#### Ex-ante Life Cycle Impact Assessment of Insect Based Feed Production in West Africa 1

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- 23 ABSTRACT

24 While the idea of using insect based feeds (IBFs) offers great potential, especially in developing 25 countries, the environmental impact of implementation remains poorly researched. This study 26 investigates the environmental performance of IBF production in the geographical context of West 27 Africa. Drawing on published life cycle inventory (LCIs) data, the impact of three different IBF 28 production systems were ex-ante evaluated (ReCiPe method) and compared to conventional feed 29 resources. The explorative life cycle study provides a basis for trade-off analysis between different 30 insect rearing systems (Musca domestica and Hermetia illucens) and provides insights on the 31 environmental performance of IBF in comparison with conventional animal- and plant based protein 32 feeds (fishmeal, cottonseed and soybean meal). The impacts of IBFs were shown to be largely 33 determined by rearing techniques and the environmental loads of rearing substrates, attesting 34 advantages to the rearing of housefly (*M. domestica*) larvae on chicken manure and the use of natural 35 oviposition, i.e., substrate inoculation through naturally occurring flies. A comparison with 36 conventional feeds pointed out the environmental disadvantages of current IBF production designs 37 (especially in comparison to plant based feeds) that were largely attributable to their different 38 position in the trophic network (decomposers) and the systems' sub-standard capacity utilisation 39 (insufficient economy of scale effect). When larvae are reared on substrates of low economic value 40 (i.e., waste streams), IBF impacts were comparable to fishmeal. The results of the comparative

41 assessment also highlighted a methodological limitation in the ReCiPe method, which does not 42 account for impacts related to the use of biotic resources. As a consequence, the utilization of 43 naturally grown resources, such as wild anchoveta, was treated as an ecosystem service of no 44 environmental charge, providing disproportionate advantages to the fishmeal system.

#### 45 1. INTRODUCTION

For generations, insects have been used as a valuable source of protein for livestock across continents
other than Europe (Van Huis et al., 2013). This traditional practice is nowadays met with renewed
interest as recent research suggests insect based feeds (IBF) as a possible solution for improving food
self-sufficiency in economically disadvantaged regions.

50 This notion is supported by various studies investigating the benefits of IBF in the framework of a 51 circular economy. Rearing dipteran species (flies) on different low-value wastes (e.g.,livestock 52 manure, food processing and market wastes etc.) provides high value protein while facilitating 53 significant reductions in waste volumes (Makkar et al., 2014; Riddick, 2014; Sánchez-Muros et al., 54 2014; Surendra et al., 2016). Dipteran insect species, such as the common housefly, Musca domestica 55 (L. Diptera: Muscidae), or the black soldier fly, Hermetia illucens (L. Diptera, Stratiomyidae), show a 56 similar amino acid profile to fishmeal (Barroso et al., 2014; Bosch et al., 2016). Of particular interest 57 are the relatively high levels of the amino acids lysine and methionine, commonly found limiting in 58 most conventional plant based protein feeds (Riddick, 2014). Larvae of *M. domestica* and *H. illucens* 59 are also rich in fat, whereas the chitin they contain may confer beneficial probiotic effects in animal 60 nutrition (Bosch et al., 2016; van Zanten et al., 2015). The nutritional benefits of IFB are supported 61 by recent feeding trials demonstrating that a full or partial replacement of fishmeal by dried larvae 62 and pre-pupae from *M. domestica* and *H. illucens* feasible for a number of fish species, as well as for 63 chickens (layers and broilers) and pigs (Devic et al., 2013; Fanimo et al., 2006; Henry et al., 2015; Hwangbo et al., 2009; Makkar et al., 2014; Riddick, 2014; Wang et al., 2017). 64

65 While the nutritional value of IBF and technical feasibility for production at scale are recognised and 66 backed by a growing body of research, the environmental impact of the substitution of conventional 67 feeds in developing countries remains inadequately researched (Halloran et al., 2016). Publications 68 that have investigated life cycle performances of *M. domestica* (Roffeis et al., 2015; van Zanten et al., 69 2014) and *H. illucens* larvae (Prandini et al., 2015; Salomone et al., 2017; Smetana et al., 2016) 70 production all focus on IBF systems developed for application in Europe. Accounting for the 71 significant disparities in climate and socio-economic conditions, these studies enable no conclusions 72 to be drawn on the potential environmental ramifications in developing countries.

This study explores the environmental performance of small-scale IBF production systems operating
in the geographical conditions of semi-arid and tropical West Africa. Drawing on generic Life Cycle
inventory (LCI) data presented in Roffeis et al. (2017), the environmental impact of three ex-ante
modelled IBF production systems are assessed: (i) production of *M. domestica* larvae on chicken

manure, inoculated through natural oviposition, i.e., attracting naturally occurring flies from the facilities' surroundings to lay eggs on the rearing substrate (hereafter named IER\_A); (ii) production of *M. domestica* larvae using a mixture of sheep manure and fresh ruminant blood, inoculated through natural oviposition (hereafter named IER\_B); and (iii) production of *H. illucens* larvae using chicken manure and fresh brewery waste (solid, protein-rich residues of fermented brewery grains), inoculated artificially, i.e., inoculated with larvae from a captive adult colony (hereafter named FfA) (Roffeis et al., 2017).

The modelled IBF production systems serve as the basis for a comprehensive life cycle impact assessment (LCIA), in which inventory flows are characterised by environmental impacts using ReCiPe (V 1.11) characterisation factors (Goedkoop et al., 2008). A benchmark comparison is made with the environmental impacts of customary plant based protein feeds (cottonseed meal and soybean meal), as well as imported Peruvian fishmeal, an animal based feedstuff whose widespread use is considered irreconcilable with sustainable development imperatives (Olsen and Hasan, 2012).

90 This LCA study provides first insights on the environmental impacts of the prospective
91 implementation of IBF in West Africa and illustrates the use of life cycle thinking as a decision-making
92 tool in the early stages of product development.

### 93 2. MATERIAL AND METHODS

The explorative life cycle study was conducted in conformity with the ISO 14040 (ISO, 2006a) and
ISO 14044 (ISO, 2006b) standards (not third-party reviewed against ISO 14040). All methods,
materials, and assumptions that are relevant to the results presented will be detailed in the following
sections.

### 98 2.1. Goal and Scope

99 This study aims at ex-ante evaluation of the environmental performance of small-scale IBF 100 production systems in the geographical context of tropical West Africa. The explorative life cycle 101 study is expected to (1) identify environmentally critical aspects of prospective IBF production in 102 West Africa; (2) reveal trade-offs between different insect rearing systems (*M. domestica* and *H.* 103 *illucens*) and rearing substrates; and (3) aid future research and development activities by offering 104 suggestions to improve the environmental performance of current production designs.

In order to fulfil these objectives, a comprehensive attributional LCA analysis is conducted, in which
 ex-ante modelled IBF production systems are characterised by environmental impact data using the

ReCiPe method (V 1.11). To test for advantages in sustainability, the estimated impacts of IBFs are compared with those of conventional feeds. As the nutritional properties and position in the trophic network are similar (i.e., animal based feed), the environmental impacts of the IBF systems are compared with Peruvian fishmeal produced from wild-caught anchoveta. Additionally, to explore the differences between animal- and plant based feeds, the impacts of IBFs are benchmarked against cottonseed meal and soybean meal.

