Geomorphology

Plio-Pleistocene drainage reorganization in the middle Yellow River of China, revealed by provenance and paleocurrent analysis of fluvial sediments

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Abstract:	Continental-scale drainage reorganization is generally understood to result from landform evolution forced by the coupling between tectonic activity and climate change, attracting worldwide attention. Planation surfaces and river terraces constit the most direct geomorphological archives for integrating the history of river system and for reconstructing processes of drainage reorganization based on provenance analysis of the fluvial sediments. The Middle Yellow River has incised into the Ordo Block, which was previously levelled by a planation surface, creating the Jinshaan Gorge and linking the Hetao Basin to the north and the Fenwei Basin to the south. Multiple lines of evidence, from terraces correlation, sedimentary characteristics, at fluvial provenance, suggest that the Middle Yellow River catchment was occupied I fluvio-lacustrine systems before drainage integration, accumulating a sedimentary sequence derived from surrounding Red Clay and bedrocks during the period betw 8.3 and 3.7 Ma. The planation surface was uplifted subsequently, forcing reorganization of the Jinshaan Gorge prior to 1.2 Ma. Numerous terraces were created during incision by the Middle Yellow River from north to south along the gorge. Our data, obtained from zircon U-Pb dating and lithological composition of fluvial grave further points to a remarkable discrepancy in provenance between the fluvio-lacust systems overlying the planation surface and the terraces sequence formed by the integrated Middle Yellow River, confirming the drainage reorganization process	

- Fluvio-lacustrine systems occupied the Middle Yellow River before integration.
- Yellow River terraces are different from fluvio-lacustrine systems in provenance.
- The Middle Yellow River has been excavated and integrated during Plio-Pleistocene.

Abstract

Continental-scale drainage reorganization is generally understood to result from landform evolution forced by the coupling between tectonic activity and climate change, attracting worldwide attention. Planation surfaces and river terraces constitute the most direct geomorphological archives for integrating the history of river systems and for reconstructing processes of drainage reorganization based on provenance analysis of the fluvial sediments. The Middle Yellow River has incised into the Ordos Block, which was previously levelled by a planation surface, creating the Jinshaan Gorge and linking the Hetao Basin to the north and the Fenwei Basin to the south. Multiple lines of evidence, from terraces correlation, sedimentary characteristics, and fluvial provenance, suggest that the Middle Yellow River catchment was occupied by fluvio-lacustrine systems before drainage integration, accumulating a sedimentary sequence derived from surrounding Red Clay and bedrocks during the period between 8.3 and 3.7 Ma. The planation surface was uplifted subsequently, forcing reorganization of the fluvio-lacustrine systems. Their integration resulted in the formation of the Jinshaan Gorge prior to 1.2 Ma. Numerous terraces were created during incision by the Middle Yellow River from north to south along the gorge. Our data, obtained from zircon U-Pb dating and lithological composition of fluvial gravels, further points to a remarkable discrepancy in provenance between the fluvio-lacustrine systems overlying the planation surface and the terraces sequence formed by the integrated Middle Yellow River, confirming the drainage reorganization process constrained by the geomorphic records.

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41

42 1. Introduction

43 As a peneplain is regenerated by elevation into a new cycle of life, a planation surface initiates a

44	renewed cycle of erosion (Davis, 1899), marking the replacement of pre-existing drainage systems
45	by new deeply incised river valleys at lower levels. Following a century-long debate on the
46	mechanisms that generate planation surfaces (Strahler, 1950; King, 1953; Orme, 2013),
47	investigations conducted by geomorphologists have significantly advanced the understanding of
48	geomorphic evolution and river development associated with planation events in recent decades
49	(Evenstar et al., 2014; Bar, et al., 2016; Guillocheau et al., 2018).

50 Numerous investigations have been undertaken to constrain the initiation of planation-surface 51 dissection chronologically by analyzing the youngest stratigraphic layers incised by the planation 52 surface and determining the age of the oldest overlying sediments (Pan et al., 2012; Bar et al., 2016; 53 Xiong et al., 2018). These endeavors aim to establish a timeframe for the genesis of the landscapes 54 observable presently. The extensively distributed Tangxian-period planation surfaces in China have 55 emerged as a prominent subject of investigation within this field of research. The Tangxian-period 56 planation surface extends along the western periphery of the North China Plain, stretching between 57 the Taihang and Lüuliang Mountains, and continues further onto the primary plateau surface of the 58 Ordos Plateau (Pan et al., 2012; Hu et al., 2017; Xiong et al., 2018). Based on previous 59 investigations, the formation of this planation surface probably commenced at 20 Ma, with sea level 60 acting as the ultimate erosion base level (Li, 1999). The process involved weathering and erosion 61 of the positive terrain, along with sedimentation filling the negative terrain, ultimately leading to 62 the development of a peneplain-like landscape. The beginning of the dissection of the planation 63 surface occurred at approximately 3.7 Ma as a consequence either of tectonic uplift or climate 64 change, leading to the formation of the modern Yellow River and its tributaries (Li, 1991; Pan et al., 65 2011; Pan et al., 2012). However, the mechanisms and processes driving this landscape

66 transformation remain debated.

67	The current scholarly deliberations revolve around two key aspects of this landscape history: first,
68	the initial drainage pattern during the development of the peneplain before the formation of the
69	Jinshaan Gorge section of the Yellow River and, second, the formation of the modern river system
70	after the dissection of the planation surface. To address the above questions, considerable efforts
71	have been devoted to the Tangxian-period planation surface and the downstream sedimentary basin
72	(Pan et al., 2011, 2012; Hu et al., 2016; Xiong et al., 2018; Liu-et al., 2020; Zhang et al., 2021; Li
73	et al., 2022). Nevertheless, divergent interpretations arising from inconsistencies in materials or
74	methods have led to a multitude of different patterns in drainage reorganization being hypothesized.
75	To shed light on the process of drainage reorganization in the middle reaches of the Yellow River,
76	this paper proposes an innovative approach that integrates comparative analysis of geomorphic
77	surfaces and examination of the provenance of the overlying sediments.
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87 The Neogene aeolian Red Clay divide into the Baode Red Clay (older than ~5.34 Ma) and Jingle

88	Red Clay at ~5.34 Ma (Zhu et al., 2008). Furthermore, remnants of gravel deposits are present on
89	the planation surface, covered by Jingle Red Clay, and previous investigations have conjectured that
90	these can be attributed to the endorheic drainage system during the peneplanation period (Pan et al.,
91	2011, 2012). In terms of spatial distribution, these gravel units exhibit a parallel alignment with the
92	Yellow River channel, extending across both sides of the modern valley. They resemble gravels
93	associated with the Yellow River, but their lithological composition is relatively uncomplicated and
94	distinct from these. In this study, we focus on the lithological composition and paleocurrents of these
95	gravel units, comparing them with the sediments of the Baode paleolake and the Yellow River
96	terraces, revealing a drainage system rerouted by a capture event. In addition, detrital zircon U-Pb
97	chronology provenance studies of the Luzigou section and the Yellow River terraces have provided
98	further information on the palaeo-hydrological pattern and evolutionary history of the Yellow River
99	system.

101 2. Regional setting

102 2.1 General geology

The Ordos Block and the Lüliang Mountains are integral components of the North China Craton, comprising Archean and Paleoproterozoic metamorphic basement rocks that underwent reactivation and reconstruction during the Yanshanian orogeny (~137 Ma). The Ordos Block, formerly which was the Ordos Basin prior to the Cenozoic, was the scene of sediment accumulation from the Proterozoic to the Mesozoic (H. Peng et al., 2023). Exhumation and deformation occurred between the Eocene and the Late-Miocene, transforming the Ordos Block into a plateau. This was brought about by the northeastward growth of the Tibetan Plateau, which also led to the formation of initial

110	faulted basins along the periphery of the Ordos Plateau (Shi et al., 2020). Following gradual uplift
111	during the Yanshanian orogeny, the Lüliang Mountains were strongly uplifted during the Late
112	Miocene (Zhao et al., 2016; Huang et al., 2021). Simultaneously, the faulted basins along the
113	margins of the Ordos Plateau were further extended. These basins record sedimentary archives from
114	the Eocene onwards and additionally serve as erosional base levels for the local drainage systems
115	(Lu et al., 2023).

116 Starting in the Late Miocene, aeolian sediments have been deposited unconformably above the 117 original landform, which may have suffered erosion by local fluvial or slope processes, with 118 accumulation of residues in the lowlands (Yue et al., 2007). The deposition of Red Clay was initiated 119 in the western piedmont of the Lüliang Mountains approximately 7 Ma, although differences in the 120 age of its lower boundary are observed across multiple profiles, potentially associated with 121 variations in the original landform (Xu et al., 2009; Ao et al., 2017; Sun et al., 2022). Between 3.7 122 and 2.6 Ma, typical aeolian sediments with a high mass magnetic susceptibility are present. Aeolian 123 accumulation is linked to the overall uplift of the Tangxian-period peneplain and the complete 124 isolation of some profiles from aqueous environments (Pan et al., 2011). In the Quaternary, a thick 125 series of loess deposits accumulated continuously above the Red Clay, leading to the formation of 126 the Chinese Loess Plateau, a prominent feature of the region's geological landscape (Liu et al., 2015).

127

128 2.2 Geographic setting





130	Figure 1. Topographic map, sampling sites, and geological map. a.) The Yellow River flows from the Tibetan
131	plateauPlateau, makes a U-shaped bend through the Hetao basinBasin, cuts through the Jinshaan Gorge, runs
132	eastward across the Fenwei basinBasin, and finally reaches the North China Plain and flows into the Bohai Sea. b.)
133	The longitudinal geomorphic sections and Ssampling sites studied for detrital zircon U–Pb dating in this and previous
134	research are shown in different colors. (G: Gaojiayinze, D: Dayandun, L: Luzigou) c.) Stratigraphic units of varying
135	geological epochs are delineated with different colors.

