- 1 Experimental warming outside the growing season and exclusion of grazing has a mild effect on
- 2 upland grassland plant communities in the short term
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#### 9 Abstract

Background: Winters are expected to warm more than summers in central and northern Europe, with
 largely unknown effects on grassland plant communities.

*Aims*: By studying the interactions between winter warming and summer grazing, we aimed to
 disentangle their effects and give recommendations for future grassland management.

Methods: Our study area Upper Teesdale, England has winter temperatures close to 0 °C and a wellstudied vegetation, known for its arctic-alpine species growing at their climatic warm range limits. We set up a winter warming experiment using open top chambers (*ca.* +0.5 °C) from mid-September until mid-May 2019 to 2022 and excluded sheep grazing during summer in a fully factorial design.

*Results*: Graminoid biomass increased, and bryophyte biomass decreased with winter warming. There
was little to no evidence that winter warming affected any of the other plant response variables we
measured, neither did grazing nor the interaction between winter warming and grazing.

*Conclusions*: Our experiment was relatively short in duration and treatments were realistic in magnitude, therefore the plant communities responded only slightly. Nevertheless, our data suggest a change towards more dominant vascular species and less bryophytes with winter warming, which might lead to lasting changes in the plant communities in the longer-term if not buffered by suitable grazing management.

26

# 27 Keywords

British Uplands, grasslands, grazing, open top chambers, plant biomass, plant communities, *Sesleria caerulea*, winter warming

#### 31 Introduction

#### 32 Winter warming

33In central and northern Europe, winters are expected to warm more than summers by 2071-2100 (RCP8.5 scenario; EAA 2014). However, the potentially critical ecological effects of winter warming 34on plant communities remain poorly understood, since most studies to date have only been performed 35during the growing season (Kreyling 2010; Williams et al. 2015). This is especially true for temperate 36 regions (Kreyling 2010). Winter in temperate ecosystems has long been regarded, erroneously, as the 37dormant season, yet there are plants that remain photosynthetically active and many important 38ecological processes take place during winter (e.g., soil microbiological activity, mineralisation, 39 nutrient cycling and physical changes to soil properties) (Edwards et al. 2007; Kreyling et al. 2011). 40 Winter warming can affect herbaceous plant communities directly by a potential combination of a 41 prolonged growing season and reduced snow cover, increasing the risk of frost damage, which can 42have complex effects on plant phenology, productivity and community composition (Inouye 2008; 43Bokhorst et al. 2010; Williams et al. 2015; Liu et al. 2018; Kreyling et al. 2019). 44

It has been suggested that primary productivity in temperate mountain grasslands was governed 4546mainly by the length of the snow-free period, rather than by temperature and precipitation during the growing season (Choler 2015). In areas that are usually covered by snow and have soil temperatures 47around 0 °C during winter, plants can remain active under the snowpack and rapidly start growing 48once the snow has melted (Edwards et al. 2007). In line with this, other studies have also found plant 49biomass to increase with winter warming (Schuerings et al. 2013; Grant et al. 2017; Zeeman et al. 502017; Kreyling et al. 2019). Furthermore, warmer winter temperatures can alter the plant community 51composition (Grant et al. 2017; Kreyling et al. 2019; Niittynen et al. 2020). For example in temperate 5253grasslands, winter warming favours mainly tall, competitive species, which might eventually outcompete smaller, light-demanding species (Kreyling et al. 2019). Similarly, in the Alps, plant 54communities with tall, slow-growing plant species were mostly growing in sites with early snow melt 55(Jonas et al. 2008). In the Arctic, warmer winter conditions have been shown to promote vascular 56

plant communities and to reduce lichen occurrences (probably partially due to competition) (Niittynen
et al. 2020).

#### 59 Winter warming and grazing

To the best of our knowledge the interactive effects of rising winter temperatures and grazing on 60 grassland plant communities have not been studied explicitly, to date. There are, however, studies on 61 summer or all-year experimental warming and grazing. Globally, climate warming increases above-62and below-ground net primary productivity, but decreases plant species richness (He et al. 2022). 63 Warming-induced increases of plant biomass and changes in plant communities can often be buffered 64 by grazing (Klein et al. 2004; Post and Pedersen 2008; Wang et al. 2012; Zhang et al. 2015). In a 65 long-term experiment in the US, community composition was resistant to warming for 7 years, 66 whereas yearly clipping affected community composition consistently (promoting species richness, 67 but decreasing evenness) (Shi et al. 2015). However, the combined warming and grazing effects can 68 be non-additive, suggesting that we cannot make realistic predictions from single-factor studies (Klein 69 et al. 2007). Also Li et al. (2018) concluded that multi-factor experiments on the joint effects of 7071climate change and grazing are needed for a comprehensive understanding of future grassland management. We addressed this knowledge gap using a winter warming experiment across different 72grazing pressures located in the British Uplands. The British Uplands cover 16.7 to 42.1% of the 73British land surface (depending on the definition), they are important providers of ecosystem services, 7475and many of them include semi-natural habitats and are protected for nature conservation (House et 76al. 2010).

