# Who keeps children alive? A review of the effects of kin on child survival

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## 1 Abstract

2 Children pose a problem. The extended period of childhood dependency and short 3 inter-birth intervals mean that human mothers have to care for several dependent children 4 simultaneously. It has long been argued that this is too much of an energetic burden for 5 mothers to manage alone, and that they must enlist help from other relatives to share the costs 6 of raising children. Which kin help is the subject of much debate. Here, we review the 7 evidence for whether the presence of kin affects child survival rates, in order to infer whether 8 mothers do receive help in raising offspring and who provides this help. These 45 studies 9 come from a variety of (mostly) natural fertility populations, both historical and contemporary, across a wide geographical range. We find that in almost all studies, at least 10 11 one relative (apart from the mother) does improve the survival rates of children, but that 12 relatives differ in whether they are consistently beneficial to children or not. Maternal 13 grandmothers tend to improve child survival rates, as do potential sibling helpers at the nest 14 (though the latter observation is based on rather few studies). Paternal grandmothers show somewhat more variation in their effects on child survival. Fathers have surprisingly little 15 16 effect on child survival, with only a third of studies showing any beneficial effects. Overall, this review suggests that while help from kin may be a universal feature of human 17 18 childrearing, who helps is dependent on ecological conditions.

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### 22 1.0 Introduction

Human life history poses a problem for women: that of raising several dependent 23 24 children simultaneously. The human birth interval, of about three years in natural fertility 25 populations, is out of line with that of other great apes of similar body size. The orang-utan, 26 for example, has an interbirth interval of about eight years, and the chimpanzee four-five 27 years (see Galdikas & Wood, 1990 for a review). If human females are capable of such rapid 28 reproduction, most anthropologists agree that this is due to the support they receive from 29 other family members. The 'traditional view' has been that this help comes from the father -30 hence the human pair-bond is based on mutual interdependence of husband and wife to raise 31 their children (e.g. Lovejoy, 1981). In hunter-gatherer societies, the division of labour is 32 nearly always such that men bring back meat to the band, whereas women gather. However, 33 the importance of the male contribution to the subsistence of the women and children has 34 been questioned (Hawkes, 1990). The observation that the number of calories brought back 35 from gathered foods often exceeds that from hunting, combined with the fact that meat is 36 often shared widely throughout the band rather than strictly within the nuclear family (Hawkes et al., 2001; Kaplan & Hill, 1985), has lead to the suggestion that women are not as 37 38 dependent on men to raise their family as once thought (Hawkes et al., 1997).

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If human life history poses a problem for women, then it may also provide the solution. Unusually, human females spend a relatively high proportion of their lives in a nonreproductive state. Both pre- and post-reproductive individuals may be available to help mothers in raising offspring, as they can do so at relatively little cost to their own reproduction. Grandmothers, in particular, are often proposed as an alternative to male care. If grandmothers are helping to support their daughters' children, then two unusual features of human female life history – menopause and high birthrates - can potentially be explained at

once. Both may arise because menopause is an adaptation to enable grandmaternal support,
which in turn enables a high human birth rate (Hawkes et al., 1998). Mothers may also use
the labour of their older children, particularly daughters, to spread the costs of raising
offspring. The extended juvenile period of human young is another unusual characteristic of
our species, and the economic contributions of older children may also help to underwrite the
costs of large family size (Kramer, 2005; Lee & Kramer, 2002#2374).

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## 54 1.1 Who supports the family in hunter-gatherer societies?

55 How might empirical studies help us to distinguish between the two views of the human family: that the pairbond with the father is key, or that other kin, especially 56 57 grandmothers, are more important as allocarers? Empirical studies on hunter-gatherer 58 communities are data-limited, due to both the very small number of such societies that 59 survive, and the very small number of individuals living in something approaching a hunter-60 gatherer lifestyle within those societies. This may have contributed to the fact that a 61 consensus view on the relative importance of fathers as compared to grandmothers has not 62 emerged.

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The main line of evidence in this debate came from nutritional studies. Hawkes et al. 64 65 (1997) point out that in the Hadza of Tanzania, children with older female relatives in their band are better nourished, and their data suggest that the hunting season is not actually a 66 67 particularly good time of year for children (see also Hadley, 2004). Studies on foraging 68 strategies in the Ache of Paraguay and in the Hadza highlight the fact that total calories and 69 energy return rates from gathering often equal or even exceed that from hunting (Blurton Jones et al., 2000; Hill et al., 1987; Marlowe, 2003). Isotope studies on pre-historical 70 71 Californians suggest that male and female diets were so different that they appeared to be

72 almost on different trophic levels (Walker & Deniro, 1986); the males appeared to have been 73 living almost entirely off marine resources whereas the females must have been eating food 74 almost exclusively terrestrial in origin. This suggests that food sharing between the sexes was 75 minimal. But Hill, Kaplan and others (see e.g. Gurven & Hill, 1997; Gurven & Kaplan, 2006; 76 Hill, 1993; Kaplan & Lancaster, 2003) have argued that the nature of the food brought back 77 by males is superior and very important, leading them to conclude that the contribution of 78 males to family nutrition is very significant (though note that an important contribution by males to the diet does not necessarily imply that fathers are directly provisioning their 79 80 families). As an extreme example, Arctic hunters like the Inuit are almost entirely dependent 81 on hunted food brought in by men. In the coldest areas, babies and young children could 82 barely survive outside for much of the year, and thus females are dependent on their spouses 83 for almost everything. And Marlow (2003) shows that male provisioning occurs at very 84 important times in the Hadza, such as when a woman's foraging is handicapped because she recently gave birth. 85

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87 These findings suggest that the ecology of the system influences the relative 88 importance of fathers, grandmothers, and potentially other kin such as siblings or older offspring, in the rearing of human children. This should come as no surprise to evolutionary 89 90 ecologists. The variability in hunter-gatherer ecology further highlights the fact that data from 91 just one type of population cannot answer the question of whether humans are co-operative 92 breeders. We will argue here that it is not necessary or sufficient to restrict our studies to 93 extant hunting and gathering communities, none of which are necessarily cases of special 94 importance in human history. Furthermore, very few hunter-gatherer studies can generate 95 large enough sample sizes to estimate important determinants of rare events like mortality, or 96 low variance measures like fertility. There are a small number of natural fertility and natural

97 mortality populations for which large sets of demographic data are available, some of which
98 are historical populations. These are now being analyzed to enhance our understanding of
99 which kin have an influence on the fitness of their descendants. Most of these populations are
100 farmers, but farmers with high workloads, high disease burdens and high reproductive rates.
101 Whilst most of these populations are/were growing rather than stable, the same can be said of
102 contemporary hunter-gatherers populations too. We need to use as much data as is available
103 to us to untangle the full story of the evolutionary ecology of human family life.

## 105 2.0 Kin effects on child mortality in a range of natural

## 106 *fertility/natural mortality populations*

There are many studies on the contributions of various relatives to childcare, nutrition 107 108 and other aspects of development (Hewlett et al., 2000; Hurtado & Hill, 1992; Ivey, 2000) 109 that contribute greatly to our understanding of social networks and child-rearing, but it is not 110 always easy to determine from these studies the extent to which such help enhances the 111 fitness of the beneficiary. In this review we shall concentrate solely on studies that have 112 examined the effects of kin on one specific component of fitness: child mortality. For women, 113 at least, child survival may be the most important determinant of reproductive success 114 (Jones, 2005; Strassmann & Gillespie, 2002), since women (compared to men) have relatively low variance in fertility. Improving the survival chances of a woman's children 115 116 may be the most important thing relatives can do to increase her reproductive success.

