

1 Design Considerations for Wavefront Sensing with Self-Referencing Inter- 2 ferometers in Adaptive Optics Systems

3 Alexander C. MacGillivray², Ilija R. Hristovski^{1,2}, Matthias F. Jenne², Andrew P. Reeves¹, Ramon Mata Calvo¹, and
4 Jonathan F. Holzman^{2,*}

5 ¹German Aerospace Center (Deutsches Zentrum für Luft- und Raumfahrt, DLR), Münchnerstrasse 20, 82234 Wess-
6 ling, Germany

7 ²School of Engineering, The University of British Columbia, 1137 Alumni Avenue, Kelowna, BC, V1V 1V7, Canada

8 *jonathan.holzman@ubc.ca

9 **Abstract:** In this work, we show the design and implementation of wavefront sensing with a self-
10 referencing interferometer (SRI). The SRI is developed to aid adaptive optics (AO) control, via deformable
11 mirrors, in correcting wavefront error from atmospheric turbulence in (laser-based) free-space optical
12 communication links. The SRI is used here given its potential to outperform more common wavefront
13 sensors in functioning over weak through strong turbulence conditions. In this study, we identify and
14 analyse the key parameters in the SRI's optical design and show guiding principles for its subsequent im-
15 age processing.

16 **Keywords:** wavefront sensing, self-referencing interferometer, adaptive optics, free-space optics

17 1 Introduction

18 Adaptive optics (AO) technology has spurred many advancements by its enabling of real-time correction
19 of optical distortion. This has led to remarkable achievements by ground-based astronomical imaging
20 systems [1,2] and growing interest on ground-to-satellite (laser-based) free-space optical communication
21 (FSOC) links [3,4]. At the core of such links is their ability to measure wavefront (phase) distortion across
22 transverse profiles of received laser beams, with wavefront sensors [5–8], and then compensate for this
23 distortion with deformable mirrors [4].

24 The recent works on AO-augmented FSOC links often relate to their wavefront sensors, as it is a criti-
25 cal AO element. Such wavefront sensors must provide fast and accurate characterizations of the received
26 laser wavefronts over a wide range of elevation angles in the sky, at all times of day, and various wave-
27 front sensors have been developed in this effort. In the earlier literature, the curvature wavefront sensor
28 was introduced. It measured the local wavefront curvature, the Laplacian of the wavefront surface, and
29 the radial tilt at the aperture edge to carry out its wavefront characterization [9]. Following this, a phase-
30 shifting phase-difference interferometer was developed. It measured four $\pi/2$ phase-stepped interfero-
31 grams on a camera and used a local reconstructor to return the phase [10]. In more recent years, the
32 Fresnel sensor was introduced. It employed near-field diffraction methods to improve the wavefront de-
33 tection under moderate to high turbulence conditions [11]. More recently, developments have been
34 seen on holographic wavefront sensors, which apply holography to reconstruct the amplitude and phase
35 [12–15]. Nonetheless, through these developments, the Shack-Hartmann wavefront sensor [16] has re-
36 maind the most common sensor in use. This is because its simple operation, with the deflections of fo-
37 cal spots measured under a lenslet array, offers well-established processing and robust packaging. How-
38 ever, FSOC links developed by ourselves [17] and others [18,19] have shown such wavefront sensing to
39 be challenging when the atmospheric turbulence transitions from weak to strong conditions.

40 In this work, we consider the self-referencing interferometer (SRI) as a viable technology for wave-
41 front sensing in weak through strong turbulence conditions [10]. The SRI wavefront sensor takes the
42 form of a Mach-Zehnder interferometer, which splits the input beam (having distorted wavefronts) into
43 a signal beam (with tilt applied across its wavefronts) and a reference beam (with flat wavefronts). The
44 signal and reference beams are then overlapped as an output beam, whose interference pattern charac-
45 terizes wavefront distortion across the input beam. The levels of tilt and flattening applied to the signal
46 and reference beams dictate the performance of the SRI wavefront sensor, to a large extent, and we fo-
47 cus on these characteristics in the optical design. We then put forward guiding principles for the subse-
48 quent image processing. This is done to help realize an SRI wavefront sensor with functionality that ena-
49 bles future FSOC links.

50 **2 Analysis and Design**

51 The analysis and design of the SRI wavefront sensor is detailed in the following subsections by way of its
52 optical design and image processing.

53 **2.1 Optical Design**

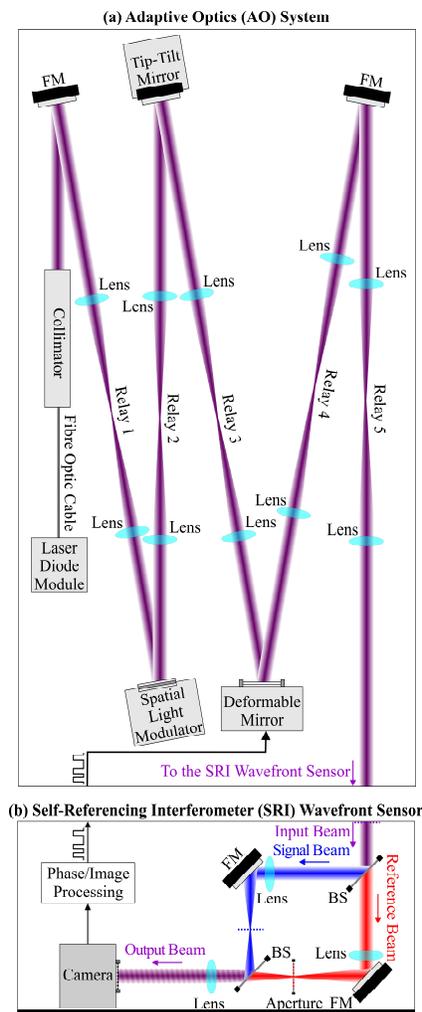
54 The proposed study makes use of our testbed having an AO system matched to the SRI wavefront sensor.

55 The AO system is shown in Fig. 1(a). It is seeded by a laser module (TeraXion, PS-LM-1550.12-80-06)
56 having a wavelength of 1550 nm and an output power of 4 mW. The beam is coupled out of the laser
57 and collimated for propagation through five relays. The relays have their entrance and exit pupils coin-
58 cide with the spatial light modulator (Hamamatsu, LCOS-SLM), tip-tilt mirror (Newport, FSM-300), and
59 deformable mirror (Boston Micromachines Corp., 18W160#046). With such a system, the spatial light
60 modulator can compensate for static distortion from the lenses and other elements, via a calibration
61 routine, and apply dynamic distortion to mimic the time-varying effects of turbulence. Wavefront correc-
62 tion is then realized by the tip-tilt mirror, for tip-tilt (low-order) modes, and deformable mirror, for the
63 remaining (high-order) modes. The SRI wavefront sensor is key to this correction as it characterizes the
64 transverse phase profiles of the beam and directs their conjugates to the tip-tilt and deformable mirrors.
65 The remainder of this work focuses on the SRI wavefront sensor, while details on the AO system can be
66 found elsewhere [20].

67 The exit pupil of the AO system is matched to the input pupil of the SRI wavefront sensor shown in
68 Fig. 1(b). The SRI takes the form of a Mach-Zehnder interferometer with its input beamsplitter (Thorlabs,
69 BP108) forming signal and reference arms. There is a primary lens with a focal length of $f_1 = 100$ mm in
70 each arm at a distance of f_1 beyond the sensor's input pupil, and a secondary lens with a focal length of f_2
71 = 150 mm at a distance of $f_1 + f_2$ beyond the primary lens in each arm. The SRI also has a pinhole aper-
72 ture with a diameter d at a distance of f_1 beyond the primary lens in the reference arm. Diameters of $d =$
73 15 and 75 μm are considered in our theoretical analyses, while a pinhole aperture (Thorlabs, P75S) with
74 a diameter of $d = 75$ μm is used for the experimental analyses. Beams from the signal and reference
75 arms are overlapped by the output beamsplitter (Thorlabs, CM1-BP3) and resolved by an infrared cam-
76 era (Xenics, Cheetah F051, CL-2078) with a 20- μm pixel size. The camera's image sensor is at a distance
77 of f_2 beyond the secondary lens. Such a system has confocal pairing of primary and secondary lenses in
78 each arm, with an input pupil plane before the input beamsplitter, a focal plane at a distance of f_1 be-

79 yond each primary lens (coplanar with the pinhole aperture in the reference arm), and an output pupil
 80 plane at a distance of f_2 beyond the secondary lens (coplanar with the camera's image sensor).