137	The present-day topography of the Ordos Plateau is characterized by higher elevations in the west
138	and lower elevations in the east (Fig 1). At the western piedmont of the Lüliang Mountains, the
139	elevation reaches approximately 1000 m. Originating from the northeastern Tibetan Plateau, the
140	Yellow River follows a U-shaped bend within the Hetao Basin before flowing from north to south
141	into the Fenwei Basin, passing through the western piedmont of the Lüliang Mountains. The section
142	from the outlet of the Hetao Basin to the entrance of the Fenwei Basin is commonly referred to as
143	the Jinshaan Gorge section of the Yellow River, which is notable for its deep valleys, high mountains,
144	and rugged terrain. In conjunction with the deeply incised canyon, the Jinshaan Gorge encompasses
145	several depressions that exhibit lower elevations compared to the Ordos Plateau, about $650 \sim 850$ m.
146	These depressions have facilitated the widening of the Yellow River valley and the development of
147	local strath areas. Previous studies have speculated that these depressions might have been lakes
148	during the peneplanation period, which were distributed sporadically along the Jinshaan Gorge and
149	were later connected by the headward erosion of the Yellow River (Pan et al., 2011).
150	The considerable accumulation of aeolian sediments within the region serves as an exceptional

151 repository of climatic information (Ao et al., 2017; Peng et al., 2018). A previous study investigated

152	the fluctuations in goethite concentration within aeolian sediments at the Chaona profile on the
153	Chinese Loess Plateau, revealing a distinct pattern of intensified East Asian Summer Monsoons,
154	featuring augmented precipitation, during the Late Miocene to Early Pleistocene (Zhang et al., 2017).
155	This intensified monsoonal activity probably contributed to increased surface runoff and expedited
156	landscape evolution processes.

158 3. Geomorphic sequences and sedimentary characteristics along the northern Jinshaan 159 Gorge 160 The top of the Baode paleolake sedimentary sequence is unconformably overlain by Red Clay and 161 subsequent loess, at altitude_comparable with those at which the aeolian sequences overlies 162 weathered limestone bedrock. The uppermost fluvio-lacustrine Baode deposits, together with the 163 weathering crusts capping the limestone, form the main body of the Tangxian-period planation 164 surface (Pan et al., 2011). Investigation of the Baode paleolake has centered on the analysis of cross 165 sections between Gaojiayinze and Luzigou (G-L) and between Gaojiayinze and Dayandun (G-D), 166 with extensive fieldwork. By establishing connections between the boundary of the fluvio-lacustrine 167 deposit with the bedrock, a rough estimation of the spatial extent of the Baode paleolake was

168 obtained.

Our fieldwork along the Yellow River channel from south to north up to the Hetao Basin revealed that remnants of river gravels and overlying Red Clay are commonly distributed above the northern Jinshaan gorge. They are situated on or slightly below the level of the Tangxian-period planation surface, at an elevation is close to the top of the fluvio-lacustrine deposition in the Baode area. We found that the gravels can be divided into two distinct units at different elevations, G1 (the lower





Figure 2. Schematic cross-section of fluvial terraces along the Jinshaan Gorge (see Fig.1b for location). a.)
Gaojiayinze–Luzigou (G–L) section, b.) Gaojiayinze–Dayandun (G–D) section, c.) Huangyuya section, d.) Hequ
section, e.) Guanhekou section, f.) Wanjiazhai section. Sample sites and published sites marked by red and purple
diamonds respectively.

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The uppermost surface of the higher gravel unit (G2) is <u>184</u>-150 m above the level of the Yellow
River. This gravel layer is 5 m thick and is overlain by 4 m of overbank silt and then up to 28 m of
Red Clay. This sequence is capped by aeolian loess, characteristic of the region. The gravel clasts

184	range between 2 and 10 cm diameter, with limestone being the dominant lithology, and shows clear
185	imbrication. Magnetostratigraphic dating of the lowermost Red Clay accumulation above the gravel
186	establishes that its formation predates ~4.9 Ma (Pan et al., 2011), indicating a minimum age for the
187	gravel.

The top of the lower (G1) gravel is <u>160–</u>133 m above the level of the Yellow River. This gravel is 2 m in thick and is overlain by about 15 m of Red Clay, with a further cover of aeolian loess. The gravel clasts are generally 2–7 cm, again are mainly limestone, with an imbricated arrangement. The baseal of Red Clay above the G1 gravel is dated to 3.7 Ma (Pan et al., 2011).

192 Three longitudinal sections, named after Huangyuya, Guanhekou, and Wanjiazhai, were carefully traced along these two gravel units from the Baode paleolake in the south to the Hetao Basin in the 193 194 north (Fig. 1b, Fig. 2). We conducted measurements of the gravel units' surface elevation for each 195 section, relative to the river. These measurements were then compared with the G-L, G-D, and 196 Hequ sections, which were previously published, allowing a comprehensive assessment of the 197 morphological consistency within the studied region. Two terraces are preserved below the G1 198 gravel in the Huangyuya section, both of which are strath terraces with Quaternary loess directly 199 overlying their gravels. The Guanhekou section preserves a total of four Yellow River terraces. 200 While the lowest (T1) is a fill terrace, the remainder are strath terraces, with subsequent deposition 201 of Quaternary loess. In the Wanjiazhai section, only a single fill terrace is preserved.

Preservation of the G1 and G2 gravel and the more recent Yellow River terraces does not occur uniformly across all sections. However, a Swath plot reveals that, despite the variations, these features exhibit significant comparability, leaving no doubt that they collectively represent geomorphic records of rivers oriented in a north-south direction. In order to validate whether they 206 belong to a singular river system, we undertook further investigations.

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208 4. Material and methods

209 4.1 Investigation and Sampling sampling sites

210 To reconstruct the evolution of the drainage system, first, the establishment of a comprehensive 211 chronological framework is essential and, second, an analysis of sediment composition is crucial 212 for revealing the source-sink pattern. The study area benefits from previous paleomagnetic dating 213 (Pan et al., 2011), which has successfully established a robust chronological framework for the 214 aeolian sediments deposited above the gravels. Nonetheless, there remains a lack of quantitative 215 investigations concerning the lithological composition and provenance of these gravels. 216 We investigated the following sections (from south to north, respectively) in detail: G-L (39°01'21" 217 N,111°09'38"E), G-D (38°58'41"N,111°05'21"E), Huangyuya (39°07'26"N,111°10'44"E), Hequ 218 (39°23'20"N,111°08'13"E), Guanhekou (39°29'32"N,111°24'39"E), and Wanjiazhai (39°34'08" 219 N,111°25'59"E). The elevation and thickness of the gravel bodies and of overlying overbank and 220 aeolian sediments were measured using high-precision differential GPS (Trimble GEO 7X). The 221 Hequ section has been studied previously for gravel lithology and zircon U-Pb chronology (Xiong 222 et al., 2022), and our present study extends these investigations to encompass each section located 223 at the northern extremity of the Jinshaan Gorge, employing both analysis of gravel lithology and 224 paleocurrent measurement. Furthermore, recent provenance studies of the middle and lower reaches 225 of the Yellow River using zircon U-Pb chronology have provided much evidence for the 226 reconstruction of drainage evolution (Kong et al., 2014; Nie et al., 2015; Xiao et al., 2020; Zhang 227 et al., 2021). In our present study, we conducted a provenance study for the fluvio-lacustrine deposits 228 in the Luzigou section and the Yellow River terrace sediments in the G–D section.

229	As previously described, the Luzigou section (39°01'21"N,111°09'38"E) is the sedimentary center
230	of the Baode paleolake, where fluvio-lacustrine deposits have accumulated since the Late Miocene
231	(Pan et al., 2011), attaining a thickness of up to 77 m, stacked unconformably above the underlying
232	Carboniferous sandstone (Fig. 3). At their base is a distinct set of fluvial gravel unit, measuring
233	approximately 10 m in thickness. These gravels are highly abraded, predominantly showing a sub-
234	rounded morphology, with clast diameters 2–10 cm. Their lithological composition is exceptionally
235	homogeneous, with nearly 98% limestone. They are distinctly imbricated and incorporate mud
236	lenses, signifying that they represent fluvial deposition with stable channels. Sample LZGD was
237	taken at the bottom of this gravel layer. The overlying fluvio-lacustrine strata primarily comprise
238	mudstone, siltstone, and sandstone, with a cumulative thickness of ~67 m. Notably, another distinct
239	gravel unit, which is inset into the fluvio-lacustrine sequence and with the same lithology as G2
240	found on the planation surface, occurs 30 m below the top surface with a thickness of 3 m. Along
241	the profile, from the bottom upwards, we collected detrital samples LZG11, LZG9, LZG8 and LZG6
242	(Fig. 3). Based on previous chronological investigations, the ages of LZGD, LZG11, LZG9, LZG8,
243	and LZG6 correspond to paleomagnetic ages of approximately 10 Ma, 7.1 Ma, 5.2 Ma, 4.9 Ma, and
244	3.7 Ma, respectively (Pan et al., 2011).



245

246 Figure 3. Magnetostratigraphy, pedostratigraphy and field photos of the Luzigou section in the Baode area. Zircon

247 U-Pb samples location marked by pale green diamonds. a.) The loess-Red Clay boundary. b.) The higher gravel

248 unit. c.) Two sand layers in the middle section. d.) Lacustrine strata and lowest gravel unit in the basal section. For

249 chronological framework, lithological description, and interpreted chrons (black and white for normal and reverse

250 geomagnetic polarities) for see Pan et al. (2011).

