# Systematic collapse of the accretion disc in AGN confirmed by UV photometry and broad-line spectra

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### ABSTRACT

A recent study on the spectral energy distribution (SED) of active galactic nuclei (AGNs) combined unobscured X-ray sources from the *eROSITA* eFEDS Survey with high quality optical imaging from Subaru's Hyper Suprime-Cam (HSC). The HSC data enabled accurate host galaxy subtraction as well as giving a uniform black hole mass estimator from the stellar mass. The resulting stacked optical/X-ray SEDs for black holes at fixed mass show a dramatic transition, where the dominating disc component in bright AGN evaporates into an X-ray hot plasma below  $L/L_{Edd} \sim 0.01$ . The models fit to these data sets predicted the largest change in SED in the rest frame UV (< 3000 Å), but this waveband was not included in the original study. Here we use archival *u*-band and UV photometry to extend the SEDs into this range, and confirm the UV is indeed intrinsically faint in AGN below  $L/L_{Edd} \sim 0.01$  as predicted. This dramatic drop in UV photoionizing flux is also seen from its effect on the broad emission lines. We stack the recently released SDSS DR18 optical spectra for this sample, and show that the broad H $\beta$  line disappears along with the UV bright component at  $L/L_{Edd} \sim 0.01$ . This shows that there is a population of unobscured, X-ray bright, UV faint AGN which lack broad emission lines (true type 2 Seyferts).

Key words: accretion, accretion discs – black hole physics – galaxies: active.

# **1 INTRODUCTION**

Active galactic nuclei (AGNs) are powered by accretion onto a supermassive black hole (SMBH), producing strong emission over a wide electromagnetic range. The classic 'standard' model of the accretion flow is an optically thick, geometrically thin disc (SS disc; Shakura & Sunyaev 1973), where the gravitational energy released at each radius thermalizes locally to produce a (quasi)blackbody spectrum. The disc temperature increases at smaller radii such that the total spectrum is a sum of blackbody components (i.e. a multicolour blackbody) (e.g. Mitsuda et al. 1984), which typically peaks in the extreme ultraviolet (EUV) for bright AGN (e.g. Elvis et al. 1994). This peak is not easily observed due to interstellar absorption within our Galaxy, though models generally predict a strong blue component, as observed (the 'big blue bump'; Malkan & Sargent 1982; Laor & Netzer 1989; Sanders et al. 1989), as well as prominent emission lines (e.g. Vanden Berk et al. 2001) produced from photoionization by the strong EUV disc continuum.

However, the accretion flow in AGN is clearly more complicated than a standard SS disc. AGN show ubiquitous X-ray emission (e.g. Elvis et al. 1994; Lusso & Risaliti 2016), with a typical powerlaw continuum extending to a few hundred keV (Walter & Fink 1993; Kang & Wang 2022). This cannot originate from the disc. Instead they indicate the presence of an optically thin hot plasma (i.e. the corona) near the central black hole, where seed photons from the disc are Compton upscattered to X-ray energies, producing the power-law continuum (Haardt & Maraschi 1991, 1993). Below  $\sim 2$  keV, however, the X-ray spectrum often deviates from a single power-law continuum, instead showing an upturn (soft X-ray excess; Boller, Brandt & Fink 1996; Gierliński & Done 2004; Bianchi et al. 2009). This can be modelled as partially ionized reflection from the accretion disc (e.g. Crummy et al. 2006). However, the upturn generally appears to connect to a downturn in the UV, occurring before its expected peak (Zheng et al. 1997; Telfer et al. 2002; Shull, Stevans & Danforth 2012). Hence, the UV downturn and soft X-ray excess can instead be modelled together by a warm Comptonization component (i.e. warm corona, Magdziarz et al. 1998; Done et al. 2012; Jin et al. 2012; Jin, Done & Ward 2017; Petrucci et al. 2018). This can dominate the bolometric luminosity, and is optically

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thick, so is likely the disc itself. However its spectrum is clearly not thermalizing into a blackbody as in SS disc models.

The SS disc models also fail to explain the variability of AGN, which can be more than an order of magnitude (Ren et al. 2022) on time-scales of months to years in the UV/optical band (e.g. Vanden Berk et al. 2004; MacLeod et al. 2010), while the typical viscous time-scale of a standard disc is thousands of years (Lawrence 2018; Noda & Done 2018). A potential solution is provided by the X-ray reprocessing model (Clavel et al. 1992; Cackett, Horne & Winkler 2007), where the variable X-rays produced by the compact hot corona illuminate the disc, driving the UV/optical variations. However, intensive monitoring projects now clearly show that the observed optical fluctuations are poorly modelled by X-ray reprocessing (e.g. the compilation of Edelson et al. 2019). It is possible that X-ray reprocessing is the driver of the observed optical variability if the hot corona geometry is also changing in a complex way with Xray flux (Kammoun et al. 2023), but it seems more likely that the observed optical variability is indeed intrinsic (Cai et al. 2018; Cai, Wang & Sun 2020), unlike the predictions of the standard disc model (Hagen & Done 2023; Hagen, Done & Edelson 2024a).

Intrinsic disc variability is clearly seen in the rare 'changinglook' or 'changing-state' AGN (e.g. LaMassa et al. 2015; Ruan et al. 2016; Yang et al. 2018; Temple et al. 2023a). These objects show the appearance/disappearance of the broad emission lines on a typical time-scale of years, often along with strong UV/optical continuum variations of a factor > 10 (MacLeod et al. 2016). A large fraction of these have correlated IR variability, showing that the UV variability affects the irradiating flux on the torus, so its variability must be due to an intrinsic change of the accretion state rather than changing obscuration along our line of sight (Sheng et al. 2017). The changing spectral energy distribution (SED) of the accretion flow is seen directly in detailed studies of individual objects, e.g. Mrk 1018 (Noda & Done 2018), where the bright UV collapses at Eddington ratio  $L/L_{\rm Edd} = \dot{m} \sim 0.01$  (Noda & Done 2018), similar to the state transitions widely observed in X-ray binaries (see the review by Done, Gierliński & Kubota 2007).

