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2 **Bayesian analysis of ESR dates, with application to Border Cave**

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18 **Abstract**

19 Methods for Bayesian statistical analysis of stratigraphically related radiocarbon dates
20 have been in use for over a decade. This paper extends these techniques to
21 stratigraphically related ESR dates, allowing estimation of the dates of events not
22 directly dated. A hierarchical model of the uncertainties in ESR dating is developed, to
23 account for the correlation of error terms between samples. Using the new method, an
24 analysis is made of the dating at Border Cave, Kwa Zulu Natal, South Africa. The
25 results for individual dates and the dating of layer boundaries are more precise than
26 previously obtained. The hominid fossils BC1 and BC2 are placed at either 71-91ka
27 (95% highest posterior density - hpd) or 152-171ka (95% hpd) depending on the
28 stratigraphic provenance assigned. BC3 is dated to 66-90ka and BC5 to 61-72ka (both
29 95% hpd). The estimated duration of the Howieson's Poort industry at Border Cave is
30 demonstrated to have significant uncertainty, and the ESR dates, even with the
31 increased precision of this analysis, are unable to decide between hypotheses that the
32 industry lasted 10ka and that it lasted 20ka.

33 **Keywords:** ESR dating, Bayesian analysis, stratigraphy, Border Cave

34 **1. Introduction**

35 Archaeologists and geologists have recognized for many years that chronometric dates
36 and stratigraphic information need to be combined, if only to establish that the ordering
37 of samples according to stratigraphy agrees with their ordering by chronometry. The last
38 fifteen years have seen the development and now the routine application of Bayesian
39 chronological modelling as means to go beyond this and actually use the stratigraphic
40 (or other) prior chronological information to constrain and inform the quantitative

41 estimates of time from radiocarbon dates on Holocene archaeological sites (see Buck,
42 2003) and extension of the idea to archaeomagnetic dating has been proposed (Lanos,
43 2001; 2003). The basic idea is a simple one and may be expressed as a form of Bayes'
44 theorem:

$$45 \quad p(\text{dates} \mid \text{chronometric data}) \propto p(\text{chronometric data} \mid \text{dates}) \times p(\text{dates})$$

46 where $p(\cdot)$ represents the probability of something, and the symbol \mid indicates that the
47 probability is conditional on the item to the left of it being known. The *dates* are the
48 true dates of the objects in question, and the *chronometric data* is the measurements we
49 make (in ESR dating or other methods) to estimate the age of the objects. Then $p(\text{dates})$
50 expresses our prior beliefs (before obtaining chronometric data) about the probabilities
51 of the dates of events having certain values, $p(\text{chronometric data} \mid \text{dates})$ is the
52 likelihood which uses a mathematical model to express the probability of obtaining the
53 chronometric data, if the dates were known and $p(\text{dates} \mid \text{chronometric data})$ is what we
54 want to know, and expresses our posterior beliefs about the true dates of the objects
55 incorporating our prior beliefs and the chronometric data. The prior beliefs can include
56 statements about relative ordering of events, and thus incorporate stratigraphic
57 information. In fact a small number of simple components can be combined to represent
58 almost any stratigraphic relationship (Bronk Ramsey, 1995), just as in a Harris diagram
59 (or Harris matrix) the stratigraphy of an archaeological site is summarised entirely as
60 known earlier than/after than relationships or a lack of knowledge of temporal relation
61 (Harris, 1989), in a form which minimises the number of relations that have to be stated.
62 The mathematical models so constructed can also incorporate extra parameters
63 representing undated events of interest (e.g. the age of the start of deposition of a

64 stratum) and more sophisticated models of the type of processes generating the dated
65 samples (e.g peat accumulation - Christen et al., 1995).

66 Dates from techniques other than radiocarbon can be incorporated into these analyses by
67 expressing them as calendar dates. Software packages for Bayesian analysis such as
68 BCal (Buck et al., 1999) and OxCal (Bronk Ramsey, 1995), allow this by expressing
69 such dates simply as a calendar date with a normal uncertainty. This is satisfactory for
70 single dates incorporated into a sequence with radiocarbon dates, but many other dating
71 techniques produce non-normal uncertainties (e.g. uranium series dating) or include
72 significant error terms which are not independent between dated samples and should be
73 accounted for in any statistical analysis (e.g. luminescence dating, ESR dating). This
74 approach has been applied to OSL dating by Rhodes et al (2003), but it is possible that
75 their analyses underestimate the uncertainty by ignoring the commonality of parts of the
76 uncertainty of the individual OSL dates.

77 In principle this methodology is applicable to any stratigraphically related set of dates.
78 Extension from the realm of radiocarbon to the longer timescales of many other
79 Quaternary dating techniques has been shown to be feasible, (Millard, 2003) but awaits
80 substantive application. There are many questions and sites relating to the Pleistocene
81 period which could benefit from such extensions. For example, it is rarely possible to
82 directly date hominid fossils either because destructive sampling is not permitted or
83 because non-destructive techniques are unsuitable for producing reliable dates (compare
84 Schwarcz et al. (1998) with Millard and Pike (1999)). Similarly it is difficult with
85 current methods to quantify the duration of the deposition of a particular deposit or the
86 duration of a stone tool industry. It is also difficult at times to determine the likely

87 ordering of events at different sites, for example, given indirect dating evidence for the
88 dates of hominid remains at two sites we cannot quantify their likely ordering or time
89 separation. However if we could estimate the dates (including uncertainty) of the
90 remains using appropriate statistical models, then such comparisons could readily be
91 made.

