

1 **Development of an open-source tool for risk assessment in pulmonary endarterectomy**

2 **Short title:** A novel risk assessment tool for PEA

3 **Authors:**

4 James Liley PhD*¹, Katherine Bunclark MBChB*², Michael Newnham PhD³, John Cannon
5 PhD², Karen Sheares PhD², Dolores Taboada MD², Choo Ng FRCS², Nicholas Screatton
6 FRCR², David Jenkins FRCS², Joanna Pepke-Zaba PhD², Mark Toshner MD ^{2,4}

7 *joint first authors

8

9 **Affiliations:**

10 ¹ Durham Biostatistics Unit, Durham University, UK

11 ² Pulmonary Vascular Diseases Unit, Royal Papworth Hospital, Cambridge, UK

12 ³ Institute of Applied Health Research, University of Birmingham, UK

13 ⁴ VPD Heart & Lung Institute, University of Cambridge, UK

14

15 **Corresponding author:**

16 Dr Katherine Bunclark

17 Pulmonary Vascular Diseases Unit, Royal Papworth Hospital, Cambridge Biomedical Campus

18 CB2 0AY

19 **Email:** katherine.bunclark@nhs.net **Tel:** +44 (0)1223 639697

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23

24 **Abstract**

25 **Background**

26 Risk prediction tools are routinely utilised in cardiothoracic surgery but have not been
27 developed for pulmonary endarterectomy (PEA). There is no data on whether patients
28 undergoing PEA may benefit from a tailored risk modelling approach. We develop and validate
29 a clinically-usable tool to predict PEA 90-day mortality (90DM) with the secondary aim of
30 informing factors that may influence five-year mortality (5YM) and improvement in patient-
31 reported outcomes (PROchange) using common clinical assessment parameters. Derived
32 model predictions were compared to those of the currently most widely implemented
33 cardiothoracic surgery risk tool, EuroSCORE II.

34 **Methods**

35 Consecutive patients undergoing PEA for chronic thromboembolic pulmonary hypertension
36 (CTEPH) between 2007 and 2018 (n = 1334) were included in a discovery dataset. Outcome
37 predictors included an intentionally broad array of variables, incorporating demographic,
38 functional and physiological measures. Three statistical models (linear regression, penalised
39 linear regression and random forest) were considered per outcome, each calibrated, fitted and
40 assessed using cross-validation, ensuring internal consistency. The best predictive models were
41 incorporated into an open-source PEA risk tool and validated using a separate prospective PEA
42 cohort from 2019 to 2021 (n = 443) at the same institution.

43 **Results**

44 Random forest models had the greatest predictive accuracy for all three outcomes. Novel risk
45 models had excellent discriminatory ability for outcome 90DM (AUROC 0.82) outperforming
46 that of EuroSCORE II (AUROC 0.65). CTEPH related factors were important for outcome
47 90DM but 5YM was driven by non-CTEPH factors, dominated by generic cardiovascular risk.
48 We were unable to accurately predict a positive improvement in PRO status (AUROC 0.47).

49 **Conclusions**

50 Operative mortality from PEA can be predicted pre-operatively to a potentially clinically
51 useful degree. Our validated models enable individualised risk stratification at clinician
52 point-of-care to better inform shared decision making.

53

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55 **Introduction**

56 Chronic Thromboembolic Pulmonary Hypertension (CTEPH) is an infrequent but important
57 complication of acute pulmonary embolism which, if left untreated, results in progressive right
58 ventricular failure and death [1]. Pulmonary Endarterectomy (PEA) is potentially curative and
59 is the guideline recommended treatment in those with surgically accessible disease [2 – 5].

60 Prognostic outcomes from PEA continue to improve, reflective of evolving procedural
61 experience and surgical expertise. Contemporaneous data indicates in-hospital mortality rates
62 are now as low as < 5% with one-year survival > 90% in high-volume units [4, 5]. Nonetheless,
63 there remains an unavoidable operative risk associated with PEA, even in the best centres. In-
64 light-of emerging non-surgical treatment options in CTEPH, such as Balloon Pulmonary
65 Angioplasty, the ability to identify those at greatest operative risk has the potential to inform
66 both patient selection and choice. Whilst risk stratification tools have been in use routinely in
67 cardiothoracic surgery for some time, with the most widely adopted system, European System
68 for Cardiac Operative Risk Evaluation II (EuroSCORE II, [6]) validated for predicting
69 inpatient mortality across a number of major cardiothoracic procedures [7, 8], none have been
70 tested and validated for use in PEA.

