

Mid-Holocene variability of the East Asian monsoon based on bulk organic $\delta^{13}\text{C}$ and C/N records from the Pearl River estuary, southern China

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Abstract

Understanding the mid-Holocene dynamics of the East Asian monsoon (EAM) is integral to improving models of the Holocene development of the global climate system. Here we reconstruct the mid-Holocene EAM history from the Pearl River estuary, southern China, using bulk organic carbon isotopes ($\delta^{13}\text{C}$), total carbon to total nitrogen (C/N) ratios and total organic carbon (TOC) concentration.. Sedimentary $\delta^{13}\text{C}$, C/N and TOC are potentially good indicators of changes in monsoonal precipitation strength. Sediments buried during a period of high precipitation exhibit a high proportion of terrigenous material, and have low $\delta^{13}\text{C}$ and high C/N, and vice versa during a period of low precipitation. Results suggest a general decreasing trend in monsoonal precipitation from 6650 to 2150 cal yr BP due to the weakening northern hemisphere insolation most likely related to the current precession circle. Superimposed on this trend are apparent dry-wet oscillations at centennial to millennial timescales most likely in response to solar activity. Mismatches between our $\delta^{13}\text{C}$ record and results from the Dongge Cave in southern China at millennial-timescales may indicate that the $\delta^{13}\text{C}$ from the Pearl River estuary reveals changes in precipitation over a broader area than the $\delta^{18}\text{O}$ from Dongge Cave.

Key words

East Asian monsoon history, Holocene, solar forcing, bulk organic $\delta^{13}\text{C}$, C/N, Pearl River estuary, China

Introduction

The East Asian monsoon (EAM) forms as a result of unequal heating of the Asian landmass and the Pacific and Indian Oceans (Figure 1a) and subsequent energy re-distribution. At the present day the EAM plays a significant role in the global and regional hydrological and energy cycles (Webster *et al.*, 1998). It is suggested that fluctuations during the Holocene exerted a major influence on human societies (Shi *et al.* 1994; Mischke and Zhang, 2010). For example, during the stable warm and wet phase from 7200 to 6000 cal yr BP, the Neolithic Yangshao Culture in the Yellow River catchment and the Majiabang Culture in the lower Yangtze plain, reached their climax (Shi *et al.*, 1994). A sudden dry and cold event around 4000 cal yr BP recorded in the Dunde ice core, Northwest China (Thompson *et al.*, 1989; 1993), is suggested to be responsible for the end of the Longshan and Liangzhu Cultures in East China (Shi *et al.*, 1994). Nearly two thirds of the world's population live in areas influenced by the EAM. A better understanding of the past variability of the EAM and the associated links with human cultures is, therefore, crucial to improve our understanding of potential implications of future changes in monsoon climate.

The history of the EAM during the Holocene can be regarded as an alternation between dominance of a cold-dry winter monsoon and a warm-wet summer monsoon (An, 2000). After the Younger Dryas (11 200-10 000 cal yr BP), a cold period which might have included some dry/wet oscillations (Zhou *et al.*, 1996, 1997, 1998; Xiao *et al.*, 1998), the EAM switched to relatively warm and wet conditions (the Holocene). Based on evidence from ice cores (eg Thompson *et al.*, 1989, 2002), lake water levels (eg Wang and Fen, 1991; Li *et al.*, 1990), as well as archaeological records (eg Zhou, 1991), Shi *et al.* (1994) suggested that Holocene Megathermal phases mainly occurred during 8500-3000 cal yr BP. They divided the Holocene EAM into several phases: 8500-7200 cal yr BP characterised by unstable temperature fluctuations with an increase in precipitation; 7200-6000 cal yr BP was a stable, warm and wet phase when the monsoon rainfall occurred almost throughout China; 6000-5000 cal yr BP was characterized by strong climatic fluctuations and adverse climatic conditions; after 5000 cal yr BP the climate became warm and stable - suitable for cultural development in China. Around 4000 cal yr BP, the climate deteriorated and catastrophic floods occurred in East China; from 4000 to 3000 cal yr BP, the climate was warm and humid. Based on geological data and numerical modelling, An *et al.* (2000) suggested that the front of the EAM retreated from north to south China during the Holocene. This resulted in the

Holocene Optimum, a period of maximum precipitation, occurring earlier in the north and northeast of China and later in the south and southeast. The hydrological and thermal dynamics of the EAM system are perturbed by external and internal factors at a range of time scales. These factors range from solar forcing at millennial timescales, high latitude cooling events at centennial timescales, to low latitude El Nino events at decadal timescales (An *et al.*, 2000).