This can be interpreted as the luminosity at which the standard disc transitions into a hot, geometrically thick, and radiatively inefficient accretion flow (e.g. Narayan & Yi 1995; Yuan 2007). The SED of low luminosity/accretion rate AGN do appear consistent with this, as they lack a strong UV disc component (Ho 1999; Laor 2003; Elitzur & Ho 2009; Trump et al. 2011). However, these are observationally very difficult to select and investigate due to the much stronger contamination from the host galaxy emission drowning out the AGN, limiting the previous analyses to individual sources or small samples.

These limitations were circumvented by Hagen et al. (2024b) (hereafter H24). They used *eROSITA* X-ray selected AGN from the eFEDS field (Brunner et al. 2022; Liu et al. 2022) to unambiguously identify the AGN, together with high-quality Subaru Hyper Suprime-Cam (HSC) multiband images (Aihara et al. 2022) allowing for the decomposition of the host galaxy from the AGN emission by Li et al. (2024). The resulting AGN spectra were binned as a function of black hole mass (using the stellar mass as a proxy) and AGN optical luminosity. Taking the single mass bin of log  $M_{\rm BH} = 8 - 8.5$  gives a sequence of SEDs as a function of Eddington ratio,  $\dot{m} = \dot{M}/\dot{M}_{\rm Edd} = L/L_{\rm Edd}$ . H24 found that the resulting SEDs show a dramatic drop of the blue disc continuum for sources with  $\dot{m}$  below ~ 0.01. Their modelling predicted that the largest change in the SED should be in the UV/EUV, however this waveband was not covered by their data.

In this work we extend these SEDs from H24 to the restframe UV using SDSS-u, KiDS-u, GALEX-NUV, and GALEX-FUV photometry. This confirms the dramatic drop in the UV continuum predicted by the models for  $\dot{m} \lesssim 0.01$ , indicating a collapse of the optically thick accretion disc emission for low luminosity AGN.

The dramatic drop in UV photoionizing flux predicts a similar drop in the strength of the broad emission lines, as discussed in H24. Only SDSS DR16 was available at time of their study, which did not include many of the lower luminosity AGN in this sample. However, the latest data release of SDSS (DR18; Almeida et al. 2023) targets a large number of eFEDS sources, as part of the SDSS-V/eFEDS survey, which now enables a detailed spectral investigation. These stacked spectra show the broad emission lines are indeed absent or extremely weak in sources with  $\dot{m} \lesssim 0.01$ , as predicted by the change in the continuum.

While our new study generally confirms the SED models of H24, it does also reveal a small discrepancy in the higher luminosity AGN. The disc models based on optically thick, warm Comptonization give a smoothly increasing continuum flux, whereas the UV data have a generic break at  $\sim 1000$  Å as seen in previous work focused on the UV bandpass (Zheng et al. 1997; Telfer et al. 2002; Shull et al. 2012; Cai & Wang 2023; Cai 2024). This must be intrinsic rather than connected to dust in either the AGN or the host galaxy as the X-ray selection only included unobscured AGN. This likely points to the importance of atomic physics in the disc, perhaps due to UV line-driven winds (Laor & Davis 2014).

The paper is organized as follows. In Section 2 we detail the sample and data selection. In Section 3 we show the impact the ancillary UV data have on the SEDs, and in Section 4 we show the impact on the broad emission lines. As in H24 we assume a standard cosmology, from the Planck 2018 results (Planck Collaboration VI 2020).

# 2 SAMPLE AND DATA

#### 2.1 An eFEDS-HSC AGN sample

We start from the subsample in H24, composed of 1305 AGN with black hole masses of  $\log(M_{\rm BH}/M_{\odot})$  between 8.0 and 8.5. In brief, this consists of low-absorption (intrinsic  $N_{\rm H} < 10^{22} \,{\rm cm}^{-2}$ ) AGN from eFEDS (Liu et al. 2022), with high-quality Subaru Hyper Suprime-Cam (HSC) multiband images (Li et al. 2024), which allow effective AGN-host decomposition (Ishino et al. 2020; Li et al. 2021a). The black hole masses are estimated via the  $M_{\rm BH} - M_{\rm stellar}$  relationship (Ding et al. 2020; Li et al. 2021b), where the stellar mass is measured from the HSC images (Li et al. 2024). Compared with previous optically selected samples, this sample contains sources spanning a wide luminosity range (over three orders of magnitude in the optical) with similar black hole masses, and particularly a large number of low-luminosity sources (see Fig. 3 in H24). This provides a unique opportunity to investigate how the SED evolves with accretion rate, within the range of  $0.01 \le \dot{m} \le 1.0$ . We now extend these into the UV, in order to critically test the predicted collapse of the optically thick disc emission.

# 2.2 GALEX

The Galaxy Evolution Explorer (GALEX; Martin et al. 2005) performed an all-sky survey in two ultraviolet bands: far-UV (FUV, 1350–1750 Å) and near-UV (NUV, 1750–2750 Å). To conduct an unbiased analysis of the UV properties for both luminous and faint sources, we take both UV detected and undetected sources into account. First we cross-match our sample with the GALEX legacy data release GR6plus7,<sup>1</sup> includes 44 884 imaging tiles (Bianchi, Shiao & Thilker 2017). 941 sources from the original sample are found to have a FOV offset  $\leq 0.5^{\circ}$  (Bianchi 2014) in at least one effective tile with both FUV and NUV exposures > 1 s.

We then search the GALEX\_GR6Plus7 catalogue<sup>2</sup> for *GALEX* counterparts of these sources, requiring a match radius of 2.6 arcsec (Trammell et al. 2007), to get 616 sources with *GALEX* counterparts. For sources detected in multiple tiles, we adopt the one with the longest FUV exposure time.

