FREE TORUS ACTIONS AND TWISTED SUSPENSIONS

FERNANDO GALAZ-GARCÍA AND PHILIPP REISER

ABSTRACT. We express the total space of a principal circle bundle over a connected sum of two manifolds in terms of the total spaces of circle bundles over each summand, provided certain conditions hold. We then apply this result to provide sufficient conditions for the existence of free circle and torus actions on connected sums of products of spheres and obtain a topological classification of closed, simply-connected manifolds with a free cohomogeneity-four torus action. As a corollary, we obtain infinitely-many manifolds with Riemannian metrics of positive Ricci curvature and isometric torus actions.

1. INTRODUCTION AND MAIN RESULTS

Manifolds equipped with torus actions are a central object of study in geometry and topology (see e.g. [12, 13, 19, 28, 36, 40, 43, 53] and the references therein, to name but a few general references in the literature). Despite being extensively studied, basic questions on these spaces remain open, such as which smooth manifolds admit a smooth, effective torus action. This article addresses this question in the case of free actions.

If a closed (i.e. compact and without boundary) smooth manifold M admits a free smooth torus action, then it is well-known that the Euler characteristic $\chi(M)$ and all Stiefel–Whitney and Pontryagin numbers (provided M is orientable) of M vanish (see Lemmas 2.1 and 2.7 below). Other topological obstructions can be obtained in certain special cases using spectral sequences (see e.g. [39]) or assumptions on the rational homotopy groups of M (see e.g. [14]), and topological classifications of manifolds with free circle actions in low dimensions were obtained in [11, 17, 24]; see also [6, 20, 27, 31] for classification and obstruction results for almost-free and semi-free torus actions. In this article, we provide sufficient conditions for the existence of smooth, free circle and torus actions on closed, simply-connected manifolds (see Theorems A–C, Corollary D, and Theorem E below).

The main application we consider are connected sums of products of spheres. In particular, we show that closed, simply-connected smooth *n*-manifolds with a smooth, free action of T^{n-4} are diffeomorphic to connected sums of products of spheres or non-trivial sphere bundles over S^2 (see Theorem F). These manifolds are known to carry Riemannian metrics of positive Ricci curvature (see remarks before Corollary H). By exhibiting these manifolds as total spaces of principal torus bundles, we may show that they admit, in fact, Riemannian metrics of positive Ricci curvature which are invariant under the given free torus action (see Corollary H; cf. [7]). Manifolds with such metrics play a role in the study of moduli spaces of Riemannian metrics with positive Ricci curvature (see, for example, [9, 18, 29, 49, 51]).

An important tool we will use are the *twisted suspensions* $\Sigma_e M$ and $\widetilde{\Sigma}_e M$ of a smooth *n*dimensional manifold M determined by a class $e \in H^2(M; \mathbb{Z})$. These twisted suspensions, which we will define in Section 5, are obtained by surgery along a fiber of the principal circle bundle over M with Euler class e and generalize the suspensions Duan introduced in [10]. These are based on the spinning operation for knots, which is due to Artin [1].

Our first main result characterizes certain principal circle bundles in terms of twisted suspensions. Recall that, for *n*-manifolds M_1 and M_2 , we have an isomorphism $H^2(M_1 \# M_2; \mathbb{Z}) \cong$ $H^2(M_1; \mathbb{Z}) \oplus H^2(M_2; \mathbb{Z})$ if $n \ge 4$. A non-trivial integral cohomology class is *primitive* if it is not

Date: December 14, 2024.

²⁰²⁰ Mathematics Subject Classification. 57S15, 55R15, 53C20, 57R65, 57R22, 55R25.

Key words and phrases. circle bundle, torus bundle, circle action, torus action, positive Ricci curvature, cohomogeneity-two torus action.

a multiple of another class. We will denote diffeomorphism between smooth manifolds by the symbol " \cong " and assume that all manifolds and actions are smooth.

Theorem A. Let B_1 , B_2 be closed, oriented n-manifolds with $n \ge 5$ and let $P \xrightarrow{\pi} B_1 \# B_2$ be a principal S^1 -bundle. For i = 1, 2, denote by $e_i \in H^2(B_i)$ the restriction of the Euler class of P to B_i and by $P_i \xrightarrow{\pi_i} B_i$ the principal S^1 -bundle with Euler class e_i . If the fiber inclusion in P_1 is null-homotopic, or, equivalently, the pull-back of e_1 to the universal cover \tilde{B}_1 of B_1 is primitive, then P is diffeomorphic to

$$P \cong \begin{cases} P_1 \# \Sigma_{e_2} B_2, & \text{if } \tilde{B}_1 \text{ is non-spin,} \\ P_1 \# \tilde{\Sigma}_{e_2} B_2, & \text{if } \tilde{B}_1 \text{ is spin.} \end{cases}$$

Theorem A generalizes [10, Theorem B], where the same conclusion is obtained for B_1 simplyconnected and $e_2 = 0$.

To apply Theorem A, we determine the twisted suspensions of certain manifolds in the following theorem. We denote by $S^2 \times S^{n-2}$ the total space of the unique non-trivial linear S^{n-2} -bundle over S^2 . Recall that the *divisibility* d of an element y in a free abelian group G is the largest $d \in \mathbb{N}$ such that there exists an element $x \in G$ with y = dx. Note that the primitive elements of G are precisely the elements of divisibility 1.

Theorem B. We have the following:

(1) Let B be a closed, oriented n-manifold with $n \ge 5$ and let $P \xrightarrow{\pi} B$ be a principal S^{1-} bundle with Euler class $e \in H^{2}(B)$. If the fiber inclusion in P is null-homotopic, or, equivalently, the pull-back of e to the universal cover \tilde{B} is primitive, then

$$\Sigma_e B \cong \begin{cases} P \# (S^2 \times S^{n-1}), & \text{if } \widetilde{B} \text{ is non-spin}, \\ P \# (S^2 \times S^{n-1}), & \text{if } \widetilde{B} \text{ is spin}, \end{cases}$$

and

$$\widetilde{\Sigma}_e B \cong \begin{cases} P \# (S^2 \times S^{n-1}), & \text{ if } \widetilde{B} \text{ is non-spin} \\ P \# (S^2 \times S^{n-1}), & \text{ if } \widetilde{B} \text{ is spin.} \end{cases}$$

(2) Let
$$B = S^k \times S^{n-k}$$
 with $2 \le k \le n-2$. Then

$$\Sigma_0 B \cong \widetilde{\Sigma}_0 B \cong (S^k \times S^{n-k+1}) \# (S^{k+1} \times S^{n-k}).$$

(3) Let
$$B = S^2 \times S^{n-2}$$
 or $S^2 \times S^{n-2}$, let $e \in H^2(B)$ and let d be the divisibility of e. Then

$$\Sigma_e B \cong \begin{cases} (S^2 \times S^{n-1}) \# (S^3 \times S^{n-2}), & \text{if } B = S^2 \times S^{n-2} \text{ and } d \text{ is even, or} \\ B = S^2 \widetilde{\times} S^{n-2} \text{ and } d \text{ is odd,} \\ (S^2 \widetilde{\times} S^{n-1}) \# (S^3 \times S^{n-2}), & \text{else,} \end{cases}$$

and

$$\widetilde{\Sigma}_e B \cong \begin{cases} (S^2 \times S^{n-1}) \# (S^3 \times S^{n-1}), & B = S^2 \times S^{n-2}, \\ (S^2 \stackrel{\sim}{\times} S^{n-1}) \# (S^3 \times S^{n-2}), & B = S^2 \stackrel{\sim}{\times} S^{n-2}. \end{cases}$$

We note that item (2) of Theorem B recovers [10, Proposition 3.2] and extends [48, Lemma 1.3].

We will say that a manifold M^n is of the form (*) if

(*)
$$M \cong B_1 \# \dots \# B_l$$
 for $B_i = S^{m_i} \times S^{n-m_i}$ or $B_i = S^2 \times S^{n-2}$

with $2 \leq m_i \leq n-2$ and $n \geq 5$, where we define $M = S^n$ for l = 0. Note that the diffeomorphism type of a manifold of the form (*) is uniquely determined by its dimension n, the Betti numbers $b_2(M), \ldots, b_{\lfloor \frac{n}{2} \rfloor}(M)$ (since $b_i(M) = b_{n-i}(M)$ by Poincaré duality), and whether M is spin or not, since $S^2 \times S^{n-2}$ is non-spin and

$$(S^2 \times S^{n-2}) \# (S^2 \times S^{n-2}) \cong (S^2 \times S^{n-2}) \# (S^2 \times S^{n-2})$$

by Corollary 4.2 below.

Using Theorems A and B and the existence of certain self-diffeomorphisms on connected sums of manifolds of the form (*) with a given simply-connected manifold, we can determine the total space of a principal S^1 -bundle over manifolds of the form (*), provided the Euler class is primitive.

Theorem C. Let $P \xrightarrow{\pi} B^n$ be a principal S¹-bundle with primitive Euler class e and assume that B is of the form (*). Then P is also of the form (*) with

$$b_i(P) = \begin{cases} b_2(B) - 1, & i = 2, n - 2, \\ b_{i-1}(B) + b_i(B), & 2 < i < n - 2 \end{cases}$$

Moreover, P is spin if and only if either B has no $(S^2 \times S^{n-2})$ -summand, or the restriction of e to each $(S^2 \times S^{n-2})$ -summand in B has odd divisibility and the restriction of e to each $(S^2 \times S^{n-2})$ -summand in B has even divisibility.

We now give several applications of Theorems A–C. For a topological space X whose first $i \geq 0$ Betti numbers are finite, denote by $\chi_i(X)$ the *i*-th Euler characteristic, defined by

$$\chi_i(X) = \sum_{j=0}^i (-1)^j b_j(X).$$

Iterating this definition, we define $\chi_i^{(0)}(X) = (-1)^i b_i(X)$ and, for $m \in \mathbb{N}$,

$$\chi_i^{(m)}(X) = \sum_{j=0}^i \chi_j^{(m-1)}(X).$$

We then have $\chi_i(X) = \chi_i^{(1)}(X)$ and $\chi(X) = \chi_n(X)$ if $b_i(X) = 0$ for all i > n.

Corollary D. Let M^n be a closed, simply-connected manifold and let $0 \le k \le n$. Then M admits a free action of the torus T^k with quotient of the form (*) if and only if M is of the form (*) and, for all $1 \le m \le k$, we have

- (1) $(-1)^{i}\chi_{i}^{(m)}(M) \geq 0$ for all $i = 2, ..., \lfloor \frac{n-m}{2} \rfloor$, (2) $\chi_{\frac{n-m}{2}}^{(m)}(M)$ is even if n m is even, and (3) $\chi_{n}^{(m)}(M) = 0$.

By restricting to the case of S^1 -actions, we can give further sufficient conditions for the existence of a free action.

(1) Let M^n be of the form (*) and suppose that n is odd. Then there exists Theorem E. $m_0 \in \mathbb{N}_0$ such that the manifolds

$$M \#_m(S^2 \times S^{n-2})$$
 and $M \#_m(S^2 \times S^{n-2})$

both admit a free circle action for all $m \geq m_0$.

(2) Let M^n be of the form (*) with $5 \le n \le 10$ and suppose that $\chi(M) = 0$ if n is even and $\chi_4(M) \geq 0$ if n = 9. Then M admits a free circle action.

The simplest examples not covered by Theorem E with vanishing Euler characteristic are the manifolds $\#_m(S^3 \times S^6)$ with $m \ge 2$. By Proposition 6.6 below, these manifolds do not admit a free circle action when m is odd. To the best of our knowledge, it is open whether these manifolds admit a free circle action when m is even.

We can also use Theorem C to determine the total space of a principal torus bundle over any closed, simply-connected 4-manifold (see Theorem 6.7 below). This yields a complete topological classification of the total spaces of such principal bundles, and extends a result of Duan and Liang [11] for principal circle bundles and of Duan [10] for principal T^k -bundles over 4-manifolds M^4 with $b_2(M) = k$.

We apply this result to free torus actions of large cohomogeneity. Note that the dimension of a torus acting freely on a closed, simply-connected n-manifold with n > 4 must be at most n-4

(see Remark 6.8 below). In the case of maximal dimension, we have the following classification. For that we first define $a_{ki}(r)$ for $r, k \in \mathbb{N}_0$ and $2 \le i \le k+2$ by

$$a_{ki}(r) = (i-2)\binom{k}{i-1} + r\binom{k}{i-2} + (2+k-i)\binom{k}{i-3}.$$

Theorem F. A closed, simply-connected n-manifold M admits a free action of the torus T^{n-4} if and only if M is of the form (*) with $b_i(M) = a_{n-4,i}(b_2(M))$ for all $2 \le i \le n-2$.

An interesting special case of Theorem F is where the quotient space $B^4 = M/T^{n-4}$ itself admits an effective action of a 2-torus (see, for example, [7, 15]). It is then possible to lift the action to M, so that, together with the free T^{n-4} -action, we obtain a torus action of cohomogeneity two on M (see [21, 47] and cf. [7]). Closed, simply-connected manifolds with a cohomogeneity-two torus action have been classified (both topologically and equivariantly) by Orlik and Raymond [37] in dimension 4 and by Oh [34, 35] in dimensions 5 and 6. The orbit space structure and equivariant classification of closed, simply-connected *n*-manifolds with a cohomogeneity-two torus action may be found in [25]. In dimensions 7 and above, however, no topological classification, Theorem F provides a topological classification in any dimension, provided there exists a free cohomogeneity-four subaction. If we instead use Oh's 6-dimensional classification, we can strengthen this as follows.

Corollary G. A closed, simply-connected n-manifold M, $n \ge 6$, admits a smooth effective action of T^{n-2} with a free subaction of a torus of dimension (n-6) if and only if M is of the form (*) with $b_i(M) = a_{ki}(b_2(M))$ for all $2 \le i \le n-2$.

We note that not all cohomogeneity-two torus actions on a closed, simply-connected *n*manifold M have a free subaction as in Corollary G (see Remark 6.9 below). However, it is open whether the manifolds in Corollary G already provide all diffeomorphism types of closed, simply-connected manifolds with a cohomogeneity-two torus action. In dimensions 5 and 6, this is known to be true if one considers free cohomogeneity-four subactions (see [7, 34, 35]).

Using the core metric construction introduced in [4], one obtains that every manifold of the form (*) admits a metric of positive Ricci curvature, by [5] and [42]. However, these metrics need not be invariant under the actions established in Corollaries D and G and Theorems E and F. The existence of an invariant metric of positive Ricci curvature can now be obtained in combination with the lifting results of [16].

Corollary H. Let M be a manifold of the form (*) satisfying the assumptions of Corollary D or G, or Theorem E or F, thus admitting a free action of a torus. Then M admits a metric of positive Ricci curvature that is invariant under the free torus action.

The existence of invariant metrics of positive Ricci curvature on the manifolds in Theorem F has already been shown in [7] without identifying the total spaces if the dimension of the total space is at least 7.

This article is organized as follows. In Section 2, we recall basic facts on principal torus bundles and results from differential topology. In Section 3, we study isotopy classes of normally framed circles which will be crucial for the proofs of Theorems A and B, and in Section 4 we consider the effect of surgery on a normally framed circle and establish the existence of certain self-diffeomorphisms on manifolds of the form (*). In Section 5, we introduce the twisted suspensions and prove Theorems A and B. Finally, in Section 6, we apply Theorems A and B to prove Theorems C, E, and F, and Corollaries D, G, and H.

Acknowledgements. The authors would like to thank Martin Kerin and Sam Hagh Shenas Noshari for helpful comments on an earlier version of this article, Lee Kennard and Lawrence Mouillé for providing the example in Remark 6.9, and Haibao Duan for helpful discussions. Philipp Reiser would also like to thank the Department of Mathematical Sciences of Durham University for its hospitality during a first visit where this work was initiated and a second visit where it was completed. Finally, the authors would like to thank the anonymous referee for their suggestions that helped to improve the exposition.

2. Preliminaries

We will identify \mathbb{R}^k with a subspace of \mathbb{R}^l if $k \leq l$ via the map

$$(v_1,\ldots,v_k)\mapsto (v_1,\ldots,v_k,0,\ldots,0).$$

Similarly, we consider SO(k) as a subgroup of SO(l) by applying $\phi \in$ SO(k) to the first k entries of $v \in \mathbb{R}^l$. We will use homology and cohomology with integer coefficients, unless explicitly stated otherwise. We will denote the fundamental class of a closed, oriented manifold M by [M]. The closed *m*-disk will be denoted by D^m . The symbol " \cong " will denote isomorphism between algebraic structures and diffeomorphism between manifolds. Given a vector space Vand a manifold M, we denote by \underline{V}_M the trivial bundle $M \times V \to M$.

2.1. Auxiliary facts on principal torus bundles. We denote by T^k the torus of dimension k, i.e. $T^k = S^1 \times \overset{k}{\cdots} \times S^1$ and $S^1 \subseteq \mathbb{C}$ is the unit circle. We first recall the connection between principal torus bundles and free torus actions.

