

# Acyclic, Star, and Injective Colouring: Bounding the Diameter

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**Abstract.** We examine the effect of bounding the diameter for well-studied variants of the COLOURING problem. A colouring is acyclic, star, or injective if any two colour classes induce a forest, star forest or disjoint union of vertices and edges, respectively. The corresponding decision problems are ACYCLIC COLOURING, STAR COLOURING and INJECTIVE COLOURING. The last problem is also known as  $L(1, 1)$ -LABELLING and we also consider the framework of  $L(a, b)$ -LABELLING. We prove a number of (almost-)complete complexity classifications, in particular, for ACYCLIC 3-COLOURING, STAR 3-COLOURING and  $L(1, 2)$ -LABELLING.

## 1 Introduction

A natural way of increasing our understanding of NP-complete graph problems is to restrict the input. The *diameter* of a graph  $G$  is the maximum distance between any two vertices of  $G$ . We look at graph classes of *bounded* diameter, that is, with diameter at most  $d$  for some constant  $d$ . Such a graph class is closed under vertex deletion (hereditary) only if  $d = 1$ . Many graph problems stay NP-complete even if  $d = 2$ . The reason usually is that from a general instance we can obtain an instance of diameter 2 by adding a dominating vertex. For example, in this way, CLIQUE, INDEPENDENT SET and COLOURING all stay NP-complete for graphs of diameter 2. The latter problem is to decide if for a graph  $G$  and integer  $k$ , there is a mapping  $c : V(G) \rightarrow \{1, \dots, k\}$  with  $c(u) \neq c(v)$  for each  $uv \in E(G)$ . If  $k$  is *fixed*, i.e., not part of the input, we write  $k$ -COLOURING.

Let  $d \geq 2$  and  $k \geq 3$ . It is readily seen that  $k$ -COLOURING for graphs of diameter at most  $d$  is NP-complete for every  $(d, k) \notin \{(2, 3), (3, 3)\}$ . Mertzios and Spirakis [18] gave a highly non-trivial NP-hardness proof for the case  $(3, 3)$ . The case  $(2, 3)$  is a notorious open problem, see, for example, [2, 8, 16–19].

The  $i$ th *colour class* in a graph  $G = (V, E)$  with a colouring  $c$  is the set  $V_i = \{u \in V \mid c(u) = i\}$ . For  $i \neq j$ , let  $G_{i,j}$  be the (bipartite) subgraph of  $G$  induced by  $V_i \cup V_j$ . If every  $G_{i,j}$  is a forest, then  $c$  is an *acyclic colouring*. If every  $G_{i,j}$  is  $P_4$ -free, i.e., a disjoint union of stars, then  $c$  is a *star colouring*. If

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every  $G_{i,j}$  is  $P_3$ -free, i.e., a disjoint union of vertices and edges, then  $c$  is an *injective colouring*. The three decision problems are ACYCLIC COLOURING, STAR COLOURING and INJECTIVE COLOURING, respectively; for the last problem it is sometimes allowed for adjacent vertices to be coloured alike (see, e.g., [12–14]) but we do *not* permit this: as can be observed from the aforementioned definitions, all colourings considered in this paper are proper. If  $k$  is fixed we write ACYCLIC  $k$ -COLOURING, STAR  $k$ -COLOURING and INJECTIVE  $k$ -COLOURING.

Injective colourings are also known as *distance-2 colourings* and as  $L(1,1)$ -labellings. Namely, a colouring of a graph  $G$  is injective if the neighbours of every vertex of  $G$  are coloured differently, i.e., also vertices of distance 2 from each other must be coloured differently. The distance constrained labelling problem  $L(a_1, \dots, a_p)$ -LABELLING is to decide if a graph  $G$  has an  $L(a_1, \dots, a_p)$ -( $k$ )-labelling, i.e., a mapping  $c : V(G) \rightarrow \{1, \dots, k\}$  for some  $k \geq 1$ , such that for every two vertices  $u$  and  $v$  and every integer  $1 \leq i \leq p$ : if  $G$  contains a path of length  $i$  between  $u$  and  $v$ , then  $|c(u) - c(v)| \geq a_i$ ; see also [9] (if  $a_1 \geq a_2 \geq \dots \geq a_p$ , the condition is equivalent to “if  $u$  and  $v$  are of distance  $i$ ”).

The above problems are NP-complete, even for very restricted graph classes, see the survey [9] and very recent papers, such as [4, 5, 15, 20]. We consider graph classes of bounded diameter. In contrast to many other problems, bounding the diameter does help for colouring variants. For instance, the problem NEAR BIPARTITENESS is to determine if a graph has a 3-colouring such that (only) two colour classes induce a forest. This problem, on graphs of diameter at most  $d$ , is polynomial-time solvable if  $d \leq 2$  [21] and NP-complete if  $d \geq 3$  [6]. Or consider the  $L(a_1, \dots, a_p)$ -LABELLING problem. The degree of every vertex of a graph  $G$  with an  $L(a_1, \dots, a_p)$ - $k$ -labelling is at most  $k$ . Hence,  $|V(G)| \leq 1 + k + \dots + k^d$ , where  $d$  is the diameter of  $G$ , and we can make the following observation.

**Proposition 1.** *Let  $a_1, \dots, a_p, d \geq 1$ . Then, for every  $k \geq 1$ ,  $L(a_1, \dots, a_p)$ - $k$ -LABELLING is constant-time solvable for graphs of diameter at most  $d$ .*

This led us to the question: *How much does bounding the diameter help for obtaining polynomial-time algorithms for well-known graph colouring variants?*

**Our Results.** By using a very recent NP-completeness result on ACYCLIC 3-COLOURING for graphs of diameter at most 4 [7] we obtain the following two almost-complete dichotomies; note that the case where  $k \leq 2$  is trivial.