(FIGURE 1 INSERTED HERE)

Variability of the Holocene EAM has been reported from a range of archives, including natural records, such as loess (eg Porter and An, 2005), tree rings (eg Wu *et al.*, 1988; Wu, 1992), ice cores (eg Thompson, *et al.*, 1989), and stalagmites (eg Wang *et al.* 2005), as well as historical archives (Shi *et al.*, 1994). Each of these has specific advantages and disadvantages. Loess deposits from the Loess Plateau, central China, are good archives for investigating the EAM over time scales of millions of years. However, it is hard to undertake high-resolution studies based on these records due to the low sedimentation rates. Ice cores and deep-ocean deposits also suffer from a similar problem of low resolution. Stalagmites provide high-resolution studies; however, the $\delta^{18}\text{O}$ signature can be influenced by both temperature and precipitation, which are also subject to local scale variability. Historical records can provide valuable information, but can be difficult to interpret and are spatially and temporally fragmented.

This study reconstructs the EAM during the mid-Holocene from an estuarine core (core UV1) collected from Pearl River estuary (Figure 1b). Previous studies in the Pearl River estuary have shown that changes in the organic carbon isotopic signature of estuarine sediments can be used as an indicator for changes in the freshwater discharge induced by the monsoonal precipitation (Zong *et al.*, 2006; Yu *et al.*, 2010).

Over centennial and millennial time scales the sources of estuarine sediments (Figure 2) are influenced by relative shoreline movements, sea-level changes, and monsoonal climate change. Zong (2004) re-examined the Holocene sea-level history for the low latitude part of the China coast by re-assessing all the sea-level data available from the east to south coasts. The sea level curve from west Guangdong and Hainan, a geologically stable region, shows that the relative sea level reached the present-day height by 6200 cal yr BP and since then has been relatively stable (Zong, 2004). The time of maximum emergence of the sea-level highstand occurs earlier at tidal river sites than at coastal sites (Zong, 2004). In the Pearl River Delta, the maximum emergence of the highstand was around 7000 cal yr BP (Li *et al.*, 1990; Zong, 2004).

As the sea level in this area has been relatively stable since the mid Holocene, monsoonal climate is one of the most important controlling factors for the sediment flux into the estuary (Li *et al.*, 1990; Wang *et al.*, 2005; Zong *et al.*, 2006). For example, Zhang *et al.* (2008) suggested a positive linear correlation between cumulative freshwater discharge and cumulative sediment flux in the Pearl River. As outlined by Zong *et al.* (2006) the Pearl River estuary receives sediments from three main sources: river, marine and in situ brackish-water derived material (Figure 1b; Figure 2); the proportion of these three main sources of organic matter to the estuarine sediment is controlled by the strength of the river discharge due to monsoonal precipitation. Therefore, during a period of strong summer monsoon, increased precipitation levels will generate a large volume of freshwater discharge into the estuary, along with high sediment flux. It follows that estuarine sediments preserved during periods of strong summer monsoon will have a higher proportion of terrigenous sediments compared to periods of stronger winter monsoon (lower precipitation, lower freshwater flux, hence lower proportion of terrigenous sediments relative to marine/brackish sediments). Thus, the monsoon-induced freshwater discharge can be reconstructed by examining changes in the relative dominance of organic matter from the three sources identified above preserved in the Pearl River estuary (Zong *et al.*, 2006; Yang *et al.*, 2011; Yu *et al.*, 2010).