81 There are two key considerations in the SRI. First, the beam in the reference arm must be effectively
 82 focused through the pinhole aperture, which acts as a spatial filter and forms a reference beam with flat-
 83 tened wavefronts on the camera's image sensor. However, there is a tradeoff here in that smaller aper-
 84 ture diameters give especially flat wavefronts on the reference beam but larger aperture diameters
 85 transmit higher powers for the reference beam. Second, the input beamsplitter must be suitably angled
 86 to apply a linear tilt on the wavefronts of the signal beam. When the signal and reference beams are
 87 overlapped/imaged on the camera, we then see the tilted signal wavefronts and flattened reference
 88 wavefronts form fringes with a fringe spacing Δ . Figure 2 shows such imaged fringe patterns for applied
 89 tilts yielding spatial pitches of $\Delta = 387 \mu\text{m}$ in Fig. 2(a), $177 \mu\text{m}$ in Fig. 2(b), $117 \mu\text{m}$ in Fig. 2(c), and $87 \mu\text{m}$
 90 in Fig. 2(d). The significance of the aperture diameters and spatial pitch, together, can be understood by
 91 defining and characterizing the input, signal, reference, and output beams.



92
 93 Fig. 1. Schematic of the (a) AO system and (b) SRI wavefront sensor. In (a), the 1550-nm laser beam (violet) propagates through five relays, for
 94 which the spatial light modulator, tip-tilt mirror, deformable mirror, and flat mirror (FM) are within the relays' pupil planes. In (b), the 1550-nm
 95 input beam (violet) propagates into the SRI wavefront sensor and is split by the input beamsplitter (BS) into the signal beam (blue) and refer-
 96 ence beam (red). These beams pass through confocal lens pairs, with a pinhole aperture in the focus of the reference beam, and are then over-
 97 lapped by the output beamsplitter (BS). The output beam (violet) is then resolved on the camera's image sensor. The four dotted lines across
 98 the beams in the SRI wavefront sensor designate the input pupil plane (violet), focal plane of the signal arm (blue), focal plane of the reference
 99 arm (red), and output pupil plane (black).

100 The electric field of the input beam $\tilde{E}_i(x_i, y_i)$ is defined in the input pupil plane, which is denoted as a
 101 violet dotted line at the input of the SRI in Fig. 1(b). It consists of an input beam amplitude profile with a
 102 maximum E_0 and radius ω , spanning out to e^{-1} of the maximum, and an input beam phase profile $\phi_i(x_i, y_i)$.
 103 The electric field of the input beam can then be expressed as

$$104 \quad \tilde{E}_i(x_i, y_i) = E_0 e^{-(x_i^2 + y_i^2)/\omega^2} e^{j\phi_i(x_i, y_i)}, \quad (1)$$

105 where x_i and y_i are coordinates along the horizontal and vertical dimensions, respectively.

106 The electric field of the signal beam $\tilde{E}_s(x_f, y_f)$ is defined in the focal plane of the signal arm, which is
 107 denoted as a blue dotted line within this arm in Fig. 1(b). It consists of a focused signal beam amplitude
 108 profile $E_s(x_f, y_f)$ and focused signal beam phase profile $\phi_s(x_f, y_f)$, such that the electric field of the signal
 109 beam is

$$110 \quad \tilde{E}_s(x_f, y_f) = E_s(x_f, y_f) e^{j\phi_s(x_f, y_f)} = \frac{e^{j2k_0 f_1}}{j\lambda_0 f_1} \mathcal{F} \left\{ \tilde{E}_i(x_i, y_i) e^{j \frac{2\pi}{(f_1/f_2)\Lambda} x_i} \right\} \Bigg|_{\substack{u=x_f/(\lambda_0 f_1) \\ v=y_f/(\lambda_0 f_1)}}. \quad (2a)$$

111 Here, x_f and y_f are coordinates along the horizontal and vertical dimensions, respectively, f_1 and f_2 are the
 112 focal lengths of the primary lens and secondary lens, respectively, $k_0 = 2\pi/\lambda_0$ is the magnitude of the
 113 wavevector at a free-space wavelength λ_0 , and $\mathcal{F}\{\cdot\}$ is the Fourier transform operator with generalized
 114 transform variables u and v . The complex exponential inside the Fourier transform's argument is due to
 115 the aforementioned angling of the input beamsplitter, which establishes a horizontal phase shift across
 116 the transverse profile of the signal beam. Thus, we can apply this tilt at differing degrees to alter the lin-
 117 ear phase shift across the signal beam and thereby vary the fringe spacing Λ in the output beam.

118 The electric field of the reference beam $\tilde{E}_r(x_f, y_f)$ is defined in the focal plane of the reference arm, co-
 119 planar with the pinhole aperture, as denoted by a red dotted line in Fig. 1(b). It consists of a focused ref-
 120 erence beam amplitude profile $E_r(x_f, y_f)$ and focused reference beam phase profile $\phi_r(x_f, y_f)$, which give

$$121 \quad \tilde{E}_r(x_f, y_f) = E_r(x_f, y_f) e^{j\phi_r(x_f, y_f)} = \frac{e^{j2k_0 f_1}}{j\lambda_0 f_1} \mathcal{F} \left\{ \tilde{E}_i(x_i, y_i) \right\} \Bigg|_{\substack{u=x_f/(\lambda_0 f_1) \\ v=y_f/(\lambda_0 f_1)}} \left(\frac{1}{(\lambda_0 f_2)^2} p(x_f, y_f) \right). \quad (2b)$$

122 The rightmost factor in parentheses characterizes the pinhole aperture in the reference focal plane by
 123 way of its transmission coefficient $p(x_f, y_f)$ and the multiplicative constant $1/(\lambda_0 f_2)^2$, where the latter con-
 124 stant is included to give a normalized point-spread function.

125 The electric field of the output beam $\tilde{E}_o(x_o, y_o)$ is defined in the output pupil plane, coplanar with the
 126 camera's image sensor, as denoted by a black dotted line in Fig. 1(b). It is formed as the superposition of
 127 the signal and reference beams' electric fields with an amplitude profile $E_o(x_o, y_o)$ and phase profile
 128 $\phi_o(x_o, y_o)$. The electric field of this output beam can then be defined by

$$129 \quad \tilde{E}_o(x_o, y_o) = \frac{e^{j2k_0 f_2}}{j\lambda_0 f_2} \left[\mathcal{F} \left\{ \tilde{E}_s(x_f, y_f) \right\} \Bigg|_{\substack{u=x_o/(\lambda_0 f_2) \\ v=y_o/(\lambda_0 f_2)}} + \mathcal{F} \left\{ \tilde{E}_r(x_f, y_f) \right\} \Bigg|_{\substack{u=x_o/(\lambda_0 f_2) \\ v=y_o/(\lambda_0 f_2)}} \right]$$

130

$$= \frac{e^{j2k_0 f_2}}{j\lambda_0 f_2} \left[\mathcal{F} \left\{ \frac{e^{j2k_0 f_1}}{j\lambda_0 f_1} \mathcal{F} \left\{ \tilde{E}_i(x_i, y_i) e^{j \frac{2\pi}{(f_1/f_2)\Lambda} x_i} \right\} \right\} \Big|_{\substack{u=x_f/(\lambda_0 f_2) \\ v=y_f/(\lambda_0 f_1)}} \right] \Big|_{\substack{u=x_o/(\lambda_0 f_2) \\ v=y_o/(\lambda_0 f_2)}} \quad (3)$$

131

$$+ \mathcal{F} \left\{ \frac{e^{j2k_0 f_1}}{j\lambda_0 f_1} \mathcal{F} \left\{ \tilde{E}_i(x_i, y_i) \right\} \Big|_{\substack{u=x_f/(\lambda_0 f_1) \\ v=y_f/(\lambda_0 f_1)}} \frac{1}{(\lambda_0 f_2)^2} p(x_f, y_f) \right\} \Big|_{\substack{u=x_o/(\lambda_0 f_2) \\ v=y_o/(\lambda_0 f_2)}} \right]$$

$$= -\frac{e^{j2k_0(f_1+f_2)}}{\lambda_0^2 f_1 f_2} \left[(\lambda_0 f_1)^2 \tilde{E}_i \left((-\lambda_0 f_1) \frac{x_o}{\lambda_0 f_2}, (-\lambda_0 f_1) \frac{y_o}{\lambda_0 f_2} \right) e^{j \frac{2\pi}{(f_1/f_2)\Lambda} (-\lambda_0 f_1) \frac{x_o}{\lambda_0 f_2}} \right.$$