92 *1.1 Bayesian chronological models*

93 In order to develop suitable models it is necessary to develop an appropriate
94 mathematical apparatus for each dating technique. Given the factorisation in Bayes'
95 theorem, this naturally divides into a technique-independent expression of prior
96 knowledge of dates and a technique-dependent expression for likelihood.

97 A variety of models have already been developed to express our knowledge of the
98 dating prior to chronometric measurements; some examples are given in Figure 1.

99 Between the start of a phase (α_i) and its end (β_i) it is usually assumed that the
100 chronometric samples are randomly sampled from a set of possible samples laid down
101 at a uniform rate, though other models are possible (Christen et al., 1995). In addition
102 we specify that *a priori* all sets of values of α_i and β_i are equally likely between broad
103 limits. Having specified this prior knowledge, Bayes' Theorem is used to combine it
104 with the chronometric measurements expressed as a likelihood.

105 Thus to apply Bayesian methods to Pleistocene sites we need only to develop
106 likelihoods for the techniques used. This paper focuses on developing a likelihood for
107 ESR dating and explores its application to the dates reported on excavated materials
108 from Border Cave (Kwa Zulu Natal, South Africa). Section 2 develops a statistical
109 model for ESR dating; section 3 discusses the deposits at Border Cave and a

110 mathematical model for their accumulation; section 4 presents the results of the analysis
111 of the Border Cave ESR dates using this model and discusses their robustness to
112 changes in the assumptions; section 5 discusses the implications of the results for
113 understanding the stone tool industries and hominid remains from Border Cave and
114 more widely the benefits of the new method and future work needed in this area.

115 **A statistical model of ESR Dating**

116 ESR dating depends on the determination of the natural radiation dose to which a
117 sample has been exposed during burial (D_E), and the rate at which that dose was
118 acquired (\dot{L}). A more detailed treatment of the measurements and procedures required
119 to obtain these quantities can be found in Rink (1997) and they are only outlined here.
120 If the dose-rate were constant, the dating equation would be simply:

$$121 \quad \text{age} = \frac{D}{\dot{L}},$$

122 but because of uranium uptake and the build-up of decay products, \dot{L} varies with time,
123 and the age, θ , must be estimated from the equation:

$$124 \quad D_E = \int_0^{\theta} \dot{L} dt.$$

125 Grün et al. (Grun et al., 1987) provide solutions to this equation for ESR dating.

126 The sample exhibits an ESR spectrum whose intensity depends on the radiation dose it
127 has received since formation of the enamel. This dose, D_E , is determined in the
128 laboratory by measurement of the natural ESR spectrum of the sample and the changes

129 in intensity of the peaks in the spectrum with the application of additional doses of
130 radiation from artificial sources.

131 The dose rate, \dot{L} , is the sum of the rates from a series of sources of radiation, which are
132 measured in a variety of ways:

- 133 • the dose-rate from enamel itself, \dot{D}_{int} , determined by measuring the uranium
134 content of the enamel and assuming an uptake history for that uranium;
- 135 • the gamma radiation dose-rate from the sediment, \dot{D}_{γ} , determined either by in-situ
136 gamma-spectrometry measurements or from chemical analysis of the U, Th and K
137 content of the sediment;
- 138 • the beta radiation dose-rate from the sediment, \dot{D}_{β} , estimated from the gamma-
139 spectrometry measurements, or chemical analysis of the sediment, and adjusted for
140 the geometry of the sample using an attenuation factor;
- 141 • the dose-rate from any attached dentine or cementum, \dot{D}_{DE} , determined by
142 measuring the uranium content of the dentine or cementum and assuming an uptake
143 history for that uranium.

144 All of these are measured with an associated error term. \dot{D}_{int} and \dot{D}_{DE} have errors
145 unique to each sample. The same is assumed here for D_{E} although there will be some
146 systematic error in this measurement, due to factors like calibration uncertainty of the
147 artificial radiation sources; these are rarely published and only constitute a minor part of
148 the overall uncertainty, most of which is due to scatter in the measurements and

149 consequent uncertainty in fitting a line to them. \dot{D}_γ and \dot{D}_β determinations usually
150 apply to groups of dates, so their errors are not independent (i.e. they are correlated or
151 “systematic”) between samples in a group. Such dependence needs to be taken into
152 account in any analysis of dates. The values of \dot{D}_{int} and \dot{D}_{DE} may have additional
153 uncertainty due to the unknown mode of uranium-uptake, but this will be sample
154 specific. The forms used are usually early uptake (i.e. all U taken up at the time of
155 burial) or linear uptake (U taken up at a constant rate since burial). More complex
156 analyses combine ESR measurements with uranium-series measurements, to constrain
157 the possibilities for U uptake.