#### 77 Winter warming and grazing in the British Uplands

The UK Environmental Change Network analysed climatic data between 1993-2007 from 12 terrestrial sites in the UK and found that the average temperature had increased by 1.2 °C in the British Uplands compared to only 0.7 °C in the Lowlands (Morecroft et al. 2009). Temperature changes were stronger in winter than in summer, with temperature minima increasing more than maxima (Morecroft et al. 2009; Burt and Holden 2010). A further reduction of frost events in upland

Britain can be expected in the future (Pepin et al. 2009). The frequency of air frosts has been found to 83 decrease from 129 to 99 days per year (between the periods 1953-1980 and 1991-2006), which the 84 authors warn 'may have major implications for the functioning of terrestrial and aquatic systems in 85 the region' (Holden and Rose 2011). Average yearly snow cover has declined since 1960 in Great 86 87Britain, especially in mountain areas in northern England (Brown 2019). At Moor House (close to our study area), the growing season prolonged by ca. 1 day per year between 1993-2012, however this 88 trend was not statistically significant (Monteith et al. 2016). Many of the British Uplands have mean 89 90 winter temperatures close to 0 °C (Burt and Holden 2010). Hence warming winters in particular could 91 have measurable consequences for the local vegetation, e.g., changes in species ranges, species composition, phenology and frost injury (Kreyling 2010). However, vegetation in cold, nutrient-poor 92environments are known to be quite resistant to environmental change, and potential changes in plant 93 communities usually happen very slowly (Grime et al. 2008; Damgaard et al. 2016; Alday et al. 942021). 95

96 Upper Teesdale, our study area, has a unique flora, the so called 'Teesdale assemblage', which includes disjunct populations of species that predominantly have both southern and northern 97 distributions (Pigott 1956). The 'Teesdale rarities', i.e. pre-alpine, alpine, arctic-alpine and sub-arctic 98species, have been interesting for scientists and in focus for conservation efforts for more than 50 99 years (Bellamy et al. 1969; Squires 1971; Turner et al. 1973; Bradshaw and Doody 1978; Cranston 100 and Valentine 1983; Bradshaw 2023). Pollen analyses suggest that many of those rare species, e.g. 101 Gentiana verna and Dryas octopetala, have been present in the area throughout the post-glacial period 102and can therefore be considered 'relict species' (Turner et al. 1973; Squires 1978). The harsh climate, 103 104 together with metal-rich soils are thought to hamper biomass production and therefore allow the 'Teesdale rarities' to persist (Pigott 1956; Waughman et al. 1983). In addition to the distinctive 105 climatic and edaphic conditions in Upper Teesdale, sheep grazing has shaped the vegetation over the 106last centuries – creating a short, open sward with a wide range of microhabitats (Gilbert et al. 1978). 107 108Grazing also promotes the 'Teesdale rarities' since they are sensitive to competition (Squires 1978; Bradshaw 2023). For example, a relatively competitive grass species in the area is Sesleria caerulea, 109

which has a wide ecological tolerance and can form dense litter layers supressing other plant species
(Lewthwaite 1999). Milder winters and a longer growing season might increase the competitive
ability of *S. caerulea* and change plant composition in the long-term. On the other hand, vegetation
changes in our study area might happen very slowly due to cold and nutrient-poor conditions
(Elkington 1981; Alday et al. 2021). The distinct climatic conditions coupled with a very well-studied
vegetation make this area highly suitable for climate change experiments.

116 To the best of our knowledge, there are no published studies addressing the interactive effects of

117 winter warming and grazing management on grassland plant communities. By studying the

interactions between winter warming and grazing, we aimed to better determine appropriate

119 management regimes that can help maintain the current plant communities, even under future climate

120 warming.

121 Taking the main factors above into consideration, we hypothesised that:

122 H1: Winter warming would increase plant biomass, favouring mainly competitive species.

123 H2: Grazing could buffer winter warming effects on plant biomass and community composition.

124

#### 125 Materials and methods

### 126 Experimental setup and treatments

127 Our experiment was located in the Moor House – Upper Teesdale National Nature Reserve in

northern England (Figure 1). The Nature Reserve is grazed by Swaledale sheep (*ca.* 100 sheep per

129 km<sup>2</sup> from 15 May to 1 November and less than 25 sheep per km<sup>2</sup> from 2 November to 14 May) and by

130 wild rabbits. The grasslands of our study area are situated on so-called 'sugar limestone', i.e.

131 metamorphosed limestone that after weathering resembles granulated sugar (Pigott 1956; Johnson et

al. 1971). In September 2019, we established 60 plots (25 cm diameter, distance between plots ca.

133 1.5 m) in a full factorial design with the two treatments 'winter warming' and 'grazing exclosure'.