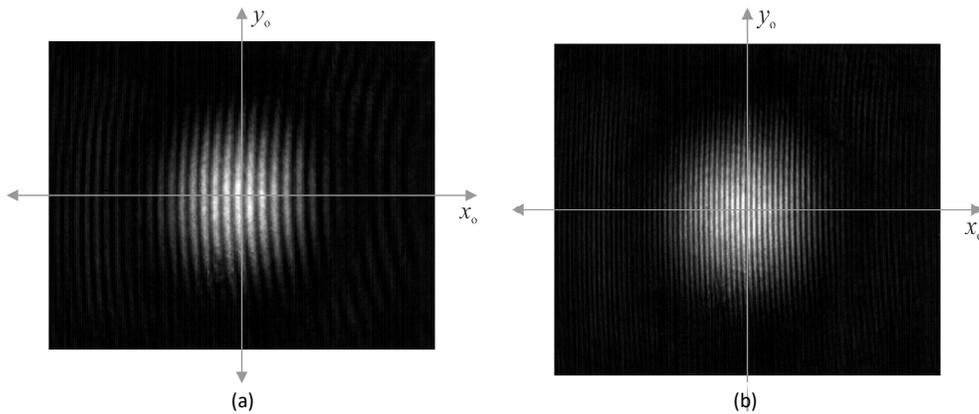
$$\left. + (\lambda_0 f_1)^2 \tilde{E}_i \left((-\lambda_0 f_1) \frac{x_o}{\lambda_0 f_2}, (-\lambda_0 f_1) \frac{y_o}{\lambda_0 f_2} \right) \otimes \frac{1}{(\lambda_0 f_2)^2} p \left(\frac{x_o}{\lambda_0 f_2}, \frac{y_o}{\lambda_0 f_2} \right) \right]$$

132

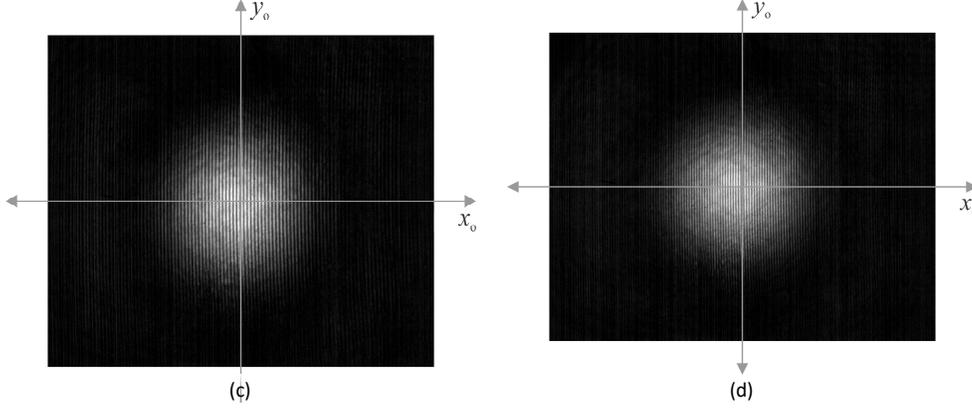
$$= -\frac{e^{j2k_0(f_1+f_2)}}{f_2/f_1} \left[\tilde{E}_i \left(-\frac{x_o}{f_2/f_1}, -\frac{y_o}{f_2/f_1} \right) e^{-j \frac{2\pi}{\Lambda} x_o} + \tilde{E}_i \left(-\frac{x_o}{f_2/f_1}, -\frac{y_o}{f_2/f_1} \right) \otimes \frac{1}{(\lambda_0 f_2)^2} p \left(\frac{x_o}{\lambda_0 f_2}, \frac{y_o}{\lambda_0 f_2} \right) \right],$$

133 where x_o and y_o are coordinates along the horizontal and vertical dimensions, respectively, \otimes denotes
 134 the convolution operation, $P(x_o/(\lambda_0 f_2), y_o/(\lambda_0 f_2))/(\lambda_0 f_2)^2$ is the normalized point-spread function of the pin-
 135 hole aperture, and Λ is the fringe spacing arising along the horizontal dimension (quantifying the degree
 136 of phase tilt applied across the signal beam).

137 Overall, the key parameters for the design of the SRI wavefront sensor arise within the first and sec-
 138 ond terms in the final expression of Eq. (3), and manifest through the signal and reference beams, re-
 139 spectively. Namely, the tilt applied to the signal beam imparts the fringe spacing Λ on the output image,
 140 which then defines the resolution of spatial features (and the order of modes seen) in the image. At the
 141 same time, the aperturing applied to the reference beam flattens its wavefronts in the output pupil
 142 plane, which lessens distortion in the image.



143
 144



145
146
147
148
149

Fig. 2. Measured imaged intensity distributions of the output beam (overlapped reference and signal beams) on the camera's image sensor as a function of the transverse dimensions x_o and y_o . The signal beam has varied degrees of horizontal tilt across it, yielding fringe spacings of $\Lambda =$ (a) $387 \mu\text{m}$, (b) $177 \mu\text{m}$, (c) $117 \mu\text{m}$, and (d) $87 \mu\text{m}$.

150 2.2 Image Processing

151 The optical design presented in the prior section establishes an intensity distribution on the camera's
 152 image sensor according to $\tilde{E}_o(x_o, y_o)\tilde{E}_o(x_o, y_o)^*$, where $\tilde{E}_o(x_o, y_o)$ is the electric field of the output beam across
 153 the horizontal x_o and vertical y_o dimensions, and $*$ denotes the complex conjugate. We then process this
 154 image via Fourier fringe analysis with four steps. In the first step, we apply a two-dimensional fast Fourier
 155 transform, $\mathcal{F}_{\text{fft}}\{\cdot\}$, to the imaged intensity distribution to give $\mathcal{F}_{\text{fft}}\{\tilde{E}_o(x_o, y_o)\tilde{E}_o(x_o, y_o)^*\}$. This generates an
 156 image in reciprocal space with a large central peak at the origin, resulting from low-spatial-frequency
 157 (averaged) characteristics across the imaged intensity distribution, as well as negative and positive (side)
 158 peaks, displaced horizontally off the origin by $1/\Lambda$. The latter two peaks are due to the horizontal tilt applied
 159 to the signal beam and its resulting fringe (sinusoidal) pattern on the imaged intensity distribution.
 160 In the second step, we apply a circular reciprocal-space filter Φ_{RS} to have it pass only the positive (side)
 161 peak. This yields the reciprocal-space distribution $\mathcal{F}_{\text{fft}}\{\tilde{E}_o(x_o, y_o)\tilde{E}_o(x_o, y_o)^*\}\Phi_{\text{RS}}$, where the filter Φ_{RS} has a
 162 diameter equal to the displacement between the central and side peaks, $1/\Lambda$, with unity in its interior
 163 and zero elsewhere. Such filtering passes the full wavefront characteristics across the input beam while
 164 rejecting the redundant/unnecessary phase characteristics in the negative/central peaks. In the third
 165 step, we apply a two-dimensional inverse fast Fourier transform, $\mathcal{F}_{\text{fft}}^{-1}\{\cdot\}$, to the filtered output and multiply
 166 the result by the phase factor $e^{j2\pi x_o/\Lambda}$ to give $\mathcal{F}_{\text{fft}}^{-1}\{\mathcal{F}_{\text{fft}}\{\tilde{E}_o(x_o, y_o)\tilde{E}_o(x_o, y_o)^*\}\Phi_{\text{RS}}\}e^{j2\pi x_o/\Lambda}$. The phase factor
 167 here shifts the origin in reciprocal space to the centre of the positive peak and thus removes the fringe
 168 pattern that appeared in the imaged intensity distribution. In the fourth step, we compute the arctan-
 169 gent of the ratio of the last distribution's real component $\mathcal{R}_e\{\cdot\}$ and imaginary component $\mathcal{I}_m\{\cdot\}$, scale the
 170 horizontal dimension by f_1/f_2 , to undo any magnification incurred by the confocal primary and secondary
 171 lenses, and unwrap the phase. This gives an estimated beam phase profile of

$$172 \quad \phi_{i(\text{est})}(x_i, y_i) = \text{unwrap} \left(\arctan \left(\frac{\mathcal{I}_m \left\{ \mathcal{F}_{\text{fft}}^{-1} \left\{ \mathcal{F}_{\text{fft}} \left\{ \tilde{E}_o(x_o, y_o) \tilde{E}_o(x_o, y_o)^* \right\} \Phi_{\text{RS}} \right\} \right\} e^{j2\pi x_o/\Lambda}}{\mathcal{R}_e \left\{ \mathcal{F}_{\text{fft}}^{-1} \left\{ \mathcal{F}_{\text{fft}} \left\{ \tilde{E}_o(x_o, y_o) \tilde{E}_o(x_o, y_o)^* \right\} \Phi_{\text{RS}} \right\} \right\} e^{j2\pi x_o/\Lambda}} \right) \right), \quad (4)$$

173 which will ideally depict the input beam phase profile $\phi(x_i, y_i)$. Branch point/phase discontinuities may
 174 arise from the $\text{unwrap}\{\cdot\}$ function here, but strategies to remove them are shown elsewhere [22–24].