158 *A likelihood for ESR dating*

159 The likelihood expresses the probability of the observed D_E values if we knew the true
160 date and the true values of the components of the dose rate. Consideration of the
161 components of the dose rate shows that where there are multiple samples they fall into a
162 hierarchy of groups for these parameters (Figure 2), and therefore also for the associated
163 uncertainties. Until recently (Grün et al., 2003) analyses of ESR dates treated all dates
164 as independent estimates, however it is important to distinguish between dates on
165 several teeth and dates on several samples from a single tooth. In the latter case, the
166 true date underlying the ESR dates must be the same and many of the parameters are the
167 same, so the uncertainty estimates are not independent; in the former case the true dates
168 may differ, but there may be common parameters in the date estimation and therefore
169 the uncertainty estimates are not entirely independent. It is clear that the values for
170 \dot{D}_{int} , \dot{D}_{DE} , and the beta attenuation factor are unique to a measured sample, whilst one
171 true (but unknown) date, θ , is shared by samples from the same tooth. The other

172 parameters derive from measurements on the environment around the samples and are
173 common to different sets of samples. The beta dose-rate from the sediment is estimated
174 from a chemical analysis of the sediment, and is usually applied to several sub-samples
175 of one tooth, or even to several different teeth. However, this assumes that the sediment
176 is homogeneous enough that the single chemical analysis is representative of the dose-
177 rates received by all samples, which may well not be true. Sediment heterogeneity as a
178 potential source of uncertainty is currently not included in ESR date calculations,
179 though it may account for some of the observed scatter in dates from sub-samples of
180 one tooth. Here I follow the assumption that the beta dose-rate from the sediment is
181 common to a group of samples from the same sediment, but each experiences a different
182 attenuation to give \dot{D}_β . Similar considerations apply to the possible heterogeneity of
183 gamma dose-rates, but on a larger spatial scale. Again these uncertainties are currently
184 unquantified and so I follow the usual assumption that the gamma dose-rate, \dot{D}_γ , is
185 homogenous on a larger spatial scale, often for all samples from a stratum; this implies
186 that all samples with the same unattenuated sediment beta dose-rate will have the same
187 sediment gamma dose-rate. Finally there is a dose-rate component from cosmic rays,
188 \dot{D}_{cosmic} which is the same for the whole site, but may be attenuated by differing
189 overburdens of sediment for different samples.

190 These differing associations of parameter determinations with different subsets of the
191 dated samples are expressed in a statistical model with a hierarchy of parameters. This
192 model is mathematically similar to that derived for archaeomagnetic dating (Lanos,
193 2001; 2003), though the physical reasons for the hierarchy of uncertainties are different.
194 Thus the model may be expressed as:

195

$$\begin{aligned}
 m_E^{(ijkl)} | \theta & \sim N(\theta^{(ijkl)}, s_E^{(ijkl)}) \\
 D_E^{(ijkl)} & = \dots \\
 m_\gamma^{(l)} & \sim N(\gamma^{(l)}, \dots) \\
 \dot{L}_\beta & \dots \\
 m_\beta^{(kl)} & \sim N(\beta^{(kl)}, \dots) \\
 m_{\text{int}}^{(ijkl)} & \sim N(\dot{L}_{\text{int}}^{(ijkl)}, \dots) \\
 m_{\text{DE}}^{(ijkl)} & \sim N(\dot{L}_{\text{DE}}^{(ijkl)}, \dots) \\
 m_{\text{cosmic}}^{(l)} & \sim N(\dot{L}_{\text{cosmic}}^{(l)}, \dots)
 \end{aligned}$$

196 where i indexes over subsamples of tooth j , from group k of samples with common
 197 observed sediment beta dose-rate $m_\beta^{(kl)}$, and from group l of samples with a common
 198 gamma dose-rate. Each subsample has a unique beta attenuation factor, $b^{(ijkl)}$ and
 199 observed radiation dose, $m_E^{(ijkl)}$. Depending on the site, the cosmic radiation dose-rate
 200 may be common to all samples or particular samples. The equation as written assumes
 201 that it is common to the same groups as gamma dose-rate. For any source of radiation,
 202 Z , m_Z is the observed rate associated with a true underlying value \dot{L}_Z , and s_Z is its
 203 measured standard deviation. $\beta^{(kl)}$ represents the unattenuated sediment beta dose-rate
 204 to a subsample. Following the methods used for radiocarbon dating it is assumed that s_Z
 205 is known, and the minor element of uncertainty in this value is ignored. The
 206 uncertainties are all assumed to be normally distributed. Ultimately it is the values of
 207 the true dates of the teeth, $\theta^{(ijkl)}$, and other dates that will be of interest, and calculated by
 208 combining the measurements with prior knowledge specified as a probability
 209 distribution.

210 The Bayesian analysis also requires prior probability distributions to be specified for all
 211 the unknown, true underlying values of the various \dot{L}_z . Although there may be prior
 212 information on these, the calculations are greatly simplified by assuming that all values
 213 are equally likely *a priori*. In this case, the prior probability distributions for the \dot{L}_z
 214 can be neglected and the statistical model simplifies with the reversal of many of the
 215 equations for m_Z given above, so that general $\dot{L}_z = \dots, s_Z$, with the slightly
 216 modified form $\dot{L}_z = \dots \left(b^{(ijkl)} m_{\beta}^{(kl)} \right)^{\beta^{(kl)}}$, for sediment beta dose-rate.

217 This set of assumptions and relationships follows those normally used for ESR dating,
 218 with the additions of recognising the hierarchically correlated uncertainties and of prior
 219 knowledge of dates. There are likely to be systematic biases which are not accounted
 220 for in Figure 2, but as these are currently not quantified as uncertainties they cannot be
 221 incorporated in any calculation. As always, the results of the analysis cannot be better
 222 than its assumptions.

