

Dissociable roles within the social brain for self-other processing: a HD-tDCS study

Journal:	<i>Cerebral Cortex</i>
Manuscript ID	CerCor-2018-00449.R1
Manuscript Type:	Original Articles
Date Submitted by the Author:	03-Aug-2018
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3 **Dissociable roles within the social brain for self-other processing: a HD-tDCS study**
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For Peer Review

Abstract

Theories of right temporoparietal junction (rTPJ) function in social cognition include self-other distinction, self-inhibition, or embodied rotation, whereas the dorsomedial prefrontal cortex (dmPFC) is associated with integrating social information. However, no study has provided causal evidence for dissociable roles of the rTPJ and dmPFC in social cognition. 52 healthy young adults were stratified to receive either dmPFC or rTPJ anodal HD-tDCS in a sham-controlled, double-blinded, repeated measures design. Self-other processing was assessed across implicit and explicit level one (line-of-sight) and level two (mental rotation) visual perspective taking tasks (VPT), and self-other effects on memory. DmPFC stimulation selectively increased the influence of the allocentric perspective during egocentric perspective taking, indexed by an increase in congruency effect across explicit VPT tasks. Moreover, dmPFC stimulation removed the self-reference effect in episodic memory by increasing the recognition of other and decreasing the recognition of self-encoded words. Stimulation of the rTPJ resulted in improved inhibition of the egocentric-perspective during level two VPT only, indexed by a reduction of the congruency effect when taking the allocentric perspective. This research supports theories suggesting that the rTPJ facilitates embodied mental rotation of the self into an alternate perspective, whereas the dmPFC integrates social information relevant to self-directed processes.

Keywords: medial prefrontal cortex; right temporoparietal junction; perspective-taking; self-reference effect; social cognition.

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3 Integrating and distinguishing between representations related to the self or another
4 person are necessary pre-requisites for higher order social cognition. This meta-
5 representational ability is fundamental to humankind's ability to empathise with another
6 (i.e. feel or understand another's emotional state) or have a theory of mind (ToM; the ability
7 to understand the beliefs, intentions of another are different from that of one's own). In this
8 context, the 'social brain' is a term used to refer to a network, or set of regions, that are
9 consistently associated with socio-cognitive tasks. Two regions within the social brain are
10 the right temporoparietal junction (rTPJ) and the dorsomedial prefrontal cortex (dmPFC),
11 with these regions implicated in tasks that place demands on self-other processing
12 (Santiesteban, Banissy, Catmur, & Bird, 2012; Schurz et al., 2015; Van Overwalle, 2009;
13 Wittmann et al., 2016).

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16 Specifically, the rTPJ is a highly connected region involved in numerous cognitive processes
17 (Mars et al., 2012), including higher-order social tasks such as ToM (Krall et al., 2016).
18 Competing theories state that the role of the rTPJ in social cognition is either to distinguish
19 between self and other representations (Santiesteban et al., 2012; Schurz, Aichhorn, Martin,
20 & Perner, 2013), or facilitating a cognitive shift to the other representation through
21 inhibition of the self (Payne & Tsakiris, 2017; Soutschek, Ruff, Strombach, Kalenscher, &
22 Tobler, 2016), or more specifically, facilitating embodied rotation and allow the self-
23 perspective to be mentally rotated into an alternate location, including that of other people
24 (van Elk, Duizer, Sligte, & van Schie, 2017; Wang, Callaghan, Gooding-Williams, McAllister, &
25 Kessler, 2016). Several theories also exist for the role of the dmPFC in social cognition.
26 Evidence has been put forward for a role in the integration of social information (Brosch,
27 Schiller, Mojdehbaghsh, Uleman, & Phelps, 2013; Ferrari et al., 2016), or a role in merging
28 information pertaining to the self and other in decision-making (Wittmann et al., 2016).
29 However, to date, no study has identified causal and dissociable roles for the dmPFC and
30 rTPJ using tasks able to isolate specific processes relevant to social cognition.
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35 Self-other representations have been measured in a number of ways. Typically, participants
36 are required to judge a scene from their own visual perspective or from the hypothetical
37 perspective of an agent or alternate location within a scene. Moreover, visual perspective
38 taking (VPT) can be measured implicitly or explicitly. Here, implicit VPT refers to the
39 automatic tendency to represent another agent's perspective of a scene without prompting
40 or awareness (Apperly & Butterfill, 2009; Kovacs, Teglas, & Endress, 2010; Ramsey, Hansen,
41 Apperly, & Samson, 2013; Samson, Apperly, Braithwaite, Andrews, & Bodley Scott, 2010).
42 Explicit tasks require the switching from self to other and can be measured on two levels.
43 Level one VPT requires judgements on *if* an object can be seen, whereas level two VPT
44 requires judgement on *how* an object is seen (Michelon & Zacks, 2006). Level one VPT is
45 solvable using "line of sight" judgements whereas Level two VPT is thought to induce a more
46 embodied mental rotation into the other's perspective and is therefore conceptually closer
47 to ToM (Hamilton, Brindley, & Frith, 2009).
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51 Self-other representations are also important in other cognitive domains. For example,
52 episodic memory is enhanced for items or events that are encoded in relation to the self in
53 comparison to another individual (Symons & Johnson, 1997). The self-reference effect (SRE)
54 in episodic memory task manipulates self and other processes without relying on mental
55 rotation into another location or the requirement for online control of co-activated self and
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3 other representations (Santiesteban et al., 2012). Several studies have highlighted a self-
4 other gradient from ventral to dorsal mPFC relevant across a number of cognitive domains
5 (D'Argembeau et al., 2007; Denny, Kober, Wager, & Ochsner, 2012; Fossati et al., 2003;
6 Mitchell, Macrae, & Banaji, 2006; Seid-Fatemi & Tobler, 2015; Yaoi, Osaka, & Osaka, 2015).
7 For example, a meta-analysis of self and other-referential processes using fMRI identified
8 the dmPFC as the key region for other-related processes with less evidence for TPJ
9 involvement (Denny et al., 2012). This would suggest that the rTPJ is not involved in domain
10 general processing of other-related representations and more has a role in either online
11 control (Santiesteban et al., 2012), inhibition of the self or egocentric bias (Payne & Tsakiris,
12 2017; Soutschek et al., 2016), or embodied rotation (Wang et al., 2016).
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16 In a previous study, we identified a polarity specific (anodal v cathodal) modulation of
17 dmPFC function on increasing the influence of other associated processes across VPT and
18 episodic memory domains (Martin, Dzafic, Ramdave, & Meinzer, 2017). In the present
19 study, we employed the same social cognitive battery to explore the different roles of the
20 dmPFC and the rTPJ. Unlike tasks used in previous studies (e.g. (Payne & Tsakiris, 2017;
21 Santiesteban et al., 2012; Wang et al., 2016), this battery allows for other-related processes
22 to be parsed into those related to domain general processing related to another agent, self-
23 inhibition in general, or self-inhibition to facilitate mentalrotation and thereby provide
24 causal evidence for the dissociable roles of the dmPFC and rTPJ in self-other processing. We
25 hypothesized dissociable roles for self-other processing, a) with dmPFC stimulation resulting
26 in increased influence of the allocentric perspective during the egocentric visual
27 perspective-taking and b) a reduction or removal of the self-reference effect in episodic
28 memory. c) We expected rTPJ stimulation to decrease the interference from the egocentric
29 perspective during the level two visual perspective-taking task that relied on an embodied
30 rotation strategy.
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33 **Materials and Methods**

34 *Participants*

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36 Participants: Fifty-two healthy young adults (18-35 yrs) were stratified by sex and assigned
37 to either the sham-controlled dmPFC or rTPJ HD-tDCS double-blinded, crossover studies.
38 Stimulation order was counterbalanced across both stimulation sites. The groups were
39 comparable on neuropsychological functioning, Autism Spectrum Quotient (ASQ), anxiety
40 and depression scales (see Table S1). All participants were tDCS-naïve, not currently taking
41 psychoactive medication or substances, and no history of neurological or psychiatric
42 disorder. All participants provided written consent prior to inclusion in accordance with the
43 Declaration of Helsinki (1991; p.1194), completed a safety screening questionnaire, and
44 were compensated with A\$50. The ethics committee of The University of Queensland
45 granted ethical approval.
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Baseline Testing

All participants completed a battery of cognitive tests in order to ensure age-appropriate cognitive status and to ensure site-specific effects of HD-tDCS were not due to underlying cognitive differences between the groups. Tests included the Stroop Test, phonemic and semantic verbal fluency, and the following tests from CogState® computerized test battery (<https://cogstate.com>): International shopping list, Identification test, One-back, Two-back, Set-shifting test, Continuous paired associates learning test, social-emotional cognition test, and the International shopping list - delayed recall.

