

1 **Special Article:**

2 **Explaining patterns of avian diversity and endemism:**  
3 **climate and biomes of southern Africa over the last 140,000 years**

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37 figures.

## 38 **Abstract**

39 **Aim:** Test hypotheses that present biodiversity and endemic species richness are related to climatic  
40 stability and/or biome persistence.

41 **Location:** Africa south of 15° S.

42 **Methods:** Seventy eight HadCM3 general circulation model palaeoclimate experiments spanning the last  
43 140,000 years, plus a pre-industrial experiment, were used to calculate measures of climatic variability for  
44 0.5° grid cells. Models were fitted relating distributions of the nine biomes of South Africa, Lesotho and  
45 Swaziland to present climate. These models were used to simulate potential past biome distribution and  
46 extent for the 78 palaeoclimate experiments, and three measures of biome persistence. Climatic  
47 response surfaces were fitted for 690 bird species regularly breeding in the region and used to simulate  
48 present species richness for cells of the 0.5° grid. Species richness was evaluated for residents, mobile  
49 species (nomadic or partially/altitudinally migrant within the region), and intra-African migrants, and also  
50 separately for endemic/near-endemic (hereafter 'endemic') species as a whole and those associated with  
51 each biome. Our hypotheses were tested by analysing correlations between species richness and climatic  
52 variability or biome persistence.

53 **Results:** The magnitude of climatic variability showed clear spatial patterns. Marked changes in biome  
54 distributions and extents were projected, although limited areas of persistence were projected for some  
55 biomes. Overall species richness was not correlated with climatic variability, although richness of mobile  
56 species showed a weak negative correlation. Endemic species richness was significantly negatively  
57 correlated with climatic variability. Strongest correlations, however, were positive correlations between  
58 biome persistence and richness of endemics associated with individual biomes.

59 **Main Conclusions:** Low climatic variability, and especially a degree of stability enabling biome  
60 persistence, is strongly correlated with species richness of birds endemic to southern Africa. This  
61 probably principally reflects reduced extinction risk for these species where the biome to which they are  
62 adapted persisted.

63 **Keywords:** atmosphere–ocean general circulation model; biome persistence; birds; Cape Floristic  
64 Region; climatic stability; Heinrich Events; last glacial–interglacial cycle; species richness.

## 65 INTRODUCTION

66 Global climatic conditions varied substantially during the late Quaternary, extended glacial and short  
67 interglacial stages alternating during the last 800–1000 kyr (EPICA community members, 2004). The last  
68 140 kyr spans the final millennia of the penultimate glacial, last interglacial, last glacial and post-glacial  
69 (Holocene). Climatic conditions globally were mostly markedly colder than the recent past, although  
70 somewhat warmer for part of the last interglacial and to a lesser extent the early Holocene. The last  
71 glacial stage also had large millennial climatic fluctuations (Bond *et al.*, 1997; Wolff *et al.*, 2010).

72 Palaeoecological evidence shows that species responded to these climatic changes mainly by changing  
73 their distribution and/or abundance at regional to continental scales (McGlone, 1988; Huntley & Webb,  
74 1989; Graham & Grimm, 1990; Huntley, 1991; Markgraf, 1993; Markgraf & McGlone, 2005; Marchant *et al.*,  
75 2009). Many species' populations were at times severely reduced as species were extirpated from  
76 previously occupied areas, not only in glaciated North America and Europe (Ehlers & Gibbard, 2004), but  
77 wherever climatic changes made conditions unsuitable for species' persistence. These extirpations often  
78 markedly reduced the extent and/or spatial continuity of species' distributions, with consequent population  
79 isolation and overall population decreases. In turn, population bottlenecks resulted in loss of genetic  
80 diversity (MacPhee *et al.*, 2005; Campos *et al.*, 2010), probably contributing to extinction of some species,  
81 whilst increased isolation of sub-populations led to genetic differentiation (Hewitt, 1996, 2001) and probably  
82 speciation.

83 Southern Africa (10 – 35°E, 15 – 40°S; Fig. 1) includes the Greater Cape Floristic Region (Allsopp *et al.*,  
84 2014), an area of globally high diversity and endemism, especially amongst higher plants but also other  
85 taxa, including birds (Colville *et al.*, 2014). Even in such unglaciated or minimally glaciated regions  
86 (Boelhouwers & Meiklejohn, 2002; Mills *et al.*, 2012), climatic conditions varied substantially over the last  
87 glacial–interglacial cycle (Meadows & Baxter, 1999; Partridge *et al.*, 1999; Chase & Meadows, 2007).  
88 However, such areas might have high biodiversity because late-Quaternary climatic changes were less  
89 than elsewhere, leading to greater persistence of species (Dynesius & Jansson, 2000). Testing this  
90 hypothesis requires quantification of the magnitude of late-Quaternary climatic changes and of their  
91 relationships with present diversity patterns. Given that species were more likely to be extirpated from  
92 more changeable regions, we expect present biodiversity patterns, especially endemic species richness, to  
93 reflect patterns in the magnitude of past climatic changes (Huntley *et al.*, 2014). Further, we expect this to

94 be more pronounced for species associated with particular biomes, especially biomes that experienced  
95 marked late-Quaternary changes in location and/or extent.

96 We aim to test two related hypotheses:

- 97 1. That present biodiversity, especially endemic species richness, is greater where the late-Quaternary  
98 climate was less variable.
- 99 2. That species richness, especially of biome-associated endemics, is greatest where late-Quaternary  
100 climate, although variable, allowed persistence of that biome.

## 101 **MATERIALS AND METHODS**

### 102 **Study area**

103 The overall area examined, referred to below as southern Africa, is the land area between 15°S and 40°S  
104 and between 10°E and 35°E (Fig. 1). Climatic variability is assessed for this entire region, and model  
105 projections of past biome extents and of avian species richness are also made for the entire region. The  
106 data used to fit models relating biomes to climate extend over only South Africa, Lesotho and Swaziland  
107 (Figure S1 in Appendix S1), whilst the data used to fit models relating bird species' reporting rates to  
108 climate extend over these countries and also over Namibia, Botswana and Zimbabwe.

### 109 **Palaeoclimatic changes**

110 Results from 78 palaeoclimate experiments and a pre-industrial experiment using a consistent configuration  
111 of the Hadley Centre unified model (Singarayer & Valdes, 2010), a fully-coupled atmosphere–ocean  
112 general circulation model (AOGCM) including interactive sea-ice (Gordon *et al.*, 2000), were used to derive  
113 regional palaeoclimatic estimates. Experiments were performed for time slices from 140 ka to 1 ka at  
114 intervals of 1 kyr (1 – 22 ka), 2 kyr (24 – 80 ka and 120 – 140 ka) or 4 kyr (84 – 116 ka); seven 'hosing'  
115 experiments designed to mimic Heinrich Events H0 – H6 (13, 17, 24, 32, 38, 46 and 60 ka) were also  
116 performed. Singarayer and Valdes (2010) provide details of model configuration and derivation of  
117 boundary conditions and forcings applied.