113 2.1.1. Geographical context

114 The IBF systems examined typify up-scaled system versions of existing rearing trials in West Africa, i.e., Ashaiman, Ghana (FfA system) and Bamako, Mali (IER systems). The conditions at the two sites 115 116 serve as examples for the diverse geographical characteristics of West Africa. The climatic conditions 117 range from semi-arid and arid conditions in the northerly expansion, such as Mali (IER systems), to 118 humid and sub-humid coastal areas in the south, as can be found in Ghana (FfA systems) 119 (Schmidhuber and Tubiello, 2007). While West Africa's economy relies strongly on primary 120 production, the food and livestock producing sectors are fairly underdeveloped and largely 121 dominated by small-scale farming operations. These are either managed in integrated systems that 122 are organised around rain-fed cropping systems, or run as specialised operations, that draw on the 123 supply of local value chains and/or imports (e.g., fertilizers, agrochemicals, feeds) (Jalloh et al., 2013; 124 Zhou and Staatz, 2016).

# 125 2.1.1. System boundaries

Following the boundary settings of Roffeis et al. (2017), the LCA analysis encompasses the extraction of raw materials, manufacturing of inputs including rearing substrates, the insect rearing and residue substrate separation, and the processing of the final co-products, i.e., from "cradle to gate". The system boundary definition and allocation procedures used in the assessment of the IBF models are consistent with the decisions taken for the reference systems (i.e., conventional feeds).

131 In a similar way to the production of fishmeal and oilseed cakes, IBFs are produced from multi-132 functional processes, i.e., processes that have more than one functional outflow (ISO, 2006b). In IBF 133 systems, multi-functionality is afforded through the co-production of feed (IBF) and residue 134 substrate. The latter is rich in available plant nutrients (e.g., nitrogen, phosphorous and potassium) 135 and, likewise chicken and sheep manure, qualifies as an organic fertilizer (Kenis et al., 2014; Roffeis 136 et al., 2017). Since the outflows of IBF and residue substrate presuppose each other and functional 137 traits of both products are not yet sufficiently investigated (i.e., ileal digestibility, fertilising effect), a 138 circumvention of the multi-functionality problem through sub-division of functional in- and outflows

139 or system expansion was not practical. Thus, as suggested in the ISO 14044 guidelines, impacts are 140 allocated on the basis of causal relationships, using market prices as a measure to capture the 141 complex relations and varying attributes of jointly produced products. (e.g., economic allocation) 142 (Ardente and Cellura, 2012; Guinée et al., 2004; ISO, 2006b). Owing to similar product utilities (i.e., 143 organic fertilizer) and to ensure consistency, economic allocation was also applied to the livestock 144 systems that provide the manure rearing substrate. Assumptions on market prices and share in 145 revenues underlying the calculation of allocation factors are detailed in Appendix A, Table A1 – A5. 146 To analyse how choices on allocation procedures affect the assessment results, a sensitivity analysis 147 was conducted in which impacts were recalculated under the condition of varying fertilizer prices 148 (section 3.2.), which affects both the process impacts allocated to the insect product and the burdens 149 associated with the rearing substrate used as input for the production system. Further, the sensitivity 150 of the results in response to an impact allocation by physical attributes, i.e., mass and energy content, 151 was analysed (Appendix B).

152 2.1.2. Functional unit

As there is insufficient data on the livestock-specific ileal digestibility of IBFs (protein turnover/protein intake), the environmental performances of the IBF systems are measured against a reference flow of 1 kg IBF provided to a generic market in West Africa. Here the designation '1 kg IBF' stands proxy for 1 kg whole dried larvae with a residual water content of less than 10%. Relating the LCA results to a mass flow allows for a consistent comparison between IBFs and conventional feeds and provides opportunity to recalculate the results based on more appropriate measures once sufficient evidence is available (e.g.,ileal digestibility).

For reasons of transparency, the environmental performances of the IBF production systems are quantified for two functional units (FUs); a (1) process-based FU (hereafter called  $FU_A$ ) that calculates the system's performance without allocating impacts between IBFs and co-produced quantities of residue substrates; and (2) an output-based FU (hereafter called  $FU_B$ ), where process impacts are partitioned between IBFs and jointly produced residue substrates using economic allocation (see section 2.1.1).

# 166 2.2. Life cycle inventory (LCI)

167 This life cycle study expands on the research of Roffeis et al. (2017), who employed experimental 168 data of existing rearing trials in Ghana and Mali to model generic LCIs of three small-scaled IBF 169 production systems operating in the geographical context of tropical West Africa . The generic modelling approach of Roffeis et al. (2017) facilitated consistency to the comparative impact
assessment and allowed for a transparent analysis of contributing process flows. The generic LCI data
used in this LCA studyare presented in Table 1 and Appendix C (Table C1 – C3).

Table 1. Life Cycle Inventory (LCI) of different insect based feed (IBF) production models according to
 Roffeis et al. (2017). Comparison of the generic IER\_A, IER\_B and FfA system by relevant material and energy
 flows associated with the provision of 1 kg IBF and co-produced quantities of residue substrate to a generic
 market in West Africa. Inventory items categorised as 'manufacturing equipment' and 'consumables & supplies'
 are detailed in Appendix C, Table C1 – C3. All data presented are subject to rounding.

Life Cycle inventory (LCI)	Unit	IBF production models		
Inventory items		IER_A	IER_B	FfA
PRIMARY FACTORS				
Σ Land	m <sup>2</sup> a	0.04	0.03	0.05
Fixed	m²a	0.01	0.01	< 0.01
Variable	m²a	0.03	0.02	0.05
$\Sigma$ Built infrastructure	m <sup>2</sup> a	0.07	0.04	0.11
Insect rearing   rendering	m²a	0.06	0.03	0.10
Storage	m²a	0.01	0.01	0.01
Σ Labour	h	1.9	1.6	3.1
Labour (untrained)	h	1.5	1.1	1.9
Labour (trained)	h	0.3	0.5	1.1
INTERMEDIATE FACTORS				
Σ Substrate	kg	100.0	62.7	26.8
Manure (chicken   sheep), dried	kg	40.0	22.8	6.3
Ruminant blood, fresh	kg	-	14.2	-
Brewery waste, fresh	kg	-	-	8.9
Sorghum bran (purging)	kg	0.1	0.1	-
Saw dust (purging)	kg	-	-	0.6
Water (substrate conditioning) <sup>a</sup>	1	59.9	25.6	11
Σ Water	1	68.4	32.7	63.6
Water (process)	1	59.9	25.6	13.9
Water (cleaning)	1	8.4	7.1	19.6
Water (separation)	1	-	-	30.2
Σ Energy	MJ	0.7	0.7	3.3
Nat. gas (burned in oven/ cooker)	MJ	0.7	0.7	3.3
Σ Transport	km	0.1	0.8	0.4
Motorbike	km	0.1	0.1	0.3
Commercial vehicle (3.5 tonne)	km	-	0.7	-
Truck (7.5 tonne)	km	-	-	0.1
OUTPUTS				
Σ Process emissions				
Waste water (COD $\sim 2 \text{ kg/m}^3$ ) <sup>b</sup>	1	8.4	7.1	49.8
Emission CH <sub>4</sub> (to air)	g	15.5	10.0	11.3
Emission $N_2O$ (to air)	g	0.3	0.2	0.2
Emission $NH_3$ (to air)	g	2.8	1.8	2.1
Volatile solids (≤ 10 ųm, to air)	g	2.5	1.6	1.8
Σ Process products	kg	29.0	17.0	8.1
Residue substrate (fertilizer)	kg	28.0	16.0	7.1
IBF, dried	kg	1.0	1.0	1.0
SCALE OF PRODUCTION	kg IBF/ d	12.0	12.0	9.6

<sup>a</sup> Water used for substrate conditioning (rearing substrate), accounted for under inventory item; 'water'. <sup>b</sup> Approximated
 chemical oxygen demand (COD) of generated waste waters, i.e., 2 kg COD/m<sup>3</sup> (42 kg/21 m<sup>3</sup> waste water).