251

252 4.2 Sedimentary analyses

253	We measured the lithological composition of the G1 and G2 gravels across all sections, as well as
254	for the gravel unit within the Baode fluvio-lacustrine sequence. Additionally, paleocurrent were
255	measured in the G1 and G2 gravels in the Huangyuya, Hequ, Guanhekou, and Wanjiazhai sections,
256	as well as within the Yellow River terrace gravels in the Hequ section. The lithology and preferred
257	orientation (imbrication) of >100 gravel clasts were measured for each sample, which was observed
258	on the gravel unit outcrops. The size range of measured clasts is >3 cm in b-aixs. The gravel-forming
259	geology and resultant clast lithologies in the study area are relatively homogeneous, primarily
260	comprising limestone, sandstone, quartzite, quartzitic sandstone, mudstone, slate, and phyllite. To
261	obtain imbrication, we measured the inclinations of the a-b surfaces of the gravel clasts, which
262	corresponds to the upstream direction, and plotted the rose diagrams and preferred orientation using
263	the software PC99 (Woolfe et al., 2000). Figure 4 shows three-dimensional pie charts depicting the
264	distribution of gravel lithology, as well as paleocurrent rose diagrams (in which flow direction is
265	denoted by the red arrow).
266	
267	4.3 Detrital zircon pretreatment
268	Samples were wet sieved to isolate the 15–250 μm fraction, from which zircon grains were separated
269	using standard dense-liquid techniques. Zircon grains were randomly selected under a binocular
270	microscope and mounted using epoxy resin. Pretreatment was carried out at the Key Laboratory of
271	Mineral Resources in Western China (Gansu Province), School of Earth Sciences, at Lanzhou
272	University, China.

274 4.4 U–Pb geochronological measurement

n Agilent 7700x instrument with an attached <u>CETAC Analyte</u> –Excite Excimer
stem, at the Department of Geography, Nanjing Normal University, China. The
eter was set to 20 μm and, as standard reference materials, zircon 91500
., 1995), GJ- 1(Jackson et al., 2004), Plešovice (Sláma et al., 2008), and QH (Li
e used. For zircons younger than 1000 Ma, we used ²⁰⁶ Pb/ ²³⁸ U ages, whereas
were used for zircons older than 1000 Ma, with uncertainties expressed at 2σ .
% discordance were not considered (see full data provided as Supplementary
d as kernel density estimation plots (KDEs) and non-matrix multi-dimensional
naps, using the software IsoplotR (Vermeesch, 2012, 2013), were used for
retation.
omposition and paleocurrents
ast lithology, paleocurrent and elevation profiles of geomorphic surfaces were
ted on the Swath diagram of the northern Jinshaan Gorge (Fig. 4). The lithological
avels within the Baode paleolake sequence and the high-level G1 and G2 gravels
imestone, exhibiting a more homogeneous distribution in the southern section of
is lithological pattern changes to sandstone, quartzitic sandstone, and quartzite
omposition and paleocurrents

295 components in the northern section. In contrast, the lithological composition of Yellow River gravels

296	in the Hequ section shows a gradual but progressive change in composition from the higher to lower
297	terraces with sandstone, quartzite and limestone, significantly differing from the lithology observed
298	in G1, G2 and Baode paleolake gravels with predominant limestone.
299	This notable dissimilarity is further highlighted in the paleocurrent patterns. The inclinations of the
300	a-b surfaces of the gravel clasts within the Yellow River terraces predominantly exhibit a single
301	direction towards the north, suggesting consistent paleoflow from north to south, comforming

302 <u>conforming</u> with the present-day course of the Yellow River. In contrast, the inclinations of the a-b

303 surfaces of the G1 and G2 gravel clasts display a relatively mixed distribution, with a predominant

304 southward trend. This indicates a reversal of drainage in comparison with the modern Yellow River.



306	Figure 4. The 3D pie charts showing gravel clast lithology, rose diagrams showing paleocurrent directions, and
307	elevation profiles of geomorphic surfaces, all plotted onto the Swath diagram of the northern Jinshaan Gorge of the
308	Yellow River. Maximum and minimum elevation along a 25-km-wide swath window (perpendicular to swath
309	transect), the location of which is shown in Fig 1a.

311 5.2. Zircon U–Pb chronological spectrum

312 KDE plots are utilized to illustrate the zircon U-Pb spectrum (Fig. 5). In order to facilitate the 313 analysis, our samples are plotted alongside those of previous authors, allowing comprehensive 314 comparison and examination of the data. Sample LZGD shows dominant peaks at ~2500 Ma, and 315 minor peaks at ~250 Ma and ~2500 Ma. Sample LZG11 has subequal dominant peaks at ~250 Ma 316 and ~450 Ma, with minor peaks at ~800 Ma, ~1800 Ma and ~2500 Ma. Sample LZG9 displays 317 dominant peaks at ~250 Ma and ~1800 Ma, a lower peak at ~450 Ma, and minor peaks at ~800 Ma 318 and ~2500 Ma. Sample LZG8 and LZG6 show dominant peaks at ~1800 Ma, and lower peaks at 319 ~250 Ma and ~2500 Ma. The Yellow River terraces (samples from DYDT4 and DYDT3) display 320 subequal peaks at ~1800 Ma, ~250 Ma, and ~2500 Ma, like the spectrum of Yellow River terrace 321 T4 in Hequ (HHT4).





327 6. Discussion

347

328 6.1 Drainage reconstruction on the planation surface

329 Gravel fabric analysis has been widely employed in fluvial evolution studies within sedimentary 330 records of river terraces (Rust, 1972; Miao et al., 2008; Souza et al., 2022). The rose diagrams 331 provide valuable insights into local paleocurrents, indicating that a river different from the modern 332 Yellow River flowed from south to north across the study area during the peneplanation period. The 333 chronological analysis of the G2 gravel unit_suggests that this river system existed during the early 334 Pliocene (minimum age 4.9 Ma). The sediment chronology of the Hetao Basin reveals deposition 335 in the eastern part of the basin since at least the Late Miocene, with a hiatus indicated by an 336 unconformity dated ~5.3 Ma (Shi et al., 2020). On the basis of the aforementioned evidence, we 337 propose that the process of drainage integration events began at this time, with the fluvial outflow 338 from the Baode paleolake, prior to which this was probably a long-term endorheic lacustrine system. 339 The Yellow River traversed the Hetao Basin prior to entering the Jinshaan Gorge, depositing gravels 340 and coarse sands from the upper reaches (Nie et al., 2015), and the gravels within the gorge 341 predominantly originate from the adjacent mountainous regions. Specifically, these gravels 342 comprise limestone sourced from the Ordovician strata of the Lüliang Mountains, while the 343 sandstone predominantly originated from the Triassic and Cretaceous strata of the Ordos block. 344 Zircon KDE plots can provide valuable insights into drainage evolution, revealing similar patterns 345 indicated by the gravel-forming geology and resultant clast lithologies (Fig. 5). The LZGD samples 346 exhibit striking similarities to samples HHB01, HHB02, and HHYL01 of Xiong et al. (2022), which

348 temperature thermal age evidence in indicating rapid uplift of the Lüliang Mountains at ~12 Ma

suggests a common provenance from the Lüliang Mountain. This observation aligns with the low-

(Zhao et al., 2016). It is highly likely that the uplift of the Lüliang Mountains, coupled with the
extensive lateral river system in the western piedmont, facilitated the deposition of these gravels in
lower parts of the peneplain. This deposition is also evident in the Liulin area within the middle
Jinshaan Gorge (Li et al., 2009).

353 A distinct variation in provenance is evident between LZGD and LZG11, with the latter displaying 354 a more diverse spectrum characterized by peaks at ~250, ~450, ~800, and ~2500 Ma. No zircon 355 spectra like LZG11 were found in sediment samples from the geomorphic surfaces in the Hequ 356 section. However, it is noteworthy that the sample LZG11 exhibits remarkable similarities to the 357 Late Miocene Red Clay deposits in the Baode area (Shang et al., 2016; Bohm et al., 2023). This 358 multi-peak pattern probably signifies the presence of materials originating from the Central Asian 359 Orogen Belt and transported over long distances by East Asian Winter Monsoon. The chronologies 360 of multiple sedimentary sequences suggest that aeolian deposition to the east of the Liupan 361 Mountains may have commenced around 7 Ma (Sun et al., 2022). The sample LZG11 represents a 362 period characterized by increased aridification in inland Asia, leading to enhanced aeolian 363 deposition and supply of material to the Baode region, as well as deposition in the Baode Paleolake 364 through slope erosion and transportation by flowing water.

Comparing LZG9 to LZG11, it is evident that LZG9 exhibits higher peaks at ~200 Ma and ~1800 Ma. This coincides with the Miocene–Pliocene transition and the shift from Baode Red Clay to Jingle Red Clay deposition in the Baode area (Zhu et al., 2008). The significantly wetter climatic conditions during this period led to increased surface runoff (Ao et al., 2021), enhanced local erosion capacity, and a greater contribution of materials from the East Mu Us (EMU) desert and the Lüliang Mountains. Samples LZG8 and LZG6 both reflect a similar provenance, characterized by a mixture

371 of materials originating from the Lüliang Mountains and EMU, in alignment with the sediment



372 composition found on the planation surface of the Hequ section.

Figure 6. Non-matrix multi-dimensional scaling (MDS) plot of U–Pb zircon-age data. The proximity between
samples reflects their degree of similarity, with solid lines connecting them denoting the first level of similarity,
while dashed lines represent the second level of similarity. Colored areas represent main potential sources (Red=Red
Clay, Green=Lüliang mountains and Ordos Block, Pale Green=Luzigou section, Yellow=Yellow River terraces,
Blue=Central Asian Orogenic Belt and Tibetan Plateau) a.) Sediment similarity relationship between the Baode and
Hequ regions. b.) The similarity of samples to potential source regions.

380

Incorporating MDS plots, our findings reveal two significant changes in provenance within the Luzigou section during the peneplanation period (Fig. 6). The first change occurred after the initial accumulation of fluvial gravel, whereas the second occurred before the formation of the G2 gravel unit at ~4.9 Ma. The basal gravel unit of the Luzigou fluvio-lacustrine strata signifies an inland water system characterized by a relatively simple provenance. However, due to the fine grain size of the lacustrine clay above the basal gravel_unit, conducting zircon U–Pb chronology becomes challenging. Consequently, it proves difficult to determine the duration of the single provenance

388	process and how the source evolved before 7.1 Ma, after which a change in provenance occurred
389	prior to 4.9 Ma, which we attribute to intensified erosion resulting from increased climatic humidity
390	(Ao et al., 2021). Enhanced runoff due to climatic humidity may have brought in more detrital,
391	replacing a pattern dominated by aeolian deposition. Concurrently, we can discount additional
392	provenance changes in the local area_resulting from potential alterations in the source of the Red
393	Clay. Within the Baode region, variation in the source of Baode Red Clay and Jingle Red Clay
394	during this period is comparatively minor compared to fluctuations observed in the fluvio-lacustrine
395	sediments (Shang et al., 2016; Bohm et al., 2023) and is thus inadequate as an explanation of
396	provenance variation within the Luzigou section. In contrast, the analysis of Red Clay provenance
397	in the Jiaxian section may offer greater insights into the formation of the north-flowing fluvio-
398	lacustrine system of the Baode paleolake and its role in supplying weathered materials to the
399	downwind Red Clay deposits (W.B. Peng et al., 2023).

