# Incorporating Generic and Specific Prior Knowledge in a Multi-Scale Phase Field Model for Road Extraction from VHR Images

Ting Peng, Ian H. Jermyn, Member, IEEE, Véronique Prinet, and Josiane Zerubia, Fellow, IEEE,

Abstract—This paper addresses the problem of updating digital road maps in dense urban areas by extracting the main road network from very high resolution (VHR) satellite images. Building on the work of Rochery et al. (2005), we represent the road region as a 'phase field'. In order to overcome the difficulties due to the complexity of the information contained in VHR images, we propose a multi-scale statistical data model. It enables the integration of segmentation results from coarse resolution, which furnishes a simplified representation of the data, and fine resolution, which provides accurate details. Moreover, an outdated GIS digital map is introduced into the model, providingspecific prior knowledge of the road network. This new term balances the effect of the generic prior knowledge describing the geometric shape of road networks (i.e. elongated and of lowcurvature) carried by a 'phase field HOAC' term. Promising results on QuickBird panchromatic images and comparisons with several other methods demonstrate the effectiveness of our approach.

*Index Terms*—Dense urban area, Geographical Information System (GIS), multi-scale analysis, road network extraction, variational model, Very High Resolution (VHR) image.

# I. INTRODUCTION

**K** EEPING the road network information contained in Geographical Information Systems (GIS) up to date is crucial for many applications. The high rate of urban growth, especially in many developing countries, means that this has become an increasingly important research topic in remote sensing. Fig. 1 shows two pairs of QuickBird panchromatic images of Beijing, retrieved respectively in the year 2002 and the year 2006, showing the great changes in the past few years. Very high resolution (VHR) optical satellite images (*e.g.* QuickBird and Ikonos, and Pléiades in the near future), with sub-metric resolutions, provide new opportunities for the extraction of information from remote sensing data: qualitatively new categories of information are available, and the accuracy of previously extracted categories of information can be quantitatively improved. VHR brings with it new challenges however. Details invisible in lower

This work was partially supported by European Union Network of Excellence MUSCLE (FP6-507752). The work of the first author is supported by an MAE/Thales Alenia Space/LIAMA grant.

T. Peng is with Project-Team Ariana, INRIA/I3S, 06902 Sophia Antipolis, France, and also with LIAMA & NLPR, Institute of Automation, Chinese Academy of Sciences, Beijing 100080, China (email: tpeng@sophia.inria.fr). Corresponding author. T: +33 492387595. F: +33 492387643.

I. H. Jermyn and J. Zerubia are with Project-Team Ariana, INRIA/I3S, 06902 Sophia Antipolis, France (email: firstname.lastname@sophia.inria.fr).

V. Prinet is with LIAMA & NLPR, Institute of Automation, Chinese Academy of Sciences, Beijing 100080, China (email: prinet@nlpr.ia.ac.cn).



1

Fig. 1: Two pairs of QuickBird panchromatic images 0.61 m/pixel (both size:  $1000 \times 1000$ ) of Beijing. Top: year 2002; bottom: year 2006.

resolution images, *e.g.* cars, shadows, road markings, and other linear but non-road features, can easily disrupt the recognition process, and demand more sophisticated modelling, both of the image and of the road network. For the former, the existence of phenomena at multiple scales suggests a multi-scale approach, while for the latter, the incorporation into the models of our prior knowledge of the geometry of the road network becomes critical.

In this paper, we address the issue of main road network updating from VHR images in dense urban areas. Specifically, we will show how to make use of an outdated GIS digital map and a recently acquired QuickBird image to generate an up-to-date road network of the observed region. We model the road network region using a 'phase field' [1]. Building upon [1], our contribution is twofold: first, we propose a multiscale framework for road extraction; it is based on a wavelet decomposition of the image and enables an accurate extraction of the road region; second, we introduce a *specific* prior term into the energy functional; we will show how this *specific* prior term can be derived from an existing GIS digital map.