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This review includes 45 populations in which the impact of at least one category of
kin on child mortality has been investigated. Most populations had little or no access to
modern medical care, including contraception. A few studies do include data from

121 populations which are moving through the demographic transition, so cannot strictly be 122 described as natural fertility, natural mortality populations, but are nevertheless from 123 societies in which child mortality is sufficiently high to demonstrate variation according to 124 the presence or absence of kin. Such studies correlating the presence (often approximated by 125 the survival status) of relatives with the survival of children do, of course, need to be 126 interpreted with caution. Correlational studies are helpful, but suffer from the usual problem 127 of attributing causation. Given that kin can share not only genes but frequently much of the 128 same environment, there is a high possibility that confounding variables, not included in the 129 analysis, are of great significance. Appropriate statistical analysis needs to be employed to 130 minimise the chance that confounding factors will obscure genuine kin effects or result in 131 false positives (Allison, 1984; Singer & Willett, 2003). Ideally, longitudinal datasets should 132 be analysed using event history analysis (which allows a sensitive analysis of the effects of 133 time-dependent variables, such as the presence of relatives, on the probability of dying over 134 time), and including control variables for potentially confounding factors. As not all studies 135 which have investigated this topic have used such adequately controlled statistical analysis, 136 we have divided the sample into two groups. The statistically valid sample (n=31) includes 137 only those studies in which at least some attempt was made to statistically control for 138 confounding factors. Not all of these studies are longitudinal, nor do they all use event history 139 analysis, but all have recognised the importance of confounding factors and tried to control 140 for them in some way. The supplementary studies (n=13) present data on the impact of 141 relatives but either do not attempt statistical analysis to demonstrate associations, or have not 142 adequately controlled for possible confounding variables (i.e. only univariate analysis was 143 used). The statistically valid and supplementary studies do not sum to 45 because one study 144 (Derosas, 2002) presented an appropriately controlled event history analysis investigating the

effects of grandparents, but only descriptive data (and no statistical analysis) on the effects ofparents.

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148 We have presented the data in three sets of tables. Tables 1a and 1b give details of the 149 effect of the presence of the mother on child survival (Table 1a shows the statistically valid 150 sample, Table 1b supplementary data). Tables 2a and 2b demonstrate the effects of other kin on child survival (Table 2a the statistically valid sample, Table 2b supplementary data). In 151 these tables, '+' indicates that the presence of a particular relative improves child survival, '-' 152 153 that the relative lowers survival and 'none' the relative has no effect. Brackets indicate that the relationship was of borderline significance (0.05>p>0.1), only applied to certain children 154 155 (e.g. boys or girls) or was otherwise qualified. In several cases, the kin effects only applied to 156 children of certain ages. These age-specific effects are mentioned in the 'Other effects and 157 notes' columns. Blank cells indicate that category of relative was not included in the study. Table 3 provides a numerical summary of the previous four tables, and shows the number of 158 159 studies which have found positive, negative or no effects of each relative on child survival. 160

161 *3.0* 

## Who keeps children alive?

162 **3.1** The importance of mothers

163 It comes as no surprise that in all 28 populations in which the association between 164 mother's death and child death has been investigated, the death of the mother is clearly 165 associated with higher child mortality (Tables 1a and 1b). That this effect exists is expected. 166 What we wanted to determine from this analysis was firstly, how long this association lasted 167 (i.e. is it seen throughout the whole period of childhood, or do mothers only matter to young 168 children?), and secondly, can even young children survive the loss of their mothers? If this

association is confined to young children, and if children are able to survive the loss of their
mother, this would indicate that other relatives are stepping in to help children out, if their
mothers die.

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173 Tables 1a and 1b indicate that the mother effect is strongly dependent on the age of 174 the child. The consequences of losing a mother in very early life are catastrophic, as evidenced by the tiny proportion of children who survive if their mothers die giving birth to 175 them: only 1.6% of Swedish children survived such a maternal death in the 19<sup>th</sup> century, and 176 177 5% of Bangladeshi children in the late 1960s (although by the 1980s, 26% of children 178 survived maternal deaths in the same Bangladeshi population). But a child's survival chances 179 appear to improve rapidly with age. Much higher proportions of children manage to survive 180 the death of their mothers if it occurs during their first year of life in some populations: 35% in 19th century Caribbean and 40% in 1920s US (though these studies only investigated 181 182 survival to age 1 year); 50% in Burkina Faso, 40% in historical Sweden and 48% in historical 183 Germany (all looked at survival of the child to at least age 6 years). Studies which have statistically investigated the timing of the mother effect confirm that the effect of mother's 184 185 death on child survival weakens or even disappears entirely after children are weaned. 186 Almost all of the 13 studies which have tested whether the mother effect varies with the age 187 of the child found evidence that the effect declines substantially as the child ages (11 found a 188 decline with age; of the remaining two, one only investigated child mortality up to the age of 189 five years, the other tested the timing of the effect for boys only). Five studies found that the 190 mother effect disappeared entirely after the child reached two years of age.

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192 Clearly, two year old children are not self-sufficient, so the good survival prospects of193 children who lose their mothers in later childhood must be due to other individuals taking

194 over childcare and provisioning. Tables 2a and 2b suggest who those individuals might be. 195 These tables demonstrate clear evidence that the presence of kin is important in improving 196 child survival. In every single study which has examined the impact of *multiple* family 197 members on child survival (apart from the mother), at least one relative has a significant 198 impact on child survival. This widespread importance of kin apart from the mother supports 199 the hypothesis that women are cooperative breeders, sharing child-rearing with other family 200 members. But which relatives help is less consistent than the fact of help itself.

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## **3.2** How much do fathers matter?

Every study that has compared the effects of the loss of mother and father on child 203 204 survival found that the loss of the father has substantially less impact than the mother's death. 205 Indeed, Tables 2a and 2b demonstrate that fathers frequently make no difference to child 206 survival. Table 3 shows that in eight of the 15 populations studied using appropriate 207 statistical techniques (53%) there is no association between the death of the father and the 208 death of the child. If supplementary studies are included this proportion rises to 68% (15 of 22 studies). Even where associations between the loss of the father and increased child 209 210 mortality are found, it is not clear that this is a direct result of the loss of paternal care. In at 211 least one case where an association was found, the relationship was more likely to have been 212 caused by mortality crises that killed family members simultaneously (such as infectious 213 disease) rather than any causal effect of the loss of the father: Beekink et al. (2002) found that 214 child mortality was only increased for one month after the death of the father (whereas the effect of the mother's death lasted considerably longer). In another case, that of rural 215 216 Ethiopia, father absence increased the mortality of male infants only (Gibson, in preparation). 217 This was interpreted as a Trivers-Willard effect, with father absence acting as a proxy for

household resources (father absence actually increased the survival of female infants in thispopulation).