We adopt a  $3\sigma$  criterion to decide if they are detected in each band, and calculate the  $3\sigma$  upper limits when not. Out of the 616 sources, 394, 509, and 373 sources are detected at  $3\sigma$  level in FUV, NUV, and both bands, respectively.

For sources without a *GALEX* counterpart, we estimate the  $3\sigma$  detection limits based on empirical relationships with exposure time as follows,

$$mFUV_{lim}(mag) = 1.61 \times \log 10(t_{NUVexp}) + 18.36$$
(1)

$$mNUV_{lim}(mag) = 1.10 \times \log 10(t_{NUVexp}) + 19.94$$
<sup>(2)</sup>

Note these revised relationships derived in Cai & Wang (2023) lead to a more conservative constraint of the undetected sources (larger upper limits), compared with the 50 per cent-complete detection limits derived in Vanden Berk et al. (2020).

#### 2.3 SDSS photometries

The eFEDS field is covered by the Sloan Digital Sky Survey (SDSS; York et al. 2000), which provides the *u*-band photometry complementary to HSC (*grizy*). We search the archival SDSS photometric observations<sup>3</sup> (Data Release 18; Almeida et al. 2023) for our sample, and successfully find SDSS counterparts for 1124 sources (matching radius = 1 arcsec). Following the official recommendation on the use of photometric processing flags, we select only data with 'mode = 1' and 'clean = 1', leaving 1103 sources. For each source, we adopt the MODELMAG and its error (*u* and *err\_u* in the SDSS catalogue), and convert them into flux and its error based on the asinh magnitude system (Lupton, Gunn & Szalay 1999), after performing a correction of *u*-band zero-point by 0.04 mag.<sup>4</sup> Adopting a  $3\sigma$  criterion, we obtain fluxes for 690 sources, and upper limits for 413 sources.

# 2.4 KiDS

The eFEDS field also partially overlaps with the KiDS-N field, part of the Kilo-Degree Survey (de Jong et al. 2013), which provides *u*-band photometry with a  $5\sigma$  limiting magnitude of ~24.8, deeper than SDSS-*u* (~22.15) by more than two magnitudes. We first crossmatch our sample with the *u*-band observations table<sup>5</sup> of KiDS DR4 (Kuijken et al. 2019). 756 sources are covered by at least one *u*-band image with an effective exposure, i.e. located within the 1° × 1° FOV. Searching the ESO Science Archive for these sources, we obtain 589 KiDS counterparts after dropping 133 sources with photometry flag 'uflag > 0'.

With a  $3\sigma$  criterion we derive fluxes for 487 and upper limits for 73 sources. For the 29 sources without KiDS counterparts, we adopt

<sup>2</sup>https://galex.stsci.edu/casjobs/default.aspx

<sup>3</sup>https://skyserver.sdss.org/CasJobs/

the  $5\sigma$  limiting magnitude (given in the KiDS observation table) as their upper limits.

# 2.5 Galactic and intrinsic extinction

We correct each source for Galactic extinction using the dust map of Schlegel, Finkbeiner & Davis (1998), with the Python interface DUSTMAPS (Green 2018). We assume a reddening law with  $\frac{A_V}{E(B-V)} \equiv R_V = 3.1$  (Fitzpatrick 1999), and calculate  $A_{\lambda}$  for each band using empirical Milky Way dust extinction coefficients  $R_{\lambda}$ , which are 6.783, 6.620 for FUV, NUV bands (Yuan, Liu & Xiang 2013), and 4.239 for the *u*-band (Schlafly & Finkbeiner 2011).

Intrinsic extinction from the host galaxy and/or nucleus is more challenging to constrain, and becomes more important at the shorter wavelengths included here than in the original study of H24. All the AGNs were selected from eROSITA to have low column density  $N_{\rm H,int} \leq 10^{22} {\rm ~cm^{-2}}$ , derived using a Bayesian analysis (Liu et al. 2022). These  $N_{\rm H,int}$  typically can have large individual uncertainties, though most are consistent with zero as a lower limit. So it is possible that there is no cold gas associated with the host galaxy and/or AGN, giving a lower limit to the extinction correction. However, we conservatively assume that the best fit  $N_{\rm H,int}$  is associated with dusty gas. We first assume that this is from the AGN environment, which likely has a significantly lower dust-to-gas ratio ( $\sim 1$  per cent - 10 percent) than the Galactic ISM (e.g. Maiolino et al. 2001; Esparza-Arredondo et al. 2021; Jun et al. 2021). There are a variety of proposed AGN dust extinction laws (e.g. Czerny et al. 2004; Gaskell et al. 2004; Gaskell & Benker 2007; Temple, Hewett & Banerji 2021), but we use an SMC extinction curve (Gordon et al.  $(2003)^6$  as it gives more extinction in the UV than other AGN extinction curves (Li 2007). If we adopt a flatter extinction curve (less extinction in the UV), the estimated intrinsical UV luminosity would decrease, making the lack of UV emission in the low accretion bins even more significant. We derive E(B - V) for each individual object assuming log  $\left[\frac{E(B-V)}{N_{\rm H}}\right] = -22.8$  (Jun et al. 2021). We then calculate the extinction-corrected fluxes for each band and each source. Different intrinsic extinction prescriptions are explored in Appendix A, but these do not make a large change in the derived spectra.

#### 2.6 Intrinsic luminosity

The extinction-corrected fluxes are then converted into rest-frame monochromatic luminosities  $\nu L_{\nu}$  using the luminosity distances,  $d_{\rm L}$ , calculated from the source redshifts assuming cosmological parameters of Planck 2018 results (Planck Collaboration VI 2020). The effective wavelengths of these bands at the observational frame are adopted as 1528, 2271, and 3543 Å, for FUV, NUV, and *u*-band, respectively, which are converted to rest-frame wavelengths/frequencies according to the redshift of each source. We estimate the monochromatic luminosity at rest-frame 1200 Å,  $L_{1200}$ , for each *GALEX* source by interpolating FUV and NUV data supposing a power-law SED.  $\nu L_{1200}$  is treated as a detected value if the source is simultaneously detected in both FUV and NUV, otherwise as an upper limit.