An exhaustive review of the work on road extraction, multiscale approaches, and active contour models is out of the scope of this paper. Here we briefly review those we believe to be the most relevant. Péteri and Ranchin [2] take advantage of a topologically correct graph of the network in order to extract roads and junctions using two different types of active contours. Negri et al. [3] first extract road candidates using two detectors, and then optimize road network topology with an MRF model. Gamba et al. [4] introduce knowledge about road direction via adaptive filtering. Amo et al. [5] propose a region competition based method for providing large-scale GIS information. Hu et al. [6] detect roads based on shape classification, and then prune a road tree using a Bayesian decision process. However, all these methods are restricted to applications on semi-urban areas and using aerial or SAR images. They are not robust enough to be applied to dense urban areas and optical images.

The rest of the paper is organised as follows. In section II, we introduce the essentials of the basic phase field model, and then describe our multi-scale data model. In section III, we introduce the new GIS prior energy. In section IV, we detail the optimization algorithm. In section V, we illustrate experimental results on QuickBird panchromatic images and perform validation and comparison with several other techniques. We conclude in section VI.

## II. THE MODEL: PRIOR AND DATA ENERGIES

In this section, we first recall the Bayesian formulation of image segmentation, and then describe the various energy terms in our model.

Given an image  $I: \Omega \to \mathbb{R}$ , and given the prior knowledge K we may have, our goal is to find the region R in the image domain  $\Omega$  that corresponds to the main road network. This region can be estimated by maximizing the posterior probability

$$P(R|I, K) \propto P(I|R, K)P(R|K) , \qquad (1)$$

with respect to the region R. Equivalently, after taking negative logarithms, one can minimize a total energy functional defined by

$$E(R;I) = \theta E_P(R) + E_D(I,R) , \qquad (2)$$

where  $\theta$  is a constant that balances the contribution of the prior energy  $E_P$  and the data energy  $E_D$ . To represent R mathematically, we use a *phase field* function  $\phi$ , much used in physics and first introduced to image processing by [1].

## A. Prior Energy

We use the same prior energy  $E_P$  as [1]. It is composed of two terms: a basic phase field energy  $E_{P,0}$  and a higher-order active contour (HOAC) phase field energy  $E_{P,NL}$ .

Conventional active contours [7]–[11] are defined by linear functionals, so they can incorporate only weak prior knowledge of region geometry. In contrast, via long-range interactions between points in the region boundary, HOACs [12] allow the inclusion of complex prior geometrical constraints. For this reason, HOACs are more robust to noise than conventional active contours, and permit a generic initialization that renders them more automatic.

Phase fields [1] represent a region using a function  $\phi$  defined over the entire image. By definition,  $R = \{x \in \Omega : \phi(x) > \zeta\}$ , where  $\zeta \in \mathbb{R}$  is some threshold, *i.e.* R is a level set of  $\phi$ , but phase fields have several advantages over distance function level sets: a linear representation space; ease of implementation; and a neutral initialization. In addition, they allow greater topological freedom, which is critical when the topology of the region is not known *a priori*: thus phase fields can 'naturally' deal with the topological complexity of road networks. *Phase field HOACs* are phase field models that also include the long-range interactions characteristic of HOACs.

The basic phase field energy  $E_{P,0}$  is given by

$$E_{P,0}(\phi) = \int_{\Omega} \left\{ \frac{1}{2} \nabla \phi(x) \cdot \nabla \phi(x) + W(\phi(x)) \right\} dx .$$
 (3)

The potential W is

$$W(z) = \lambda \left(\frac{1}{4}z^4 - \frac{1}{2}z^2\right) + \alpha \left(z - \frac{1}{3}z^3\right), \qquad (4)$$

where  $\lambda$  and  $\alpha$  are constants. For  $\lambda \ge \alpha > 0$ , W has two minima, at -1 and 1, and a maximum at  $\alpha/\lambda$ . We choose the threshold  $\zeta = \alpha/\lambda$ . The potential W effectively constrains  $\phi(x) \simeq 1$  for  $x \in R$  and  $\phi(x) \simeq -1$  for  $x \in \overline{R} = \Omega \setminus R$ . As a result,  $\phi_{\pm} = (1 \pm \phi)/2$  are approximately equal to the characteristic functions of R and  $\overline{R}$ . The local derivative product  $\nabla \phi(x) \cdot \nabla \phi(x)$  penalizes large gradients and ensures that  $\phi$  makes a smooth transition from -1 to 1 across the boundary  $\partial R$ . In [1], it is shown that  $E_{P,0}$  is equivalent to an active contour model whose energy is a linear combination of region boundary length and region area. Therefore,  $E_{P,0}$ ensures stability, boundary smoothness, and the properties of the functions  $\phi_{\pm}$ .