118 For each palaeoclimate experiment, anomalies were computed relative to the pre-industrial experiment for  
119 monthly mean temperature, precipitation and cloudiness. Thin-plate splines were fitted to these anomalies  
120 at GCM grid cell resolution (2.5° latitude x 3.75° longitude) and used to interpolate them to a 0.5°

121 longitude x latitude grid (using ANUSPLIN, Hutchinson, 1989). Regional palaeoclimate scenarios were  
122 obtained by applying interpolated anomalies to observed recent (1961–90) values from the Climatic  
123 Research Unit 0.5° dataset (CRU CL 1.0, New *et al.*, 1999). A modified version of the FORTRAN program  
124 BIOCLI (Wolfgang Cramer and Rik Leemans) was used to calculate nine bioclimatic variables for each grid  
125 cell and palaeoclimate scenario: annual thermal sums above 0°C (GDD0) and 5°C (GDD5); mean  
126 temperatures of the coldest (MTCO) and warmest (MTWA) months; an estimate of the annual ratio of  
127 actual to potential evapotranspiration (AET/PET); annual total intensity of the wet (TOTWETINT) and dry  
128 (TOTDRYINT) season(s); and maximum wet (MAXWETINT) and dry (MAXDRYINT) season intensity (see  
129 Huntley *et al.*, 2006 for details). Each grid cell was assigned to one of three seasonal rainfall zones for  
130 each scenario (Appendix S2) and the frequency with which it was assigned to each zone counted.

131 Values for each bioclimatic variable were standardized to zero mean and unit standard deviation across all  
132 grid cells and time slices; standardized values were used to calculate Euclidean distances for each grid  
133 cell between climatic conditions for each time slice, including 1961–90 ( hereafter 'present'), and every  
134 other time slice. Three measures of climatic variability of each grid cell were then computed: maximum;  
135 mean; and standard deviation of Euclidean distances. Each was calculated for Euclidean distances  
136 between present climate and the 78 palaeoclimates, hereafter 'variability relative to present', and between  
137 the climate of each time slice and that of every other, hereafter 'overall variability'. Calculations were  
138 performed using purpose-written FORTRAN programs. Climatic variability measures were mapped to  
139 reveal any spatial patterns (using ArcGIS® and CorelDraw X6®). The frequency with which maximum  
140 Euclidean distance corresponded to each time-slice pair was counted across all grid cells.

## 141 **Changes in biome extent and location**

142 Quantitative climatic response surfaces (Huntley *et al.*, 2012) were fitted to model relationships between  
143 the extents and locations of nine biomes mapped for South Africa, Lesotho and Swaziland by Mucina and  
144 Rutherford (2006, see Fig. S1 in Appendix S2) and present climate. Extent of each biome in each 0.5°  
145 grid cell was expressed as a proportion of that grid cell's land area falling within South Africa, Lesotho  
146 and/or Swaziland. Two models were fitted for each biome, each using four bioclimatic variables. All  
147 models included MTCO, MTWA and AET/PET, the fourth variable being either TOTWETINT or  
148 TOTDRYINT; these variables were selected on the basis of known mechanisms through which they  
149 influence vegetation character. Model performance was assessed using the correlation between the

150 proportion of each grid cell's land area mapped as occupied, and predicted by the model to be occupied  
151 under present climatic conditions, by the biome. The model for each biome giving the higher correlation  
152 was used to project its potential distribution for the entire region of southern Africa for each palaeoclimate  
153 scenario. The validity of such a spatial extrapolation of the models was assessed by comparing the  
154 biomes simulated for the present climate with observations of the current distribution of biomes. Models  
155 were fitted and their performance assessed using custom-written FORTRAN programs (based partly on  
156 programs written by Patrick J. Bartlein, see Huntley *et al.*, 1989).

157 Potential total extent of each biome in southern Africa for each time slice was computed by summing  
158 simulated proportions across grid cells, then normalizing values for each time slice to a sum across biomes  
159 equal to the total number of grid cells (1461). Minimum, maximum and mean potential total extent of each  
160 biome were computed as absolute values and as percentages of its simulated present extent.

161 Potential past biome patterns were mapped by assigning each grid cell to the biome simulated to dominate  
162 that cell for that time slice, the dominant biome being that simulated to occupy the maximum extent. The  
163 number of grid cells dominated by each biome was also counted for each time slice. Three measures of  
164 persistence of each biome were computed for each grid cell across all time slices: (i) the frequency with  
165 which the cell was simulated to have been dominated by the biome; (ii) the mean percentage of the cell  
166 simulated to have been occupied by the biome; and (iii) the frequency with which the biome was  
167 simulated to have been present in the cell, presence being assigned when simulated biome extent in the  
168 cell exceeded the threshold value that maximised qualitative goodness-of-fit of its response surface model  
169 as assessed using the true skill statistic (Allouche *et al.*, 2006). Frequencies were mapped to reveal any  
170 cell(s) where a biome was consistently potentially present or dominant.

### 171 **Present avian diversity patterns**

172 Bird distribution data were obtained from the Southern African Bird Atlas Project (SABAP, Harrison *et al.*,  
173 1997) at 0.25° resolution for South Africa, Lesotho, Swaziland, Namibia and Zimbabwe, and at 0.5°  
174 resolution for Botswana. These data record species' reporting rates, i.e. proportion of cards returned for a  
175 grid cell on which the species was recorded. Quantitative response surface models relating species'  
176 reporting rates to present climate were fitted as described by Huntley *et al.* (2012), using four bioclimatic

177 variables (MTCO, MTWA, AET/PET and TOTDRYINT) shown previously most often to give the best-fitting  
178 model (Huntley *et al.*, 2012).

179 We fitted models for 697 species regularly breeding in the region, categorised by Hockey *et al.* (2005) as  
180 residents (207 spp.), altitudinal or partial migrants or nomadic (hereafter 'mobile species', 402 spp.), or  
181 intra-African migrants (88 spp.). Long-distance, mainly inter-continental, migrants were excluded because  
182 their richness patterns are unlikely to reflect longer-term stability of regional climate and/or biomes;  
183 vagrants were excluded because their occurrences are sporadic. Species endemic or near-endemic to  
184 southern Africa (hereafter 'endemics') were distinguished from more widespread species, and endemics  
185 were categorised according to the biome(s) predominantly used (following Hockey *et al.*, 2005).

186 Models successfully fitted for 690 species were used to simulate each species' potential present reporting  
187 rate for each 0.5° cell of the entire study region. This required spatial extrapolation of the models beyond  
188 the region from which data were available for model fitting, albeit to a much more modest extent than in the  
189 case of the biome models. Rather than reduce these reporting rates to presence–absence and count  
190 presences, we summed simulated reporting rates for a cell to obtain a measure of its potential species  
191 richness. Sums were calculated for: (i) all species; (ii) all residents (203); (iii) all mobile species  
192 (399); (iv) all intra-African migrants (88); (v) all widespread (i.e. non-endemic) species (524); (vi) all  
193 endemics (163; two intra-African migrants categorised by Hockey *et al.* (2005) as near-endemic and one  
194 as an endemic breeder, respectively, were excluded); (vii) endemic residents (53); and (viii) endemic  
195 mobile species (108). Relationships between contemporary species richness and past climatic variability  
196 were assessed using the Pearson product–moment correlation coefficient. Species richness of endemics  
197 associated with each biome was also computed for each cell. It was necessary to combine Succulent  
198 Karoo and Nama Karoo for this analysis as they are not distinguished by Hockey *et al.* (2005) when  
199 indicating principal habitats used by bird species. In addition, no analysis could be performed for the  
200 Indian Ocean Coastal Belt as this is not distinguished by Hockey *et al.* (2005) as a potential habitat.  
201 Relationships between contemporary species richness and biome persistence were again assessed using  
202 the Pearson correlation coefficient. In this case correlations were calculated both for all 1461 grid cells in  
203 the study area and for 823 grid cells south of 22°S, the northernmost latitude to which data used to fit  
204 response surface models for the biomes extended.