In H24, this sample is further divided into eight luminosity bins based on  $\nu L_{3500}$ , ranging from  $\log \nu L_{3500} = 42.1$  to 45.3, to investigate how the SED changes with the luminosity/accretion

<sup>&</sup>lt;sup>1</sup>https://galex.stsci.edu/GR6/

<sup>&</sup>lt;sup>4</sup>https://www.sdss4.org/dr17/algorithms/fluxcal/

<sup>&</sup>lt;sup>5</sup>https://kids.strw.leidenuniv.nl/DR4/data\_table.php

<sup>&</sup>lt;sup>6</sup>For sources with FUV data bluer than 1000 Å at rest frame, we linearly extrapolate the extinction curve.

Table 1. Stacked luminosities for the eight bins of  $\nu L_{3500}$ .

$\log \nu L_{3500}$	[42.1, 42.5]	[42.5, 42.9]	[42.9, 43.3]	[43.3, 43.7]	[43.7, 44.1]	[44.1, 44.5]	[44.5, 44.9]	[44.9, 45.3]
log <i>ṁ</i>	-1.93	-2.03	-2.04	-1.93	-1.80	-1.40	-0.98	-0.61
Source number	36	146	287	333	247	164	76	16
Mean redshift	0.36	0.46	0.51	0.56	0.57	0.60	0.66	0.71
Mean log $(N_{\rm H,int})$	20.96	20.94	20.89	20.74	20.61	20.43	20.41	20.35
FUV detected/covered	4/24	10/88	43/202	73/239	96/181	105/134	52/62	11/11
FUV λ (Å)	-	1045	1013	983	977	955	925	895
FUV log $\nu L_{\nu}$	-	$41.83\pm0.10$	$43.16\pm0.10$	$43.34\pm0.08$	$43.88\pm0.08$	$44.30\pm0.02$	$44.65\pm0.13$	$45.14\pm0.06$
NUV detected/covered	5/24	18/88	64/202	107/239	127/181	121/134	56/62	11/11
NUV λ (Å)	-	1554	1507	1463	1449	1415	1376	1333
NUV log $\nu L_{\nu}$	-	-	$42.88\pm0.07$	$43.46\pm0.04$	$43.96\pm0.02$	$44.50\pm0.03$	$44.88\pm0.05$	$45.31\pm0.06$
Both detected/covered	2/24	9/88	40/202	69/239	89/181	103/134	50/62	11/11
$\log \nu L_{1200}$	-	-	$43.06\pm0.09$	$43.40\pm0.12$	$43.93\pm0.09$	$44.42\pm0.04$	$44.80\pm0.05$	$45.27\pm0.02$
SDSS-u detected/covered	3/26	36/102	86/221	154/277	172/226	150/160	74/76	15/15
SDSS-uλ (Å)	-	2500	2400	2290	2255	2222	2142	2083
SDSS- $u \log v L_v$	-	$43.00\pm0.05$	$43.30\pm0.05$	$43.70\pm0.02$	$43.97\pm0.02$	$44.42\pm0.03$	$44.78\pm0.04$	$45.18\pm0.02$
KiDS-u detected/covered	6/11	27/49	76/118	133/165	117/118	85/85	34/34	9/9
KiDS- $u\lambda$ (Å)	-	2419	2362	2290	2290	2205	2127	2127
KiDS- $u \log v L_v$	_	$42.83\pm0.04$	$43.06\pm0.02$	$43.48\pm0.02$	$43.87\pm0.02$	$44.34\pm0.03$	$44.68\pm0.03$	$45.08\pm0.03$
Number of SDSS Spectra	7	26	47	88	87	96	49	12

*Note.* All  $\nu L_{\nu}$  values are stacked luminosities with units of erg s<sup>-1</sup> and all  $\lambda$  values are mean effective rest-frame wavelengths of each band. The normalized accretion rates  $\dot{m}$  (i.e. the Eddington ratio) were derived in H24 by fitting the HSC and *eROSITA* data with the model AGNSED. Note hard X-ray dominates the bolometric luminosity in low accretion bins, which are not fully shown in Fig. 1.  $N_{\rm H,int}$  is from the eFEDS AGN catalogue, with unit of cm<sup>-2</sup>.

rate. We tabulate the properties of each bin, together with the new SED points in Table 1. This shows that the AGN in the more luminous bins have higher redshifts suggesting our flux-limited sample is likely incomplete. This is initially surprising as the HSC optical selection is for host galaxies of similar masses, so similar luminosities, and the integrated (model) X-ray power does not change significantly between each bin (H24). However, *eROSITA* only covers the 0.3 - 8.0 keV bandpass, which does change by  $\sim$  an order of magnitude, due to changes in the coronal photon index and the strength of the soft X-ray excess. Hence our sample could miss sources that appear faint in this energy range at higher redshifts.

Following H24, we stack the UV data in each bin, by averaging the logarithmic luminosity log  $\nu L_{\nu}$  and logarithmic rest-frame wavelengths for each band. We use survival analysis to assess the upper limits together with the detected fluxes, using ASURV (Feigelson & Nelson 1985). We use the Kaplan–Meier method to estimate the mean luminosity, along with the  $1\sigma$  uncertainty of the mean by bootstrapping the sources in each bin, except for the faintest bin which has too few detections to give a robust result (see Table 1).

We subtract the host galaxy contribution in the UV by fitting an Sb galaxy template spectrum (Silva et al. 1998) (part of the SWIRE library: Polletta et al. 2007) to the HSC host galaxy optical photometry (see fig. A1 in H24). We use this to calculate the restframe monochromatic luminosity of the host galaxy in each UV band for each source. We then derive the stacked intrinsic AGN luminosity in each bin by subtracting the mean luminosity of the corresponding host galaxies (Table 1).