We introduce sophisticated geometric constraints into the model via a higher-order energy term  $E_{P,NL}$ .  $E_{P,NL}$  describes long-range interactions between the gradients of  $\phi$  at pairs of points separated by many pixels. It is defined as

$$E_{P,NL}(\phi) = -\frac{\beta}{2} \iint_{\Omega^2} \nabla \phi(x) \cdot \nabla \phi(x') \Psi\left(\frac{|x-x'|}{d}\right) dx \, dx' \,,$$
(5)

where d controls the range of the interaction. The interaction function  $\Psi$ , is given by

$$\Psi(x) = \begin{cases} \frac{1}{2} \left( 2 - |x| + \frac{1}{\pi} \sin(\pi |x|) \right) & \text{if } |x| < 2 ,\\ 0 & \text{else }. \end{cases}$$
(6)

 $E_{P,NL}$  has two effects: it prevents pairs of points with antiparallel normal vectors from coming too close; and it encourages pairs of points with parallel normal vectors to attract each other, and thus the growth of armlike structures. Consequently, the effect is to assign low energy to (and hence favour) regions composed of long arms of a certain width and with roughly parallel sides that join together at junctions.

#### B. Data Energy

The data energy  $E_D$  takes into account the following radiometric properties of dense urban areas, which discriminate roads from the background:

- Roads are mainly built from the same materials (concrete, asphalt) and thus tend to have somewhat homogeneous spectral properties. In contrast, the background (*i.e.* the non-road region) has no single photometric characteristic.
- The surfaces of main roads are not entirely uniform due to the presence of noise, such as zebra crossings, overbridges, vehicles, shadows, etc. Nevertheless, they still show much less variability than the background.

 $E_D$  is the negative logarithm of P(I|R, K) in (1). We assume that this factorizes as  $P(I_R|R, K)P(I_{\bar{R}}|R, K)$ , where subscripts indicate 'restricted to'. We use the same parameterized model for  $I_R$  and  $I_{\bar{R}}$ , the choice of model being based on a study of the image statistics. We model both the one point statistics of the image intensity, *i.e.* the histogram, and the twopoint statistics, which we characterize by the variance V(x)of the image in a small window around each pixel. Because of the factorization, the data energy is the sum of two pieces:

$$E_{D,\text{SIG}}(I,\phi) = -\int_{\Omega} \left\{ \left[ \ln P_+(I(x)) + \theta_v \ln Q_+(V(x)) \right] \phi_+(x) \right\}$$

+ 
$$\left[\ln P_{-}(I(x)) + \theta_{v} \ln Q_{-}(V(x))\right] \phi_{-}(x) \right\} dx$$
, (7)

where  $\theta_v$  is the weight of the two-point statistics.  $P_+$  and  $P_-$  are two-component Gaussian mixture distributions, modelling the image intensities, while  $Q_+$  and  $Q_-$  are Gamma distributions, modelling the variances:

$$P_{\pm}(I) = a_{\pm}N(I;\mu_{1\pm},\sigma_{1\pm}^2) + (1-a_{\pm})N(I;\mu_{2\pm},\sigma_{2\pm}^2) ,$$
(8a)

$$Q_{\pm}(V) = \frac{V^{b_{\pm}}}{d_{\pm}} e^{-\frac{V}{c_{\pm}}} , \qquad (8b)$$

where + denotes the road and - denotes the background,  $a_{\pm} \in [0, 1]$ , and N is the normal distribution.

The complexity of VHR images in dense urban areas, however, compels us to introduce a multi-resolution approach. The motivations are as follows. First, as noted in section I, VHR images contain objects, e.g. roads, buildings, at many different scales. In order to capture this complicated behaviour, it makes sense to analyse an image at several resolutions simultaneously. Second, the same object observed at high or low resolutions presents different characteristics. In particular, at low resolutions, the background can be viewed as noise, while the larger roads are still clearly distinguished as homogeneous areas. Road segmentation is thereby facilitated, but is also less precise. In contrast, higher resolutions can provide a more precise location and width for the road. The use of several resolutions thus allows the combination of coarse data, in which details in the image that can disrupt the recognition process have been eliminated, with fine data to increase precision.