Social functioning and recent mental health status were measured using the Autism Spectrum Quotient (ASQ; (Baron-Cohen, Wheelwright, Skinner, Martin, & Clubley, 2001) and the Hospital Depression and Anxiety Scale (HADS; (Zigmond & Snaith, 1983). These measures were included primarily to ensure the two groups were comparable on subclinical measures of social functioning and mood.

Transcranial direct current stimulation

The stimulation was administered using a one-channel direct current stimulator (DC-Stimulator Plus®, NeuroConn) and two concentric rubber electrodes (Bortoletto, Rodella, Salvador, Miranda, & Miniussi, 2016; Gbadeyan, Steinhäuser, McMahon, & Meinzer, 2016). A small centre electrode (diameter: 2.5 cm) was used at both the dmPFC and rTPJ site. At the dmPFC site, a ring-shaped return electrode (diameter inner/outer: 9.2/11.5cm) was used, whereas a smaller return electrode (diameter inner/outer: 7.5/9.8cm) was used for the rTPJ site due to the position of the right ear (see Figure 1). Safety and focal current delivery for this montage have been confirmed (Gbadeyan et al., 2016; Martin, Huang, Hunold, & Meinzer, 2017). Electrodes were attached over the target region using an adhesive conductive gel (Weaver Ten20® conductive paste) and held in place with an elastic EEG cap to ensure stable conductive adhesion with the skin. The position of the centre electrode was determined using the 10-20 international EEG system. The dmPFC was located by first identifying FPz and Fz and measuring the distance between the two points. The scalp region overlying the dmPFC was located by locating 15% of the distance from the Fz towards the FPz. This approximated the MNI coordinates (0/54/33), which corresponds to the peak activity in a ToM meta-analysis (Schurz, Radua, Aichhorn, Richlan, & Perner, 2014). The ring electrode was positioned symmetrically around the centre electrode. The rTPJ was located using CP6 of the 10-20 EEG system. In both stimulation conditions, the current was ramped up to 1mA (over 8 seconds) . In the “sham” condition the direct current remained at 1 mA for 40 seconds before ramping down over 5 seconds. In the active stimulation conditions HD-tDCS was administered for 20 minutes before ramping down. Researchers were blinded to the experimental condition by using the “study-mode” of the DC-stimulator (i.e. a pre-assigned code triggered the respective stimulation conditions). To avoid carryover effects of stimulation, stimulation sessions were conducted with at least 72 hours (3 days) in between. Neurophysiological studies that employed conventional set-ups have confirmed that the effects of single stimulation sessions are short lived (depending on the stimulation parameters approx. 30-60 min). Consequently, typical wash-out times in cross-over studies range from 1 - 7 days (for reviews see (Sarkis, Kaur, & Camprodon, 2014; Stagg & Nitsche, 2011). While HD-tDCS effects on motor evoked potentials may be stronger

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3 and slightly delayed compared with conventional tDCS (Kuo et al. 2013), no
4 significant neurophysiological effects were found beyond 120 min after the end of the
5 stimulation for HD-tDCS as well. Therefore, it is safe to assume that three days are
6 sufficient to prevent carry-over effects of the stimulation.
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8 *Visual Perspective Taking Task*

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11 The visual perspective task (VPT; (Martin et al., 2018) involved three separate tests
12 measuring level one VPT (implicit and explicit) and level two VPT (explicit). All tests involved
13 a street scene with tennis balls, rubbish bins, and either a human avatar or a traffic light
14 directly in front of the gaze of the subject at one of three positions on the street - far,
15 middle, or near (A detailed schematic of the VPT task is presented in Figure 2). The traffic
16 light was used as a directional control that should direct attention in a similar manner to the
17 human avatar, but crucially without the ability to hold a perspective of the scene, which was
18 particularly of interest in the implicit VPT task (Apperly & Butterfill, 2009; Samson et al.,
19 2010). Participants were instructed to answer “how many tennis balls they/other could
20 see?” as quickly and as accurately as possible. The stimuli remained on the screen until a
21 response was recorded. A fixation cross was presented for 500ms prior to the stimuli. For
22 the level one and level two VPT, the word “you” or “other” was presented for 750msec prior
23 to the presentation of the scene. Participants were informed that tennis balls would be
24 hidden from the avatar's view if a rubbish bin occluded the view or if the tennis ball was
25 behind the avatar. If the traffic light was present, the participants were instructed to
26 imagine the light radiating out from the traffic light towards the subject and to answer how
27 many tennis balls the light would directly hit. Again, if a bin occluded the light or if the ball
28 was behind the traffic light then the light would not directly hit the ball. The test consisted
29 of 176 trials. In 50% of the trials (n=88) a human avatar was present and in 50% of the trials
30 a traffic light was present. The trials were further separated (50% each, resulting in 44 trials
31 in each condition) by whether the number of balls seen by the subject was congruent or
32 incongruent with that of the human avatar's view or the number of tennis balls the light
33 would directly hit. This resulted in four conditions; avatar congruent, avatar incongruent,
34 light congruent, light incongruent (see Figure 2). All conditions were balanced for number
35 and location of tennis balls. Each VPT had four counterbalanced versions and participants
36 were presented with different versions between sessions. All tests were completed in the
37 order; level one implicit, level one explicit, and level two explicit.
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43 *Visual perspective task – Level one implicit*

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45 In the first test participants were instructed to respond as fast and accurately as possible
46 with “how many tennis balls can you see?” The answer was always between one and four
47 with the response buttons clearly marked on the keyboard. The task was considered an
48 implicit test, as participants were not directed to consider the perspective from the
49 perspective of the avatar in the scene and were only required to answer from the egocentric
50 perspective (see Figure 2).
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53 *Visual perspective task – Level one explicit*

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3 In the level one explicit task, participants were required to take either an egocentric
4 perspective or the allocentric perspective from the avatar or light and answer how many
5 tennis balls could be seen. There were four possible responses for each condition, with one
6 to four tennis balls for the egocentric judgements allocentric congruent conditions. In order
7 to maintain four choices for the allocentric incongruent condition, without increasing the
8 number of balls in the scene, scenes with zero balls visible to the avatar/light were included.
9 Therefore, answers in this condition were from zero to three.
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11 *Visual perspective task – Level two explicit*

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14 In the level two explicit VPT task, participants were again required to take either an
15 egocentric perspective or the allocentric perspective of the avatar or light. However, this
16 task required making a judgement on "how" the subject or other avatar views the scene, by
17 asking them "whether they/other could see /light would shine on, more balls on the left,
18 right, or equal number on each side of the road?" All conditions had three possible
19 responses.
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21 *Self-referential memory task*