We adopt a Sb template because the host galaxy in the majority of our sources is disc-dominated rather than bulge-dominated (65 per cent have Sersic index n < 2; Li et al. 2024), and we do see lots of spiral features in the HSC fitting residual images by visual check. We confirm the absence of strong intrinsic UV emission will remain significant for these faint bins even if adopting an UV-fainter template (e.g. S0). Meanwhile, the choice of galaxy templates will barely alter the intrinsic SED of the bright bins where the host galaxy is always negligible compared to the AGN in the UV.

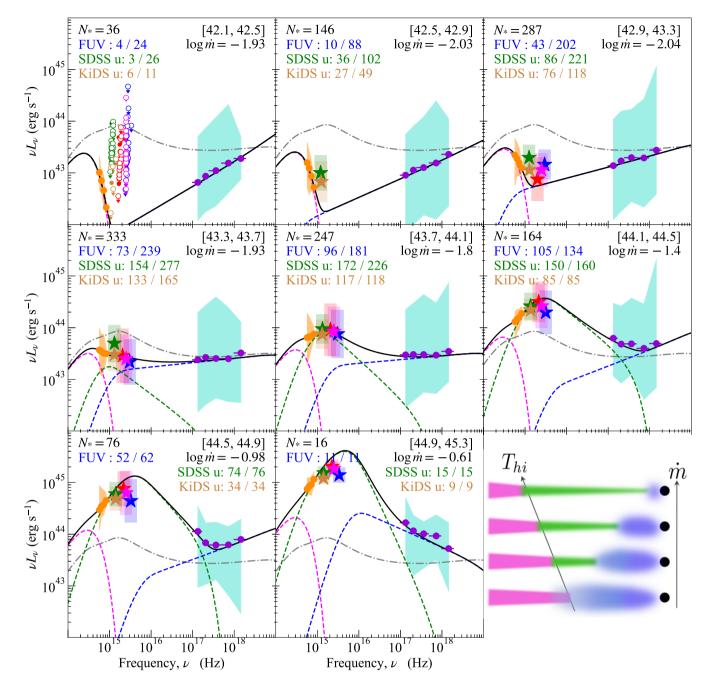
### 2.7 Variability

Our optical-to-X-ray SED consists of data from five different instruments spanning  $\sim 20$  yr. Most SDSS photometries were conducted between 1998 and 2002, with a minority conducted in 2006; GALEX data were derived by coadding the data spanning 2003 to 2012; KiDS data were taken between 2011 and 2018, HSC photometries between 2014 and 2020, and eFEDS data in 2019. By stacking the SEDs of a large number of sources, we should have largely eliminated the effect of stochastic variations. Meanwhile, although dramatic 'changing-state' variations can happen within years or decades (Noda & Done 2018), such events should be too rare to bias our stacked SED. Furthermore, by comparing the *u*-band photometries of SDSS and KiDS in individual sources, we confirm our sample shows no systematic brightening or darkening between  $\sim 2000$  and 2015, and the stacked *u*-band data are also well consistent. We thus conclude the AGN variability should only contribute to some scatter of the stacked SED instead of biasing the shape.

#### **3 THE SEDS INCLUDING UV DATA**

Fig. 1 shows the resulting *u* and UV photometric data points added to the optical-X-ray SEDs of H24. It is immediately evident that extending the data into the UV bandpass has confirmed the main conclusion of H24: that the faintest three bins with  $\dot{m} \lesssim 0.01$  are intrinsically very faint in the UV, consistent with a transition of the optically thick, warm Comptonizing disc to a hot inefficient X-ray plasma.

The models are also in good agreement with the new data in the three moderate luminosity bins, following the predicted rise of the optically thick component. However, the three highest luminosity



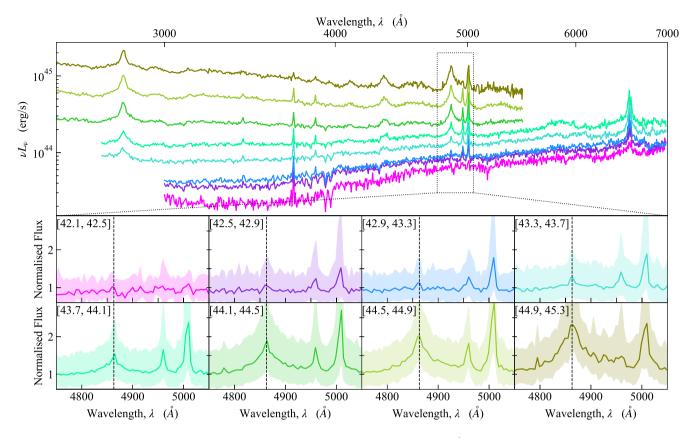
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**Figure 1.** Adapted from H24. Each panel corresponds to a single 3500 Å luminosity bin (given in the top right corner), and shows the model SED along with the stacked data. Stars show the UV data derived in this work, among which blue is for GALEX FUV, red is for GALEX NUV, magenta is for  $\nu L_{1200}$  estimated by interpolating NUV and FUV data, green is for SDSS *u*, and brown is for KiDS *u*-band, respectively. Corresponding shadow regions show the  $1\sigma$  dispersion of the Kaplan–Meier distribution taking into account the censored data. The estimated intrinsic GALEX luminosities in the [42.5, 42.9] bin are  $< 10^{42}$ erg s<sup>-1</sup>, outside the scope of this figure. The orange and purple points mark the stacked HSC and *eROSITA* data respectively, with the orange and cyan regions indicating their dispersions (taken from H24). The coloured dashed lines show the model components, which are a standard outer disc (magenta), warm Comptonizing disc (green), and inner hot X-ray plasma (blue). The dashed-dotted grey line shows the model of the middle bin to highlight the evolution of the SED with changing luminosity/*m*. See H24 for a detailed description of the data, model, and fitting process. The number of sources in each bin (*N*<sub>\*</sub>), the corresponding log  $\nu L_{3500}$  range, mean *m*, and the number of sources detected/covered by each band, are shown by the text in each bin.

bins start to show a small deviation from the model predictions. The data turn down in the  $\nu L_{\nu}$  SED between two *GALEX* bands, corresponding to a break at ~ 800 Å–1400 Å. This has been widely observed before (Zheng et al. 1997; Telfer et al. 2002; Shull et al. 2012; Stevans et al. 2014; Lusso et al. 2015) but our sample clearly shows that this is not an artefact of dust extinction as the  $N_{\rm H,int}$  is lower

in these bins (see Table 1). This turnover appears intrinsic, indicating AGN with  $\dot{m} \gtrsim 0.1$  produce less UV emission than the prediction of a warm Comptonized disc, or a standard disc which predicts an even bluer spectrum (see e.g. fig. 1 of Kubota & Done 2018).