71

72 Whilst PEA carries with it generic operative risks of any major cardiac surgical procedure, it
73 is also unique in its effects on the pulmonary vasculature. With this in mind, several authors
74 have attempted to identify particular factors specific to PEA that predict mortality outcomes.
75 Identified pre-operative independent predictors have included: New York Heart Association
76 (NYHA) functional class [9, 10], mean pulmonary artery pressure [11], pulmonary vascular
77 resistance [9 - 12] and age [11]. Whilst these studies have provided useful information on post-
78 operative mortality risk across PEA cohorts there remains limited data to define risk at the level
79 of the individual.

80 Though operative mortality is a reliable and clinically important outcome following PEA, those
81 outcomes deemed meaningful to patients often extend beyond traditional measures such as
82 survival [13]. Whilst there has been an increasing trend in assessing Patient-Reported
83 Outcomes (PROs) in cardiothoracic surgery [14] and PEA [15], whether post-operative PROs
84 can be predicted with any degree of accuracy prior to PEA has yet to be explored.
85 Conceptually, the ability to produce valid and reliable predictor models of post-operative PROs
86 has however been proven in other surgeries [16].

87

88 Given the aforementioned, our primary aim was to develop and validate a multivariate risk tool
89 specific to PEA for the prediction of operative mortality and compare its predictive ability to
90 the currently best available risk scoring system in cardiothoracic surgery, EuroSCORE II. As
91 secondary aims, we harness our modelling approach and extensive dataset to inform, for the
92 first time, factors which influence long-term outcomes following PEA, including those deemed
93 important to the patient.

94

95 **Methods**

96 *Patient selection*

97 Consecutive individuals undergoing PEA for CTEPH at the United Kingdom National PEA
98 unit (Royal Papworth Hospital) from August 2007 – December 2018 were included in a
99 discovery cohort. Patient selection is summarised in Figure 1. CTEPH was confirmed as per
100 current international diagnostic criteria at the time of invasively derived pulmonary
101 haemodynamics (unless otherwise contraindicated) using multi-modal imaging [2, 17, 18].
102 Eligibility for PEA was assessed by an experienced multi-disciplinary team comprised of
103 Pulmonary Hypertension (PH) physicians, CTEPH radiologists and PEA surgeons. PEA
104 technique was as previously described [19]. All patients were reviewed by one of the 7 United

105 Kingdom adult specialist PH centres prior to PEA referral. Following surgery all patients were
106 reviewed at 3 to 6 months post-operatively at Royal Papworth Hospital and for at least 5 years
107 by referring specialist PH centre. This study was approved by Royal Papworth Hospital
108 research governance committee (project reference S02560).

109

110 *Predictor variables*

111 Predictor variables were derived from prospectively entered data stored on local electronic
112 clinical systems. An intentionally broad array of predictors were included for the purposes of
113 hypothesis-free driven modelling, a comprehensive list of which are detailed in the Table S1.
114 Predictor variables incorporated demographic information, relevant co-morbid conditions,
115 current medications (cardiovascular medications, pulmonary vasodilators and anticoagulants)
116 and genetic risk scores for common diseases (atrial fibrillation, type 2 diabetes, coronary artery
117 disease [20]). Results of routine investigations from diagnostic baseline (within 6-months pre-
118 PEA) and at 3 to 6-month post-PEA review were included, comprised of; echocardiographic
119 and pulmonary function measures, right heart catheter derived pulmonary haemodynamics,
120 six-minute walk distance (6MWD), blood tests performed as part of standard clinical care, and
121 NYHA functional class (see Table S1 for full details). PROs were assessed at pre- and post-
122 PEA time-points using the Cambridge Pulmonary Hypertension Outcome Review
123 (CAMPHOR) which comprises of three negatively weighted scales measuring symptoms,
124 activity levels and quality of life [21]. Symptom and Quality of Life scales are both scored out
125 of 25 and Activities out of 30. Intra-operative variables including concomitant surgical
126 procedures, cardiopulmonary arrest and deep hypothermic circulatory arrest time, peri-
127 operative complications and length of hospital stay were also recorded.

128

129 For the purposes of risk modelling, pre-operative variables were divided into three predictor
130 sets; NONINV, PREOP and EUROSCORE. Although not part of our risk modelling, two post-
131 operative variable sets, DISCHARGE and ALL, were included in analysis for completion.