400 Furthermore, previous studies suggest continuous and sequential accumulation of aeolian sediments 401 in the Baode area, extending back to at least 7.1 Ma (Zhu et al., 2008), sediments that probably 402 represented a consistent supply of material to the Baode Paleolake. The observed changes in 403 sediment provenance can be attributed to increased contributions of silt- to sand-size detritus from 404 local rivers. It is important to note that the zircon U-Pb method exhibits greater sensitivity to this 405 grain size, owing to inherent limitations of the technique itself. Consequently, the influx of fluvial material gradually replaced the previously eroded local Red Clay, assuming a dominant role within 406 407 the sedimentary record of the Baode Paleolake.

408

409 6.2 Provenance of sediments of the integrated Yellow River

410	The sediment provenance of the modern Yellow River bedload gravel has been a subject of
411	significant interest and investigation among geologists due to the discovery that provenance may
412	exhibit spatial variability across different sections of the river (Kong et al., 2014; Nie et al., 2015).
413	In these studies, there has been focus on the Hetao Basin to Baode region, located around the
414	northern part of the Jinshaan Gorge, where notable provenance variations have been observed, as
415	exemplified by samples YILI and BD of Nie et al. (2015). Furthermore, the sediment provenance in
416	the Yumenkou area at the southern end of the Jinshaan Gorge shares similarities with the BD
417	samples, indicating a local source from the Ordos Block and the adjacent Lüliang Mountains (Kong
418	et al., 2014). These findings suggest that the contemporary processes governing sediment transport
419	in the middle reaches of the Yellow River system impede the passage of materials originating from
420	the Tibetan Plateau through the Jinshaan Gorge. Consequently, these materials fail to reach
421	downstream regions such as the North China Plain or the Bohai Sea. This observation highlights the
422	complex dynamics of sediment transport and underscores the need for a comprehensive
423	understanding of sediment provenance across different sections of the Yellow River system.
424	The investigation of zircon-age spectrums in the terrace gravels of the Hequ section has yielded
425	crucial insights into the temporal extent of the sediment transport process, suggesting a possible
426	duration from the terrace HHT4 formed (0.1 Ma) to present (Xiong et al., 2022). Notably, the
427	analysis of samples DYDT4 and DYDT3 in this study, in combination with data from previously
428	published samples YILI, BD, HHRB, and HHT4, reveals that material sourced from the upper
429	reaches of the Hetao basin Basin has been impeded in reaching the Baode area since the initial
430	formation of the integrated Yellow River. This timeframe pre-dates the formation of the highest

431 Yellow River terrace, estimated at 1.2 Ma, based on previous paleomagnetic chronostratigraphy

- (Pan et al., 2012). These findings suggest that the disruption in sediment transport from the upstream
 Hetao <u>basin Basin</u> to the Baode area occurred prior to the formation of the highest terrace, indicating
 prolonged restriction in sediment transport during this earlier period.
- 436 6.3 Drainage reorganization along the Jinshaan Gorge recorded by the provenance change



438 Figure 7. Time-slice sketch of evolutionary model in the northern Jinshaan Gorge since the Late-Miocene.

439

440	Our study has revealed noteworthy distinctions in provenance between the fluvio-lacustrine (LZG8
441	and LZG6) and terrace samples (DYDT4, DYDT3, and HHT4). Although the visual disparity on
442	the KDE plots may not be obvious, a significant increase in the ~1800 Ma peak introduces a distinct
443	separation between these two datasets on the MDS plots (Fig. 6). This disparity in provenance can
444	be attributed to the intensified hydrodynamics following the formation of the integrated Yellow
445	River, in which the reworking of Red Clay into fluvial deposits became limited. In contrast, the
446	terrace samples exhibit a simpler local source that aligns with the characteristics of modern riverbed
447	samples.

448 In this study, we present a comprehensive model for drainage evolution in the northern section of 449 the Jinshaan Gorge, as illustrated in Figure 7. During the peneplanation period, from 8.3 to 4.9 Ma, 450 a depression existed in the Baode area, resulting in the deposition of fluvio-lacustrine strata in the 451 Baode paleolake, the main sediment source being the Red Clay. At 4.9 Ma, continued increasing of 452 climatic humidity led either to the overflow of the lake or an inland river flowing into the Hetao 453 basin Basin eroded headward and incised through the paleolake, giving rise to a paleo-river that 454 flowed in the opposite direction to the modern Yellow River. Subsequently, at 3.7 Ma, the dissection 455 of the Tangxian-Tangxian-period planation surface triggered increased fluvial erosion, so that rivers 456 originating from the Fenwei Basin began to erode northward along the western piedmont of the 457 Lüliang Mountains as the headward erosion pattern in Hu et al. (2016). This pattern of headward 458 erosion is similar to that proposed by the previous study in Sanmen Gorge (Liang et al., 2022). And 459 the erosion process persisted until 1.2 Ma, when there was finally fluvial incision through the Baode

460	area, resulting in the formation of the modern Yellow River. Concurrently, the initiation of the
461	Jinshaan Gorge occurred, followed by the progressive incision that led to the formation of the
462	Yellow River terrace sequence.
463	In conclusion, we emphasize that the formation of the modern configuration of the Yellow River in
464	the Jinshaan Gorge occurred between 3.7 Ma and 1.2 Ma, following the dissection of the planation
465	surface and preceding the formation of the highest terrace. Varied interpretations of the remaining
466	sediments within the gorge have resulted in divergent views on the timing of Yellow River formation
467	in previous studies (Pan et al., 2011; Liu et al., 2020; Li et al., 2022; Xiong et al., 2022). One of the
468	viewpoints suggests that the fluvio-lacustrineremaining sediments overlying theof planation surface
469	can probably be interpreted as represent the archive deposits of ancient Yellow River,
470	excavatingshaping a wide valley by lateral erosion through the whole Jinshaan Gorge, and implies
471	a steady river system in the period fromduring 8 to -3.7 Ma from Hetao Basin to Fenwei Basin
472	(Liu, 2020; Li et al., 2022; Xiong et al., 2022). In contrast, more evidences from terrace correlation
473	and lithological composition argue an independent origination between the fluvio-lacustrine
474	sediments accumulated on planation surface and the Yellow River terraces The other suggests the
475	ancient river during peneplanation period is uncomparable with modern Yellow River, and the
476	formation of highest terrace represents the Yellow River formed (Cheng et al., 2002; Pan et al.,
477	2012+; Hu et al., 2016, 2017, 2019). The This-comprehensive provenance study here seems to be
478	correspondent to the latterstrongly supports the second view, concludshowing that the fluvio-
479	lacustrine deposits on the Tangxian-period planation surfacewithin the Jinshaan GorgeThese
480	deposits probably represent distinct endorheic drainage system during peneplaindifferent stages of
481	planation surface development across the northern Jinshaan Gorge, rather than being attributable to

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482	the modern integrated Yellow River. First, their distribution lacks connectivity and is difficult to
483	relate to other parts of the Jinshaan Gorge area parallel to the Yellow River. Second, comprehensive
484	provenance evidence in support of their association with more modern Yellow River deposits is
485	lacking. While our study focuses primarily on the provenance differences between sediments
486	overlying the planation surface and those of the modern Yellow River in the northern part of the
487	Jinshaan Gorge, further investigations encompassing the entire gorge are clearly warranted.
488	Nonetheless, it is evident that the presence of the Yellow River in the northern segment of the
489	Jinshaan Gorge postdates 3.7 Ma.
490	We also would like to highlight an interesting observation regarding the headward erosion of the
491	Yellow River during the period from 3.7 to 1.2 Ma. Our analysis reveals a distance of headward
492	erosion during this period of ~410 km, at an average rate of 164 km/Ma (Hu et al., 2016). It is
493	important to note that this erosion rate is significantly less than the headward-erosion rate of 350
494	km/Ma calculated by Craddock et al. (2010) for the Yellow River in the northeastern Tibetan Plateau.
495	This discrepancy may be attributed to the different tectonic backgrounds of the two regions, with
496	more active tectonic uplift in the northeastern Tibetan Plateau. In additionFurthermore, during the
497	Plio-Pleistocene, numerous significant global drainage reorganization archives originated from
498	the global viewevents all appear to occurred in the Plio-Pleistocene period (Gouveia et al., 2020;
499	Yang et al., 2021), yielding a remarkable correlationelosely associated with the worldwide warming
500	and wetthumiding climate of that period (Nie et al., 2014; Freitas et al., 2022). The process of
501	drainage reorganization can vary based on the specific tectonic, climatic, and geomorphological
502	settings, emphasizing the need to consider these factors holistically when reconstructing
503	evolutionary history. Incorporating these elements into the analysis will contribute to a more

504 comprehensive understanding of the complex reorganization processes associated with drainage in

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505	different	regions
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506

507 7. Conclusions

508 Taking into consideration the spatial distribution of geomorphic surfaces, sedimentary 509 characteristics, and provenance analysis, we have reconstructed the evolutionary history of the 510 northern section of the Jinshaan Gorge from the peneplanation period to the development of the 511 modern deeply incised valley of the Yellow River. Our investigation encompasses Late Miocene to 512 Early Pleistocene time, capturing key provenance changes within this timeframe. The Baode 513 paleolake emerged around 8.3 Ma as a localized endorheic system. Subsequently, at ~4.9 Ma, it 514 became established as a fluvio-lacustrine system in conjunction with the Hetao Basin, resulting in 515 northward outflow. As this process continued, the enhanced erosion resulting from the formation of 516 the planation surface at ~3.7 Ma further reshaped the landscape. Finally, at 1.2 Ma, the downstream 517 river captured the fluvio-lacustrine system, forming the present-day Yellow River middle reaches. 518 Our study provides a robust framework that offers a comprehensive and reliable explanation for the 519 evolution of the middle Yellow River catchment, taking into account the significant influences of 520 increasing climatic humidity and relative tectonic uplift. 521