Lemma 2.1. A manifold M admits a free action of a Lie group G if and only if it is the total space of a principal G-bundle. In this case, if $G = T^k$, the Euler characteristic $\chi(M)$ vanishes.

Proof. For the first statement see, e.g. [3, Corollary VI.2.5]. If M admits an effective T^k -action, then the Euler characteristic of M equals the Euler characteristic of the fixed point set of the action (see [26] and cf. [28, Ch. II, Theorem 5.5]). In particular, if the action is free, then $\chi(M)$ vanishes.

Now, let $P \xrightarrow{\pi} X$ be a principal T^k -bundle. Let $ES^1 \xrightarrow{\pi_{S^1}} BS^1$ be the universal bundle for S^1 (we refer to [23, Sections 4.10–4.13] for the definition and basic properties of universal bundles). Then the product bundle

$$\mathrm{E}S^1 \times \overset{k}{\cdots} \times \mathrm{E}S^1 \to \mathrm{B}S^1 \times \overset{k}{\cdots} \times \mathrm{B}S^1$$

is the universal bundle for T^k , and we denote the corresponding bundle map by π_{T^k} . Hence, there exists a map $f_{\pi} \colon X \to BS^1 \times \stackrel{k}{\cdots} \times BS^1$ such that π is isomorphic to $f_{\pi}^* \pi_{T_k}$. Since f_{π} is unique up to homotopy, we obtain a unique element in

(2.1)
$$[X, BS^1 \times \overset{k}{\cdots} \times BS^1] \cong [X, BS^1] \times \overset{k}{\cdots} \times [X, BS^1]$$

Since BS^1 is a $K(\mathbb{Z}, 2)$ -space, the right-hand side of equation (2.1) can be identified with $H^2(X, \mathbb{Z}) \times \cdots \times H^2(X, \mathbb{Z})$. Thus, the bundle π is uniquely determined by a k-tuple

$$e(\pi) = (e_1(\pi), \dots, e_k(\pi)) \in H^2(X, \mathbb{Z}) \times \cdots \times H^2(X, \mathbb{Z}).$$

We call this k-tuple the Euler class of π and note that it coincides with the usual definition of the Euler class if k = 1.

Lemma 2.2. Let $P \xrightarrow{\pi} X$ be a principal T^k -bundle with Euler class $(e_1(\pi), \ldots, e_k(\pi))$. Then there is a sequence of principal S^1 -bundles $P_i \xrightarrow{\pi_i} P_{i-1}$, $i = 1, \ldots, k$, such that

(1) $P_k = P$, $P_0 = X$ and $\pi_1 \circ \cdots \circ \pi_k = \pi$; (2) $e(\pi_i) = \pi_{i-1}^* \dots \pi_1^* e_i(\pi)$.

Proof. We set $P_i = P/T^{k-i}$, where we view T^j , for j < k, as a subgroup of T^k via

$$T^j \cong (\{1\} \times \stackrel{k-j}{\cdots} \times \{1\}) \times T^j \subseteq T^k.$$

Then the projection $P_i \xrightarrow{\pi_i} P_{i-1}$ is a principal S^1 -bundle, where the action is induced by the action of the *i*-th S^1 -factor of T^k on P. This proves claim (1).

For the second claim we show that the projection $P_i \to X$, when viewed as a principal T^i bundle, has Euler class $(e_1(\pi), \ldots, e_i(\pi))$. By construction of P_i , the bundle $P_i \to X$ is the pull-back along f_{π} of the principal T^i -bundle

$$\mathbf{E}S^1\times \overset{i}{\cdots}\times \mathbf{E}S^1\times \mathbf{B}S^1\times \overset{k-i}{\cdots}\times \mathbf{B}S^1\to \mathbf{B}S^1\times \overset{k}{\cdots}\times \mathbf{B}S^1,$$

where the bundle map is given by π_{T^i} on the first *i* factors and by the identity on the last (k-i) factors. We obtain the same bundle when we pull back the universal bundle $\pi_{T^i} : ES^1 \times \overset{i}{\cdots} \times ES^1 \to BS^1 \times \overset{i}{\cdots} \times BS^1$ along $\operatorname{pr}_i \circ f_{\pi}$, where pr_i denotes the projection $BS^1 \times \overset{k}{\cdots} \times BS^1 \to BS^1 \times \overset{i}{\cdots} \times BS^1$ onto the first *i* factors. Thus, the Euler class of $P_i \to X$ is given by $(e_1(\pi), \ldots, e_i(\pi))$.

Lemma 2.3. Let $P \xrightarrow{\pi} X$ be a principal T^k -bundle with Euler class $e(\pi) = (e_1(\pi), \ldots, e_k(\pi))$ such that X is simply-connected. Then

$$\pi_1(P) \cong \mathbb{Z}^k / \operatorname{im}(e(\pi))$$

and

$$H^2(P) \cong H^2(X) / \langle e_1(\pi), \dots, e_k(\pi) \rangle,$$

where in the first case we view $e(\pi)$ as a homomorphism $H_2(X) \to \mathbb{Z}^k$ and in the second case the isomorphism is induced by π . In particular, P is simply-connected if and only if the Euler class $e(\pi)$ generates a direct summand in $H^2(X)$, that is, $(e_1(\pi), \ldots, e_k(\pi))$ can be extended to a basis of $H^2(X)$.

Proof. The long exact sequence of homotopy groups for the bundles π and π_{T^k} together with the induced maps of f_{π} gives the following commutative diagram with exact rows (see e.g. [46, 17.4 and 17.5]):

$$\begin{aligned} \pi_2(P) & \xrightarrow{\pi_*} \pi_2(X) \longrightarrow \pi_1(T^k) \longrightarrow \pi_1(P) \xrightarrow{\pi_*} \pi_1(X) \longrightarrow \pi_0(T^k) \\ & \downarrow \qquad \qquad \qquad \downarrow f_{\pi_*} \qquad \downarrow^{\mathrm{id}_{\pi_1(T^k)}} \qquad \downarrow \qquad \qquad \downarrow f_{\pi_*} \qquad \stackrel{\mathrm{id}_{\pi_0(T^k)}}{\downarrow} \\ \pi_2(\times_k \mathrm{E}S^1) \xrightarrow{\pi_*} \pi_2(\times_k \mathrm{B}S^1) \longrightarrow \pi_1(T^k) \longrightarrow \pi_1(\times_k \mathrm{E}S^1) \xrightarrow{\pi_*} \pi_1(\times_k \mathrm{B}S^1) \longrightarrow \pi_0(T^k) \end{aligned}$$

Since ES^1 is contractible, all its homotopy groups vanish, so the map $\pi_2(\times_k BS^1) \to \pi_1(T^k)$ is an isomorphism and $\pi_1(\times_k BS^1)$ is trivial. In particular, the group $\pi_2(\times_k BS^1)$ is isomorphic to \mathbb{Z}^k . Since X is simply-connected, it follows that the group $\pi_1(P)$ is isomorphic to the quotient of $\pi_2(\times_k BS^1)$ by the image of f_{π_*} . By the Hurewicz theorem, we can identify the image of f_{π_*} in $\pi_2(\times_k BS^1)$ with the image of the induced map of f_{π} in homology, which by construction is precisely the image of the Euler class $(e_1(\pi), \ldots, e_k(\pi))$.

For the cohomology, we first consider the case k = 1, i.e. π is a principal S¹-bundle, and apply the Gysin sequence (see e.g. [32, Theorem 12.2]):

$$H^0(X) \xrightarrow{\cdot \sim e_1(\pi)} H^2(X) \xrightarrow{\pi^*} H^2(P) \longrightarrow H^1(X).$$

Since X is simply-connected, we have $H^1(X) = 0$, so $\pi^* \colon H^2(X) \to H^2(P)$ is surjective with kernel given by the image of the map $\cdot \smile e_1(\pi) \colon H^0(X) \to H^2(X)$, which is precisely the subgroup generated by $e_1(\pi)$.

For general k, we apply Lemma 2.2 to divide π into a sequence of principal S^1 -bundles. Repeated application of the above argument for principal S^1 -bundles now gives the claim. \Box

In case of a non-simply-connected base we have the following result.

Lemma 2.4. Let $P \xrightarrow{\pi} X$ be a principal S^1 -bundle with Euler class $e(\pi)$. Then the inclusion of a fiber in P is null-homotopic if and only if the pull-back of $e(\pi)$ to the universal cover \widetilde{X} is primitive.

Proof. Let $\overline{P} \xrightarrow{\widetilde{\pi}} \widetilde{X}$ denote the pull-back of π along the covering projection $\widetilde{X} \to X$. The long exact sequence of homotopy groups for the bundles π and $\widetilde{\pi}$ gives the following commutative diagram with exact rows:

Since the map $\pi_2(\widetilde{X}) \to \pi_2(X)$ is an isomorphism, it follows that the map $\pi_1(S^1) \to \pi_1(P)$ is trivial if and only if the map $\pi_2(\widetilde{X}) \to \pi_1(S^1)$ is surjective. Since \widetilde{X} is simply-connected, this is the case if and only if $\pi_1(\overline{P})$ is trivial. Since the Euler class of $\widetilde{\pi}$ is the pull-back of $e(\pi)$ along the projection $\widetilde{X} \to X$, the claim follows from Lemma 2.3.

Recall that a stable characteristic class is an element $c \in H^i(BO; R)$ for a ring R. For a vector bundle $E \xrightarrow{\pi} X$ of rank k, we then set $c(\pi) = f_{\pi}^* \iota_k^* c$, where $\iota_k \colon BO(k) \to BO$ is the map induced by the inclusion $O(k) \to O$ and $f_{\pi} \colon X \to BO(k)$ is the classifying map of π . For a manifold M we set c(M) = c(TM). We then have

$$c(\pi \oplus \underline{\mathbb{R}}_X) = c(\pi)$$

for every vector bundle $E \xrightarrow{\pi} X$. The Stiefel–Whitney classes $w_i \in H^i(BO; \mathbb{Z}/2)$ and the Pontryagin classes $p_i \in H^{4i}(BO; \mathbb{Z})$ are examples of stable characteristic classes.

Lemma 2.5. Let $P \xrightarrow{\pi} M$ be a principal *G*-bundle for a Lie group *G* and let $c \in H^i(BO; R)$ be a stable characteristic class. Then

$$c(P) = \pi^* c(M).$$

Proof. The tangent bundle of P is given by

$$TP \cong \pi^* TM \oplus T_{\pi} P$$
,

where $T_{\pi}P = \ker(\pi_*)$ is the bundle of vertical vectors. This can be seen by choosing a connection on the bundle P, so that the horizontal bundle is isomorphic to π^*TM . The bundle $T_{\pi}P$ is now isomorphic to the trivial bundle \mathfrak{g}_P via the isomorphism

$$P \times \mathfrak{g} \to T_{\pi}P, \quad (p, X) \mapsto \frac{d}{dt}\Big|_{t=0} (p \cdot \exp(tX))$$

It follows that

$$c(P) = c(\pi^*TM \oplus \mathfrak{g}_P) = \pi^*c(M)$$

Corollary 2.6. Let $P \xrightarrow{\pi} M$ be a principal T^k -bundle with Euler class $(e_1(\pi), \ldots, e_k(\pi))$ and assume that M is orientable. Then P is spin if and only if

$$w_2(M) \in \langle e_1(\pi), \dots, e_k(\pi) \rangle \mod 2.$$

Proof. Since M is orientable, it follows from Lemma 2.5 that

$$w_1(P) = \pi^* w_1(M) = 0$$

Hence, P is orientable. For the second Stiefel–Whitney class $w_2(P)$ we have $w_2(P) = \pi^* w_2(M)$ by Lemma 2.5 and by Lemma 2.2 and the Gysin sequence in $\mathbb{Z}/2$ -coefficients (cf. [41, Section 2.2]) that the kernel of $\pi^* \colon H^2(M; \mathbb{Z}/2) \to H^2(P; \mathbb{Z}/2)$ is given by

$$\langle e_1(\pi), \ldots, e_k(\pi) \rangle \mod 2.$$

Lemma 2.5 also provides a topological obstruction for the existence of free torus actions.

Corollary 2.7. Let M be a closed n-manifold that admits a free T^k -action. Then any product of stable characteristic classes of M of total degree at least n - k + 1 vanishes. In particular, all Stiefel-Whitney numbers of M and (if M is orientable) all Pontryagin numbers of M vanish.

Proof. By Lemma 2.1, M is the total space of a principal T^k -bundle $M \xrightarrow{\pi} B$. By Lemma 2.5, since B has dimension n - k, any cup product of stable characteristic classes with total degree at least n - k + 1 vanishes.

2.2. Auxiliary results on smooth manifolds and vector bundles. Recall that the normal bundle ν_N of an embedded submanifold $N \subseteq M$ is the bundle

$$\nu_N = TM|_N / TN.$$

By choosing a Riemannian metric on M, we can identify ν_N with the orthogonal complement of TN within $TM|_N$.

We will use the following relative version of the classical Whitney embedding theorem.

Theorem 2.8 (Relative Weak Whitney Embedding Theorem, see [52, Theorem 5]). Let $f: N \to M$ be a continuous map and let $A \subseteq N$ be a closed subset such that $f|_A: A \to M$ is a smooth embedding. If dim $(M) > 2 \dim(N)$, then there is an embedding $g: N \hookrightarrow M$ which is homotopic to f such that $g|_A = f|_A$.

The preceding theorem implies the following result, which is also due to Whitney [52].

Theorem 2.9 ([52, Theorem 6]). Let $f_0, f_1: N \to M$ be smooth maps that are homotopic. If $\dim(M) > 2 \dim(N) + 1$, then f_0 and f_1 are isotopic.

The following results are well-known. We include proofs for completeness.

- **Proposition 2.10.** (1) A vector bundle $E \xrightarrow{\pi} S^1$ is trivial if and only if the first Stiefel-Whitney class $w_1(\pi)$ vanishes, that is, if and only if the bundle π is orientable.
 - (2) Let $E \xrightarrow{\pi} S$ be an orientable vector bundle of rank $k \ge 3$ over a closed surface S. Then π is trivial if and only if the second Stiefel–Whitney class $w_2(\pi)$ vanishes.
- *Proof.* (1) Assume that $w_1(\pi) = 0$. Then the bundle π is orientable, hence its classifying map $f_{\pi} \colon S^1 \to BO(k)$ lifts to a map

$$\tilde{f}_{\pi} \colon S^1 \to \mathrm{BSO}(k).$$

Since BSO(k) is simply-connected, this map is null-homotopic, so π is trivial.

(2) Assume that $w_2(\pi) = 0$. Then the bundle π admits a spin structure, hence its classifying map $f_{\pi}: S \to BSO(k)$ lifts to a map

$$f_{\pi} \colon S \to \mathrm{BSpin}(k).$$

Since $k \geq 3$, the group Spin(k) is simply-connected (see e.g. [30, Theorem I.2.10]). Hence, the space BSpin(k) is 2-connected. By obstruction theory, it follows that the map \tilde{f}_{π} , and hence f_{π} , is null-homotopic (see e.g. [8, Corollary 7.13]), so the bundle π is trivial.

Lemma 2.11. The complex projective space $\mathbb{C}P^n$ is spin if and only if n is odd. Further, for every $n \in \mathbb{N}$ with $n \geq 2$ there exists a unique non-trivial linear sphere bundle over S^2 , whose total space, denoted by $S^2 \times S^n$, is non-spin.

Proof. For the first statement we have $w_2(\mathbb{C}P^n) = c_1(\mathbb{C}P^n) \mod 2 = n+1 \mod 2$, see e.g. [32, Theorem 14.10]. For the second statement, since $\pi_1(\mathrm{SO}(n+1)) \cong \mathbb{Z}/2$, there exists a unique non-trivial vector bundle $E \xrightarrow{\xi} S^2$ of rank (n+1). Hence, there exists a unique non-trivial linear S^n -bundle $S(E) \xrightarrow{\pi} S^2$. By Proposition 2.10, $w_2(\xi) \neq 0$. By choosing a horizontal distribution for the bundle ξ , which is isomorphic to π^*TS^2 , we have (cf. Lemma 2.5)

$$TS(E) \oplus \mathbb{R}_{S(E)} \cong \pi^* TS^2 \oplus \pi^* E.$$

Hence,

$$w_2(S(E)) = w_2(S(E) \oplus \underline{\mathbb{R}}_{S(E)}) = \pi^* w_2(S^2) + \pi^* w_2(\pi) = \pi^* w_2(E).$$

By the Gysin sequence, the map $H^2(S^2) \xrightarrow{\pi^*} H^2(S(E))$ is injective, hence $w_2(S(E))$ is non-trivial.

Finally, we recall the following theorem, which is known as the *Disc Theorem of Palais* [38, Theorem 5.5].

Theorem 2.12. Let $f_1, f_2: D^m \to M$ be embeddings. If $m = \dim(M)$ and M is orientable, assume in addition that both f_1 and f_2 are orientation preserving. Then f_1 and f_2 are isotopic.

3. Normally framed circles

In this section, M will denote an oriented manifold of dimension $n \ge 5$. Some of the results in this section were already obtained by Goldstein and Lininger [17] and Duan [10] when M is simply-connected.