132

133 Pre-operative variable set NONINV included results of anthropometric assessment, co-
134 morbid conditions and non-invasive investigation results prior to PEA whilst variable set
135 PREOP, included all variables in NONINV plus pre-operative invasive pulmonary
136 haemodynamics. The third pre-operative predictor set EUROSCORE comprised the output of
137 EuroSCORE II risk prediction assessment from our dataset variables. The post-operative
138 variable dataset DISCHARGE included all PREOP variables plus intra-operative and post-
139 operative variables available at the time of PEA hospital discharge whilst variable set ALL
140 contained all variables in DISCHARGE plus non-invasive and invasive investigation results
141 from first post-operative follow-up within one year of PEA.

142

143 *Outcome measures*

144 Three outcome measures were considered in model development: 90-day post-PEA mortality
145 (90DM), 5-year mortality (5YM) and CAMPHOR total score change following surgery
146 (PROchange). Individuals who died before follow-up were excluded from the analysis of
147 PROchange. Death for the discovery cohort was censored at 30th June 2022.

148

149 *Statistical analysis*

150 A detailed description of the statistical approach is detailed in the Supplementary Materials.
151 Analysis and risk modelling was performed in R version 4.1.2 [22]. Missingness for each
152 variable was assessed with unknown values imputed as the mean of the predictor value across
153 those for whom it was observed. Univariate associations between each variable and outcome

154 were performed using; t-tests (90DM), Cox Proportional Hazard models (5YM) and Pearson
155 correlation (PROchange). Multivariate analysis considered three models (generalised linear,
156 lasso regression and random forests) for each of the 15 predictor set/outcome combinations
157 (NONIV/PREOP/DISCHARGE/ALL/EUROSCORE x 90DM/5YM/PROchange), using
158 random survival forests [23] to predict survival times (outcome 5YM). The generalised linear
159 model differed dependent on outcome assessed; logistic regression for 90DM (binary measure),
160 Cox Proportional Hazards for 5YM (survival-time) and linear regression for outcome
161 PROchange (integer measure). Models were compared according to Area Under the Receiver-
162 Operator Characteristic (AUROC) curve for 90DM, concordance for 5YM and Spearman
163 correlation for PROchange, averaged over cross-validation folds. Standard errors and 95%
164 confidence intervals were estimated asymptotically for 90DM and empirically using bootstrap
165 samples for 5YM and PROchange. For each ROC curve, we established the threshold which
166 optimised the Youden index (that is, the predictor which maximised the sum of specificity and
167 sensitivity) and reported the sensitivity, specificity and overall accuracy as this threshold.

168

169 *Model validation*

170 Internal validation of our predictive model for 90DM was performed using a separate
171 prospective cohort of consecutive PEA patients at the same institution between 2019 and 2021
172 (n = 443). Validation cohort inclusion criteria was as for the discovery dataset (Figure 1).
173 Sample size calculations estimated a minimum of 145 individuals to be required in the
174 validation cohort to achieve 90% power to reject the null hypothesis that our predictive model
175 for 90DM performed no better than randomly at 5% significance; derived from simulation
176 using a pseudo-replication cohort of n individuals (with replacement) from the discovery
177 cohort. Variables included in validation modelling were a subset of those ascertained from
178 discovery risk models. Death date was censored at 19th July 2024.

179

180 **Results**

181 *Discovery cohort characteristics*

182 A total of 1334 eligible individuals underwent PEA during the study period. There were 93
183 deaths within 90 days of PEA, 46% of whom died before post-PEA hospital discharge. There
184 were a further 103 deaths within 5-years of PEA. Cohort survival at 1, 3 and 5-years was 91.6%,
185 84.6%, 73.7% respectively. There were 966 individuals with paired pre- and post-PEA
186 CAMPHOR scores. Of the 279 patients with pre-operative but not post-operative CAMPHOR
187 scores, 84 individuals were confirmed to have died before first post-operative follow-up and
188 195 had no follow-up data for other reasons.

189

190 Discovery cohort characteristics are summarised in Table 1. Median \pm IQR age at PEA was 61
191 \pm 21 years and 54% were male. Prior to PEA, mean PAP was 45 ± 15 mmHg, PVR 674 ± 484
192 dynes and cardiac index 2.1 ± 0.8 l/min/m². 86% of individuals were in NYHA class III or IV
193 at pre-operative baseline and 6MWD was 309 ± 206 m. PEA resulted in significant
194 improvements in haemodynamic, functional and PRO measures ($p \leq 0.01$, all; Table 1).