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221 We interpret this variation in the impact of fathers as an indication that paternal 222 investment in young children is facultative, and dependent on ecological conditions. Even 223 where fathers are important for child survival, it is not clear that the benefits they bring to 224 children are the traditionally assumed benefits of provisioning and economic support. 225 Hurtado & Hill (1992) compared the effects of fathers on child survival in two South 226 America hunter-gatherer groups. The loss of the father had a significant impact on Ache 227 children, where marriages are unstable, meat widely shared among the group and fathers little 228 involved in childcare, but no effect on Hiwi children, who are raised in nuclear families, with 229 considerable input from the father in terms of both provisioning with meat and direct 230 childcare. The importance of Ache fathers may instead lie in protecting their children from 231 other males, rather than direct provisioning (infanticide of orphans was not uncommon in this 232 group). Indirect evidence that the importance of fathers lies at least partly in protecting 233 children from other males comes from studies of the impact of the mother's divorce and 234 remarriage. Divorce and remarriage have been shown to increase a child's risk of dying 235 (Alam et al., 2001; Bhuiya & Chowdhury, 1997; Sear et al., 2002). It is often not clear how 236 much of this is due to father absence, to step-father presence or to mother absence (divorcing 237 women may be unwilling or unable to take children with them), or indeed to the stress and 238 violence of the divorce itself. But step-children have been found to be at greater risk of 239 homicide than children living with natural parents (Daly & Wilson, 1988), and have higher 240 stress levels than children living with both biological parents (Flinn & England, 1995). 241

242 Though these studies suggest that the importance of fathers in provisioning their 243 young children has previously been overestimated, it does not mean that men do not invest in 244 their offspring. Many of these analyses focus on relatively young children: 10 of the 22 father 245 studies looked only at children under the age of five years. Such analyses may well 246 underestimate the importance of fathers. The mortality risks of young children are likely to be 247 highly dependent on the quality of care received (including lactation). Fathers can take no 248 part in lactation, and in most populations take relatively little part in direct childcare (though there are exceptions: Hewlett, 1992; Huber & Breedlove, 2007), so may have little 249 250 opportunity to affect the survival chances of young children, with the exception of protecting 251 them from other males. Fathers may play more important roles in the lives of older children, 252 teaching them subsistence skills and perhaps enhancing their marriage and fertility prospects. 253 There is some evidence that women in traditional societies who lack fathers have later first 254 births than those with fathers, suggesting fathers may be instrumental in arranging marriages for women (Allal et al., 2004; Waynforth, 2002). And Marlowe (2001) has found that male 255 256 contribution to diet is positively correlated with female reproductive success in a cross-257 cultural study of hunter-gatherers, although male contribution was not associated with child 258 survival.

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Secondly, the lack of a father effect may be because what fathers do for children can be more easily substituted than the services mothers provide to children. The care given to young children by reproductive aged women is usually directed exclusively towards the women's own children (i.e. lactation). There are rare cases of a lactating woman adopting and feeding an infant after the mother's death, but lactation is energetically costly and also inhibits conception, so that reproductive aged women can usually gain more from investing in their own offspring than looking after less closely related children. In contrast, the productive

267 work or protection that men provide for children can more easily be directed towards children 268 other than their own. Though evidence does suggest that men are disinclined to invest in the 269 progeny of other men (hence the role fathers play in some societies as protectors against other 270 men), there are strategies that can be used to spread the 'fathering' role amongst other men. 271 Hrdy (2000), in a review of the ethnographic literature on mating behaviour, suggests that 272 women are more polyandrous than has been traditionally supposed. This polyandry functions 273 in part to improve child survival by confusing or spreading paternity in order to protect 274 children from potentially infanticidal males and/or encourage several males to invest in 275 mothers and children. For example, in some South American hunter-gatherer communities, 276 paternity is considered to be 'partible', i.e. any man who has sex with the mother around the 277 time of conception and pregnancy is regarded as a father of the child. In both the Ache and 278 among Bari hunter-gatherers of Venezuela, children with multiple fathers do better than those 279 with only one (Beckerman et al., 2002; Hill & Hurtado, 1996) – though Ache children with 280 many fathers did less well than those with one primary and one secondary father. An 281 alternative strategy for spreading the fathering role may be patriliny, where patrilineally 282 related men and their wives may live and work in close proximity. In such societies, 283 patrilineally related males may take over the father's responsibilities if a child's father dies, especially where the levirate is practiced (women marrying their husband's brother after 284 285 widowhood). In the Gambian population we have studied, patrilines live in extended family 286 compounds, and the levirate is common (around 40% of widows married their dead 287 husbands' brothers). Children may therefore suffer little after the death of their fathers, as any 288 services provided by the father can be taken over by other related males in the compound. 289

Additionally, the loss of the father may affect the investment decisions of other relatives, such as grandmothers and grandfathers, who may increase their investment to

compensate for the lack of the father (Winking, in press). For example, though illegitimate
children tended to have higher mortality rates than legitimate children in historical Europe
(providing indirect evidence for the importance of male support: van Poppel, 2000), there is
some suggestion that kin support from maternal grandparents could alleviate the
disadvantages of illegitimacy, indicating interactions between the presence of the father and
extended kin (Blaikie, 1998).

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299 The facultative and time-varying nature of paternal investment makes adaptive sense 300 given that child mortality is probably not the most important determinant of male reproductive success. Under some circumstances at least, men are likely to achieve 301 302 significantly greater gains in fitness by directing their efforts towards gaining additional 303 mates rather than investing in existing children. In polygynous societies, men have the option 304 of spending their resources on attracting additional wives. This could account for some of the 305 variation: for example, the absence of a father effect in polygynous Gambians or Kipsigis 306 (Borgerhoff Mulder, in press; Sear et al., 2002), but a significant positive effect of fathers in 307 monogamous, historical Quebec (Beise, 2005). Even if successfully polygynous men were 308 inclined to provide for children, they would find it rather difficult to provision all of their 309 offspring; men with multiple wives can father considerable numbers of children (the most 310 reproductively successful man in our Gambian population had 36 children). We conclude that 311 a full investigation of how much fathers matter requires analysing the effects of fathers on all 312 components of reproductive success; investigating how such investment may vary over the 313 life-cycle of both fathers and children; and how such investment varies according to specific 314 environmental conditions.

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**316 3.3 Grandmothers and child mortality** 

317 If the impact of fathers on the survival of children is variable, is there any evidence 318 that the impact of grandmothers is more consistently beneficial? The results presented in 319 Tables 2a and 2b suggest that grandmothers may be more reliable sources of help than 320 fathers, though they do not have universally positive effects on child survival. There are also 321 some differences between maternal and paternal grandmothers, with maternal grandmothers 322 appearing to be somewhat more reliable helpers than paternal grandmothers. In total, 323 maternal grandmothers improved child survival in 69% of cases (nine of 13 studies); the 324 proportion is similar if only statistically valid studies are taken into account (seven of 11: 325 64%). Paternal grandmothers seem to be somewhat less consistent helpers if all studies are 326 considered: they improve child survival in 53% of cases (nine of 17), though the proportion 327 rises to 60% of statistically valid studies. Tables 2 and 3 also highlight that kin are not 328 necessarily always beneficial to children: in two studies there was a detrimental effect of 329 paternal grandmothers on child survival, and in one case the maternal grandmother had a 330 detrimental effect (though this latter dataset did not include grandmaternal effects for children 331 whose mothers had died, and in such cases anecdotal evidence suggested maternal 332 grandmothers play a crucial role: Sear, 2006). This greater variability in the effects of 333 paternal grandmothers may be in part explained by the greater age of paternal than maternal 334 grandmothers, due to females reproducing earlier than males (though maternal age, and 335 sometimes age of grandparents, is controlled for in those studies in Table 2a). Or it may 336 reflect their lower level of genetic relatedness to their patrilineal descendants (due to paternity uncertainty). Separating out the effects of maternal and paternal relatives on female 337 338 fitness is clearly important, as maternal and paternal kin may therefore differ in both their ability and inclination to invest in children. This may explain why two of the three studies 339

which have not separated out the effects of maternal from paternal grandmothers have foundno effect.