Definition 3.1. Let $f: S^1 \hookrightarrow M$ be an embedding. A normal framing of f is an orientationpreserving embedding $\varphi: S^1 \times D^{n-1} \hookrightarrow M$ such that $\varphi(\cdot, 0) = f$. We introduce two equivalence relations:

- (1) Two normal framings φ_0 and φ_1 of f are *isotopic* if they are isotopic as embeddings, i.e. if there exists a smooth homotopy φ_t , $t \in [0, 1]$, in M between φ_0 and φ_1 such that φ_t is an embedding for all $t \in [0, 1]$. The set of isotopy classes of framings of embeddings $S^1 \hookrightarrow M$ is denoted by $[S^1, M]^{fr}$.
- (2) Two normal framings φ_0 and φ_1 of f are *equivalent* if they are isotopic through normal framings of f.

Note that normal framings exist for any embedding $f: S^1 \hookrightarrow M$: The orientation on M, together with the standard orientation on S^1 , induces an orientation on $\nu_{f(S^1)}$ according to the splitting

$$TM|_{f(S^1)} \cong Tf(S^1) \oplus \nu_{f(S^1)}.$$

By Proposition 2.10, it follows that $\nu_{f(S^1)}$ is trivial and hence, by choosing a Riemannian metric on M, we obtain an embedding $S^1 \times D^{n-1} \hookrightarrow M$ via the exponential map.

It is clear from the definition that equivalent normal framings are isotopic. As we will see below, the converse holds in some cases, but not in general.

Lemma 3.2. Let $f: S^1 \hookrightarrow M$ be an embedding and let φ_0 , φ_1 be normal framings of f. Then there exists a normal framing φ'_1 of f that is equivalent to φ_1 and a smooth map $\alpha: S^1 \to$ SO(n-1) such that

$$\varphi_0(\lambda, \alpha_\lambda v) = \varphi_1'(\lambda, v)$$

for all $(\lambda, v) \in S^1 \times D^{n-1}$. In particular, there exist exactly two equivalence classes of normal framings of f.

Proof. The first statement follows directly from the uniqueness of tubular neighborhoods (see e.g. [28, Corollary III.3.2]) and the second statement then follows from the fact that $\pi_1(SO(n-1)) \cong \mathbb{Z}/2$, as $n \ge 5$.

It follows from Lemma 3.2 that, for an embedding $f: S^1 \hookrightarrow M$, there are at most two isotopy classes of normal framings. To analyze when we have equality, we introduce the following notion.

Let $f: S^1 \hookrightarrow M$ be an embedding and let $F: T^2 \hookrightarrow M$ be an embedding with $F(\cdot, 1) = f$. We view F as a self-isotopy F_t of f via $F_t = F(\cdot, e^{2\pi i t})$. Given a normal framing φ of f, we extend φ along the isotopy F_t to an isotopy φ_t . We then define $F_*[\varphi]$ as the equivalence class of normal framings of f represented by φ_1 .

Lemma 3.3. The class $F_*[\varphi]$ is well-defined, i.e. it does not depend on the choice of extension φ_t .

Proof. Let φ_t and ψ_t be extensions of φ along F. Then the maps $[0,1] \times S^1 \times D^{n-1} \to [0,1] \times M$,

$$(t,\lambda,v)\mapsto (t,\varphi_t(\lambda,v)), \quad (t,\lambda,v)\mapsto (t,\psi_t(\lambda,v))$$

are neat tubular neighborhoods of the neat submanifold $N = \{(t, F_t(\lambda)) \mid (t, \lambda) \in [0, 1] \times S^1\}$ of $[0, 1] \times M$ in the sense of [28, Chapter III.4]. By the uniqueness of neat tubular neighborhoods (see e.g. [28, Theorem III.4.2 and subsequent remark]), after applying an isotopy of neat tubular neighborhoods that fixes N pointwise (which corresponds to isotopies of ψ_0 and ψ_1 on the boundary components that fix $f(S^1)$ pointwise), we can assume that

$$\psi_t(\lambda, \cdot) = \varphi_t(\lambda, \alpha_{(t,\lambda)}(\cdot))$$

for a smooth map $\alpha \colon [0,1] \times S^1 \to \mathrm{SO}(n-1)$ with $\alpha_{(0,\cdot)}$ homotopic to the constant map \equiv id. This shows that ψ_1 is equivalent to φ_1 , where the isotopy is given by $\varphi_1(\lambda, \alpha_{(t,\lambda)}(\cdot))$.

Lemma 3.4. Let $f: S^1 \hookrightarrow M$ be an embedding and let $F: T^2 \hookrightarrow M$ be an embedding with $F(\cdot, 1) = f$. Then, for any normal framing φ of f, we have $[\varphi] = F_*[\varphi]$ if and only if

$$w_2(M) \frown F_*[T^2]_{\mathbb{Z}/2} = 0$$

Proof. Let $\xi = F_*[T^2]_{\mathbb{Z}/2} \in H_2(M; \mathbb{Z}/2)$. By Proposition 2.10, the normal bundle ν_F is trivial if and only if $w_2(\nu_F) = 0$. We have

$$F^*w_2(\nu_F) = F^*w_2(\nu_F \oplus TF(T^2)) = F^*w_2(TM|_{F(T^2)}) = w_2(F^*TM) = F^*w_2(M),$$

which vanishes if and only if

$$0 = F^* w_2(M) \frown [T^2]_{\mathbb{Z}/2} = w_2(M) \frown F_*[T^2]_{\mathbb{Z}/2} = w_2(M) \frown \xi.$$

Hence, $w_2(M) \frown \xi = 0$ if and only if ν_F is trivial.

Now, suppose that ν_F is trivial, i.e. there exists an embedding $\overline{F}: T^2 \times D^{n-2} \hookrightarrow M$ such that $\overline{F}(\cdot, 0) = F$. The map $\varphi_t: S^1 \times D^{n-1} \to M$,

$$\varphi_t(\lambda, v) = \bar{F}((\lambda, e^{2\pi i(t + \frac{v_{n-1}}{4})}), (v_1, \dots, v_{n-2}))$$

for $\lambda \in S^1$, $v = (v_1, \ldots, v_{n-1}) \in D^{n-1}$ is an isotopy along F_t with $\varphi_0 = \varphi_1$, showing that F induces the identity on equivalence classes of normal framings of f.

Finally, suppose that F induces the identity on equivalence classes of normal framings. Let φ be a normal framing and let φ_t be an extension along F_t . Then $\varphi = \varphi_0$ is equivalent to φ_1 . By modifying φ_t for $t \in [1 - \varepsilon, 1]$ for $\varepsilon > 0$ small according to the isotopy between φ and φ_1 , we can assume that $\varphi = \varphi_0 = \varphi_1$. Then we define the embedding $T^2 \times D^{n-1} \hookrightarrow S^1 \times M$,

$$(\lambda, e^{2\pi i t}, v) \mapsto (e^{2\pi i t}, \varphi_t(\lambda, v)),$$

showing that the embedding $\overset{\circ}{F}: T^2 \hookrightarrow S^1 \times M$, $(\lambda, e^{2\pi i t}) \mapsto (e^{2\pi i t}, F_t(\lambda))$ has trivial normal bundle. On the other hand, the normal bundle of $\overset{\circ}{F}(T^2)$ is isomorphic to the sum of the normal bundle ν_F of F in M with the trivial bundle \mathbb{R}_{T^2} (corresponding to paths of the form $s \mapsto (e^{2\pi i (t+s)}, F_t(\lambda)))$. Thus, the bundle $\nu_F \oplus \mathbb{R}_{T^2}$ is trivial. Since $n \geq 5$, it follows from Proposition 2.10, together with the stability of the Stiefel–Whitney classes, that ν_F is trivial. \Box

For the existence of embeddings $F: T^2 \hookrightarrow M$ that reverse the framing according to Lemma 3.4 we have the following result.

Lemma 3.5. Let $f: S^1 \hookrightarrow M$ be an embedding. If there exists a continuous map $h: S^2 \to M$ such that $w_2(M) \frown h_*[S^2]_{\mathbb{Z}/2} \neq 0$, then there exists an embedding $F: T^2 \hookrightarrow M$ with $F(\cdot, 1) = f$ and $w_2(M) \frown F_*[T^2]_{\mathbb{Z}/2} \neq 0$. If f is null-homotopic, then also the converse holds.

Proof. First suppose that such a map h exists. By Theorem 2.8, we can assume that h is an embedding. Again by Theorem 2.8, the map $T^2 \to M$, $(\lambda_1, \lambda_2) \mapsto f(\lambda_1)$, which induces the trivial map on H_2 , is homotopic to an embedding F_0 that extends f. Now, the connected sum embedding $T^2 \# S^2 \cong T^2 \hookrightarrow M$ of F_0 and h satisfies the required properties.

Conversely, suppose that f is null-homotopic and that $F: T^2 \hookrightarrow M$ is an embedding with $F(\cdot, 1) = f$ and $w_2(M) \frown F_*[T^2]_{\mathbb{Z}/2} \neq 0$. Since f is null-homotopic, there exists an embedding $\overline{f}: D^2 \hookrightarrow M$ with $\overline{f}|_{S^1} = f$. We now define a map $\overline{F}: (D^2 \times S^1) \setminus (D^3)^\circ \to M$ from the punctured solid torus to M by first defining it on $T^2 \cup (D^2 \times \{1\})$ (and assume that the deleted D^3 is disjoint from this part) by setting

$$\overline{F}(x) = F(x)$$
 for $x \in T^2$ and $\overline{F}(y, 1) = \overline{f}(y)$ for $y \in D^2$.

Since $T^2 \cup (D^2 \times \{1\})$ is a deformation retract of $(D^2 \times S^1) \setminus (D^3)^\circ$, we can extend this map to all of $(D^2 \times S^1) \setminus (D^3)^\circ$ and we have $\overline{F}|_{T^2} = F$ by construction. We define $h: S^2 \to M$ as the restriction of \overline{F} to the other boundary component. Since T^2 and S^2 define the same homology classes inside $(D^2 \times S^1) \setminus (D^3)^\circ$, it follows that they induce the same homology class $F_*[T^2] = h_*[S^2]$.

Note that the assumptions of Lemma 3.5 are satisfied, for example, if M is non-spin and simply-connected.

We will now consider the map

$$\mu \colon [S^1,M]^{fr} \to [S^1,M]$$

given by forgetting the framing. We denote by \widetilde{M} the universal cover of M. Since the projection $\widetilde{M} \xrightarrow{\pi} M$ is a local diffeomorphism, we have that $w_i(\widetilde{M}) = \pi^* w_i(M)$. Hence, \widetilde{M} is spin if and only if $w_2(M)$ lies in the kernel of $\pi^* \colon H^2(M; \mathbb{Z}/2) \to H^2(\widetilde{M}; \mathbb{Z}/2)$. We now have the following proposition (cf. [17] and [10, Corollary 2.3] in the simply-connected case).

Proposition 3.6. The map μ is surjective. Further, we have:

- (i) If M is spin, then μ is two-to-one.
- (ii) If M is non-spin, then μ is bijective.
- (iii) The trivial class in $[S^1, M]$ has two preimages under μ if and only if \widetilde{M} is spin. Otherwise, it has one preimage.

Proof. Since, by Theorem 2.8, any map $S^1 \to M$ is homotopic to an embedding, the map μ is surjective. Further, since any two homotopic embeddings $S^1 \hookrightarrow M$ are isotopic by Theorem 2.9, the preimages of a map $f: S^1 \to M$ under μ , which we can assume to be embeddings, can be represented by normal framings of f. This shows that there are either one or two preimages of the class represented by f, depending on whether the two non-equivalent normal framings of fare isotopic or not.

By Theorem 2.8 we can assume that every self-isotopy of f is an embedded torus. Hence, by Lemma 3.4, the two non-equivalent normal framings of f are isotopic if and only if there is an embedded torus $F: T^2 \to M$ with $F(\cdot, 1) = f$ and $w_2(M) \frown F_*[T^2]_{\mathbb{Z}/2} \neq 0$. In particular, if M is spin, then there is no such isotopy, which shows item (i). Further, by Lemma 3.5, a sufficient condition for the existence of such an embedding is the existence of a continuous map $h: S^2 \to M$ with $w_2(M) \frown h_*[S^2]_{\mathbb{Z}/2} \neq 0$, and this condition is also necessary if f is null-homotopic. We now show that this condition is satisfied on M if and only if it is satisfied on \widetilde{M} , showing items (ii) and (iii).

For any map $h: S^2 \to \widetilde{M}$ we have

$$w_2(M) \frown (\pi \circ h)_*[S^2] = w_2(M) \frown \pi_* h_*[S^2] = \pi_*(\pi^* w_2(M) \frown h_*[S^2]) = \pi_*(w_2(\widetilde{M}) \frown h_*[S^2]).$$

Since π induces an isomorphism on H_0 , it follows that h satisfies the required property for \widetilde{M} if and only if $\pi \circ h$ satisfies it for M. Since any map $S^2 \to M$ can be lifted to \widetilde{M} , the claim follows.

By Proposition 3.6, a null-homotopic embedding $S^1 \hookrightarrow M$ can possibly have two non-isotopic normal framings. In this case we have a distinguished normal framing, which we now define.

Definition 3.7. Let $f: S^1 \hookrightarrow M$ be an embedding. A normal framing $\varphi: S^1 \times D^{n-1} \hookrightarrow M$ of f is trivial, if there is an embedding $\bar{\varphi}: D^2 \times D^{n-2}$ with

$$\bar{\varphi}|_{S^1 \times D^{n-2}} = \varphi|_{S^1 \times D^{n-2}}.$$

We say that φ extends over the embedded 2-disc $\overline{\varphi}(\cdot, 0)$.

It is a direct consequence of the Disc Theorem of Palais (Theorem 2.12) and the uniqueness of tubular neighborhoods, that any two trivial normal framings are isotopic (cf. also [41, Lemma 3.7]). Hence, there is precisely one isotopy class of trivial normal framings.

Lemma 3.8. Let φ be a normal framing of a null-homotopic embedding $f: S^1 \hookrightarrow M$. Then φ is trivial if and only if its lift to \overline{M} is trivial.

Proof. Since we can lift extensions to the universal cover, it follows that any lift of a trivial normal framing is trivial. Since, by Proposition 3.6, the numbers of isotopy classes of normal framings of null-homotopic embeddings for M and M coincide, it follows that a normal framing is trivial if and only if its lift to the universal cover is trivial.

Definition 3.9. Let $P \xrightarrow{\pi} M$ be a principal S^1 -bundle and let $U \times S^1 \cong \pi^{-1}(U) \subseteq P$ with $U \subseteq M$ open be a local trivialization. Let $D^n \hookrightarrow U$ be an orientation-preserving embedding. The corresponding embedding $S^1 \times D^n \cong D^n \times S^1 \hookrightarrow P$, denoted φ_{π} , is called the *standard* framing of π .

By Theorem 2.12, and since π has connected structure group, the definition of standard framing is well-defined up to isotopy.

Proposition 3.10. Let $P \xrightarrow{\pi} M^n$ be a principal S¹-bundle such that the inclusion of a fiber is null-homotopic (which, by Lemma 2.4, is equivalent to the pull-back of the Euler class to Mbeing primitive). Then the standard framing φ_{π} is trivial if and only if M is not spin.

Proof. By Lemma 2.4, since the inclusion of a fiber is null-homotopic, the pull-back of the Euler class of π to M is primitive. This implies that the pull-back of P along the projection $M \to M$ is simply-connected by Lemma 2.3, in particular it is the universal cover \widetilde{P} , so we can write $\widetilde{P} \xrightarrow{\widetilde{\pi}} \widetilde{M}$ for the pull-back bundle. Hence, by Lemma 3.8, φ_{π} is trivial if and only if $\varphi_{\widetilde{\pi}}$ is trivial, that is, we can assume that M and P are simply-connected. This case now follows from [17, Theorem 8].

4. SURGERY ALONG FRAMED CIRCLES

As in the previous section, M denotes an oriented manifold of dimension $n \ge 5$. In this section, we consider the manifold we obtain when performing surgery along a fixed normal framing to establish the existence of certain self-diffeomorphisms of $M\#(S^2\times S^{n-2})$ and $M\#(S^2\times \widetilde{X})$ S^{n-2}). The technique we use is due to Wall [50], who considered the corresponding problem in dimension 4. As customary, we will assume that all corners have been smoothed after performing surgery.

Lemma 4.1. Let φ be a normal framing of an embedding $f: S^1 \hookrightarrow M$ into a manifold M and suppose that f is null-homotopic, i.e. it bounds an embedded disc. Then the manifold obtained from M by surgery along φ is diffeomorphic to the connected sum of M with a linear sphere bundle over S^2 which is trivial if and only if φ is trivial.

Proof. Since f is null-homotopic, it bounds an embedded disc by Theorem 2.8. Then the statement of the lemma is well-known. For completeness, we give the proof below.