195

196 *EuroSCORE predictive modelling*

197 The EuroSCORE II inpatient mortality risk model was evaluated for its ability to predict
198 outcomes 90DM, 5YM and PROchange using the predictor variable set EUROSCORE.
199 Random forest models were the most accurate predictor models for all three outcome measures.
200 For 90DM AUROC was 0.65 (95% CI 0.59, 0.71; Figure 2A). Although not validated for post-
201 surgical long-term survival or PRO improvement, EUROSCORE concordance for 5YM
202 (AUROC 0.67 [95% CI 0.63, 0.70]) and positive PROchange (AUROC 0.50 [95% CI 0.46,

203 0.54]) were similar or worse to that for 90DM. Sensitivity, specificity and overall accuracy of
204 models are reported in Table S5.

205

206 *Early post-operative mortality (90DM)*

207 On univariate analysis the strongest associations between pre-operative variables and 90DM
208 were for 6MWD ($p = 3.7 \times 10^{-8}$), age at PEA ($p = 5.1 \times 10^{-6}$), CAMPHOR Activity score ($p =$
209 6.2×10^{-4}) and NYHA functional class ($p = 9.8 \times 10^{-4}$; Table S6). AUROC values were derived
210 for each predictor set (NONINV, PREOP, DISCHARGE) against outcome 90DM using
211 general linear regression, lasso regression and random forest models. The strongest predictor
212 model was random forest for all three predictor sets (Tables S2 – S4). Random forest prediction
213 accuracies for 90DM were higher for all three novel predictor sets (NONINV, PREOP,
214 DISCHARGE compared to EUROSCORE ($p < 0.001$). When comparing random forest
215 predictions of 90DM across variable sets, predictions were strongest for DISCHARGE
216 (AUROC 0.87 [95% CI 0.83, 0.91]) although pre-operatively NONINV (AUROC 0.82 [95%
217 CI 0.78, 0.86]) and PREOP (AUROC 0.81 [95% CI 0.76, 0.85]) still predicted 90DM with a
218 good degree of accuracy (Table 2, Figure 2B). Pre-operatively, predictor set PREOP had the
219 highest total accuracy at 86% (sensitivity 60%, specificity 88%; Table S5) for 90DM. Age at
220 PEA, 6MWD, cardiac output, cardiac index, and PVR were pre-operative variables of highest
221 importance from predictor set PREOP (Table 3).

222

223 *Long-term mortality (5YM)*

224 The strongest univariate associations between pre-operative variables and 5YM were; age at
225 PEA ($p = 5.0 \times 10^{-14}$), 6MWD ($p = 1.9 \times 10^{-12}$), , CAMPHOR Activity score ($p = 5.5 \times 10^{-8}$)
226 and NYHA class ($p = 4.6 \times 10^{-7}$; Table S6). Predictive accuracy for 5YM was greatest using
227 random forest modelling although concordances were slightly lower than that achieved for

228 outcome 90DM (Tables 1, S2 and S3). The strongest predictor set for 5YM was ALL
229 (concordance 0.85 (95% CI 0.84, 0.87) but variable sets derived from pre-operative variables
230 were still able to predict 5YM with relative accuracy: NONIV: AUROC 0.75 (95% CI 0.73,
231 0.78), PREOP: AUROC 0.74 (95% CI 0.72, 0.77; Table 2, Figure 2C, Table S3, Table S5).
232 Age at PEA and 6MWD were variables of high importance in 5YM random forests, as for
233 90DM, alongside cardiovascular risk factors such as a history of tobacco smoking or ischaemic
234 heart disease and left atrial dilatation (Table 3).

235

236 *Change in CAMPHOR score (PROchange)*

237 The strongest univariate associations between individual pre-operative variables and
238 PROchange (other than baseline CAMPHOR score) were right ventricular ejection fraction (p
239 = 3.1×10^{-7}), right ventricular dilatation ($p = 3.8 \times 10^{-5}$), tricuspid annular plane systolic
240 excursion (TAPSE; $p = 1.4 \times 10^{-4}$) and PVR ($p = 2.4 \times 10^{-4}$; Table S6). PROchange was
241 predicted moderately accurately from predictor sets (Table 2, Table S4). Random forest
242 Spearman rank correlations between predicted and observed outcomes averaged over cross-
243 validation folds were; NONINV 0.47 (95% CI 0.44, 0.50), PREOP 0.46 (95% CI 0.43, 0.49),
244 DISCHARGE 0.48 (95% CI 0.46, 0.51) and ALL 0.61 (95% CI 0.59, 0.63; Table 2; Figure
245 2D, Table 2, Table S4, Table S5). By far the biggest contribution to outcome PROchange from
246 pre-operative variables as assessed by variable importance was baseline CAMPHOR score
247 (Table 3).