134 The plots were arranged in a 5 x 4 grid in three sites: (1) Widdybank Fell (N 54°39'22'',

135 W 2°16'48'', 503 m a.s.l.), (2) Thistle Green (N 54°39'02'', W 2°14'36'', 542 m a.s.l.) and (3)

Cronkley Fell (N 54°39'04'', W 2°14'17'', 528 m a.s.l.). The sites were chosen to cover the whole spectrum of grazing intensities present in the area. Widdybank Fell has the lowest grazing pressure, Cronkley Fell the highest, and Thistle Green falls in-between. On Thistle Green, sheep and rabbits are excluded by a fence that is opened only for a few weeks in late summer (usually opened up from August/September to end of October/early November, depending upon sward conditions). All three sites are on flat terrain and 0.3 to 2.7 km away from each other. Characteristics on how the three sites differ regarding their vegetation and microclimate can be found in Table 1.

The plots measure 25 cm in diameter (491 cm<sup>2</sup>), which is quite a small plot size but comparable to 143 several other vegetation studies in British upland grasslands (Waughman et al. 1983; Bates et al. 1442005). For the winter warming treatment, we built 30 open-top-chambers (OTCs) (Figure 1). The 145design of the OTCs was inspired by the International Tundra Experiment (Marion et al. 1997): they 146 were cone-shaped with a  $60^{\circ}$  angle, measured 84.6 cm diameter on ground level, 50.0 cm at the top 147 148and were 30.0 cm tall. They were made of 3 mm thick plastic sheets (polyethylene terephthalate glycol) and were attached to the ground with tent pegs and wooden sticks. The OTCs were placed on 149 every second of the plots in each site. They were in place from mid-September until mid-May 2019 to 1502022, apart from the first winter when a storm destroyed 17 OTCs in January 2020, which we 151replaced in March 2020. We chose this timeframe for our winter warming treatment to capture the 152entire period outside of the growing season, which used to be between mid-May and mid-September 153(Manley 1942) and is still considered 'very short' (Bradshaw 2023) in our study area. 154

To experimentally manipulate the grazing pressure, we set up a fence on each site that excluded sheep (but not rabbits) from half of each site between mid-May and mid-September each year. For the rest of the year, we re-arranged the fences so that they encompassed the entire sites in order to protect the OTCs from animal destruction during winter.

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#### 159 Measurements

At the beginning of the experiment, we installed two TOMST temperature and soil moisture sensors 160 at Cronkley Fell, in September 2021 we added another 14 to be able to measure the effects of the 161 OTCs on soil and near-surface temperature and soil moisture. We paired the loggers, one inside the 162OTC and one outside the OTC next to the adjacent control plot. Loggers were placed at the edge of 163164 each plot so as not to disturb the vegetation. Two pairs of TOMST were located on Widdybank Fell, two pairs on Thistle Green and three pairs on Cronkley Fell. The loggers capture the climatic 165conditions experienced by the plants, i.e. air temperature at the height of the canopy (at +15 cm), 166surface temperature at the position of their overwintering buds (at 0 cm) and soil temperature (at -8 167 168cm) as well as soil moisture (between 0 and -15 cm) in the rooting zone (Wild et al. 2019). 169Measurements were logged every 15 min. One of the loggers was malfunctioning and therefore data were discarded in the analysis. 170

Regarding the vegetation, we took three types of measurement. First, we weighed biomass. For that, 171we cut the above-ground parts of plants in all plots at ground level and collected litter and bryophytes 172173at the end of our experiment in May 2022. The biomass was sorted into the following five categories: forbs (incl. legumes and low-growing shrubs), graminoids (excl. Sesleria caerulea), S. caerulea, litter 174and bryophytes. Lichens were also collected, but only found in eight plots and therefore not included 175in our analyses. The biomass was dried to constant weight at 60 °C for 48 h and cooled before 176 177 weighing of dry mass. Total graminoid biomass was calculated by adding S. caerulea biomass to the 178other graminoids. Second, we recorded the plant community composition of each plot at the beginning of the experiment in September 2019, and again in May 2022. We visually estimated the 179 cover of each vascular plant species to the nearest 1%. Species that could not be clearly identified 180 181because they were grazed or in an early development stage were grouped to genus level. Third, we measured the 10 longest (only healthy and fully developed) leaves of S. caerulea from base to tip. 182These measurements were taken in each plot in September 2019 and May 2022. S. caerulea is known 183 as a particularly competitive species in our study area (Lewthwaite 1999) and one indicator trait for its 184competitiveness is leaf length, since plants with longer leaves can benefit directly from increased 185

photosynthetic rates and indirectly by reducing the growth of neighbouring, shorter plants via shade
(Craine and Dybzinski 2013). Because of the restrictions during the pandemic we were not able to
take measurements every year as initially planned. All vegetation data can be found in the Online
Supplementary Material Table S1.