**Theorem 1.** *Let  $d \geq 1$  and  $k \geq 3$ . Then ACYCLIC  $k$ -COLOURING on graphs of diameter at most  $d$  is*

- polynomial-time solvable if  $d \leq 2$ ,  $k = 3$  and NP-complete if  $d \geq 4$ ,  $k = 3$ .
- polynomial-time solvable if  $d = 1$ ,  $k \geq 4$  and NP-complete if  $d \geq 2$ ,  $k \geq 4$ .

**Theorem 2.** *Let  $d \geq 1$  and  $k \geq 3$ . Then STAR  $k$ -COLOURING on graphs of diameter at most  $d$  is*

- polynomial-time solvable if  $d \leq 3$ ,  $k = 3$  and NP-complete if  $d \geq 8$ ,  $k = 3$ .
- polynomial-time solvable if  $d = 1$ ,  $k \geq 4$  and NP-complete if  $d \geq 2$ ,  $k \geq 4$ .

Finally, we consider  $L(a, b)$ -LABELLING for the most studied values of  $(a, b)$ , namely when  $1 \leq a \leq b \leq 2$ . We now assume that  $k$  is part of the input, due to Proposition 1. Every two non-adjacent vertices in a graph  $G$  of diameter 2 have a common neighbour. Hence, an  $(1, 1)$ -labelling of  $G$  colours each vertex uniquely, and  $L(1, 1)$ -LABELLING, on graph of diameter  $d \leq 2$ , is trivial. The problem is NP-complete if  $d = 3$ , as it is NP-complete for the subclass of split graphs [3]. Griggs and Yeh [11] proved that  $L(2, 1)$ -LABELLING is NP-complete for graphs of diameter 2 via a relation with HAMILTONIAN PATH. We also connect the remaining case  $(a, b) = (1, 2)$  to HAMILTONIAN PATH in order to prove NP-completeness in Section 4. To summarize, we obtained the following dichotomy:

**Theorem 3.** *Let  $a, b \in \{1, 2\}$  and  $d \geq 1$ . Then  $L(a, b)$ -LABELLING on graphs of diameter at most  $d$  is*

- polynomial-time solvable if  $a = b$  and  $d \leq 2$ , or  $d = 1$ .
- NP-complete if either  $a = b$  and  $d \geq 3$ , or  $a \neq b$  and  $d \geq 2$ .

**Future Work.** It would be interesting to close the gaps in Theorems 1 and 2, but this seems challenging. The NP-hardness construction of Mertzios and Spirakis [18] for 3-COLOURING of graphs of diameter 3 does lead to NP-hardness for NEAR BIPARTITENESS for graphs of diameter 3 [6]. However, it cannot be used for ACYCLIC 3-COLOURING and STAR 3-COLOURING.

## 2 The Proof of Theorem 1

We show the following result (proof omitted) and also recall a very recent result.

**Lemma 1.** *ACYCLIC 3-COLOURING is polynomial-time solvable for graphs of diameter at most 2.*

**Lemma 2 ([7]).** *ACYCLIC 3-COLOURING is NP-complete on triangle-free 2-degenerate graphs of diameter at most 4.*

*The Proof of Theorem 1.* The first statement follows from Lemmas 1 and 2. For the second statement, the case  $d = 1$  is trivial, and for the case  $d \geq 2$ ,  $k \geq 4$  we reduce from ACYCLIC 3-COLOURING: to an instance  $G$  of ACYCLIC  $k$ -COLOURING, we add a clique of  $k - 3$  vertices, which we make adjacent to every vertex of  $G$ .

## 3 The Proof of Theorem 2

A *list assignment* of a graph  $G$  is a function  $L$  that gives each vertex  $u \in V(G)$  a *list of admissible colours*  $L(u) \subseteq \{1, 2, \dots\}$ . A colouring  $c$  *respects*  $L$  if  $c(u) \in L(u)$  for every  $u \in V$ . If  $|L(u)| \leq 2$  for each  $u \in V$ , then  $L$  is a *2-list assignment*. The 2-LIST COLOURING problem is the corresponding decision problem.

**Theorem 4 ([10]).** *The 2-LIST COLOURING problem is solvable in time  $O(n + m)$  on graphs with  $n$  vertices and  $m$  edges.*

We will use Theorem 4 in the proof of Lemma 6, which is the main result of the section. In order to do this, we must first be able to modify an instance of STAR 3-COLOURING into an equivalent instance of 3-COLOURING. We can do this as follows. Let  $G = (V, E)$  be a graph. We construct a supergraph  $G_s$  of  $G$  as follows. For each edge  $e = uv$  of  $G$  we add a vertex  $z_{uv}$  that we make adjacent to both  $u$  and  $v$ . We also add an edge between two vertices  $z_{uv}$  and  $z_{u'v'}$  if and only if  $u, v, u', v'$  are four distinct vertices such that  $G$  has at least one edge with one end-vertex in  $\{u, v\}$  and the other one in  $\{u', v'\}$ . We say that  $G_s$  is the *edge-extension* of  $G$ . Observe that we constructed  $G_s$  in  $O(m^2)$  time. It is readily seen that  $G$  has a star 3-colouring if and only if  $G_s$  has a 3-colouring.