(FIGURE 2 INSERTED HERE)

This study applies this methodology to reconstruct the EAM during the mid-Holocene at decadal resolution, employing bulk organic carbon isotopes ($\delta^{13}\text{C}$) and total carbon to total nitrogen (C/N) ratios, to help understand possible driving mechanisms behind monsoon variability. Application of $\delta^{13}\text{C}$ and C/N analyses of sedimentary organic matter for reconstructing palaeo-environmental changes have been carried out in a range of environments (Lamb *et al.*, 2006), including lagoons (Müller and Mathesius, 1999; Yamamuro, 2000), isolation basins (Chivas *et al.*, 2001; Westman and Hedenström, 2002; Mackie *et al.*, 2007), fjords (Bird *et al.*, 1991; St-Onge and Hillaire-Marcel, 2001; Smittenberg *et al.*, 2004), as well as estuaries (Malamud-Roam *et al.*, 2006; Middelburg *et al.*, 1997; Wilson, *et al.*, 2005; Zong *et al.*, 2006). The advantage of $\delta^{13}\text{C}$ and C/N analysis is that it can be performed on most sediment, as only a small amount of organic carbon (micrograms to milligram) is required for analysis. Organic $\delta^{13}\text{C}$ and C/N ratios can be measured rapidly and analyses are relatively inexpensive, leading to high-resolution studies (Lamb *et al.*, 2007). To explore driving mechanisms for oscillations of the EAM at millennial to centennial time scales, general trends of $\delta^{13}\text{C}$ and other proxies were removed.

Study area

The Pearl River catchment, located between 21°20′-23°30′N and 112°40′-114°50′E (Figure 1a), formed as a result of the uplift of the Tibetan Plateau during the Tertiary and Quaternary periods (Aitchison *et al.*, 2007). Before the Late Quaternary, sediment from the river system bypassed the current deltaic basin and was deposited on the continental slope and shelf (Zong *et al.*, 2009a). Only since the Late Pleistocene has the deltaic basin started to receive sediments from the river system (Huang *et al.*, 1982; Xu *et al.*, 1985). The lower fluvial/deltaic plains of Guangdong and Guangxi provinces have been gradually infilled during the Late Quaternary with progradation of the delta front since 6800 cal BP (Zong *et al.*, 2009a; Figure 1b).

The Pearl River catchment lies in the transitional area between the tropical and subtropical climate zones. The West River, 2214 km in length with a catchment area of 425,700 km², contributes the majority of both water and sediment flux reaching the Pearl River Estuary (Kot and Hu, 1995; Zhang *et al.*, 2008). Under strong influence of the monsoon climate, freshwater discharge and sediment flux show great seasonal variability due to seasonal changes in monsoonal precipitation. The mean annual precipitation of the catchment is 1200-2000 mm, which mainly occurs during the wet season lasting from April to September. Annual discharge of the whole Pearl River system is 330 x10⁹ m³ (Hu *et al.*, 2006), and the annual sediment load is 89 x10⁹ kg (Zhang *et al.*, 2008). Statistics since 2002 show that more than 86.9% of the annual suspended sediment is discharged during the wet season and 67.6 – 83.5% of annual water flux occurs in this season (Shen and Wang, 2009). Seasonal changes in monsoonal precipitation also results in changes in salinity within the estuary. For example, in summer (June - August), high precipitation generates strong freshwater flux into the estuary, resulting in a low-salinity estuarine environment, with water salinity lower than 1 PSU at the river mouth. Saline water intrudes much further upstream in winter (December - February) than in summer due to a significant reduction in freshwater flux (Yu *et al.*, 2010; Zong *et al.*, 2010a). In addition to the seasonal salinity variability, within the estuary there is a northwest-southeast isohaline system, due to decreasing freshwater influence seawards (Yu *et al.*, 2010) and the strong tidal currents in the eastern part of the estuary (Zong *et al.*, 2010a).