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191 Data analysis

To analyse treatment effects on above-ground plant biomass, we fitted linear mixed effect models 192 (LMMs) using the function 'lmer' from the R package 'lme4' (Bates et al. 2015). Diagnostic plots 193 were checked to ensure that the assumptions of heteroscedasticity and normality of residuals were 194fulfilled. A separate LMM was fitted for each plant group (i.e. 'forbs', 'graminoids', 'S. caerulea', 195196 'litter' and 'bryophytes') using the square root of the 'dry biomass weight in g' (n=60) as response 197 variable. Each LMM contained as fixed effects 'winter warming' (2 levels: warming, no warming) and 'grazing' (2 levels: grazing, no grazing) including their interaction, and as random effect 'site' (3 198 levels: Cronkley Fell, Thistle Green, Widdybank Fell). We fitted the LMMs using the restricted 199 maximum likelihood (REML) method. P-values for the fixed effects were obtained from a Chi-square 200201 test using the function 'Anova' from the R package 'car' (Fox and Weisberg 2019). An adjusted pseudo R-squared was derived through the R function 'rsq' from the R package 'rsq' (Zhang 2021). 202

For each plot and year (2019 and 2022), the Shannon diversity index was calculated, based on the 203plant community data. The Shannon diversity index reflects both species richness (number of species) 204and evenness (relative abundances of species) present in a plot. In a second step, we subtracted the 2052019 index values from the 2022 values to see how the diversity had changed in each plot. We then 206performed the same LMM as described above, using 'change in Shannon diversity index 2019-2022' 207(n=60) as response variable. Treatment effects on plant communities were further analysed with a 208permutational multivariate analysis of variance (PERMANOVA) with 999 permutations, based on a 209 Bray-Curtis dissimilarity matrix of the plant community data from 2022, using the function 'adonis2' 210from the R package 'vegan' (Oksanen et al. 2023). PERMANOVA is a useful statistical tool for the 211

212	analysis of multivariate data on the basis of dissimilarity measures (Anderson 2017). Since it is not
213	possible to include random effects in PERMANOVAs, we accounted for 'site' by including it as the
214	first term in our model and using sequential sums of squares, so that the variation among sites is
215	accounted for before testing the effects of 'winter warming' and 'grazing'.
216	To analyse S. caerulea leaf length, the change in mean leaf length per plot between September 2019

- (before treatment) and May 2022 was calculated. The same LMM was then performed as described
- before, but using as response variable the 'change in mean *S. caerulea* leaf length 2019-2022 in mm'
  (n=60).

Figures were created with the R package 'ggplot2' (Hadley 2016). All analyses and figures were performed in R v.4.1.3 (R Core Team 2022).

222

# 223 Results

# 224 Effects of open top chambers on microclimate

The open top chambers (OTCs) increased the air temperature by 0.35 °C (mean 5.12 °C vs. 4.77 °C in 225controls), the surface temperature by 0.48 °C (mean 5.49 °C vs. 5.01 °C in controls) and the soil 226temperature by 0.37 °C (mean 5.85 °C vs. 5.48 °C in controls). Most of that warming happened in 227 autumn and spring, whereas the chambers had almost no warming effect during November, December 228229and January (Figure 2 (A)). The mean growing degree days (GDD) with a base temperature of 2 °C and a maximum temperature of 30 °C (measured at 0 cm) were 1001 GDD inside the OTCs and 803 230GDD in the control plots. The OTCs also increased the minimum temperatures by 1.25 °C in the air 231(minimum -11.0 °C vs. -12.25 °C in controls) as well as on the surface (minimum -8.0 °C vs. -9.25 °C 232233in controls) and by 0.38 °C in the soil (minimum 0.75 °C vs. 0.37 °C in controls). None of our plots experienced soil frost during the winter we measured. Regarding air frost however, the surface 234temperature dropped below 0 °C on average on 85 days in the control plots and on 81 days inside the 235OTCs. In late spring (2022-03-01 until 2022-04-27), when frosts can be particularly detrimental to 236

plant development, the surface temperature dropped below 0 °C on average on 27 days in the control
plots and on 25 days inside the OTCs. The mean volumetric soil water content was 0.59 in the control
plots and 0.58 inside the OTCs. It increased from *ca*. 0.45 in early September to *ca*. 0.60 in midOctober and remained more or less stable until May (Figure 2 (B)).

### 241 Winter warming and grazing effects on vegetation

The data revealed strong evidence that bryophyte biomass had decreased with winter warming (LMM: chi-square = 7.249; P = 0.007) and moderate evidence that graminoid biomass had increased with winter warming (LMM: chi-square = 4.086; P = 0.043) (Figure 3). We found little to no evidence that winter warming affected any of the other plant response variables we measured, neither did the presence or absence of sheep grazing nor the interaction between winter warming and grazing. Tables with the results of all analyses can be found in the Online Supplementary Material 2.