## RESULTS

### Palaeoclimatic changes

Considering maximum climatic differences relative to present (Fig. 2(a)), lowest variability was principally in an area corresponding to the present extent of the Nama Karoo and Fynbos biomes (Fig. S1 in Appendix S1), with outlying relatively stable grid cells along the southern half of the west coast and in a broad area in the east. Greatest variability was principally in the north-west, especially centred upon the area of stabilized dunes lying between the Etosha Pan and Okavango Delta and extending north across the Caprivi Strip to span the borders of Namibia, Angola and Botswana (Thomas *et al.*, 2000). Overall variability maxima show a similar pattern (Fig. 2(d)), though with a more marked area of low variability extending from south-eastern Namibia to the centre of the Western Cape province of South Africa. Greatest variability was again mainly in the north-west and the stabilised dune-field. Considering grid cells simulated to be dominated by each biome under present climate, medians and third quartiles for maximum overall Euclidean distance were smaller for those of the Indian Ocean Coastal Belt, Nama Karoo, Fynbos and Succulent Karoo (Table S3 & Fig. S2 in Appendix S3). Climate over the past 140 kyr is thus simulated to have been more stable in areas currently occupied by these biomes than in areas occupied by the remaining biomes, although a minority of grid cells in the Nama Karoo show greater variability. This area of relative climatic stability broadly corresponds to the year-round rainfall zone both at present and most persistently over the past 140 kyr (Figs. S7 & S8 in Appendix S3).

Across the 1461 grid cells, maximum differences from present climate were for a Heinrich Event stadial in 1288 cases, with maximum difference for almost 23% of grid cells being with respect to H2 (H0 61; H1 221; H2 331; H3 143; H4 47; H5 259; H6 226). When all pairwise comparisons were examined, 124 ka contributed to the maximum pairwise difference in 959 cases, the other partner in most cases (942) being a Heinrich Event, with H2 again the most frequent (H0 14; H1 244; H2 383; H3 48; H4 30; H5 190; H6 33). Other pairs giving maximum difference for >20 grid squares all included a Heinrich Event; they were: H0 vs 19 ka (21); H0 vs 22 ka (143); 16 ka vs H5 (23); 17 ka vs H5 (21); 19 ka vs H6 (87); 22 ka vs H5 (48); 28 ka vs H6 (48); and H6 vs 120 ka (21). Overall, a Heinrich Event was one of the pair giving maximum difference in >98% of cases (1433 of 1461 grid cells).

232 Mean Euclidean distances with respect to present climate and overall (Figs. 2(b) & (e)) show strikingly  
233 different spatial patterns. They also show, especially for mean differences from present climate, a pattern  
234 distinctly different from that for maximum differences (Fig. 2(a)). Lowest variability with respect to present  
235 (Fig. 2(b)), is focused in the west of Northern Cape province and southern Namibia, the most stable area  
236 being on the coast of south-west Namibia. For overall variability (Fig. 2(e)) the pattern is similar to that for  
237 maximum differences (Figs. 2(a) & (d)), with a broad area of relatively low variability extending from south-  
238 eastern Namibia south to central Western Cape, albeit with relative stability in coastal areas with low mean  
239 differences from present climate (Fig. 2(b)), and greatest variability in north-west Namibia/south-west  
240 Angola and the stabilised dunes spanning the Namibia, Angola and Botswana borders.

241 The patterns for standard deviation of Euclidean distances (Figs. 2(c) & (f)) again differ somewhat between  
242 variability with respect to present climate and overall variability. Both show areas of lowest variability  
243 centred in the Western Cape and in south-east Namibia. There is also a more limited area of low  
244 variability along the coast of north-east Eastern Cape province and south-west Kwazulu-Natal. For  
245 variability with respect to present climate, a much more extensive area of low variability extends from the  
246 east of Eastern Cape through Kwazulu-Natal to southern Mozambique. In both cases variability is  
247 generally lower in South Africa than in areas to the north, with greatest variability corresponding mainly to  
248 areas of greatest variability as assessed by both mean and maximum Euclidean distances.

### 249 **Changes in biome extent and location**

250 Response surface models for biomes all gave high correlations with observed data when used to simulate  
251 biome extents in the 0.5° grid cells of South Africa, Lesotho and Swaziland for present climate (Table S1 in  
252 Appendix S1). The model using TOTWETINT gave a higher correlation for Desert, Fynbos and Forest,  
253 the TOTDRYINT model performing better for the other biomes. The better performing models for  
254 individual biomes had correlations of between 0.901 (Forest) and 0.969 (Indian Ocean Coastal Belt).  
255 Relatively poor model performance for Forest is because this biome accounts for <0.4% of the land area  
256 and does not dominate any grid cells. The simulation of present biomes for the entire study region broadly  
257 accorded with observed and simulated regional biome patterns (see e.g. Scheiter & Higgins, 2009), no  
258 substantially different biomes occurring in the region.

259 Aside from revealing a general dynamism of biome distributions since 140 ka, maps of dominant biomes  
260 (Fig. 3) reveal several systematic patterns. Visually the most striking are the large and complementary  
261 changes in extent of Nama Karoo and Grassland, and the simulated extensive presence of Fynbos in the  
262 north of the region and markedly increased simulated extent of Succulent Karoo under many palaeoclimate  
263 scenarios. When plotted against age (Fig. 4), the relative extent of most biomes is clearly related to  
264 austral summer insolation at 30°S, and also responds markedly to climatic conditions simulated for Heinrich  
265 Events. The relative extent of Savanna more closely mirrors global climatic signals such as  $[\text{CO}_2]_{\text{atm}}$  and  
266 the  $\delta^{18}\text{O}$  record from Greenland (Fig. S4 in Appendix S3). Evidence of core areas of persistence of  
267 several biomes is seen when the frequency with which each dominates grid cells is mapped (Fig. 5).  
268 Accounts of the simulated history of each biome are provided in Appendix S2 and details of the simulations  
269 given in Appendix S3 (Tables S4, S5 and S6, Fig. S3).

### 270 **Relationships of present avian diversity to climatic variability**

271 Response surface models fitted for 690 southern African bird species were good, with a high median  
272 correlation between observed and simulated reporting rates of 0.585 ( $n = 3964$ ,  $p \ll 0.001$ ). The  
273 performance for endemics was better than that for widespread species, with median correlations of 0.630  
274 vs 0.560; this is expected given that virtually all of the geographical, and hence climatic, range of the  
275 former is encompassed by the data used to fit the models. Qualitatively the models also performed well,  
276 with median true skill statistic (Allouche *et al.*, 2006) for all (endemic vs widespread) species of 0.842  
277 (0.855 vs 0.835) and median Cohen's kappa (Cohen, 1960) of 0.720 (0.753 vs 0.710).

278 Endemic species richness patterns differed from those of species as a whole; species of different  
279 movement categories also showed different patterns (Appendix S2; Figs. S5 & S6 in Appendix S3).  
280 When considering all species, more than half the correlations between species richness and climatic  
281 variability are positive, although mostly of small magnitude (Table 1). Strongly positive correlations  
282 indicate greater species richness overall, as well as of residents and intra-African migrants, where mean  
283 difference from present climate has been higher over the last 140 kyr. Two strongly negative correlations  
284 relate to mobile species, indicating that these species achieve greater richness where standard deviations  
285 of climatic differences are lower. Mobile species richness thus tends to accord with expectation, being  
286 greater where climatic variability (assessed by standard deviations of Euclidean Distances) has been less.