248

249 *PEA risk model validation*

250 The validation cohort comprised of a total of 443 prospective CTEPH PEA cases between 2019
251 and 2021. Cohort characteristics were similar to the discovery dataset (Table S7). There were
252 19 deaths within 90 days of PEA and 66 deaths by censoring date. Our derived risk model had

253 reasonable discriminatory ability for 90DM using the validation dataset 90DM (AUROC 0.71;
254 [95% CI 0.57, 0.84]) and significantly different to random ($p = 0.004$). For 5YM,
255 discrimination was moderate (AUROC 0.65; [95% CI 0.59, 0.71]). Predictors for both 90DM
256 and 5YM were significantly better-than-random ($p < 0.005$ in both cases).

257

258 *Implementation*

259 Pre-determined variables of importance were incorporated into open-source risk tool for
260 predicting early mortality and long-term survival. Inputting variables produces a Kaplan-Meier
261 curve for post-PEA survival as depicted in Figure 3A enabling the visual representation of
262 average cohort survival (black solid line) against that of the best/worse 20% of the cohort (black
263 dotted line) and that specific to the patient of interest (red line).

264 The visual output from the prediction of change in PRO score is represented by the worked
265 example in Figure 3B. Violin plots show the distribution of CAMPHOR at pre-operative
266 baseline and 6-months post-PEA whilst grey lines show CAMPHOR score changes with
267 surgery for the PEA population. The red line depicts the projected total CAMPHOR score
268 change for the individual in question.

269

270 **Discussion**

271 The prognostic, haemodynamic and functional benefits of PEA in CTEPH are well-described
272 [3 – 5], yet there is an unavoidable risk associated with PEA. Limited data exist as to factors
273 affecting PEA outcome and the ability to ascribe risk at the level of the individual remains
274 subjective, based on the clinical experience of the PEA surgeon and/or CTEPH MDT. In this
275 largest evaluation of a PEA cohort (total $n = 1509$) to-date, we identify important and novel

276 risk factors for PEA morbidity and mortality using a random forest modelling approach and
277 incorporate these variables into a prospectively validated open-source risk tool.

278

279 Although tools exist that can predict operative risk in cardiac surgery with a high degree of
280 accuracy, none have been tested in PEA. We provide the first evaluation of the most widely-
281 implemented cardiac surgery risk tool, EuroSCORE II in a PEA cohort. Whilst EuroSCORE
282 II is validated for in-hospital mortality, 91% of our deaths within 90 days of PEA occurred
283 before hospital discharge. EuroSCORE II predictions of post-PEA 90-day mortality were
284 modest (90DM AUROC 0.65 [95% CI 0.59, 0.71]), suggesting additional factors outside of
285 EuroSCORE variables may be implicated in PEA outcomes.

286

287 In our novel risk modelling, 90-day PEA mortality random forest predictions were more
288 accurate than those from linear or linear penalised models and were significantly more accurate
289 than predictions from EuroSCORE II ($p < 0.001$). A high degree of concordance was seen for
290 pre-operative random forest predictor sets utilising both non-invasive (NONINV AUROC 0.82
291 (0.78, 0.86) and haemodynamic measures (PREOP AUROC 0.81 (0.76, 0.85)) in predicting
292 90-day mortality. Sensitivity and specificity was highest for the predictor set PREOP (total
293 accuracy 86%).

294

295 Conventionally, higher pre-operative PVR has been considered the strongest predictor of post-
296 PEA mortality. [9 - 12]. In our PREOP predictor set, haemodynamic measures of CTEPH
297 severity also predominated, although a greater influence was seen from cardiac index than PVR
298 (Table 3). The most important variables for 90-day mortality, however, for both NINV and
299 PREOP variable sets were age and 6MWD. Historically, diffusion capacity for carbon
300 monoxide (TLCO) has also been deemed an important predictor of CTEPH outcomes but did

301 not feature within our top 10 variables of importance for operative mortality. This may reflect
302 selection bias in that those with low TLCO are generally excluded from surgery.