248Concerning plant community composition, we found 36 vascular plant taxa in total (31 identified to species level and 5 to genus level) (Figure 4). At the start of the experiment, there were on average 249 $(\pm SD)$  10.5  $\pm 2.0$  taxa in each plot (25 cm diam., *n*=60). Overall, changes were small and primary 250attention should be given to the relative differences between treatments, not to the absolute values. 251252This is because in 2019, data were collected in September and in 2022 in May, which caused natural differences in plant cover. Taxa that increased with the warming treatment were for example Thymus 253polytrichus, Viola spp., Helianthemum nummularium and Sesleria caerulea, the latter two even more 254so with no grazing. Taxa that decreased with warming included Carex spp. and Festuca ovina. There 255was little to no evidence that plant diversity, as reflected in the Shannon diversity index, was affected 256by our treatments, however it decreased slightly without grazing, and increased in variability with 257winter warming (i.e. some plots becoming more diverse, others less diverse) (Figure 5 (A)). Likewise, 258259the PERMANOVA results showed little to no evidence for a treatment effect on plant community composition (Online Supplementary Material 2). 260

The leaves of *Sesleria caerulea* tended to become longer in the non-grazed plots, and this trend was increased by warming (Figure 5 (B)), however these trends were not statistically significant. Leaves were shorter in 2022 than in 2019, because the earlier measurements were taken in September and the later ones in May, i.e. just at the beginning of the growing season when all leaves were still relatively short. Therefore, main focus should be given to differences between treatments rather than changes in absolute values.

267

#### 268 Discussion

#### 269 H1: Winter warming would increase plant biomass, favouring mainly competitive species

The increase of graminoid biomass and decrease of bryophyte biomass in response to winter warming 270271could indicate that taller graminoids are outcompeting bryophytes. This is in accordance with other studies that found warmer winter temperatures favour mainly tall, productive species at the cost of 272smaller species (Kreyling et al. 2019; Niittynen et al. 2020). On the other hand, Grant et al. (2017) 273274have found no significant increase of graminoid biomass in a mesic temperate grassland, rather 275increases in other plant groups. A winter warming experiment in another upland limestone grassland in the UK, where soil surface temperature was increased by +3 °C relative to control using electrical 276soil heating cables, found that total bryophyte cover decreased slightly (non-significantly) with winter 277warming, with two bryophyte species significantly decreasing and one species increasing (Bates et al. 2782792005). This indicates that results might depend on the bryophyte species in question, which was also found in summer and all-year warming studies (Lett et al. 2022; van Zuijlen et al. 2022; Hollister et 280al. 2023). Another explanation for the bryophyte decrease in our experiment could be an increase in 281air vapour pressure deficit caused by the OTCs (Hollister et al. 2023), however we cannot confirm 282this as we did not measure air humidity. Since bryophytes can impede the germination and 283establishment of vascular plants by altering light and moisture conditions (Bates et al. 2005), a 284decrease in bryophyte biomass could have subsequent effects on the vascular plant community 285composition. 286

Regarding species diversity, as reflected in the Shannon diversity index, the plots that were exposed to winter warming increased in variance compared to control plots, indicating that community shifts were happening but with no clear direction. This could be natural variation or the beginning of a shift in species diversity.

The biomass of *Sesleria caerulea*, known as a particularly dominant grass species in our study area that can form dense litter layers (Lewthwaite 1999) with negative effects on other plants, did not change markedly with winter warming, neither did litter mass. Yet, regarding the leaf length of *S*. *caerulea* as well as the abundance of the species, we observed a slight increase relative to control plots in the treatment with winter warming without grazing. These could be early warning signs that *S. caerulea* might increase in size if not grazed regularly (Reisch and Poschlod 2003), even more so under future climate scenarios.

The fact that we observed overall modest changes with our winter warming treatment could have two 298main reasons. Firstly, our warming effect was relatively low (*ca.* +0.5  $^{\circ}$ C) compared to other studies 299 (Grime et al. 2008; Grant et al. 2017; Suonan et al. 2017; Birgander et al. 2018). However, a similar 300 study that increased winter air temperatures by +0.6 °C over 4 years in a semi-natural grassland in 301 Germany, observed an increase in above-ground plant biomass by 18%, with increasing effect sizes 302over time (Kreyling et al. 2019). Secondly, the time-span of our study is rather short (three winter 303 seasons), considering that plant communities can remain resistant to warming for many years (Grime 304 305 et al. 2008; Shi et al. 2015). Upper Teesdale is known for changes in plant communities to happen 306 very slowly due to the harsh climate and nutrient-poor soils (Elkington 1981). This is in line with other studies from cold, nutrient-poor environments that showed a high resistance and very slow 307 response of the vegetation to environmental change (Grime et al. 2008; Damgaard et al. 2016; Alday 308 309 et al. 2021), although some alpine plant communities have been found to change in relatively short periods of time (Hamid et al. 2020; Nicklas et al. 2021; Lamprecht et al. 2021). Løkken et al. (2019) 310 have previously warned about the dangers of using short-term experiments for predictions of long-311 term consequences of environmental change. Manley (1942) has mentioned the 'marginal' character 312of the climate in Upper Teesdale and warned that only a small increase in temperature might alter the 313

vegetation fundamentally. Therefore, the tendencies we notice should be continued to be monitored,
in order to distinguish fluctuations from directional change and to observe if effect sizes will increase
over time or if the grasslands will remain resistant to changes in composition.

