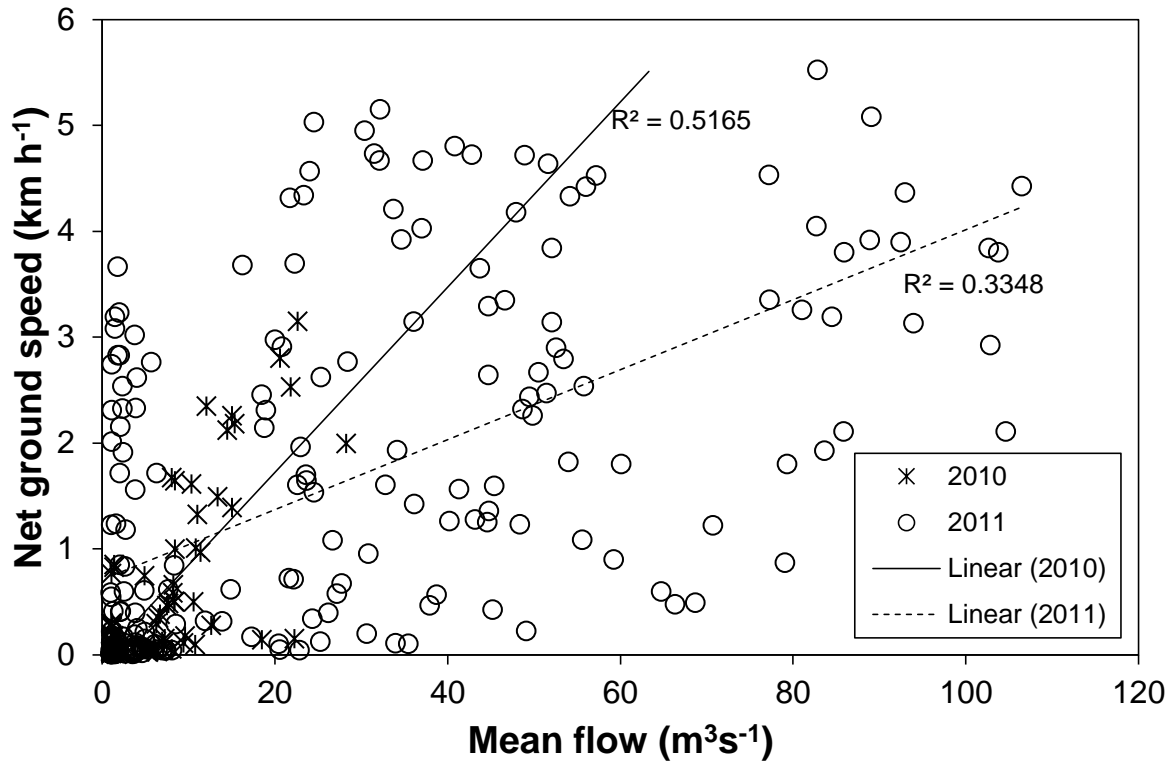
682 Figure 5. Mean daily flows at the flow gauging station at Lindean on the Ettrick Water, reflecting
 683 water flow at Murray's Cauld, during the period of study in both 2010 and 2011 as well as the Q95
 684 and Q10 flows for the Lindean station.



685

686 Figure 6. Total number of days below Q95 flows for the smolt migration period 1 April to 30 May
 687 between 1962 and 2011 on the lower Yarrow Water at the Philiphaugh flow gauging station, lower
 688 Ettrick Water at the Lindean flow gauging station and the upper Tweed at the Boleside flow gauging
 689 station.

690



691

692 Figure 7. The net ground speed (km h⁻¹) of migrating smolts in relation to the estimated mean flow
 693 conditions (m³s⁻¹) during the period of transit throughout the migratory route. Flows are based upon
 694 the nearest 15-minute gauged flow, at the closest gauging station.