Material and methods

Vibracore UV1 was collected from 22°17'10"N, 113°51'49"E (Figure 1b) from a water depth of 8.6 m. The top 10 m of sediment spanning the last c. 6500 years was used for this study. The top 0.35 m of the core was washed away during the coring process and sediments from 6.00-6.25 m were missing due to gaps in the sampling tube. Once the tubes were opened in the laboratory, the sediment cores were scanned using a multi-sensor core scanner for magnetic susceptibility (MS) at a resolution of 0.5 cm. The core was sampled at 2 cm intervals for $\delta^{13}\text{C}$, C/N and TOC, producing a total of 501 samples.

The chronology for UV1 used here is that of Zong *et al.* (2010b) and is based on 7 radiocarbon dates which are mostly from benthic foraminifera samples collected from various depths (Table 1). There is a small age reversal between GZ2213 at 2.6m and SUERC-9602 at 1.9m, the reason for this age reversal is not clear. The sedimentation environment was stable because particle size analysis shows no major change in sand, silt or clay fractions (Zong *et al.*, 2010c), and there is no bioclastic storm bed found in this sediment section (eg Huang and Yim, 2001). The only thin sandy layer found in the core is located at 1.0 m (Zong *et al.*, 2010c). Diatom assemblages from the section containing the age inversion show no significant change either (Zong *et al.*, 2010b). All other dates lie in stratigraphic order producing a relatively robust age model (Figure 3; Zong *et al.*, 2010b). Accordingly, these dates suggest a period of steady sedimentation from c. 6650 cal years BP at 10 m depth to c. 3300 cal. years BP at 2.6 m. The average sedimentation rate in this period is c. 0.22 mm/a. The top 2 m section of the core was deposited in the last 3300 years, with a reduced sedimentation rate of c. 0.08 mm/a (Figure 3).

FIGURE 3 INSERTED HERE.

Sediment samples for $\delta^{13}\text{C}$ and C/N analyses were prepared using 100 ml 5% HCl to remove carbonates. They were then washed 3 times with deionised water using the fibreglass filter paper (Fisher Brand 200), before being dried at 50°C overnight, homogenised in a pestle and mortar, and weighed (25-50 mg) for $\delta^{13}\text{C}$ and C/N analysis. Carbon isotopes and C/N analyses were performed by combustion in a Carlo Erba NA1500 (Series 1) on-line to a VG Triple Trap and Optima dual-inlet mass spectrometer, with $\delta^{13}\text{C}$ calculated to the VPDB scale using a within-run laboratory standards (BROC) calibrated against NBS-19 and NBS-22. Replicate analysis of well-mixed samples indicated a precision of $\pm < 0.1\text{‰}$ (1SD). C/N was determined by reference to an Acetanilide standard. Replicate analysis of well-mixed samples indicated a precision of $\pm < 0.1\%$. The isotopic ratios are expressed as $\delta^{13}\text{C}$, in units of per mil (‰). The C/N is given using weight ratio of total organic carbon (%TOC) to total nitrogen (%TN). Where duplicate analyses was carried out, the data presented is the average value (SC typically $< 0.1\text{‰}$).

For particle size analysis, sediment (0.2–4g) was soaked in 5% Calgon solution overnight before analysis to allow disaggregation of the sediment. An ultrasonic treatment was applied when the sample did not completely disaggregate. Samples were then stirred before being introduced to the laser granular meter (Coulter LS 13200) for the analysis of fractions of sand, clay and silt. Results of particle size analysis are presented in the format of ‘average value \pm standard deviation (SD)’.

Exponential smoothing of $\delta^{13}\text{C}$ and C/N data were performed using the SPSS for Windows program. This method was chosen over other methods such as moving average, because it does not result in data loss, and it catches the general trend of the data series. To compare periodicity between $\delta^{13}\text{C}$ and other proxies, the new $\delta^{13}\text{C}$ data presented here was de-trended along with the previously published ^{10}Be (Finkel and Nishiizumi, 1997) and Hematite-strained glass data (HSG, Bond *et al.*, 2001). Spectral analysis on $\delta^{13}\text{C}$ and ^{10}Be and de-trending of data were carried out using the PAST program (Hammer, *et al.*, 2001).