The multi-scale framework we use was first introduced in [13]. The Haar wavelet scaling coefficients at different scales (levels) [14] provide us with a multi-scale representation of the original data. Our multi-resolution data energy is a sum of energies computed at four different levels, which aims to combine the advantages and balance the disadvantages of coarser and finer resolution data:

$$E_{D,\text{MUL}}(I,\phi) = \sum_{s} E_{D,\text{SIG},s}(I_s,\phi) , \qquad (9)$$

where  $I_s, s \in \{0, 1, 2, 3\}$ , are the scaling coefficients at level s of a wavelet transform and  $E_{D,SIG,s}$  is the data energy at a single level s. This can be viewed as the energy of a maximum entropy distribution in which the mean energy at each level is fixed. In practice, since the size of the image varies with a factor 2 from level s to level s + 1, we up-sample all  $I_s$  to the finest resolution.

## **III. GIS PRIOR ENERGY**

The prior energy  $E_P$  proposed in section II is *generic*: it incorporates constraints on the form of the road network region that are true for any road network. To improve further the results at full resolution, we introduce a *specific* prior energy [15]. The *specific* prior says that the region sought must be 'close' to an exemplar region, *e.g.* a GIS map of the road network  $R_0$  at an earlier date.  $R_0$  can also be described by a phase field function  $\phi_{R_0}$ ;  $\phi_{R_0\pm} = (1 \pm \phi_{R_0})/2$  thus denote the characteristic functions of  $R_0$  and  $\bar{R}_0$ .  $E_{P,GIS}$  takes the form

$$E_{P,GIS}(\phi,\phi_{R_0}) = \int_{\Omega} \left[ \omega_+ \phi_{R_0}(x) + \omega_- \phi_{R_0}(x) \right] \\ \left[ \phi(x) - \phi_{R_0}(x) \right]^2 dx .$$
(10)

The two terms correspond to the two components of the symmetric area difference between R and  $R_0$ :  $x \in R \cap \overline{R_0}$  and  $x \in \overline{R} \cap R_0$  respectively. These are separated so that they can be weighted differently by the parameters  $\omega_+$  and  $\omega_-$ . Since this term takes into account the exterior of  $R_0$  (*i.e.*  $\overline{R_0}$ ), it counteracts the background 'noise' appearing in the data. Note that the values of  $\omega_{\pm}$  will depend on extraneous data, such as the time lapse between the GIS map and the image: *e.g.* if the time lapse is large, then  $\omega_{\pm}$  should be small, indicating a weak link between the GIS map and the current road network. If the time lapse is unknown, a mixture model over  $\omega_{\pm}$  is conceivable. We do not discuss these possibilities further here.

## **IV. IMPLEMENTATION**

To minimize the total energy E, we perform gradient descent with the neutral initialization [1]: the initial value of  $\phi$  is set equal to  $\alpha/\lambda$  everywhere in  $\Omega$ , which corresponds to the local maximum of the potential W. During the algorithm, no re-initialization or *ad hoc* regularization is required. In the case of the single-scale model energy  $E_1 = \theta(E_{P,0} + E_{P,NL}) + E_{D,SIG}$ , the evolution equation is

$$\frac{\partial \phi}{\partial t} = \theta \left\{ \nabla^2 \phi - \lambda (\phi^3 - \phi) - \alpha (1 - \phi^2) - \beta \nabla^2 \Psi * \phi \right\} 
+ \frac{1}{2} \left\{ \left[ \ln P_+ + \theta_v \ln Q_+ \right] - \left[ \ln P_- + \theta_v \ln Q_- \right] \right\}, \quad (11)$$

F10 /	73 The left collimn shows the resolution							
1 15. 2	$\theta$		'λ	$\beta$		$\omega_+$	$\omega_{-}$	$\theta_v$
1/8	200	0.0905	3	0.02	10	0	0	0.02
full	300	0.0905	3	0.02	80	0.00033	0.0006	0.02
	$(a_+;\mu_{1+},\sigma_{1+}^2;\mu_{2+},\sigma_{2+}^2)$					$(b_+, c_+, d_+)$		
1/8	(0.6479; 595.9, 8.9e4; 380.3, 7.4e3)					(3.2, 8e3, 6.5e14)		
full	(0.5772; 63.1, 1622.9; 43.7, 98.7)					(3, 250, 3e9)		
	$(a;\mu_{1-},\sigma_{1-}^2;\mu_{2-},\sigma_{2-}^2)$					$(b_{-}, c_{-}, d_{-})$		
1/8	(0.92)	(0.9247; 726.9, 1.3e5; 115.4, 2.3e3) (2, 4.8e4, 1.65e12)					2)	
full	(0.9	285;94.9,	2480	0.7; 21.1	, 30.4)	(2.2	,800,1e9	)