175

176 3 Results and Discussion

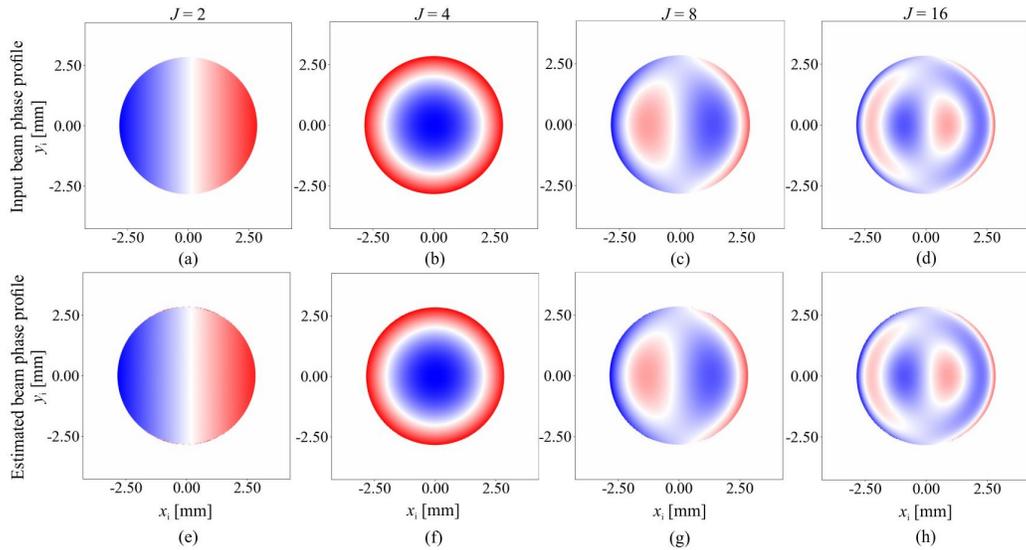
177 We consider a beam entering the SRI wavefront sensor with a radius of $\omega = 2.5$ mm and an arbitrary in-
178 put beam phase profile, $\phi_i(x_i, y_i)$ in Eq. (1). We then solve for the electric field of the output beam,
179 $\vec{E}_o(x_o, y_o)$ in Eq. (3), and apply image processing to its intensity distribution to extract the estimated beam
180 phase profile $\phi_{i(\text{est})}(x_i, y_i)$. The analyses of $\phi_{i(\text{est})}(x_i, y_i)$ are had with the input beam phase profile $\phi_i(x_i, y_i)$ cast
181 as a superposition of (orthogonal) Zernike polynomials enumerated by the (Noll) mode order $J = 1, 2, 3,$
182 The characteristics underlying these mode orders are given in the Appendix, with details on their
183 wavefront aberrations and symmetries.

184 3.1 Optical Design

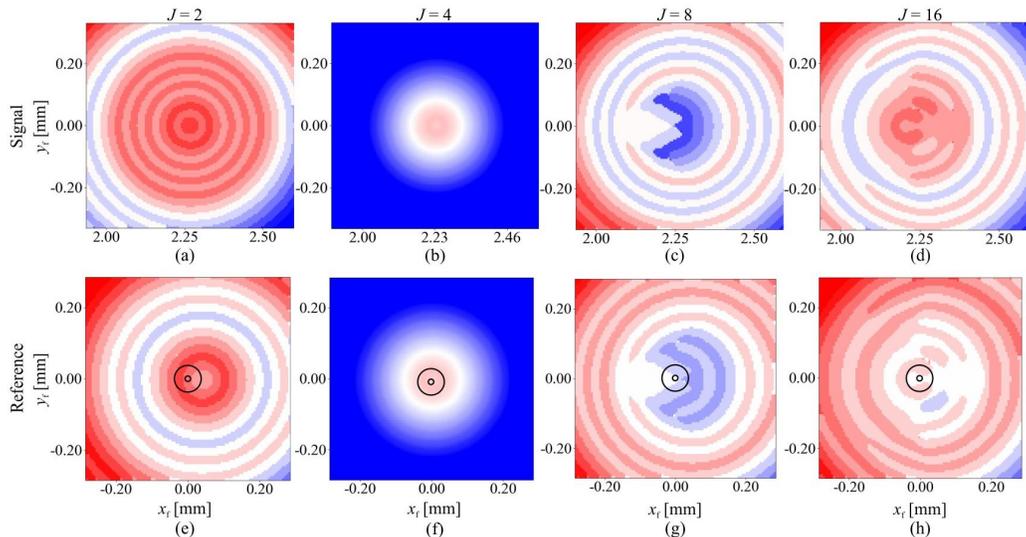
185 The performance of the SRI wavefront sensor's design is gauged by its ability to both pass the signal
186 beam unperturbed through the system (aside from our negation and tilt on its phase) and image the ref-
187 erence beam in the output pupil plane with a flat phase. The diameter of the pinhole aperture is the key
188 parameter in such efforts and is focused upon here. We consider four representative phase profiles on
189 the input beam, corresponding to turbulence-induced tilt along x_i ($J = 2$), defocus ($J = 4$), primary coma
190 along x_i ($J = 8$), and secondary coma along x_i ($J = 16$). The four phase profiles on the input beam (top row)
191 and estimated beam (bottom row) are illustrated in Figs. 3(a) and (e), (b) and (f), (c) and (g), and (d) and
192 (h), respectively. The resulting phase profiles on the signal beam (top row) and reference beam (bottom
193 row) are shown for the focal plane in Figs. 4(a) and (e), (b) and (f), (c) and (g), and (d) and (h), respective-
194 ly, and the output pupil plane in Figs. 5(a) and (e), (b) and (f), (c) and (g), and (d) and (h), respectively. All
195 of the results are illustrated as two-dimensional colourmaps of phase spanning from low (blue) to high
196 (red). The pinhole aperture is shown on the reference beam in Fig. 4 for a narrow aperture diameter, $d =$
197 $15 \mu\text{m}$ (black circle), and a wide aperture diameter, $d = 75 \mu\text{m}$ (black circle).

198 There are two key characteristics to note in the optical design. First, the presence of azimuthal
199 asymmetry on the input beam phase profiles in Fig. 3 deflects the signal and reference beams off their
200 optical axes within their respective focal planes. Such deflections are of little consequence to the signal
201 beam, which has fixed tilt already applied to it (from the beamsplitter) and unobstructed transmission
202 through its focal plane (given its lack of an aperture). However, the deflections are of great concern for
203 the reference beam, which deflects along the $+x_r$ direction with extents that are large in Fig. 4(e) ($J=2$),
204 negligible in Fig. 4(f) ($J=4$), moderate in Fig. 4(g) ($J=8$), and small in Fig. 4(h) ($J=16$). These deflections
205 reduce the transmitted power of the reference beam through the pinhole aperture to a great degree for
206 the narrow aperture diameter, $d = 15 \mu\text{m}$, and a lesser degree for the wide aperture diameter, $d = 75 \mu\text{m}$.
207 Only the input beam phase profile of Fig. 4(f) ($J=4$) escapes this deflection-induced reduction in power,
208 as a result of its pure azimuthal symmetry. Second, we note that the reference beam phase profile in the
209 output pupil plane should be sufficiently flat/uniform, as this will allow the signal beam phase profile to
210 be accurately mapped onto the (superimposed) output beam phase profile. The results displayed in Figs.
211 5(e), (f), (g), and (h) show that the reference beam can exhibit this flat/uniform phase profile—but only
212 for an aperture diameter of $d = 15 \mu\text{m}$. The corresponding profile for the aperture diameter of $d = 75 \mu\text{m}$
213 (not shown) is far from flat/uniform. Such trends can be understood by the inverse Fourier transform
214 relationship between the focal and output pupil planes, whereby a point aperture at the focus outputs a

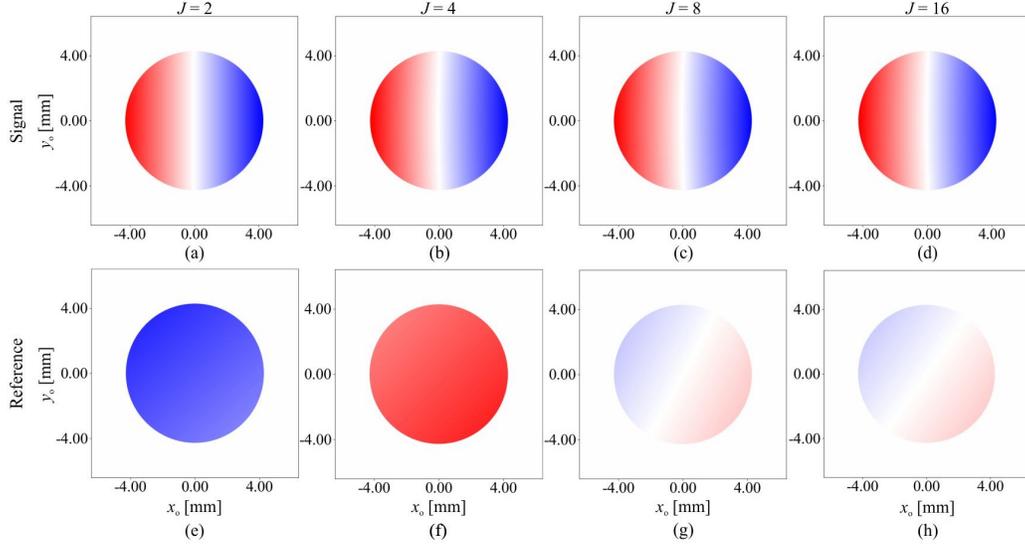
215 flat phase profile on the reference beam and a wide aperture at the focus outputs similar phase profiles
 216 on the reference and signal beams.