223 **3. The Deposits at Border Cave**

224 The deposits at Border Cave (Kwa Zulu Natal, South Africa) span the Middle (MSA)
 225 and Later (LSA) Stone Ages, and have yielded a long sequence of Palaeolithic stone
 226 tool industries and four ancient anatomically modern hominid specimens (Grün et al.,
 227 1990). The stratigraphic sequence consists of an alternating series of Brown Sands (BS)
 228 and White Ashes (WA) with clear boundaries, and differing modes of deposition. Of
 229 the hominid remains BC1 and BC2 are of uncertain provenance, they have been linked
 230 to either layer 4BS or layer 5BS on the basis of adhering sediment; BC3 is an infant
 231 from a grave cut into 4BS which may have been dug during the deposition of layer

232 1RGS; BC5 has a secure provenance of layer 3WA (Grün and Beaumont, 2001). All
233 these remains are of undoubted anatomically modern appearance, and thus given that
234 their age indicates contemporaneity with Neanderthals in Europe, they are important in
235 understanding the evolution of modern humans (Stringer, 2002).

236 The material culture includes a significant deposit of material from the Howieson's
237 Poort (or MSA2) lithic industry, which is considered by some to show a number of
238 'advanced' aspects with similarities to the African LSA and European Upper
239 Palaeolithic. This industry is thus argued to have a key role in developing our
240 understanding the emergence of modern human behaviour (see for example the
241 discussion in Ambrose and Lorenz, 1990).

242 *3.1 Dating at Border Cave*

243 The sequence is dated by luminescence ages (unpublished), amino-acid racemisation
244 measurements on ostrich eggshells (published only as averages ages for each layer dated
245 - Miller et al., 1999), a few bulk charcoal conventional radiocarbon ages and a series of
246 AMS radiocarbon ages for the upper part (Bird et al., 2003), and a series of 71 ESR
247 determinations (Grün and Beaumont, 2001), making it the most detailed ESR dating
248 sequence available for any site. The ESR chronology of Grün & Beaumont (2001) and
249 the AAR chronology of Miller *et al.* (1999) are summarised as the mean and standard
250 deviation for each stratum are shown in Figure 3. The radiocarbon chronology is not
251 shown as it is currently not possible to calibrate radiocarbon dates beyond 26000BP
252 (van der Plicht et al., 2004). In addition Grün & Beaumont (2001) estimate that
253 hominids BC1 and BC2 date from about 82ka if their provenance is layer 4BS, or 170
254 ka if their provenance is 5BS, that BC3 is about 76ka old and BC5 66ka. They put the

255 beginning of the Howieson's Poort at Border Cave at 79ka and the end at 60ka, stating
256 that "the duration of the Howieson's Poort seems somewhat longer (around 20 ka) than
257 usually assumed (around 10 ka...)". More recently (Grün et al., 2003) have directly
258 analysed a fragment of enamel from the BC5 specimen and obtained an ESR date of 74
259 ± 5 ka, confirming its provenance and disproving claims that it could be Iron Age in
260 date (Sillen and Morris, 1996). Grün et al. (2003) have also added a cosmic ray dose
261 contribution to the date calculation, which decreases their previously reported ages by
262 2-4%.

263 *3.2 Stratigraphic model*

264 The stratigraphic model adopted is a simple one of continuous deposition with no hiatus
265 between adjacent strata, with within stratum deposition continuous and uniform in rate
266 (c.f. Zeidler et al., 1998). (Figure 1 top). This is not the only possible model:
267 eventually it would be worth comparing with a model which allowed for some hiatus
268 between the major strata, as Grün & Beaumont (2001) suggest that there is evidence
269 from the dates for four hiatuses, although I cannot identify them visually on plots that
270 include all dates with uncertainties (e.g. Figure 4 thin bars), except possibly from the
271 spread of dates for layer 4WA. Thus the analysis here assumes that the end of one WA
272 or BS stratum is at the same time as the beginning of the next, and that the deposition
273 within one of those strata is continuous and relatively uniform in rate. The hierarchy of
274 beta and gamma dose-rate estimates in common was derived from the published
275 sediment U, Th & K contents. The dose and dose-rate data of Grün & Beaumont (2001)
276 were used with the addition of cosmic ray dose-rate from (Grün et al., 2003). The direct
277 date for BC5 was not included in the analysis.

278 The statistical model is used to directly estimate the dates of the samples and the
279 stratigraphic boundaries, given the dating information and stratigraphic ordering. In
280 addition, it is possible to calculate other figures derived from these dates, giving date
281 estimates for the hominids (assuming that they lie within a certain strata) and for the
282 beginning, end and duration of Howieson's Poort Industry.

283 In order to test the sensitivity of the results to changes in the assumptions, various
284 different analyses were conducted. All analyses were conducted assuming continuous
285 deposition, as described above. In addition, as there is very little uranium uptake in
286 these samples, the analyses follow Grün & Beaumont (2001) in using only early uptake
287 dose-rate estimates. The primary analysis divided the site by the WA and BS divisions
288 of the stratigraphy and omitted two outlying ESR dates identified by Grün & Beaumont
289 (2001). In addition analyses were conducted with the two outliers included, and
290 dividing the site into larger units according to the archaeologically identified industries.
291 For comparison, analyses were also conducted in OxCal, treating the ESR dates
292 reported in Grün & Beaumont (2001) as independent age estimates.