287 Correlations for widespread species are all positive, indicating that their species richness tends to be  
288 greater where climate has been more variable. In contrast, correlations for endemics not only all have the  
289 expected negative sign, but are also on average 3.36 x greater in absolute values than correlations for  
290 species as a whole, all achieving high significance (Table 1). For endemics as a whole, and for endemic  
291 mobile species, highest correlations are with mean climatic differences from present, whereas for endemic  
292 resident species highest correlation is with standard deviation of overall climatic differences. Current  
293 species richness patterns of endemics thus accord with expectation, with higher species richness where  
294 climate has been less variable over the last glacial–interglacial cycle.

### 295 **Relationships of present avian diversity to biome persistence**

296 The alternative measures of biome persistence showed broadly similar spatial patterns (Fig. 5, Fig. S3 in  
297 Appendix S3). All biomes except Forest were persistently present across all 79 time slices in at least a  
298 small number of grid cells, whereas only four biomes (Succulent Karoo, Fynbos, Grassland and Savanna)  
299 persistently dominated one or more grid cells. Correlations between the first measure of biome  
300 persistence and avian diversity could not be calculated for Forest associated species because this biome  
301 never dominated any grid cells. Simulated patterns of species richness for endemics associated with each  
302 biome showed the expected general spatial patterns (Fig. S6 in Appendix S3). However, because many  
303 species are not restricted to a single biome, areas with lower numbers of biome-associated species are  
304 much more extensive than the area of occurrence of that biome.

305 For six of the biomes that could be analysed, all correlations between biome persistence and avian  
306 diversity were, as expected, strongly positive (Table 2). Strongest correlations were for Grassland, Karoo  
307 and Desert, with lower values for Forest, Fynbos and Albany Thicket. Highest correlations for Karoo and  
308 Desert were with their frequency of occurrence across the study area as a whole, whilst for Forest the  
309 highest correlation was with its frequency of occurrence south of 22°S. For Grassland, Fynbos and  
310 Albany Thicket highest correlations were with their mean percentage occupied south of 22°S, Fynbos, as  
311 expected, showing the strongest increase in correlations when analysis was constrained to the southern  
312 part of the study area because this excludes those areas in the north often simulated as occupied by  
313 Fynbos (Fig. 3), but remote from the area simulated as consistently dominated by this biome (Fig. 5(d)) and  
314 also consistently disjunct from the latter area.

315 Thus for these six biomes the evidence strongly supports our second hypothesis, that present species  
316 richness of biome-associated endemics is highly correlated with degree of persistence of the biome in that  
317 grid cell during the last glacial–interglacial cycle.

318 Savanna was the exception to this pattern. For the study area as a whole correlations were significant  
319 and negative; correlations for the area south of 22°S, although positive, were weak. Simulated  
320 persistence of Savanna was greatest in the north and east (Fig. 5(g), Fig. S3(g) in Appendix S3), whereas  
321 the area richest in savanna-associated regional endemics lies mostly south of 22°S and centrally in terms  
322 of longitude (Fig. S6(g) in Appendix S3), overlapping only to a limited extent with areas of high persistence  
323 of the biome.

## 324 **DISCUSSION**

325 Previous studies have advanced a wide range of hypotheses to account for global and/or regional patterns  
326 of biodiversity and/or of endemism (see e.g. Dynesius & Jansson, 2000; Gaston, 2000). These  
327 hypotheses can broadly be categorised into those that explain patterns in terms of present environment  
328 versus historical factors. Present patterns of productivity, climate and topographic diversity all correlate  
329 with biodiversity patterns in at least some regions (Rahbek & Graves, 2001; Jetz & Rahbek, 2002; Thuiller  
330 *et al.*, 2006), but present environment attributes alone cannot generally account for present biodiversity  
331 patterns (Jetz *et al.*, 2004). Historical factors, in contrast, can often account for these patterns more  
332 completely (Huntley, 1993; Araújo *et al.*, 2008; Voelker *et al.*, 2010; Sandel *et al.*, 2011; Huntley *et al.*,  
333 2014). Historical factors may operate over a range of time scales, from millions of years (e.g. Linder &  
334 Hardy, 2004; Voelker *et al.*, 2010) through the multi-millennial glacial–interglacial cycles of the Quaternary  
335 (e.g. Huntley, 1993; Dynesius & Jansson, 2000) to the millennial climatic fluctuations of the last glacial  
336 stage (Huntley *et al.*, 2014).

337 We focus upon the most recent glacial–interglacial cycle, including millennial fluctuations characteristic of  
338 glacial stages, and test two alternative hypotheses to account for present patterns of avian species  
339 richness in southern Africa: (i) present biodiversity, especially endemic species richness, is greater where  
340 late-Quaternary climate was less variable; and (ii) species richness, especially of biome-associated  
341 endemics, is greatest where late-Quaternary climate, although variable, allowed persistence of that biome.

342 Unfortunately the generally sparse independent evidence of past climates and biomes in the region, prior to  
343 the Holocene (Chase & Meadows, 2007), severely limits assessment of the extent to which simulated  
344 palaeoclimate and biome patterns accord with observations. There is neither a sufficiently dense spatial  
345 coverage of such evidence, nor sufficient independently-dated or stratigraphically continuous evidence for  
346 the last glacial stage and last interglacial, to support systematic assessment of our modelling results.  
347 Furthermore, as Huntley *et al.* (2014) discussed, at least some of the palaeoclimatic evidence is  
348 contradictory. Nonetheless, the AOGCM results are in overall agreement with those few records that are  
349 available, with some striking examples of detailed agreement (Huntley *et al.*, 2014; Singarayer & Burrough,  
350 2015). Comparison of the palaeoclimate simulation results with data from marine sediment cores  
351 collected in the adjacent oceans (e.g. Kim *et al.*, 2003) supports this conclusion. Similarly, when  
352 expressed in terms of seasonal rainfall patterns, there is broad agreement between the palaeoclimate  
353 scenarios (Appendix S2, Figs. S7–9 in Appendix S3) and palaeoenvironmental evidence (Chase &  
354 Meadows, 2007).

355 Although we modelled only the influence of past climate on biome distribution, well-documented glacial–  
356 interglacial changes in atmospheric carbon dioxide concentration ( $[\text{CO}_2]_{\text{atm}}$ ) (e.g. Petit *et al.*, 1999) would  
357 have shifted the competitive balances between woody and herbaceous plants (Bond & Midgley, 2012), and  
358 between C3 and C4 species (Polley *et al.*, 1993). This probably most directly affected the distribution of  
359 the savanna biome that is defined by the relative dominance of grasses versus trees. Our models  
360 probably over-predict the spatial extent of savanna and under-predict that of grassland when  $[\text{CO}_2]_{\text{atm}}$  was  
361 lower during glacial stages. We explore here only the role of climate, however, because sufficiently  
362 credible dynamic vegetation models incorporating plant growth forms and disturbance regimes needed to  
363 investigate the interacting effects of changes in climate and  $[\text{CO}_2]_{\text{atm}}$  are not yet available. Whilst progress  
364 is being made, more work is required both on representing tree–grass interactions and resulting biome  
365 structure under varying  $[\text{CO}_2]_{\text{atm}}$ , and especially on developing representations of shrub and succulent  
366 growth forms that dominate some southern African biomes.