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343 A closer inspection of the timing of these grandmaternal effects suggests evidence 344 that maternal and paternal relatives have different roles to play in the lives of mothers and 345 children. In some populations, maternal grandmothers appear to have the strongest effect 346 around the age of weaning (Beise, 2002, 2005; Sear et al., 2002). Weaning is a dangerous 347 time for children. It increases their exposure to pathogens in food, and is often associated 348 with the arrival of a younger sibling, when mothers divert their attention away from weaned 349 children and to their new babies. Maternal grandmothers may be stepping in to protect 350 children from the dangers associated with this stage of childhood (see Thompson & Rahman, 351 1967 for an example of this in the Gambia). Paternal grandmothers, in contrast, often appear 352 to have the strongest effect (whether beneficial or detrimental) during the first month or year 353 of a child's life (Beise, 2002, 2005; Kemkes-Grottenthaler, 2005). Mortality in this period is 354 less dependent on exogenous causes (such as quality of care received) and more dependent on 355 endogenous causes (such as low birthweight: Mosley & Chen, 1984). Birthweight is 356 correlated with the condition of the mother during pregnancy (Andersson & Bergstrom, 1997; Kirchengast & Hartmann, 1998). Paternal grandmothers may therefore affect child mortality 357 358 by affecting the condition of the mother during pregnancy. This effect may be beneficial 359 (perhaps by helping out with domestic or productive tasks) or detrimental (stress and 360 harassment may lead to worse maternal condition and higher neonatal mortality rates). The pathways through which maternal and paternal grandmothers affect child survival may 361 362 therefore be somewhat different: the former help out with direct childcare; the latter affect the condition of the mother, and thereby the child, by helpful (or occasionally harmful) behaviour 363 364 during pregnancy.

366 Most of the studies in this review have only used correlational evidence to infer 367 helping behaviour from kin, but Gibson & Mace (2005) also collected time budget data to 368 establish what relatives were actually doing for one another. This analysis provides further 369 support for the suggestion that maternal and paternal relatives perform different functions in 370 women's lives. Maternal grandmothers were found to help women out with heavy domestic 371 tasks, thus freeing mothers for childcare. Paternal grandmothers, on the other hand, were more likely to help women with agricultural work, an activity from which they may gain a 372 373 direct benefit (i.e. a share in the harvest).

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## 375 **3.4 What about grandfathers and other adult kin?**

Grandfathers are much less important to children. In 10 of 12 cases (83%), maternal grandfathers had no effect on child survival, though a positive effect in the remaining two cases. Paternal grandfathers had no effect in six of 12 cases (50%); a negative effect in three (25%) and a positive effect in three cases (25%). However, even where associations are found between grandfathers and child survival they tend to be of borderline statistical significance. In four of the six cases where paternal grandfathers had an impact on child survival, for example, the effect was borderline or applied only to female children.

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Data on the effects of related reproductive-aged adults on child survival (apart from parents, such as aunts and uncles) is relatively scarce. The little evidence available suggests the effects of such relatives are very mixed (see the 'Other effects and notes' columns in Tables 2a and 2b for details). The children of Kipsigis agropastorialists in Kenya do better if they have either paternal or maternal uncles (Borgerhoff Mulder, in press). Chewa children in Malawi have higher survival in the presence of paternal aunts, but lower survival if maternal

aunts or maternal uncles are present (Sear, 2006). Venetian children apparently neither gain 390 391 nor suffer from aunts or uncles (but neither maternal nor paternal, nor aunts and uncles were distinguished: Derosas, 2002). In historical China, the presence of reproductive aged females 392 (usually paternal aunts) increased mortality for motherless children (Campbell & Lee, 2002). 393 19th century Mormon children benefited from maternal uncles and either kind of aunt (Heath, 394 395 2003). Reproductive-aged adults may be in a position to help one another with childcare, 396 domestic tasks or productive activities, but also may either be too concerned with the well-397 being of their own small children, or actively in competition with each other for resources to 398 be consistently beneficial. In a study of childcare arrangements in Efe hunter-gatherers, Ivey 399 (2000) found that children were frequently looked after by individuals other than their 400 mothers but these allocarers were rarely other women who had nursing infants of their own. 401 Data from historical studies do however suggest that one category of reproductive-aged 402 women may be beneficial for child survival: stepmothers. Despite numerous folk tales 403 warning of the dangers of the wicked stepmother, both Andersson et al. (1996) and Campbell 404 and Lee (2002) found that children with stepmothers had similar risks of dying to those 405 children who still had their own mothers, which were considerably lower than the mortality 406 risks of children without either mothers or stepmothers. Such analyses need to be interpreted with care, as children with stepmothers will be older and have experienced the death of their 407 408 mothers further in the past than most motherless children. But if this is not a statistical 409 artifact, such philanthropic behaviour on the part of step-mothers may be a form of mating 410 effort, as has been suggested for step-parental behaviour in non-human animals (Rohwer et 411 al., 1999).

#### 413 **3.5** Helpers at the nest

414 Rather few studies have investigated the effect of potential sibling 'helpers at the nest' 415 on child survival, despite the widespread observation that the labour of older children is used 416 by parents both for domestic work (including childcare) and productive activities (Borgerhoff 417 Mulder & Milton, 1985; Cain, 1977; Kramer, 2002, 2005; Weisner & Gallimore, 1977). The 418 effects of older siblings, however, are complicated by competitive relationships. Several 419 studies have found that older siblings increase, rather than decrease, the risk of death for 420 children (e.g. Das Gupta, 1987; Kemkes, 2006; Muhuri & Preston, 1991). These effects are 421 usually interpreted as parental manipulation of the size and sex composition of their families 422 for optimal allocation of limited family resources. Here, we only present studies which have 423 investigated the effect of older siblings who are potential helpers, rather than competitors, by 424 restricting the analysis to those children several years older than the focal child (at least three 425 years older, and often more, depending on the study). Restricting the analysis in this way is 426 not a perfect method of identifying the effect of helpers at the nest, and will bias the sample 427 in other ways, e.g. it will include a disproportionate number of later born children, and exclude firstborns. But all of the studies which investigated helping at the nest used some 428 429 statistical controls, which should reduce, though not eliminate, potentially confounding 430 factors. Only six studies analysed helping at the nest, but five of these studies find potential 431 helpers have a positive effect on child survival. The sixth study only investigated the effects 432 of adult siblings, who may have been occupied with children of their own. In some cases this 433 positive effect is specific to older sisters, suggesting the domestic responsibilities of juvenile girls (including childcare) are important, but in other cases the sex of helpers does not matter, 434 435 suggesting all activities contributed by pre-reproductives are beneficial.

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#### 437 **3.6 Confounding effects**

438 Some of the studies in the sample found that kin effects are not straightforward. In a 439 few populations, the effect of a particular category of kin was only seen for children of one 440 sex. Mothers themselves are known to invest differentially in children according to sex and 441 birth order. Other kin may mirror the investment decisions of mothers, by investing in similarly favoured children. The reproductive interests of kin are not necessarily identical to 442 443 those of the mother, however. Sorenson Jamison et al. (2002) highlight the possibility that 444 paternal grandmothers in Japan are influenced by concerns of lineage, which means that 445 certain children (such as later born boys who may be unwelcome competitors for favoured 446 male heirs) are particularly disadvantaged, whereas other grandchildren may be supported. 447 Such sex-specific and birth order biases, which are found in a number of wealth-inheriting 448 societies, would confound attempts to label individual kin relationships as always positive or 449 negative for child survival. Such grandmothers would, nonetheless, be attempting to promote 450 their lineage, albeit at the expense of certain unfortunate grandchildren.

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Availability of resources also seems to alter kin effects. Both Borgerhoff Mulder (in 452 453 press) and Leonetti et al. (2004) found interactions between kin effects and wealth. In the 454 Kipsigis, paternal uncles are most important for buffering rich children against mortality but 455 maternal uncles are more important in poor families (Borgerhoff Mulder, in press). In India, 456 husbands were more likely to help women out in poorer households (Leonetti et al., 2004). In 457 the latter study, the condition of the mother also mattered. There was a tendency for men to 458 be more helpful to women with fewer resources, both economic and physiological: shorter 459 women were more likely to be helped by husbands. There were also interactions between help given by husbands and grandmothers (more help from grandmothers correlated with less 460 help from husbands). These complications to the story of kin help suggest that help from any 461

462 category of kin may be facultative to some extent, depending on other factors such as the463 available resources, the mother's ability to rear children and the presence of other kin.