We can write M as

$$M \cong M \# S^n \cong M \# (D^2 \times S^{n-2} \cup_{\mathrm{id}_{S^1 \times S^{n-2}}} S^1 \times D^{n-1})$$

and the inclusion φ_0 of $S^1 \times D^{n-1}$ into the second factor is a trivial normal framing, the extension $\bar{\varphi}_0: D^2 \times D^{n-2} \hookrightarrow (D^2 \times S^{n-2}) \cup_{S^1 \times S^{n-2}} (S^1 \times D^{n-1})$ is given by

$$D^{2} \times D^{n-2} \cong (D^{2} \times D^{n-2}) \cup_{S^{1} \times D^{n-2}} (S^{1} \times D^{n-1}_{+}) \hookrightarrow (D^{2} \times S^{n-2}) \cup_{S^{1} \times S^{n-2}} (S^{1} \times D^{n-1}),$$

where $D_+^{n-1} \subseteq D^{n-1}$ denotes the upper half-ball. We use the obvious embedding on each factor and embed $D^{n-2} \subseteq S^{n-2}$ as the upper half-sphere.

Hence, if φ is trivial, then it is isotopic to φ_0 (as noted after Definition 3.7), so the manifold obtained by surgery along φ is diffeomorphic to

(4.1)
$$M \# (D^2 \times S^{n-2} \cup_{\mathrm{id}_{S^1 \times S^{n-2}}} D^2 \times S^{n-2}) \cong M \# (S^2 \times S^{n-2}).$$

If φ is non-trivial, then $\varphi \circ \tilde{\alpha}$ is trivial, where $\tilde{\alpha} \colon S^1 \times D^{n-1} \to S^1 \times D^{n-1}$ is defined by $\tilde{\alpha}(\lambda, v) = (\lambda, \alpha_\lambda v)$ and α is a smooth representative of the unique non-trivial class in $\pi_1(\mathrm{SO}(n-1))$. Hence, φ is isotopic to $\varphi_0 \circ \tilde{\alpha}$, so the manifold obtained by surgery along φ is diffeomorphic to

(4.2)
$$M \# (D^2 \times S^{n-2} \cup_{\tilde{\alpha}} D^2 \times S^{n-2}) \cong M \# (S^2 \times S^{n-2}).$$

The following result was already proven by Goldstein and Lininger in [17] in the simplyconnected case.

Corollary 4.2. Let M be a closed oriented manifold of dimension $n \geq 5$ and suppose that $w_2(\widetilde{M}) \neq 0$. Then $M \# (S^2 \times S^{n-2})$ is diffeomorphic to $M \# (S^2 \widetilde{\times} S^{n-2})$.

Proof. By Proposition 3.6, since $w_2(\widetilde{M}) \neq 0$, the two non-equivalent normal framings of an embedded null-homotopic circle $f: S^1 \hookrightarrow M$ are isotopic, so surgery along these framings results in diffeomorphic manifolds.

Now fix an embedded 2-disc bounded by f together with a normal framing that extends over this 2-disc. Then a normal framing representing the other equivalence class does not extend over this disc. By Lemma 4.1, if we perform surgery along these normal framings, we therefore obtain the manifolds $M#(S^2 \times S^{n-2})$ and $M#(S^2 \times S^{n-2})$, respectively.

Now fix a null-homotopic embedding $f: S^1 \hookrightarrow M$, a normal framing $\varphi: S^1 \times D^{n-1} \hookrightarrow M$ of f, and an embedding $F: T^2 \to M$ with $F(\cdot, 1) = f$. We extend φ along F, i.e. we obtain an isotopy φ_t of φ with $\varphi_t(\cdot, 0) = F(\cdot, e^{2\pi i t})$. We can assume that $\varphi_1 = \varphi$ or $\varphi_1 = \varphi \circ \tilde{\alpha}$, depending on whether φ and φ_1 are equivalent or not. By the isotopy extension theorem (see e.g. [22, Theorem 8.1.4]) we can extend φ_t to a diffeotopy Φ_t of M. In particular, $\Phi_0 = \mathrm{id}_M$ and Φ_1 is a diffeomorphism of M which fixes $f(S^1)$ pointwise.

We denote by M_t the manifold obtained from M by surgery along the embedding φ_t . Then all the manifolds M_t are diffeomorphic, with a diffeomorphism between M_0 and M_t induced by Φ_t . It follows from Lemma 4.1 that M_0 is diffeomorphic to the connected sum of M and a linear sphere bundle over S^2 and if we choose the normal framing to be trivial, then $M_0 \cong$ $M \# (S^2 \times S^{n-2})$. Hence, $M_1 \cong M \# (S^2 \times S^{n-2})$ and if φ_1 and φ_0 are non-equivalent, we also have $M_1 \cong M \# (S^2 \times S^{n-2})$.

We now consider the map induced by Φ_1 on (co)homology. We denote the free part of $H^i(M)$ by $H^i_F(M)$, which is the quotient of $H^i(M)$ by its torsion subgroup. Let $x_i \in H_2(M_i)$ correspond to a generator of $H_2(S^2 \times S^{n-2})$ or $H_2(S^2 \times S^{n-2})$ (depending on whether φ_0 and φ_1 are equivalent or not) and let $x_i^* \in H^2(M_i)$ be its dual. We then have

$$H_2(M_i) \cong H_2(M) \oplus \mathbb{Z} x_i$$
 and $H^2(M_i) \cong H^2(M) \oplus \mathbb{Z} x_i^*$.

Note that x_i is represented by the inclusion of the first factor for $S^2 \times S^{n-2}$ and by a section of the base for $S^2 \times S^{n-2}$.

Proposition 4.3. For the induced map Φ_{1*} : $H_2(M_0) \to H_2(M_1)$, we have $\Phi_{1*}(y) = y$ for any $y \in H_2(M)$ and $\Phi_{1*}(x_0) = x_1 + \xi$, where $\xi = F_*[T^2]$. Analogously, the induced map Φ_1^* : $H_F^2(M_i) \to H_F^2(M_i)$ on the free part is given by $\Phi_1^*\varphi = \varphi + (\varphi \frown \xi)x_i^*$.

For the proof of Proposition 4.3 we need the following result.

Lemma 4.4. Let M be a manifold and let $\iota: W \hookrightarrow M$ be an embedding of a manifold W with non-empty boundary N. Let $\phi: [0,1] \times M \to M$ be a diffeotopy of M such that $\phi_0 = \mathrm{id}_M$. We define the map $\iota_{\phi}: W \to M$ as follows: Fix a diffeomorphism $W \cong W \cup_N ([0,1] \times N)$ and set $\iota_{\phi}|_W = \iota|_W$ and $\iota_{\phi}(t,p) = \phi_t(\iota(p))$ for $(t,p) \in [0,1] \times N$. Then ι_{ϕ} and $\phi_1 \circ \iota$ are homotopic rel N.

The preceding lemma asserts, in short, that the homotopy class of $\phi_1 \circ \iota$ rel N only differs from that of ι in a collar neighborhood of N, where we modify it by Φ .

Proof. We give the homotopy explicitly as follows. Define

$$\Psi \colon [0,1] \times (W \cup_N [0,1] \times N) \to M$$

by $\Psi_t(p) = \Phi_t(\iota(p))$ for $p \in W$ and $\Psi_t(s,p) = \Phi_{(1-s)t+s}(\iota(p))$ for $(s,p) \in [0,1] \times N$. Then $\Psi_0 = \iota_{\phi}$, and Ψ_1 equals $\Phi_1 \circ \iota$ on W and $\Phi_1 \circ \iota \circ \operatorname{pr}_N$ on $[0,1] \times N$, which, under the identification $W \cong W \cup_N ([0,1] \times N)$ is homotopic rel N to $\Phi_1 \circ \iota$. Further, $\Psi_t|_N = \Phi_1 \circ \iota|_N$ for all $t \in [0,1]$, showing that Ψ is a homotopy rel N.

Proof of Proposition 4.3. The long exact sequence in homology for the pair $(M, M \setminus \varphi(S^1 \times D^{n-1}))$ yields the exact sequence

$$H_3(M, M \setminus \varphi(S^1 \times D^{n-1})) \to H_2(M \setminus \varphi(S^1 \times D^{n-1})) \to H_2(M) \to H_2(M, M \setminus \varphi(S^1 \times D^{n-1})).$$

By excision

By excision,

$$H_i(M, M \setminus \varphi(S^1 \times D^{n-1})) \cong H_i(S^1 \times D^{n-1}, S^1 \times S^{n-2}) = 0$$

for i = 2, 3, as $n \ge 5$. Hence, the map $H_2(M \setminus \varphi(S^1 \times D^{n-1})) \to H_2(M)$ is an isomorphism, i.e. (4.4) $H_2(M \setminus \varphi(S^1 \times D^{n-1})) \cong H_2(M).$

Now, consider the manifold M_i for i = 0, 1. The long exact sequence in homology for the pair $(M_i, M_i \setminus (D^2 \times S^{n-2}))$ yields the exact sequence

$$(4.5) H_3(M_i, M_i \setminus (D^2 \times S^{n-2})) \longrightarrow H_2(M_i \setminus (D^2 \times S^{n-2})) \longrightarrow H_2(M_i) \longrightarrow H_2(M_i, M_i \setminus (D^2 \times S^{n-2})) \longrightarrow H_1(M_i \setminus (D^2 \times S^{n-2})) \longrightarrow H_1(M_i).$$

As for the pair $(M, M \setminus f(S^1 \times D^{n-1}))$, by excision,

$$H_j(M_i, M_i \setminus (D^2 \times S^{n-2})) \cong H_j(D^2 \times S^{n-2}, S^1 \times S^{n-2})$$

and $H_j(D^2 \times S^{n-2}, S^1 \times S^{n-2})$ vanishes for j = 3 and is isomorphic to \mathbb{Z} if j = 2. Further, since the inclusion $M_i \setminus (D^2 \times S^{n-2}) \hookrightarrow M_i$ induces an isomorphism on fundamental groups, we may rewrite the exact sequence (4.5) as

$$(4.6) \qquad 0 \longrightarrow H_2(M_i \setminus (D^2 \times S^{n-2})) \longrightarrow H_2(M_i) \longrightarrow H_2(D^2 \times S^{n-2}, S^1 \times S^{n-2}) \longrightarrow 0.$$

By construction (cf. (4.1) and (4.2)), the element $x_i \in H_2(M_i)$ maps to a generator of $H_2(D^2 \times S^{n-2}, S^1 \times S^{n-2})$ in (4.6), since a generator of the latter is represented by $D^2 \times \{v\}$ for any $v \in S^{n-2}$. We choose v (by possibly modifying the map α) so that $\alpha_\lambda(v) = v$ for all $\lambda \in S^1$ and we denote by S the embedded 2-disc in the first $(D^2 \times S^{n-2})$ -factor in (4.1) or (4.2) given by $D^2 \times \{v\}$. Hence, when glued to $D^2 \times \{v\}$ in the second $(D^2 \times S^{n-2})$ -factor in (4.1) or (4.2), the disc S represents the class x_i . Note that in $M \setminus \varphi(S^1 \times D^{n-1})$, the surface S has boundary $\varphi(S^1 \times \{v\})$.

Since $\Phi_0 = \mathrm{id}_M$, the diffeomorphism Φ_1 is isotopic to a map that fixes $M \setminus \varphi(S^1 \times D^{n-1})$ pointwise. Hence, Φ_1 induces the identity in homology for all classes in $H_*(M)$. Now, by Lemma 4.4, the inclusion of S, which we will denote by ι_S , followed by Φ_1 is homotopic rel $\varphi(S^1 \times \{v\})$ to ι_S extended by the map $\tilde{F}: [0,1] \times S^1 \to M$ defined by $\tilde{F}(t,\lambda) = \Phi_t(\varphi(\lambda,v))$, where we identify S with $S \cup_{S^1} ([0,1] \times S^1)$. It follows that the surface representing x_0 is mapped to a surface which represents the class $x_1 + \xi$. This can be seen in a similar way as in the proof of Lemma 3.5: The map \tilde{F} and the inclusions of S and $(D^2 \times \{v\})$ all coincide on their boundaries, hence they define a map from T^2 with 2 discs glued into $S^1 \times \{1\}$, and therefore define a map from the twice punctured solid torus into M_1 . The map restricted to each boundary component represents ξ , x_1 and $\Phi_* x_0$, respectively. Hence, after a suitable choice of orientations, we obtain $\Phi_* x_0 = x_1 + \xi$.

Finally, since $H_1(M_i) \cong H_1(M)$, the statement on the cohomology follows from the universal coefficient theorem.

In the following, x and \tilde{x} denote, respectively, generators of $H_2(S^2 \times S^{n-2})$ and $H_2(S^2 \times S^{n-2})$, and x^* and \tilde{x}^* denote the corresponding dual elements in $H^2(S^2 \times S^{n-2})$ and $H^2(S^2 \times S^{n-2})$, i.e. $x^* \frown x = 1$ and $\tilde{x}^* \frown \tilde{x} = 1$. The following corollaries now directly follow from Lemma 3.5 and Proposition 4.3.

Corollary 4.5. Let M be a closed, oriented manifold of dimension $n \geq 5$. Then, for any continuous map $h: S^2 \to M$ with $w_2(M) \frown h_*[S^2]_{\mathbb{Z}/2} = 0$, there is a diffeomorphism of $M \# (S^2 \times S^{n-2})$ which induces the identity on $H_2(M)$ and maps x to $x + \xi$, where $\xi = h_*[S^2]$. The induced map on cohomology fixes x^* and maps $\varphi \in H^2_F(M)$ to $\varphi + \varphi(\xi)x^*$. An analogous statement holds if we replace $S^2 \times S^{n-2}$ with $S^2 \times S^{n-2}$, x with \tilde{x} , and x^* with \tilde{x}^* .

Corollary 4.6. Let M be a closed, oriented manifold of dimension $n \geq 5$. Then, for any continuous map $h: S^2 \to M$ with $w_2(M) \frown h_*[S^2]_{\mathbb{Z}/2} \neq 0$, there is a diffeomorphism between $M\#(S^2 \times S^{n-2})$ and $M\#(S^2 \widetilde{\times} S^{n-2})$ which induces the identity on $H_2(M)$ and induces the map $x \mapsto \tilde{x} + \xi$, where $\xi = h_*[S^2]$. The induced map on cohomology maps x^* to \tilde{x}^* and maps $\varphi \in H^2_F(M)$ to $\varphi + \varphi(\xi)\tilde{x}^*$.

The following corollary is an analog of a result of Wall for 4-manifolds (see [50, Theorem 2]).

Corollary 4.7. Let M_1 and M_2 be k-fold connected sums of copies of $S^2 \times S^{n-2}$ and $S^2 \times S^{n-2}$. S^{n-2} . Then every isomorphism between $H^2(M_1)$ and $H^2(M_2)$ that preserves w_2 is induced by a diffeomorphism. In particular, every isomorphism of the second cohomology of $\#_k(S^2 \times S^{n-2})$ is induced by a diffeomorphism.

Proof. We first consider the case where M_1 and M_2 are both spin, i.e. they are both diffeomorphic to $\#_k(S^2 \times S^{n-2})$, which we will denote by N_k . Denote a generator of $H^2(S^2 \times S^{n-2})$ in the *i*-th summand of N_k by x_i . Then (x_1, \ldots, x_k) is a basis of $H^2(N_k)$. The automorphism group of $H^2(N_k)$ can be identified with $\operatorname{GL}(k,\mathbb{Z})$ and, by applying Corollary 4.5 to the *i*-th summand of N_k with ξ a multiple of the dual of x_j , $i \neq j$, we obtain that all elementary matrices are induced by a diffeomorphism. Since the elementary matrices together with the permutation matrices, which are obviously induced by diffeomorphisms, generate $\operatorname{GL}(k,\mathbb{Z})$, the claim follows.

If M_1 and M_2 are non-spin, by applying Corollary 4.2 (possibly multiple times), we can assume that both M_1 and M_2 are diffeomorphic to a fixed connected sum of copies of $S^2 \times S^{n-2}$ and $S^2 \times S^{n-2}$, where the latter appears at least once, and we denote this manifold by N'_k . As before, we denote by x_i a generator of the second cohomology of the *i*-th summand of N'_k , so (x_1, \ldots, x_k) is a basis of $H^2(M'_k)$. By Corollaries 4.5 and 4.6, we see as in the spin case that every automorphism of $H^2(N'_k)$ is induced by a diffeomorphism if we allow the bundle structure of the summands to change. By restricting to those automorphisms that fix $w_2(N'_k)$ we obtain all diffeomorphisms that do not change the bundle structures of the summands, i.e. all self-diffeomorphisms of N'_k .

5. Twisted suspensions

Let M^n be a connected *n*-manifold an let $e \in H^2(M; \mathbb{Z})$. Generalizing Duan's suspension constructions in [10], for a class $e \in H^2(M; \mathbb{Z})$ we now define two (n+1)-dimensional manifolds $\Sigma_e M$ and $\tilde{\Sigma}_e M$, called suspensions of M twisted by e, as follows.