293 Because in this statistical model complex numerical integration is required to obtain the
294 posterior distribution, Markov-Chain Monte Carlo (MCMC) methods are used to
295 evaluate it. MCMC is a method for simulating possible values from the posterior
296 distribution and is particularly suited to problems where this distribution cannot be
297 written as an explicit mathematical function. Many thousands of draws are made and
298 the resulting distribution of values is a good approximation to the true distribution. This
299 model has been evaluated using WinBUGS, a program which allows the MCMC
300 technique to be conducted in a user-friendly environment (Lunn et al., 2000;

301 Spiegelhalter et al., 2000; Spiegelhalter et al., 2004). WinBUGS code for the
302 implementation of the models described is available from
303 <http://www.dur.ac.uk/a.r.millard/BUGS4Arch/> . All results are reported here as 95%
304 highest posterior density (HPD) estimates, which is both the shortest range where the
305 posterior distribution has 95% probability and a range where the probability density is
306 always higher within the range than outside it.

307 **Results**

308 Results derived using the primary model of geological strata with the omission of the
309 outliers are shown in Figure 4 as posterior estimates for the dates and phase boundaries
310 after taking into account our prior knowledge of the stratigraphy. Table 1 shows the
311 95% HPD for other dates and spans of interest. Before discussing these results in detail,
312 it is necessary to examine their sensitivity to some of the modelling assumptions.

313 *Sensitivity tests*

314 The addition of the two outliers to the dataset makes little difference to the estimates of
315 the parameters of interest, except the dates of layer boundaries close to the dates, which
316 shift by up to 3ka, or less than 6%, and whose mean values for one analysis lie within
317 the 95% HPD for the other analysis. (Result not shown.)

318 Simplifying the stratigraphic scheme to the archaeological periods rather than the
319 excavated strata alters the results for the start and end dates of the periods slightly. The
320 dates for the beginning and end of the Howieson's Poort results in this case are most
321 sensitive to the inclusion of the outliers, and so the duration of that industry becomes
322 quite sensitive. The estimated duration of the Howieson's Poort industry is reduced

323 quite significantly for the archaeological period model (from a mean of 14.1ka with
324 95% HPD 6.3-22ka for the primary model to mean 7.7ka and 95% HPD 0.3-16.6ka),
325 but increases again when the outliers are included with archaeological periods (mean
326 11.5ka, 95% HPD 3.0-19ka). An analysis in *OxCal* treating the published dates as
327 independent and normally distributed gives very similar mean values for the estimates
328 of the phase boundaries (within 1ka whichever model is used), but reduced uncertainties
329 on those estimates, as is to be expected when the correlations in the uncertainties of the
330 dates are ignored.

331 There is therefore some sensitivity to the choice of model, but in the parameters of
332 interest, only the length of the Howieson's Poort industry shows significant sensitivity.
333 Full results for all models are therefore not shown, and the results discussed below were
334 derived using the primary model of geological strata and omitting the outliers, unless
335 otherwise indicated.

336 **Discussion**

337 The results show that incorporation of evidence for the ordering of dates is now possible
338 for sites with ESR chronologies, and allows reduction in the uncertainties associated
339 with individual dates, and the estimation of dates for events which cannot be directly
340 dated. These results are achieved by a statistical model with minimal additional
341 assumptions (e.g. a roughly uniform rate of deposition in a stratum, and reliability of the
342 provenance of dated samples). With radiocarbon dates these assumptions can interact
343 with the calibration curve to produce undesirable effects (Steier and Rom, 2000) but for
344 ESR dates there is no calibration curve with plateaux to cause lengthening of
345 chronologies. Nicholls and Jones (2001) have shown that with few dates relative to the

346 number of strata and short occupation of a site, the use of a prior probability on strata
347 start and end dates which is uniform over a large span can lead to overestimation of the
348 duration of occupation. This effect is in principle possible with ESR dates but will be
349 minimal at sites like Border Cave where there are a large number of dates compared to
350 the number of strata.

351 At Border Cave the results of the reanalysis of the ESR dates allows us to specify dates,
352 including uncertainty for the hominid specimens (Table 1). Previous point estimates all
353 fall within the 95% HPD of the new estimates, though Grün and Beaumont's (2001)
354 date for fossils from layer 5BS appears somewhat old for a point estimate. The new
355 dates have the advantage of a clear statement of uncertainty, allowing better
356 comparisons with other sites, for example, BC1 and BC2, if derived from layer 4BS, are
357 shown possibly to be contemporary with the remains from Qafzeh, Israel dated at
358 92 ± 5 ka by TL dating of burnt flint (Valladas et al., 1988) with corroborating ESR dates
359 (Schwarcz et al., 1988).