464

465 A final word about confounding effects. A common criticism of studies which find a 466 correlation between the survival of a particular relative and child survival is that these effects 467 might simply be due to shared genes or environment, i.e. certain children come from 468 'healthy' families where both they and their relatives have good survival prospects, and others come from 'unhealthy' families where their own survival chances are low, as is the 469 470 probability that their relatives have survived long enough to help care for them. While such 471 explanations cannot entirely be ruled out, the results presented in Tables 1 and 2 suggest that 472 shared genes or environment is unlikely to be the full explanation in all cases. For example, if 473 such confounding effects were important we would expect to see positive relationships 474 between children and all categories of kin. Instead we see considerable variation between relatives and between populations in which kin are important for child survival. The effects 475 476 of kin are also often dependent on the age of the child. Again, if shared genes or environment 477 were responsible for these results then the survival of kin should be correlated with child 478 survival throughout the child's life. Thirdly, several studies have controlled for shared 479 environment between relatives by including statistical controls for economic factors (e.g. 480 Borgerhoff Mulder, in press; Gibson & Mace, 2005; Leonetti et al., 2005), or by using 481 hierarchical models which control for family-level effects (e.g. Beise, 2002; Borgerhoff 482 Mulder, in press; Sear et al., 2002; Tymicki, 2006). Significant kin effects are still seen even 483 using such controls. Finally, the authors of these studies are frequently aware of this potential 484 confound and have often used additional analysis or ethnographic evidence to interpret the results of their correlational analysis, to provide assurances that these results are unlikely to 485 486 be entirely due to shared genes or environment (see, e.g., Sear et al. in press).

487

## 488 **4.0** Discussion

489

## 4.1 Evolution and the human family

490 What does this review tell us about the evolution of the human family? Clearly, there is a problem using data on current populations to infer anything about evolutionary history. 491 492 Certainly the study of a single society tells us little about evolution of a particular trait. In the 493 Gambia, we found positive effects of maternal grandmothers and no effect of fathers on child 494 survival, but this does not constitute strong evidence in favour of the importance of older 495 women and the unimportance of men in the human family. These results could have arisen 496 due to some peculiarities of Gambian ecology. Cross-cultural analysis is essential to determine which traits are common across societies and which vary according to 497 498 environmental conditions (see e.g. Walker et al., 2006 for an example on growth). This 499 review offers hints about which features of the human family may have been common 500 throughout our evolutionary history, and which are adaptations to local environments. We 501 conclude from this review that kin support in rearing offspring does appear to be a human 502 universal. Support from maternal kin (especially grandmothers) may perhaps be more reliable 503 than that from paternal kin, though no category of kin is universally beneficial. Support from 504 fathers for young children also appears to be facultative, and dependent on ecological 505 conditions.

506

507 But does even this cross-cultural review tell us anything about the *evolution* of the 508 human family? This review covers a variety of human cultures, but examining the impact of 509 relatives on child mortality is a data intensive exercise. This means that the dataset has 510 relatively few hunter-gatherers, and is biased towards those who made at least some of their

511 living from farming. Is it possible that throughout most of our history we have lived in 512 relatively stable (perhaps nuclear) families where fathers assume more importance in 513 provisioning children, or even where mothers were better able to provision their children 514 alone? The variation we see among extant populations may be, at least in part, a response to a 515 shift in subsistence and demographic patterns to a set of conditions which make helping by 516 extended kin more favourable. For example, if agricultural populations have higher fertility 517 and lower adult mortality than hunter-gatherers, this might make kin (such as grandmothers 518 and older children) both available and necessary as helpers. Draper & Harpending (1987) 519 have suggested that paternal involvement and sibling care may differ systematically between 520 foraging and farming communities, with father involvement much more common among 521 foragers and sibling care more frequent among farmers (see also Hewlett, 1991). Kaplan & 522 Lancaster (2003) have also argued that shifts in subsistence strategy during human history 523 have been accompanied by shifts in optimal family structure. In particular, they assert that the 524 move from foraging to horticulture and agriculture was accompanied by a significant 525 reduction in the importance of male provisioning to children.

526

527 If there are such systematic differences in the family structures of farmers and 528 foragers, then our sample may well overestimate or underestimate the importance of certain 529 relatives. However, it seems unlikely to us that one particular family structure has been of 530 paramount importance throughout human history. Existing hunter-gatherer populations are 531 hardly uniform in either their subsistence strategies or demographic patterns. Hunter-gatherer populations have, after all, been used to illustrate both the importance of fathers (Ache), and 532 533 the importance of grandmothers (Hadza). This particular debate might reflect differences 534 between Old World and New World foragers, since foragers in the Old World tend to rely 535 relatively more on gathering and have lower male contributions to the diet than New World

536 foragers (Marlowe, 2005). There are also problems in using extant hunter-gatherer 537 populations as models for past hunter-gatherers as many of the remaining hunter-gatherers 538 occupy marginal environments unsuitable for farming activities (though this view has recently been questioned: Marlowe, 2005). This variability shown by hunter-gatherer 539 540 populations is unlikely to have been of recent origin, given that recent estimates suggest 541 hominins have had a wide geographical distribution (i.e. outside of Africa) for nearly 2 542 million years (Dennell & Roebroeks, 2005). If early hominids had a wide geographic distribution then they probably occupied a variety of different environments, with associated 543 544 plasticity in behavioural characteristics.

545

546 It seems more parsimonious to us to assume that human family systems have always 547 been somewhat flexible and responsive to ecological conditions, as are those of many other 548 primates. After all, as Hrdy (2005) points out, relying exclusively on a single category of kin 549 (such as fathers) seems a rather risky strategy, given the improbability that one particular 550 relative will survive and be able to help throughout a woman's reproductive career.

551

552

4.2

## **Evolution of human life history**

553 We introduced this paper by describing the unusual features of human female life 554 history - late puberty, short birth spacing and menopause. Does this review tell us anything 555 important about the evolution of human female life history characteristics? We have found 556 unmistakable support for the hypothesis that women receive help from kin in raising children 557 in extant populations, but can we infer from this that characteristics of human life history can 558 be explained by the cooperative nature of human reproduction? Again, it is difficult to draw conclusions about the evolution of a particular trait by examining existing populations. For 559 560 example, grandmothers (of one kind or another) do appear to be almost universally beneficial

561 across societies in improving the fitness of their relatives: in all 12 studies which investigated 562 the impact of both maternal and paternal grandmothers, as least one kind of grandmother was beneficial for child survival. This provides some support for the grandmother hypothesis for 563 564 menopause, but we still cannot be entirely certain that menopause evolved because of its 565 fitness benefits. It may be that grandmothers invest in their grandchildren because they are 566 unable to continue having children of their own, and investing in grandchildren is better than 567 investing in nothing at all. Rather than relying solely on statistical investigations of patterns 568 of behaviour in modern populations, mathematical modelling may be necessary to get at the 569 evolution of particular traits, by quantitatively testing whether a particular trait is likely to 570 have evolved given a set of parameters.

571

572 Most attempts to build quantitative models in which women can compensate for lost 573 fertility in later life through enhancing the fitness of children and grandchildren have failed to 574 find fitness benefits sufficiently large to favour menopause at 50 (Grainger & Beise, 2004; 575 Hill & Hurtado, 1991; Rogers, 1993). Shanley & Kirkwood (2001) argue that menopause at a 576 slightly older age could be favoured if a range of selective forces are combined, including an 577 increase in maternal mortality with age, as well as grandmaternal effects both on grandchild survival and on their daughters' fertility (and these latter effects need to be large). When 578 579 parameterising this model with data from the Gambia (Shanley et al. in prep), we find that 580 maternal and grandmaternal effects on child survival are particularly important, and parental 581 contributions to daughters' fertility are less important. But again, realistic parameter values 582 suggest a late age menopause is adaptive, which implies that some important effect may still 583 be missing from the model.