Results

The $\delta^{13}\text{C}$, C/N and TOC data are presented in Figure 4. There is a clear change in sedimentation at 10.07 marking the boundary between Holocene sedimentation above and pre-Holocene sedimentation below (poorly sorted firm clay through to gravel sediments below, soft silt and clay above). This is supported by the radiocarbon date of 33500 ± 360 cal yr BP at 12.5 m (Zong *et al.*, 2009b). The age of the base of the Holocene section is estimated at 6650 cal yr BP based on the age model developed by Zong *et al.*, 2010b (Figure 3). One of the possible reasons for the absence of early Holocene deposition is that the core site was sub-aerially exposed at this time, when the sea level was low (Zong *et al.*, 1989, 2009; Zong, 2004), and sediment only starts accumulating when the sea level stabilized around 7000 cal yr BP.

The Holocene section of the core is split into two zones based on the lithology, grain size and $\delta^{13}\text{C}$ values. Zone 1 from 10.07 – 1.30 m is composed of dark greenish grey silt and clay (63.6 ± 5.2 silt and 24.9 ± 3.9 clay; Table 2). Zone 2 from 1.30 – 0.35 m is composed of yellowish silt and clay with a higher proportion of sand ($17.0 \pm 12.1\%$; Table 2), mixed with large amounts of shell fragments. There are clear changes in $\delta^{13}\text{C}$, TOC and C/N values between Zones 1 and 2 (Figure 4); the average $\delta^{13}\text{C}$ value for Zone 1 is $-25.2 \pm 0.3\text{‰}$, compared to $-23.1 \pm 2.0\text{‰}$ for Zone 2 (Figure 4). The radiocarbon date from 0.52 m produced a modern age (108 cal yr BP), while the date from 1.33 m (immediately below the boundary between zones 1 and 2) provided an age of 2337 – 2162 cal yr BP. Based on the chronology and clear change in character of the sediments the change from Zone 1 to Zone 2 most likely relates to enhanced human activity in this area as discussed by Zong *et al.* (2010c). In this paper we concentrate on the mid-Holocene section preserved in Zone 1.

(FIGURE 4 INSERTED HERE)

Sediment from Zone 1 is mainly composed of silt (average $63.5 \pm 5.2\%$) and clay (average $24.9 \pm 3.9\%$), while sand concentrations average $11.4 \pm 6.6\%$ (Figure 5; Table 2). The proportion of sand and silt are negatively correlated through the core. Clay concentration gradually decreases from approximately 30.0% at the base to 20.0% at the top of the core.

The $\delta^{13}\text{C}$ values of Zone 1 show a general increasing trend from the base to the top of the zone (Figure 5). Superimposed on this increasing trend, the $\delta^{13}\text{C}$ values fluctuate between -26.4‰ and -24.1‰ , the average value (-25.2‰) for Zone 1 is shown by the dashed line (Figure 5). Exponential-smoothing of the $\delta^{13}\text{C}$ data suggests two oscillations are recorded in this section. The first complete oscillation starts from the base of this section, *c.* 6650 cal yr BP to *c.* 4600 cal yr BP. The second oscillation runs from *c.* 4600 to *c.* 2100 cal yr BP, although the last part of this oscillation is not so clearly defined and may be incomplete (Figure 5). The mean C/N ratio in Zone 1 is 10.5 (dashed line, Figure 5). C/N ratios in Zone 1 show a general decreasing trend, except for very low C/N ratios (4.0 – 9.0) from 6650 to 6480 cal yr BP (Figure 5). TOC records of UV1 show a general decreasing trend from 1.4% to 0.8% throughout Zone 1 with the average value of 1.01% (Figure 5). The exponential-smoothed TOC record shows a relatively close correlation with the $\delta^{13}\text{C}$ values (Figure 5).

(FIGURE 5 INSERTED HERE, LANDSCAPE LAYOUT PLEASE!!)