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24 Prior to the VPT, participants completed the Reading the Mind in the Eyes Test (RMET;
25 (Baron-Cohen, Wheelwright, Hill, Raste, & Plumb, 2001) data published elsewhere (Martin,
26 Huang, et al., 2017). The task requires inferring a person's mental state solely from the eye
27 region using a four-choice multiple option with a control task requiring the identification of
28 age and sex (Young Male, Young Female, Older Male, Older Female). In order to manipulate
29 the self or other encoding of the memory for the mental attribute, following each choice,
30 the participants were asked how often they felt that way (self-encoded) or how often they
31 thought Barack Obama felt that way (other-encoded). Prior to the RMET, participants were
32 shown a 5-minute documentary about Barack Obama to ensure familiarization To
33 encourage engagement with the task, participants were told that their responses would be
34 compared against data collected from people who had worked with Barack Obama..
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39 Following the VPT, participants performed a recognition memory task for the mental
40 attribution words from the RMET. The correct mental attribution words as well as 76
41 distractor words (38 incorrect choices from the RMET & 38 novel words not previously seen)
42 were presented and participants answered whether they had seen the mental attribution in
43 the RMET task completed earlier. Responses were; 1= Definitely did, 2= Probably did, 3=
44 Probably not, 4= Definitely not. Scoring was from 2 for a correct confident response through
45 to -2 for a confident response that was incorrect. Words were divided according to whether
46 they had been encoded in relation to the "self" or to the "other" (Barack Obama) and mean
47 confidence scores were calculated.
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49 *Source memory task*

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52 If participants responded that they had seen the mental attribution in the eyes, they were
53 asked a subsequent question "Was it on a male or a female face?" Responses were, 1=
54 Definitely male, 2= Probably male, 3= Probably female, 4= Definitely female. Scoring was
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3 identical to the mental attribution memory task. This was considered a source memory, as it
4 was a measure of a contextual memory not directly encoded in relation to the self or other.
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6 For a schematic description of all tasks and stimulation procedures, please see Martin *et al*
7 (2017).
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9 *Adverse Effects and Blinding*

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11 Adverse effects were assessed following each stimulation session (Brunoni et al., 2011).
12 Mood before and after stimulation was assessed using the Visual Analogue for Mood Scales
13 (VAMS; (Folstein & Luria, 1973). In order to assess blinding, following the final session,
14 participants were asked to guess which of the two sessions they received the active
15 stimulation.
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18 *Current Modelling*

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20 Current modelling (see Figure 1) was conducted for both the dmPFC and rTPJ stimulation
21 sites (for full details see (Martin, Huang, et al., 2017). In brief, modelling of current flow was
22 based on a realistic head model derived from a structural T1-weighted magnetic resonance
23 imaging dataset of healthy volunteers. The HD-tDCS simulations were performed using the
24 SimBio software, applying the adjoint approach (Wagner et al., 2014). We obtained the
25 vectorial current density in each finite element generated by HD-tDCS. The current strength
26 was set at 1mA at the central disc electrode and -1mA at the concentric ring electrode. The
27 electrode conductivity was set to 1.4 S/m (Datta, Baker, Bikson, & Fridriksson, 2011).
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31 *Statistical Analysis*

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33 All analyses were computed using JASP version 0.8.6. We applied a Bayesian statistical
34 approach that allowed strength of evidence for both the alternate and null models.
35 Bayesian methods have several advantages over frequentist models that are provided in
36 detail elsewhere (Wagenmakers, Love, et al., 2017). Briefly, a Bayesian approach seeks to
37 move away from a p value used in null hypothesis statistical tests (NHST) as these cause
38 issues with interpretation. For example, the ubiquitous use of $p < 0.05$ leads to its misuse in
39 rejecting the null model and accepting the alternate model or vice-versa in a dichotomous
40 fashion. Recent issues with replicability within psychology and further afield (Loken &
41 Gelman, 2017) have strengthened the calls for a Bayesian approach to counter some issues
42 raised (Dienes, 2016). A Bayesian approach tests the validity of two competing models (for
43 e.g. the null and an alternate) providing a gradation of evidence for either model on a
44 continuous scale. The Bayesian approach proceeds in the following manner. The uncertainty
45 about an effect (p) before seeing the data is quantified by a probability distribution known
46 as the *prior*. The default prior is that all values of p are equally plausible. After seeing the
47 data, the information is combined to the *prior* providing a *posterior* distribution that
48 indicates the uncertainty of p given the new data. This uncertainty provides the 95%
49 confidence interval for the true effect. A Bayes Factor (BF) quantifies the evidence for a
50 particular model. For example, a BF_{10} of 4 equates to data that is 4 times as likely from the
51 alternate model as from the null model. Evidence for the alternate model is interpreted in a
52 linear scale but for the ease of interpretation we conclude $BF_{10} = 1-3$ as anecdotal or
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3 preliminary evidence, 3-10 as moderate evidence, >10 as strong evidence. Evidence for the
4 null model follows in the inverse pattern, 0.3-1 anecdotal or preliminary, 0.1-0.3 moderate,
5 and <0.1 strong evidence (Wagenmakers, Love, et al., 2017). The BF_{inc} is the equivalent of
6 the BF_{10} and reports evidence for the inclusion of the main effect or interaction in the
7 model. Although not a consistent match in all cases, preliminary evidence in favour of the
8 alternate model usually translates to frequentist p-values between 0.01-0.05, moderate
9 evidence $p=0.005-0.01$, and strong evidence to $p<0.005$. We employed the default priors for
10 all analyses in JASP as recommended (Wagenmakers, Marsman, et al., 2017). Effect sizes are
11 provided in the form of delta (δ) in the figures and text, equivalent to the population version
12 of the sample cohen's d (mean population difference/population standard deviation) and
13 partial eta-squared (η_p^2) for ANOVA effect sizes.
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17 Accuracy and response times are analyzed separately. As the tasks were designed to keep
18 accuracy high, the response time measures are the primary variables of interest. The main
19 outcome of interest was the congruency effect (i.e. the difference between congruent and
20 incongruent trials) and these are plotted in all figures. For the implicit VPT we are interested
21 in agent (avatar v traffic light) specific congruency effects. In both the level one and two
22 explicit visual perspective taking tasks, in line with previous research (Santiesteban, Catmur,
23 Hopkins, Bird, & Heyes, 2014), the congruency effect from the traffic light or avatar was not
24 significantly different for response times, $BF_{10}= 0.159$ and $BF_{10}= 0.162$, respectively, nor
25 accuracy, $BF_{10}= 0.168$ and $BF_{10}= 0.355$, respectively. Therefore, a congruency effect was
26 calculated for both response times and accuracy collapsed across agent. In order to have
27 both RT and accuracy congruency effects in the same direction, congruency effect was
28 calculated as congruent from incongruent for RTs and incongruent from congruent for
29 accuracy. Therefore, higher congruency effect scores for both RTs and accuracy reflect a
30 greater interference from the alternate perspective. For implicit VPT, interference from the
31 avatar and traffic light were calculated separately. It should be noted that wherever
32 stimulation had an effect on congruency effects, these were not reducible to an effect on
33 the incongruent or congruent trials specifically. Instead, stimulation operated at the
34 interaction level between congruent and incongruent trials and either increased or
35 decreased the difference.
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41 Repeated measures analysis of variance (ANOVA) were conducted for both the level one
42 and two VPT tasks: The congruency effect (incongruent minus congruent) was treated as the
43 independent variable and stimulation site as a between subjects factor (dmPFC and rTPJ).
44 Stimulation type (anodal & sham) and perspective (egocentric & allocentric) were entered
45 as within-subject factors. The identical analysis was conducted for the implicit VPT minus
46 the perspective condition and with the additional within-subject factor agent (avatar &
47 traffic light). For the SRE in episodic memory task, memory score was the independent
48 variable with stimulation site as a between-subjects factor and stimulation type (anodal &
49 sham) and agent (self & other) as within-subject factors. All assumptions were met.
50 Individual trials >3 standard deviations from the overall mean were removed from all VPT
51 tasks. Participants who failed to get >50% correct on any condition within the VPT task were
52 removed from that analysis as it was deemed they failed to understand task instructions.
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Two participants from the dmPFC study were removed from the level one VPT analysis as were two participants from the rTPJ study for level two, for accuracy less than 50%. One subject was removed from the dmPFC level two allocentric analysis as their responses were greater than 4 SDs from the mean and were classified as an outlier. Performance on all VPT and SRE memory measures is provided in Table 1.