360 The statistical model also allows examination of the full probably distribution of a
361 parameter. As an example the probability distribution for the length of the Howieson's
362 Poort Industry is shown in Figure 5. Evaluation of the likely length of the Howieson's
363 Poort is of particular interest given the debate about its duration. The results of this
364 study show that the current dating evidence from Border Cave is not sufficient to
365 resolve the question of a 10ka versus a 20ka length. The value obtained is quite
366 sensitive to small changes in the model due to the fact that the length of the Howieson's
367 Poort is found from the difference in dates of two events which themselves have
368 uncertainties of a few millennia and changing the assumptions moves the estimates for

369 each of these events by a couple of millennia in different directions. In fact it seems
370 unlikely that ESR dating will ever resolve the difference between the difference
371 between the 10ka and 20ka estimates for the duration of HP. Consider that if we knew
372 the start and end dates of the HP with a standard deviation of just 3ka, then the length
373 estimate will have a standard deviation of approximately 4.2ka and the 95% confidence
374 interval for the length will be of the order of 17ka centred on some mean value. With
375 such imprecision we are unlikely to be able to decide between hypotheses which differ
376 by only 10ka.

377 At Border Cave it would be interesting and useful to incorporate all the other dating
378 information into the analysis. Unfortunately it is not currently possible to calibrate
379 reliably radiocarbon dates of greater than 26,000BP (van der Plicht et al., 2004), so they
380 cannot be straightforwardly incorporated. The other dating information at Border Cave
381 comes from unpublished TL dates, which are not available to the scientific community
382 for evaluation, and AAR dates. Regrettably the AAR dates are available only as mean
383 and standard deviation racemisation values (with corresponding ages) for each layer
384 dated, which prevents their incorporation into a model which relies on evaluation of the
385 distribution of dates. This contrasts with the ESR dates which analysed here which
386 were published with full details of the parameters required for the calculation of
387 individual ages.

388 **Conclusion**

389 This paper has shown that the tool currently used in Bayesian analysis of radiocarbon
390 dates can be extended to ESR dating, introducing the benefits of stratigraphic analysis
391 and improved precision to Pleistocene sites. With future development of statistical

392 models to calculate appropriate likelihoods for other techniques it should be possible to
393 create integrated chronologies incorporating all chronometric evidence, thus improving
394 the resolution of dating and our understanding of processes.

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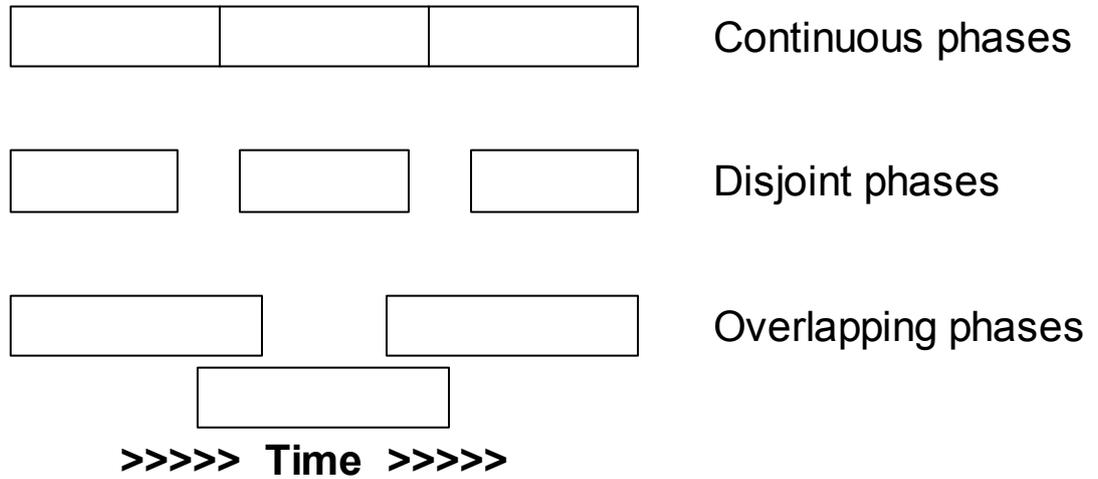
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494 **Figure 1:** Some possible models expressing prior knowledge of dating

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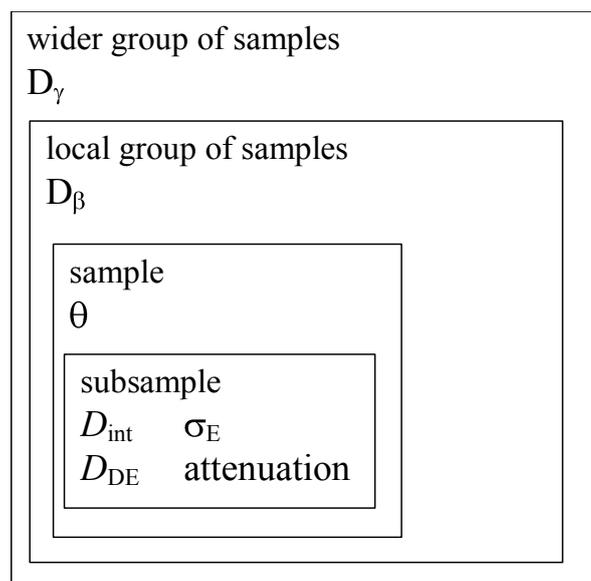
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499 **Figure 2:** The hierarchy of parameters in common between different dating samples.

500 Each inner box is repeated within the box surrounding it, with different values of the

501 parameters for different samples.