Now suppose  $G$  has a 2-list assignment  $L$ . We extend  $L$  to a list assignment  $L_s$  of  $G_s$ . We first set  $L_s(u) = L(u)$  for every  $u \in V(G)$ . Initially, we set  $L_s(z_e) = \{1, 2, 3\}$  for each edge  $e \in E(G)$ . We now adjust a list  $L_s(z_e)$  as follows. Let  $e = uv$ . If  $L(u) = L(v)$  or  $L(u)$  has size 1, then we set  $L_s(z_{uv}) = \{1, 2, 3\} \setminus L(u)$ . If  $L(v)$  has size 1, then we set  $L_s(z_{uv}) = \{1, 2, 3\} \setminus L(v)$ . If  $z_{u'v'}$  is adjacent to a vertex  $z_{uv}$  with  $|L'(z_{uv})| = 1$ , then we set  $L_s(z_{u'v'}) = \{1, 2, 3\} \setminus L'(z_{uv})$ . We apply the rules exhaustively. We call the resulting list assignment  $L_s$  of  $G_s$  the *edge-extension* of  $L$ . We say that an edge  $uv$  of  $G$  is *unsuitable* if  $|L(u)| = |L(v)| = 2$  but  $L(u) \neq L(v)$ , whereas  $uv$  is *list-reducing* if  $|L(u)| = |L(v)| = 1$  and  $L(u) \neq L(v)$ . Note that in  $G_s$ , we may have  $|L_s(z_e)| = 3$  if  $e$  is unsuitable, whereas  $|L_s(z_e)| = 1$  if  $e$  is list-reducing. We say that an end-vertex  $u$  of an unsuitable edge  $e$  is a *fixer* for  $e$  if  $u$  is adjacent to an end-vertex of a list-reducing edge  $u'v'$  (note that  $\{u, v\} \cap \{u', v'\} = \emptyset$ ). We make the following observation.

**Lemma 3.** *Let  $G$  be a graph on  $m$  edges with a 2-list assignment  $L$ . Then we can construct in  $O(m^2)$  time the edge-extension  $G_s$  of  $G$  and the edge-extension  $L_s$  of  $L$ . Moreover,  $G$  has a star 3-colouring that respects  $L$  if and only if  $G_s$  has a 3-colouring that respects  $L_s$ . Furthermore,  $L_s$  is a 2-list assignment of  $G_s$  if every unsuitable edge  $uv$  of  $G$  has a fixer.*

Let  $d_G(u)$  be the degree of a vertex  $u$  in  $G$ . We omit the proofs of two lemmas.

**Lemma 4.** *Let  $G$  be a graph of diameter at most 3. If  $G$  has a star 3-colouring, then*

1. *for every 4-cycle  $v_0v_1v_2v_3v_0$  of  $G$ ,  $d_G(v_0) = d_G(v_2) = 2$  or  $d_G(v_1) = d_G(v_3) = 2$ , and*
2. *there is no 5-cycle in  $G$ .*

**Lemma 5.** *Let  $G$  be a graph of diameter at most 3 that has two vertices  $u$  and  $v$  with at least three common neighbours. Let  $w \in N(u) \cap N(v)$ . Then  $G$  has a star 3-colouring if and only if  $G - w$  has a star 3-colouring. Moreover,  $G - w$  has diameter at most 3 as well.*

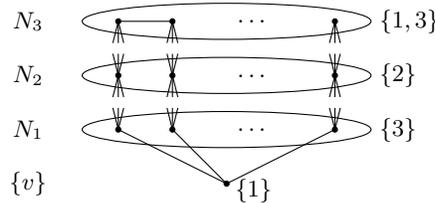
Two non-adjacent vertices in a graph  $G$  that have the same neighbourhood are *false twins* of  $G$ . We are now ready to give our algorithm.

**Lemma 6.** STAR 3-COLOURING is polynomial-time solvable for graphs of diameter at most 3.

*Proof.* Let  $G$  be a graph of diameter 3. We may assume without loss of generality that  $G$  is connected. We first determine in  $O(nm^2)$  time all 4-cycles and all 5-cycles in  $G$ . If  $G$  has a 4-cycle with two adjacent vertices of degree at least 3 in  $G$  or if  $G$  has a 5-cycle, then  $G$  is not star 3-colourable by Lemma 4. We continue by assuming that  $G$  satisfies the two properties of Lemma 4. We reduce  $G$  by applying Lemma 5 exhaustively. Let  $G'$  be the resulting graph, which has diameter at most 3 (by Lemma 5). We can determine in  $O(n)$  time all vertices of degree 2 in  $G$ . For each vertex of degree 2 we can compute in  $O(n)$  time all its false twins. Hence, we found  $G'$  in  $O(n^2)$  time. As we only removed vertices,  $G'$  also satisfies the two properties of Lemma 4.

If  $G'$  has maximum degree at most 4, then  $|V(G')| \leq 53$ , as  $G'$  has diameter at most 3. We check in constant time if  $|V(G')| \leq 53$  and if so, whether  $G'$  has a star 3-colouring. Otherwise, we found a vertex  $v$  of degree at least 5 in  $G'$ .

Let  $N_i$  be the set of vertices of distance  $i$  from  $v$ . Then,  $N_1 = N(v)$  and as  $G'$  has diameter at most 3,  $V(G') = \{v\} \cup N_1 \cup N_2 \cup N_3$ . We assume without loss of generality that if  $G'$  has a star 3-colouring  $c$ , then  $c(v) = 1$ . We will examine the following situations:  $c$  gives each vertex in  $N_1$  colour 3; or  $c$  gives at least one vertex of  $N_1$  colour 2 and at least three vertices of  $N_1$  colour 3. As  $v$  has degree at least 5, at least one of colours 2, 3 must occur three times on  $N(v)$ , and we may assume without loss of generality that this colour is 3. Hence,  $G'$  has a star 3-colouring if and only if one of these two cases holds.