Results

Current Modelling

Current modelling demonstrated focal current delivery to both the dmPFC and rTPJ. During anodal stimulation to the dmPFC, peak current (0.36 V/m) was identified at MNI coordinates 0 54 33. For the rTPJ, peak current (0.59 V/m) was identified at 60 -54 13. Importantly, while the peak of the induced current was observed slightly to the right (dmPFC) or ventral (TPJ) to the target regions (see Fig. 1), the induced current at the target sites (approx. 0.2-0.5 V/m) was still well within the range of physiologically effective current strengths (Francis, Gluckman, & Schiff, 2003; Kessler et al., 2013) and also compares favorably to previous studies that reported on modelling of HD-tDCS effects in the motor system (Bortoletto et al., 2016; Kuo et al., 2013; Villamar et al., 2013).

Visual Perspective Taking

An interaction between stimulation and perspective (egocentric & allocentric) was identified for both level one VPT, $BF_{10} = 2.59$, $\eta_p^2 = 0.09$ and level two VPT, $BF_{10} = 63.31$, $\eta_p^2 = 0.21$. Therefore, egocentric and allocentric conditions were analysed separately.

Level two VPT egocentric: For the congruency effect on RTs, preliminary evidence was identified for an interaction between Brain Region x Stimulation, $BF_{inc} = 1.498$, $\eta_p^2 = 0.05$. Therefore, analyses were conducted for each Brain Region separately. There was moderate evidence for an effect of dmPFC stimulation, $BF_{10} = 5.803$, $\delta = 0.71$, whereby dmPFC stimulation increased the congruency effect. rTPJ stimulation had no effect, $BF_{10} = 0.220$, $\delta = 0.05$. Therefore, anodal stimulation to the dmPFC increased the influence or integration of the other perspective with the self-perspective (see Figure 3).

Level two VPT allocentric: For the congruency effect on RTs, preliminary evidence was identified for an interaction between Brain Region x Stimulation, $BF_{inc} = 1.383$, $\eta_p^2 = 0.07$. Therefore, analyses were conducted for each Brain Region separately. There was strong evidence for an effect of rTPJ stimulation, $BF_{10} = 11.412$, $\delta = 0.81$, such that rTPJ reduced the congruency effect. The null model was supported for dmPFC stimulation, $BF_{10} = 0.261$, $\delta = 0.15$. Therefore, rTPJ stimulation inhibited the egocentric perspective during a perspective taking task with greater reliance on mental rotation (see Figure 4).

Level one VPT egocentric: For the congruency effect on RTs, preliminary evidence in support of a Brain Region x Stimulation interaction was identified, $BF_{inc} = 1.723$, $\eta_p^2 = 0.09$. Therefore, simple effects analyses were conducted for the two Brain Regions separately. There was preliminary evidence in favour for an effect of dmPFC stimulation, $BF_{10} = 1.012$, $\delta = 0.45$, such that dmPFC stimulation increased the congruency effect. The null model was supported for

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3 rTPJ anodal stimulation, $BF_{10} = 0.334$, $\delta = 0.23$. In a comparable manner to the level two task,
4 dmPFC stimulation increased the integration or influence of the other perspective with the
5 self-perspective (see Figure 5).
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7 *Level one VPT allocentric:* For congruency effect on RTs, the null model was supported for
8 Stimulation, $BF_{inc} = 0.654$, $\eta_p^2 = 0.05$ and for the Brain Region x Stimulation interaction, $BF_{inc} =$
9 0.302 , $\eta_p^2 = 0.002$.
10

11 *Implicit VPT*

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14 An implicit VPT taking effect refers to the automatic tendency to adopt the other's
15 perspective and is apparent when participant's are slower to respond to incongruent
16 compared to congruent trials only when an avatar is in the scene and not the traffic light.
17 This is measured in the initial task in which the participants are only required to answer
18 from their own perspective. Congruency effect was dependent on agent (avatar v traffic
19 light), $BF_{inc} = 4.066e+10$. Simple effects identified a slower responses when the scene was
20 incongruent with the avatar, $BF_{inc} = 182.004$, $\delta = 0.76$ and surprisingly, the opposite pattern
21 when incongruent with the traffic light, $BF_{inc} = 9,104$, $\delta = 0.54$. However, there was no effect
22 of Stimulation x Agent, $BF_{inc} = 0.211$, $\eta_p^2 = 0.005$, nor an interaction between Brain Region x
23 Stimulation, $BF_{inc} = 0.199$, $\eta_p^2 < 0.001$, nor a Brain Region x Stimulation x Agent interaction,
24 $BF_{inc} = 0.340$, $\eta_p^2 = 0.01$. Therefore, although an implicit VPT effect was identified, anodal
25 HD-tDCS to the dmPFC or rTPJ had no effect.
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29 *VPT Accuracy*

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32 There was support for the null model for all stimulation effects on accuracy across all
33 egocentric and allocentric VPT measures and implicit VPT ($BF_{10} = 0.178-0.445$)
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35 *Self-Reference Effect on Memory*

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38 During the baseline sham condition, preliminary evidence was identified for a self-reference
39 effect for episodic memory (SRE) with greater recognition of words encoded in relation to
40 the self, compared to those encoded in relation to another, $BF_{10} = 1.226$, $\eta_p^2 = 0.13$. The SRE
41 (Self minus Other) was then entered into a RM-ANOVA with stimulation type as a within
42 subject factor and stimulation location as a between subject factor. Moderate evidence was
43 identified for a Brain Region x Stimulation interaction on the SRE, $BF_{inc} = 4.934$, $\eta_p^2 = 0.09$.
44 Therefore, paired t-tests were conducted for the effects of stimulation on the SRE for each
45 Brain Region separately. Preliminary evidence was identified for an effect of dmPFC
46 stimulation, $BF_{10} = 1.439$, $\delta = 0.50$, such that dmPFC stimulation removed the SRE in episodic
47 memory. After rTPJ stimulation, no effect of stimulation was identified, $BF_{10} = 0.333$, $\delta = 0.23$
48 (see Figure 6).
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51 *Source Memory*

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54 During the baseline sham condition, no self-reference effect was identified on source
55 memory, $BF_{10} = 0.154$, $\eta_p^2 = 0.01$. Stimulation had no effect on source memory, $BF_{inc} = 0.245$,
56 $\eta_p^2 = 0.01$ and there was no interaction between Brain Region x Stimulation, $BF_{inc} = 0.529$,
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3 $\eta_p^2 = 0.03$. Therefore, dmPFC stimulation affected memory only for the items encoded in
4 relation to the self or other and had no effect on the contextual or source memories.
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6 *Baseline Cognition, Adverse Effects, Mood Scales, and Blinding*

7

8 All participants functioned within age appropriate norms. There was evidence for more
9 depressive symptoms, reduced working memory accuracy, and greater number of set-
10 switching errors in the rTPJ group (see Table S1 for details). As the study was a repeated
11 measures design and all participants were within the normal age-appropriate range, these
12 were not considered in further analyses.
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15 There was no evidence for an effect of Stimulation on adverse effects, $BF_{inc} = 0.723$ nor was
16 there an interaction between Stimulation x Brain Region, $BF_{inc} = 0.505$. There was no
17 evidence for an effect of stimulation on increase in negative mood, $BF_{inc} = 0.796$, or positive
18 mood, $BF_{inc} = 0.227$ and no interaction between Stimulation x Brain Region for negative
19 mood, $BF_{inc} = 0.439$ nor positive mood, $BF_{inc} = 0.278$. Participants were not able to guess the
20 correct active stimulation session above chance across both studies, $BF_{10} = 0.348$ (see Table
21 2).
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24 *Discussion*