303

304 As a secondary aim, our modelling approach was also utilised to establish whether, and which,
305 pre-operative factors may influence those PEA outcomes also deemed important to patients;
306 long-term survival and health-related quality of life (PROs). For 5-year survival random forest
307 models were more accurate than linear or linear penalised models and able to predict long-term
308 mortality with excellent discriminative ability. Concordance was similar for models
309 incorporating non-invasive variables (NONIV AUROC 0.81 (0.78 - 0.84)) vs. haemodynamic
310 measures (PREOP AUROC 0.81 (0.77 - 0.82)). Unlike, 90-day mortality however, where
311 measures of CTEPH severity directly influenced outcome, long-term survival following PEA
312 was largely governed by non-CTEPH factors, driven by generic cardiovascular risk (Table 3).
313 This reflects prior findings of Cannon et al 2016 [5] from our own cohort, where the most
314 common causes of death outside of the immediate post-PEA period were non-CTEPH related,
315 namely pneumonia and malignancy.

316

317 Left atrial area was an unexpected variable of importance in both early and late PEA mortality.
318 Left atrial volume index has previously been shown to be an independent predictor of PEA
319 mortality when cardiac magnetic resonance data is taken in isolation [24] but this is the first
320 demonstration of its importance in combination with a broad array of clinical, functional and
321 haemodynamic variables. Left atrial size is unlikely a surrogate of ventricular systolic
322 dysfunction given that severe left ventricular dysfunction is a contraindication to PEA surgery
323 and is not solely associated with atrial fibrillation. We hypothesise that left atrial dilatation may
324 represent underlying left ventricular diastolic dysfunction, which has consistently been shown

325 to adversely affect outcomes in cardiac surgery [25] and is an independent predictor of survival
326 post-PEA [26]. This however requires further study for confirmation.

327

328 We aim our mortality models to be used as a point-of-care tool in the clinical setting to better
329 inform patients of their likely operative risk and inform patient choice. We acknowledge that
330 our tool requires external validation for clinical implementation and actively encourage others
331 centres in the validation of our tool. We provide an online implementation of our risk model at
332 https://ajl-apps.shinyapps.io/pea_risk/

333

334 Our modelling was not able to predict health-related quality of life outcomes following PEA
335 with accuracy. Newnham *et al* 2020 [15], have previously reported weak correlations between
336 PRO score change and both haemodynamics and NYHA functional class following PEA in our
337 own cohort. Crucially, our study reinforces the notion that observed improvements in objective
338 measures of CTEPH, such as haemodynamics and functional class with PEA surgery, may not
339 readily translate into those improvements deemed important to patients. Predicting patient-
340 perceived improvement following PEA is therefore nuanced and it is important to counsel
341 patients on this prior to deciding upon surgery.

342

343 Internal consistency and performance of our models was carefully assessed, with separate
344 datasets for training and testing (through cross-validation). Furthermore, the best model type
345 for each variable set/outcome pair was determined in a separate procedure to evaluate its
346 performance, so our assertions should not be affected by regression to the mean. Our dataset
347 has many missing values, and we expect that similar rates of missingness will be present in
348 patients whose risks we wish to predict in clinic. Since the main aim of our current work is
349 prediction of risk rather than accurately estimating effects of risk factors, we opt to use a simple

350 mean-value imputation method [27]. For new patients, missing values of a predictor should be
351 replaced by the mean value of that predictor in our training dataset. Like all risk models our
352 model will be subject to calibration drift as patient population and surgical expertise evolves.
353 Although fairly complex, random forests have the advantage that predictor importance can be
354 straightforwardly assessed, and that the overall model architecture resembles a voting majority
355 of a mixture of experts, as is routine in general medical decision making. We chose not to
356 consider further machine learning methods for prediction given our limited training data and
357 capacity to optimise hyperparameters.

358

359 A critical mode in CTEPH diagnosis and workup for PEA is radiological imaging [2], which
360 is not included in our risk models. The reason for this is partly pragmatic: there is no currently
361 accepted grading for CTEPH, using any imaging modality, which would facilitate inclusion of
362 imaging data as a predictor, and image-mining approaches are beyond the scope of this work.
363 Since all patients in our database were, by definition, assessed as technically suitable and
364 medically fit for PEA, we are not in a position to design a predictor tool for use in determining
365 operability.

366

367 **Conclusion**

368 PEA mortality can be predicted pre-operatively to a potentially clinically useful degree and is
369 driven by CTEPH factors in the early post-operative period, and non-CTEPH factors in the
370 long-term. Our validated models enable individualised risk stratification at clinician point-of-
371 care to better inform shared decision making between the clinical team and patient.

372

373 **Code and data availability**

374 Our full analysis pipeline is publicly available online at

375 https://github.com/jamesliley/PEA_risk.