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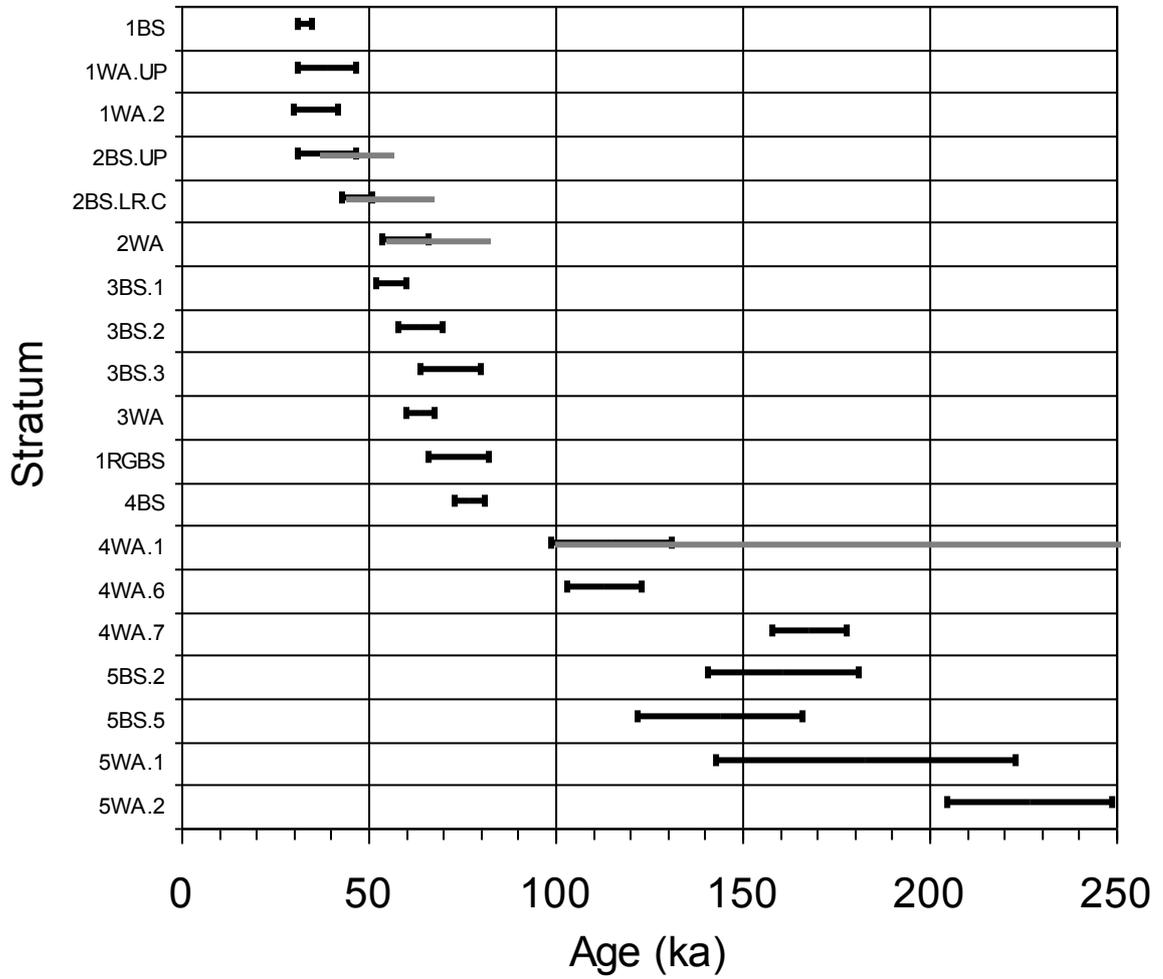


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505 **Figure 3:** Previous estimates for the dates of strata at Border Cave, showing uncertainty
 506 at two standard deviations. Black lines: mean ESR dates (Grün and Beaumont,
 507 2001). Grey lines: mean AAR dates (Miller et al., 1999).