Our results are based on three grassland sites which might not be representative for other grasslands 317with different plant communities, as results are known to be often site-specific (Rustad et al. 2001; 318 319 Bates et al. 2005). Regarding the biomass measurements, the natural variation of species composition among plots might also obscure treatment effects, since these data were collected only once in 2022, 320i.e., there is no baseline data (before treatment). Moreover, different populations of the same species 321might react differently in other winter warming studies. For example, Grime et al. (2008) have found 322in a comparable study in a limestone grassland in the UK that Helianthemum nummularium declined 323consistently in response to winter warming, whereas we observed a slight increase in its abundance, 324especially if coupled with no grazing. In addition, the timespan when plants are truly inactive during 325winter depends on the species and year in question. Therefore, generalising/upscaling such 326327local/species-specific results is difficult, as other authors have pointed out too (Rumpf et al. 2014; Schuerings et al. 2014; Krab et al. 2018; Niittynen et al. 2020). Still, as there are so few winter 328warming experiments even information from few sites can contribute to our knowledge of the effects 329of winter warming on grassland plant communities in the future. 330

# H2: Grazing could buffer winter warming effects on plant biomass and community composition

The absence of sheep grazing caused no significant effects on any of the vegetation parameters we measured. This is in line with the observations of Alday et al. (2021), who found that >40 years of sheep grazing exclosure in Moor House (close to our study area) caused relatively little change in dominant plant species. Plant community change in the British Uplands in response to lack of grazing may take a very long time (Alday et al. 2021). Additionally, the continued grazing pressure exerted by rabbits in our study area is probably masking the effect of excluding sheep grazing. The increase in graminoid and decrease in bryophyte biomass we observed with winter warming did not differ much between the two grazing treatments, indicating that sheep grazing could not buffer this warminginduced change.

Without sheep grazing, plant diversity showed a tendency to decrease both in the warmed and un-341 warmed plots, indicating that grazing should be continued in our study area where the goal is to 342maintain current levels of plant diversity, irrespective of the winter temperatures. Changes in the 343344abundance of species were overall small in our experiment. Typical grassland species such as Briza media, Campanula rotundifolia and Prunella vulgaris decreased slightly without grazing. The 345strongest effects we observed in Festuca ovina (which increased without grazing, but decreased if 346coupled with warming), S. caerulea (which increased without grazing, even more if coupled with 347warming) and Thymus polytrichus (which increased without grazing, similar with or without 348warming). 349

To some degree, *S. caerulea* leaf length appeared to increase without grazing, especially if coupled with winter warming, indicating that grazing is particularly important under future climate scenarios to regulate this competitive species. Sheep grazing has been a main factor in our study area for centuries, promoting plant diversity by creating a short, open sward with a variety of microhabitats, and in particular the diminutive 'Teesdale rarities', which are light-demanding and sensitive to competition (Gilbert et al. 1978; Squires 1978; Bradshaw 2023).

Despite our results only showing tendencies, it points towards grazing being able to buffer winter warming effects, which is in line with other studies that focused on the interactions of all-year warming and grazing/mowing (Klein et al. 2004; Post and Pedersen 2008; Wang et al. 2012). For example in a review by Li et al. (2018), the authors have concluded that grazing intensity has greater effects on grassland plant biomass and communities than warming, and the review by He et al. (2022) has suggested that global change might be more important for below-ground biodiversity and ecosystem functioning, and grazing for above-ground organs in grasslands.

In order to maintain the current levels of plant diversity in the British Uplands and to protect the 'Teesdale rarities' in our study area, grazing might have to be adjusted towards higher intensity and

duration, if the winter warming trends that we observed in this experiment become apparent in the vegetation. This is supported by a modelling study that found higher productivity under climate warming leads to a higher grazing capacity in European grasslands, particularly in the autumn in the case of Northern UK, and to a seasonal shift in grazing, thus allowing an increase of management intensity (Chang et al. 2017).

### 370 Using open top chambers for winter warming experiments

As far as we are aware, OTCs have only been used to simulate summer or all-year warming, but not 371 solely winter warming. More commonly used methods to simulate winter warming *in situ* are e.g. 372electrical heating cables in the ground, overhead infrared lamps, greenhouses or snow removal 373374(Rustad et al. 2001; Kreyling 2010; White Shannon R. et al. 2012). The fact that these methods are expensive and difficult to install is considered to be a main reason for the lack of such winter warming 375experiments (Kreyling and Beier 2013). Therefore, we wanted to test if OTCs could be potentially 376 used for winter warming experiments in remote areas, where power supply or regular maintenance are 377 not feasible. 378

Compared to the warming effects that OTCs cause in all-year warming setups (on average nearly 2 °C (Hollister et al. 2023)), the effects in our winter warming setup were minor (*ca.* +0.5 °C). This is not surprising, since day length and solar angle are major factors controlling the temperature enhancement in OTCs (Marion et al. 1997; Bokhorst et al. 2013). This is also reflected in our study in the stronger warming effects in autumn and spring compared to the darker winter months. Therefore, in areas with no or minimal solar irradiance during winter, OTCs might not be suitable to simulate desired winter warming scenarios.