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400

401

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497

Tables and Figures

Table 1: Patient demographics and characteristics pre- and post-pulmonary endarterectomy

	Pre-PEA		Post-PEA		p-value
	n	Value	n	Value	
Total n	1334		1241		
Age at PEA, yrs	1333	61 ± 21			
Male sex, n (%)	1333	715 (54)			
BMI, kg/m²	953	29 ± 8			
FEV₁/FVC, %	540	72 ± 13			
Smoker*, n (%)	953	495 (52)			
Comorbidities, n (%)					
Atrial arrhythmia	1286	120 (9)			
Systemic hypertension	1286	342 (27)			
Diabetes Mellitis	1286	142 (11)			
Chronic renal disease	1122	49 (4)			
Ischaemic heart disease [†]	1309	159 (12)			
History of malignancy	1283	123 (10)			
Thrombophilia	1334	90 (7)			
Thyroid dysfunction	1286	116 (9)			
Pulmonary vasodilator, n (%)	1119	314 (28)			
Haemodynamics					
Mean PAP, mmHg	1266	45 ± 15	1068	25 ± 13	<0.001
PVR, dynes cm s ⁻⁵	1208	674 ± 484	1041	244 ± 210	<0.001
PAWP, mmHg	1055	11 ± 5	1042	10 ± 5	0.0087
CI, l/min/m ²	1138	2.1 ± 0.8	1025	2.3 ± 0.7	<0.001
Functional status					

NYHA, 1/2/3/4 %	1220	0/14/75/11	998	29/44/26/1	<0.001
6MWD [‡] , metres	802	309 ± 206	993	365 ± 163	<0.001
CAMPHOR					
Symptoms	1245	12 ± 11	990	4 ± 9	<0.001
Activity	1245	11 ± 10	990	6 ± 10	<0.001
Quality of Life	1245	10 ± 12	990	4 ± 11	<0.001
Intra-operative					
CPB time, mins	986	321 ± 67			
DHCA time, mins	843	37 ± 15			
Other surgery, n (%)					
CABG	1332	99 (7)			
AVR	1332	15 (1)			
MVR	1332	12 (1)			
ASD/PFO closure	1331	5 (0)			
Complications, n (%)					
CPAP	1193	305 (26)			
Haemofiltration	1127	65 (6)			
ECMO	1265	75 (6)			
Pneumonia	1187	175 (15)			
Return to theatre	1191	85 (7)			
Reperfusion injury	872	66 (8)			
Intubation, days	1231	3087 (251)			
ICU stay, days	955	4 ± 3			
Total inpatient stay, days	985	13 ± 9			
Inpatient death, n (%)	1094	43 (4)			

Values are expressed as median ± IQR. Percentages may not add to 100 due to rounding. Variables taken at time of diagnostic right heart catheterisation.

PEA, pulmonary endarterectomy; BMI, body mass index; PAP, pulmonary artery pressure; PAWP, pulmonary arterial wedge pressure; PVR, pulmonary vascular resistance; CI, cardiac index; NYHA,

New York Heart Association functional class; 6MWD, 6-minute walk distance; CPB, cardiopulmonary bypass; DHCA, deep hypothermic circulatory arrest.

* At any time point prior to PEA

† History of myocardial infarction, coronary artery stenting, coronary artery bypass grafting or coronary artery lesion/s requiring intervention following routine pre-PEA angiography in those > 40 years

‡ Patients referred from Sheffield Pulmonary Vascular Diseases Unit were excluded as incremental shuttle walk test and not 6MWD performed pre-PEA

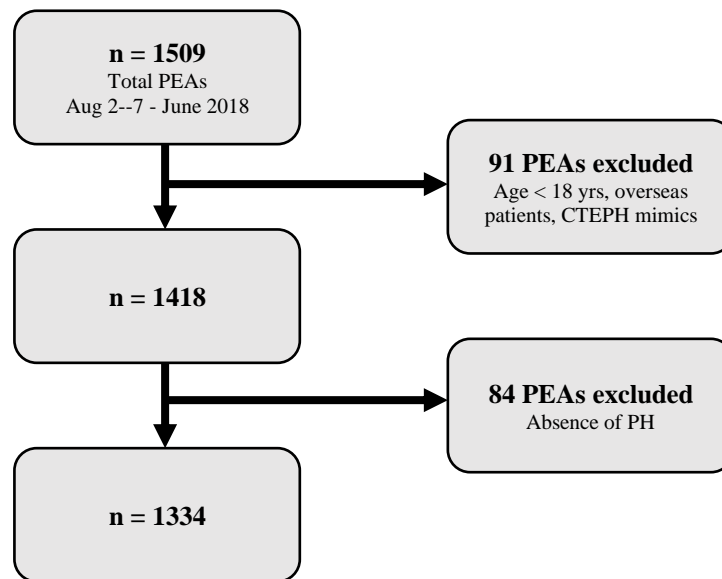
Table 2: Random forest AUROC (95% Confidence Intervals) for predictor sets against outcomes: 90-day mortality (90DM), 5-year mortality (5YM) change in CAMPHOR score (PROchange)