180 The three IBF systems share a similar production cycle, which starts with the sourcing of rearing 181 substrates and ends with the killing and drying of insect larvae, that are assumed to be fed to livestock 182 as dried, whole larvae (Roffeis et al., 2017). To ensure comparability and correct for seasonal 183 variations, all production functions were extrapolated from annual averages (Roffeis et al., 2017). 184 Additionally, to account for regular production outtakes (e.g., failed inoculation, parasite infestation, 185 and microbiological spoilage of substrates), safety margins were included (failure of one in 50 186 batches). To keep transportation needs to a minimum, all IBF systems are assumed to be in close 187 proximity to manure providing facilities (i.e. poultry farm and sheep feeding stables) (Roffeis et al., 188 2017).

The LCI analysis by Roffeis et al. (2017) revealed marked differences in input and output relations between the IBF systems. Differences in conversion efficiencies (conversion of rearing substrate into IBF), which follow from a complex interaction of determinants such as insect species, nutritional properties of the rearing substrate, rearing techniques and climatic conditions, were identified as the most distinguishing factors. A more detailed presentation and analysis of the modelled LCIs is presented in Roffeis et al. (2017). The main features of the IBF production models are briefly described on the following section.

196 2.2.1. IER production models

197 The LCI data published by Roffeis et al., (2017) include two production scenarios for *M. domestica* 198 reared under condition of natural oviposition. The generic IER\_A and IER\_B systems represent small 199 commercial-scale production systems that are suitable for implementation in small-holder farming 200 operations in rural areas of semi-arid West Africa. The essential difference between the IER systems 201 is the rearing substrate used. The IER\_A employs a mixture of water and dried chicken manure. The 202 rearing substrate in the IER\_B is a combination of sheep manure, fresh ruminant blood and water. 203 The production process in both IER systems is organised around three basic operational procedures, 204 i.e., substrate conditioning, larval production, and separation and drying. The IER production systems 205 are scaled to facilitate a daily output of 12.0 kg IBF, i.e., 4.4 t annually (Roffeis et al., 2017).

206 2.2.2. FfA production model

The FfA model portrays a small-scale production facility that provides protein feeds to small-holder aquaculture operations in tropical West Africa. As differentiated from the IER systems, the FfA system produces IBF from *H. illucens* and the rearing substrate consists of a mixture of brewery waste, chicken manure and water that is inoculated through larvae from a captive adult colony (i.e., artificial substrate inoculation). The use of artificial substrate inoculation results in a more elaborate 212 process organisation that cycles through six interrelated unit processes, i.e., substrate conditioning,

- egg production, larvae production, pupa production, separation (i.e., harvest) and drying. The egg
- 214 production unit consists of a number of adult colonies of different age and acts as a system-internal
- hub, where production of pupae and the larvae is synchronized with the calibrated daily egg output.
- The FfA system is assumed to maintain an adult colony at a constant number of 20,000 adult flies,
- which allows for a daily output of 9.6 kg dried insect larvae (3.5 t annually) (Roffeis et al., 2017).
- 218 2.3. Life cycle impact assessment (LCIA)
- 219 2.3.1. Background data

220 To ex-ante assess the environmental performance of the IBF production models additional data were 221 collected on (i) production characteristics of input factors, (ii) material composition and biophysical 222 attributes of manufacturing equipment, auxiliary- and operating materials, and (iii) the functioning 223 and characteristics of the prevalent agricultural value chains. Inventory data on material 224 composition, energy demand, and electronic devices were obtained from scientific and industrial 225 literature (supplementary material S1). Environmental impact data on the system's material and 226 energy flows have been extracted from the LCA database ecoinvent (V 3.1) (Guinée et al., 2004) using 227 SimaPro® (Pré, The Netherlands).

228 2.3.1. Impact assessment

229 The potential environmental impacts of IBFs and conventional feeds are calculated using the ReCiPe 230 method (V 1.11) (Goedkoop et al., 2008). The characterisation results are presented for 18 ReCiPe 231 impact categories at midpoint level and, to aid the comparison of IBFs and conventional feeds, for 232 ReCiPe single score at endpoint level (i.e., aggregated weighted score). The conversion of midpoint 233 characterisation factors into endpoint damage categories followed the egalitarian perspective, a 234 characterisation method that represents precautionary and long-term thinking and values (Aziz et 235 al., 2016; Peregrina et al., 2006). The impact data used for the characterisation of the inventory items 236 are provided in the supplementary material S1.

The impacts of plant based feeds (i.e., cottonseed meal and soybean meal) have been calculated on
the basis of generic datasets featured in the LCA database ecoinvent (V 3.1) (Guinée et al., 2004).
Environmental impact data of Peruvian fishmeal have been extracted from a study by Fréon et al.
(2017), who conducted LCAs on three Peruvian fishmeal plants using the ReCiPe method (egalitarian
perspective).

# 242 2.3.2. Data Quality and Uncertainty

243 The modelling of the IBF systems presented in Roffeis et al. (2017) involved several assumptions and 244 approximations in both foreground and background process flows, which, in addition to the risk of 245 amplification of measuring errors, may undermine the predictive value of the LCA results. Since the 246 investigated LCI models are largely orchestrated from first hand or single point data with no degree 247 of variability, it was impossible to use statistical uncertainty propagation approaches, such as Monte 248 Carlo analysis or fuzzy set theory, to analyse the model parameter uncertainty. However, a 249 comprehensive impact contribution analysis was conducted to illustrate the relative contribution of 250 inventory items to the overall results and thus highlights model parameters that are most influential 251 to the assessment results.

As the employed characterization methods and background databases are the same for all production systems, no uncertainty analysis was made for method-related biases. Fuzziness that is owed to the applied characterization methods (ReCiPe V 1.11) and used databases (ecoinvent®, V 3.1) are well documented and can be recalculated from the presented data if required (Roffeis et al., 2017).

256 3. RESULTS

# 257 **3.1.** Life cycle impact assessment (LCIA)

The LCIA results of the IBF production systems are summarized in Table 2. For reasons of conciseness and clarity, this section focuses only on the ReCiPe single score results (egalitarian perspective) expressed in impacts points (Pt). The assessment results for the 18 ReCiPe impact categories (midpoint level) and three damage categories (endpoint levels) are presented and explained in detail in Appendix D. To avoid suggesting a false level of accuracy, assessment results are presented in scientific notation rounded to one decimal place.

Table 2. Environmental characterisation of the life cycle inventories of different insect based feed (IBF)
 production systems. Comparison of the IER\_A, IER\_B, and FfA system by life cycle impacts associated with the
 provision of 1 kg IBF and co-produced quantities of residue substrates to a generic market in West Africa
 reported by ReCiPe single score (ReCiPe V 1.11; World | egalitarian perspective) expressed in impact points
 (Pt). Impacts related to the inputs of 'manufacturing equipment' and 'consumables & supplies' are detailed in
 Appendix C, Table C4 – C6. All data presented are subject to rounding.