367 Difficulties in validating our simulations of palaeoclimates and limitations of our approach to modelling past  
368 biome distributions notwithstanding, their reliability is supported by our results (Tables 1 & 2). No  
369 consistent pattern emerged with respect to relationships between overall species richness (widespread *plus*  
370 endemic species) and climatic stability. Fewer than half of the correlations had the expected sign, and

371 only two with the expected sign achieved high significance (Table 1). However, the patterns for endemics  
372 were clear and consistent with both our hypotheses. Species richness of endemics was generally higher  
373 in areas with less variable climate over the past 140,000 years (Table 1). We did not expect weaker  
374 correlations for endemic resident species than for all endemics or for mobile endemics. However, a  
375 relatively higher proportion of resident than mobile endemics occurs in the Savanna biome than in other  
376 biomes (compare Fig. S5(e) & (f) in Appendix S3). The correlation of Savanna-associated endemic  
377 richness with biome persistence is also weaker than for other biomes (Table 2). Savanna, however, is  
378 likely to have responded more than other biomes to the lowered  $[\text{CO}_2]_{\text{atm}}$  during the glacial, with resulting  
379 greater equatorward contraction meaning it was likely to have been extensive mainly north of our study  
380 region at the last glacial maximum (Elenga *et al.*, 2000). This greater sensitivity and equatorward  
381 contraction would offer scope for, and favour adaptation of, regional Savanna-associated endemics to track  
382 biome shifts. Similarly, past range shifts and/or northward contractions likely account also for the lack of  
383 the expected relationship in the case of widespread species. Indeed evidence of higher present richness  
384 in more climatically variable areas is consistent with such a response. Among mobile species as a whole  
385 the relationship between richness and climatic stability is generally weaker. This may indicate that such  
386 partial and altitudinal migrants, and nomads, persisted by making local movements, paralleling their  
387 responses to seasonal and inter-annual climatic variability and exploiting the opportunities offered by  
388 topographically diverse landscapes. Such contrasting responses to climatic change pose challenges for  
389 biodiversity conservation.

390 There were generally stronger correlations between biome persistence and richness of biome-associated  
391 endemics (Table 2). This indicates that it is not primarily the absolute magnitude of climatic variability that  
392 leads to reduced current richness of endemics, but the extent to which climatic conditions have changed  
393 sufficiently to result in biome replacement. Persistence of a biome in a given geographical location is  
394 likely to reduce extinction risk amongst biome-associated endemics, whereas biome replacement will  
395 frequently cause local extinction. If extensive and repeated over time, this will likely reduce the overall  
396 number of endemics associated with the biome. Furthermore, a combination of biome persistence and  
397 moderate climatic variability may favour the evolution of new biome-associated endemics (Midgley *et al.*,  
398 2005). Whilst our results for birds will not necessarily apply also to other taxonomic groups in southern  
399 Africa, evidence that biome-associated species in other groups may also have persisted where biomes

400 persisted, elsewhere showing range shifts as climatic conditions changed (Tolley *et al.*, 2014), suggests  
401 that our results may be more general.

402 Our results increase concerns about the potential for future biodiversity losses resulting from biome  
403 replacements. Given projections that more than half Earth's land area may experience climatic changes  
404 by 2100 sufficient to cause biome replacement (Williams *et al.*, 2007), we conclude that future biome  
405 replacement resulting from anthropogenic climatic change is likely to result in substantial biodiversity losses  
406 worldwide. Avoiding such losses will require a combination of vigorous mitigation measures, so as to limit  
407 the magnitude of climatic change as far as possible, and active conservation measures designed to sustain  
408 species' populations and facilitate their spatial responses to changing conditions.



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## 575 **Supporting Information**

576 Additional Supporting Information may be found in the online version of this article:

577 **Appendix S1: Supplementary tables and figures**

578 **Appendix S2: Supplementary text**

579 **Appendix S3: Supplementary tables and figures of results**

## 580 **Biosketch**

581 **Brian Huntley** is a palaeoecologist, ecologist and biogeographer with research interests in the interactions  
582 between species, ecosystems and their changing environment. His work encompasses studies of the  
583 palaeoecology and palaeoenvironments of the Quaternary, of present ecosystems and biogeographic  
584 patterns, and of the potential impacts of anthropogenic global change on species and ecosystems. His  
585 research has considered a range of taxonomic groups, from plants to extinct Quaternary mammals, and  
586 various ecosystems, from Arctic tundra to fynbos. He has a particular interests in birds and climatic  
587 change, and in the development of conservation strategies informed by research into how species and  
588 ecosystems respond to environmental changes.

589 BH and GFM conceived the study. PJV and JSS performed the palaeoclimate simulations. BH carried  
590 out the assessment of climatic variability, biome and species' modelling, analysis of results, preparation of  
591 figures and drafting of the manuscript. All authors commented upon and contributed to the final version of  
592 the manuscript.

593 Editor: Jon Sadler



594 **TABLES**

595 **Table 1: Species richness and climatic variability**

596 **Table 2: Endemic species richness and biome stability**

**Table 1: Species richness and climatic variability**

Species group	Overall climatic difference			Climatic difference with respect to present climate		
	Maximum	Mean	S.D.	Maximum	Mean	S.D.
All species						
Overall	-0.006	0.017	-0.070	-0.016	<b>0.174</b>	-0.064
Residents	0.065	<b>0.110</b>	0.001	0.045	<b>0.274</b>	0.007
Mobile species	-0.057	-0.051	<b>-0.116</b>	-0.059	0.090	<b>-0.111</b>
Intra-African migrants	0.043	0.078	-0.024	0.025	<b>0.248</b>	-0.019
Widespread species	0.050	0.089	-0.015	0.031	<b>0.254</b>	-0.011
Endemic species						
Overall	<b>-0.263</b>	<b>-0.344</b>	<b>-0.247</b>	<b>-0.220</b>	<b>-0.395</b>	<b>-0.244</b>
Residents	<b>-0.143</b>	<b>-0.202</b>	<b>-0.164</b>	<b>-0.112</b>	<b>-0.153</b>	<b>-0.160</b>
Mobile species	<b>-0.279</b>	<b>-0.358</b>	<b>-0.252</b>	<b>-0.236</b>	<b>-0.436</b>	<b>-0.250</b>

598 Pearson product–moment correlation coefficients between present simulated avian species richness and  
599 six measures of climatic variability over the past 140 kyr for 0.5° grid cells in southern Africa ( $n = 1461$ ,  
600 bold entries indicate values for which  $p < 0.0005$ ). Climatic variability is expressed as the maximum,  
601 mean or standard deviation of standardised Euclidean distances between climatic conditions calculated  
602 either for all time slices, including the present, relative to one another (overall climatic difference), or for all  
603 palaeoclimatic time slices relative to the present. High variability of climate thus corresponds to high  
604 Euclidean distance values, whereas a high degree of stability of climate is indicated by low Euclidean  
605 Distance values. Correlation coefficients are shown for all species, for all species categorised according  
606 to seasonal movements, for widespread (i.e. non-endemic) species, for all endemics and for endemics  
607 categorised according to seasonal movements (note that Intra-African migrants cannot by definition be  
608 endemic or near-endemic to southern Africa). Negative correlations indicate high species richness  
609 associated with low climatic variability (i.e. low Euclidean distance values, and hence high climatic stability)  
610 and *vice versa*.