217
 218 Fig. 3. Phase profiles in the input plane for the input beam (top row) and estimated beam (bottom row). The profiles are shown for an input
 219 beam experiencing turbulence-induced distortion as tilt along x_i ($J = 2$) in (a) and (e), defocus ($J = 4$) in (b) and (f), primary coma along x_i ($J = 8$) in
 220 (c) and (g), and secondary coma along x_i ($J = 16$) in (d) and (h). The phase is displayed as colours mapped from low (blue) to red (high), given a
 221 pinhole aperture with a diameter of $d = 15 \mu\text{m}$ and a fringe spacing of $\lambda = 87 \mu\text{m}$.



222
 223 Fig. 4. Phase profiles in the focal plane for the signal beam (top row) and reference beam (bottom row). The profiles are shown for an input
 224 beam experiencing turbulence-induced distortion as tilt along x_i ($J = 2$) in (a) and (e), defocus ($J = 4$) in (b) and (f), primary coma along x_i ($J = 8$) in
 225 (c) and (g), and secondary coma along x_i ($J = 16$) in (d) and (h). The phase is displayed as colours mapped from low (blue) to red (high), given
 226 pinhole apertures with diameters of $d = 15$ and $75 \mu\text{m}$ (seen in the bottom row as small and large black circles, respectively), and a fringe spac-
 227 ing of $\lambda = 87 \mu\text{m}$.



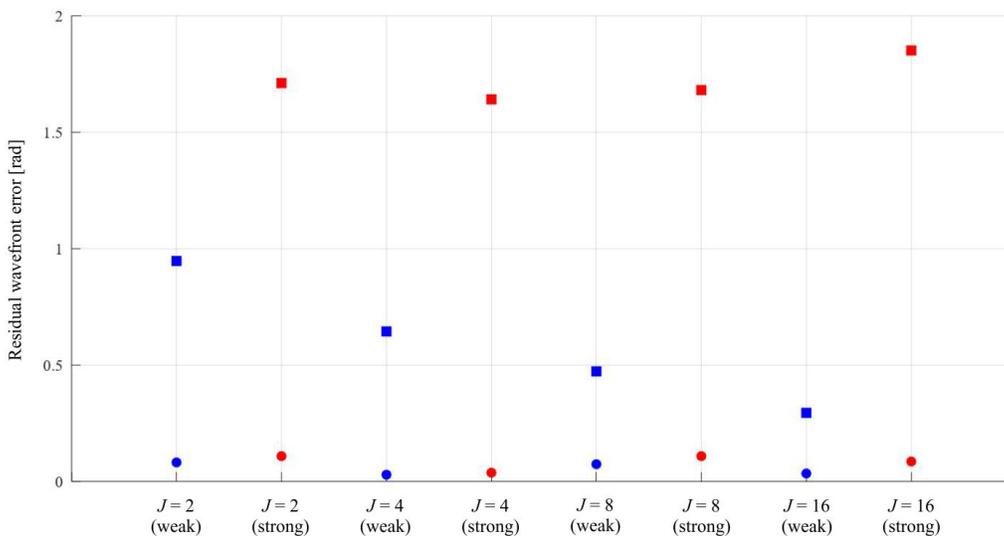
228 Fig. 5. Phase profiles in the output plane for the signal beam (top row) and reference beam (bottom row). The profiles are shown for an input
 229 beam experiencing turbulence-induced distortion as tilt along x_i ($J = 2$) in (a) and (e), defocus ($J = 4$) in (b) and (f), primary coma along x_i ($J = 8$) in
 230 (c) and (g), and secondary coma along x_i ($J = 16$) in (d) and (h). The phase is displayed as colours mapped from low (blue) to red (high), given a
 231 pinhole aperture with a diameter of $d = 15 \mu\text{m}$ and a fringe spacing of $\lambda = 87 \mu\text{m}$.
 232

233 3.2 Image Processing

234 The performance of the SRI wavefront sensor's image processing can be assessed by its ability to esti-
 235 mate the input beam phase profile from the intensity distribution on the image sensor. As such, we con-
 236 sider the aforementioned phase profiles on the input beam, corresponding to turbulence-induced tilt
 237 along x_i ($J = 2$), defocus ($J = 4$), primary coma along x_i ($J = 8$), and secondary coma along x_i ($J = 16$). We then
 238 analyse the resulting phase profiles on the estimated beam, which are shown in Figs. 3(a) and (e), (b) and
 239 (f), (c) and (g), and (d) and (h), respectively. Here, we have used Fourier fringe analysis with the pinhole
 240 aperture having a diameter of $d = 15 \mu\text{m}$ and the fringe spacing of $\lambda = 87 \mu\text{m}$. This fringe spacing sepa-
 241 rates the positive and negative peaks off the central peak in the reciprocal space by $1/\lambda \approx 11.5 \text{ mm}^{-1}$. We
 242 then apply a bandpass filter around the positive peak with a diameter that is equal to this separation of
 243 $1/\lambda$. Such scaling of the filter width and peak separation minimizes the encroachment of error from the
 244 central peak into the positive peak's passband. This error can also be reduced by making the fringe spac-
 245 ing as small as possible, and thus the separation as large as possible, but this must be done while consid-
 246 ering the pixel size on the camera's image sensor. According to the fundamental Nyquist sampling theo-
 247 rem [25], the minimum fringe spacing resolved by the sensor will be two pixels wide, corresponding to a
 248 halved resolution, but larger fringe spacings are ideally used to fully resolve the fringes. Thus, we have
 249 used a fringe spacing of $\lambda = 87 \mu\text{m}$ in this analysis. This corresponds to the experimental fringe pattern
 250 displayed in Fig. 2(d) and is roughly four pixels wide. Given these two parameters with an input beam
 251 subject to turbulence-induced tilt along x_i ($J = 2$), defocus ($J = 4$), primary coma along x_i ($J = 8$), and sec-
 252 ondary coma along x_i ($J = 16$), we see strong agreement between the input beam phase profiles, in Figs.
 253 3(a), (b), (c), and (d), respectively, and our estimated beam phase profiles, in Figs. 3(e), (f), (g), and (h),
 254 respectively.

255 The overall functionality of the SRI wavefront sensor is encapsulated by Fig. 6. The figure shows the
 256 residual wavefront error [18], as the root-mean-squared difference between the input beam phase pro-

257 file and our estimated beam phase profile, versus the mode order J for weak (blue) and strong (red) tur-
 258 bulance conditions. Here, the conditions are defined by the wavefront error [18], as the root-mean-
 259 squared difference between the input beam phase profile and its averaged phase across the profile,
 260 while the pinhole apertures have diameters of $d=15\ \mu\text{m}$ (circles) and $75\ \mu\text{m}$ (squares). In following the
 261 foundational work of Noll [26], we define weak, moderate, and strong turbulence conditions as those
 262 with wavefront errors less than or equal to 1 rad, between 1 and 2 rad, and greater than or equal to 2
 263 rad. The results in Fig. 6 are shown for weak and strong turbulence conditions with a wavefront error of
 264 1 and 2 rad, respectively. We can conclude from these results that the least residual wavefront error is
 265 had by the pinhole aperture with a diameter of $d=15\ \mu\text{m}$, as its errors are less than 0.11 rad for all mode
 266 orders in weak and strong turbulence conditions. Nonetheless, it may still be possible to use the pinhole
 267 aperture with a diameter of $d=75\ \mu\text{m}$, but the residual wavefront error here can only be kept below
 268 0.95 rad in the weak turbulence conditions.



269 Fig. 6. Residual wavefront error versus mode order J for weak (1 rad of wavefront error, blue) and strong (2 rad of wavefront error, red) tur-
 270 bulance conditions with tilt along x_i ($J=2$), defocus ($J=4$), primary coma along x_i ($J=8$), and secondary coma along x_i ($J=16$). The pinhole apertures
 271 have diameters of $d=15\ \mu\text{m}$ (circles) and $d=75\ \mu\text{m}$ (squares).
 272

273 4 Limitations and Recommendations

274 Our results from the prior section showed the SRI wavefront sensor's effectiveness, but its use is subject
 275 to limitations. The foremost six limitations and our corresponding recommendations are discussed here.

276 The first potential limitation of the SRI wavefront sensor relates to scalability. Our prior work [27] has
 277 shown that there is a fundamental relationship between the effects of atmospheric turbulence and the
 278 diameter of the telescope aperture under equivalent atmospheric turbulence conditions. Specifically,
 279 only simple low-order (tip-tilt) correction is typically required for diameters up to 5 cm, but when the
 280 system is scaled up and the diameter increases, the effects of atmospheric turbulence grow. The wave-
 281 front sensor must then be designed to characterize higher-order modes within its images.