Discussion

A East Asian monsoon history during the mid-Holocene

The $\delta^{13}\text{C}$ and C/N signature preserved in core UV1 outlined above can be used to infer changes in the sediment sources during the mid-Holocene. Changes in sediment source can then be used to indicate changes in EAM precipitation-induced freshwater flux.

B General weakening EAM during the mid-Holocene

Increasing $\delta^{13}\text{C}$, decreasing C/N ratios and decreasing TOC found in sediments spanning the period 6650 – 2150 cal yr BP indicate a long-term trend of weakening precipitation-induced freshwater discharge linked to a weakening summer monsoon (Figure 5). This trend is highlighted in Figure 6a by higher than average $\delta^{13}\text{C}$ values (-25.2‰) from 4350 to 2150 cal yr BP and generally lower than -25.2‰ from 6650 to 4350 cal yr BP. Possible forcing

mechanisms that could influence the proportion of sediments from different sources in UV1 during this time period are sea-level change, delta progradation and freshwater flux. Sea level reached its present-day level around 6800 cal yr BP and has been relatively stable since then (Zong, 2004). Thus, the influence of sea-level changes on the $\delta^{13}\text{C}$ signal is assumed to be relatively minor. As a consequence of the stable sea level, the deltaic shoreline advanced slowly seawards between 6800 and 2000 cal yr BP (Figure 1b, Zong, *et al.*, 2009a). Delta progradation during the mid-Holocene has led to core UV1 being more proximal to terrestrial source areas through time (Figure 1b). Based on this we would expect larger amounts of terrestrial sediment to be delivered to the core site through time. However, the general increase in bulk organic carbon $\delta^{13}\text{C}$ values recorded in UV1 during the mid-Holocene indicates a decreasing proportion of terrestrial organic matter (Figure 6a). Terrestrial / freshwater sourced organic material has an average $\delta^{13}\text{C}$ value of -25.0‰ , compared to marine sourced organic material with an average of -21.0‰ (Hu *et al.*, 2006; Zong *et al.*, 2006; Yu *et al.*, 2010). This suggests that a decrease in terrestrial material input due to lower freshwater flux is far greater than an assumed increase in terrestrial material input expected due to increased proximity associated with delta front advance. This, therefore, suggests that the actual reduction in terrestrial material input (hence freshwater flux) is in fact even more significant.

(FIGURE 6 INSERTED HERE)

The general decrease in C/N ratios up core (Figure 5) also supports the interpretation of weakening monsoon strength. Lower C/N ratios suggest increased proportion of estuarine / marine particulate organic matter and algae which typically have C/N values < 10 compared to terrestrial organic matter with typical C/N values > 10 (Yu *et al.*, 2010). This suggests reduced terrestrial / freshwater material input due to reduced freshwater flux, hence reduced monsoon precipitation.

General weakening freshwater flux suggested by $\delta^{13}\text{C}$ and C/N is supported by the grain size results of core UV1. Modern samples from the Pearl River estuary collected by Yu *et al* (2010) suggest that sediments from the riverine area are usually composed of $>30\%$ sand and $<50\%$ silt, and 17 – 18% clay, and those from brackish/marine areas usually have $>60\%$ silt, $<20\%$ sand, and 25 – 28% clay composition. Domination of clay and silt in the sediment suggests a relatively weak hydrological system under marine/brackish-water environment at this site during 6600 – 2200 cal yr BP. Increase in silt concentrations from generally $< 63.6\%$ before ~ 4600 cal yr BP, to $> 63.6\%$ after ~ 4400 cal yr BP, possibly indicates a strengthening marine influence at this site (Figure 5), eg changing from brackish to marine conditions responding to reduced freshwater flux. This is supported by the generally increasing clay concentration during 6600-2200 cal yr BP.