The class e defines a unique principal S^1 -bundle $P \xrightarrow{\pi} M$ with Euler class $e(\pi) = e$. Let $D^n \hookrightarrow M$ be an embedding. If M is orientable, we require, after choosing an orientation on M, that this embedding be orientation-preserving. Since D^n is contractible, we can identify $\pi^{-1}(D^n)$ with $D^n \times S^1$ and we obtain an S^1 -equivariant embedding

$$\varphi_{\pi} \colon D^n \times S^1 \hookrightarrow P.$$

The definition of φ_{π} is unique up to isotopy. This follows from the fact that the embedding $D^n \hookrightarrow M$ is unique up to isotopy by Theorem 2.12 and that S^1 is connected, so the identification of $\pi^{-1}(D^n)$ with $D^n \times S^1$ is unique.

Definition 5.1. Assume $n \geq 2$ and let $\alpha: S^1 \to SO(n)$ be a smooth representative of a generator of $\pi_1(SO(2)) \cong \mathbb{Z}$ if n = 2 and of the unique non-trivial class in $\pi_1(SO(n)) \cong \mathbb{Z}/2$ if n > 2. The map α induces the diffeomorphism $\tilde{\alpha}: S^{n-1} \times S^1 \to S^{n-1} \times S^1, (x, y) \mapsto (\alpha_y x, y)$. We define the suspensions of M twisted by e as

$$\Sigma_e M = P \setminus (\varphi_\pi (D^n \times S^1)^\circ) \cup_{\mathrm{id}_{S^{n-1} \times S^1}} S^{n-1} \times D^2$$

and

$$\widetilde{\Sigma}_e M = P \setminus (\varphi_\pi (D^n \times S^1)^\circ) \cup_{\widetilde{\alpha}} S^{n-1} \times D^2.$$

When e is the trivial class we recover the suspension constructions in [10], where they are denoted by $\Sigma_0 M$ and $\Sigma_1 M$, respectively.

With the definition of twisted suspensions in hand, we now prove Theorem A.

Proof of Theorem A. We will follow the same strategy as in [10, Theorem B]. We write P_1 as

$$P_1 \cong P_1 \# S^{n+1} \cong P_1 \# (D^2 \times S^{n-1} \cup_{S^1 \times S^{n-1}} S^1 \times D^n).$$

Now the inclusion φ of $S^1 \times D^n$ into the second factor is a trivial normal framing as in the proof of Lemma 4.1. By Proposition 3.10, the normal framings φ_{π_1} and φ are isotopic if and only if \widetilde{B}_1 is not spin, and if \widetilde{B}_1 is spin, then φ_{π_1} is isotopic to $\varphi \circ \tilde{\alpha}$, where we extend $\tilde{\alpha}$ to $S^1 \times D^n$ in the obvious way. It follows that

$$P \cong (P_1 \setminus \varphi_{\pi_1}(S^1 \times D^n)^\circ) \cup_{S^1 \times S^{n-1}} (P_2 \setminus \varphi_{\pi_2}(S^1 \times D^n)^\circ)$$
$$\cong P_1 \# (D^2 \times S^{n-1}) \cup_{S^1 \times S^{n-1}} (P_2 \setminus \varphi_{\pi_2}(S^1 \times D^n)^\circ),$$

and we use either $\mathrm{id}_{S^1 \times S^{n-1}}$ or $\tilde{\alpha}$ as gluing map, depending on whether φ_{π} is trivial or not. In the first case, we obtain $P \cong P_1 \# \Sigma_{e_2} M_2$ and in the second case, we obtain $P \cong P_1 \# \widetilde{\Sigma}_{e_2} M_2$. \Box

The following result yields basic topological information on twisted suspensions of manifolds. Lemma 5.2. Let M be a connected manifold of dimension $n \ge 2$ and let $e \in H^2(M)$. Then

topological invariants of the twisted suspensions are given as follows:

(1) Fundamental group:

$$\pi_1(\Sigma_e M) \cong \pi_1(\widetilde{\Sigma}_e M) \cong \begin{cases} \pi_1(M), & n \ge 3, \\ \pi_1(M \setminus D^2), & n = 2. \end{cases}$$

- (2) The inclusions of $P \setminus (\varphi_{\pi}(D^n \times S^1)^\circ) = \pi^{-1}(M \setminus (D^n)^\circ)$ into $\Sigma_e M$ and $\widetilde{\Sigma}_e M$ induce isomorphisms in (co)homology in all degrees i with $3 \le i \le n$ (with coefficients in any ring).
- (3) If M is simply-connected and $n \geq 5$, then

$$H^2(\Sigma_e M) \cong H^2(\widetilde{\Sigma}_e M) \cong H^2(M)$$

and similarly for H_2 (with coefficients in any ring). Further, $\Sigma_e M$ is spin if and only if $w_2(M) \equiv e \mod 2$, and $\widetilde{\Sigma}_e M$ is spin if and only if M is spin.

Proof. As before, we denote by $P \xrightarrow{\pi} M$ the principal S^1 -bundle over M with Euler class e. The spaces $\Sigma_e M$ and $\widetilde{\Sigma}_e M$ fit into the following pushout diagram:

Hence, by van Kampen's theorem, both $\pi_1(\Sigma_e M)$ and $\pi_1(\widetilde{\Sigma}_e M)$ are isomorphic to the quotient of $\pi_1(P \setminus (\varphi_{\pi}(D^n \times S^1)^{\circ}))$ by the subgroup generated by the class represented by a fiber. By the long exact sequence of homotopy groups for the S^1 -bundle $P \setminus (\varphi_{\pi}(D^n \times S^1)^{\circ}) \xrightarrow{\pi} M \setminus D^{n^{\circ}}$, this quotient is isomorphic to $\pi_1(M \setminus D^{n^{\circ}})$, which is isomorphic to $\pi_1(M)$ if $n \geq 3$. This proves item (1) and item (2) follows from the Mayer–Vietoris sequence for the same pushout diagram.

Now, assume that M is simply-connected. We consider $M' = (S^2 \times S^{n-2}) \# M$ and denote by $P' \xrightarrow{\pi'} M'$ the principal S^1 -bundle with Euler class $e' = x^* + e$, where x^* denotes a generator of $H^2(S^2 \times S^{n-2})$. By Theorem A, it follows that

$$P' \cong (S^3 \times S^{n-2}) \# \widetilde{\Sigma}_e M.$$

By the Gysin sequence, we have the following exact sequence:

$$H^0(M') \xrightarrow{\cdot \cdot \cdot e'} H^2(M') \xrightarrow{\pi'^*} H^2(P') \to 0.$$

Hence,

$$H^2(\widetilde{\Sigma}_e M) \cong H^2(P') \cong H^2(M') / \langle e' \rangle \cong H^2(M).$$

By Lemma 2.5, $w_2(P') = \pi'^* w_2(M') = \pi'^* w_2(M)$, which only lies in $\langle e' \mod 2 \rangle$ when $w_2(M)$ is trivial.

For $\Sigma_e M$ we proceed similarly by defining $M' = (S^2 \times S^{n-2}) \# M$. In this case, since $w_2(S^2 \times S^{n-2})$ is non-trivial, $w_2(M')$ lies in $\langle e' \mod 2 \rangle$ if and only if $w_2(M) \equiv e \mod 2$. This proves item (3).

Example 5.3. We can explicitly determine the diffeomorphism type of the twisted suspension in the following cases:

(1) We have, for $n \ge 2$,

$$\Sigma_0 S^n = (D^n \times S^1) \cup_{\mathrm{id}_{S^{n-1} \times S^1}} (S^{n-1} \times D^2) \cong \partial (D^n \times D^2) \cong \partial D^{n+2} = S^{n+1}.$$

This also holds for $\widetilde{\Sigma}_0 S^n$, as the diffeomorphism $\tilde{\alpha}$ extends over the right-hand side, i.e. over $(D^n \times S^1)$.

(2) For n = 2 and $e \in H^2(S^2)$ non-trivial, we also obtain that

$$\Sigma_e S^2 \cong \widetilde{\Sigma}_e S^2 \cong S^3$$

since, by Lemma 5.2, both $\Sigma_e S^2$ and $\widetilde{\Sigma}_e S^2$ are closed, simply-connected 3-manifolds, which, by Perelman's proof of the Poincaré conjecture, can only be diffeomorphic to S^3 . (3) If $e \in H^2(\mathbb{C}P^n)$ denotes a generator, then we have

$$\Sigma_e \mathbb{C}P^n \cong \begin{cases} S^2 \times S^{2n-1}, & n \text{ even}, \\ S^2 \stackrel{\sim}{\times} S^{2n-1}, & n \text{ odd}, \end{cases} \qquad \widetilde{\Sigma}_e \mathbb{C}P^n \cong \begin{cases} S^2 \stackrel{\sim}{\times} S^{2n-1}, & n \text{ even}, \\ S^2 \times S^{2n-1}, & n \text{ odd}. \end{cases}$$

This will follow immediately from part (1) of Theorem B.

Now, let $E \xrightarrow{\xi} M^n$ be a fiber bundle with fiber F. For $e \in H^2(M)$ we construct a fiber bundle $\Sigma_e \xi$ (resp. $\widetilde{\Sigma}_e \xi$) over $\Sigma_e M$ (resp. $\widetilde{\Sigma}_e M$) with fiber F and the same structure group as ξ as follows. Let $D^n \subseteq M$ be an embedded disc and extend it to local trivializations $\varphi_{\xi} \colon D^n \times F \hookrightarrow E$ and $\varphi_{\pi} \colon D^n \times S^1 \hookrightarrow P$, where $P \xrightarrow{\pi} M$ denotes, as before, the principal S^1 -bundle over M with Euler class e. The pull-back $\pi^*(E \setminus \varphi_{\xi}(D^n \times F)^\circ)$ is then a fiber bundle over $P \setminus \varphi_{\pi}(D^n \times S^1)^\circ$ with fiber F, the same structure group as ξ , and boundary $S^{n-1} \times S^1 \times F$.

Definition 5.4. We define $E(\Sigma_e \xi)$ and $E(\widetilde{\Sigma}_e \xi)$ by

$$E(\Sigma_e \xi) = \pi^* (E \setminus \varphi_{\xi} (D^n \times F)^{\circ}) \cup_{\mathrm{id}_{S^{n-1} \times S^1 \times F}} (S^{n-1} \times D^2 \times F)$$

and

$$E(\tilde{\Sigma}_e \xi) = \pi^* (E \setminus \varphi_{\xi} (D^n \times F)^\circ) \cup_{\tilde{\alpha} \times \mathrm{id}_F} (S^{n-1} \times D^2 \times F)$$
¹⁷

Since we glue fibers to fibers, where we consider the right-hand side as the trivial bundle $S^{n-1} \times D^2 \times F \to S^{n-1} \times D^2$, we obtain the structure of two fiber bundles with fiber F, the same structure group as ξ , and base

$$P \setminus \varphi_{\pi}(D^n \times S^1)^{\circ} \cup_{\mathrm{id}_{S^{n-1} \times S^1}} S^{n-1} \times D^2 = \Sigma_e M$$

and

$$P \setminus \varphi_{\pi}(D^n \times S^1)^{\circ} \cup_{\tilde{\alpha}} S^{n-1} \times D^2 = \widetilde{\Sigma}_e B,$$

respectively. We denote the projection maps $E(\Sigma_e \xi) \to \Sigma_e M$ and $E(\widetilde{\Sigma}_e \xi) \to \widetilde{\Sigma}_e M$ by $\Sigma_e \xi$ and $\Sigma_e \xi$, respectively.

Now we restrict to the case of linear sphere bundles, i.e. let $E \xrightarrow{\xi} M^n$ be a linear S^m -bundle and let $e \in H^2(M)$. It follows from the corresponding constructions that the bundle $\Sigma_e \xi$ is trivial over the right-hand side of the decomposition

$$\Sigma_e M = P \setminus \varphi_{\pi} (D^n \times S^1)^{\circ} \cup_{\mathrm{id}_{S^{n-1} \times S^1}} S^{n-1} \times D^2,$$

i.e. it is given by $S^{n-1} \times D^2 \times S^m$ (and the construction provides a canonical identification) and similarly for the bundle $\widetilde{\Sigma}_e \xi$. By decomposing $S^m = D^m \cup_{S^{m-1}} D^m$ and identifying $D^2 \times D^m \cong$ D^{m+2} , we obtain embeddings

$$\iota_{\xi} \colon S^{n-1} \times D^{m+2} \hookrightarrow E(\Sigma_e \xi)$$

and

$$\widetilde{\iota}_{\xi} \colon S^{n-1} \times D^{m+2} \hookrightarrow E(\widetilde{\Sigma}_e \xi).$$

Proposition 5.5. If $n \geq 2$, then the manifold $\Sigma_{\xi^*e}E$ (resp. $\widetilde{\Sigma}_{\xi^*e}E$) is diffeomorphic to the manifold obtained by surgery on $E(\Sigma_e \xi)$ (resp. $E(\widetilde{\Sigma}_e \xi)$) along the embedding ι_{ξ} (resp. $\widetilde{\iota_{\xi}}$).

Proof. Recall that we have a local trivialization

$$\varphi_{\xi} \colon D^n \times S^m \hookrightarrow E.$$

Thus, after smoothing corners, the restriction of φ_{ξ} to $D^n \times S^m_+ \cong D^n \times D^m$, where S^m_+ denotes the (closed) upper hemisphere of S^m , is an orientation-preserving embedding of D^{m+n} into E.

It follows that in the decomposition

$$\pi^*(E) \cong \pi^*(E \setminus \varphi_{\xi}(D^n \times S^m)) \cup_{\mathrm{id}_{S^{n-1} \times S^m \times S^1}} (D^n \times S^m \times S^1)$$

a local trivialization for $\pi^*(E)$ is given by the inclusion of $D^n \times S^m_+ \times S^1$ into the right-hand side. Hence, to construct the space $\Sigma_{\xi^*c}E$ (resp. $\widetilde{\Sigma}_{\xi^*c}E$), we need to glue the product $S^{n+m-1} \times D^2$ to $\pi^*(E \setminus \varphi_{\xi}(D^n \times S^m)) \cup_{\mathrm{id}_{S^{n-1} \times S^m} \times S^1} (D^n \times S^m_- \times S^1)$ along the boundary $S^{n+m-1} \times S^1$, which, in this decomposition, is given by

$$(S^{n-1} \times S^m_+ \times S^1) \cup_{\mathrm{id}_{S^{n-1} \times S^{m-1} \times S^1}} (D^n \times S^{m-1} \times S^1).$$

If we now decompose

$$(S^{n+m-1} \times D^2) \cong (S^{n-1} \times S^m_+ \times D^2) \cup_{\mathrm{id}_{S^{n-1} \times S^{m-1} \times D^2}} (D^n \times S^{m-1} \times D^2),$$

we obtain that the space $\Sigma_{\xi^*c} E$ (resp. $\widetilde{\Sigma}_{\xi^*c} E$) is the result of gluing according to the following diagram, where the map ϕ will be constructed below:

Here, an arrow denotes gluing of the two spaces it connects along parts of their boundary via the map indicated.

The map ϕ in diagram (5.1) is a self-diffeomorphism of

$$(S^{n-1} \times S^m_+ \times S^1) \cup_{\mathrm{id}_{S^{n-1} \times S^m}} (D^n \times S^{m-1} \times S^1) \cong S^{n+m-1} \times S^1$$

defined as follows: For $\Sigma_{\xi^*c} E$, set $\phi = \mathrm{id}_{S^{n+m-1} \times S^1}$. For $\Sigma_{\xi^*c} E$, let α be a smooth representative of a generator of $\pi_1(SO(n))$ (which is isomorphic to $\mathbb{Z}/2$ if n > 2 and to \mathbb{Z} if n = 2) and set

$$\phi(x, y, \lambda) = (T_{\lambda}x, y, \lambda).$$

We claim that ϕ is the gluing map in the construction of $\Sigma_{\xi^*c} E$ (resp. $\Sigma_{\xi^*c} E$). For $\Sigma_{\xi^*c} E$, this is clear by construction. For $\tilde{\Sigma}_{\mathcal{E}^* c} E$, note that in the decomposition

$$S^{n+m-1} \cong (S^{n-1} \times S^m_+) \cup_{\mathrm{id}_{S^{n-1} \times S^{m-1}}} (D^n \times S^{m-1})$$

the first factor corresponds to the embedding of a tubular neighborhood of $S^{n-1} \subseteq \mathbb{R}^n \subseteq \mathbb{R}^{n+m}$ into $S^{n+m-1} \subseteq \mathbb{R}^{n+m-1}$. Since the inclusion $SO(n) \subseteq SO(n+m)$ induces a surjection on fundamental groups (and in fact an isomorphism if n > 2), it follows that the map ϕ represents the non-trivial class in $\pi_1(SO(n+m))$.