282 The second potential limitation of the SRI wavefront sensor relates to the detection limits of its hard-
 283 ware. The camera is the greatest concern here, as its pixel sensitivity sets the minimum requirements for
 284 the beam powers (and signal-to-noise ratios) while its pixel size dictates the minimum resolvable spatial
 285 features (and thus the maximum measurable mode order). Ideally, the SRI wavefront sensor would be

286 implemented with combined thought to its beam powers, which may demand optical amplification, and
287 its upper limit for mode orders, which may necessitate the use of a high-resolution camera [28].

288 The third potential limitation of the SRI wavefront sensor relates to noise in its image processing.
289 Such noise can manifest from sensor, manufacturing, and assembly errors [29,30]. Fortunately, these
290 errors can be mitigated through careful calibration [29]. It is also possible for quantization noise to arise
291 from the fast Fourier transform in our image processing, due to rounding, floating-point representation,
292 and truncation errors [31]. Such errors can also be mitigated [32,33], but doing so comes at the cost of
293 speed. Thus, the overall speed of the AO system, and specifically its control loop, should be considered
294 while planning noise mitigation.

295 The fourth potential limitation of the SRI wavefront sensor relates to inefficiencies in its image pro-
296 cessing. In particular, its phase unwrapping can become computationally intensive due to the emergence
297 of branch points/cuts. Fortunately, challenges such as these are being met by recent advancements in
298 machine and deep learning. Machine learning has led to improvements for wavefront sensing and turbu-
299 lence characterizations via reward functions [1], wavefront estimations [34], and wavefront control [35].
300 Likewise, deep learning has advanced wavefront sensing via residual wavefront error rejection [20], con-
301 volutional neural networks [36], and sophisticated control models [37]. The image processing in our work
302 could benefit from any number of these emerging technologies.

303 The fifth potential limitation of the SRI wavefront sensor relates to its speed. Here, we must recognize
304 that wavefront errors exhibit both spatial variations, as defined by the mode orders, and temporal varia-
305 tions, as defined by the Greenwood frequency [38]. The speed of the SRI wavefront sensor, and the
306 overall AO system's control loop, should then be made greater than the Greenwood frequency to miti-
307 gate any concern on temporal variations. Our SRI wavefront sensor was designed with spatial variations
308 as the sole concern, as our overall AO system's control loop can function at speeds above the highest
309 (real-world/realistic) Greenwood frequency. Specifically, given a wavelength of $\lambda_0=1550\text{nm}$, propagation
310 length through the atmosphere of $L=10\text{km}$, and highest (real-world/realistic) wind velocity of $v_w=30$
311 m/s, the Greenwood frequency is only $0.4v_w/(\lambda_0L)^{1/2}\approx 100\text{Hz}$ [38] while our system operates at a factor of
312 20 above this frequency, i.e., 2 kHz. This real-time speed is achieved by first training the system, whereby
313 the tip-tilt/deformable mirrors are perturbed and wavefront errors are measured. This builds the loop's
314 interaction matrix. We then apply the inverse of this interaction matrix between the inputs (from the
315 wavefront sensor) and outputs (to the tip-tilt/deformable mirrors). Ultimately, the speed of any AO sys-
316 tem's control loop should be designed with the Greenwood frequency in mind, to ensure that its wave-
317 front errors can be sensed and mitigated solely in terms of their spatial variations, as done in this work.

318 The sixth potential limitation of the SRI wavefront sensor relates to trade-offs from its aperture diam-
319 eter. Here, we recognize that smaller pinhole aperture diameters yield better uniformity/flattening
320 across the reference beam's wavefronts, and thus improved estimates for the beam phase profiles, but
321 they also give reduced power transmission when (azimuthally) asymmetric wavefront error exists across
322 the beam. The reduction occurs because such asymmetric wavefront error deflects the beam's focus off
323 the centre of the pinhole aperture, i.e., optical axis, which then reduces its transmission. Such deflection
324 /reduction will be greatest for wavefront error manifesting in the low-order (tip-tilt) modes, with reduc-
325 ing effects from increasing orders. Thus, the correction imparted by the tip-tilt mirror in the overall AO
326 system should be made as accurate as possible, to lessen the low-order (tip-tilt) wavefront error on the
327 beam, and then the pinhole aperture diameter d should be selected for the net asymmetric wavefront

328 error, including any residual low-order (tip-tilt) error and high-order (asymmetric) error. For example,
329 given our primary lens with a focal length of $f_1 = 100$ mm and a representative net asymmetric wavefront
330 error of $\delta\theta = 10$ μ rad, we would expect the reference beam's focus to deflect off the optical axis by $f_1\delta\theta$
331 ≈ 1 μ m. For the pinhole aperture diameters in our work, $d = 15$ and 75 μ m, this deflection would have
332 little consequence, but the deflection could be a concern if a longer f_1 was used and/or a smaller diame-
333 ter d was used. In such cases, it may be necessary to improve the correction had from the tip-tilt mirror,
334 reduce the focal length f_1 , and/or increase the pinhole aperture diameter d .

335 **5 Conclusion**

336 This work presented the design and development of an SRI wavefront sensor for implementation in an
337 AO system that corrects for the effects of atmospheric turbulence in FSOC links. This was done with
338 thought to the demands for wavefront sensing in such links under weak through strong turbulence con-
339 ditions. For the sensor's optical design, we observed a trade-off for the pinhole aperture's diameter,
340 whereby smaller diameters yield better uniformity/flattening across the reference beam's wavefronts
341 and larger diameters better transmit the reference beam's power in the presence of asymmetric wave-
342 front error. This is because such error deflects the focus off the centre of the pinhole aperture. In light of
343 this trade-off, the tip-tilt mirror in the overall AO system should lessen the low-order (tip-tilt) wavefront
344 error as much as possible, and then the pinhole aperture diameter d should be selected for the remain-
345 ing net asymmetric wavefront error, which can include residual low-order (tip-tilt) error and high-order
346 (asymmetric) error. For the sensor's image processing, we concluded that the fringe spacing Λ should be
347 set at or above twice the pixel size on the image sensor and the reciprocal-space filter diameter should
348 then be set at the separation between the central and positive peaks, $1/\Lambda$. Such conditions reduce the
349 overall error and allow the system to function roughly independent of the fringe spacing. Overall, our
350 analysed SRI wavefront sensor, with an aperture diameter of $d = 15$ μ m and a fringe spacing of $\Lambda = 87$ μ m,
351 gave an accurate representation of the input beam's phase profile. It is hoped that these analyses and
352 insights can enable wavefront sensing with improved functionality in future FSOC links.

353
354 **Disclosures.** The authors declare no conflicts of interest.

355
356 **Funding.** Portions of this work were supported by the Natural Sciences and Engineering Research Council
357 of Canada, grant RGPIN-2017-0407. The core project on which this report is based was funded by the
358 German Federal Ministry of Education and Research under funding code 16KIS1265 (QuNET). The au-
359 thors are responsible for the content of this publication.

360
361 **Data availability.** The data presented in this paper may be obtained from the authors upon reasonable
362 request.

363 **Author Contributions.** Authors A.C.M., I.R.H., M.F.J., and J.F.H. contributed to the data analy-
364 sis/processing and the interpretation of results. I.R.H., A.P.R., R.M.C., and J.F.H designed and implement-
365 ed the experimental setup. A.C.M and J.F.H co-wrote the paper.

366

367 Figure Captions

368
369 Fig. 1. Schematic of the (a) AO system and (b) SRI wavefront sensor. In (a), the 1550-nm laser beam (violet) propagates through five relays, for
370 which the spatial light modulator, tip-tilt mirror, deformable mirror, and flat mirror (FM) are within the relays' pupil planes. In (b), the 1550-nm
371 input beam (violet) propagates into the SRI wavefront sensor and is split by the input beamsplitter (BS) into the signal beam (blue) and refer-
372 ence beam (red). These beams pass through confocal lens pairs, with a pinhole aperture in the focus of the reference beam, and are then over-
373 lapped by the output beamsplitter (BS). The output beam (violet) is then resolved on the camera's image sensor. The four dotted lines across
374 the beams in the SRI wavefront sensor designate the input pupil plane (violet), focal plane of the signal arm (blue), focal plane of the reference
375 arm (red), and output pupil plane (black).

376
377 Fig. 2. Measured imaged intensity distributions of the output beam (overlapped reference and signal beams) on the camera's image sensor as a
378 function of the transverse dimensions x_0 and y_0 . The signal beam has varied degrees of horizontal tilt across it, yielding fringe spacings of $\lambda =$ (a)
379 $387 \mu\text{m}$, (b) $177 \mu\text{m}$, (c) $117 \mu\text{m}$, and (d) $87 \mu\text{m}$.