The composite disc model used by H24 assumes the standard thin disc emissivity, but allows flexibility in how this power is



**Figure 2.** Top: Composite SDSS spectra for each luminosity bin in our sample with a resolution of 5 Å. The colours cycle from green (high luminosity) to magenta (low luminosity). The black dotted box indicates the region covering the H $\beta$  and [O III] lines. Bottom: Zoom-in on the H $\beta$ -[O III] region for each luminosity bin (given in the top left corner), normalized by  $\nu L_{5100}$ . Here the shaded region shows the 1 $\sigma$  dispersion in the stacks, and the solid line shows the mean spectrum. The vertical dashed black line indicates the H $\beta$  rest wavelength. It is clear that the broad emission lines disappear in the low luminosity bins, but remain prominent in the high luminosity bins.

emitted. It assumes a radially stratified structure, with an outer, standard blackbody region for  $R > R_{warm}$ , a middle region where thermalization is incomplete so the optically thick-disc material instead emits a warm, thermal Comptonization spectrum, and then transitions to an inner hot, optically thin region for  $R_{hot} < R < R_{isco}$  to power the X-ray corona (see also Kubota & Done 2018). These three-region models can give a good match to the typical spectra seen in bright AGN, generally with  $R_{warm}$  systematically decreasing and  $R_{hot}$  systematically increasing with decreasing  $\dot{m}$  (Kubota & Done 2018; Mitchell et al. 2023; Temple et al. 2023b). At lower luminosities,  $\dot{m} \leq 0.01$ ,  $R_{warm}$  meets  $R_{hot}$  so these low luminosity AGN only require two regions: an outer standard disc which truncates at large radius into the X-ray hot, radiatively inefficient inner flow.

While these continua match very well in general to the new data, they are continuum models, and predict a continuous increase in the blue/UV extent of the warm Comptonization region (see schematic at bottom right of Fig. 1, taken from H24). Hence they cannot match the downturn that appears in the data at fixed  $\lambda \sim 1000$  Å (see the Appendix C for the model re-fitted including the UV data). This may instead indicate that atomic processes are also important in forming this feature, such as produced by e.g. a UV line-driven wind, which can be efficiently produced for temperatures above 50 000 K (Laor & Davis 2014). Alternatively it could be explained by different disc-based models, e.g. the magnetically dominated accretion discs simulated by Hopkins (2024), in which the effective temperature has a much weaker dependence on mass and mass-accretion rate. Testing these will be the subject of a future paper.

# 4 IMPACT ON CHANGING UV IONIZING SPECTRUM ON THE BLR

It is clear that the dramatic drop in the UV continuum at  $\dot{m} \lesssim 0.01$  will give a similarly dramatic drop in the photoionizing continuum. This could naturally account for the absence of broad emission lines in low-luminosity AGN (Ho 1999; Trump et al. 2011), as well as the disappearance of broad emission lines in the faint state of 'changing-look' (more properly termed 'changing-state') AGN.

We can now test this on our sample using the recently released SDSS DR18 optical (BOSS) spectroscopic data for about 13 000 eFEDS sources<sup>7</sup> Cross-matching the SDSS DR18 data base to our sample, using a radius of 1 arcsec, we obtain spectra of 438 individual sources. For sources with multiple spectra, we use the spectrum with the highest average signal-to-noise (S/N) ratio. We remove all spectra with average S/N < 1, which leads to 412 sources (around 33 per cent of our sample). The fraction of sources with SDSS spectra is higher in brighter bins, as expected due to the selection criteria of SDSS (flux cut of *i* and *z* band, see Footnote 7 for details).

Each spectrum is dereddened for the Galactic extinction, using the dustmap of Schlegel et al. (1998) and extinction curve of Cardelli, Clayton & Mathis (1989) with  $R_V = 3.1$ , de-redshifted to rest frame, resampled onto a uniform wavelength grid with a resolution of 5 Å, and then converted to  $\nu L_{\nu}$ . We obtain the composite spectrum for

<sup>7</sup>https://www.sdss.org/dr18/bhm/programs/spiders/

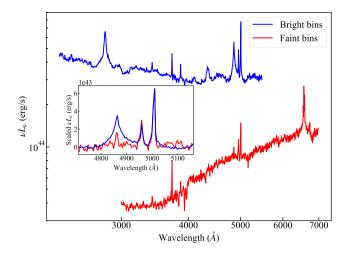


Figure 3. The composite SDSS spectra for sources in the faintest three bins and brightest three bins, respectively. Inset: the H $\beta$ –[O III] profiles after subtracting a constant continuum, with the spectrum of the bright bins scaled to match the [O III] 5007 Å luminosity.

each luminosity bin by averaging the logarithmic  $\nu L_{\nu}$  at each wavelength, shown in the top panel of Fig. 2. The effective wavelength range is slightly different for each 3500 Å luminosity bin due to their different redshift distributions.

It is clear that the optical/near UV spectrum changes with increasing AGN optical luminosity, from red and galaxy-dominated to a typical blue AGN spectrum. The BLR clearly follows this change, with no broad emission lines in the faintest three bins, and then increasing BLR strength as the blue/UV continuum increases above  $\dot{m} \gtrsim 0.01$ . The bottom, smaller, panels in Fig. 2 highlights this evolution, showing a zoom in around the H $\beta$ -[O III] lines.