**Fig. 1.** The pair  $(G', L')$  in Case 1.

**Case 1.** Check if  $G'$  has a star 3-colouring that gives every vertex of  $N_1$  colour 3. As  $|N_1| \geq 5$ , such a star 3-colouring  $c$  must assign each vertex of  $N_2$  colour 2. This means that every vertex of  $N_3$  gets colour 1 or 3. Hence, we obtained, in  $O(n)$  time, a 2-list assignment  $L'$  of  $G'$ . We construct the pair  $(G'_s, L'_s)$ . By Lemma 3 this take  $O(m^2)$  time. As every list either has size 1 or is equal to  $\{1, 3\}$ , we find that the edge-extension  $L'_s$  of  $L'$  is a 2-list assignment of  $G'_s$ . By Lemma 3, it remains to solve 2-LIST-COLOURING on  $(G'_s, L'_s)$ . We can do this in  $O(m^2)$  time using Theorem 4 as the size of  $G'_s$  is  $O(m^2)$ . Hence, the total running time for dealing with Case 1 is  $O(m^2)$ . See also Figure 1.

**Case 2.** Check if  $G'$  has a star 3-colouring that gives at least one vertex of  $N_1$  colour 2 and at least three vertices of  $N_1$  colour 3.

We set  $L'(v) = \{1\}$ . This gives us the property: **P0.**  $N_0 = \{v\}$  and  $L'(v) = \{1\}$ .

We now select four arbitrary vertices of  $N(v)$ . We consider all possible colourings of these four vertices with colours 2 and 3, where we assume without loss of generality that colour 3 is used on these four vertices at least as many times as colour 2. For the case where colour 2 is not used we consider each of the  $O(n)$  options of colouring another vertex from  $N(v)$  with colour 2. For the cases where colour 3 is used exactly twice, we consider each of the  $O(n)$  options of colouring another vertex from  $N(v)$  with colour 3. Hence, the total number of options is  $O(n)$ , and in each option we have a neighbour  $x$  of  $v$  with colour 2 and a set  $W = \{w_1, w_2, w_3\}$  of three distinct neighbours of  $v$  with colour 3. That is, we set  $L'(x) = \{2\}$  and  $L'(w_i) = \{3\}$  for  $1 \leq i \leq 3$ .

For each set  $\{x\} \cup W$  we do as follows. We first check if  $W$  is independent; otherwise we discard the option. If  $W$  is independent, then initially we set  $L'(u) = \{1, 2, 3\}$  for each  $u \notin \{x, v\} \cup W$ . We now show that we can reduce the list of every such vertex  $u$  by at least 1. As an *implicit step*, we will discard the instance  $(G', L')$  if one of the lists has become empty. In doing this we will use the following *Propagation Rule*:

*Whenever a vertex has only one colour in its list, we remove that colour from the list of each of its neighbours.*

By the Propagation Rule, we obtain the following property, in which we updated the set  $W$ :

- P1.**  $N_1$  can be partitioned into sets  $W, X, Y$  with  $|W| \geq 3$ ,  $|X| \geq 1$  and  $|Y| \geq 0$ , such that no vertex of  $Y$  is adjacent to any vertex of  $X \cup W$ , and moreover,  $X$  is an independent set with  $x \in X$  and  $W$  is an independent set with  $\{w_1, w_2, w_3\} \subseteq W$ , such that
- every vertex  $w \in W$  has list  $L'(w) = \{3\}$ ,
  - every vertex  $x \in X$  has list  $L'(x) = \{2\}$ , and
  - every vertex  $y \in Y$  has list  $L'(y) = \{2, 3\}$ .

Note that by the Propagation Rule, we removed colour 3 from the list of every neighbour of a vertex of  $W$  in  $N_2$ . We now also remove colour 1 from the list of every neighbour of a vertex of  $W$  in  $N_2$ ; the reason for this is that if a neighbour  $y$  of, say,  $w_1$  is coloured 1, then the vertices  $y, w_1, v, w_2$  form a bichromatic  $P_4$ . Hence, any neighbour of every vertex in  $W$  in  $N_2$  has list  $\{2\}$ .

Now consider a vertex  $z \in N_2$  that still has a list of size 3. Then  $z$  is not adjacent to any vertex in  $N_1$  with a singleton list (as otherwise we applied the Propagation Rule), but by definition  $z$  still has a neighbour  $z'$  in  $N_1$ . This means that  $z' \in Y$  and thus  $z'$  has list  $\{2, 3\}$ . Hence,  $z$  cannot be coloured 1: if  $z'$  gets colour 2, the vertices  $x, v, z', z$  will form a bichromatic  $P_4$ , and if  $z'$  gets colour 3, the vertices  $w_1, v, z', z$  will form a bichromatic  $P_4$ . Hence, we may remove colour 1 from  $L'(z)$ , so  $L'(z)$  will have size at most 2.

We make some more observations. First, we recall that every neighbour of a vertex in  $W$  in  $N_2$  has list  $\{2\}$ , and every vertex in  $X$  has list  $\{2\}$  as well. Hence, no vertex in  $N_2$  has both a neighbour in  $W$  and a neighbour in  $X$ ; otherwise this vertex would have an empty list by the Propagation Rule and we would have discarded this option.