Life Cycle impact (LCIA)	Unit	IBF p	roduction m	Data sources		
Inventory items		IER_A	IER_B	FfA	Foreground   background	
PRIMARY FACTORS						
Σ Land	Pt	2.6×10-3	2.1×10 <sup>-3</sup>	3.8×10-3		
Fixed	"	5.6×10-4	5.6×10-4	$1.0 \times 10^{+0}$	LCI e   ID f	
Variable	"	2.0×10-3	1.6×10-3	3.5×10-3	"   "	
Σ Built infrastructure	"	4.2×10-2	2.8×10-2	7.5×10-2		

Insect rearing   rendering	"	3.5×10 <sup>-2</sup>	2.2×10 <sup>-2</sup>	6.8×10 <sup>-2</sup>	"	"
Storage $\Sigma$ Manufacturing equipment a	"	0.7×10 <sup>-3</sup>	0./×10 <sup>-3</sup>	0.1×10 <sup>-3</sup>	"	   Table C4 – C6
2 Manufacturing equipment "	"	5.4×10 <sup>-5</sup>	4.2×10 <sup>-5</sup>	3.0×10 - #		
		#	#	#		
INTERMEDIATE FACTORS						
Σ Substrate	"	4.2×10 <sup>-1</sup>	1.2×10 <sup>0</sup>	4.6×10 <sup>-1</sup>		
Manure (chicken   sheep), dried	"	4.2×10 <sup>-1</sup>	$1.2 \times 10^{0}$	6.6×10-2	"	ID c
Ruminant blood, fresh	"	-	7.9×10 <sup>-3</sup>	-	"	"
Brewery waste, fresh	"	-	-	3.8×10 <sup>-1</sup>	"	"
Sorghum bran (purging)	"	1.2×10 <sup>-3</sup>	1.2×10-3	-	"	"
Saw dust (purging)	"	-	-	1.6×10 <sup>-2</sup>	"	"
Σ Water	"	3.3×10 <sup>-3</sup>	1.6×10 <sup>-3</sup>	3.1×10 <sup>-3</sup>		
Water (process)	"	2.9×10 <sup>-3</sup>	1.3×10 <sup>-3</sup>	2.2×10 <sup>-3</sup>	"	"
Water (cleaning)	п	4.1×10-4	3.5×10-4	9.6×10-4	"	"
Σ Energy	"	5.0×10 <sup>-3</sup>	5.0×10 <sup>-3</sup>	2.5×10 <sup>-2</sup>		
Nat. gas (burned in oven/ cooker)	п	5.0×10 <sup>-3</sup>	5.0×10 <sup>-3</sup>	2.5×10 <sup>-2</sup>	"	"
ΣTransport	"	6.1×10 <sup>-4</sup>	4.1×10 <sup>-2</sup>	2.7×10 <sup>-2</sup>		
Motorbike	"	6.1×10 <sup>-4</sup>	6.1×10 <sup>-4</sup>	3.9×10 <sup>-3</sup>	"	"
Commercial vehicle (3.5 tonne)	"	-	4.0×10 <sup>-2</sup>	-	"	"
Truck (7.5 tonne)	"	-	-	2.3×10-2	"	
Σ Consumables & supplies <sup>b</sup>	"	3.4×10 <sup>-3</sup>	2.5×10 <sup>-3</sup>	1.7×10 <sup>-2</sup>	"	Table C4 - C6
OUTPUTS						
Σ Process emissions	"	1.9×10 <sup>-2</sup>	1.3×10 <sup>-2</sup>	1.7×10 <sup>-2</sup>		
Waste water (COD ~ $2 \text{kg/m}^3$ ) <sup>c</sup>	п	6.4×10 <sup>-4</sup>	5.4×10 <sup>-4</sup>	3.8×10 <sup>-3</sup>	"	ID c
Emission CH <sub>4</sub> (to air)	п	5.6×10-3	3.6×10-3	4.1×10 <sup>-3</sup>	"	i "
Emission N <sub>2</sub> O (to air)	п	2.1×10-3	1.3×10-3	1.5×10-3	"	i "
Emission NH <sub>3</sub> (to air)	"	3.0×10-3	1.9×10-3	2.2×10-3	"	"
Volatile solids (≤ 10 µm, to air)	"	8.0×10 <sup>-3</sup>	5.2×10-3	5.9×10 <sup>-3</sup>	"	i "
Σ Total process impact (FU <sub>A</sub> ) <sup>d</sup>	"	5.0×10 <sup>-1</sup>	1.3×10 <sup>0</sup>	6.6×10 <sup>-1</sup>		
Residue substrate (fertilizer)	п	1.3×10-1	1.6×10-1	3.0×10-2	"	IA g
Insect larvae, dried $(FU_B)$	"	3.7×10-1	$1.1 \times 10^{0}$	6.4×10 <sup>-1</sup>	"	IA g

<sup>a</sup> Durable inventory items that facilitate the production process (results detailed in Appendix C, Table C4 – C6). <sup>b</sup> Wearable
inventory items that get used up in the production process and are replaced regularly (results detailed in Appendix C, Table
C4 – C6). <sup>c</sup> Estimated chemical oxygen demand (COD) of generated waste waters, i.e., 2 kg COD/ m<sup>3</sup> (42 kg/ 21 m<sup>3</sup> waste
water). <sup>d</sup> Impact objects (i.e., total impacts attributed to co-produced outputs). <sup>e</sup> Life cycle inventory data as published by
Roffeis et al. (2017). <sup>f</sup> Impact data (ReCiPe single scores) extracted from the LCA database ecoinvent (V 3.1) using SimaPro®
(Goedkoop et al., 2008; Weidema et al., 2013).<sup>g</sup> Impact allocation calculated in percentage relative to share in revenues (see
Appendix A, Table A3).

The environmental characterisation by ReCiPe single scores (hereafter referred to as 'single score')
reveals considerable differences between the IBF systems. The production process (FU<sub>A</sub>) of the IER\_B
system has the highest single score. Here, impacts related to the co-production of 1 kg IBF and

280 16 kg residue substrate add up to a total 1.3×10 ° Pt (Table 1-2). The production process of the FfA

system, providing 1 kg IBF and 7.1 kg residue substrate to a generic market in West Africa, ranks

second with a single score of 6.6×10<sup>-1</sup> Pt/ kg IBF. The joint production of 1 kg IBF and 28 kg residue

- substrate in the IER\_A system has the lowest impact, expressed by a single score of  $5.0 \times 10^{-1}$  Pt (Table
- 284 1-2).

The impact contribution of input categories is notably variable between the three IBF systems. The IER\_A system compares favourably for impacts associated with the input of manufacturing

- 287 equipment, transportation and rearing substrate (Table 2). Pronounced advantages of the FfA system
- 288 over either one of the two IER systems are apparent in the impacts relating to the use of rearing
- substrates, transportation and process-related emissions. The IER\_B system, although having the
- 290 highest single score, outperforms the IER\_A and FfA system in impacts associated with the input of
- built infrastructure, water, consumables & supplies and process emissions (Table 2).
- 292 The breakdown of the LCIA results by contributions of relevant inventory items offers insights on the
- 293 formation of the single score results (Figure 1). While systems show considerable differences in-
- between specific input categories (Table 2), the relative contribution of inventory items to the overall
- results appear similar in all three systems (Figure 1).



# 296

- **Figure 1. Environmental characterisation of different insect based feed (IBF) production systems.** Comparison of the IER\_A, IER\_B and FfA system by estimated impacts associated with the provision of 1 kg IBF and co-produced quantities of residue substrate to a generic market in West Africa. Breakdown of ReCiPe single score results by contributions of relevant inventory items and partitioning to co-produced IBF and residue substrates through economic allocation, calculated accordingly to their share in revenues. All data presented are subject to rounding.
- <sup>a</sup> ReCiPe single score results (ReCiPe V 1.11; World | egalitarian perspective) expressed in impact points (Pt); <sup>b</sup> Impacts
   related to the burning of natural gas (i.e., killing and drying of larvae). <sup>c</sup> Merger of inventory items that contribute less than
- 305 5% to the overall impact and costs in each impact category.