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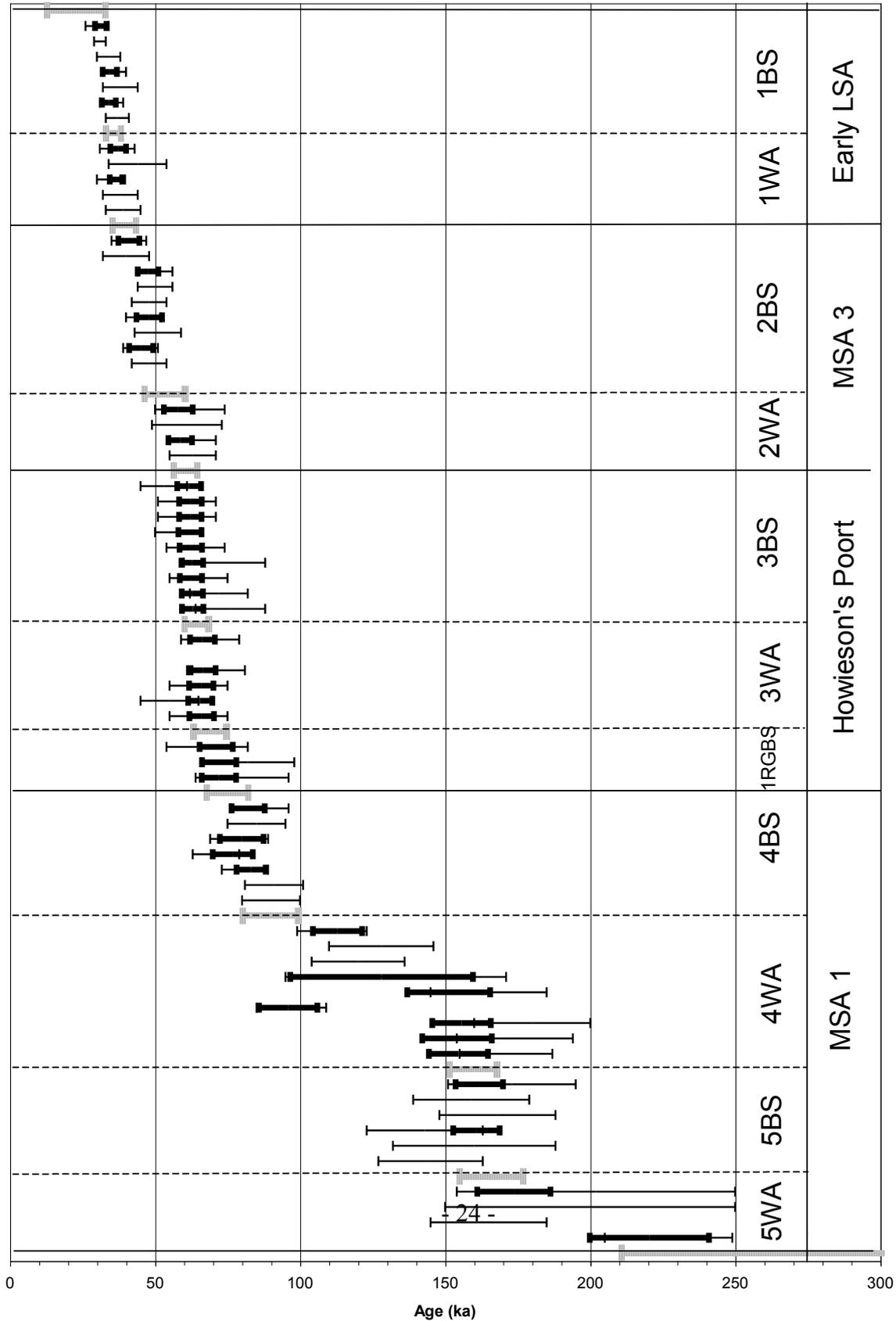
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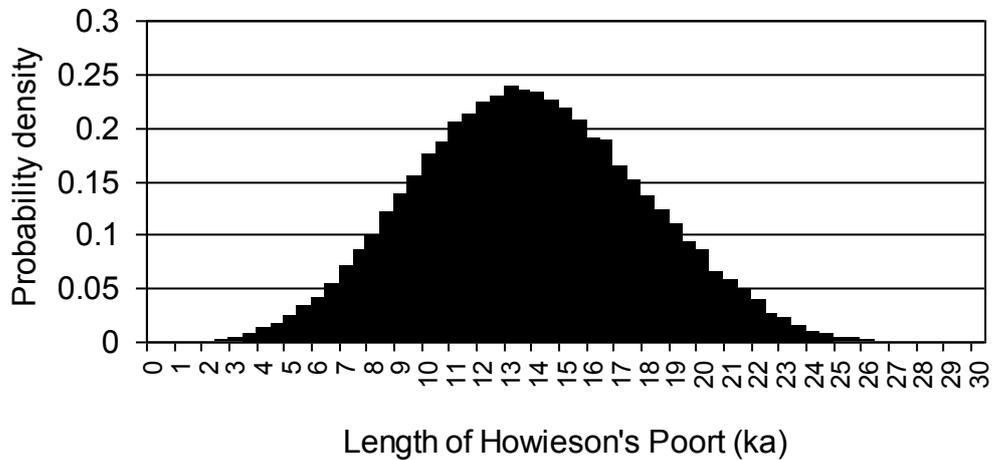
512 **Figure 4:** ESR dates and modelled chronology at Border Cave. Thin black lines: dates without
 513 model from Grün & Beaumont (2001), excluding two outliers (see text); thick black lines: dates
 514 with stratigraphic model; grey lines: modelled phase boundary dates. Modelled dates shown as
 515 95% hpd ranges, original dates as plus or minus two standard deviations. Where there are sub-
 516 samples (a, b, c) from a tooth the single modelled date for the tooth is shown under sub-sample
 517 a.

518



519 **Figure 5:** Probability distribution for the length of the Howieson's Poort Industry at
 520 Border Cave.

521



522

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524

525 **Table 1:** 95% highest posterior density regions for the dates of selected events of
 526 interest at Border Cave.

527

	Grün and Beaumont (2001)	Miller <i>et al.</i> (1999)	Grün <i>et al.</i> 2003	This study (95% highest posterior density)
start of Howieson's Poort	79ka	80ka	76ka	68-82ka
end of Howieson's Poort	60ka	56ka	58ka	56-65ka
length of Howieson's Poort	20 not 10ka			6.3-22ka
BC 1 & 2 if from 4BS	82ka	>100ka		71-91ka
BC 1 & 2 if from 5BS	170ka			152-171ka
BC3	76ka			66-90ka
BC5	66ka		74±5ka	61-72ka

528