TABLE I: Parameter values used for the experiments on Fig. 2a. The left column shows the resolution

where \* indicates convolution. The equation for multiple scales involves adding a copy of the last line for each scale. When  $E_{P,GIS}$  is included, the term  $-2(\phi - \phi_{R_0})[\omega_+\phi_{R_0} + \omega_-\phi_{R_0}]$  should be added to (11).

# V. EXPERIMENTAL RESULTS

The input data I was a QuickBird panchromatic image, as shown in Fig. 2a. Fig. 2b shows a full resolution zoom on this image, illustrating the difficulties existing in VHR images. The associated GIS map, obtained a few years earlier than the satellite image in the zone, was used in two ways: first, to create ground truth via a small manual correction (see Fig. 2d); and to create an inaccurate road network region to serve as  $R_0$ (see Fig. 2e). (The GIS map was 'damaged' since otherwise it would have been too close to the true network.) Note that  $R_0$  is very different from the ground truth. Secondary roads have been kept in the ground truth; this is to allow comparison with other methods, which attempt to find all roads, not just the main road network.

The parameters of the Gaussian mixture and Gamma distributions in  $E_D$  were learned from image samples of road and non-road taken from  $R_0$ . Note that the samples may contain errors, since  $R_0$  does not correspond exactly to the road network in the image (see Fig. 2). Examples of histograms and the models fitted to them are shown in Fig. 3. The prior parameters are for the moment set by hand. However, one of them is eliminated by a condition that ensures that a long bar of the desired road width is a stable configuration of the energy, while the rest are constrained by Turing stability of the model [1]. The parameter values used for the experiments on Fig. 2a are given in Table I: apart from a change in the overall weight of the prior term, and a scaling of d and the likelihood parameters when changing resolution, they are the same for different resolutions.

We will first present the results of applying the single-scale model to the images at different resolutions and of applying the multi-scale model to the original image. Then, we will show that the GIS prior energy can significantly increase the robustness of the method. More results and comparisons with other approaches are presented at the end of this section.

# A. Results Using the Single-Scale Model without GIS Prior

First we apply the single-scale model  $E_1$  to the scaling coefficients of the original image (Fig. 2a) at different levels of the wavelet decomposition.





Fig. 2: Input data. 2a: a QuickBird image (size:  $2560 \times 2560$ ); 2b: a full resolution zoom on the image; 2c: a full resolution zoom on the reduced resolution image, *i.e.* scaling coefficients after performing a wavelet transform three times; 2d: ground truth; 2e: deliberately 'damaged' ground truth, to simulate an earlier GIS map.



Fig. 3: Left: histograms of the pixel intensity I on-road (top) and off-road (bottom); right: histograms of the variances V on-road (green/light grey) and off-road (blue/dark grey), and of the models fitted to them (solid lines).



Fig. 4: Experiment at level 3 (size:  $320 \times 320$ , road width  $\simeq 12$  pixels). Left to right: the thresholded phase field function at iterations 1 and 400, and at the final iteration 20000, using the single-scale model  $E_1$ .



Fig. 5: Experiments at finer resolutions using the single-scale model  $E_1$ . 5a: result at level 2 (size:  $640 \times 640$ , road width  $\simeq 24$  pixels); 5b: result at level 1 (size:  $1280 \times 1280$ , road width  $\simeq 48$  pixels); 5c: result at full resolution, level 0 (size:  $2560 \times 2560$ , road width  $\simeq 96$  pixels).