25

26 This is the first study to identify regionally specific, causal effects, of medium to large
27 magnitude, of high-definition tDCS on self-other processing. We identified a modulatory
28 effect of dmPFC HD-tDCS on the emergence or integration of other-related processes into
29 the self across cognitive domains as indexed by greater congruency effects due to the
30 incongruency of allocentric perspectives and the removal of the SRE in episodic memory.
31 Excitation of the right TPJ, resulted in a specific effect of inhibiting the self-perspective
32 during allocentric perspective taking during a task with greater reliance on embodied
33 mental rotation.
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37 Our results provide support for the theory that the rTPJ has a causal role in inhibiting the
38 egocentric perspective during embodied rotation (Wang et al., 2016). As we did not identify
39 a general effect of reducing congruency effects for both self and other processing, our
40 results do not support the theory that rTPJ has a non-specific effect for self-other distinction
41 (Santesteban et al., 2012). Likewise, we did not find a general self-inhibition effect (Payne &
42 Tsakiris, 2017; Soutschek et al., 2016) as stimulation affected allocentric judgements during
43 level two but not level one VPT. The rTPJ is often associated with ToM or the ability to
44 understand other's experiences (Krall et al., 2015; Van Overwalle & Baetens, 2009). To date,
45 anodal stimulation to the rTPJ has failed to affect ToM functioning in healthy adults (Martin,
46 Huang, et al., 2017; Santesteban, Banissy, Catmur, & Bird, 2015), although one study found
47 reduced ToM accuracy after cathodal stimulation of the rTPJ (Mai et al., 2016). As
48 perspective taking, especially the ability to mentally rotate into an allocentric viewpoint, is
49 considered a prerequisite for ToM (Pearson, Ropar, & Hamilton, 2013), the results of the
50 current study, suggest the rTPJ is causally associated with lower-order processes relevant
51 for ToM, but not the higher-order ToM ability itself.
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3 The rTPJ is associated with bodily representations (Arzy, Thut, Mohr, Michel, & Blanke,
4 2006; Blanke & Mohr, 2005; Blanke, Ortigue, Landis, & Seeck, 2002) and specifically
5 implicated in the updated representation of the bodily schema based on proprioceptive and
6 efference-copy information (Branch Coslett, Buxbaum, & Schwobbel, 2008). Therefore, the
7 rTPJ may have a role in imagining the body or mind from a different viewpoint, which may
8 be considered the integration of the self with an external viewpoint. In regards to the
9 dmPFC, our results suggest the opposite is true, with a role in the integration of the other
10 into the self, indexed by a greater congruency effect across both explicit VPT tasks only
11 during the egocentric conditions. Similarly, it could be interpreted that the removal of the
12 self-reference effect in episodic memory without impairing overall memory after dmPFC
13 stimulation is due to increased strength of encoding other-referential words and decreased
14 strength of encoding self-referential words, possibly due to greater merge or integration
15 between self and other as described in a previous study (Wittmann et al., 2016).
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19 It has been proposed that social cognition relies on two separate systems, an automatic,
20 implicit system and a conscious, cognitive, explicit system (Apperly & Butterfill, 2009; Frith &
21 Frith, 2008). In the current study, we identified an implicit VPT effect such that incongruent
22 scenes were slower only when an avatar was present and not the traffic light. However,
23 anodal stimulation to the dmPFC or rTPJ had no effect on performance. Both the mPFC and
24 the rTPJ have been implicated in implicit social cognition (Kovacs, Kuhn, Gergely, Csibra, &
25 Brass, 2014) although an alternative account posits that implicit processing occurs in a
26 distinct network of brain regions including the amygdala, basal ganglia, temporal cortex, and
27 the ventral (but not dorsal) portion of the mPFC (Lieberman, 2007). Our results provide
28 causal evidence that the dmPFC and rTPJ are involved exclusively in explicit processes, at
29 least in the domains of visual perspective-taking and episodic memory.
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33 It needs to be noted that level two VPT has been measured using numerous different tasks
34 and a label for a broad range of tasks thought to involve mental rotation (Pearson et al.,
35 2013). Future studies could include additional level two VPT tasks with greater demands on
36 mental rotation to further assess the role of the rTPJ. Although HD-tDCS is more focal than
37 conventional tDCS in the brain regions affected, stimulation effects on underlying brain
38 tissue and connected brain networks remain unknown. For example, several studies that
39 have used conventional tDCS during simultaneous fMRI have demonstrated wide spread
40 modulation of functional networks, primarily in regions that are functionally connected to
41 the stimulation site (Keeser et al., 2011; Meinzer et al., 2012; Meinzer, Lindenber, g,
42 Antonenko, Fleisch, & Floel, 2013; Stagg et al., 2013). Similar effects are to be expected for
43 HD-tDCS which could be tested in future studies. Indeed, we have recently demonstrated
44 the feasibility to administer HD-tDCS during fMRI (Gbadeyan et al., 2016). As HD-tDCS
45 avoids current spread to distant brain regions (Bortoletto et al., 2016; Martin, Huang, et al.,
46 2017), such studies could also disentangle stimulation effects due to current spread and
47 direct modulation of neural network nodes functionally connected to the stimulation site.
48 Much work is still required at the basic neurophysiological level to understand how much
49 current reaches the brain and how it alters neuronal function (Huang et al., 2018). However,
50 well controlled studies measuring site and task specificity such as the current study, provide
51 the behavioural evidence to encourage future studies to provide evidence for the plausible
52 underlying neural effects of electrical stimulation. The current study identified large effect
53 sizes for rTPJ stimulation and medium effect sizes for dmPFC stimulation which is consistent
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3 with previous studies using conventional tDCS to study social cognition (Sellaro, Nitsche, &
4 Colzato, 2016). Well controlled behavioural studies, coupled with increased knowledge of
5 the affects of tDCS on underlying neural tissue promises to advance the applicability of tDCS
6 for both research and clinical use.
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8
9 In sum, HD-tDSC to the dmPFC and rTPJ identified dissociable roles in the social brain for
10 self-other processing. The results support a role for the rTPJ in embodied mental rotation
11 and a role for the dmPFC in the integration or mergence of information encoded in relation
12 to the other into that of the self across cognitive domains. We provide causal brain-
13 behaviour evidence further explaining how we are able to represent the world from
14 another's point of view and integrate into our notion of self, thus advancing our knowledge
15 of the social brain.
16

17 18 **Funding**

19
20 The study was supported through a Future Fellowship [FT120100608] and a strategic seed-
21 funding grant from the University of Queensland, awarded to Marcus Meinzer.
22

23 24 **Acknowledgements**

25
26 We thank all participants for their time and effort. We thank Dr Ilvana Dzafic for her initial
27 assistance with coding the tasks.
28