Two unwanted side-effects are worth mentioning. First, OTCs are known to reduce wind speed (Marion et al. 1997; Hollister et al. 2023), which we can confirm by sporadic measurements. In our case, this is in line with the trends for British Uplands (reductions in wind speed, mostly during winter and spring (Monteith et al. 2016)), but in general, it means that aspects such as the reduction of physical stress (e.g., tearing leaves, causing abrasion) and evapotranspiration should be considered (Marion et al. 1997; Kreyling 2010; Momberg et al. 2021). Second, OTCs can alter snow depth and

duration, even though the results from existing studies are not uniform (Marion et al. 1997; Wipf and 392Rixen 2010; Bokhorst et al. 2013; Hollister et al. 2023). In our case, we found no pronounced 393 differences between OTCs and control plots (based on visual impressions, surface temperature and 394 soil moisture data), however the slightly fewer frost days at surface level inside the OTCs might 395396 indicate insulation by snow. Since snow cover can have strong effects on plant communities (e.g. protection from frost), it would be advisable to monitor snow effects with temperature and soil 397 moisture sensors or with a stationary camera. In conclusion, OTCs can be a practical option for winter 398399 warming experiments in remote areas if the above-mentioned caveats are fully accounted for. 400

#### 401 Conclusions

Our data suggest that changes towards more dominant graminoids and less bryophytes with winter warming may occur, which might lead to lasting changes in the plant communities in the future. Our experiment shows that even a small increase in temperature outside of the growing season can affect the vegetation. Adapting the grazing regime might mitigate some of those effects, if adjusted towards higher intensity and longer duration. The slight vegetation changes that we recorded should be monitored in future years to observe whether these tendencies become more pronounced with time or the grasslands remain resistant to winter warming and lack of grazing.

409

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416

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- 427 explore effects of fragmentation and land use change on plant dispersal, community composition and
- 428 diversity.

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- 613

#### 615 **Table captions**

Table 1: Characteristics of the three grassland sites of a winter warming and grazing experiment,

617 Upper Teesdale, Co. Durham, England. Values regarding vegetation represent means (± standard

errors) of 20 plots (25 cm in diameter) per site, measured in September 2019 before start of the

619 experiment. Values regarding microclimate represent means (± standard errors) of two (on Cronkley

620 Fell three) TOMST TMS-4 loggers, located in control plots, which took measurements every 15 min

621 between 2021-09-06 and 2022-04-27.

622

# 623 Figure legends

Figure 1. Satellite image of Upper Teesdale, Co. Durham, England, showing the location of the three grassland sites of a winter warming and grazing experiment. The map was created using ArcGIS® software by Esri (Esri et al. 2021). The photos on the right side show the vegetation of each site as well as the open-top-chambers used to simulate winter warming (Photographic credit: N. Roth).

Figure 2. Microclimatic data measured with 13 TOMST TMS-4 loggers every 15 min between 2021-62809-06 and 2022-04-27 (6 loggers were placed inside OTCs and 7 in control plots) across three study 629 sites, Upper Teesdale, Co. Durham, England. The left panel (A) shows the surface temperature that 630 was measured at ground level (0 cm) and the right panel (B) the volumetric soil water content that 631 was measured in the rooting zone (between 0 and -15 cm). The coloured trend lines are smoothed 632with generalised additive models and the grey points are showing the measured values. Soil 633temperature, air temperature and growing degree days (GDD) show similar patterns to surface 634 temperature and are therefore not shown. 635

Figure 3. Results from a three-year long experiment, studying the effects of winter warming and
grazing on grassland plant communities, Upper Teesdale, Co. Durham, England. Box and whisker
plots are showing the dry biomass weight per plot (25 cm in diameter) in May 2022, differentiated by

plant group and treatment. Each box represents 15 experimental plots. The horizontal lines inside theboxes indicate medians and the triangles are showing means.

Figure 4. Results from a three-year long experiment, studying the effects of winter warming and
grazing on grassland plant communities, Upper Teesdale, Co. Durham, England. The plot shows 36
vascular plant taxa (31 identified to species level and 5 to genus level) and how their cover has
changed by 2022 per treatment, relative to 2019. Each point represents the mean of 15 experimental
plots (25 cm in diameter).