Fig. 3 shows this even more clearly from a composite spectrum of the lowest three luminosity bins (log  $\nu L_{3500}$  in [42.1, 43.3]) compared to that of the last three bins (log  $\nu L_{3500}$  in [44.1, 45.3]). We subtract a constant continuum component to illustrate the profiles of the lines (e.g. Mahmoud & Done 2020), as shown in the inset of Fig. 3. These spectra are normalized to match the narrow [O III] luminosity, showing a clear change in the H $\beta$  line. The broad component dominates at high luminosities, but it is absent or extremely weak for sources with  $\dot{m} \lesssim 0.01$ .<sup>8</sup> This gives further evidence that there is an intrinsic change in UV continuum for sources with  $\dot{m} \lesssim 0.01$ . We stress again that these all have low column densities so the BLR cannot simply be obscured.

#### **5 DISCUSSION AND CONCLUSIONS**

We have extended the sequence of optical-X-ray SEDs of AGN of H24. The resulting stacked optical/X-ray SEDs for black holes at fixed mass show a dramatic transition, similar to that seen in stellar mass black hole binaries, where the dominating disc component in bright AGN collapses into an inefficient X-ray plasma below  $L/L_{\rm Edd} \sim 0.01$ . The models fit to these data sets in H24 predicted the largest change in SED should be in the rest-frame UV, which was not covered by their data, so we critically test this by adding archival photometric data from SDSS-*u*, KiDS-*u*, and GALEX-NUV and

GALEX-FUV. We subtract a standard Sb host galaxy spectrum from these, and correct the AGN luminosity for SMC-like dust extinction assuming the Bayesian best fit  $N_{\rm H}$  derived from the X-ray spectral fits of Liu et al. (2022). The resulting stacked spectra confirm that there is indeed an abrupt transition of the accretion structure, as claimed by H24, very similar to that observed in stellar mass black holes and a few individual 'changing-look' AGN.

Above the transition, the UV bright disc becomes increasingly dominant, though its shape starts to slightly deviate away from the models below  $\sim 1000 \text{ Å}$  for  $L/L_{\text{Edd}} \gtrsim 0.1$ , perhaps indicating the presence of UV line-driven disc winds.

Additionally, we explore the effect of this transition on the BLR. The recent release of SDSS DR18 includes many more spectra of the AGN in this sample than in the DR16 used in H24, allowing us to make composite optical/near UV spectra for every AGN optical luminosity bin. The broad H $\beta$  line clearly tracks the blue continuum emission, dropping in intensity along with the continuum, and eventually disappearing below  $\dot{m} \lesssim 0.01$  when the UV emitting warm disc also disappears.

This is all strong confirmation that there is indeed a transition in the accretion flow in AGN, similar to that seen in stellar mass binaries. There is a real, and quite abrupt change in the accretion structure, driven by the changing Eddington ratio which leads to the complete loss of the ionizing EUV emission and hence the characteristic broad emission lines. This has a significant impact on how we identify AGN through cosmic time, predicting that there are true Type 2 AGNs, where the characteristic Type 1 AGN identifiers of strong BLR and UV continuum are intrinsically not present rather than obscured.

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<sup>&</sup>lt;sup>8</sup>For a possible alternative scenario, *HST* narrow slit spectroscopy has revealed extremely broad emission lines in a low accretion (log  $\dot{m} \sim -4$ ) AGN NGC 3147(Bianchi et al. 2019, 2022). SDSS spectra cannot distinguish them.

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# DATA AVAILABILITY

The optical and X-ray data as well as the SEDs are from Hagen et al. (2024b). The *GALEX* data are available at https://galex.stsci.edu/ca sjobs/default.aspx, SDSS data at https://skyserver.sdss.org/CasJobs/, and KiDS data at https://www.eso.org/qi/catalogQuery/index/260. The data in this paper will be shared on reasonable request to the corresponding author.

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# APPENDIX A: THE IMPACT OF DIFFERENT INTRINSIC EXTINCTION PRESCRIPTIONS

Reconstructing the UV spectrum is dependent on the intrinsic extinction assumed, so here we assess the impact of different methods, focusing only on the new wavelength range used in this work.

The Bayesian X-ray spectral fitting for the *eROSITA* data gives best fit and error range for the neutral gas column, and this error range is generally consistent with zero column. A minimal extinction correction is then if there is no intrinsic absorption present. This gives

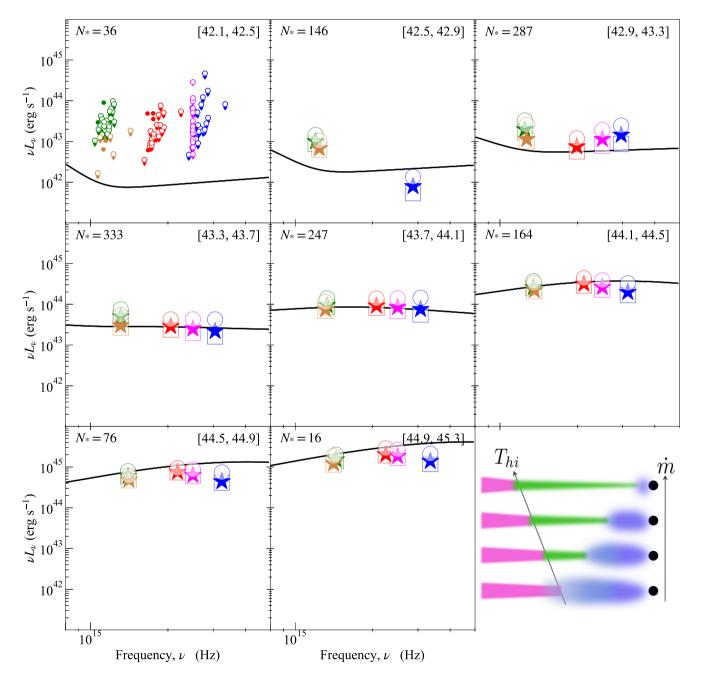


Figure A1. The UV SEDs when assuming different intrinsic extinction. Squares: no intrinsic absorption. Stars: all the observed  $N_{\rm H}$  is associated with AGN absorption (SMC-like dust, as adopted in this work). Circles: all the observed  $N_{\rm H}$  is due to the host galaxy (Milky Way-like dust).