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1	Plio-Pleistocene drainage reorganization in the middle Yellow River of
2	China, revealed by provenance and paleocurrent analysis of fluvial
3	sediments
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23 Continental-scale drainage reorganization is generally understood to result from landform evolution 24 forced by the coupling between tectonic activity and climate change, attracting worldwide attention. 25 Planation surfaces and river terraces constitute the most direct geomorphological archives for 26 integrating the history of river systems and for reconstructing processes of drainage reorganization 27 based on provenance analysis of the fluvial sediments. The Middle Yellow River has incised into 28 the Ordos Block, which was previously levelled by a planation surface, creating the Jinshaan Gorge 29 and linking the Hetao Basin to the north and the Fenwei Basin to the south. Multiple lines of 30 evidence, from terraces correlation, sedimentary characteristics, and fluvial provenance, suggest 31 that the Middle Yellow River catchment was occupied by fluvio-lacustrine systems before drainage 32 integration, accumulating a sedimentary sequence derived from surrounding Red Clay and bedrocks 33 during the period between 8.3 and 3.7 Ma. The planation surface was uplifted subsequently, forcing 34 reorganization of the fluvio-lacustrine systems. Their integration resulted in the formation of the 35 Jinshaan Gorge prior to 1.2 Ma. Numerous terraces were created during incision by the Middle 36 Yellow River from north to south along the gorge. Our data, obtained from zircon U-Pb dating and 37 lithological composition of fluvial gravels, further points to a remarkable discrepancy in provenance 38 between the fluvio-lacustrine systems overlying the planation surface and the terraces sequence 39 formed by the integrated Middle Yellow River, confirming the drainage reorganization process 40 constrained by the geomorphic records.

41

42 1. Introduction

43 As a peneplain is regenerated by elevation into a new cycle of life, a planation surface initiates a

renewed cycle of erosion (Davis, 1899), marking the replacement of pre-existing drainage systems by new deeply incised river valleys at lower levels. Following a century-long debate on the mechanisms that generate planation surfaces (Strahler, 1950; King, 1953; Orme, 2013), investigations conducted by geomorphologists have significantly advanced the understanding of geomorphic evolution and river development associated with planation events in recent decades (Evenstar et al., 2014; Bar et al., 2016; Guillocheau et al., 2018).

50 Numerous investigations have been undertaken to constrain the initiation of planation-surface 51 dissection chronologically by analyzing the youngest stratigraphic layers incised by the planation 52 surface and determining the age of the oldest overlying sediments (Pan et al., 2012; Bar et al., 2016; 53 Xiong et al., 2018). These endeavors aim to establish a timeframe for the genesis of the landscapes 54 observable presently. The extensively distributed Tangxian-period planation surfaces in China have 55 emerged as a prominent subject of investigation within this field of research. The Tangxian-period 56 planation surface extends along the western periphery of the North China Plain, stretching between 57 the Taihang and Lüliang Mountains, and continues further onto the primary plateau surface of the 58 Ordos Plateau (Pan et al., 2012; Hu et al., 2017; Xiong et al., 2018). Based on previous 59 investigations, the formation of this planation surface probably commenced at 20 Ma, with sea level 60 acting as the ultimate erosion base level (Li, 1999). The process involved weathering and erosion 61 of the positive terrain, along with sedimentation filling the negative terrain, ultimately leading to 62 the development of a peneplain-like landscape. The beginning of the dissection of the planation 63 surface occurred at approximately 3.7 Ma as a consequence either of tectonic uplift or climate 64 change, leading to the formation of the modern Yellow River and its tributaries (Li, 1991; Pan et al., 65 2011; Pan et al., 2012). However, the mechanisms and processes driving this landscape

66 transformation remain debated.

67 The current scholarly deliberations revolve around two key aspects of this landscape history: first, 68 the initial drainage pattern during the development of the peneplain before the formation of the 69 Jinshaan Gorge section of the Yellow River and, second, the formation of the modern river system 70 after the dissection of the planation surface. To address the above questions, considerable efforts 71 have been devoted to the Tangxian-period planation surface and the downstream sedimentary basin 72 (Pan et al., 2011, 2012; Hu et al., 2016; Xiong et al., 2018; Liu, 2020; Zhang et al., 2021; Li et al., 73 2022). Nevertheless, divergent interpretations arising from inconsistencies in materials or methods 74 have led to a multitude of different patterns in drainage reorganization being hypothesized. To shed 75 light on the process of drainage reorganization in the middle reaches of the Yellow River, this paper 76 proposes an innovative approach that integrates comparative analysis of geomorphic surfaces and 77 examination of the provenance of the overlying sediments. 78 This investigation focuses on the Baode section, a significant site extensively studied in relation to

79 the Tangxian-period planation surface and the Neogene Red Clay in the middle reaches of the 80 Yellow River (Zhu et al., 2008; Pan et al., 2011; Shang et al., 2016; Bohm et al., 2023). During the 81 peneplanation period, the Baode region exhibited negative topography, with the surrounding 82 endorheic drainage converging in a Baode paleolake (Pan et al., 2011). The center of lacustrine 83 sediment accumulation was in the area of Luzigou, with a gradual decline in sediment thickness 84 observed towards the lake edge. Simultaneously, the adjacent terrain experienced differential 85 erosion, resulting in the removal of higher elevations and the deposition of Neogene aeolian Red 86 Clay in areas that were comparatively lower and characterized by gentle slopes (Yue et al., 2007). 87 The Neogene aeolian Red Clay divide into the Baode Red Clay (older than ~5.34 Ma) and Jingle

88 Red Clay at ~5.34 Ma (Zhu et al., 2008). Furthermore, remnants of gravel deposits are present on 89 the planation surface, covered by Jingle Red Clay, and previous investigations have conjectured that 90 these can be attributed to the endorheic drainage system during the peneplanation period (Pan et al., 91 2011, 2012). In terms of spatial distribution, these gravel units exhibit a parallel alignment with the 92 Yellow River channel, extending across both sides of the modern valley. They resemble gravels 93 associated with the Yellow River, but their lithological composition is relatively uncomplicated and 94 distinct from these. In this study, we focus on the lithological composition and paleocurrents of these 95 gravel units, comparing them with the sediments of the Baode paleolake and the Yellow River 96 terraces, revealing a drainage system rerouted by a capture event. In addition, detrital zircon U-Pb 97 chronology provenance studies of the Luzigou section and the Yellow River terraces have provided 98 further information on the palaeo-hydrological pattern and evolutionary history of the Yellow River 99 system.

100

101 2. Regional setting

102 2.1 General geology

The Ordos Block and the Lüliang Mountains are integral components of the North China Craton, comprising Archean and Paleoproterozoic metamorphic basement rocks that underwent reactivation and reconstruction during the Yanshanian orogeny (~137 Ma). The Ordos Block, formerly which was the Ordos Basin prior to the Cenozoic, was the scene of sediment accumulation from the Proterozoic to the Mesozoic (H. Peng et al., 2023). Exhumation and deformation occurred between the Eocene and the Late-Miocene, transforming the Ordos Block into a plateau. This was brought about by the northeastward growth of the Tibetan Plateau, which also led to the formation of initial faulted basins along the periphery of the Ordos Plateau (Shi et al., 2020). Following gradual uplift during the Yanshanian orogeny, the Lüliang Mountains were strongly uplifted during the Late Miocene (Zhao et al., 2016; Huang et al., 2021). Simultaneously, the faulted basins along the margins of the Ordos Plateau were further extended. These basins record sedimentary archives from the Eocene onwards and additionally serve as erosional base levels for the local drainage systems (Lu et al., 2023).

116 Starting in the Late Miocene, aeolian sediments have been deposited unconformably above the 117 original landform, which may have suffered erosion by local fluvial or slope processes, with 118 accumulation of residues in the lowlands (Yue et al., 2007). The deposition of Red Clay was initiated 119 in the western piedmont of the Lüliang Mountains approximately 7 Ma, although differences in the 120 age of its lower boundary are observed across multiple profiles, potentially associated with 121 variations in the original landform (Xu et al., 2009; Ao et al., 2017; Sun et al., 2022). Between 3.7 122 and 2.6 Ma, typical aeolian sediments with a high mass magnetic susceptibility are present. Aeolian 123 accumulation is linked to the overall uplift of the Tangxian-period peneplain and the complete 124 isolation of some profiles from aqueous environments (Pan et al., 2011). In the Quaternary, a thick 125 series of loess deposits accumulated continuously above the Red Clay, leading to the formation of 126 the Chinese Loess Plateau, a prominent feature of the region's geological landscape (Liu et al., 2015). 127

128 2.2 Geographic setting



Figure 1. Topographic map, sampling sites, and geological map. a.) The Yellow River flows from the Tibetan Plateau,
makes a U-shaped bend through the Hetao Basin, cuts through the Jinshaan Gorge, runs eastward across the Fenwei
Basin, and finally reaches the North China Plain and flows into the Bohai Sea. b.) The longitudinal geomorphic
sections and sampling sites studied for detrital zircon U–Pb dating in this and previous research are shown in different
colors. (G: Gaojiayinze, D: Dayandun, L: Luzigou) c.) Stratigraphic units of varying geological epochs are delineated
with different colors.