Figure 5. Results from a three-year long experiment, studying the effects of winter warming and

647 grazing on grassland plant communities, Upper Teesdale, Co. Durham, England. Box and whisker

648 plots are showing changes between 2019 and 2022 in (A) the Shannon diversity index and (B)

649 Sesleria caerulea leaf length per plot (25 cm in diameter) per treatment. Each box represents 15

experimental plots. The horizontal lines inside the boxes indicate medians and the triangles areshowing means.

673 Figure 1.



679 Figure 2.



 $\begin{array}{c} 683 \\ 684 \end{array}$ 







696 Figure 5.



698 699

# 700 Online Supplementary Material 2

Levels of significance are indicated by the following symbols: \* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001

```
A) Linear mixed effect models (LMMs)
702
      1.) Biomass forbs
703
      ţţţţ
             LMM: \sqrt{\text{Dry}} forb biomass weight ~ Warming * Grazing + (1|Site)
704
705
                       n=60
                                       2 levels
                                                   2 levels
                                                             3 levels
706
707
      ANOVA:
708
                        Chisq Df Pr(>Chisq)
709
                       0.4901 1
                                      0.4839
      Grazing
710
                       0.4300 1
                                      0.5120
      Warming
711
      Grazing:Warming 0.2273 1
                                      0.6335
712
713
      Adjusted R-squared: 0.42
714
715
716
      2.) Biomass graminoids
      1111
717
             LMM: √Dry graminoid biomass weight ~ Warming * Grazing + (1|Site)
                                                       2 levels 3 levels
718
                       n=59
                                             2 levels
719
720
      ANOVA:
721
                        Chisq Df Pr(>Chisq)
722
      Grazing
                       0.1368 1
                                     0.71152
                       4.0860 1
723
      Warming
                                     0.04324 *
724
      Grazing:Warming 0.0375
                               1
                                     0.84653
725
726
      Adjusted R-squared: 0.27
727
728
      3.) Biomass Sesleria caerulea
729
       ↓↓↓↓
             LMM: \sqrt{\text{Dry S.}} caerulea biomass weight ~ Warming * Grazing + (1|Site)
730
731
                                               2 levels 2 levels 3 levels
                       n=60
732
733
      ANOVA:
```

734Chisq Df Pr(>Chisq) 735Grazing 0.1490 1 0.6995 0.5205 1 736 0.4706 Warming 737 0.5323 Grazing:Warming 0.3899 1 738 739Adjusted R-squared: 0.45 4.) Biomass litter 740 1111 741LMM: √Dry litter biomass weight ~ Warming \* Grazing + (1|Site) 2 levels 2 levels 3 levels 742n=60 743744ANOVA: 745Chisq Df Pr(>Chisq) 7461.9573 1 0.1618 Grazing Warming 7470.6116 1 0.4342 748Grazing:Warming 0.4766 1 0.4900 749750Adjusted R-squared: 0.81 751 752753 5.) Biomass bryophytes 1111 LMM:  $\sqrt{\text{Dry bryophyte biomass weight}} \sim \text{Warming * Grazing + (1|Site)}$ 754755 n=60 2 levels 2 levels 3 levels 756 757 ANOVA: 758Chisq Df Pr(>Chisq) 759Grazing 0.2044 1 0.651203 Warming 7.2492 1 Grazing:Warming 0.0116 1 760 0.007093 \*\* 7610.914269 762763 Adjusted R-squared: 0.63 764765 766 6.) Shannon diversity index **↓↓↓↓** 767 LMM: Change in Shannon diversity index ~ Warming \* Grazing + (1|Site) 768 2 levels 2 levels 3 levels n=60 769 770 ANOVA: 771Grazing 2.1084 1 0.1465 772Warming 1.0065 1 0.3157 Grazing:Warming 0.1939 1 7730.6597 774775Adjusted R-squared: 0.01 776 777 778 7797.) Sesleria caerulea leaf length 780 l↓↓↓ LMM: Change in mean S. caerulea leaf length ~ Warming \* Grazing + (1|Site) 7812 levels 2 levels 3 levels 782n=45 783784ANOVA: 785 0.1492 1 0.6993 Grazing 1.5496 1 786Warming 0.2132 Grazing:Warming 0.2931 1 787 0.5883 788789Adjusted R-squared: 0.41 790 791 792

793 704	<b>B)</b> Permutational	mu	ltivariate a	analysis o	f variance	e (PERN	IAN	OVA)		
794	1111									
795 706	PERMANOVA	: В	ray-Curti	s dissim	ilarity n	matrix ·	~ Sit	te + War	ming * Grazin	g
796 797	60x60 cells			3 levels 2 levels 2 levels						
<b>798</b>										
799		Df	SumOfSqs	R2	F	Pr(>F)				
800	Site	2	5.3047	0.57354	38.4447	0.001	***			
801 809	Grazing	⊥ 1	0.0975	0.01055	1.4138	0.21/				
803	Warming:Grazing	1	0.0127	0.00137	0.1836	0.975				
804	Residual	54	3.7255	0.40280						
805	Total	59	9.2489	1.00000						
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