**Table 2: Endemic species richness and biome stability**

Biome	All of southern Africa			South of 22°S		
	Frequency of dominance	Mean percentage occupied	Frequency of occurrence	Frequency of dominance	Mean percentage occupied	Frequency of occurrence
Desert	0.474	0.673	0.725	0.469	0.599	0.645
Karoo	0.743	0.751	0.770	0.638	0.646	0.674
Fynbos	0.326	0.180	0.213	0.575	0.615	0.482
Albany Thicket	0.318	0.471	0.424	0.342	0.501	0.492
Grassland	0.722	0.762	0.711	0.720	0.799	0.763
Savanna	-0.247	-0.367	-0.293	<i>0.029</i>	<i>0.024</i>	<i>0.071</i>
Forest	–	0.299	0.308	–	0.497	0.698

612 Pearson product–moment correlation coefficients between present simulated avian species richness of  
613 endemics using each of seven regional biomes in southern Africa as their principal habitat and three  
614 measures of the simulated stability of those biomes over the past 140 kyr for 0.5° grid cells (entries in italics  
615 are values for which  $p > 0.025$ ;  $p < 0.0005$  for all other values). Correlations are presented both for the  
616 entire study region ( $n = 1461$ ) and for the area south of 22°S that corresponds approximately to the region  
617 from which the data used to construct models relating biome extents to climate were available ( $n = 823$ ).  
618 The three measures of biome stability are: the frequency, across all time slices, with which the biome  
619 dominates the grid cell (i.e. is simulated to extend over a greater percentage of the land area of the grid cell  
620 than does any other biome); the mean extent of the biome in the grid cell, across all time slices,  
621 expressed as the percentage of the area of land in the grid cell simulated to be occupied by the biome;  
622 and the frequency, across all time slices, with which the biome is simulated to be present in the grid cell.  
623 Positive correlations indicate high species richness associated with high stability of the associated biome.

625 **Figure 1: Map of Southern Africa**

626 The overall extent of the map corresponds to the overall study area for which climatic variability was  
 627 assessed and for which projections were made using the response surface models for biomes and bird  
 628 species. Distribution data used to fit the models for bird species extended across South Africa, Lesotho,  
 629 Swaziland, Namibia, Botswana and Zimbabwe. Biome extent data used to fit the models for biomes  
 630 extended over the area south of the red boundary line, i.e. South Africa, Lesotho and Swaziland. Country  
 631 names are in black upright text and South African province names in red text; geographical features  
 632 mentioned in the text are indicated by italic black text. Topography is shown using the GEBCO 1'  
 633 resolution global altitudinal and bathymetric grid (IOC *et al.*, 2003).

634 **Figure 2: Climatic variability in southern Africa since 140 ka**

635 Maximum (a & d), mean (b & e) and standard deviation (c & f) of Euclidean Distances between present  
 636 climate and 78 palaeoclimate time slices (a–c), and of all possible pairwise Euclidean Distances (d–f)  
 637 between the 79 climates, for each 0.5° grid cell. Deep orange indicates low climatic variability (i.e.  
 638 minimum Euclidean Distances) whereas deep blue indicates high climatic variability (i.e. maximum  
 639 Euclidean Distances). Mapping of Euclidean Distance values to the colour scale in each case was  
 640 designed to provide the clearest representation of the spatial pattern of climatic variability.

641 **Figure 3: Simulated biome distributions for southern Africa**

642 Maps show the biome simulated to dominate each 0.5° grid cell for a set of time slices selected to show the  
 643 extreme contrasts corresponding to time-slice pairs most frequently giving maximum climatic differences,  
 644 as well as to illustrate responses to both orbital and sub-orbital forcing. The 22, 46, 70, 92, 116 and  
 645 138 ka time slices correspond approximately to austral summer (December–January) insolation maxima at  
 646 30°S, whilst 11, 32, 60, 82, 104 and 124 ka correspond approximately to austral summer insolation minima  
 647 at 30°S. The H0, H1, H2, H5 and H6 simulations of Heinrich Events, at close to 13, 17, 24, 46 and 60 ka  
 648 respectively, reflect maximal millennial climatic contrasts relative to conditions simulated using only orbital  
 649 and other 'slow' forcing factors.

650 **Figure 4: Relative extent of each biome in southern Africa over the past 140 kyr**

651 Simulated extent of each biome for each palaeoclimate scenario, relative to its extent for 1961–90, plotted  
 652 against age. Also shown are the austral summer (December–January) insolation at 30°S, computed  
 653 following Laskar *et al.* (2004), and atmospheric CO<sub>2</sub> concentration, derived as a composite from the Law  
 654 Dome (Etheridge *et al.*, 1996, 0-0.940 ka), Taylor Dome (Indermühle *et al.*, 1999, 1.020-11.103 ka) and  
 655 Vostok (Barnola *et al.*, 1987, 12.930-140.430 ka) Antarctic Ice Cores. Grey lines indicate Heinrich Events  
 656 0 – 6.

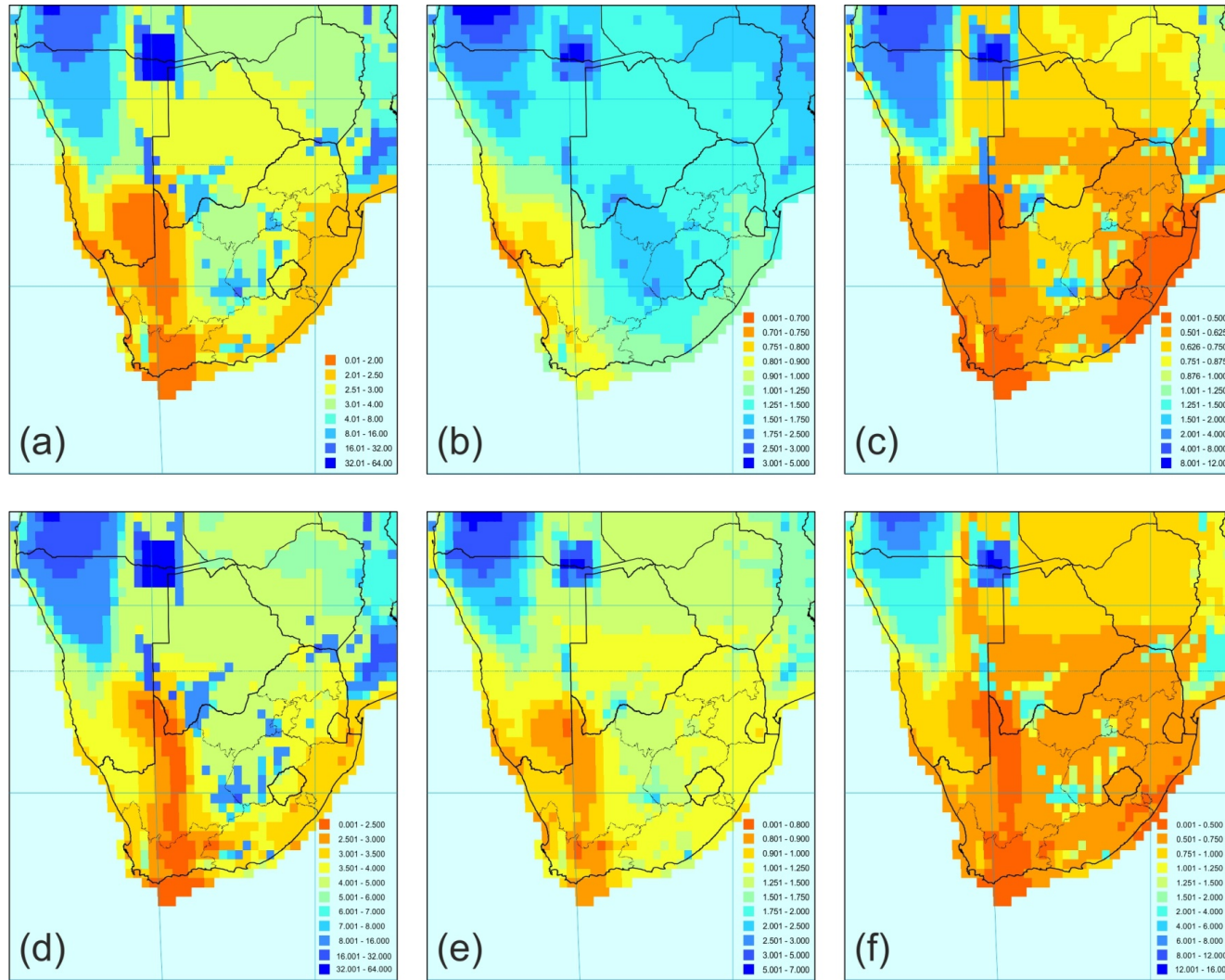
657 **Figure 5: Frequency with which biomes were simulated to dominate grid cells**

658 Shading indicates for how many of the 79 time slices examined, including the present, climatic conditions in  
 659 each grid cell result in simulated dominance of a given biome. (IOCB = Indian Ocean Coastal Belt.  
 660 Forest was not simulated as the dominant biome in any grid cell for any time slice.)