	90DM	5YM	PROchange
EUROSCORE	0.65 (0.59 - 0.71)	0.67 (0.63 - 0.70)	0.50 (0.46 - 0.54)
NONINV	0.82 (0.78 - 0.86)	0.75 (0.73 - 0.78)	0.47 (0.44 - 0.50)
PREOP	0.81 (0.76 - 0.85)	0.74 (0.72 - 0.77)	0.46 (0.43 - 0.49)
DISCHARGE	0.87 (0.83 - 0.91)	0.78 (0.76 - 0.80)	0.48 (0.46 - 0.51)
ALL	NA	0.85 (0.84 - 0.87)	0.61 (0.59 - 0.63)

Table 3: Top 5 variables of importance on random forest modelling from NONINV and PREOP predictor sets for each outcome measure

	90DM	5YM	PROchange
NONIV	Age	Age	CAMPHOR Symptoms
	6MWD	Left atrial dilatation	CAMPHOR QoL
	CAMPHOR QoL	Current or ex-smoker	CAMPHOR Activity
	CAMPHOR Activity	6MWD	Age
	CAMPHOR Symptoms	PMHx ischaemic heart disease	Body Mass Index
PREOP	Age	Age	CAMPHOR Symptoms
	6MWD	Left atrial dilatation	CAMPHOR QoL
	Cardiac output	Current or ex-smoker	CAMPHOR Activity
	Cardiac index	6MWD	PVR
	PVR	PMHx ischaemic heart disease	Cardiac output

Figure 1: Consort flowchart for inclusion in PEA dataset



Overseas patients excluded due to paucity of longitudinal post-operative UK follow-up.

PEA, Pulmonary Endarterectomy; CTEPH, chronic thromboembolic pulmonary hypertension; PH, pulmonary hypertension.

CTEPH mimics include pulmonary sarcoma and vasculitis

Figure 2: ROC curves for: **A** – EUROSCORE predictor set against outcome 90DM; **B** – novel predictor sets against outcome 90DM; **C** – novel predictor sets against outcome 5YM; **D** – novel predictor sets against outcome PROchange.

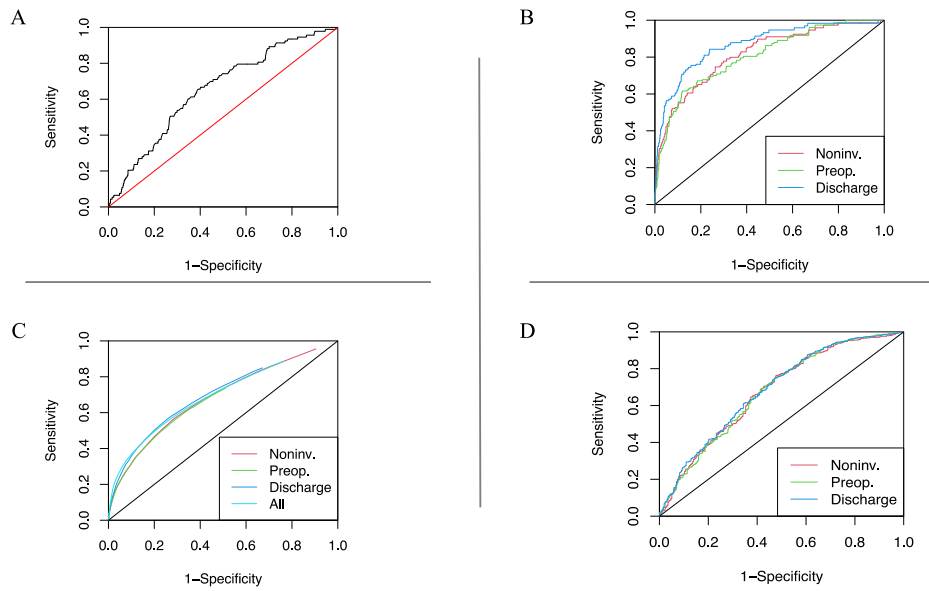


Figure: 3A Example of Kaplan-Meier survival curve output from derived online PEA risk prediction tool. **3B** Example of output for prediction of CAMPHOR score change following PEA from online risk prediction tool.