306 Rearing substrates, constituting the largest mass flow in the IBF production systems, are the major 307 contributors to the ReCiPe single scores in all three IBF systems (Figure 1). The environmental loads 308 of rearing substrates are economically allocated and thereby a function of market demand/price and 309 the environmental impact of the substrate producing systems (see section 2.1.1). The highest 310 substrate related impacts are found in the IER B system. The use of 22.8 kg sheep manure and 311 14.2 kg ruminant blood contribute a total of 1.2×10<sup>o</sup> Pt to the single score, which constitutes 92% of 312 all process induced impacts (Figure 1 and Table 2). When comparing the IBF systems by impacts of 313 rearing substrates, the 40 kg chicken manure used in the IER\_A production process is of the lowest 314 environmental load, contributing a total of  $4.2 \times 10^{-1}$  Pt to the single score results (84% of the process 315 impact). The sparing use of rearing substrates in the FfA system benefits the system's environmental 316 performance. The mixture of 8.9 kg brewery waste  $(3.8 \times 10^{-1} \text{ Pt})$  and 6.3 kg chicken manure  $(6.6 \times 10^{-2} \text{ Pt})$ 317 Pt) contributes a total of 4.4×10<sup>-1</sup> Pt to the estimated single score results (Figure 1 and Table 2). 318 Adding the impact of sawdust  $(1.6 \times 10^{-2} \text{ Pt})$ , which is used as a bedding material for the purging of 319 larvae (emptying gut content prior to pupation), substrate related impacts in the FfA system total 320 4.6×10<sup>-1</sup> Pt, which constitutes about 69% of the system's single score results (Figure 1 and Table 2).

321 Impacts associated with the sourcing of substrates (i.e., transportation) are of lower relevance but 322 are notably different between the three systems. The sourcing of ruminant blood increases transport 323 related impacts in the IER\_B system up to  $4.6 \times 10^{-2}$  Pt, i.e., about 3% of the total single score results. 324 The transport of brewery waste in the FfA system adds a total of  $2.3 \times 10^{-2}$  Pt to the system's single 325 score results (Figure 1 and Table 2). Impacts associated with the sourcing of wearable materials (i.e., 326 inventory items that require regular replacement) add little to system's single score results. Regular 327 trips to a nearby market (10 km proximity) via motorbike add  $6.1 \times 10^{-4}$  Pt to the single score results 328 of the IER systems and, because of a higher demand for nondurable auxiliary equipment and more 329 frequent gas bottle exchange (Roffeis et al., 2017), this adds  $3.9 \times 10^{-3}$  Pt to the single score results of 330 the FfA system (Figure 1 and Table 2).

The higher consumption of propane gas in the FfA system (i.e., gas bottle exchange) is due to climatic conditions of coastal West Africa, where high relative air humidity and precipitation levels do not allow for sun drying of larvae. Instead, the FfA system uses a gas oven to dry the larvae, which increases the consumption of propane gas and process related impacts, i.e.,  $2.5 \times 10^{-2}$  Pt per 1 kg IBF and 7.1 kg residue substrate (Table 2). The IER systems, operating under semi-arid climatic conditions, only burn propane gas to support the occasional killing of larvae when exposure to sun is not possible (e.g., precipitation, cloud coverage) (Roffeis et al., 2017). This lowers the unit input of propane gas and reduces the energy-related impacts (5.0×10<sup>-3</sup> Pt) in the IER systems (Figure 1 and
Table 2).

340 Another relevant contributor to the system's single score results are impacts related to the 341 production infrastructure, i.e., inputs of built infrastructure and manufacturing equipment. In the 342 IER\_A and IER\_B system, impacts associated with the production infrastructure explain 9% 343  $(4.5 \times 10^{-2} \text{ Pt})$  and 3%  $(4.5 \times 10^{-2} \text{ Pt})$  of the total process impacts, respectively (Figure 1 and Table 2). 344 Due to a more elaborate process, the FfA system shows considerably higher impacts relating to 345 production infrastructure. The input of built infrastructure and manufacturing equipment add impacts of  $7.5 \times 10^{-2}$  and  $3.8 \times 10^{-2}$  Pt to the system's single score results, which total 17% of the 346 347 process-induced impacts (Figure 1 and Table 2).

When systems are compared by allocated impacts, i.e., partitioned in function to their relative share in revenues (FU<sub>B</sub>), the differences between the IBF models are more pronounced (Figure 1). Allocated with 87% of the process associated impacts, the IBF product of the IER\_B system arrives at the highest impact. i.e., with 1.1 Pt ( $1.1 \times 10^{\circ}$  Pt) per kg IBF. The IBF product of the FfA system, attributed 96% of the process-induced impacts, ranks second with 0.6 Pt ( $6.4 \times 10^{-1}$  Pt). In the IER\_A system, the IBF product is allocated 74% of the process impacts, which results in the lowest impact per kg IBF of 0.4 Pt ( $3.7 \times 10^{-1}$  Pt) (Figure 1 and Table 2).

### 355 **3.2.** Sensitivity analysis

356 As demonstrated in section 3.1, the impacts of IBFs are largely determined by economic allocation, 357 affecting both the environmental loads of manures (rearing substrate) and the impacts allocated to 358 co-produced residue substrates (see section 2.1.1). To analyse how price assumptions underlying the 359 economic allocation influence the assessment results, a sensitivity analysis was conducted in which 360 impacts are recalculated under the condition of varying prices of organic fertilizer (manures and 361 residue substrates). To better distinguish between the effects following from changes in the 362 environmental load of manures (input flows) and the impact allocation to residue substrate (output 363 flows), the sensitivity analysis is conducted in two consecutive scenarios. In the first scenario 364 (Scenario A), changes in fertilizer prices are assumed to affect the impact allocation between co-365 products of IBF production only. In the subsequent scenario (Scenario B), price variations of organic 366 fertilizer are applied to both the impact allocation between co-products of sheep and broiler 367 production (meat and manure) and IBF production (feed and residue substrate).

368 Figure 2 illustrates the variability of the LCIA results in Scenario A, corresponding to fertilizer prices 369 of (F1) zero economic value (i.e., manure and residue substrate are considered a true waste stream); 370 (F2) 7.85 EUR/t (-50% BSL, where BSL is the baseline assuming a customary market price for 371 organic fertilizer of 15.70 EUR/t) and (F3) 23.55 EUR/t (+50% BSL). As the assumed price 372 variations only affect the revenues of residue substrates, increases in fertilizer prices are met by a 373 decrease in impacts allocated to the system's IBF products (Figure 2). Due to a relatively high output 374 of residue substrates (28.0 kg/kg IBF), changes are most pronounced in the IER A system. Here, an 375 increase of fertilizer prices from zero economic value (F1'A) to 23.55 EUR/t (F3'A) causes a variation 376 in single score results of +34% and -10% compared to the BSL price (Figure 2 and Table A4).





**Figure 2. Economic impact allocation under conditions of varying fertilizer prices applied to coproducts of insect based feed (IBF) production only (Scenario A).** Comparison of the allocated impacts (ReCiPe single score results) of IBFs from the IER\_A, IER\_B and FfA systems at a market price of organic fertilizer of (F1'A) zero economic value (i.e., chicken and sheep manure and residue substrates are considered a true waste stream); (F2'A) 7.85 EUR/ t (-50% BSL (-50% BSL, where BSL is the baseline assuming a customary market price for organic fertilizer of 15.70 EUR/ t) and (F3'A) 23.55 EUR/ t (+50% BSL). ReCiPe single score results (ReCiPe V 1.11; World | egalitarian perspective) are expressed in impact points (Pt) per kg IBF. All data presented are subject to rounding.

The FfA system, co-producing 7.1 kg residue substrate/ kg IBF, shows the lowest responsiveness towards changes in fertilizer prices. Here, impacts allocated to the IBF product range from 0.7 Pt/ kg (F1'A) to 0.6 Pt/ kg (F1'A), corresponding to a variation in single score results of +5% and -9%
compared to the BSL price (Figure 2).



391 Figure 3. Economic impact allocation under conditions of varying fertilizer prices applied to co-392 products of insect based feed (IBF) production and livestock production (Scenario B). Comparison of the 393 allocated impacts (ReCiPe single score results) of IBFs from the IER\_A, IER\_B and FfA systems at a market price 394 of organic fertilizer of (F1'B) zero economic value (i.e., chicken and sheep manure and residue substrates are 395 considered a true waste stream); (F2'B) 7.85 EUR/ t (-50% BSL, where BSL is the baseline assuming a 396 customary market price for organic fertilizer of 15.70 EUR/t) and (F3'B) 23.55 EUR/t (+50% BSL). ReCiPe 397 single score results (ReCiPe V 1.11; World | egalitarian perspective) are expressed in impact points (Pt) per kg 398 IBF. All data presented are subject to rounding.