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8 **Figure 1.** Current modelling for the dmPFC (top row, sagittal slices) and rTPJ (bottom row,
9 horizontal slices) HD-tDCS sites. For dmPFC, peak electric field strength (0.36 V/m) was
10 identified at MNI: 0 54 33. For the rTPJ, peak electric field strength (0.59 V/m) was identified
11 at MNI: 60 -54 13 (right column). Electric field strengths are also presented for the target
12 region (left column) and an intermediate slice (middle column). The right column also
13 illustrates the location of the anode (red) and cathode (blue).
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16 **Figure 2. A) Figure 2. A)** In the implicit visual perspective taking task participants were
17 presented with 176 scenes with tennis balls, an avatar or light, and rubbish bins. Subjects
18 were not made aware of upcoming demands regarding the other perspective and were
19 simply instructed to answer as quickly as possible, "how many tennis balls can you see?"
20 The answer was always between 1-4. There were four conditions (avatar congruent, avatar
21 incongruent, light congruent, light incongruent). A fixation cross was presented between
22 scenes for 500 msecs. **B)** In the level one explicit visual perspective taking task subjects
23 were now instructed to answer as quickly as possible from either their own perspective
24 ("egocentric") or from the perspective of the avatar or traffic light ("allocentric"), "how
25 many balls you/other can see?". If a traffic light was present during the allocentric
26 condition, the subjects were instructed to imagine the light radiating out in 180 degrees
27 and answer how many tennis balls the light would directly hit. Congruency effects were
28 calculated for both accuracy and response time for both egocentric and allocentric
29 conditions. **C)** In the level two visual perspective taking task, subjects were required to
30 answer whether the number of balls they/other could see was more on the left, right, or
31 the same/zero. Again, if a traffic light was present during the allocentric condition, the
32 subjects were instructed to imagine the light radiating out in 180 degrees and answer
33 whether the light would directly hit more on the left, right, or the same/zero. The
34 congruency effect was computed in the identical manner to the level one task, with the
35 only difference being the laterality judgement. Congruency effects were calculated for both
36 accuracy and response time for both egocentric and allocentric conditions.
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42 **Figure 3.** Level two egocentric visual perspective taking. Congruency effect refers to the
43 difference in response time between incongruent and congruent trials. Moderate evidence
44 was provided for an increase in congruency effect after anodal stimulation to the dmPFC.
45 No effects of rTPJ stimulation were identified. Prior and posterior distributions, the median
46 effect size and a 95% credible interval are provided. The pie charts provide a visual
47 representation of the evidence for the null or alternate model. The boxplot displays the
48 median and the interquartile range (IQR). The whiskers extend to the most extreme
49 datapoint within $\pm 1.5 \cdot \text{IQR}$.
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52 **Figure 4.** Level two allocentric visual perspective taking. Congruency effect refers to the
53 difference in response time between incongruent and congruent trials. Strong evidence was
54 provided for a reduction in congruency effect after anodal stimulation to the rTPJ. No
55 effects of dmPFC stimulation were identified. Prior and posterior distributions, the median
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3 effect size and a 95% credible interval are provided. The pie charts provide a visual
4 representation of the evidence for the null or alternate model. The boxplot displays the
5 median and the IQR. The whiskers extend to the most extreme datapoint within $\pm 1.5 \times \text{IQR}$.
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8 **Figure 5.** Level one egocentric visual perspective taking. Congruency effect refers to the
9 difference in response time between incongruent and congruent trials. Preliminary evidence
10 was provided for an increase in congruency effect after anodal stimulation to the dmPFC.
11 No effects of rTPJ stimulation were identified. Prior and posterior distributions, the median
12 effect size and a 95% credible interval are provided. The pie charts provide a visual
13 representation of the evidence for the null or alternate model. The boxplot displays the
14 median and the IQR. The whiskers extend to the most extreme datapoint within $\pm 1.5 \times \text{IQR}$.
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17 **Figure 6.** Self-Reference Effect in Episodic Memory. Moderate evidence for an interaction
18 between stimulation sites was identified, $BF_{10} = 4.93$. Simple effects analyses, demonstrated
19 preliminary evidence for an effect of anodal tDCS in removing the SRE in episodic memory.
20 rTPJ stimulation had no effect. Prior and posterior distributions, the median effect size and a
21 95% credible interval are provided. The pie charts provide a visual representation of the
22 evidence for the null or alternate model. The boxplot displays the median and the IQR. The
23 whiskers extend to the most extreme datapoint within $\pm 1.5 \times \text{IQR}$.
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Table 1. Performance on the Visual Perspective Taking and episodic memory tasks across stimulation type and site. Response times refer to difference between incongruent and congruent trials (msecs) and accuracy is the difference in total correct between congruent and incongruent

	dmPFC		rTPJ	
	Sham mean (sd)	Anodal mean (sd)	Sham mean (sd)	Anodal mean (sd)
<i>Level two VPT</i>	N=25		N=24	
Ego CE RT	114.87 (139.44)	193.35 (132.51)	138.76 (147.85)	131.12 (190.67)
Ego CE Acc	0.52 (0.92)	0.42 (1.20)	0.69 (1.21)	0.60 (1.22)
Allo CE RT	249.81 (141.56)	223.16 (163.67)	244.31 (157.87)	108.25 (167.26)
Allo CE Acc	1.02 (1.37)	1.40 (1.33)	1.15 (1.35)	1.02 (1.65)
<i>Level one VPT</i>	N=24		N=25	
Ego CE RT	74.31 (79.09)	122.75 (116.05)	153.72 (136.35)	130.87 (128.65)
Ego CE Acc	1.50 (1.98)	1.48 (1.67)	2.02 (2.35)	1.82 (1.98)
Allo CE RT	184.35 (100.88)	149.38 (145.32)	208.32 (106.50)	184.13 (119.40)
Allo CE Acc	0.90 (1.18)	0.83 (1.04)	1.32 (1.56)	1.00 (0.91)
<i>Implicit VPT</i>	N=26		N=26	
Avatar RT	16.29 (18.98)	12.48 (24.33)	8.03 (22.72)	9.97 (25.05)
Avatar Acc	0.00 (1.47)	0.04 (1.80)	-0.27 (1.59)	0.27 (1.15)
Light RT	-10.38 (20.30)	-12.42 (19.85)	-5.77 (17.82)	-11.74 (20.43)
Light Acc	0.31 (1.74)	-0.50 (1.70)	0.50 (2.06)	0.46 (1.68)
SRE memory	0.31 (0.51)	0.04 (0.41)	0.00 (0.50)	0.15 (0.48)
SRE source	-0.08 (0.52)	-0.01 (0.43)	0.05 (0.74)	-0.14 (0.55)