Due to the above, we can partition  $N_2$  into sets  $W^*$ ,  $X^*$ , and  $Y^*$  such that the vertices of  $W^*$  are the neighbours of  $W$  and the vertices of  $X^*$  are the neighbours of  $X$ , whereas  $Y^* = N_2 \setminus (X^* \cup W^*)$ . Consequently, the neighbours in  $N_1$  of every vertex of  $Y^*$  belong to  $Y$ .

Recall that  $G'$  has no 5-cycles. Hence, there is no edge between vertices from two different sets of  $\{W^*, X^*, Y^*\}$ . Furthermore, every vertex  $w^* \in W^*$  has list  $L'(w^*) = \{2\}$ , every vertex  $x^* \in X^*$  has list  $L'(x^*) = \{1, 3\}$ , and every vertex  $y^* \in Y^*$  has list  $L'(y^*) = \{2, 3\}$ . If a vertex  $y \in Y$  has a neighbour  $w^* \in W^*$ , then  $vw^*yv$  is a 4-cycle where  $w \in W$  is a neighbour of  $w^*$ . Recall that  $G'$  satisfies the properties of Lemma 4. As  $v$  has degree at least 5 in  $G'$ , this means that  $y$  has degree 2 in  $G'$ . Hence,  $v$  and  $w^*$  are the only neighbours of  $y$ . In particular, we find that every vertex in  $Y$  with a neighbour in  $W^*$  has no neighbour in  $X^* \cup Y^*$ .

We now apply the Propagation Rule again. As a consequence, we update the lists of the vertices in  $Y \cup N_3$ , the sets  $Y$  and  $W$  in **P1**. The latter is because some vertices might have moved from  $Y$  to  $W$ ; in particular it now holds that no vertex in  $W^*$  is adjacent to any vertex in  $Y$ .

We summarize the above in the following property:

- P2.**  $N_2$  can be partitioned into sets  $W^*$ ,  $X^*$  and  $Y^*$ , such that
- every vertex  $w^* \in W^*$  has list  $L'(w^*) = \{2\}$  and all its neighbours in  $N_1$  belong to  $W$ ,
  - every vertex  $x^* \in X^*$  has list  $L'(x^*) \subseteq \{1, 3\}$  and at least one of its neighbours in  $N_1$  belong to  $X$  and none of them belong to  $W$ ,
  - every vertex  $y^* \in Y^*$  has list  $L'(y^*) \subseteq \{2, 3\}$  and all its neighbours in  $N_1$  belong to  $Y$ , and
  - there is no edge between vertices from two different sets of  $\{W^*, X^*, Y^*\}$ .

We now consider  $N_3$ . We let  $T_1$  be the set consisting of all vertices in  $N_3$  that have at least two neighbours in  $W^*$ . We let  $T_2$  be the set consisting of all vertices in  $N_3$  that have exactly one neighbour in  $W^*$ . Moreover, we let  $S_1$  be the set of vertices of  $N_3 \setminus (T_1 \cup T_2)$  that have at least one neighbour in  $T_1$ . We let  $S_2$  be the set of vertices of  $N_3 \setminus (T_1 \cup T_2)$  that have no neighbours in  $T_1$  but at least two neighbours in  $T_2$ . If for a vertex  $s \in N_3$ , there is a vertex  $w \in W$  and a 4-path from  $s$  to  $w$  whose internal vertices are in  $X$  and  $X^*$ , then we let  $s \in R$ .

We note that the sets  $S_1$ ,  $S_2$ ,  $T_1$  and  $T_2$  are pairwise disjoint by definition, whereas the set  $R$  may intersect with  $S_1 \cup S_2 \cup T_1 \cup T_2$ . We now show that  $N_3 = R \cup S_1 \cup S_2 \cup T_1 \cup T_2$ . For contradiction, assume that  $s$  is a vertex of  $N_3$  that does not belong to any of the five sets  $R, S_1, S_2, T_1, T_2$ . As  $s \notin T_1 \cup T_2$ , we find that the distance from  $s$  to every vertex of  $W$  is at least 3. Then, as  $G'$  has diameter 3, there exists a 4-path  $P_i$  from  $s$  to each  $w_i \in W$  (by **P1** we can write

$W^* = \{w_1, \dots, w_a\}$  for some  $a \geq 3$ ). Every  $P_i$  must be one of the following forms:  $s - N_2 - N_1 - w_i$  or  $s - N_2 - N_2 - w_i$  or  $s - N_3 - N_2 - w_i$ .

First assume there is some  $P_i$  that is of the form  $s - N_2 - N_1 - w_i$ , that is,  $P_i = szz'w_i$  for some  $z \in N_2$  and  $z' \in N_1$ . As  $z'$  is a neighbour of both  $w_i$  and  $v$ , we find that  $z' \in X$  and  $z' \in X^*$ , and consequently,  $s \in R$ , a contradiction.

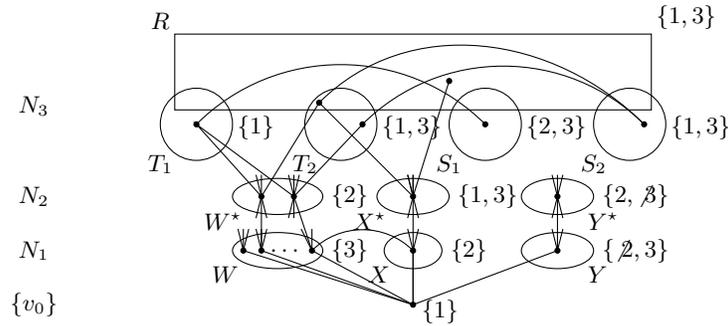
Now assume that there exists some  $P_i$  that is of the form  $s - N_2 - N_2 - w_i$ , that is,  $P_i = szz'w_i$  for some  $z$  and  $z'$  in  $N_2$ . By definition,  $z$  must have a neighbour in  $N_1$ . As  $G'$  has no 5-cycle, this is only possible if  $z$  is adjacent to  $w_i$ . However, now  $s$  is no longer of distance 3 from  $w_i$  in  $G'$ , a contradiction.