380
381 Fig. 3. Phase profiles in the input plane for the input beam (top row) and estimated beam (bottom row). The profiles are shown for an input
382 beam experiencing turbulence-induced distortion as tilt along x_i ($J = 2$) in (a) and (e), defocus ($J = 4$) in (b) and (f), primary coma along x_i ($J = 8$) in
383 (c) and (g), and secondary coma along x_i ($J = 16$) in (d) and (h). The phase is displayed as colours mapped from low (blue) to red (high), given a
384 pinhole aperture with a diameter of $d = 15 \mu\text{m}$ and a fringe spacing of $\lambda = 87 \mu\text{m}$.

385
386 Fig. 4. Phase profiles in the focal plane for the signal beam (top row) and reference beam (bottom row). The profiles are shown for an input
387 beam experiencing turbulence-induced distortion as tilt along x_i ($J = 2$) in (a) and (e), defocus ($J = 4$) in (b) and (f), primary coma along x_i ($J = 8$) in
388 (c) and (g), and secondary coma along x_i ($J = 16$) in (d) and (h). The phase is displayed as colours mapped from low (blue) to red (high), given
389 pinhole apertures with diameters of $d = 15$ and $75 \mu\text{m}$ (seen in the bottom row as small and large black circles, respectively), and a fringe spac-
390 ing of $\lambda = 87 \mu\text{m}$.

391
392 Fig. 5. Phase profiles in the output plane for the signal beam (top row) and reference beam (bottom row). The profiles are shown for an input
393 beam experiencing turbulence-induced distortion as tilt along x_i ($J = 2$) in (a) and (e), defocus ($J = 4$) in (b) and (f), primary coma along x_i ($J = 8$) in
394 (c) and (g), and secondary coma along x_i ($J = 16$) in (d) and (h). The phase is displayed as colours mapped from low (blue) to red (high), given a
395 pinhole aperture with a diameter of $d = 15 \mu\text{m}$ and a fringe spacing of $\lambda = 87 \mu\text{m}$.

396
397 Fig. 6. Residual wavefront error versus mode order J for weak (1 rad of wavefront error, blue) and strong (2 rad of wavefront error, red) turbu-
398 lence conditions with tilt along x_i ($J=2$), defocus ($J=4$), primary coma along x_i ($J=8$), and secondary coma along x_i ($J = 16$). The pinhole apertures
399 have diameters of $d = 15 \mu\text{m}$ (circles) and $d = 75 \mu\text{m}$ (squares).

400

401 **APPENDIX**

402 In this work, we characterize the input beam phase profile $\phi_i(x_i, y_i)$ within the input pupil plane of the SRI
 403 wavefront sensor, where x_i and y_i are coordinates for the horizontal and vertical dimensions, respective-
 404 ly. The position of the ordered pair (x_i, y_i) is defined by its radial distance from the origin $\rho_i = (x_i^2 + y_i^2)^{1/2}$
 405 and azimuthal angle $\theta_i = \arctan(y_i/x_i)$, counterclockwise off the $+x_i$ -axis. The radial distance spans out-
 406 ward to three times the input beam's radius ω , giving $0 \leq \rho_i \leq 3\omega$, and the azimuthal angle spans $0 \leq \theta <$
 407 2π . The input beam phase profile can then be expanded in terms of orthogonal Zernike polynomials,
 408 $Z_n^m(\rho_i/(3\omega), \theta_i)$, as [39]

409
$$\phi_i(x_i, y_i) = \phi_i(\rho_i/(3\omega), \theta_i) = Z_n^{m|}(\rho_i/(3\omega), \theta_i) = \begin{cases} \Phi_n^m R_n^{m|}(\rho_i/(3\omega)) \cos(m\theta_i), & m \geq 0 \\ \Phi_n^m R_n^{m|}(\rho_i/(3\omega)) \sin(|m|\theta_i), & m < 0 \end{cases}, \quad (\text{A.1})$$

410 where Φ_n^m is a normalization factor, the non-negative integer index n is the radial degree, the integer
 411 index m is the azimuthal frequency, and the difference between n and $|m|$ is even and greater than or
 412 equal to zero. These two integers define Zernike polynomials according to [39]

413
$$R_n^{m|}(\rho_i/(3\omega)) = \sum_{s=0}^{(n-|m|)/2} \frac{(-1)^s (n-s)!}{s!((n+|m|)/2-s)!((n-|m|)/2-s)!} (\rho_i/(3\omega))^{n-2s}. \quad (\text{A.2})$$

414 Table A.1 lists these two integer indices with their associated Noll mode order J , as used in this work and
 415 elsewhere [18,26], and OSA/ANSI mode order, as used elsewhere [40]). The table then lists the normal-
 416 ized Zernike polynomials with descriptors for the associated wavefront aberration and even/odd sym-
 417 metry.

418 **Table A.1. Zernike integer indices, mode orders, and polynomials, with wavefront aberration and**
 419 **symmetry.**

Integer indices n	Mode order m :	Mode order (Noll):	Mode order (OSA/ANSI):	Normalized Zernike polynomials, Z_n^m :	Wavefront aberration:	Symmetry (x_i, y_i) :
0	0	$J = 1$	0	$\sqrt{1/\pi} \cdot 1$	Piston	(Even,Even)
1	1	$J = 2$	2	$\sqrt{4/\pi} \cdot \rho \cos(\theta)$	Tilt (along x_i)	(Odd,Even)
1	-1	$J = 3$	1	$\sqrt{4/\pi} \cdot \rho \sin(\theta)$	Tip (along y_i)	(Even,Odd)
2	0	$J = 4$	4	$\sqrt{3/\pi} \cdot (2\rho^2 - 1)$	Defocus	(Even,Even)
2	-2	$J = 5$	3	$\sqrt{6/\pi} \cdot \rho^2 \sin(2\theta)$	Primary astigmatism (at 45°)	(Odd,Odd)
2	2	$J = 6$	5	$\sqrt{6/\pi} \cdot \rho^2 \cos(2\theta)$	Primary astigmatism (at 0°)	(Even,Even)
3	-1	$J = 7$	7	$\sqrt{8/\pi} \cdot (3\rho^3 - 2\rho) \sin(\theta)$	Primary coma (along y_i)	(Even,Odd)

3	1	$J = 8$	8	$\sqrt{8/\pi} (3\rho^3 - 2\rho)\cos(\theta)$	Primary coma (along x_i)	(Odd,Even)
3	-3	$J = 9$	6	$\sqrt{8/\pi} \rho^3 \sin(3\theta)$	Trefoil (at 30°)	(Even,Odd)
3	3	$J = 10$	9	$\sqrt{8/\pi} \rho^3 \cos(3\theta)$	Trefoil (at 0°)	(Odd,Even)
4	0	$J = 11$	12	$\sqrt{5/\pi} (6\rho^4 - 6\rho^2 + 1)$	Primary spherical aberration	(Even,Even)
4	2	$J = 12$	13	$\sqrt{10/\pi} (4\rho^4 - 3\rho^2)\cos(2\theta)$	Secondary astigmatism (at 0°)	(Even,Even)
4	-2	$J = 13$	11	$\sqrt{10/\pi} (4\rho^4 - 3\rho^2)\sin(2\theta)$	Secondary astigmatism (at 45°)	(Odd,Odd)
4	4	$J = 14$	14	$\sqrt{10/\pi} \rho^4 \cos(4\theta)$	Tetrafoil (at 0°)	(Even,Even)
4	-4	$J = 15$	10	$\sqrt{10/\pi} \rho^4 \sin(4\theta)$	Tetrafoil (at 22.5°)	(Odd,Odd)
5	1	$J = 16$	18	$\sqrt{12/\pi} (10\rho^5 - 12\rho^3 + 3\rho)\cos(\theta)$	Secondary coma (along x_i)	(Odd,Even)

420 REFERENCES

- 421 1. Nousiannen J, Rajani C, Kasper M, *et al.*, Toward on-sky adaptive optics control using reinforcement
422 learning, *Astronom. and Astrophys.* 664(A71), 1 (2022). [https://doi.org/10.1051/0004-](https://doi.org/10.1051/0004-6361/202243311)
423 [6361/202243311](https://doi.org/10.1051/0004-6361/202243311)
- 424 2. Davies R and Kasper M, Adaptive Optics for Astronomy, *Annu. Rev. Astron. Astrophys.* 50, 305 (2012).
425 <https://doi.org/10.1146/annurev-astro-081811-125447>
- 426 3. Carrizo CE, Calvo RM, and Belmonte A, Proof of concept for adaptive sequential optimization of free-
427 space communication receivers, *Appl. Opt.* 58, 5397 (2019). <https://doi.org/10.1364/AO.58.005397>
- 428 4. Carrizo CE, Calvo RM, and Belmonte A, Intensity-based adaptive optics with sequential optimization
429 for laser communications, *Opt. Express* 26, 16044 (2018). <https://doi.org/10.1364/OE.26.016044>
- 430 5. Land JE, Aerosol absorption measurement by a Shack-Hartmann wavefront sensor, *Appl. Opt.* 62,
431 4836 (2023). <https://doi.org/10.1364/AO.492066>
- 432 6. Kalensky M, Kemnetz MR, and Spencer MF, Effects of shock waves on Shack-Hartmann wavefront
433 sensor data, *AIAA. J.* 61, 2356 (2023). <https://doi.org/10.2514/1.J062783>
- 434 7. Hutterer V, Neubauer A, and Shatokhina J, A mathematical framework for nonlinear wavefront recon-
435 struction in adaptive optics systems with Fourier-type wavefront sensing, *Inverse Probl.* 39(035007), 1
436 (2023). <https://doi.org/10.1088/1361-6420/acb568>
- 437 8. Knapek M, Adaptive optics for the mitigation of atmospheric effects in laser satellite-to-ground com-
438 munications, Technische Universität München, (2010).
- 439 9. Roddier F, Curvature sensing and compensation: a new concept in adaptive optics, *Appl. Opt.* 27,
440 1223 (1988). <https://doi.org/10.1364/AO.27.001223>