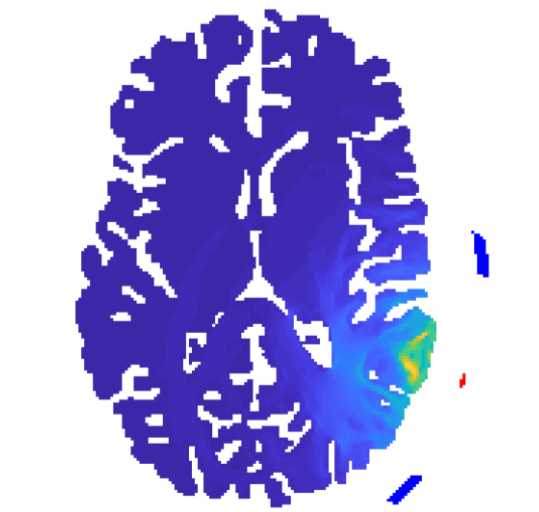
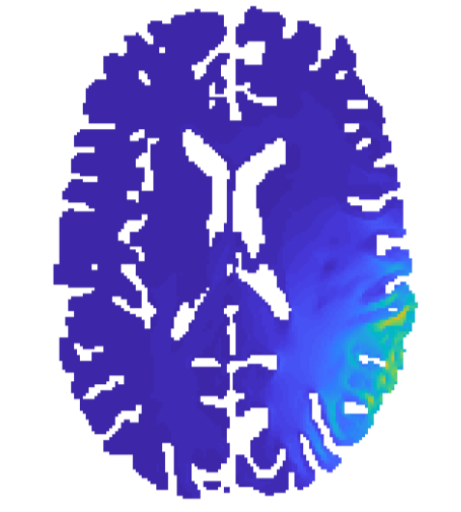
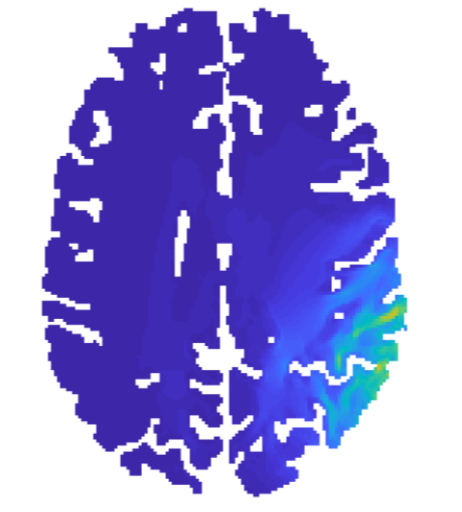
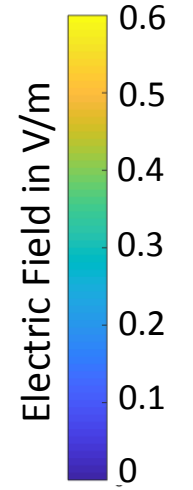
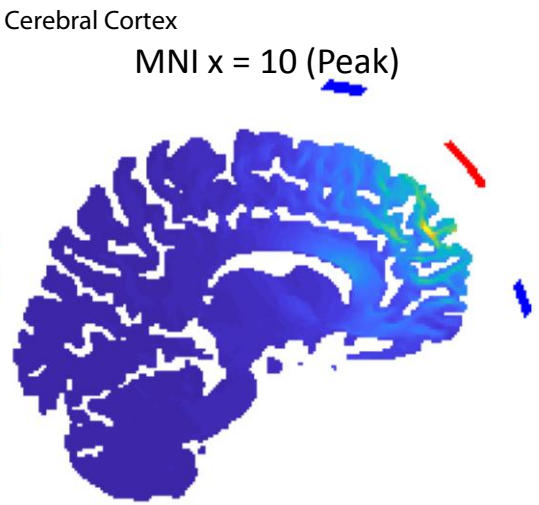
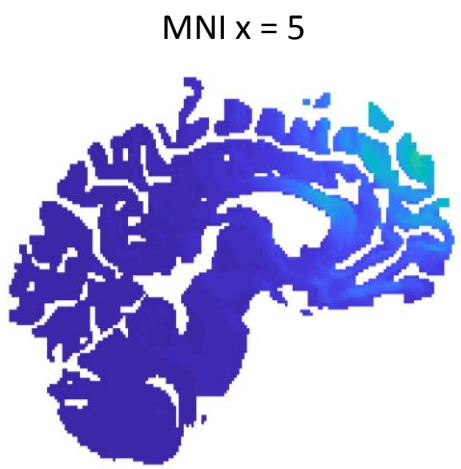
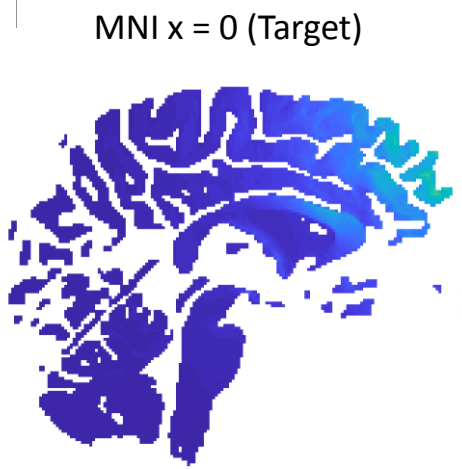
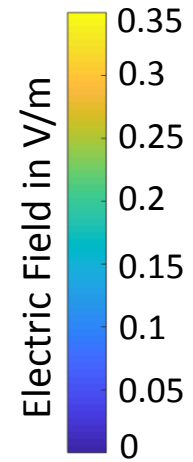
Ego= Egocentric; Allo= Allocentric; CE= Congruency effect; RT= Response time; Acc= Accuracy; VPT= Visual perspective taking; SRE= Self-reference effect

Table 2. Adverse effects and mood scale changes from pre to post stimulation for sham and anodal sessions for both dmPFC and rTPJ studies.

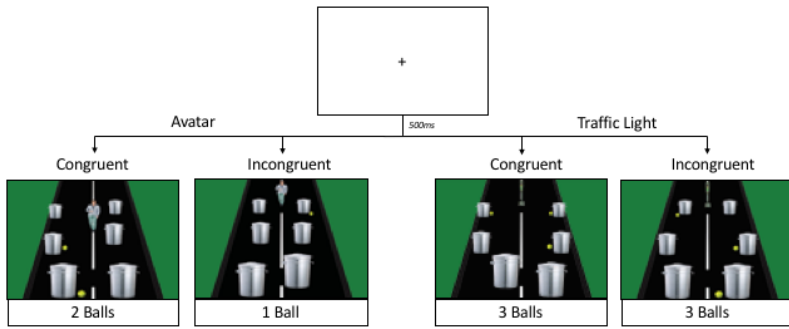
	dmPFC		rTPJ		Stim	StimxRegion
	Sham	Anodal	Sham	Anodal	BF ₁₀	BF ₁₀
VAMS negative	0.66 (12.04)	4.11 (6.56)	-0.56 (4.75)	0.37 (2.90)	0.80	0.44
VAMS positive	-3.47 (28.44)	-4.87 (20.79)	-1.19 (4.49)	-3.15 (7.89)	0.23	0.28
Adverse Effects	3.35 (2.71)	4.46 (2.52)	3.58 (1.96)	3.77 (2.57)	0.72	0.51

For Peer Review

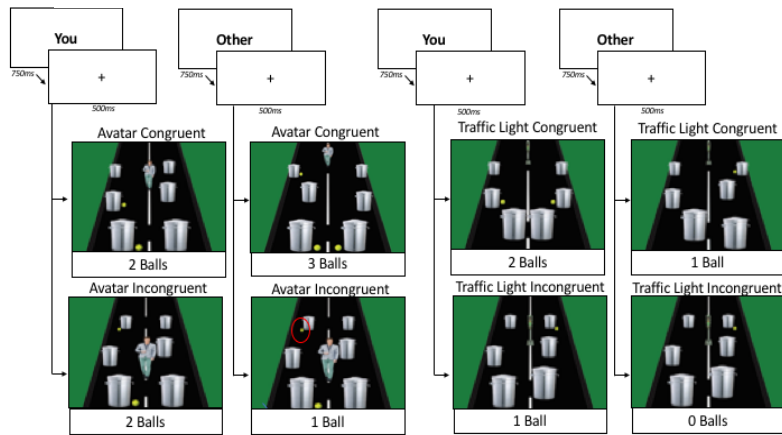
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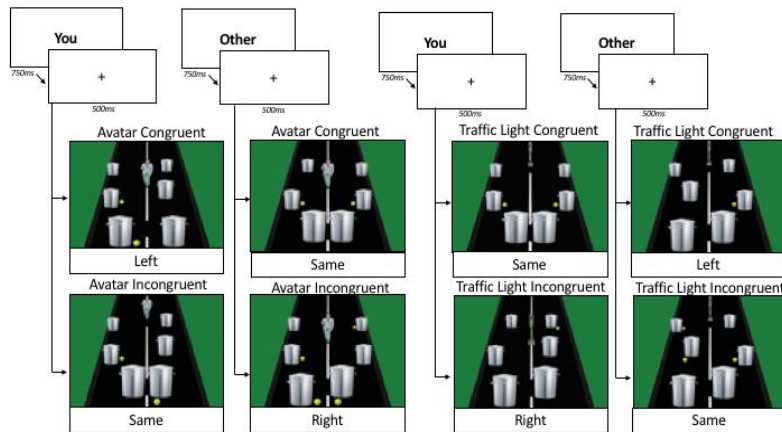
Implicit VPT: "How many balls can you see?"



VPT level 1: "How many balls can you/other see?"



VPT level 2: "Which side of the road can you/other see more balls?"