We start at level 3. Fig. 2c shows a full resolution zoom at this level, illustrating that even after three levels of smoothing and down-sampling, the data is still rather complex. Fig. 4 shows the thresholded phase field function at iterations 1 and 400 of gradient descent, and at the final iteration 20000, using the model  $E_1$ . The segmentation appears very successful, but the road region is actually not very accurate. Accuracy is limited both directly, by the low resolution of the phase field, and indirectly, because each scaling coefficient in the data at level 3 is the average of 64 pixels at full resolution. Coefficients near the road border therefore include both road and background contributions, and the road width is thereby distorted. To improve on this result, extraction needs to be performed at full resolution.

The level 3 image is already quite complex, and we observe experimentally that if we try to use the same model at finer resolutions, using the images at levels 2, 1, or 0, the details of the scene in the image make road extraction more difficult (see Fig. 5). The erroneous detections in the background result, on one hand, from regions of poor contrast between the main roads and the buildings or areas of vegetation, and on the other hand, from the smaller roads, which have statistical properties similar to the main roads. The shadows of high buildings, cars, road markings and bridges lead to jagged borders or gaps along the roads. The former indicates a lack in the single level data model, while the latter is due to a weakness in the prior model, which therefore needs to be improved in order to enforce the road geometry more effectively.

## B. Results Using the Multi-Scale Model without GIS Prior

In an attempt to overcome the problems at fine resolutions, we apply the multi-scale model  $E_2 = \theta(E_{P,0} + E_{P,NL}) + E_{D,MUL}$ . The result is shown in Fig. 6. It is not perfect, but it is an improvement over the result obtained at full resolution using  $E_1$  (see Fig. 5c). There are still some false detections in the background and the road borders are rather inaccurate due to geometric noise along the boundaries of the road. The result indicates that a simple sum of data energies at several different scales, while helpful, is not sufficient to solve the problem completely. It suggests that the model should include stronger prior knowledge. We show, in the next subsection, the great improvement at full resolution resulting from the inclusion of the GIS prior.

#### C. Results Using the Single-Scale GIS Model

We apply a model making use of the single scale data term and the GIS prior term  $E_3 = \theta(E_{P,0} + E_{P,NL} + E_{P,GIS}) + E_{D,SIG}$  at full resolution. The result is illustrated in Fig. 7a. The addition of  $E_{P,GIS}$  greatly improves the result, when compared to Figs. 5c and 6. Its main effect is to eliminate false positives in the background, while preserving the correct segmentation of the roads themselves.



Fig. 6: Experiment at full resolution (size:  $2560 \times 2560$ , road width  $\simeq 96$  pixels) using the multi-scale model  $E_2$ .



Fig. 7: Experiments at full resolution (size:  $2560 \times 2560$ , road width  $\simeq 96$  pixels) using the single-scale GIS model  $E_3$ . Left: result obtained with 'damaged' ground truth (Fig. 2e); right: result obtained using the result obtained with  $E_1$  at level 3 (Fig. 4c) as a replacement for the GIS information.

Fig. 7b shows the result we obtain when we use as  $R_0$ , not the GIS map, but the result obtained at reduced resolution, *i.e.* at level 3 (see Fig. 4c). This shows that, in principle, we can free ourselves from the need to have a GIS map available.

Fig. 8 illustrates two further experiments, using the images in Fig. 1 acquired in 2006 as inputs. The top row of Fig. 8 shows GIS maps of the main road networks for the same zones from before the year 2002. Significant changes exist between the maps and the satellite images. (Consequently, the GIS maps were not 'damaged' for these experiments). The results obtained with the single-scale GIS model  $E_3$  using the GIS maps as  $R_0$  are shown in the bottom row of Fig. 8. These experiments show that for the main roads, at full resolution, the single-scale GIS model is able to keep the unchanged roads, to correct the mistakes, and to extract new roads.

## D. Evaluation and Comparison

To evaluate the performance of our models, we compare our results with four other methods: maximum likelihood estimation (MLE); a level set approach with global shape constraint by Bailloeul [16]; a classification, tracking, and morphology algorithm by Wang [17]; and a fast but rough segmentation technique based on "straight line density" by Yu [18]. Except for those involving the multi-scale model, all results are obtained from full resolution images.



Fig. 8: Experiments on the later pair of images in Fig. 1 at full resolution using the single-scale GIS model  $E_3$ . Top: GIS maps of the main road networks from before the year 2002, used as  $R_0$ ; bottom: the main road networks updated using the QuickBird images from 2006.