584

585 That these quantitative analyses suggest marginal, if any, benefits of menopause at 50, 586 has contributed to a belief that grandparental and parental care are a significant selective 587 force on human longevity, but not necessarily on the timing of menopause (Hawkes et al., 588 1998). Recent work has focussed on modelling the mortality schedules and aging patterns of 589 our species, rather than a specific component of human life history such as menopause. These 590 models have suggested that many of the peculiarities of human life history, including a long 591 juvenile period, long lifespan and postreproductive life, may hinge on intergenerational 592 transfers in general (not specifically those from grandmothers, but including all transfers 593 from older to younger individuals: Kaplan & Robson, 2002; Lee, 2003; Pavard et al., 2007). 594 The mathematical framework needed to address these problems continues to develop. Such 595 models would also benefit from more information on the parameters needed to inform these 596 models: effect sizes for kin help across a number of different populations would illustrate the 597 relative importance of mothers, fathers and grandmothers. Whether elaborations of these models using realistic human parameters can explain menopause, as well as other human life 598 599 history characteristics, better than existing models awaits further analysis.

600

#### 601 **4.3** Next steps

602 This review has of necessity been a fairly crude analysis of the effects of kin on child 603 mortality: we have simply presented numerical data on the number of populations which have 604 found, or failed to find, an effect of various relatives on child survival. We have attempted no 605 meta-analysis of the data presented here, because of the considerable variation in statistical 606 methodology (or lack of it) used in these studies. Even in those studies which do calculate 607 effect sizes, the magnitude of the effects cannot be compared directly for a number of reasons, including differences in the age of children being studied, in which confounding 608 609 factors were controlled, and whether interactions between the effect and child's age were

610 included in the models. Additionally, not all studies in this sample can be considered 611 independent data points, since a few come from similar populations. Nevertheless, we believe this is a useful exercise as a first step in systematically determining which kin are helpful to 612 613 mothers in raising children and under which circumstances these kin help. This review has 614 found some commonalities but also substantial variation between populations in which kin 615 help women raise children. The next step is to explain this variation within an evolutionary 616 ecological framework. This could involve a meta-analysis of those studies which have 617 investigated this issue, testing hypotheses about the circumstances under which particular kin 618 help, preferably using appropriately phylogenetically controlled methods (Mace & Pagel, 619 1994), though this is unlikely to be practical until more studies can be collated on the effects 620 of kin on child survival. We suggest the following, by no means exhaustive, list of potential 621 factors may affect the level of help offered by particular relatives. (1) Subsistence strategy, 622 which may affect: (a) the degree to which certain kin may help (e.g. children may be 623 economically productive in some agricultural societies, but less so hunter-gatherer 624 communities); and (b) the division of labour between sexes, which affects what kind of help 625 kin can provide and the extent to which help is necessary. (2) Demography: the probability of 626 having a particular relative around to help depends on a number of demographic factors such 627 as sex-specific mortality rates, age-specific fertility rates and age difference between spouses. 628 (3) Marriage and mating systems (which will also be linked to demography through the 629 operational sex ratio): polygynous men are likely to find it difficult to invest in children from 630 several mothers, and will also have alternative mating opportunities which make mating 631 effort more productive than parental effort. (4) Resource availability: which may affect the 632 demography and marriage patterns of a population. (5) Inheritance patterns: which may result in selective helping of certain children. (6) Residence patterns: which will affect which kin 633 634 are most available for help.

636 This study has only examined statistical correlations between the survival of kin and 637 survival of children. While we have attempted to separate out studies which are likely to have 638 demonstrated genuine correlations from those which have not adequately controlled for 639 potentially confounding factors, even those studies which have used appropriate statistical 640 analysis have not demonstrated a *causal* relationship between the presence of kin and the 641 survival of children. A better understanding of the pathways by which kin help would improve our understanding of why these associations are found (and provide reassurance 642 643 these effects are not merely statistical artifacts). The studies that are presented here suggest 644 that the pathways through which kin influence reproductive success may well differ between 645 relatives. Men and women appear to help in different ways, because of sexual division of 646 labour within societies (e.g. help in direct childcare is much more likely to come from female 647 kin than male kin). There also appear to be differences in the kinds of help offered by maternal and paternal kin in their helping behaviour (and not only in the frequency with 648 649 which they offer help: Beise, 2005; Gibson & Mace, 2005). Pathways may also be more 650 variable for fathers than for other kin. Fathers can potentially provide a variety of services to 651 children including provisioning with food, providing protection from other males, childcare, and other social benefits. Female kin tend to confine their roles to lifting energetic burdens 652 653 from women by helping out with childcare, domestic and subsistence activities. This review 654 has also highlighted that not all kin are beneficial. Suggestions for the detrimental effects of 655 relatives on child survival have included competition for resources (Campbell & Lee, 1996) and conflicting interests between women and their husband's kin (Beise, 2002; Voland & 656 657 Beise, 2005). These results suggest that any models which attempt to investigate the 658 evolution of certain life history traits need to take into account differences between maternal 659 and paternal kin, as well as potential conflicts between relatives.

660

661

## 4.4 Relevance to current family policy debates

662 Finally, we conclude with a brief discussion of the relevance of such evolutionary analysis to family policy. There is a tendency for policymakers in Western countries to 663 664 believe that the nuclear family model is most beneficial for individuals, children and society, 665 and that the decline in marriage and increase in divorce and single motherhood in recent 666 years marks an unprecedented decline in the family in human history (McDonald, 2000). This 667 nuclear family model also usually includes a rather rigid view of the division of labour within 668 families, with mothers primarily concerned with childcare and the domestic sphere, and fathers responsible for economic provisioning. Policy theorists have claimed that all welfare 669 670 states were initially predicated on the nuclear family model, and most still subscribe to some 671 degree to this model (Lewis, 1992; Sommestad, 1997). There is an enormous literature 672 arguing that father absence has detrimental consequences for children (see Sigle-Rushton & 673 McLanahan, 2004 for a review), reinforcing the view that marriage is good for children, 674 divorce is bad, and that children should grow up in a home with both biological parents. But 675 this review shows that the human family is clearly a diverse entity, and that the nuclear 676 family system may not be the normative solution to the problem of raising children in all 677 circumstances (though it may be in others).

678

What is clear from this review is that this nuclear model is a rather unusual family system in extant populations, which gives us little reason to assume that it has been common throughout our evolutionary history. The three features which make this nuclear family model somewhat unusual are: that women are expected to care for children alone; that women are not expected to contribute any productive labour; and the vital role that fathers play in the economic support of the family. The studies cited here demonstrate that mothers

685 do not raise their children alone in many societies, but receive substantial help from others, so 686 that it is not at all unusual for children to receive care from other kin and group members. It is 687 also extremely unusual for women to take no part in productive activities. Hewlett, in a table 688 titled 'the myth of the male breadwinner', tabulates the contribution of women to the family 689 diet from 90 societies worldwide and observes that in half the societies the breadwinner role 690 was shared roughly equally between men and women, and that the number of societies in 691 which men were the main breadwinners was equalled by the number of societies in which 692 females contributed the majority of the family diet (Hewlett, 2000). Not dissimilar results are 693 seen if only hunter-gatherers are considered (Hewlett, 1991; Marlowe, 2005). This both 694 questions the lack of female involvement in production, and also the role that fathers play 695 within the family. While fathers may well be important to their offspring, exactly what they 696 do to support their children, and how this investment is patterned across the life-cycle, is 697 likely to vary substantially both between and within societies, according to the level of 698 available resources, degree of paternity certainty and other factors. Additionally, the lack of a 699 substantial father effect on child mortality in many societies suggests that when fathers are 700 absent, other relatives or group members may be able to compensate for the loss of the father. 701 These observations of considerable variation in optimal family structure suggest it might be 702 useful for policymakers to take a slightly less rigid approach when considering what is the 703 best environment to raise a child.