135

136 The present-day topography of the Ordos Plateau is characterized by higher elevations in the west

137 and lower elevations in the east (Fig 1). At the western piedmont of the Lüliang Mountains, the

138 elevation reaches approximately 1000 m. Originating from the northeastern Tibetan Plateau, the 139 Yellow River follows a U-shaped bend within the Hetao Basin before flowing from north to south 140 into the Fenwei Basin, passing through the western piedmont of the Lüliang Mountains. The section 141 from the outlet of the Hetao Basin to the entrance of the Fenwei Basin is commonly referred to as 142 the Jinshaan Gorge section of the Yellow River, which is notable for its deep valleys, high mountains, 143 and rugged terrain. In conjunction with the deeply incised canyon, the Jinshaan Gorge encompasses 144 several depressions that exhibit lower elevations compared to the Ordos Plateau, about 650~850 m. 145 These depressions have facilitated the widening of the Yellow River valley and the development of 146 local strath areas. Previous studies have speculated that these depressions might have been lakes 147 during the peneplanation period, which were distributed sporadically along the Jinshaan Gorge and 148 were later connected by the headward erosion of the Yellow River (Pan et al., 2011). 149 The considerable accumulation of aeolian sediments within the region serves as an exceptional 150 repository of climatic information (Ao et al., 2017; Peng et al., 2018). A previous study investigated 151 the fluctuations in goethite concentration within aeolian sediments at the Chaona profile on the 152 Chinese Loess Plateau, revealing a distinct pattern of intensified East Asian Summer Monsoons, 153 featuring augmented precipitation, during the Late Miocene to Early Pleistocene (Zhang et al., 2017). 154 This intensified monsoonal activity probably contributed to increased surface runoff and expedited 155 landscape evolution processes. 156

Geomorphic sequences and sedimentary characteristics along the northern Jinshaan
 Gorge

159 The top of the Baode paleolake sedimentary sequence is unconformably overlain by Red Clay and

160	subsequent loess, at altitude comparable with those at which the aeolian sequences overlies
161	weathered limestone bedrock. The uppermost fluvio-lacustrine Baode deposits, together with the
162	weathering crusts capping the limestone, form the main body of the Tangxian-period planation
163	surface (Pan et al., 2011). Investigation of the Baode paleolake has centered on the analysis of cross
164	sections between Gaojiayinze and Luzigou (G-L) and between Gaojiayinze and Dayandun (G-D),
165	with extensive fieldwork. By establishing connections between the boundary of the fluvio-lacustrine
166	deposit with the bedrock, a rough estimation of the spatial extent of the Baode paleolake was
167	obtained.
168	Our fieldwork along the Yellow River channel from south to north up to the Hetao Basin revealed
169	that remnants of river gravels and overlying Red Clay are commonly distributed above the northern
170	Jinshaan gorge. They are situated on or slightly below the level of the Tangxian-period planation
171	surface, at an elevation is close to the top of the fluvio-lacustrine deposition in the Baode area. We
172	found that the gravels can be divided into two distinct units at different elevations, G1 (the lower

173 one) and G2 (Fig. 2).



175 Figure 2. Schematic cross-section of fluvial terraces along the Jinshaan Gorge (see Fig.1b for location). a.)
176 Gaojiayinze–Luzigou (G–L) section, b.) Gaojiayinze–Dayandun (G–D) section, c.) Huangyuya section, d.) Hequ
177 section, e.) Guanhekou section, f.) Wanjiazhai section. Sample sites and published sites marked by red and purple
178 diamonds respectively.

180 The uppermost surface of the higher gravel unit (G2) is 184–150 m above the level of the Yellow 181 River. This gravel layer is 5 m thick and is overlain by 4 m of overbank silt and then up to 28 m of 182 Red Clay. This sequence is capped by aeolian loess, characteristic of the region. The gravel clasts 183 range between 2 and 10 cm diameter, with limestone being the dominant lithology, and shows clear

imbrication. Magnetostratigraphic dating of the lowermost Red Clay accumulation above the gravel 184 185 establishes that its formation predates ~4.9 Ma (Pan et al., 2011), indicating a minimum age for the 186 gravel.

187 The top of the lower (G1) gravel is 160–133 m above the level of the Yellow River. This gravel is 2 188 m in thick and is overlain by about 15 m of Red Clay, with a further cover of aeolian loess. The 189 gravel clasts are generally 2-7 cm, again are mainly limestone, with an imbricated arrangement. 190

The basal of Red Clay above the G1 gravel is dated to 3.7 Ma (Pan et al., 2011).

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192 traced along these two gravel units from the Baode paleolake in the south to the Hetao Basin in the

Three longitudinal sections, named after Huangyuya, Guanhekou, and Wanjiazhai, were carefully

north (Fig. 1b, Fig. 2). We conducted measurements of the gravel units' surface elevation for each

194 section, relative to the river. These measurements were then compared with the G-L, G-D, and

195 Hequ sections, which were previously published, allowing a comprehensive assessment of the

196 morphological consistency within the studied region. Two terraces are preserved below the G1

197 gravel in the Huangyuya section, both of which are strath terraces with Quaternary loess directly

198 overlying their gravels. The Guanhekou section preserves a total of four Yellow River terraces.

199 While the lowest (T1) is a fill terrace, the remainder are strath terraces, with subsequent deposition

200 of Quaternary loess. In the Wanjiazhai section, only a single fill terrace is preserved.

201 Preservation of the G1 and G2 gravel and the more recent Yellow River terraces does not occur 202 uniformly across all sections. However, a Swath plot reveals that, despite the variations, these 203 features exhibit significant comparability, leaving no doubt that they collectively represent 204 geomorphic records of rivers oriented in a north-south direction. In order to validate whether they 205 belong to a singular river system, we undertook further investigations.

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207 4. Material and methods

208 4.1 Investigation and sampling sites

To reconstruct the evolution of the drainage system, first, the establishment of a comprehensive chronological framework is essential and, second, an analysis of sediment composition is crucial for revealing the source–sink pattern. The study area benefits from previous paleomagnetic dating (Pan et al., 2011), which has successfully established a robust chronological framework for the aeolian sediments deposited above the gravels. Nonetheless, there remains a lack of quantitative

214 investigations concerning the lithological composition and provenance of these gravels.

215 We investigated the following sections (from south to north, respectively) in detail: G–L (39°01′21″

216 N,111°09'38"E), G–D (38°58'41"N,111°05'21"E), Huangyuya (39°07'26"N,111°10'44"E), Hequ

217 (39°23′20″N,111°08′13″E), Guanhekou (39°29′32″N,111°24′39″E), and Wanjiazhai (39°34′08″

218 N,111°25′59″E). The elevation and thickness of the gravel bodies and of overlying overbank and

aeolian sediments were measured using high-precision differential GPS (Trimble GEO 7X). The

Hequ section has been studied previously for gravel lithology and zircon U–Pb chronology (Xiong

et al., 2022), and our present study extends these investigations to encompass each section located

222 at the northern extremity of the Jinshaan Gorge, employing both analysis of gravel lithology and

223 paleocurrent measurement. Furthermore, recent provenance studies of the middle and lower reaches

224 of the Yellow River using zircon U-Pb chronology have provided much evidence for the

reconstruction of drainage evolution (Kong et al., 2014; Nie et al., 2015; Xiao et al., 2020; Zhang

et al., 2021). In our present study, we conducted a provenance study for the fluvio-lacustrine deposits

in the Luzigou section and the Yellow River terrace sediments in the G–D section.

228	As previously described, the Luzigou section (39°01′21″N,111°09′38″E) is the sedimentary center
229	of the Baode paleolake, where fluvio-lacustrine deposits have accumulated since the Late Miocene
230	(Pan et al., 2011), attaining a thickness of up to 77 m, stacked unconformably above the underlying
231	Carboniferous sandstone (Fig. 3). At their base is a distinct set of fluvial gravel unit, measuring
232	approximately 10 m in thickness. These gravels are highly abraded, predominantly showing a sub-
233	rounded morphology, with clast diameters 2–10 cm. Their lithological composition is exceptionally
234	homogeneous, with nearly 98% limestone. They are distinctly imbricated and incorporate mud
235	lenses, signifying that they represent fluvial deposition with stable channels. Sample LZGD was
236	taken at the bottom of this gravel layer. The overlying fluvio-lacustrine strata primarily comprise
237	mudstone, siltstone, and sandstone, with a cumulative thickness of ~67 m. Notably, another distinct
238	gravel unit, which is inset into the fluvio-lacustrine sequence and with the same lithology as G2
239	found on the planation surface, occurs 30 m below the top surface with a thickness of 3 m. Along
240	the profile, from the bottom upwards, we collected detrital samples LZG11, LZG9, LZG8 and LZG6
241	(Fig. 3). Based on previous chronological investigations, the ages of LZGD, LZG11, LZG9, LZG8,
242	and LZG6 correspond to paleomagnetic ages of approximately 10 Ma, 7.1 Ma, 5.2 Ma, 4.9 Ma, and
243	3.7 Ma, respectively (Pan et al., 2011).



U-Pb samples location marked by pale green diamonds. a.) The loess-Red Clay boundary. b.) The higher gravel
unit. c.) Two sand layers in the middle section. d.) Lacustrine strata and lowest gravel unit in the basal section. For
chronological framework, lithological description, and interpreted chrons (black and white for normal and reverse

249 geomagnetic polarities) for see Pan et al. (2011).

4.2 Sedimentary analyses

252 We measured the lithological composition of the G1 and G2 gravels across all sections, as well as 253 for the gravel unit within the Baode fluvio-lacustrine sequence. Additionally, paleocurrent were 254 measured in the G1 and G2 gravels in the Huangyuya, Hequ, Guanhekou, and Wanjiazhai sections, 255 as well as within the Yellow River terrace gravels in the Hequ section. The lithology and preferred 256 orientation (imbrication) of >100 gravel clasts were measured for each sample, which was observed 257 on the gravel unit outcrops. The size range of measured clasts is >3 cm in b-aixs. The gravel-forming 258 geology and resultant clast lithologies in the study area are relatively homogeneous, primarily 259 comprising limestone, sandstone, quartzite, quartzitic sandstone, mudstone, slate, and phyllite. To 260 obtain imbrication, we measured the inclinations of the a-b surfaces of the gravel clasts, which 261 corresponds to the upstream direction, and plotted the rose diagrams and preferred orientation using 262 the software PC99 (Woolfe et al., 2000). Figure 4 shows three-dimensional pie charts depicting the 263 distribution of gravel lithology, as well as paleocurrent rose diagrams (in which flow direction is 264 denoted by the red arrow).