We now modify diagram (5.1) by noting that the map $\phi|_{S^n \times S^{m-1} \times S^1}$ extends over $D^n \times S^m_- \times S^1$ as the identity on the second factor, and we denote the extension again by ϕ . Hence, we obtain the following gluing diagram:

(5.2)
$$\begin{array}{c} \pi^{*}(E \setminus \varphi_{\xi}(D^{n} \times S^{m})) & \xrightarrow{\phi|_{S^{n-1} \times S^{m}_{-} \times S^{1}}} D^{n} \times S^{m}_{-} \times S^{1} \\ \downarrow & \downarrow & \downarrow & \downarrow \\ \downarrow & \downarrow & \downarrow & \downarrow \\ S^{n-1} \times D^{m} \times D^{2} & \xrightarrow{\operatorname{id}_{S^{n-1} \times S^{m-1} \times D^{2}}} D^{n} \times S^{m-1} \times D^{2} \end{array}$$

We observe now that gluing according to the right vertical part of diagram (5.2) yields the space

$$(D^n \times S^m_- \times S^1) \cup_{\mathrm{id}_{D^n \times S^{m-1} \times S^1}} (D^n \times S^{m-1} \times D^2) \cong (D^n \times S^{m+1}),$$

while gluing according to the left vertical part yields the space

$$\pi^*(E \setminus \varphi_{\xi}(D^n \times S^m)) \cup_{\phi|_{S^{n-1} \times S^m_+ \times S^1}} (S^{n-1} \times D^m \times D^2),$$

which can be alternatively written as

$$\pi^*(E \setminus \varphi_{\xi}(D^n \times S^m)) \cup_{\phi|_{S^{n-1} \times S^m_+ \times S^1}} ((S^{n-1} \times S^m \times D^2) \setminus (S^{n-1} \times S^m_- \times D^2)).$$

This space is, by construction, the space $E(\Sigma_e \xi)$ (resp. $E(\widetilde{\Sigma}_e \xi)$) with the image of the embedding ι_{ξ} (resp. $\tilde{\iota}_{\xi}$) removed. It follows that $\Sigma_{\xi^*e} E$ (resp. $\tilde{\Sigma}_{\xi^*e} E$) is obtained from $E(\Sigma_e \xi)$ (resp. $E(\tilde{\Sigma}_e \xi)$) by surgery along ι_{ξ} (resp. $\tilde{\iota}_{\xi}$).

Proposition 5.6. Let $E \xrightarrow{\xi} S^n$ be a linear S^m -bundle with $m, n \ge 2$. Let $T: S^{n-1} \to SO(m+1)$ be the clutching function of ξ , and assume that the image of T is contained in $SO(m) \subseteq SO(m + 1)$ 1).

(1) If n > 2, then the manifold $\Sigma_0 E$ (resp. $\tilde{\Sigma}_0 E$) is diffeomorphic to the connected sum of $E(\Sigma_0\xi)$ (resp. $E(\widetilde{\Sigma}_0\xi)$) and the linear S^{m+1} -bundle over S^n with clutching function given by the composition of T with the inclusion $SO(m+1) \subseteq SO(m+2)$. In particular, if ξ is trivial, i.e. $E = S^n \times S^m$, then both $\Sigma_0 E$ and $\widetilde{\Sigma}_0 E$ are diffeomorphic to

$$(S^{n+1} \times S^m) # (S^n \times S^{m+1}).$$

(2) If n = 2, where we have $E \cong S^2 \times S^m$ or $E \cong S^2 \times S^m$, let $m \ge 3$ and $e \in H^2(S^2)$. We denote by d the divisibility of e. Then

$$\Sigma_{\xi^*e} E \cong \begin{cases} (S^2 \times S^{m+1}) \# (S^3 \times S^m), & E \cong S^2 \times S^m \text{ and } d \text{ is even, or} \\ & E \cong S^2 \widetilde{\times} S^m \text{ and } d \text{ is odd,} \\ (S^2 \widetilde{\times} S^{m+1}) \# (S^3 \times S^m), & else, \end{cases}$$

and

$$\widetilde{\Sigma}_{\xi^* e} E \cong \begin{cases} (S^2 \times S^{m+1}) \# (S^3 \times S^m), & E \cong S^2 \times S^m, \\ (S^2 \times S^{m+1}) \# (S^3 \times S^m), & else. \end{cases}$$

Proof. (1). By definition, we can decompose the spaces $E(\Sigma_0\xi)$ and $E(\widetilde{\Sigma}_0\xi)$ as (5.3) $E(\Sigma_0\xi) \cong (D^n \times S^1 \times S^m) \cup_{\phi_1} (S^{n-1} \times D^2 \times S^m)$

and

(5.4)
$$E(\widetilde{\Sigma}_0\xi) \cong (D^n \times S^1 \times S^m) \cup_{\phi_2} (S^{n-1} \times D^2 \times S^m),$$

where the diffeomorphisms $\phi_1, \phi_2 \colon S^{n-1} \times S^1 \times S^m \to S^{n-1} \times S^1 \times S^m$ are given by

$$\phi_1(x, y, z) = (x, y, T_x z)$$

and

$$\phi_2(x, y, z) = (\alpha_y x, y, T_x z).$$

We further decompose

$$S^{n-1} \times D^2 \times S^m \cong (S^{n-1} \times D^2 \times S^m_+) \cup_{\mathrm{id}_{S^{n-1} \times D^2 \times S^{m-1}}} (S^{n-1} \times D^2 \times S^m_-)$$

and the embeddings ι_ξ and $\widetilde{\iota}_\xi$ are given by the inclusion of the second factor.

Since the image of T is contained in SO(m), we can assume that T_x preserves S_-^m and is given by a linear map on S_-^m when identifying $S_-^m \cong D^m$. In particular, T_x fixes the south pole $z_S \in S_-^m$. Further, we can deform the map α to be constant $\mathrm{id}_{\mathbb{R}^n}$ on S_-^1 .

By isotoping the embeddings ι_{ξ} and $\tilde{\iota}_{\xi}$ to the left-hand side of (5.3) and (5.4), respectively, we obtain in both cases the embedding

$$\iota: S^{n-1} \times D^1 \times S^1_- \times S^m_- \hookrightarrow D^n \times S^1 \times S^m, \quad (x, y_1, y_2, z) \mapsto ((x, \frac{1}{2}y_1), y_2, T_x^{-1}z),$$

where we have identified $D^2 \cong D^1 \times S^1_{-}$ and D^n as the space obtained from $S^{n-1} \times D^1 = S^{n-1} \times [-1, 1]$ by collapsing $S^{n-1} \times \{-1\}$ to a point.

Now, define the map $T' \colon S^{n-1} \to \mathrm{SO}(m+2)$,

$$T_x'(y,z) = T_x z$$

for $x \in S^{n-1}$, $y \in \mathbb{R}^2$ and $z \in \mathbb{R}^m$. Then, when viewing ι as a normal framing of an embedding of S^{n-1} , modifying the framing by T' yields a normal framing that extends over an embedded disc. It follows as in Lemma 4.1 (see e.g. [41, Lemma 3.8]) that the manifold obtained by surgery along the embedding ι_{ξ} , which by Proposition 5.5 is diffeomorphic to $\Sigma_0 E$, is diffeomorphic to

$$E(\Sigma_0\xi) # ((D^n \times S^{m+1}) \cup_{\tilde{T}'} (D^n \times S^{m+1}))$$

where $\tilde{T}': S^{n-1} \times S^{m+1} \to S^{n-1} \times S^{m+1}$ is defined by $\tilde{T}'(x,y) = (x,T'_x y)$, and similarly for $E(\tilde{\Sigma}_0\xi)$. The right-hand side is the total space of the linear S^{m+1} -bundle over S^n with clutching function T'.

(2). By Proposition 5.5, the manifold $\Sigma_{\xi^*e}E$ (resp. $\tilde{\Sigma}_{\xi^*e}E$) is obtained by surgery on an embedding of $S^1 \times D^{m+2}$ in $E(\Sigma_e \xi)$ (resp. $E(\tilde{\Sigma}_e \xi)$). The spaces $E(\Sigma_e \xi)$ and $E(\tilde{\Sigma}_e \xi)$ are total spaces of linear sphere bundles over $\Sigma_e S^2$ and $\tilde{\Sigma}_e S^2$, respectively, which, by Example 5.3, are diffeomorphic to S^3 . Since any linear sphere bundle over S^3 is trivial, both $E(\Sigma_e \xi)$ and $E(\tilde{\Sigma}_e \xi)$ are diffeomorphic to $S^3 \times S^m$.

Since $S^3 \times S^m$ is simply-connected, it follows from Lemma 4.1 that $\Sigma_{\xi^*e}E$ (resp. $\tilde{\Sigma}_{\xi^*e}E$) is diffeomorphic to either $(S^2 \times S^{m+1}) # (S^3 \times S^m)$ (which is spin) or $(S^2 \times S^{m+1}) # (S^3 \times S^m)$

(which is non-spin). By Lemma 5.2, we can characterize when $\Sigma_{\xi^*e}E$ (resp. $\Sigma_{\xi^*e}E$) in terms of the Euler class and Stiefel–Whitney class of E, which yields the different cases as claimed. \Box

Proof of Theorem B. Item (1) follows from Proposition 3.10 and Lemma 4.1 and items (2) and (3) follow from Proposition 5.6. \Box

6. PROOF OF THEOREMS C, E AND F, AND COROLLARIES D, G AND H

In this section, we prove Theorems C, E and F, and Corollaries D, G and H. First, for the proof of Theorem C, we show the following more general result.

Theorem 6.1. Let $B^n = B_1 \# B_2$, $n \ge 5$, and let $P \xrightarrow{\pi} B$ be a principal S^1 -bundle with primitive Euler class e. We assume that B_1 is of the form (*) and that B_2 is closed and simply-connected. Denote by e_i the restriction of e to B_i and by d_i the divisibility of e_i . If $b_2(B_1) = 1$, we additionally assume that $d_1 \equiv \pm 1 \mod d_2$. Then, we have

$$P \cong \begin{cases} \hat{B}_1 \# \Sigma_{e_2} B_2, & \text{if } B_1 \text{ is non-spin,} \\ \hat{B}_1 \# \widetilde{\Sigma}_{e_2} B_2, & \text{if } B_1 \text{ is spin,} \end{cases}$$

where \hat{B}_1 is of the form (*) with

$$b_i(\hat{B}_1) = \begin{cases} b_2(B_1) - 1, & i = 2, n - 2, \\ b_{i-1}(B_1) + b_i(B_1), & 2 < i < n - 2, \end{cases}$$

and \hat{B}_1 is spin if and only if the restriction of e_1 to each $(S^2 \times S^{n-2})$ -summand in B_1 has odd divisibility.

Theorem C now follows from Theorem 6.1 by setting $B_2 = S^n$, in which case $\Sigma_0 B_2 \cong \widetilde{\Sigma}_0 B_2 \cong S^{n+1}$.

Before we prove Theorem 6.1, we first note the following observation.

Lemma 6.2. A manifold M of the form (*) is uniquely determined (up to diffeomorphism) by its dimension n, the Betti numbers $b_2(M), \ldots, b_{\lfloor \frac{n}{2} \rfloor}$, and whether M is spin or not. Conversely, any sequence $b_2, \ldots, b_{\lfloor \frac{n}{2} \rfloor} \in \mathbb{N}_0$ with $b_{\frac{n}{2}}$ even if n is even can be realized as the Betti numbers of an n-dimensional spin manifold of the form (*), and of an n-dimensional non-spin manifold of the form (*) provided $b_2 \ge 1$.

Proof. Since

$$(S^2 \times S^{n-2}) # (S^2 \times S^{n-2}) \cong (S^2 \times S^{n-2}) # (S^2 \times S^{n-2})$$

by Corollary 4.2, the information whether M is spin or not is sufficient (together with the Betti numbers) to determine its diffeomorphism type. All other claims are obvious.

Proof of Theorem 6.1. Let $\xi_0 \in H_2(B_2)$ be a class such that $e_2 \frown \xi_0 = d_2$. We first assume that $b_2(B_1) \geq 2$. Let $k, l \in \mathbb{Z}$ so that $kd_1 + ld_2 = 1$ (which exist since e is primitive). Denote by x_i^* a generator of the second cohomology of the *i*-th summand in B_1 that is a sphere bundle over S^2 . Then, by Corollary 4.7, we can apply a self-diffeomorphism of B_1 so that e_1 is given by $kd_1x_1^* + d_1x_2^*$. Hence, if we write $B_1 \# B_2$ as M # N, where N is the summand of B_1 with $H^2(N)$ generated by x_1^* and M is the connected sum of all remaining summands, we can apply Corollary 4.5 or 4.6 with $\xi = l\xi_0$ (and note that the class $l\xi_0$ can be represented by a map $S^2 \to B_2$ by the Hurewicz Theorem). Thus, we obtain a self-diffeomorphism of $B_1 \# B_2$ that maps e to $x_1^* + d_1x_2^* + e_2$. Hence, the restriction of e to one $(S^2 \times S^{n-2})$ or $(S^2 \times S^{n-2})$ -summand is primitive.

In case $b_2(B_1) = 1$, we obtain the same conclusion by applying Corollary 4.5 or 4.6 to $\xi = l\xi_0$, where here $l \in \mathbb{Z}$ is chosen so that $d_1 + ld_2 = \pm 1$.

We now repeatedly apply Theorem A to obtain that P is the connected sum of $(S^3 \times S^{n-2})$ (which is the total space of the principal S^1 -bundle over $(S^2 \times S^{n-2})$ or $(S^2 \times S^{n-2})$ with Euler class x_1^*) and twisted suspensions of B_2 along e_2 and of the remaining products of spheres or $(S^2 \times S^{n-2})$ -summands. Thus, the claim now follows from Theorem B.

Remark 6.3. The proof shows that Theorem 6.1 can be generalized to the case where B_2 is not simply-connected if we assume that there exists a homology class $\xi \in H_2(B_2)$ with $e_2 \sim \xi = ld_2$ that is represented by a map $S^2 \rightarrow B_2$. In this case e_2 might change within its equivalence class in $H^2_F(B_2)$. This can be avoided if one assumes that $H_1(B_2)$ is torsion-free, so that $H_F^2(B_2) \cong H^2(B_2)$.

Proof of Corollary D. We first consider the case k = 1 and assume that M is of the form (*) and its partial Euler characteristics satisfy the stated conditions. We define the manifold B, such that B is of the form (*), has dimension n-1, is non-spin, and has Betti numbers $b_i(B) = (-1)^i \chi_i(M)$ for all $i = 2, \ldots, \lfloor \frac{n-1}{2} \rfloor$. Note that, if n-1 is even, then $b_{\frac{n-1}{2}}(B)$ is even by assumption, so B is well-defined and unique by Lemma 6.2.

Now, let $e \in H^2(B)$ be a primitive element that satisfies $e \equiv w_2(B) \mod 2$ if and only if M is spin. We define P as the total space of the principal S^1 -bundle over B with Euler class e. By Theorem C, the manifold P is of the form (*) and satisfies the following conditions:

- $b_2(P) = b_2(B) 1 = \chi_2(M) 1 = b_2(M).$ For $2 < i < \lfloor \frac{n-1}{2} \rfloor$, or $i = \frac{n-1}{2}$ if n 1 is even, we have

$$b_i(P) = b_{i-1}(B) + b_i(B) = (-1)^{i-1}\chi_{i-1}(M) + (-1)^i\chi_i(M) = b_i(M)$$

• If n is even, we have

$$b_{\frac{n}{2}}(P) = 2b_{\frac{n-2}{2}}(B) = 2(-1)^{\frac{n}{2}-1}\chi_{\frac{n}{2}-1}(M) = b_{\frac{n}{2}}(M),$$

since $0 = \chi(M) = 2\chi_{\frac{n}{2}-1}(M) + (-1)^{\frac{n}{2}}b_{\frac{n}{2}}(M).$

Since P is spin if and only if M is spin by Lemma 2.3, it follows that P is diffeomorphic to Mby Lemma 6.2. Hence, M admits a free S^1 -action with quotient of the form (*).

For general k we iterate the above argument to obtain a sequence $M \cong P_k \xrightarrow{\pi_k} \ldots \xrightarrow{\pi_1} P_0$ of principal S¹-bundles with Euler classes $e(\pi_i) \in H^2(P_{i-1})$, so that each P_i is of the form (*). Let $e_i \in H^2(P_0)$ so that $e(\pi_i) = \pi_{i-1}^* \dots \pi_1^* e_i$, which exists since each $e(\pi_i)$ is primitive and the induced map on H^2 of each π_i can be identified with the quotient map by e_i , by Lemma 2.3. Define P as the principal T^k -bundle with Euler class (e_1, \ldots, e_k) . Then, by Lemma 2.2, P is diffeomorphic to $P_k \cong M$, showing that M admits a free T^k -action with quotient P_0 , which is of the form (*).

Conversely, assume that M admits a free S^1 -action with quotient of the form (*). Then M is the total space of a principal S^1 -bundle with base B of the form (*) and it follows inductively from Theorem C that $b_i(B) = (-1)^i \chi_i(M)$ for $i = 2, ..., \lfloor \frac{n-1}{2} \rfloor$, which is non-negative since $b_i(B) \ge 0$. Further, by Lemma 6.2, we have that $(-1)^{\frac{n-1}{2}} \chi_{\frac{n-1}{2}}(M)$ is even when n-1 is even, and, since $b_{\frac{n}{2}}(M) = 2b_{\frac{n}{2}-1}(B) = (-1)^{\frac{n}{2}-1}\chi_{\frac{n}{2}-1}(M)$ if *n* is even, it also follows that $\chi_n(M) = 0$ if n is even. The statement for general k now follows by induction.