704

This does raise the question of exactly how such evolutionary analyses can be used to inform family policy, if at all. For example, knowledge that the best kind of family to raise a child can take several forms may not be necessarily useful to policymakers trying to formulate policies at a national level. A recent attempt to use evolutionary psychology to inform family policy appeared to conclude that evolutionary approaches are useful because

710 they allow us to understand better the preferences of individuals, so that social policy can be 711 directed towards fulfilling these preferences (Browne, 2002). However, an evolutionary 712 perspective also tells us that the preferences of individuals may be well in conflict: the 713 preferences of men may not coincide with the preferences of women; the preferences of 714 children may not coincide with those of parents; and the preferences of the family may very 715 well be in conflict with those of institutions such as employers, governments, etc. 716 Evolutionary analyses can be used to gain a better understanding of human behaviour, but 717 cannot be used to provide easy policy solutions.

718

## 719 **5.0** Conclusion

720 We have presented evidence that human children benefit from an extended family and 721 that kin support can enhance female reproductive success. There are several studies focussing 722 on components of reproductive success that further support this view, but we narrowed our 723 discussion here to those that could identify a kin effect on child survival, an unambiguous 724 determinant of reproductive success, so that we could unpick differing influences within the 725 family. This analysis reveals some commonalities and some differences in kin help. A 726 consistency across studies is that at least one relative is beneficial in almost all populations, 727 suggesting that we are evolved to raise children as an extended family enterprise. Maternal 728 grandmothers tend to improve child survival, as do elder sibling 'helpers-at-the-nest'. 729 Paternal grandmothers are frequently beneficial, but show rather more variation than maternal 730 grandmothers in their effects on child survival. Fathers' contributions to child survival appear 731 to be surprising small. This review has also highlighted that kin interactions are not always 732 beneficial, and that the presence of certain kin may occasionally be harmful for child

survival. A systematic analysis of what causes this variation in kin support should be the nextstep in furthering our understanding of the human family.

735

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## Table 1a: Studies of the effect of the mother on child survival

Population	Authors	Effect of mothers	Age of children studied	Timing of mother effect	%age surviving mother's death	Notes
Nepal (Sarlahi)	Katz et al., 2003	+	0-24	0-24		Maternal deaths <sup>2</sup> only considered. Effect size increased
1994-97	1 aug et un, 2005		weeks	weeks <sup>1</sup>		with age of infant.
Caribbean (St Barthélemy) 1878-1976	Brittain, 1992	+	0-1 yr		35%	%age survival to 1 yr after mother's death in first year
Gambia (4 villages) 1950-74	Sear et al., 2000; 2002	+	0-5 yrs	< 2 yrs only		Nutritional status also lower without mothers
Kenya (Kipsigis) 1945-90	Borgerhoff Mulder, in press	+	0-5 yrs			
Burkina Faso (Nouna) 1992-99	Becher et al., 2004	+	0-5 yrs	0-5 yrs	50%	% age survival in follow-up period (0-5 yrs) after mother's death in first year. Effect weakens with child's age
Sub-Saharan Africa <sup>3</sup> 1980s-2000	Zaba et al., 2005	+	0-5 yrs	<2 yrs only		Effect limited to first yr after mother's death. Relationship holds for HIV –ve children
Canada (Quebec) 1680-1750	Beise, 2005	+	0-5 yrs	0-5 yrs		Effect weakens with child's age
Poland (Bejsce) 1737-1968	Tymicki, 2006	+	0-5 yrs	0-5 yrs		
Guinea-Bissau 1990-98	Masmas et al., 2004	+	0-8 yrs	<2 yrs only		Low HIV prevalence, so effect not due to mother-to-child- transmission of HIV
Paraguay (Ache) 1890-1971	Hill & Hurtado, 1996	+	0-9 yrs	0-9 yrs		Weak evidence (p=0.09) that effect declines with child's age
Netherlands (Woerden) 1850-1930	Beekink et al., 1999; 2002	+	0-12 yrs	<6 mths / 0-12 yrs		1999 paper suggests effect only seen <6 mths; 2002 paper effect seen up to age 12, though weakens with child's age
Italy (Tuscany) 1819-59	Breschi & Manfredini, 2002	+	0-12 yrs			
Canada (Quebec) 1625-1759	Pavard et al., 2005	+	0-15 yrs	0-15 yrs		Effect weakens with child's age. Neonates excluded. Effect stronger on girls after age 3 yrs
Sweden (Sundsvall) 1800-1895	Andersson et al., 1996	+	0-15 yrs	<1 yr only	40%	%age survival to 15 yrs after mother's death in first year
Japan (Central) 1671-1871	Sorenson Jamison et al., 2002	+	1-16 yrs			Effect stronger for boys (but seen in all children)
China (NE) 1774-1873	Campbell & Lee, 1996, 2002	+	~1-15 yrs	Strongest ~6-10 yrs		Timing of effect only tested for boys

 <sup>&</sup>lt;sup>1</sup> Excluded from discussion of timing effects since only very young children included in the study
 <sup>2</sup> Definition of maternal death may differ between studies but broadly refers to death due to childbirth
 <sup>3</sup> Pooled data from 3 cohort studies in Tanzania, Malawi and Uganda

 Table 1b: Supplementary data on the effect of mothers on child survival (not statistically controlled for confounding factors)

Population	Authors	Effect of mothers	Age of children studied	Timing of effect	%age surviving mother's death	Notes
US (New York State)	Yerushalmy et al.,	+	0-1 mth			Maternal deaths only considered
1936-38	1940					
Bangladesh (Matlab) 1967-70	Chen et al., 1974	+	0-1 yr		5%	% age survival to 1 year after maternal death
Bangladesh (Matlab) 1976-85	Koenig et al., 1988	+	0-1 yr		25.9%	% age survival to 1 year after maternal death. Deaths among older siblings <3 yrs not affected by maternal death
US (8 cities) 1920s	Woodbury, 1926	+	0-1 yr		40%	%age survival to 1 yr after mother's death in first month
Tanzania (Hadza) 1980s-90s	Blurton Jones et al., 1996	+	0-5 yrs			
Uganda (Rakai) 1994-2000	Bishai et al., 2003	+	0-6 yrs			Effect holds for HIV -ve children
Bangladesh (Matlab) 1983-85	Over et al., 1992	+	0-9 yrs			Effect substantially stronger for girls
Spain (Aranjuez) 1870-1950	Reher & González- Quiñones, 2003	+	0-9 yrs	<2 yrs only		Effect strongest for boys in neonatal period; girls at older ages. Effect increases over calendar time. Nutritional status also lower without mothers
Italy (Venice) 1850-69	Derosas, 2002	+	0-10 yrs			
Germany (Ostfriesland) 1668-1879	Voland, 1988	+	0-15 yrs		48.5%	% age survival to 15 yrs after loss of mother in first year
Sweden (7 parishes) 19 <sup>th</sup> C	Högberg & Broström, 1985	+	0-15 yrs	<5 yrs only	1.6%, 3%, 13%	% age survival to age 5 if child lost mother at birth, during first year and between 1-5 yrs respectively
UK (Cambridgeshire) 1770-1861	Ragsdale, 2004	+	0-15 yrs			Loss of mother within 2 yrs of birth of child