**Figure 1: Map of Southern Africa**

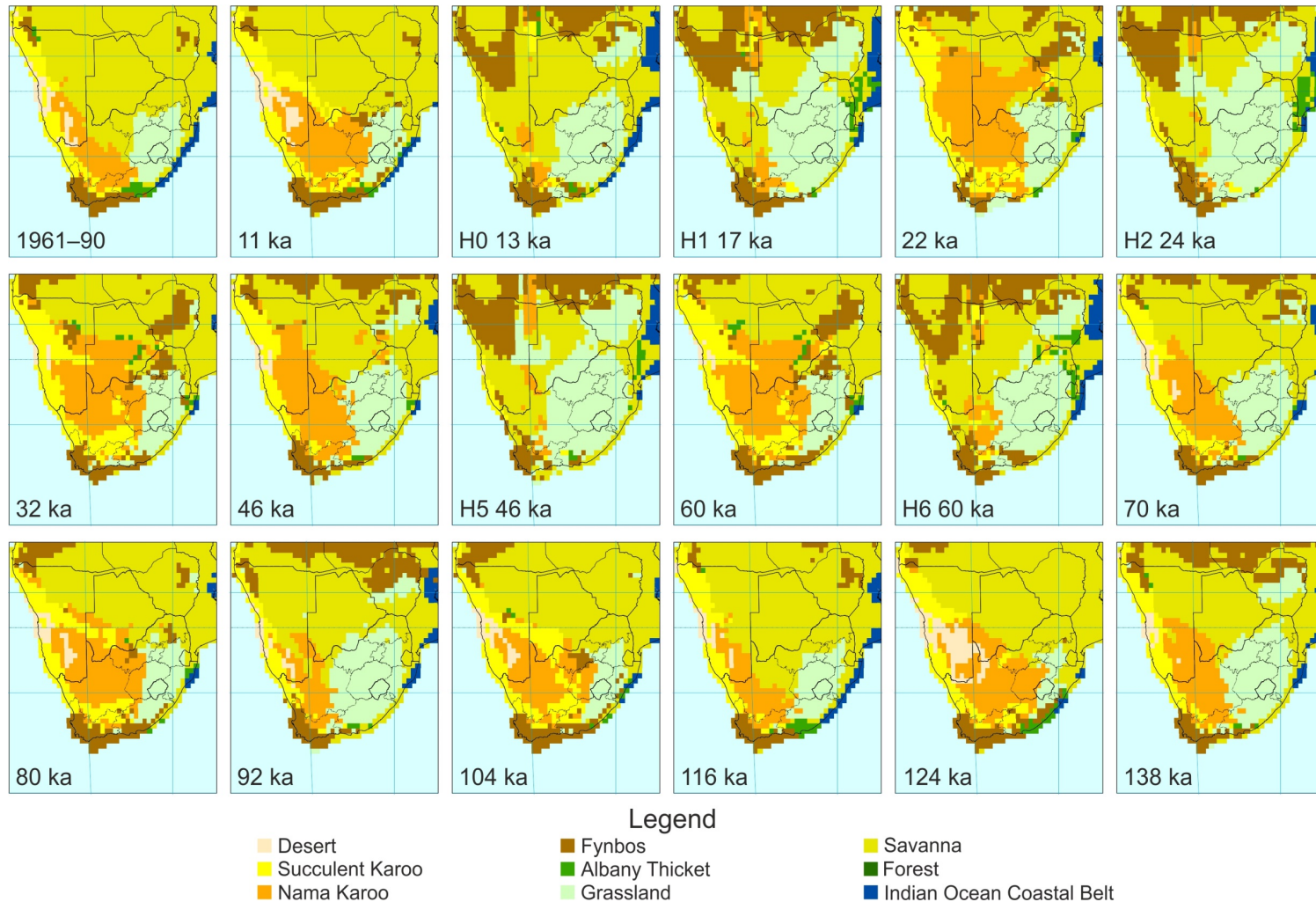
The overall extent of the map corresponds to the overall study area for which climatic variability was assessed and for which projections were made using the response surface models for biomes and bird species. Distribution data used to fit the models for bird species extended across South Africa, Lesotho, Swaziland, Namibia, Botswana and Zimbabwe. Biome extent data used to fit the models for biomes extended over the area south of the red boundary line, i.e. South Africa, Lesotho and Swaziland. Country names are in black upright text and South African province names in red text; geographical features mentioned in the text are indicated by italic black text. Topography is shown using the GEBCO 1' resolution global altitudinal and bathymetric grid (IOC *et al.*, 2003).



**Figure 2: Climatic variability in southern Africa since 140 ka**

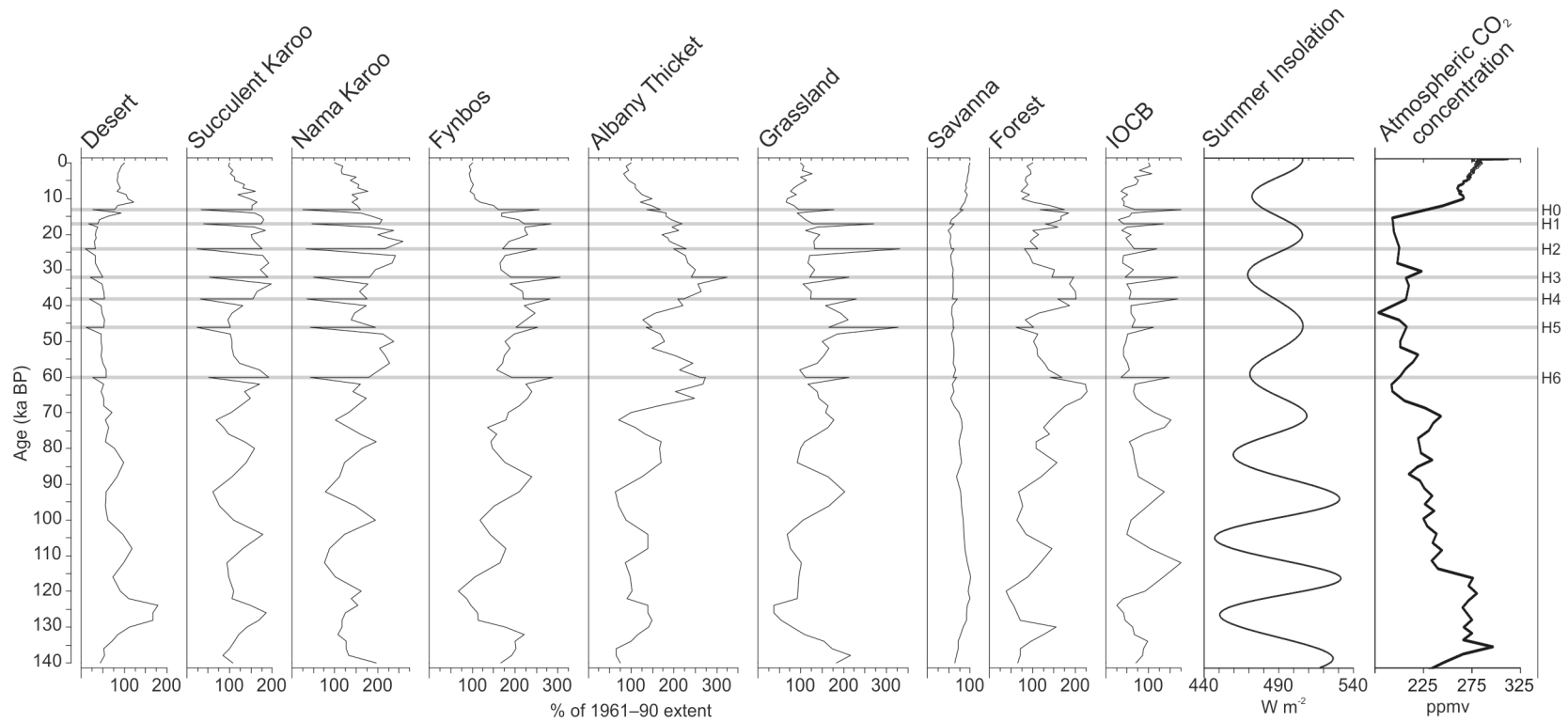
Maximum (a & d), mean (b & e) and standard deviation (c & f) of Euclidean Distances between present climate and 78 palaeoclimate time slices (a–c), and of all possible pairwise Euclidean Distances (d–f) between the 79 climates, for each 0.5° grid cell. Deep orange indicates low climatic variability (i.e. minimum Euclidean Distances) whereas deep blue indicates high climatic variability (i.e. maximum Euclidean Distances). Mapping of Euclidean Distance values to the colour scale in each case was designed to provide the clearest representation of the spatial pattern of climatic variability.