To prove Theorem \mathbf{E} , we first prove the following lemmas.

Lemma 6.4. Let $E \xrightarrow{\xi} S^2$ be a complex vector bundle of rank r+1 and let $P(E) \to S^2$ be the associated projective bundle, i.e. P(E) consists of all complex one-dimensional subspaces of fibers in E, so we obtain a fiber bundle with fiber $\mathbb{C}P^r$. Let $P \to P(E)$ denote the sphere bundle of the tautological line bundle over P(E). Then

$$P \cong \begin{cases} S^2 \times S^{2r+1}, & \text{if } c_1(\xi) \text{ is even,} \\ S^2 \stackrel{\sim}{\times} S^{2r+1}, & \text{if } c_1(\xi) \text{ is odd.} \end{cases}$$

Proof. By definition, the total space of the sphere bundle $S(T) \to P(E)$ of the tautological line bundle $T \to P(E)$ is given by

$$S(T) = \{(v,\varphi) \in E \times P(E) \mid v \in \varphi, ||v|| = 1\}.$$

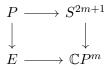
By projection onto the first coordinate, we obtain an identification of S(T) with the total space S(E) of the sphere bundle of ξ . Since $w_2(\xi) = c_1(\xi) \mod 2$, the claim follows. \square

Lemma 6.5. There exists a linear S^r -bundle $E \to \mathbb{C}P^m$, $r \ge 2$, with the following properties:

- (1) The total space E is spin if and only if m is even.
- (2) If $P \to E$ denotes the principal S^1 -bundle, whose Euler class is given by the pull-back of a generator of $H^2(\mathbb{C}P^m)$, then $P \cong S^{2m+1} \times S^r$.

Proof. We define $\overline{E} \xrightarrow{\pi} \mathbb{C}P^m$ as the sum of the tautological line bundle with the trivial bundle $\mathbb{R}^{r-1}_{\mathbb{C}P^m}$. Then $w_2(\pi)$ is non-trivial (see e.g. [32, Theorem 14.4]). If $E \to \mathbb{C}P^m$ denotes the corresponding sphere bundle, we have $TE \oplus \mathbb{R}_E \cong \pi^* T\mathbb{C}P^m \oplus \pi^*\overline{E}$, cf. Lemma 2.11. Hence, $w_2(E)$ is trivial if and only if $w_2(\mathbb{C}P^m)$ is non-trivial, which is the case if and only if m is even.

By construction, the bundle $P \to E$ fits into the following pull-back diagram:



Here $S^{2m+1} \to \mathbb{C}P^m$ denotes the Hopf fibration (i.e. the principal S^1 -bundle whose Euler class is a generator of $H^2(\mathbb{C}P^m)$). It follows that $P \to S^{2m+1}$ is a linear S^r -bundle. Since the structure group of this bundle is contained in SO(2) $\cong S^1$, and since S^1 has trivial higher homotopy groups, this bundle is trivial, so $P \cong S^{2m+1} \times S^r$. \Box

Proof of Theorem E. Let E(m, r) denote the total space of the linear S^r -bundle over $\mathbb{C}P^m$ from Lemma 6.5. We then set

$$E_m^r = \begin{cases} \mathbb{C}P^m \times S^r, & m \text{ odd,} \\ E(m,r), & m \text{ even,} \end{cases} \text{ and } \widetilde{E}_m^r = \begin{cases} E(m,r), & m \text{ even,} \\ \mathbb{C}P^m \times S^r, & m \text{ odd,} \end{cases}$$

so that E_m^r is spin and \tilde{E}_m^r is non-spin, and the principal S^1 -bundle over E_m^r or \tilde{E}_m^r whose Euler class is the pull-back of a generator of $H^2(\mathbb{C}P^m)$ has total space $S^{2m+1} \times S^r$.

(1). We define

$$B = \begin{cases} \left(\#_l \mathbb{C}P^{\frac{n-1}{2}} \right) \# \left(\#_{i=1}^{\frac{n-3}{2}} \#_{b_{2i+1}(M)} E_i^{n-2i-1} \right), & n \equiv 3 \mod 4, \\ \left(\#_l \mathbb{C}P^{\frac{n-1}{2}} \right) \# \left(\#_{i=1}^{\frac{n-3}{2}} \#_{b_{2i+1}(M)} \widetilde{E}_i^{n-2i-1} \right), & n \equiv 1 \mod 4. \end{cases}$$

for some $l \ge 0$. Let $e \in H^2(B)$ be a class that restricts to a generator of $H^2(\mathbb{C}P^{\frac{n-1}{2}})$ on each $\mathbb{C}P^{\frac{n-1}{2}}$ -summand and to the pull-back of a generator of $H^2(\mathbb{C}P^i)$ on each E_i^j and \widetilde{E}_i^j -summand. Then, for the principal S^1 -bundle $P \to B$ with Euler class e, we have by Theorems A and B and Lemma 6.5 (note that the summands of B are either all spin or all non-spin)

$$P \cong \#_a(S^2 \times S^{n-2}) \#_{i=1}^{\frac{n-3}{2}} \#_{b_{2i+1(M)}}(S^{2i+1} \times S^{n-2i-1}),$$

where $a = l - 1 + \sum_{i=1}^{\frac{n-5}{2}} b_{2i+1}(M)$. Thus, since $b_{2i+1}(M) = b_{n-2i-1}(M)$, we have for $3 \le i \le \frac{n-1}{2}$, that $b_i(P) = b_i(M)$. Hence, if M is spin, it becomes diffeomorphic to P after connected sum with sufficiently many copies of $(S^2 \times S^{n-2})$ and by choosing l large enough.

For the non-spin case, or the case where M is spin and we take connected sums with copies of $(S^2 \times S^{n-2})$, we replace one E_i^j -summand in B by \tilde{E}_i^j or vice versa, provided there is a non-trivial summand of this form. Then P has a summand of the form $(S^2 \times S^{n-2})$, hence the claim follows for l large enough by Corollary 4.2. If there exists no such summand, we additionally introduce a summand for B given by $E_{\frac{n-3}{2}}^2 \# \tilde{E}_{\frac{n-3}{2}}^2$, which results in an additional summand for P given by $\#_4(S^2 \times S^{n-2})$.

(2). We consider each dimension separately. First, note that the result in dimension 5 was shown in [11, Corollary 2] by proving that any 5-manifold of the form (*) is the total space of a principal circle bundle over a closed, simply-connected 4-manifold. The 6-dimensional case follows directly from Corollary D (see also [7, Corollary B] and [10, Theorem C]).

For dimensions 7–10, we summarize in Table 1 how the base manifold B in each case is given. One then easily verifies, using Theorems A and B, that the total space of the principal circle bundle over B with suitable Euler class e is diffeomorphic to M. By P(E) we denote the total space of a projective bundle of a vector bundle with odd first Chern class of appropriate dimension (cf. Lemma 6.4). The Euler class e will always be the pull-back of a generator of $H^2(\mathbb{C}P^i)$ on each summand of the form E_i^j , the Euler class of the tautological line bundle over P(E), and a generator of the second cohomology on each summand of the form $S^2 \times S^{n-2}$ and $S^2 \times S^{n-2}$.

We now show that the additional assumption in the 9-dimensional case in Theorem E cannot be removed in general.

Proposition 6.6. The manifold $\#_{2p+1}(S^3 \times S^6)$ does not admit a free circle action for any p > 0.

Proof. Suppose there exists a principal S^1 -bundle $P \xrightarrow{\pi} B$ with $P \cong \#_{2p+1}(S^3 \times S^6)$. Then B is a closed 8-manifold, and, by the long exact sequence of homotopy groups for the bundle π , the manifold B is simply-connected. Then, by the Gysin sequence, cup product with the Euler class $\cdots = e(\pi) : H^i(B) \to H^{i+2}(B)$ is an isomorphism for i = 0, 3, 6, injective for i = 4 and surjective for i = 2. In particular, $H^2(B) \cong H^6(B) \cong \mathbb{Z}$ and $H^4(B)$ is either trivial or isomorphic to \mathbb{Z} , in particular torsion-free. By using Poincaré duality and the universal coefficient theorem, it follows that B has torsion-free cohomology.

From the Gysin sequence we can now extract the following exact sequence:

$$0 \longrightarrow H^{3}(B) \xrightarrow{\pi^{*}} H^{3}(P) \longrightarrow H^{2}(B) \xrightarrow{\cdots \to e(\pi)} H^{4}(B) \longrightarrow 0.$$

It follows that, depending on whether $H^4(B)$ is trivial or isomorphic to \mathbb{Z} , $H^3(B)$ is isomorphic to \mathbb{Z}^{2p} or \mathbb{Z}^{2p+1} . We now show that only the latter can be the case.

For that, let $x \in H^3(B)$ and $y \in H^5(B)$ with $x \smile y \ne 0$, which exist by Poincaré duality (here, we use p > 0). Since $\cdot \smile e(\pi) \colon H^3(B) \to H^5(B)$ is an isomorphism, there exists $y' \in H^3(B)$ with $y = y' \smile e(\pi)$. In particular, $x \smile y' \ne 0$. Since $\pi^* x \smile \pi^* y' = 0$ (as *P* has trivial cup products in degree 3), by exactness of the Gysin sequence, there exists $z \in H^4(B)$ with $z \smile e(\pi) = x \smile y' \ne 0$. In particular, $H^4(B)$ is non-trivial, so $H^4(B) \cong \mathbb{Z}$ and $H^3(B) \cong \mathbb{Z}^{2p+1}$.

By Poincaré duality and since $\cdot \smile e(\pi) \colon H^6(B) \to H^8(B)$ is an isomorphism, the cup product $H^3(B) \times H^3(B) \to H^6(B) \cong \mathbb{Z}$ is a non-degenerate skew-symmetric bilinear form. In particular, $H^3(B)$ has even rank, which is a contradiction.

Theorem F is a direct consequence of the following theorem. Recall that we define $a_{ki}(r)$ for $r, k \in \mathbb{N}_0$ and $2 \leq i \leq k+2$ by

$$a_{ki}(r) = (i-2)\binom{k}{i-1} + r\binom{k}{i-2} + (2+k-i)\binom{k}{i-3}.$$

Theorem 6.7. Let P be the total space of a principal T^k -bundle over a closed, simply-connected 4-manifold B and denote by $e(\pi) = (e_1(\pi), \ldots, e_k(\pi)) \in H^2(B)^k$ its Euler class. If P is simplyconnected, or, equivalently, $e(\pi)$ can be extended to a basis of $H^2(B)$, then P is of the form (*) with $b_i(P) = a_{ki}(b_2(B) - k)$ and P is spin if and only if $w_2(B)$ is contained in the subspace of $H^2(B, \mathbb{Z}/2)$ generated by $e(\pi) \mod 2$.

Proof. The claims on simply-connectedness and the spin condition follow from Lemmas 2.3 and 2.5. By Lemma 2.2, the bundle π can be decomposed into a sequence of principal S^1 -bundles, which, by Lemma 2.3, all have simply-connected total space. We now proceed by induction.

The case k = 1 is a consequence of the classification of closed, simply-connected 5-manifolds by Smale [45] and Barden [2] and was treated by Duan and Liang [11]. Now assume that B^n is a manifold of the form (*) with $b_i(B) = a_{ki}(r)$ for some $r \in \mathbb{N}$ and let $P \xrightarrow{\pi} B$ be a principal

Manifold $M, n = \dim(M)$						()	Base manifold B
n	w_2	b_2	b_3	b_4	b_5	condition	
7	0	p	q			q even	$\#_{p+1}\mathbb{C}P^3 \#_{\frac{q}{2}}(S^3 \times S^3)$
	0	p	q			q odd	$(S^2 \times S^4) \#_p^2 \mathbb{C}P^3 \#_{\frac{q-1}{2}}(S^3 \times S^3)$
	1	p	q			$q \ge 2$ even, $p \ge 1$	$(S^2 \times S^4) # (S^2 \times S^4) #_{p-1} \mathbb{C}P^3 #_{\frac{q-2}{2}} (S^3 \times S^3)$
	1	p	q			$q \text{ odd}, p \ge 1$	$(S^2 \times S^4) \#_p \mathbb{C}P^3 \#_{\frac{q-1}{2}} (S^3 \times S^3)$
	1	p	0			p > 1	$\widetilde{E}_2^2 \#_{p-1} \mathbb{C}P^3$
	1	1	0				P(E)
8	0	p	q	2r		p+r+1 = q	$\#_{p+1}(S^2 \times S^5) \#_r(S^3 \times S^4)$
	1	p	q	2r		p+r+1 = q	$(S^2 \stackrel{\sim}{\times} S^5) \#_p(S^2 \stackrel{\sim}{\times} S^5) \#_r(S^3 \times S^4)$
9	0	p	q	r		q > 0,	$\#_{p+1-a}\mathbb{C}P^4 \#_a(S^2 \times S^6) \#_b(S^3 \times S^5) \#_{\frac{r-b}{2}}(S^4 \times S^4)$
						$1 + p + r \ge q$	for $a + b = q$, $a \le p + 1$, $b \le r$, $r - b$ even
	0	q	0	r		r even	$\#_{p+1}\mathbb{C}P^4 \#_{\frac{r}{2}}(S^4 \times S^4)$
	0	p	0	r		r odd	$\widetilde{E}_2^4 \#_p \mathbb{C}P^4 \#_{\frac{r-1}{2}}(S^4 \times S^4)$
	1	p	q	r		p > 0, q > 1,	$\#_{p+1-a}\mathbb{C}P^4 \#_a(S^2 \times S^6) \#_b(S^3 \times S^5) \#_{\frac{r-b}{2}}(S^4 \times S^4)$
						$1 + p + r \ge q$	for $a + b = q$, $1 \le a \le p + 1$, $b \le r$, $r - b$ even
	1	p	1	r		p > 0, r even	$\#_p \mathbb{C}P^4 \# (S^2 \times S^6) \#_{\frac{r}{2}} (S^4 \times S^4)$
	1	p	1	r		p > 0, r odd	$\#_{p-1}\mathbb{C}P^4 \# (S^2 \times S^6) \# E_2^4 \#_{\frac{r-1}{2}}(S^4 \times S^4)$
	1	p	0	r		$p > 0, r \ge 2$ even	$E_2^4 \# \tilde{E}_2^4 \#_{p-1} \mathbb{C}P^4 \#_{\frac{r-2}{2}} (S^4 \times \tilde{S}^4)$
	1	p	0	r		p > 0, r odd	$E_2^4 \#_p \mathbb{C}P^4 \#_{\frac{r-1}{2}}(S^4 \times S^4)$
	1	p	0	0		p > 1	$E_3^2 \#_{p-1} \mathbb{C}P^4$
	1	1	0	0			P(E)
10	0	p	q	r	2s	p+r+1 = q+s,	$\#_q(S^2 \times S^7) \#_r(S^4 \times S^5) \#_{s-r} E_2^5$
						$s \ge r$	
	0	p	q	r	2s		$\#_{p+1}(S^2 \times S^7) \#_{r-s}(S^3 \times S^6) \#_s(S^4 \times S^5)$
						s < r	
	1	p	q	r	2s		$(S^2 \ \widetilde{\times} \ S^7) \#_{q-1} (S^2 \ \widetilde{\times} \ S^7) \#_r (S^4 \times S^5) \#_{s-r} E_2^5$
	1		C	0	2.	$s \ge r, p, q > 0$	$(S^2 \times S^7) \#_p (S^2 \ \widetilde{\times} \ S^7) \#_{r-s} (S^3 \times S^6) \#_s (S^4 \times S^5)$
		p	q		28	$ \begin{array}{c} p+r+1 = q+s, \\ s < r, p > 0 \end{array} $	$(J \land J) \#_p(J \land J) \#_{r-s}(J^* \land J^*) \#_s(J^* \land J^*)$
	1	p	0	r	2s	$\begin{vmatrix} s < r, p > 0\\ p + r + 1 = s, \end{vmatrix}$	$\#_r(S^4 \times S^5) \# \tilde{E}_2^5 \#_{s-r-1} E_2^5$
				´		$\begin{vmatrix} p+r+1=s,\\ p>0 \end{vmatrix}$	$\prod_{n \neq 1} \sum_{n \neq 2} \prod_{n \neq 2} \neq 2} \prod_{n$
						r · · ·	1

TABLE 1. Manifolds M of the form (*) and quotient manifold B of a free circle action on M.