399 The outcome of the assessment changes considerably if price variations are applied to both the 400 impact allocation between co-products of sheep and broiler production (meat and manure) and IBF 401 production (feed and residue substrate) (Figure 3). In contrast to Scenario A, the allocated impacts 402 of IBFs markedly increase in response to increasing fertilizer prices (Figure 2 and 3). Underlying this 403 relationship are changes in the allocated impacts of manures, which increase correspondingly to 404 their share in revenues generated in the broiler and sheep producing operation (Appendix A, Table 405 A2). Similar to the IBF systems, the extent to which impacts of manures increase is closely related to 406 the systems' conversion efficiency, i.e., unit output of manure per kg sheep and broiler. Due to a comparatively low feed conversion efficiency of sheep, increases in the environmental load are 407

408 particularly pronounced for sheep manure (Appendix A, Table A1-A2), resulting in an upsurge of the 409 process related impacts in the IER\_B system. However, as the variations in fertilizer prices affect both 410 the impacts (i.e., revenues) of manures (sheep and chicken) and residue substrates (IBF), the way 411 impacts of IBF respond is also a function of the system's conversion efficiency. Owing to a 412 comparatively low conversion efficiency, the IBF product of the IER A system shows the highest 413 variation in impacts. An increase of fertilizer prices from 0 EUR/t (F1'B) to 23.55 EUR/t (F3'B) 414 causes a variation in single score results of -78% and +26% compared to the BSL price, respectively 415 (Figure 3). In the F3'B scenario (23.55 EUR/ t fertilizer) almost 33% (0.2 Pt) of the process-induced 416 impacts of the IER\_A system is allocated to the residue substrate (Figure 3). The impact of the IBF 417 product from the IER\_B system shows a similar variation, although the increase from F1'B to F3'B is 418 less pronounced due to a higher conversion efficiency, i.e., less input of manure and output of residue 419 substrate per kg IBF produced (Figure 3).

The lowest relative changes in impacts are seen in the FfA system. Since chicken manure constitutes a minor component of the substrate mixture, the increases in fertilizer prices are of little relevance to the system's overall single score results. Adding to this is the comparatively low output of residue substrate (Table 1), which contracts associated revenues and lessens variations in the impacts in response to changing fertilizer prices. An increase of fertilizer prices from 0 EUR/ t (F1) to 23.55 EUR/ t causes a variation in single score results of -6% and +2% compared to the BSL price, respectively (Figure 3).

# 427 **3.3.** Comparison of IBF and conventional protein feeds

To analyse environmental advantages of current IBF production designs, allocated impacts (FU<sub>B</sub>) are
 compared with Peruvian fishmeal, cottonseed meal and soybean meal as summarized in Figure 4.



430

Figure 4. Environmental performance of insect based feeds (IBFs) and conventional feeds. Comparison of the impacts (ReCiPe single score results) of IBFs from the IER\_A, IER\_B and FfA system with those of conventional feeds. ReCiPe single scores results (ReCiPe V 1.11; World | egalitarian perspective) are expressed in impact points (Pt) per 1kg dried feed ( $\leq$  10% water). Impact allocation between IBF and residue substrate calculated accordingly to their share in revenues (economic allocation). All data presented are subject to rounding. Error bars represent the range of impacts according to the findings of the sensitivity analysis (section 3.2).

438 The comparison of IBF products and conventional feeds by ReCiPe single scores yields ambiguous 439 results. At the baseline price, i.e., economic impact allocation at customary fertilizer price of 15.70 440 EUR/ t, the impacts of IBFs compare unfavourably with conventional feeds. Ranging between 0.1 Pt (soybean meal) and 0.2 Pt (fishmeal) per kg feed, the impacts of conventional feeds are considerably 441 442 lower than the one of the lowest IBF product, i.e., IER\_A system (0.4 Pt/kg IBF). However, 443 conclusions shift under the assumption of low fertilizer prices (i.e., represented by the error bars in 444 Figure 4). When manures and residue substrates are considered true waste streams (i.e., zero 445 economic value), the impact of IBFs from the IER systems drop to 0.1 Pt/ IBF, which is comparable 446 to cottonseed meal and soybean meal (both 0.1 Pt/ kg feed) and compares favourably to the impacts 447 of fishmeal (0.2 Pt/kg feed). The impact of IBFs from the IER\_A system remains comparable to fishmeal up to a fertilizer price of 7.85 EUR/t (0.2 Pt/kg IBF) (Figure 4). 448

449 4. DISCUSSION

To facilitate understanding, the results are discussed in schematic order, starting with the environmental impacts of the IBF systems and thereafter addressing findings of the sensitivity analyses and benchmarking of IBF against conventional feeds.

# 453 **4.1.** Life cycle impact assessment (LCIA)

454 The LCIA analysis unveiled marked differences between the IBF models. A comprehensive impact 455 contribution analysis demonstrated that differences are mainly explained by systems' conversion 456 efficiencies and the specific environmental loads of rearing substrates. Roffeis et al. (2017) 457 established that conversion efficiencies are largely determined by the biophysical properties of 458 rearing substrates (i.e., energy density, protein and fibre content), providing efficiency advantages to 459 the FfA and IER\_B system using mixtures of more than one rearing substrate. The environmental 460 loads of rearing substrates, on the other hand, are the result of economic allocation and thereby a 461 function of market demand/price and the environmental impact of the substrate producing systems 462 (see section 2.1.1). What attracts attention, however, is that the economies of high conversion 463 efficiencies are seemingly offset by the environmental burden of higher quality substrates used to 464 improve the conversion efficiency of the systems (Roffeis et al., 2017). This somewhat inverse 465 relationship between conversion efficiency and environmental impact is best illustrated by the IER 466 systems. The use of chicken manure as a sole rearing substrate constrains the conversion efficiency 467 of the IER\_A system, showing effect in a high unit input of rearing substrate and surplus of co-468 produced quantities of residue substrates. The main reasons for this are a lower nutritional quality 469 of the chicken manure (low calorific value and protein content) and the fact that chicken manure was 470 sourced as a dried product (i.e., not fresh), which negatively affects its suitability as rearing substrate 471 (Kenis et al., 2018b; Oonincx et al., 2015; Roffeis et al., 2017). However, as the environmental load of 472 chicken manure  $(1.0 \times 10^{-2} \text{ Pt/ kg})$  is considerably lower than sheep manure  $(5.2 \times 10^{-2} \text{ Pt/ kg})$ . 473 impacts related to rearing substrates are lowest in the IER\_A system (Appendix E). Here, the 474 differences in the environmental loads of chicken and sheep manure are causal to the impact of sheep 475 and broiler production. The production of broilers is of lower environmental impact and associated 476 with smaller quantities of co-produced manures (Appendix A, Table A1). Given that impacts of the 477 livestock producing systems were also economically allocated, the impact of the chicken manure is 478 considerably lower than sheep manure (Appendix A, Table A1). The ruminant blood (IER B system) 479 is of little relevance to the revenues of the slaughtering process and therefore of low environmental 480 load  $(5.5 \times 10^{-4} \text{ Pt/ kg})$  and insignificant contribution to the overall impact of the system (Appendix E).

The continuity between substrate utility value and environmental impact is also apparent in the FfA system. The brewery waste used is rich in valuable proteins, dietary fibre and calories, which enhances the system's conversion efficiency (Kenis et al., 2018b; Lynch et al., 2016). However, its nutritional properties also make brewery waste a popular feedstuff for ruminant and monogastric livestock and, depending on regional demand, an important source of income for brewery operations that trade the co-produced residue as feed. The utility value is reflected in the environmental load of the brewery waste  $(4.2 \times 10^{-2} \text{ Pt/ kg})$ , which accounts for 82% of the substrate related impacts in the FfA system (Table 2 and Appendix E).