Fig. 2d shows the ground truth used to calculate quantitative criteria. Figs. 9b-9e illustrate the results obtained using the four methods mentioned above. MLE is obviously insufficient to distinguish the roads from the background. The 'flexible active contour' method of Bailloeul (initially dedicated to building extraction) fails because it is not able to eliminate road sections that exist in the map but not in the image. On the other hand, the methods of Yu and Wang are able to detect the main road network and smaller roads, but, for both, the accuracy obtained in the delineation of the road boundary is poor, and the results show a great deal of noise. In addition, in order to illustrate the importance of the generic prior term of our model, Fig. 9a shows the result obtained if  $E_{P,NL}$ is omitted, leaving a model equivalent to a standard (i.e. not higher-order) active contour  $\theta E_{P,0} + E_{D,SIG}$ . The importance of the geometric knowledge carried by the prior term is clear.

Some quantitative evaluation measures [19] are shown in Table II. For each method and each measure, the average value from three experiments (using the images in Figs. 1c, 1d and 2a) is shown. The completeness is the percentage of ground truth road network that is extracted; the correctness is the percentage of extracted road network that is correct; and the quality is the most important measure of the "goodness" of the result, because it takes into account the completeness and the correctness. As already discussed, although the result obtained with model  $E_1$  at level 3 (Fig. 4c) seems similar to that obtained with model  $E_3$  at full resolution (Fig. 7a), the quality of the former is in fact worse than that of the latter



Fig. 9: Comparison with methods taken from the literature, at full resolution. 9a: result obtained when  $E_{P,NL}$  is dropped, leaving a model equivalent to a standard active contour; 9b-9e: results obtained respectively using MLE, the approaches of Bailloeul [16], Wang [17], and Yu [18].

TABLE II: Quality Measures of the Different Methods (T = True F = False P = Positive N = Negative)

Measure	Completeness	Correctness	Quality
Method	TP/(TP+FN)	TP/(TP+FP)	TP/(TP+FP+FN)
Our model $E_1$ at level 3, but up-sample to full resolution ( <i>e.g.</i> Fig. 4c)	0.7177	0.7571	0.5834
Our model $E_1$ (e.g. Fig. 5c)	0.8159	0.6758	0.5893
Our model $E_2$ (e.g. Fig. 6)	0.7859	0.7301	0.6141
Our model $E_3$ (e.g. Fig. 7a)	0.7920	0.8914	0.7198
$\theta E_{P,0} + E_{D,\text{SIG}}$ (e.g. Fig. 9a)	0.6358	0.8743	0.5810
MLE (e.g. Fig. 9b)	0.7567	0.2775	0.2545
Bailloeul (e.g. Fig. 9c)	0.5529	0.8318	0.4990
Wang (e.g. Fig. 9d)	0.8918	0.6180	0.5717
Yu (e.g. Fig. 9e)	0.7743	0.7196	0.5893

due to the loss of information at reduced resolution.

## VI. CONCLUSION

We have presented two models for the updating of road maps in dense urban areas by extracting the main road network from VHR QuickBird panchromatic images. To adapt the original phase field HOAC model [1], which was developed for road extraction from medium resolution images, to VHR images, we first proposed a new multi-resolution data energy. Although the result at full resolution is better than that obtained with the single-scale model, the multi-scale approach needed further improvements in order to eliminate false detections and improve the accuracy of road border delineation. Consequently, we introduced *specific* prior knowledge in the form of an outdated GIS map, to complement the generic prior knowledge encoded by HOACs. Our results indicate that, when working at full resolution, the combination of *generic* and specific prior knowledge is essential, due to the great complexity of VHR images. Our model gives better results than several other methods in the literature. Our current work focuses on the extraction of secondary roads.

## ACKNOWLEDGMENTS

The authors would like to thank the Beijing Institute of Surveying and Mapping for providing the GIS data, and the reviewers for their helpful comments.