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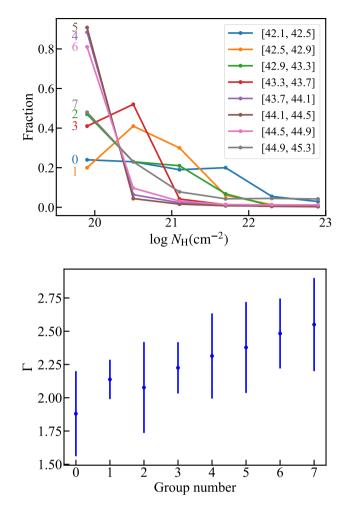
the lower set of data points (squares) in Fig. A1 for the derived UV SEDs. Instead, in the main paper, we use the best-fitting intrinsic X-ray column density for each object, assuming that the column is associated with the AGN torus, so has large grains similar to an SMC extinction curve giving low dust-to-gas ratio (see Section 2.5 for details), giving the middle set of data points (stars) in Fig. A1. Finally, we assume a maximal correction by assuming the best fit  $N_{\rm H}$  is due to the host galaxy (circles), so has Milky Way extinction, with higher dust-to-gas ratio  $N_{\rm H}$ (cm<sup>-2</sup>) = 2.21 × 10<sup>21</sup> $A_{\rm V}$ (mag) (Güver & Özel 2009), with  $R_{\rm V} = A_{\rm V}/E(B - V) = 3.1$  (Cardelli et al. 1989).

The results show that the UV SED shape is not substantially altered by these assumptions. In particular, the main conclusion clearly remains, that the UV is intrinsically weak in the lowest three luminosity bins, consistent with the models from H24 (black line) where the warm Comptonizing disc (green region in the schematic to the lower right) disappears completely, so that most of the accretion power is emitted in the hot plasma (blue region). It is also clear that the highest luminosity bins still have UV spectra which bend away from the continuum models below  $\sim 1000$  Å.

# APPENDIX B: THE INTRINSIC DISTRIBUTION OF *N*<sub>H</sub> IN EACH LUMINOSITY BIN

In this work we have utilized the  $N_{\rm H,int}$  obtained from the eFEDS catalogue. To further confirm its reliability, we use the hierarchical Bayesian modelling to directly recover the intrinsic distribution of  $N_{\rm H,int}$  in each luminosity bin by supposing a simple absorbed power law (see Liu et al. 2022, for details). As shown in Fig. B1, although there could be some moderately absorbed ( $N_{\rm H,int} > 10^{21} {\rm cm}^{-2}$ ) sources in those faint bins (number 0, 1, and 2), the majority of the sources are absorption-free. Meanwhile, the intrinsic distribution of the photon index  $\Gamma$  is clearly correlated with the luminosity (softer X-ray spectrum in brighter bin), consistent with the stacked X-ray spectra, though the absolute value of  $\Gamma$  is different as no soft excess component is included in Bayesian modelling.

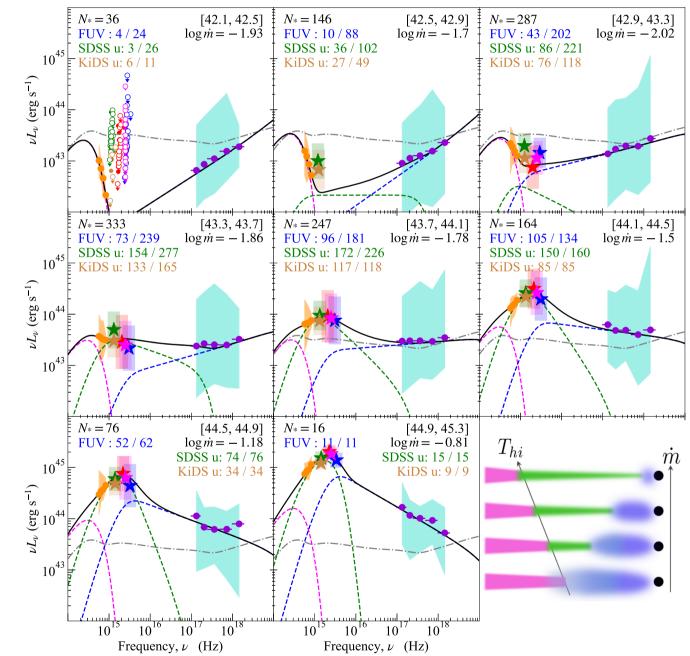
These together indicate that the evolution of the observed SED as well as the lack of broad emission lines in the faint sources, cannot be attributed to contamination of heavily absorbed/reddened sources in the low luminosity bins.



**Figure B1.** The intrinsic distribution of  $N_{\rm H}$  (upper panel) and  $\Gamma$  (lower panel) in each luminosity bin, derived by hierarchical Bayesian modelling.

# **APPENDIX C: FITTING THE FULL-BAND SED INCLUDING THE UV DATA**

Here we present the best-fitting models by fitting the full-band SED including the new UV data in Fig. C1, and the main results stay the same. Some contribution from the warm corona is now needed in the second and third faintest bins due to a tiny excess of the UV data, though we stress large uncertainties exist in these photometries induced by the estimation of host contamination and intrinsic extinction. Meanwhile, for the three brightest bins, the warm corona becomes weaker and the accretion rate slightly drops, due to the FUV break. Trying to fit this break also leads to fitting residuals in the X-ray band, especially in the second and third brightest bins.



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Figure C1. Same as Fig. 1, but the SED models are re-fitted including the UV data.

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