265

266 4.3 Detrital zircon pretreatment

Samples were wet sieved to isolate the 15–250 µm fraction, from which zircon grains were separated
using standard dense-liquid techniques. Zircon grains were randomly selected under a binocular
microscope and mounted using epoxy resin. Pretreatment was carried out at the Key Laboratory of
Mineral Resources in Western China (Gansu Province), School of Earth Sciences, at Lanzhou
University, China.

273 4.4 U–Pb geochronological measurement

274 Detrital-zircon dating was carried out by means of inductively coupled plasma mass spectrometry 275 (ICP-MS) using an Agilent 7700x instrument with an attached CETAC Analyte Excite Excimer 276 Laser Ablation System, at the Department of Geography, Nanjing Normal University, China. The 277 laser beam diameter was set to 20 µm and, as standard reference materials, zircon 91500 278 (Wiedenbeck et al., 1995), GJ- 1(Jackson et al., 2004), Plešovice (Sláma et al., 2008), and QH (Li 279 et al., 2009) were used. For zircons younger than 1000 Ma, we used ²⁰⁶Pb/²³⁸U ages, whereas 280 206 Pb/ 207 Pb ages were used for zircons older than 1000 Ma, with uncertainties expressed at 2σ . 281 Samples with >10% discordance were not considered (see full data provided as Supplementary 282 Material). 283 Data are presented as kernel density estimation plots (KDEs) and non-matrix multi-dimensional 284 scaling (MDS) maps, using the software IsoplotR (Vermeesch, 2012, 2013), were used for

285 provenance interpretation.

286

287 5. Results

288 5.1. Lithological composition and paleocurrents

Data on gravel clast lithology, paleocurrent and elevation profiles of geomorphic surfaces were combined and plotted on the Swath diagram of the northern Jinshaan Gorge (Fig. 4). The lithological composition of gravels within the Baode paleolake sequence and the high-level G1 and G2 gravels is predominantly limestone, exhibiting a more homogeneous distribution in the southern section of the study area. This lithological pattern changes to sandstone, quartzitic sandstone, and quartzite components in the northern section. In contrast, the lithological composition of Yellow River gravels in the Hequ section shows a gradual but progressive change in composition from the higher to lowerterraces with sandstone, quartzite and limestone, significantly differing from the lithology observed

in G1, G2 and Baode paleolake gravels with predominant limestone.

- 298 This notable dissimilarity is further highlighted in the paleocurrent patterns. The inclinations of the
- a-b surfaces of the gravel clasts within the Yellow River terraces predominantly exhibit a single
- 300 direction towards the north, suggesting consistent paleoflow from north to south, conforming with
- 301 the present-day course of the Yellow River. In contrast, the inclinations of the a-b surfaces of the G1
- 302 and G2 gravel clasts display a relatively mixed distribution, with a predominant southward trend.
- 303 This indicates a reversal of drainage in comparison with the modern Yellow River.



Figure 4. The 3D pie charts showing gravel clast lithology, rose diagrams showing paleocurrent directions, and
elevation profiles of geomorphic surfaces, all plotted onto the Swath diagram of the northern Jinshaan Gorge of the
Yellow River. Maximum and minimum elevation along a 25-km-wide swath window (perpendicular to swath
transect), the location of which is shown in Fig 1a.

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310 5.2. Zircon U–Pb chronological spectrum

KDE plots are utilized to illustrate the zircon U-Pb spectrum (Fig. 5). In order to facilitate the 311 312 analysis, our samples are plotted alongside those of previous authors, allowing comprehensive 313 comparison and examination of the data. Sample LZGD shows dominant peaks at ~2500 Ma, and 314 minor peaks at ~250 Ma and ~2500 Ma. Sample LZG11 has subequal dominant peaks at ~250 Ma 315 and ~450 Ma, with minor peaks at ~800 Ma, ~1800 Ma and ~2500 Ma. Sample LZG9 displays 316 dominant peaks at ~250 Ma and ~1800 Ma, a lower peak at ~450 Ma, and minor peaks at ~800 Ma 317 and ~2500 Ma. Sample LZG8 and LZG6 show dominant peaks at ~1800 Ma, and lower peaks at 318 ~250 Ma and ~2500 Ma. The Yellow River terraces (samples from DYDT4 and DYDT3) display 319 subequal peaks at ~1800 Ma, ~250 Ma, and ~2500 Ma, like the spectrum of Yellow River terrace 320 T4 in Hequ (HHT4).



322 Figure 5. KDE plots of U–Pb zircon-age data, published data from Zhao et al. (2008), Stevens et al. (2010, 2013),

323 Liu et al. (2011), Liu et al. (2012), Wang et al. (2014), Nie et al. (2015), Licht et al. (2016), Shang et al. (2016),

324 Zhang et al. (2016, 2021), Xiong et al. (2022), Bohm et al. (2023) (full datasets provided as Supplementary Material).

326 6. Discussion

327 6.1 Drainage reconstruction on the planation surface

328 Gravel fabric analysis has been widely employed in fluvial evolution studies within sedimentary 329 records of river terraces (Rust, 1972; Miao et al., 2008; Souza et al., 2022). The rose diagrams 330 provide valuable insights into local paleocurrents, indicating that a river different from the modern 331 Yellow River flowed from south to north across the study area during the peneplanation period. The 332 chronological analysis of the G2 gravel unit suggests that this river system existed during the early 333 Pliocene (minimum age 4.9 Ma). The sediment chronology of the Hetao Basin reveals deposition 334 in the eastern part of the basin since at least the Late Miocene, with a hiatus indicated by an 335 unconformity dated ~5.3 Ma (Shi et al., 2020). On the basis of the aforementioned evidence, we 336 propose that the process of drainage integration events began at this time, with the fluvial outflow 337 from the Baode paleolake, prior to which this was probably a long-term endorheic lacustrine system. 338 The Yellow River traversed the Hetao Basin prior to entering the Jinshaan Gorge, depositing gravels 339 and coarse sands from the upper reaches (Nie et al., 2015), and the gravels within the gorge 340 predominantly originate from the adjacent mountainous regions. Specifically, these gravels 341 comprise limestone sourced from the Ordovician strata of the Lüliang Mountains, while the 342 sandstone predominantly originated from the Triassic and Cretaceous strata of the Ordos block. 343 Zircon KDE plots can provide valuable insights into drainage evolution, revealing similar patterns 344 indicated by the gravel-forming geology and resultant clast lithologies (Fig. 5). The LZGD samples 345 exhibit striking similarities to samples HHB01, HHB02, and HHYL01 of Xiong et al. (2022), which 346 suggests a common provenance from the Lüliang Mountain. This observation aligns with the low-

347 temperature thermal age evidence in indicating rapid uplift of the Lüliang Mountains at ~12 Ma

348 (Zhao et al., 2016). It is highly likely that the uplift of the Lüliang Mountains, coupled with the
349 extensive lateral river system in the western piedmont, facilitated the deposition of these gravels in
350 lower parts of the peneplain. This deposition is also evident in the Liulin area within the middle
351 Jinshaan Gorge (Li et al., 2009).

352 A distinct variation in provenance is evident between LZGD and LZG11, with the latter displaying 353 a more diverse spectrum characterized by peaks at ~250, ~450, ~800, and ~2500 Ma. No zircon 354 spectra like LZG11 were found in sediment samples from the geomorphic surfaces in the Hequ 355 section. However, it is noteworthy that the sample LZG11 exhibits remarkable similarities to the 356 Late Miocene Red Clay deposits in the Baode area (Shang et al., 2016; Bohm et al., 2023). This 357 multi-peak pattern probably signifies the presence of materials originating from the Central Asian 358 Orogen Belt and transported over long distances by East Asian Winter Monsoon. The chronologies 359 of multiple sedimentary sequences suggest that aeolian deposition to the east of the Liupan 360 Mountains may have commenced around 7 Ma (Sun et al., 2022). The sample LZG11 represents a 361 period characterized by increased aridification in inland Asia, leading to enhanced aeolian 362 deposition and supply of material to the Baode region, as well as deposition in the Baode Paleolake 363 through slope erosion and transportation by flowing water.

Comparing LZG9 to LZG11, it is evident that LZG9 exhibits higher peaks at ~200 Ma and ~1800 Ma. This coincides with the Miocene–Pliocene transition and the shift from Baode Red Clay to Jingle Red Clay deposition in the Baode area (Zhu et al., 2008). The significantly wetter climatic conditions during this period led to increased surface runoff (Ao et al., 2021), enhanced local erosion capacity, and a greater contribution of materials from the East Mu Us (EMU) desert and the Lüliang Mountains. Samples LZG8 and LZG6 both reflect a similar provenance, characterized by a mixture 370 of materials originating from the Lüliang Mountains and EMU, in alignment with the sediment



371 composition found on the planation surface of the Hequ section.

Figure 6. Non-matrix multi-dimensional scaling (MDS) plot of U–Pb zircon-age data. The proximity between
samples reflects their degree of similarity, with solid lines connecting them denoting the first level of similarity,
while dashed lines represent the second level of similarity. Colored areas represent main potential sources (Red=Red
Clay, Green=Lüliang mountains and Ordos Block, Pale Green=Luzigou section, Yellow=Yellow River terraces,
Blue=Central Asian Orogenic Belt and Tibetan Plateau) a.) Sediment similarity relationship between the Baode and
Hequ regions. b.) The similarity of samples to potential source regions.