489 While the use of substrate combinations appears to benefit the system's conversion efficiency, it also 490 imposes additional sourcing (i.e., transportation) efforts. Proximity to markets and the interlinkage 491 with local value chains greatly affects the environmental and socioeconomic performance of an insect 492 production system. Impacts related to the transport of ruminant blood (IER\_B system), sourced from 493 a slaughterhouse at 10 km proximity using a commercial vehicle (3.5 t), accounts for 3% of single 494 score results in the IER B system. In the FfA system, the sourcing of brewery waste by truck (7.5 t) 495 from a brewery in 20 km proximity make up almost 4% of the process-induced impact. Although 496 proximity to substrate providing facilities is performance-critical, the environmental efficiency of 497 transportation also depends on the water content of the rearing substrates. This not only shapes the 498 frontiers of environmentally sound sourcing strategies, it also explains the environmental 499 advantages of a direct integration of insect production systems into substrate providing operations, 500 as seen in the case of the IER\_A system.

501 Other factors influencing the systems conversion efficiency and environmental performance are 502 larval development time and inoculation practices, i.e., the method by which eggs or larvae are added 503 to the rearing substrates (Roffeis et al., 2017). The larvae of H. illucens have a longer larval 504 development phase and reach a higher individual mass than *M. domestica* (Kenis et al., 2018a, 2014). 505 This enables a more effective penetration and mixing of the rearing substrates and a greater degree 506 of feeding resulting in a more efficient substrate conversion in the FfA system (Roffeis et al., 2017). 507 Added to this are the operational advantages of artificial inoculation (i.e., adjustment of stocking 508 densities towards substrate quality and quantity), improving the efficiency and manageability of 509 process flows in the FfA system (Kenis et al., 2014; Roffeis et al., 2017). However, artificial substrate 510 inoculation has environmental disadvantages as the maintenance of two interlinked production units 511 (i.e., egg- and larvae production unit) increases the relative inputs of production infrastructure (i.e., 512 built infrastructure and manufacturing equipment) and intermediate production factors, such as 513 consumables and supplies, space and water (Roffeis et al., 2017). In the FfA system the impacts 514 related to the use of production infrastructure and consumables and supplies amount to 515  $1.3 \times 10^{-1}$  Pt/kg (22% of the process impacts), which is ca. 2.7 and 3.7 times higher than related 516 impacts in the IER A and IER B system, respectively (Table 2 and Annex C, Table C3 – C6). The slight 517 differences between the IER\_A and IER\_B systems basically align to the findings of the LCI analysis

(Roffeis et al., 2017), showing that a decrease in conversion efficiency is directly mirrored by an
increase in the occupation of built infrastructure (Table 2 and Annex C, Table C3 – C6).

The trade-off relationship between conversion efficiency and environmental performance is more pronounced when systems are compared by allocated impacts of the IBF product. The lower conversion efficiency of the IER\_A system reciprocates in a higher output of residue substrate, which in turn increases the revenues from residue substrate and decreases the share of impacts being allocated to the IBF product. The FfA system, showing the highest conversion efficiency, profits the least from the trade of residue substrates, as larger shares of process induced impacts (about 96%) are allocated to the IBF product (section 3.1).

# 527 4.2. Sensitivity analysis

528 The sensitivity analysis showed a strong deviation of the impacts of IBFs in response to variations in fertilizer prices (i.e., manure and residue substrate) underlying the economic impact allocation 529 530 between co-products of livestock production (i.e., IBF production and sheep and broiler production). 531 Under the assumption that fertilizer prices only affect the revenues of IBF production (i.e., share of 532 revenues from residue substrates), an increase in fertilizer prices caused a reduction of impacts 533 economically allocated to the systems' IBF products in function of the systems' conversion efficiency, 534 i.e., unit output of residue substrate per kg IBF (Figure 2). However, as market changes apply to all 535 links in a local value chain, variations in fertilizer prices also affect the environmental loads coming 536 along with the input of manures (section 3.2). Taking this rationale into account changed the outcome 537 of the assessment results. The increase of fertilizer prices caused a substantial increase in the 538 environmental loads of manures economically allocated from the sheep and broiler producing 539 systems (Appendix A, Table A2). In cases where the inputs of manures surpass the quantities of co-540 produced residue substrates (IER systems), allocated impacts of IBFs exhibited a marked increase in 541 response to increasing fertilizer prices (Figure 3).

However, as the tested allocation scenarios affected both the impact of manures and the share of impacts being allocated to the residue substrates, the extent to which impacts of IBF deviated was also closely related to the system's conversion efficiencies. Due to lower conversion efficiencies, the impacts of the IER\_A and IER\_B system responded most sensitively towards variations in fertilizer prices. The increase of fertilizer prices was followed by a marked increase in process impacts and, to a lesser extent, allocated impacts of the IBF products. In both systems, the allocated impacts of IBF products were lowest when organic fertilizers are considered true waste stream, i.e., zero economic

549 value. This nullified the environmental burden of manures (input flows) and the share of impacts 550 allocated to residue substrates (output flows), which, when totalled, reduces the impacts of IBFs from 551 the IER systems to a single point score of 0.1 Pt/kg IBF (allocated with 100% of the process-induced 552 impacts). The FfA system responded less sensitively to changes in fertilizer prices, as substrate 553 related impacts are mainly due to inputs of brewery waste (i.e., about 82% of substrate-related 554 impacts). As chicken manure is a minor component in the substrate mixture of the FfA system (Table 555 1), the increase in process impacts was offset by an increasing share of impacts being allocated to the 556 residue substrates, causing a slight reduction in the allocated impacts of the IBF in response to 557 increasing fertilizer prices (Figure 3).

558 While the findings of the sensitivity analysis highlight the ambiguity of the LCIA results, they also 559 demonstrate the influence of socioeconomic conditions on the environmental performance of the IBF 560 systems. The environmental loads of substrates are calculated as a function of their utility values at 561 a given time and within a specific geographical context. Here the utilization of true waste streams, 562 i.e., products or mass flows of no economic value and environmental load, has proven most 563 favourable. However, the idea of valorising true waste streams (zero economic value) poses a 564 contradiction in itself, as the economic value of yet unused material flow would necessarily increase 565 if IBF production offers an opportunity for their commercial exploitation. In other words, true waste 566 streams are likely to vanish if technological progress enables their reuse within a circular economy 567 (Geissdoerfer et al., 2017). The environmental impacts of possible rearing substrates are further 568 subject to present production and consumption patterns, which can vary immensely between 569 geographical contexts and in time. Taking West Africa as an example, it seems likely that the 570 economic value (and thereby environmental loads) of organic residues will rise in the near future 571 alongside all products in agricultural value chains in response to projected increases in food demand 572 and decreases in soil fertility (Hollinger and Staatz, 2015; Palazzo et al., 2016). Against this 573 background, any recommendations on suitable rearing substrates require caution. Instead, 574 prospective insect farmers should develop individual implementation strategies based upon careful 575 consideration of local production and consumption patterns placing particular importance on 576 substrate availability. This is especially important, as the implementation of IBF production would 577 raise regional demand (i.e., utility value) for the substrate of choice.