- 441 10. Notaras J and Paterson C, Demonstration of closed-loop adaptive optics with a point-diffraction inter-
442 ferometer in strong scintillation with optical vortices, *Opt. Express* 15, 13745 (2007).
443 <https://doi.org/10.1364/OE.15.013745>
- 444 11. Crepp JR, Letchev SO, Potier SJ, et al., Measuring phase errors in the presence of scintillation, *Opt.*
445 *Express* 28, 37721 (2020). <https://doi.org/10.1364/OE.408825>
- 446 12. Thornton DE, Spencer MF, and Perram GP, Deep-turbulence wavefront sensing using digital holog-
447 raphy in the on-axis phase shifting recording geometry with comparisons to the self-referencing inter-
448 ferometer, *Appl. Opt.* 58, A179 (2019). <https://doi.org/10.1364/AO.58.00A179>
- 449 13. Zepp A, Gladysz S, Stein K, et al., Optimization of the holographic wavefront sensor for open-loop
450 adaptive optics under realistic turbulence. Part I: simulations, *Appl. Opt.* 60, F88 (2021).
451 <https://doi.org/10.1364/AO.425397>
- 452 14. Zepp A, Gladysz S, Stein K, et al., Simulation-Based Design Optimization of the Holographic Wavefront
453 Sensor in Closed-Loop Adaptive Optics, *Light Adv. Manuf.* 3, 1 (2022).
454 <https://doi.org/10.37188/lam.2022.027>
- 455 15. Branigan E, Zepp A, Martin S, et al., Comparing thin and volume regimes of analog holograms for
456 wavefront sensing, *Opt. Express* 32, 27239 (2024). <https://doi.org/10.1364/OE.527893>
- 457 16. Aubailly M and Vorontsov MA, Scintillation resistant wavefront sensing based on multi-aperture
458 phase reconstruction technique, *J. Opt. Soc. Am. A.* 29, 1707 (2012).
459 <https://doi.org/10.1364/JOSAA.29.001707>
- 460 17. Shortt K, Giggenbach D, Calvo RM, et al., Channel characterization for air-to-ground free-space optical
461 communication links, *Proc. SPIE* 8971(897108), 1 (2014). <https://doi.org/10.1117/12.2039834>
- 462 18. Hardy JW, in *Adaptive Optics for Astronomical Telescopes*, edited by A. Hasegawa, (Oxford Univ.
463 Press, New York, 1998).
- 464 19. Roddier F, *Adaptive Optics in Astronomy*, Cambridge University Press, (2009).
465 <https://doi.org/10.1017/CBO9780511525179>
- 466 20. Hampson KM, Žurauskas M, Barbotinand A, et al., Practical Implementation of Adaptive Optical Mi-
467 croscopes, Zenodo, (2020).
- 468 21. Takeda M and Ina H, Topometry and interferometry by use of a FFT algorithm for fringe pattern anal-
469 ysis, *Japanese journal of optics* 10, 476 (1981). <https://doi.org/10.11438/kogaku1972.10.476>
- 470 22. Kim J, Fernandez B, and Agrawal B, Iterative wavefront reconstruction for strong turbulence using
471 Shack–Hartmann wavefront sensor measurements, *J. Opt. Soc. Am. A* 38, 456 (2021).
472 <https://doi.org/10.1364/JOSAA.413934>
- 473 23. Lamb MP, Correia C, Sauvage JF, et al., Quantifying telescope phase discontinuities external to adap-
474 tive optics systems by use of phase diversity and focal plane sharpening, *J. Astron. Telesc. Instrum.*
475 *Syst.* 3(039001), 1 (2017). <https://doi.org/10.1117/1.JATIS.3.3.039001>
- 476 24. Sawaf F and Groves RM, Phase discontinuity predictions using a machine-learning trained kernel,
477 *Appl. Opt.* 53, 5439 (2014). <https://doi.org/10.1364/AO.53.005439>
- 478 25. Nyquist H, Certain Topics in Telegraph Transmission Theory, *Trans. AIEE.* 47, 617 (1928).
479 <https://doi.org/10.1109/5.989875>
- 480 26. Noll RJ, Zernike polynomials and atmospheric turbulence, *J. Opt. Soc. Am.* 66, 207 (1976).
481 <https://doi.org/10.1364/JOSA.66.000207>
- 482 27. Osborn J, Townson MJ, Farley OJD et al., Adaptive Optics pre-compensated laser uplink to LEO and
483 GEO, *Opt. Express* 29, 6113 (2021). <https://doi.org/10.1364/OE.413013>

- 484 28. Rhoadarmer TA, Development of a self-referencing interferometer wavefront sensor, Proc. SPIE 5553,
485 Advanced Wavefront Control: Methods, Devices, and Applications II, (2004).
486 <https://doi.org/10.1117/12.559916>
- 487 29. He Y, Bao M, Chen Y, et al., Accuracy characterization of Shack–Hartmann sensor with residual error
488 removal in spherical wavefront calibration, Light: Adv. Manuf. 31, 1 (2023).
489 <https://doi.org/10.37188/lam.2023.036>
- 490 30. Tyson RK, Frazier BW, Field guide to adaptive optics, second edition, SPIE Press, (2012).
491 <https://doi.org/10.1117/3.923078>
- 492 31. James D, Quantization errors in the fast Fourier transform, IEEE Trans. Acoust. 3, 277 (1975).
493 <https://doi.org/10.1109/TASSP.1975.1162687>
- 494 32. Chang WH and Nguyen TQ, On the Fixed-Point Accuracy Analysis of FFT Algorithms, IEEE Trans. Signal
495 Process. 56, 4673 (2008). <https://doi.org/10.1109/TSP.2008.924637>
- 496 33. Ma Y, An accurate error analysis model for fast Fourier transform, IEEE Trans. Signal Process. 45, 1641
497 (1997). <https://doi.org/10.1109/78.600005>
- 498 34. Paine SW and Fienup JR, Machine learning for improved image-based wavefront sensing, Opt. Lett.
499 43, 1235 (2018). <https://doi.org/10.1364/OL.43.001235>
- 500 35. Guo YM, Zhong LB, Min L, et al., Adaptive optics based on machine learning: a review. Opto-Electron
501 Adv 5, 200082 (2022). <https://doi.org/10.29026/oea.2022.200082>
- 502 36. Fu H, Wan Z, Li Y et al., Experimental demonstration of deep-learning-enabled adaptive optics, Phys.
503 Rev. Applied 22, 034047 (2024). <http://dx.doi.org/10.1103/PhysRevApplied.22.034047>
- 504 37. Xu Z, Yang P, Hu K, et al., Deep learning control model for adaptive optics systems, Appl. Opt. 58,
505 1998 (2019). <https://doi.org/10.1364/AO.58.001998>
- 506 38. Tyson RK, Principles of adaptive optics, Academic Press, (1991). <https://doi.org/10.1016/B978-0-12-705900-6.X5001-0>
- 507
- 508 39. Niu K and Tian C, Zernike Polynomials and their applications, J. Opt. 24(123001), 1 (2022).
509 <https://doi.org/10.1088/2040-8986/ac9e08>
- 510 40. Lakshminarayanan V and Fleck A, Zernike polynomials: A guide, J. Mod. Opt. 58, 545 (2011).
511 <https://doi.org/10.1080/09500340.2011.554896>



Citation on deposit: Holzman, J., MacGillivray, A., Hristovski, I., Mata Calvo, R., Jenne, M., & Reeves, A. (online). Design Considerations for Wavefront Sensing with Self-referencing Interferometers in Adaptive Optics Systems. Journal of the European Optical Society-Rapid

Publications, <https://doi.org/10.1051/jeos/2024045>

For final citation and metadata, visit Durham Research Online URL:

<https://durham-repository.worktribe.com/output/3101679>

Copyright statement: This accepted manuscript is licensed under the Creative Commons Attribution 4.0 licence.

<https://creativecommons.org/licenses/by/4.0/>