Finally, assume that no path from  $s$  to any  $w_i$  is of one of the two forms above. Hence, every  $P_i$  is of the form  $s - N_3 - N_2 - w_i$ . We write  $P_i = st_iw_i^*w_i$  where  $t_i \in T_1 \cup T_2$  and  $w_i^* \in W^*$ . We consider the paths  $P_1, P_2, P_3$ , which exist as  $|W| \geq 3$ . As  $s \notin S_1$ , we find that  $t_i \notin T_1$ . Moreover, as  $s \notin S_2$ , we find that  $t_1 = t_2 = t_3$ , and so  $w_1^* = w_2^* = w_3^*$ . In particular, the latter implies that  $w_1^*$  is adjacent to  $w_1, w_2$  and  $w_3$  and thus has degree at least 3. Recall that  $G'$  satisfies Property 1 of Lemma 4. As  $w_1^*$  and  $v$  each have degree at least 3 in  $G'$ , this means that each  $w_i$  must only be adjacent to  $v$  and  $w_1^*$ . However, then  $w_1, w_2$  and  $w_3$  are three false twins of degree 2 in  $G'$ , and by construction of  $G'$  we would have removed one of them, a contradiction. We conclude that  $N_3 = R \cup S_1 \cup S_2 \cup T_1 \cup T_2$ .

We now reduce the lists of the vertices in  $N_3$ . Let  $s \in N_3$ . If  $s \in T_1 \cup T_2$  (that is,  $s$  is adjacent to a vertex  $w^* \in W^*$ ) then, as  $L'(w^*) = \{2\}$ , we find that  $L'(s) \subseteq \{1, 3\}$ . If  $s \in T_1$ , then we can reduce the list of  $s$  as follows. By the definition of  $T_1$ ,  $s$  is adjacent to a second vertex  $w' \neq w^*$  in  $W^*$ . By **P2**, we find that  $w'$  has a neighbour  $w \in W$ . We find that  $L'(w^*) = L'(w') = \{2\}$  and  $L(w) = \{3\}$ . Then  $s$  cannot be assigned colour 3, as otherwise  $w^*, s, w', w$  would form a bichromatic  $P_4$ . Hence, we can reduce the list of  $s$  from  $\{1, 3\}$  to  $\{1\}$ .

Now suppose that  $s \in S_1$ . Then, by the definitions of the sets  $S_1$  and  $T_1$  and **P2**, there exists a path  $P = stw^*w$  where  $t \in T_1$ ,  $w^* \in W^*$  and  $w \in W$ . We deduced above that  $t$  has list  $L'(t) = \{1\}$ . Consequently, we can delete colour 1 from the list of  $s$  by the Propagation Rule, so  $L'(s) \subseteq \{2, 3\}$ . Now suppose that  $s \in S_2$ . Then, by the definition of  $S_2$  and **P2**, there exist two paths  $P_1 = st_1w_1^*w_1$  and  $P_2 = st_2w_2^*w_2$  where  $t_1, t_2 \in T_2$ ,  $w_1^*, w_2^* \in W^*$ ,  $w_1, w_2 \in W$ , and  $t_1 \neq t_2$ . We claim that  $s$  cannot be assigned colour 2. For contradiction, suppose that  $s$  has colour 2. Then  $t_1$ , which has list  $\{1, 3\}$ , must receive colour 1, as otherwise  $t_1$  will have colour 3 and  $s, t_1, w_1^*, w_1$  is a bichromatic  $P_4$  (recall that  $w_1^*$  and  $w_1$  can only be coloured with colours 2 and 3, respectively). For the same reason,  $t_2$  must get colour 1 as well. However, now  $w_1^*, t_1, s, t_2$  is a bichromatic  $P_4$ , a contradiction. Hence, we can remove colour 2 from  $L'(s)$ . Afterwards,  $L'(s) \subseteq \{1, 3\}$ .

Finally, suppose that  $s \in R$ . By the definition of  $R$ , there is some path  $P_i = sx^*x'w$  where  $x^* \in X^*$ ,  $x' \in X$ , and  $w \in W$ . By **P1** and **P2**, respectively, it holds that  $L'(x') = \{2\}$  and  $L'(x^*) \subseteq \{1, 3\}$ . Hence,  $s$  cannot be coloured 2: if  $x^*$  gets colour 1, the vertices  $v, x', x^*, s$  will form a bichromatic  $P_4$ , and if  $x^*$  gets colour 3, the vertices  $w_1, x', x^*, s$  will form a bichromatic  $P_4$ . In other words, we may remove colour 2 from  $L'(s)$ , so  $L'(s) \subseteq \{1, 3\}$ .



**Fig. 2.** An example of a pair  $(G', L')$  in Case 2a. The colours crossed out show the difference between the general situation in Case 2 and what we show holds in Case 2a.