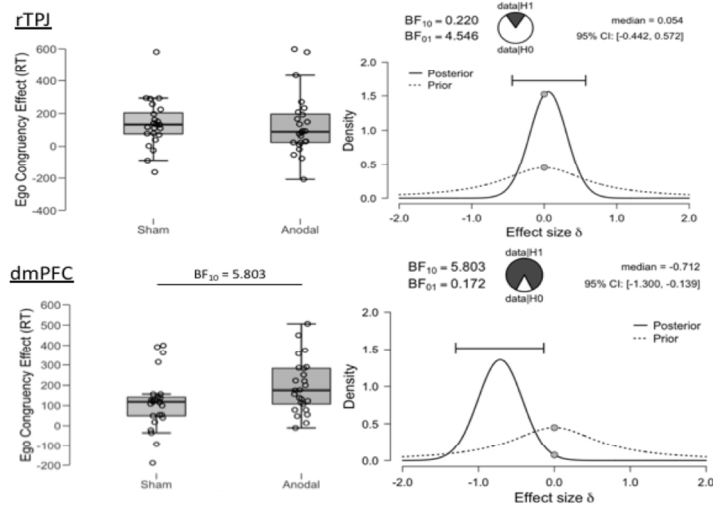


Figure 3. Level two egocentric visual perspective taking. Congruency effect refers to the difference in response time between incongruent and congruent trials. Moderate evidence was provided for an increase in congruency effect after anodal stimulation to the dmPFC. No effects of rTPJ stimulation were identified. Prior and posterior distributions, the median effect size and a 95% credible interval are provided. The pie charts provide a visual representation of the evidence for the null or alternate model. The boxplot displays the median and the interquartile range (IQR). The whiskers extend to the most extreme datapoint within $\pm 1.5 \times \text{IQR}$.

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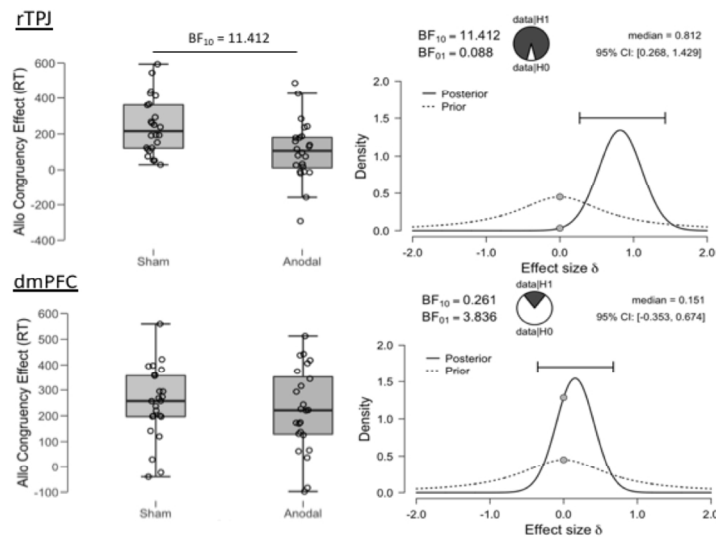


Figure 4. Level two allocentric visual perspective taking. Congruency effect refers to the difference in response time between incongruent and congruent trials. Strong evidence was provided for a reduction in congruency effect after anodal stimulation to the rTPJ. No effects of dmPFC stimulation were identified. Prior and posterior distributions, the median effect size and a 95% credible interval are provided. The pie charts provide a visual representation of the evidence for the null or alternate model. The boxplot displays the median and the IQR. The whiskers extend to the most extreme datapoint within $\pm 1.5 \times \text{IQR}$.

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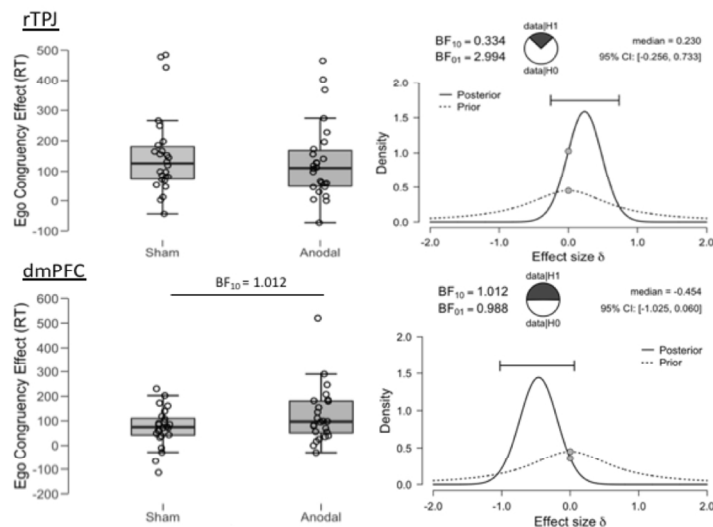


Figure 5. Level one egocentric visual perspective taking. Congruency effect refers to the difference in response time between incongruent and congruent trials. Preliminary evidence was provided for an increase in congruency effect after anodal stimulation to the dmPFC. No effects of rTPJ stimulation were identified. Prior and posterior distributions, the median effect size and a 95% credible interval are provided. The pie charts provide a visual representation of the evidence for the null or alternate model. The boxplot displays the median and the IQR. The whiskers extend to the most extreme datapoint within $\pm 1.5 \times \text{IQR}$.

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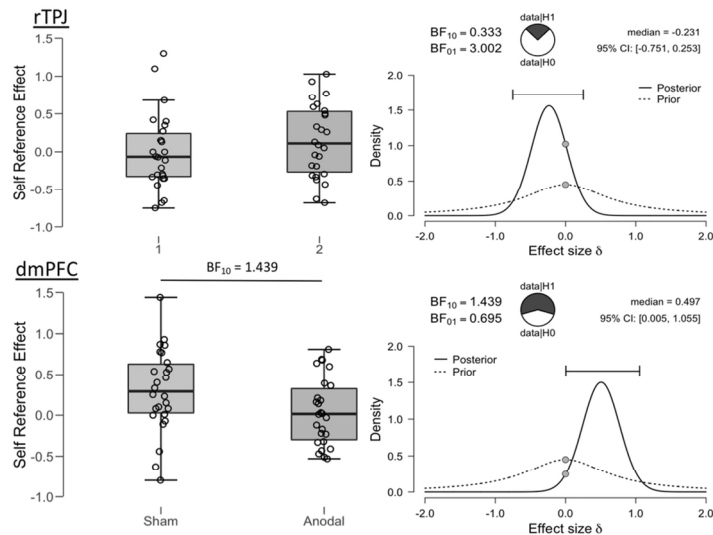


Figure 6. Self-Reference Effect in Episodic Memory. Moderate evidence for an interaction between stimulation sites was identified, $BF_{10} = 4.93$. Simple effects analyses, demonstrated preliminary evidence for an effect of anodal tDCS in removing the SRE in episodic memory. rTPJ stimulation had no effect. Prior and posterior distributions, the median effect size and a 95% credible interval are provided. The pie charts provide a visual representation of the evidence for the null or alternate model. The boxplot displays the median and the IQR. The whiskers extend to the most extreme datapoint within $\pm 1.5 \times IQR$.

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Table S1. Questionnaire and baseline cognitive data across both the dmPFC and rTPJ studies

	dmPFC (N=26)	rTPJ (N=26)	BF ₁₀	A S Q = A u t i s m Q u o t i e n t S c a l e ; H A D S
<i>Questionnaires</i>				
ASQ	14.12	14.62	0.290	
HADS-Depression	2.27	3.65	1.553	
HADS-Anxiety	7.15	7.81	0.314	
<i>Cognitive</i>				
Stroop Effect	22.01	19.79	0.430	
Phonemic Fluency	17.04	17.65	0.300	
Semantic Fluency	25.46	25.00	0.288	
ISL	29.19	28.89	0.292	
ISRL	10.35	10.23	0.292	
IDN - Acc	1.59	1.52	0.689	
ONB – Acc	1.39	1.30	1.562	
TWOB – Acc	1.31	1.23	1.159	
SETS errors	14.35	19.04	1.004	
CPAL errors	42.42	46.12	0.294	
SEC - Acc	1.18	1.13	0.597	

= Hospital Anxiety and Depression Scale; ISL= International Shopping List Learning; ISRL= International Shopping List – Delayed Recall; IDN = Identification task; ONB= One-Back Task; TWOB= Two-Back Task; SETS= Set-Switching Task; CPAL= Continuous Paired Associates Learning Task; SEC= Social-Emotional Cognition Task ; Acc= Accuracy.