**Figure 3: Simulated biome distributions for southern Africa**

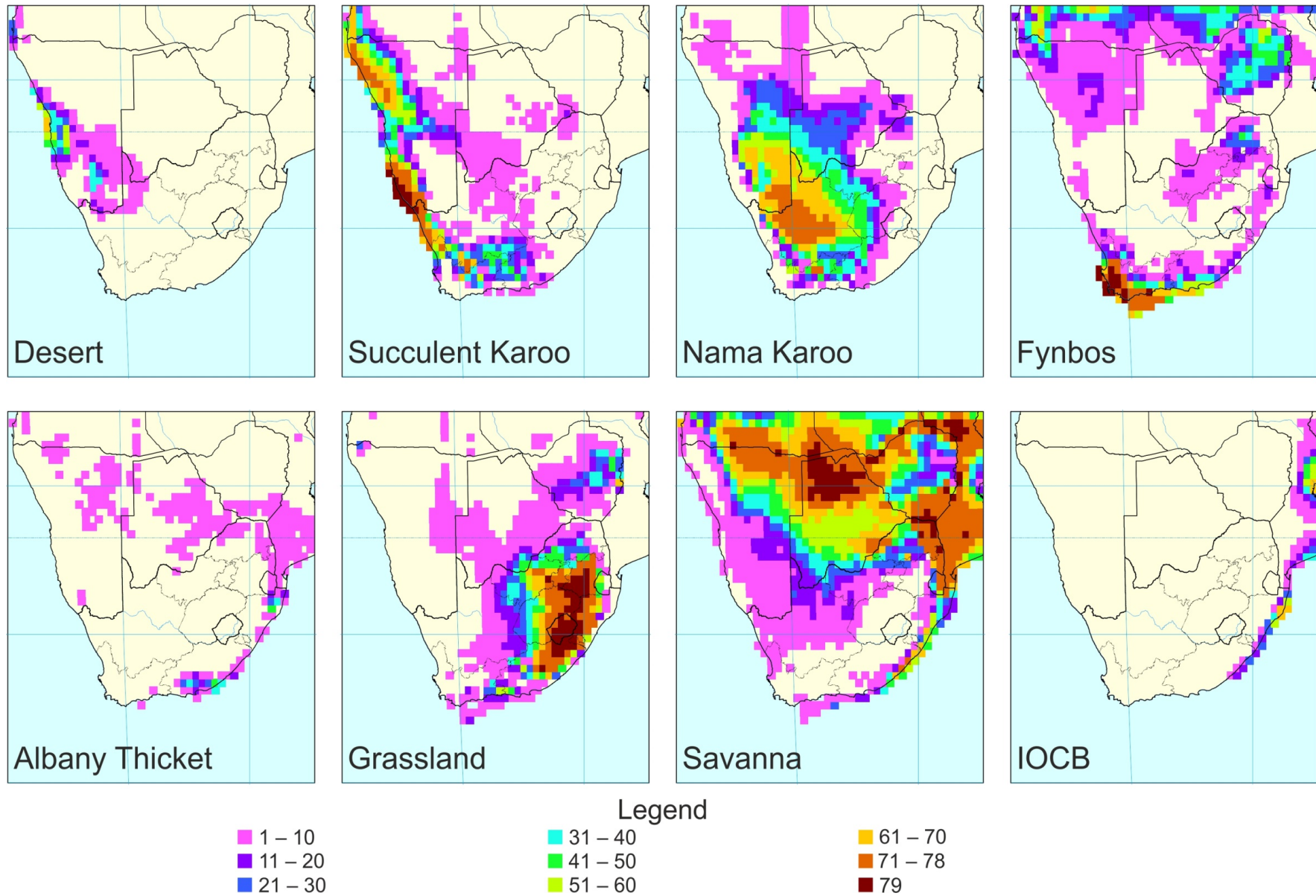
Maps show the biome simulated to dominate each 0-5° grid cell for a set of time slices selected to show the extreme contrasts corresponding to time-slice pairs most frequently giving maximum climatic differences, as well as to illustrate responses to both orbital and sub-orbital forcing. The 22, 46, 70, 92, 116 and 138 ka time slices correspond approximately to austral summer (December–January) insolation maxima at 30°S, whilst 11, 32, 60, 82, 104 and 124 ka correspond approximately to austral summer insolation minima at 30°S. The H0, H1, H2, H5 and H6 simulations of Heinrich Events, at close to 13, 17, 24, 46 and 60 ka respectively, reflect maximal millennial climatic contrasts relative to conditions simulated using only orbital and other ‘slow’ forcing factors.



**Figure 4: Relative extent of each biome in southern Africa over the past 140 kyr**

Simulated extent of each biome for each palaeoclimate scenario, relative to its extent for 1961–90, plotted against age. Also shown are the austral summer (December–January) insolation at 30°S, computed following Laskar *et al.* (2004), and atmospheric CO<sub>2</sub> concentration, derived as a composite from the Law Dome (Etheridge *et al.*, 1996, 0-0.940 ka), Taylor Dome (Indermühle *et al.*, 1999, 1.020-11.103 ka) and Vostok (Barnola *et al.*, 1987, 12.930-140.430 ka) Antarctic Ice Cores. Grey lines indicate Heinrich Events 0 – 6.





**Figure 5: Frequency with which biomes were simulated to dominate grid cells**

Shading indicates for how many of the 79 time slices examined, including the present, climatic conditions in each grid cell result in simulated dominance of a given biome. (IOCB = Indian Ocean Coastal Belt. Forest was not simulated as the dominant biome in any grid cell for any time slice.)