 $S^1\mbox{-bundle}$ with P simply-connected. Then, by Theorem C, the manifold P is also of the form (*) and we have

$$b_2(P) = b_2(B) - 1 = r - 1 = a_{k+1,2}(r - 1)$$

and

$$\begin{aligned} b_i(P) = b_{i-1}(B) + b_i(B) &= a_{k,i-1}(r) + a_{k,i}(r) \\ = (i-3)\binom{k}{i-2} + r\binom{k}{i-3} + (3+k-i)\binom{k}{i-4} \\ &+ (i-2)\binom{k}{i-1} + r\binom{k}{i-2} + (2+k-i)\binom{k}{i-3} \\ = (i-2)\binom{k+1}{i-1} - \binom{k}{i-2} + r\binom{k+1}{i-2} + (3+k-i)\binom{k+1}{i-3} - \binom{k}{i-3} \\ = (i-2)\binom{k+1}{i-1} + (r-1)\binom{k+1}{i-2} + (3+k-i)\binom{k+1}{i-3} = a_{k+1,i}(r-1). \end{aligned}$$

$$i < n-2.$$

for 2 <

Proof of Theorem F. If M is a closed, simply-connected n-manifold with a free action of the torus T^{n-4} , then, by taking the quotient $B = M/T^{n-4}$, we obtain a principal T^{n-4} -bundle over the simply-connected 4-manifold B with total space M. Hence, we can apply Theorem 6.7.

Conversely, by Theorem 6.7 any *n*-manifold M of the form (*) with $b_i(M) = a_{n-4,i}(b_2(M))$ is the total space of a principal T^{n-4} -bundle over

$$B = \#_{b_2(M)+n-4} \mathbb{C}P^2$$

(or any other closed, simply-connected non-spin 4-manifold B with $b_2(B) = b_2(M) + n - 4$) with Euler class $e \in H^2(B)^{n-4}$ that can be extended to a basis of $H^2(B)$ and so that $w_2(B)$ is contained in the subspace generated by $e \mod 2$ if and only if M is spin.

Remark 6.8. Note that a closed, simply-connected *n*-manifold M with $n \geq 4$ cannot admit a free action of a torus T^k with k > n-4. To see this, assume that such an action exists. Then, by dividing out a subtorus of dimension n-4, we obtain a free action of T^{n-4-k} on the simply-connected 4-manifold M/T^{n-4} . However, a simply-connected 4-manifold has positive Euler characteristic, thus admitting no free torus action by Lemma 2.1.

Proof of Corollary G. First, suppose that such an action exists. By taking the quotient of Mby the free subaction of cohomogeneity 6, we obtain a closed, simply-connected 6-manifold M/T^{n-6} with an effective action of T^4 . By the classification of Oh [34], the manifold M/T^{n-6} is of the form (*) and the Betti numbers satisfy the assumptions of Theorem F. Hence, there exists a free T^2 -action on M/T^{n-6} . By the lifting results of [21, 47], M therefore admits a free T^{n-4} -action, and the claim follows from Theorem F.

Conversely, if M is of the form (*) with $b_i(M) = a_{ki}(b_2(M))$ for all $2 \le i \le n-2$, then, by Theorem 6.7, M is the total space of a principal T^{n-4} -bundle over $B = \#_{b_2(M)+n-4}\mathbb{C}P^2$. By the classification of closed, simply-connected 4-manifolds with an effective T^2 -action by Orlik and Raymond [37], B admits an effective T^2 -action. Hence, by the lifting results of [21, 47], M admits a cohomogeneity-2 torus action that contains a free subaction of cohomogeneity 4, in particular it contains a free subaction of cohomogeneity 6. \square

We note that it follows from the proof of Corollary G that, if M admits a cohomogeneity-two torus action that contains a free subaction of cohomogeneity six, then M also admits a (possibly different) cohomogeneity-two torus action with a free subaction of cohomogeneity four.

Remark 6.9. Note that not all cohomogeneity-two actions of T^{n-2} on a closed, simplyconnected n-manifold M admit a free subaction of cohomogeneity six. Indeed, if every involution of T^{n-2} is contained in one of the isotropy subgroups of the action, every T^1 -subgroup of T^{n-2} necessarily intersects non-trivially with an isotropy subgroup. Such an action can for example be constructed as follows:

Let $A = \{0,1\}^{n-2} \setminus \{0\}$ and consider a $(2^{n-2}-1)$ -gon, where each edge is labeled by one of the vectors in A so that each element of A appears precisely once. It is easily verified that

this is a legally weighted orbit space in the sense of [15, Section 2], and therefore defines closed, simply-connected *n*-manifold M with a cohomogeneity-two torus action for which $T^1(v)$ appears as an isotropy subgroup for all $v \in A$, where $T^1(v)$ is the circle in T^{n-2} with slope v. Hence, by construction, all involutions of T^{n-2} are contained in an isotropy subgroup. We thank Lee Kennard and Lawrence Mouillé for providing this example.

Proof of Corollary H. We use the core metric construction introduced by Burdick [4] to construct a metric of positive Ricci curvature on each quotient manifold. By [4, Theorem C], [5, Theorem B] and [42, Theorem C], spheres, complex projective spaces and total spaces of linear sphere bundles over spheres and complex projective spaces admit core metrics, where in the latter case the dimension is at least 6. Hence, by [4, Theorem B], any finite connected sum of such manifolds admits a metric of positive Ricci curvature. In dimension 5, it was shown by Sha and Yang [44, Theorem 1], that any 5-manifold of the form (*) admits a metric of positive Ricci curvature. Finally, by a classical result of Nash [33, Theorem 3.5], projective bundles over spheres admit metrics of positive Ricci curvature.

Hence, for each manifold M appearing in Corollaries D and G and in Theorems E and F, and for the free torus action considered in the proof of the corresponding result, the quotient admits a metric of positive Ricci curvature. Hence, M is the total space of a principal torus bundle over a manifold with a metric of positive Ricci curvature. Since M is simply-connected, it follows from the lifting result of Gilkey–Park–Tuschmann [16], that M admits a metric of positive Ricci curvature that is invariant under the corresponding torus action.

Competing interests. The authors have no competing interest to declare.

Financial support. Both authors acknowledge funding by the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation) – 281869850 (RTG 2229) and grant GA 2050 2-1 within the SPP 2026 "Geometry at Infinity". Further, P.R. acknowledges funding by the SNSF-Project 200020E_193062 and the DFG-Priority programme SPP 2026.

References

- Emil Artin. Zur Isotopie zweidimensionaler Flächen im R₄. Abh. Math. Sem. Univ. Hamburg, 4(1):174–177, 1925. doi:10.1007/BF02950724.
- [2] D. Barden. Simply connected five-manifolds. Ann. of Math. (2), 82:365–385, 1965. doi:10.2307/1970702.
- [3] Glen E. Bredon. Introduction to compact transformation groups. Pure and Applied Mathematics, Vol. 46. Academic Press, New York-London, 1972.
- [4] Bradley Lewis Burdick. Ricci-positive metrics on connected sums of projective spaces. Differential Geom. Appl., 62:212-233, 2019. doi:10.1016/j.difgeo.2018.11.005.
- [5] Bradley Lewis Burdick. Metrics of positive Ricci curvature on the connected sums of products with arbitrarily many spheres. Ann. Global Anal. Geom., 58(4):433–476, 2020. doi:10.1007/s10455-020-09732-7.
- [6] Philip T. Church and Klaus Lamotke. Almost free actions on manifolds. Bull. Austral. Math. Soc., 10:177– 196, 1974. doi:10.1017/S000497270004082X.
- [7] Diego Corro and Fernando Galaz-García. Positive Ricci curvature on simply-connected manifolds with cohomogeneity-two torus actions. Proc. Amer. Math. Soc., 148(7):3087–3097, 2020. doi:10.1090/proc/ 14961.
- [8] James F. Davis and Paul Kirk. Lecture notes in algebraic topology, volume 35 of Graduate Studies in Mathematics. American Mathematical Society, Providence, RI, 2001. doi:10.1090/gsm/035.
- [9] Anand Dessai, Stephan Klaus, and Wilderich Tuschmann. Nonconnected moduli spaces of nonnegative sectional curvature metrics on simply connected manifolds. Bull. Lond. Math. Soc., 50(1):96–107, 2018. doi:10.1112/blms.12095.
- [10] Haibao Duan. Circle actions and suspension operations on smooth manifolds, 2022. arXiv: 2202.06225.
- [11] Haibao Duan and Chao Liang. Circle bundles over 4-manifolds. Arch. Math. (Basel), 85(3):278-282, 2005. doi:10.1007/s00013-005-1214-4.
- [12] Allan L. Edmonds. A survey of group actions on 4-manifolds. In Handbook of group actions. Vol. III, volume 40 of Adv. Lect. Math. (ALM), pages 421–460. Int. Press, Somerville, MA, 2018.
- [13] Yves Félix, John Oprea, and Daniel Tanré. Algebraic models in geometry, volume 17 of Oxford Graduate Texts in Mathematics. Oxford University Press, Oxford, 2008.
- [14] F. Galaz-García, M. Kerin, and M. Radeschi. Torus actions on rationally elliptic manifolds. Math. Z., 297(1-2):197-221, 2021. doi:10.1007/s00209-020-02508-6.

- [15] Fernando Galaz-Garcia and Martin Kerin. Cohomogeneity-two torus actions on non-negatively curved manifolds of low dimension. Math. Z., 276(1-2):133–152, 2014. doi:10.1007/s00209-013-1190-5.
- [16] Peter B. Gilkey, JeongHyeong Park, and Wilderich Tuschmann. Invariant metrics of positive Ricci curvature on principal bundles. *Math. Z.*, 227(3):455–463, 1998. doi:10.1007/PL00004385.
- [17] Richard Z. Goldstein and Lloyd Lininger. A classification of 6-manifolds with free S¹ actions. In Proceedings of the Second Conference on Compact Transformation Groups (Univ. Massachusetts, Amherst, Mass., 1971), Part I, Lecture Notes in Math., Vol. 298, pages 316–323. Springer, Berlin, 1972.
- [18] McFeely Jackson Goodman. Moduli spaces of Ricci positive metrics in dimension five. Geom. Topol., 28(3):1065–1098, 2024. doi:10.2140/gt.2024.28.1065.
- [19] Karsten Grove. Geometry of, and via, symmetries. In Conformal, Riemannian and Lagrangian geometry (Knoxville, TN, 2000), volume 27 of Univ. Lecture Ser., pages 31–53. Amer. Math. Soc., Providence, RI, 2002. doi:10.1090/ulect/027/02.
- [20] John Harvey, Martin Kerin, and Krishnan Shankar. Semi-free actions with manifold orbit spaces. Doc. Math., 25:2085–2114, 2020.
- [21] Akio Hattori and Tomoyoshi Yoshida. Lifting compact group actions in fiber bundles. Japan. J. Math. (N.S.), 2(1):13-25, 1976. doi:10.4099/math1924.2.13.
- [22] Morris W. Hirsch. Differential topology. Graduate Texts in Mathematics, No. 33. Springer-Verlag, New York-Heidelberg, 1976.
- [23] Dale Husemoller. Fibre bundles, volume 20 of Graduate Texts in Mathematics. Springer-Verlag, New York, third edition, 1994. doi:10.1007/978-1-4757-2261-1.
- [24] Yi Jiang. Regular circle actions on 2-connected 7-manifolds. J. Lond. Math. Soc. (2), 90(2):373-387, 2014. doi:10.1112/jlms/jdu028.
- [25] Soon Kyu Kim, Dennis McGavran, and Jingyal Pak. Torus group actions on simply connected manifolds. Pacific J. Math., 53:435-444, 1974. URL: http://projecteuclid.org/euclid.pjm/1102911611.
- [26] Shoshichi Kobayashi. Fixed points of isometries. Nagoya Math. J., 13:63-68, 1958. URL: http:// projecteuclid.org/euclid.nmj/1118800030.
- [27] János Kollár. Circle actions on simply connected 5-manifolds. *Topology*, 45(3):643-671, 2006. doi:10.1016/ j.top.2006.01.003.
- [28] Antoni A. Kosinski. Differential manifolds, volume 138 of Pure and Applied Mathematics. Academic Press, Inc., Boston, MA, 1993.
- [29] Matthias Kreck and Stephan Stolz. Nonconnected moduli spaces of positive sectional curvature metrics. J. Amer. Math. Soc., 6(4):825–850, 1993. doi:10.2307/2152742.
- [30] H. Blaine Lawson, Jr. and Marie-Louise Michelsohn. Spin geometry, volume 38 of Princeton Mathematical Series. Princeton University Press, Princeton, NJ, 1989.
- [31] J. Levine. Semi-free circle actions on spheres. Invent. Math., 22:161–186, 1973. doi:10.1007/BF01392300.
- [32] John W. Milnor and James D. Stasheff. *Characteristic classes*. Princeton University Press, Princeton, N. J.; University of Tokyo Press, Tokyo, 1974. Annals of Mathematics Studies, No. 76.
- [33] John C. Nash. Positive Ricci curvature on fibre bundles. J. Differential Geometry, 14(2):241-254, 1979. URL: http://projecteuclid.org/euclid.jdg/1214434973.
- [34] Hae Soo Oh. 6-dimensional manifolds with effective T^4 -actions. Topology Appl., 13(2):137–154, 1982. doi: 10.1016/0166-8641(82)90016-5.
- [35] Hae Soo Oh. Toral actions on 5-manifolds. Trans. Amer. Math. Soc., 278(1):233-252, 1983. doi:10.2307/ 1999313.
- [36] Peter Orlik and Frank Raymond. Actions of SO(2) on 3-manifolds. In Proc. Conf. on Transformation Groups (New Orleans, La., 1967), pages 297–318. Springer, New York, 1968.
- [37] Peter Orlik and Frank Raymond. Actions of the torus on 4-manifolds. I. Trans. Amer. Math. Soc., 152:531– 559, 1970. doi:10.2307/1995586.
- [38] Richard S. Palais. Natural operations on differential forms. Trans. Amer. Math. Soc., 92:125–141, 1959. doi:10.2307/1993171.
- [39] Pedro L. Q. Pergher, Hemant K. Singh, and Tej B. Singh. On Z₂ and S¹ free actions on spaces of cohomology type (a, b). Houston J. Math., 36(1):137–146, 2010. doi:10.1002/nag.993.
- [40] Frank Raymond. Classification of the actions of the circle on 3-manifolds. Trans. Amer. Math. Soc., 131:51– 78, 1968. doi:10.2307/1994680.
- [41] Philipp Reiser. Metrics of positive Ricci curvature on simply-connected manifolds of dimension 6k, 2022. arXiv:2210.15610.
- [42] Philipp Reiser. Generalized surgery on Riemannian manifolds of positive Ricci curvature. Trans. Amer. Math. Soc., 376(5):3397-3418, 2023. doi:10.1090/tran/8789.
- [43] Catherine Searle. Symmetries of spaces with lower curvature bounds. Notices Amer. Math. Soc., 70(4):564– 575, 2023. doi:10.1090/noti2651.
- [44] Ji-Ping Sha and DaGang Yang. Positive Ricci curvature on the connected sums of $S^n \times S^m$. J. Differential Geom., 33(1):127–137, 1991. URL: http://projecteuclid.org/euclid.jdg/1214446032.
- [45] Stephen Smale. On the structure of 5-manifolds. Ann. of Math. (2), 75:38–46, 1962. doi:10.2307/1970417.

- [46] Norman Steenrod. The topology of fibre bundles. Princeton Landmarks in Mathematics. Princeton University Press, Princeton, NJ, 1999. Reprint of the 1957 edition, Princeton Paperbacks.
- [47] J. C. Su. Transformation groups on cohomology projective spaces. Trans. Amer. Math. Soc., 106:305–318, 1963. doi:10.2307/1993772.
- [48] Alexander I. Suciu. Iterated spinning and homology spheres. Trans. Amer. Math. Soc., 321(1):145–157, 1990. doi:10.2307/2001595.
- [49] Wilderich Tuschmann and David J. Wraith. Moduli spaces of Riemannian metrics, volume 46 of Oberwolfach Seminars. Birkhäuser Verlag, Basel, 2015. Second corrected printing. doi:10.1007/978-3-0348-0948-1.
- [50] C. T. C. Wall. Diffeomorphisms of 4-manifolds. J. London Math. Soc., 39:131–140, 1964. doi:10.1112/jlms/ s1-39.1.131.
- [51] McKenzie Y. Wang and Wolfgang Ziller. Einstein metrics on principal torus bundles. J. Differential Geom., 31(1):215-248, 1990. URL: http://projecteuclid.org/euclid.jdg/1214444095.
- [52] Hassler Whitney. Differentiable manifolds. Ann. of Math. (2), 37(3):645–680, 1936. doi:10.2307/1968482.
- [53] Burkhard Wilking. Nonnegatively and positively curved manifolds. In Surveys in differential geometry. Vol. XI, volume 11 of Surv. Differ. Geom., pages 25–62. Int. Press, Somerville, MA, 2007. doi:10.4310/SDG. 2006.v11.n1.a3.

(Galaz-García) DEPARTMENT OF MATHEMATICAL SCIENCES, DURHAM UNIVERSITY, UNITED KINGDOM. Email address: fernando.galaz-garcia@durham.ac.uk

(Reiser) DEPARTMENT OF MATHEMATICS, UNIVERSITY OF FRIBOURG, SWITZERLAND. *Email address:* philipp.reiser@unifr.ch