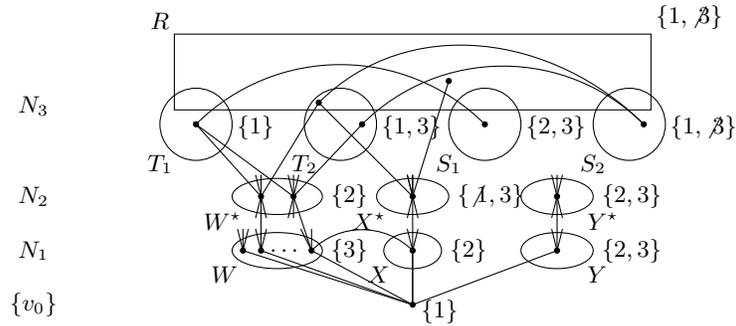
As  $N_3 = R \cup S_1 \cup S_2 \cup T_1 \cup T_2$ , we obtained the following property:

- P3.**  $N_3$  only consists of vertices whose lists are a subset of  $\{1, 3\}$  or  $\{2, 3\}$ , and  $N_3$  can be split into sets  $R, S_1, S_2, T_1, T_2$ , such that  $S_1, S_2, T_1$  and  $T_2$  are pairwise disjoint, and
- every vertex  $r \in R$  has list  $L'(r) \subseteq \{1, 3\}$  and there is a 4-path from  $r$  to a vertex in  $W$  that has its two internal vertices in  $X^*$  and  $X$ , respectively,
  - every vertex  $t \in T_1$  has list  $L'(t) = \{1\}$  and has at least two neighbours in  $W^*$ ,
  - every vertex  $t \in T_2$  has list  $L'(t) \subseteq \{1, 3\}$  and has exactly one neighbour in  $W^*$ ,
  - every vertex  $s \in S_1$  has list  $L'(s) \subseteq \{2, 3\}$ , has no neighbours in  $W^*$  but is adjacent to at least one vertex in  $T_1$ , and
  - every vertex  $s \in S_2$  has list  $L'(s) \subseteq \{1, 3\}$  and has no neighbours in  $T_1 \cup W^*$  but at least two neighbours in  $T_2$ .

Hence, we constructed a set  $\mathcal{L}'$  of 2-list assignments of  $G'$ , such that  $\mathcal{L}'$  is of size  $O(n)$  and  $G'$  has a star 3-colouring if and only if  $G'$  has a star 3-colouring that respects  $L'$  for some  $L' \in \mathcal{L}'$ . Moreover, we can find each  $L' \in \mathcal{L}'$  in  $O(m+n)$  time by a bread-first search for detecting the 4-paths. For each  $L' \in \mathcal{L}'$ , we do as follows. We still need to construct the edge-extension  $G'_s$  of  $G'$ . However, the edge-extension  $L'_s$  of  $L'$  might not be a 2-list assignment. The reason is that  $G'$  may have an edge  $ss'$  for some vertex  $s \in N_2$  with  $L'(s) = \{2, 3\}$  and some vertex  $s' \in N_3$  with  $L'(s') = \{1, 3\}$  such that  $L'_s(z_{ss'}) = \{1, 2, 3\}$ . We distinguish between two cases; see also Figure 2 and Figure 3.

**Case 2a.** Check if  $G'$  has a star 3-colouring that gives  $x$  colour 2 and every other vertex of  $N_1$  colour 3.

We only consider this case if  $|X| = 1$ . We give every vertex in  $Y$  list  $\{3\}$ . Then, by the Propagation Rule, we can delete colour 3 from every list of a vertex in  $Y^*$ . We construct  $G'_s$  and  $L'_s$  in  $O(m^2)$  time by Lemma 3. Then  $L'_s$  is a 2-list



**Fig. 3.** An example of a pair  $(G', L')$  in Case 2b. The colours crossed out show the difference between the general situation in Case 2 and what we show holds in Case 2b.

assignment of  $G'_s$ . This can be seen as follows. Let  $e = ss'$  be an unsuitable edge of  $G'$ . As  $G'$  has no vertices with list  $\{1, 2\}$ , we find that  $L'(s) = \{2, 3\}$  and  $L'(s') = \{1, 3\}$ . Then  $s$  must be in  $S_1$ . By definition, it follows that there exist vertices  $t \in T_1$  and  $w^* \in W^*$  such that  $st$  and  $tw^*$  are edges of  $G'$ . As  $L'(t) = \{1\}$  and  $L'(w^*) = \{2\}$ , the edge  $tw^*$  is list-reducing. Hence,  $s$  is a fixer for the edge  $ss'$ . The claim now follows from Lemma 3, and by the same lemma, it remains to check if  $G'_s$  has a 3-colouring that respects  $L'_s$ . We can do the latter in  $O(m^2)$  time by Theorem 4.

**Case 2b.** Check if  $G'$  has a star 3-colouring that gives at least one other vertex of  $N_1$ , besides  $x$ , colour 2.

If  $|X| \geq 2$ , then we found a vertex of  $N_1 \setminus \{x\}$  that gets colour 2. If  $X = \{x\}$ , we will not try to find this vertex; for our algorithm its existence will suffice. By **P2**, every  $x^* \in X^*$  has list  $L(x^*) \subseteq \{1, 3\}$  and a neighbour  $x' \in X$  with  $L'(x') = \{2\}$ . By the Case 2b assumption, there is at least one other vertex  $x''$  in  $N_1$  that gets colour 2. Then  $x^*$  cannot be coloured 1, as otherwise  $x'', v, x', x^*$  would form a bichromatic  $P_4$ . Hence, we remove colour 1 from the list of every vertex of  $X^*$  so that afterwards  $L(x^*) = \{3\}$  for every  $x^* \in X^*$ . We remove colour 3 from the list of every neighbour of a vertex of  $X^*$ . As  $L'$  is a 2-list assignment that does not assign any vertex of  $G'$  the list  $\{1, 2\}$ , afterwards every neighbour of every vertex of  $X^*$  in  $N_3$  has list  $\{1\}$  or  $\{2\}$ . Moreover,  $X^*$  is an independent set (as otherwise we discard  $(G', L')$ ). No vertex of  $W^* \cup Y^*$  is adjacent to any vertex in  $X^*$  (by **P2**). Hence, every vertex in  $X^*$  has no neighbours in  $N_2$ .