### 578 **4.3.** Comparison of IBF and conventional protein feeds

579 The comparison with conventional feeds points to environmental disadvantages of current IBF 580 production systems, especially in relation to plant based feeds. The differences between IBF and plant 581 based feeds are best explained by the contrasting mechanisms of nutrition in insects and plants. Soy 582 and cotton are photoautotroph and thus at the first level of the trophic pyramid (i.e., primary 583 production). Given that approximately 10% of the original energy of the sun is passed from one to 584 another level, the production of proteins and calories through plants is generally more resource-585 efficient. In contrast, insects and anchoveta used for the production of fishmeal are 586 chemoheterotroph organisms (decomposer and consumer), which ingest or absorb organic carbon 587 to grow and maintain their life. As decomposers (or consumers), they only utilize a fraction of the 588 original energy, land, water and resources used to build the organic material they are feeding on. 589 Whilst this line of argumentation is often put forward in support of vegetarianism, it also holds true 590 for feeds, as is exemplified by the notable differences between plant- and animal based feeds (i.e., IBF 591 and fishmeal).

592 Ecologic causalities also provide an indirect explanation for the differences between IBF and 593 fishmeal. The impacts of using wild-caught anchoveta for the production of fishmeal are considerably 594 lower than the impact contribution of rearing substrates in the production of IBF. What appears 595 counterintuitive, is largely rooted in methodological peculiarities. Although the ReCiPe method 596 accounts for relevant abiotic stress factors, such as climate change or acidification processes, it does 597 not capture impacts relating to the use of biotic resources, such as damages on marine ecosystems 598 caused by an overuse of small pelagic fishes for fishmeal production (Avadí and Fréon, 2013; Burgess 599 et al., 2013; Goedkoop et al., 2008; Saarikoski et al., n.d.; Sanchirico et al., 2008). The serviceability of 600 biotic resources, such as wild fish, relies on complex interactions between biotic and abiotic entities 601 and the quantification of their formation and renewal rates remains one of the major challenges in 602 ecology (Edwards and Abivardi, 1998; Salles, 2011). As the LCA community lacks consensus on how 603 to address these constraints (Avadí and Fréon, 2013; Langlois et al., 2014; Woods et al., 2016), the 604 utilization of naturally grown resources, such as anchoveta or naturally occurring flies, are 605 considered as an ecosystem service that comes free of any environmental charge (Avadí and Fréon, 606 2013; Goedkoop et al., 2008; Sanchirico et al., 2008). As a matter of cause, substrate related impacts 607 in the fishmeal system are reduced to the environmental impacts associated with the fishing activities 608 (Fréon et al., 2017) providing disproportionate advantages over the IBFs systems, which, in contrast, 609 use energy, materials, land, technological equipment and labour to grow biomass themselves (insect 610 larvae). In other words, what is the marine food web for the fishmeal system, is the rearing process

611 in IBF production. Advantages of using ecosystem services also come to the fore when comparing the 612 environmental performances of the FfA and IER systems. Though not necessarily attributable to 613 methodological shortfalls in the ReCiPe method, the use of natural oviposition, i.e., an ecosystem 614 service free of environmental charge, clearly benefits the environmental performance of the IER 615 systems. The FfA system, in contrast, maintained separate adult colonies to facilitate substrate 616 inoculation artificially, which increases the unit input of production infrastructure causing sizeable 617 disadvantages to the environmental performance of the FfA system (see section 3.1.).

618 Other factors compromising the environmental performance of IBFs are the comparatively low scale 619 of production and the technical immaturity of current system designs. As a highly automated and 620 industrial production process, the fishmeal system benefits greatly from economies of scale. The 621 maximized capacity utilization of large-scale processing infrastructure and means of transportation 622 causes a relative depreciation in respective unit inputs, which directly translates into a favourable 623 environmental and economic performance (Fréon et al., 2017). The IBF systems, on the other, 624 represent novel production designs that are not yet properly geared towards the competitive 625 constraints in a globalized economy. One consequence of this absence of rationalization force is that 626 manufacturing equipment and built infrastructure are not used to their full capacity (low economies 627 of scale), resulting in a generally high impact contribution of production infrastructure, consumables 628 and supplies. However, the extent to which this finding can be generalized requires further 629 investigation. The influence of economies of scale on the systems' environmental performance should 630 be of particular ongoing interest given that upscaling is one of the key measures taken in the 631 commercial optimisation of novel product systems.

632 However, as is the case with any LCA study, readers need to consider the presented results within 633 the context of limitations. Most importantly with respect to the comparative assessment, readers should be aware that the impacts of conventional feeds correspond to generic product systems, 634 635 which do not include, for instance in the case of imported Peruvian fishmeal, impacts related to 636 transportation from a port of discharge to a generic market in West Africa. Whilst the relative 637 contribution of impacts associated with the transport by transoceanic tankers or large-scaled 638 transport lorries is generally small when calculated per unit product transported (economies of 639 scale), this general rule might not be applicable to the West African context. The interplay of 640 timeworn transport vehicles and a poorly maintained road infrastructure, makes transportation in 641 West Africa particularly resource- and time consuming (Teravaninthorn, 2009). As a consequence, 642 Peruvian fishmeal at a generic market in West Africa could be of much higher impact than the one 643 considered in the comparative assessment. Further, it ought to be noted that a comparison of the

environmental performances of feeds by mass output does not take into account the differences in
the nutritional performance of feed products. Given the differences in amino acid patterns, fatty acids
and calories and fibres of the compared feedstuffs, it is likely that the comparative assessment would
yield different outcomes when system's performances are compared based on more appropriate
measures, such as livestock-specific ileal digestibility (protein turnover per protein intake) of
compared feedstuffs.

# 650 5. CONCLUSIONS

651 This study demonstrates that the impact of IBF production is largely determined by the 652 environmental impact of rearing substrates in the geographical context of West Africa. To ensure 653 environmental soundness, prospective insect farmers should opt for the utilization of substrates that 654 are available in sufficient volume and, in an optimal case, not yet harnessed in other value chains, as 655 any market competition in use is paralleled with an increase in environmental load. In this context, 656 the use of waste streams, i.e., products of low economic value, has proven most favourable. A direct 657 integration of insect production systems into substrate providing operations offers further 658 improvements, as it helps to reduce impacts related to the transportation of substrates.

The LCIA results also suggest advantages of natural oviposition over artificial substrate inoculation.
The interplay between egg and larvae production involved a sequence of complex operation steps,
which caused a high itemization and resulted in surpluses in impacts related to the use of production
infrastructure and consumables and supplies.

663 A comparison with conventional feeds yielded ambiguous results. Although results vary under 664 conditions of low fertilizer prices, the comparative assessment points towards environmental 665 disadvantages of current IBF production designs, especially in reference to plant based feeds. 666 Disparities between IBF and conventional feeds were mainly attributable to economies of scale and 667 trophic differences. Provided larvae are reared on low-value waste streams, the impacts of IBFs from 668 the IER A system were comparable to fishmeal. The results of the comparative assessment also point 669 to methodological limitation of the ReCiPe characterisation method, which does not account for the 670 impacts related to the use of biotic resources. As a consequence, the utilization of naturally grown 671 resources, such as wild anchoveta, was treated as an ecosystem service of no environmental charge, 672 providing disproportionate advantages to the fishmeal system.

While the sensitivity analysis demonstrated the possibilities to influence the assessment outcomes
through methodological choices, it also bears testament to the vagueness of the LCIA results. The exante assessment of the IBF production models required assumptions and approximations in the

676 foreground and background inventory data, as well as the use of proxy data to determine 677 environmental characterization factors and applicable market dynamics. Given these multiple 678 sources of model uncertainty, the results are inevitably afflicted with uncertainty. Therefore, the 679 derived findings and recommendations must be interpreted and communicated with due care. 680 Furthermore, results are highly site-specific and do not allow to general conclusions on IBF 681 production to be drawn.

682 Nevertheless, this study illustrates how an ex-ante LCA assessment facilitates valuable feedback to 683 guide development activities and design processes towards environmental sound production 684 patterns. This study shall further serve as a reference point for scientific discussions and as an 685 inspiration for future research in the domain of eco-design and life cycle management.

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