#### REFERENCES

- M. Rochery, I. H. Jermyn, and J. Zerubia, "Phase field models and higher-order active contours," in *Proc. ICCV*, Beijing, China, Oct. 2005.
- [2] R. Péteri and T. Ranchin, "Detection and extraction of road networks from high resolution satellite images," in *Proc. ICIP*, Barcelona, Spain, Sep. 2003.
- [3] M. Negri, P. Gamba, G. Lisini, and F. Tupin, "Junction-aware extraction and regularization of urban road networks in high-resolution SAR images," *IEEE Trans. Geosci. Rem. Sens.*, vol. 44, no. 10, pp. 2962– 2971, 2006.
- [4] P. Gamba, F. DellAcqua, and G. Lisini, "Improving urban road extraction in high-resolution images exploiting directional filtering, perceptual grouping, and simple topological concepts," *IEEE Geosci. Rem. Sens. Lett.*, vol. 3, no. 3, pp. 387–391, 2006.
- [5] M. Amo, F. Martínez, and M. Torre, "Road extraction from aerial images using a region competition algorithm," *IEEE Trans. Image Process.*, vol. 15, no. 5, pp. 1192–1201, 2006.
- [6] J. Hu, A. Razdan, J. C. Femiani, M. Cui, and P. Wonka, "Road network extraction and intersection detection from aerial images by tracking road footprints," *IEEE Trans. Geosci. Rem. Sens.*, vol. 45, no. 12, pp. 4144– 4157, 2007.
- [7] T. F. Chan and L. A. Vese, "Active contours without edges," *IEEE Trans. Image Process.*, vol. 10, no. 2, pp. 266–277, 2001.
- [8] Y. Chen, H. Tagare, S. Thiruvenkadam, F. Huang, D. Wilson, K. Gopinath, R. Briggs, and E. Geiser, "Using prior shapes in geometric active contours in a variational framework," *Int. J. Comput. Vis.*, vol. 50, no. 3, pp. 315–328, 2002.
- [9] D. Cremers, F. Tischhäuser, J. Weickert, and C. Schnörr, "Diffusion snakes: Introducing statistical shape knowledge into the Mumford-Shah functional," *Int. J. Comput. Vis.*, vol. 50, no. 3, pp. 295–313, 2002.
- [10] M. Kass, A. Witkin, and D. Terzopoulos, "Snakes: Active contour models," Int. J. Comput. Vis., vol. 1, no. 4, pp. 321–331, 1988.
- [11] H. Mayer, I. Laptev, and A. Baumgartner, "Multi-scale and snakes for automatic road extraction," in *Proc. ECCV*, vol. 1, Freiburg, Germany, Jul. 1998.
- [12] M. Rochery, I. H. Jermyn, and J. Zerubia, "Higher-order active contours," *Int. J. Comput. Vis.*, vol. 69, no. 1, pp. 27–42, 2006.
- [13] T. Peng, I. H. Jermyn, V. Prinet, J. Zerubia, and B. Hu, "Urban road extraction from VHR images using a multiscale approach and a phase field model of network geometry," in *Proc. URBAN*, Paris, France, Apr. 2007.

- [14] S. Mallat, A wavelet tour of signal processing, 2<sup>nd</sup> ed. Academic Press, 1999.
- [15] T. Peng, I. H. Jermyn, V. Prinet, J. Zerubia, and B. Hu, "A phase field model incorporating generic and specific prior knowledge applied to road network extraction from VHR satellite images," in *Proc. BMVC*, Warwick, England, Sep. 2007.
- [16] T. Bailloeul, "Active contours and prior knowledge for change analysis: Application to digital urban building map updating from optical high resolution remote sensing images," Ph.D. dissertation, CASIA and INPT, Oct. 2005. [Online]. Available: http://kepler.ia.ac.cn
  [17] R. Wang and Y. Zhang, "Extraction of urban road network using
- [17] R. Wang and Y. Zhang, "Extraction of urban road network using Quickbird pan-sharpened multispectral and panchromatic imagery by performing edge-aided post-classification," in *Proc. ISPRS*, Quebec City, Canada, Oct. 2003.
- [18] Z. Yu, V. Prinet, C. Pan, and P. Chen, "A novel two-steps strategy for automatic GIS-image registration," in *Proc. ICIP*, Singapore, Oct. 2004.
  [19] C. Heipke, H. Mayr, C. Wiedemann, and O. Jamet, "Evaluation of
- [19] C. Heipke, H. Mayr, C. Wiedemann, and O. Jamet, "Evaluation of automatic road extraction," *Int. Arch. Photogram. Rem. Sens.*, vol. XXXII, pp. 47–56, 1997.