We now prove that no vertex in  $S_2$  can receive colour 3. For contradiction, assume that  $c$  is a star 3-colouring of  $G$  that respects  $L'$  and that assigns a vertex  $s \in S_2$  colour  $c(s) = 3$ . As  $G'$  has diameter 3, there is a path  $P$  from  $s$  to  $x \in X$  of length at most 3. Then  $P$  is of the form  $s - N_2 - x$  or  $s - N_3 - N_2 - x$  or  $s - N_2 - N_2 - x$  or  $s - N_2 - N_1 - x$ . If  $P$  is of the form  $s - N_2 - x$ , then  $s$  has a neighbour in  $X^*$ , which has list  $\{3\}$ . Hence, as  $s$  received colour 3, this is not possible. We show that the other three cases are not possible either.

First suppose that  $P$  is of the form  $s - N_3 - N_2 - x$ , say  $P = szx^*x$  for some  $z \in N_3$  and  $x^* \in N_2$ . As no vertex of  $W^* \cup Y^*$  is adjacent to any vertex in  $X$ , we find that  $x^* \in X^*$ . This means that  $z$  must receive colour 1, as otherwise the vertices  $x, x^*, z, s$  would form a bichromatic  $P_4$ . As  $s \in S_2$ , we find that  $s$  has two neighbours  $t_1$  and  $t_2$  in  $T_2$ . Both  $t_1$  and  $t_2$  have list  $\{1, 3\}$ , so they must receive colour 1. At least one of them, say  $t_1$ , is not equal to  $z$ . However, now  $x^*, z, s, t_1$  form a bichromatic  $P_4$ , a contradiction. Hence, this case cannot happen.

Now suppose that  $P$  is of the form  $s - N_2 - N_2 - x$ , say  $P = szx^*x$  for some  $z, x^* \in N_2$ . As no vertex of  $W^* \cup Y^*$  is adjacent to any vertex in  $X$ ,  $x^* \in X^*$ . However, no vertex in  $X^*$  has a neighbour in  $N_2$ . Hence, this case cannot happen.

Finally, suppose that  $P$  is of the form  $s - N_2 - N_1 - x$ , say  $P = sw^*wx$  for some  $w^* \in N_2$  and  $w \in N_1$ . As  $X$  is independent and no vertex of  $Y$  is adjacent to a vertex of  $X$ , we find that  $w \in W$  and thus  $w^* \in W^*$ . However, this is not possible, as  $s \in S_2$  is not adjacent to any vertex in  $W^*$  by definition. Hence, this case cannot happen either, so we have proven the claim. So, we can remove colour 3 from the list of every vertex  $s \in S_2$ . Hence,  $L'(s) = \{1\}$  for every  $s \in S_2$ .

We construct  $G'_s$  and  $L'_s$  in  $O(m^2)$  time by Lemma 3. We claim that  $L'_s$  is a 2-list assignment of  $G'_s$ . This can be seen as follows. Let  $e = ab$  be an unsuitable edge of  $G'$ . As  $G'$  has no vertices with list  $\{1, 2\}$ , we may assume that  $L'(a) = \{1, 3\}$  and  $L'(b) = \{2, 3\}$ . As every vertex in  $R$  is adjacent to a vertex in  $X^*$  with list  $\{3\}$ , no vertex in  $R$  has list  $\{1, 3\}$ . We just deduced that no vertex in  $S_2$  has list  $\{1, 3\}$  either. Hence, the only vertices with list  $\{1, 3\}$  belong to  $T_2$ , so  $a \in T_2$ . Then, by definition, we find that  $a$  has a neighbour  $w \in W^*$ , which has a neighbour  $w \in W$ . As  $w^*$  has list  $\{2\}$  and  $w$  has list  $\{3\}$ , the edge  $w^*w$  is list-reducing. Hence,  $a$  is a fixer for the edge  $ab$ . The claim now follows from Lemma 3, and by the same lemma, it remains to check if  $G'_s$  has a 3-colouring that respects  $L'_s$ . We can do the latter in  $O(m^2)$  time by Theorem 4.

This concludes the description of our algorithm. The correctness of our algorithm follows from the correctness of the branching steps. Its running time is  $O(nm^2)$ , as there are  $O(n)$  branches, and we deal with each branch in  $O(m^2)$  time.  $\square$

We also need an observation on a known construction [1] (proof omitted).

**Lemma 7.** STAR 3-COLOURING is NP-complete on graphs of diameter at most 8.

*The Proof of Theorem 2.* The first statement follows from Lemmas 6 and 7. For the second statement, the case  $d = 1$  is trivial, and for the case  $d \geq 2, k \geq 4$  we reduce from STAR 3-COLOURING: to an instance  $G$  of STAR  $k$ -COLOURING, we add a clique of  $k - 3$  vertices, which we make adjacent to every vertex of  $G$ .

## 4 L(1, 2)-Labelling for Graphs of Diameter 2

We show that an  $n$ -graph  $G$  of diameter 2 has an  $L(1, 2)$ - $n$ -labelling if and only if  $G$  has a Hamiltonian path, no edge of which is contained in a triangle, and that the latter problem is NP-complete (proofs omitted). This yields:

**Theorem 5.**  $L(1, 2)$ -LABELLING is NP-complete for graphs of diameter at most 2.

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