## Table 2a: Studies of the effects of fathers, grandparents and older siblings on child survival

Population	Authors	Age of child (yrs)	Effect of fathers	Effect of maternal gms	Effect of paternal gms	Effect of maternal gfs	Effect of paternal gfs	Effect of older sibs	Other effects and notes
Gambia (4 villages)	Sear et al., 2000;	0-5	none	+	none	none	none	+	Elder sisters only increase survival (not
1950-74 Canada (Quebec) 1680-1750	2002 Beise, 2005	0-5	+	+	+	+	(+)	+	brothers), and only at 24-59 mths; divorce - Fathers improve survival 1-23 mths; pgms in first month; mgms 12-35 mths; mgfs 36-
Malawi (Chewa) 1992-1997	Sear, 2006	0-5	none	-	+	none	none	+	59 mths; pgfs 36-59 mths but only for girls Elder sisters only increase survival; mat aunts - ; mat uncles - (borderline); pat aunts + (borderline); divorce -
Kenya (Kipsigis) 1945-90	Borgerhoff Mulder, in press	0-5	none	none	+	none	none		Mat and pat uncles +; pgm and mat uncle effects stronger in poor households; pat uncle effect stronger in rich households
Poland (Bejsce) 1737-1968	Tymicki, 2006	0-5	+	+	+	+	+		All grandparental effects seen only in first year; father effect seen at all ages
Japan (Central) 1671-1871	Sorenson Jamison et al., 2002	1-16	none	(+)	(-)	none	(-)		Mgm effect borderline; pgm effect only seen for boys; pgfs only for girls
Germany (Ludwigshafen) 1700-1899	Kemkes- Grottenthaler, 2005	0-2		none	+	none	-		Pgm effect only in first year
Ethiopia (Oromo) 1993-2003	Gibson, in preparation; Gibson & Mace, 2005	0-5	+/-	(+)	(+)	none	none		Father effect only investigated 0-1 yr: no overall effect, but + for boys and - for girls; mgm effect borderline; pgm effect only seen for girls
Germany (Krummhörn) 1720-1874	Beise, 2002; Voland & Beise, 2002	0-5		+	-	none	none		Pgm effect seen in first month; mgm effect esp pronounced 6-12 mths
Italy (Venice) 1850-69	Derosas, 2002	0-10		none	(+)	none	(-)		Pgm effect only seen in orphaned children; pgf effect only <1yr; both effects borderline; no effect aunts/uncles
India (Khasi) 1980-2000	Leonetti et al., 2004, 2005	0-10	none	+					Mgm effect seen in first yr only
Bolivia (Tsimane) 1930s-2000s	Winking et al., 2006	0-10	none						Child's risk of murder was increased if father was dead, but not overall mortality
Italy (Tuscany) 1819-59	Breschi & Manfredini, 2002	0-12	none						Death of father increased risk of emigration

Sweden (Sundsvall) 1800-95	Andersson et al., 1996	0-15	none				Stepmother +
Japan (NE) 1716-1870	Tsuya & Kurosu, 2002	2-14	+				
Netherlands (Woerden) 1850-1930	Beekink et al., 1999, 2002	0-12	(+)				Fathers only had effect within 1 mth of their deaths
India (Bengali) 1980-2000	Leonetti et al., 2005	0-10		+			Pgm effect only seen in children 1-9 yrs
India (Uttar Pradesh) 1990-3	Griffiths et al., 2001	0-2		+			Pgm effect only in first mth
India (Tamil Nadu) 1990-3	Griffiths et al., 2001	0-2		none			
India (Maharashtra) 1990-3	Griffiths et al., 2001	0-2		none			
NE India (8 states) 1994-9	Ladusingh & Singh, 2006	0-5		none			
Bolivia (Aymara) 1960s-90s	Crognier et al., 2002	0-15				+	Elder brothers and sisters improve survival
Morocco (Berber) 1930-80	Crognier et al., 2001	0-15				+	Elder brothers and sisters improve survival
Finland (5 communities) 18 <sup>th</sup> &19 <sup>th</sup> C	Lahdenpera et al., 2004	0-15		(+)			Pat and mat gms not distinguished; effect only seen 2-15 yrs, and only for gms <60 yrs old
Paraguay (Ache) 1890-1971	Hill & Hurtado, 1996	0-9	+	none	none	none	Mat and pat grandparents not distinguished; elder sibs only include adult sibs
China (NE) 1774-1873	Campbell & Lee, 1996, 2002	~1-15	(+)	none	-		Father effect only in girls; pat and mat grandparents not distinguished; presence of 'adult women' increases mortality for boys if no mother or stepmother present; stepmother +

Table 2b: Supplementary data on the effects of fathers, grandparents and older siblings on child survival (not statistically controlled for confounding factors)

Population	Authors	Age of	Effect of	Effect of	Effect of	Effect of	Effect of	Effect of	Other effects and notes
		child	fathers	maternal	paternal	maternal	paternal	older	
UK (Cambridgeshire) 1770-1861	Ragsdale, 2004	(yrs) 0-15	none	gms +	gms none	gfs none	gfs none	siblings	
Utah (Mormons) 19 <sup>th</sup> century	Heath, 2003	0-1		+	none	none	(+)		Pgf effect borderline; mat aunts, mat uncles and pat aunts +
Tanzania (Hadza) 1980s-90s	Blurton Jones et al., 2000	0-5	none						Father absence tested (including death and desertion)
Venezuela (Hiwi) ~1980s	Hurtado & Hill, 1992	0-5	none						Father absence tested (including death and divorce)
Uganda (Rakai) 1994-2000	Bishai et al., 2003	0-6	none						
Bangladesh (Matlab) 1983-85	Over et al., 1992	0-9	none						
Spain (Aranjuez) 1870-1950	Reher & González- Quiñones, 2003	0-9	none						Fathers improve nutritional status
Italy (Venice) 1850-69	Derosas, 2002	0-10	none						

	1	Statistical	ly valid			Supplem	entary		Total				
	Number	+ve	-ve	No	Number	+ve	-ve	No	Number	+ve	-ve	No	
	of studies	effect	effect	effect	of studies	effect	effect	effect	of studies	effect	effect	effect	
Mothers	16	16	0	0	12	12	0	0	28	28	0	0	
		(100)				(100)				(100)			
Fathers <sup>4</sup>	15	7	1	8	7	0	0	7	22	7	1	15	
		(47)	(7)	(53)				(100)		(32)	(4)	(68)	
Maternal gms	11	7	1	3	2	2	0	0	13	9	1	3	
		(64)	(9)	(27)		(100)				(69)	(8)	(23)	
Paternal gms	15	9	2	4	2	0	0	2	17	9	2	6	
		(60)	(13)	(27)				(100)		(53)	(12)	(35)	
Non-specific gms	3	1	0	2	0	0	0	0	3	1	0	2	
- 0		(33)		(67)						(33)		(67)	
Maternal gfs	10	2	0	8	2	0	0	2	12	2	0	10	
-		(20)		(80)				(100)		(17)		(83)	
Paternal gfs	10	2	3	5	2	1	0	1	12	3	3	6	
		(20)	(30)	(50)		(50)		(50)		(25)	(25)	(50)	
Non-specific gfs	2	0	1	1	0	0	0	0	2	0	1	1	
• 3			(50)	(50)							(50)	(50)	
Older sibs	6	5	0	1	0	0	0	0	6	5	0	1	
		(83)		(17)						(83)		(17)	

 Table 3: summary of kin effects on child survival (figures in brackets represent percentages)

<sup>&</sup>lt;sup>4</sup> Percentages do not sum to 100 in this row because one study found a positive effect of fathers on the survival of sons and a negative effect on the survival of daughters