Incorporating MDS plots, our findings reveal two significant changes in provenance within the Luzigou section during the peneplanation period (Fig. 6). The first change occurred after the initial accumulation of fluvial gravel, whereas the second occurred before the formation of the G2 gravel unit at ~4.9 Ma. The basal gravel unit of the Luzigou fluvio-lacustrine strata signifies an inland water system characterized by a relatively simple provenance. However, due to the fine grain size of the lacustrine clay above the basal gravel unit, conducting zircon U–Pb chronology becomes challenging. Consequently, it proves difficult to determine the duration of the single provenance

387 process and how the source evolved before 7.1 Ma, after which a change in provenance occurred 388 prior to 4.9 Ma, which we attribute to intensified erosion resulting from increased climatic humidity 389 (Ao et al., 2021). Enhanced runoff due to climatic humidity may have brought in more detrital, 390 replacing a pattern dominated by aeolian deposition. Concurrently, we can discount additional 391 provenance changes in the local area resulting from potential alterations in the source of the Red 392 Clay. Within the Baode region, variation in the source of Baode Red Clay and Jingle Red Clay 393 during this period is comparatively minor compared to fluctuations observed in the fluvio-lacustrine 394 sediments (Shang et al., 2016; Bohm et al., 2023) and is thus inadequate as an explanation of 395 provenance variation within the Luzigou section. In contrast, the analysis of Red Clay provenance 396 in the Jiaxian section may offer greater insights into the formation of the north-flowing fluvio-397 lacustrine system of the Baode paleolake and its role in supplying weathered materials to the 398 downwind Red Clay deposits (W.B. Peng et al., 2023).

399 Furthermore, previous studies suggest continuous and sequential accumulation of aeolian sediments 400 in the Baode area, extending back to at least 7.1 Ma (Zhu et al., 2008), sediments that probably 401 represented a consistent supply of material to the Baode Paleolake. The observed changes in 402 sediment provenance can be attributed to increased contributions of silt- to sand-size detritus from 403 local rivers. It is important to note that the zircon U-Pb method exhibits greater sensitivity to this 404 grain size, owing to inherent limitations of the technique itself. Consequently, the influx of fluvial 405 material gradually replaced the previously eroded local Red Clay, assuming a dominant role within 406 the sedimentary record of the Baode Paleolake.

407

408 6.2 Provenance of sediments of the integrated Yellow River

409 The sediment provenance of the modern Yellow River bedload gravel has been a subject of 410 significant interest and investigation among geologists due to the discovery that provenance may 411 exhibit spatial variability across different sections of the river (Kong et al., 2014; Nie et al., 2015). 412 In these studies, there has been focus on the Hetao Basin to Baode region, located around the 413 northern part of the Jinshaan Gorge, where notable provenance variations have been observed, as 414 exemplified by samples YILI and BD of Nie et al. (2015). Furthermore, the sediment provenance in 415 the Yumenkou area at the southern end of the Jinshaan Gorge shares similarities with the BD 416 samples, indicating a local source from the Ordos Block and the adjacent Lüliang Mountains (Kong 417 et al., 2014). These findings suggest that the contemporary processes governing sediment transport 418 in the middle reaches of the Yellow River system impede the passage of materials originating from 419 the Tibetan Plateau through the Jinshaan Gorge. Consequently, these materials fail to reach 420 downstream regions such as the North China Plain or the Bohai Sea. This observation highlights the 421 complex dynamics of sediment transport and underscores the need for a comprehensive 422 understanding of sediment provenance across different sections of the Yellow River system. 423 The investigation of zircon-age spectrums in the terrace gravels of the Hequ section has yielded 424 crucial insights into the temporal extent of the sediment transport process, suggesting a possible 425 duration from the terrace HHT4 formed (0.1 Ma) to present (Xiong et al., 2022). Notably, the 426 analysis of samples DYDT4 and DYDT3 in this study, in combination with data from previously published samples YILI, BD, HHRB, and HHT4, reveals that material sourced from the upper 427 reaches of the Hetao Basin has been impeded in reaching the Baode area since the initial formation 428 429 of the integrated Yellow River. This timeframe pre-dates the formation of the highest Yellow River 430 terrace, estimated at 1.2 Ma, based on previous paleomagnetic chronostratigraphy (Pan et al., 2012).

- 431 These findings suggest that the disruption in sediment transport from the upstream Hetao Basin to
- the Baode area occurred prior to the formation of the highest terrace, indicating prolonged restriction
- 433 in sediment transport during this earlier period.
- 434
- 435 6.3 Drainage reorganization along the Jinshaan Gorge recorded by the provenance change



Figure 7. Time-slice sketch of evolutionary model in the northern Jinshaan Gorge since the Late-Miocene.

439	Our study has revealed noteworthy distinctions in provenance between the fluvio-lacustrine (LZG8
440	and LZG6) and terrace samples (DYDT4, DYDT3, and HHT4). Although the visual disparity on
441	the KDE plots may not be obvious, a significant increase in the ~1800 Ma peak introduces a distinct
442	separation between these two datasets on the MDS plots (Fig. 6). This disparity in provenance can
443	be attributed to the intensified hydrodynamics following the formation of the integrated Yellow
444	River, in which the reworking of Red Clay into fluvial deposits became limited. In contrast, the
445	terrace samples exhibit a simpler local source that aligns with the characteristics of modern riverbed
446	samples.
447	In this study, we present a comprehensive model for drainage evolution in the northern section of
448	the Jinshaan Gorge, as illustrated in Figure 7. During the peneplanation period, from 8.3 to 4.9 Ma,
449	a depression existed in the Baode area, resulting in the deposition of fluvio-lacustrine strata in the
450	Baode paleolake, the main sediment source being the Red Clay. At 4.9 Ma, continued increasing of
451	climatic humidity led either to the overflow of the lake or an inland river flowing into the Hetao
452	Basin eroded headward and incised through the paleolake, giving rise to a paleo-river that flowed
453	in the opposite direction to the modern Yellow River. Subsequently, at 3.7 Ma, the dissection of the
454	Tangxian-period planation surface triggered increased fluvial erosion, so that rivers originating from
455	the Fenwei Basin began to erode northward along the western piedmont of the Lüliang Mountains
456	as the headward erosion pattern in Hu et al. (2016). This pattern of headward erosion is similar to
457	that proposed by the previous study in Sanmen Gorge (Liang et al., 2022). And the erosion process
458	persisted until 1.2 Ma, when there was finally fluvial incision through the Baode area, resulting in

the formation of the modern Yellow River. Concurrently, the initiation of the Jinshaan Gorge
occurred, followed by the progressive incision that led to the formation of the Yellow River terrace
sequence.

462 In conclusion, we emphasize that the formation of the modern configuration of the Yellow River in 463 the Jinshaan Gorge occurred between 3.7 Ma and 1.2 Ma, following the dissection of the planation 464 surface and preceding the formation of the highest terrace. Varied interpretations of the remaining 465 sediments within the gorge have resulted in divergent views on the timing of Yellow River formation 466 in previous studies. One of the viewpoints suggests that the fluvio-lacustrine sediments overlying 467 the planation surface can probably be interpreted as the archive of ancient Yellow River, excavating 468 a wide valley by lateral erosion through the whole Jinshaan Gorge in the period from 8 to 3.7 Ma 469 (Liu, 2020; Li et al., 2022; Xiong et al., 2022). In contrast, more evidences from terrace correlation 470 and lithological composition argue an independent origination between the fluvio-lacustrine 471 sediments accumulated on planation surface and the Yellow River terraces (Cheng et al., 2002; Pan 472 et al., 2012; Hu et al., 2016, 2017, 2019). The comprehensive provenance study here seems to be 473 correspondent to the latter, concluding that the fluvio-lacustrine deposits on the Tangxian-period 474 planation surface probably represent distinct endorheic drainage system during peneplain 475 development across the northern Jinshaan Gorge, rather than being attributable to the modern 476 integrated Yellow River. First, their distribution lacks connectivity and is difficult to relate to other 477 parts of the Jinshaan Gorge area parallel to the Yellow River. Second, comprehensive provenance 478 evidence in support of their association with more modern Yellow River deposits is lacking. While 479 our study focuses primarily on the provenance differences between sediments overlying the 480 planation surface and those of the modern Yellow River in the northern part of the Jinshaan Gorge,
481 further investigations encompassing the entire gorge are clearly warranted. Nonetheless, it is evident
482 that the presence of the Yellow River in the northern segment of the Jinshaan Gorge postdates 3.7
483 Ma.

484 We also would like to highlight an interesting observation regarding the headward erosion of the 485 Yellow River during the period from 3.7 to 1.2 Ma. Our analysis reveals a distance of headward 486 erosion during this period of ~410 km, at an average rate of 164 km/Ma (Hu et al., 2016). It is 487 important to note that this erosion rate is significantly less than the headward-erosion rate of 350 488 km/Ma calculated by Craddock et al. (2010) for the Yellow River in the northeastern Tibetan Plateau. 489 This discrepancy may be attributed to the different tectonic backgrounds of the two regions, with 490 more active tectonic uplift in the northeastern Tibetan Plateau. Furthermore, numerous drainage 491 reorganization archives originated from the global view all appear to occur in the Plio-Pleistocene 492 period (Gouveia et al., 2020; Yang et al., 2021), yielding a remarkable correlation with the 493 worldwide warming and wetting climate (Nie et al., 2014; Freitas et al., 2022). The process of 494 drainage reorganization can vary based on the specific tectonic, climatic, and geomorphological 495 settings, emphasizing the need to consider these factors holistically when reconstructing 496 evolutionary history. Incorporating these elements into the analysis will contribute to a more 497 comprehensive understanding of the complex reorganization processes associated with drainage in 498 different regions.

499

500 7. Conclusions

501 Taking into consideration the spatial distribution of geomorphic surfaces, sedimentary502 characteristics, and provenance analysis, we have reconstructed the evolutionary history of the

503	northern section of the Jinshaan Gorge from the peneplanation period to the development of the
504	modern deeply incised valley of the Yellow River. Our investigation encompasses Late Miocene to
505	Early Pleistocene time, capturing key provenance changes within this timeframe. The Baode
506	paleolake emerged around 8.3 Ma as a localized endorheic system. Subsequently, at ~4.9 Ma, it
507	became established as a fluvio-lacustrine system in conjunction with the Hetao Basin, resulting in
508	northward outflow. As this process continued, the enhanced erosion resulting from the formation of
509	the planation surface at ~3.7 Ma further reshaped the landscape. Finally, at 1.2 Ma, the downstream
510	river captured the fluvio-lacustrine system, forming the present-day Yellow River middle reaches.
511	Our study provides a robust framework that offers a comprehensive and reliable explanation for the
512	evolution of the middle Yellow River catchment, taking into account the significant influences of
513	increasing climatic humidity and relative tectonic uplift.

514

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

⊠The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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