Diatom flora from core UV1 (Figure 6b) show a general increase in marine species since 6650 cal yr BP. This is interpreted as indicating weakening monsoon-induced freshwater discharge (Zong *et al.*, 2010b). Wang *et al.* (2005) examined the $\delta^{18}\text{O}$ of stalagmites from the Dongge Cave in southern China, and revealed a general decreasing summer monsoon during the past 9000 years (Figure 6c). Pollen records from Jiangxi province, southern China, investigated by Xiao *et al.* (1998), also show a clear decrease of evergreen trees that prefer humid conditions and shrubs, replaced by herb and ferns in the area, indicating decreasing monsoonal precipitation since early Holocene.

B Dry/wet fluctuations at millennial to centennial time scales

Superimposed on the general weakening trend discussed above are centennial and millennial scale fluctuations in $\delta^{13}\text{C}$ interpreted as wet/dry fluctuations linked to EAM variability during the mid Holocene (shown by the de-trended $\delta^{13}\text{C}$ record of Figure 6e). The $\delta^{13}\text{C}$ data shows one oscillation between 6650 and 4650 cal yr BP and another oscillation from 4650 to 2150 cal yr BP (Figure 6e). This suggests a possible periodicity for the EAM precipitation change of around 2000 years. Shorter period, centennial scale, dry events are also suggested by the $\delta^{13}\text{C}$ record, for example at 6300, 5700, 4300, 3800, 3400 and 2500 cal yr BP (shown by horizontal bars in Figure 6e). Each of these dry events lasts for 100 – 300 years. Spectral analysis on de-trended $\delta^{13}\text{C}$ data also suggests two very significant periodicities of 2514 years and 1408 years (significance level > 99%), and two significant ones of 765 years and 476 years (significance level > 95%; Figure 7a).

(FIGURE 7 INSERTED HERE)

Various studies have suggested a general weakening trend in monsoonal climate during the Holocene (eg reducing precipitation since early Holocene), with fluctuations revealed by a range of proxies such as the Loess deposit in central China (eg An, 2000) and the stalagmite record from Dongge Cave, southern China (Wang *et al.*, 2005). Zong *et al.* (2006) examined the bulk organic $\delta^{13}\text{C}$ record from the Pearl River estuary and suggested a strong freshwater flux during 8000-6000 cal yr BP induced by a strong summer monsoon. They then identified a gradual reduction in monsoonal freshwater discharge from 6000 cal yr BP, with fluctuations towards the present (Zong *et al.*, 2006). Although the high-resolution $\delta^{18}\text{O}$ of stalagmite from Dongge Cave (Wang *et al.*, 2005) also indicates the general weakening monsoon since the mid-Holocene (Figure 6c), it does not pick up centennial and millennial fluctuations found in $\delta^{13}\text{C}$ signal from the Pearl River estuary (Figure 6e and 6h). This is probably because the $\delta^{18}\text{O}$ of stalagmite can be influenced by both temperature and precipitation, and $\delta^{18}\text{O}$ of the

stalagmite is thought to mainly reflect more local environmental changes (eg Kelly *et al.*, 2006) while $\delta^{13}\text{C}$ likely shows broader catchment area change.

A Driving mechanisms for the EAM variability during the mid-Holocene

B Orbital forcing

Orbital forcing, specifically the precession cycle, has been suggested as the most important driving mechanism for EAM precipitation variability during the Holocene (Kutzback, 1981; An and Thompson, 1998; An, 2000; Mayewski *et al.*, 2004; Huang *et al.*, 2008). This study shows a general weakening precipitation during the mid-Holocene following part of the precession cycle (Figure 6a and 6d). Precession influences the monsoon through its impact on seasonal contrasts. Half a precession cycle in the past (at approximately the beginning of the Holocene), perihelion occurred in the boreal summer, causing enhanced summer insolation in the northern Hemisphere, and aphelion fell in the boreal winter, causing reduced winter insolation. Such seasonal contrast was strongest at the beginning of the Holocene and has weakened towards present during the current precession cycle (Figure 6d; Berger and Loutre, 1991; Bradley, 2003). Today, in its slightly elliptical orbit, the Earth is at perihelion around the boreal winter solstice, and at aphelion around the boreal summer solstice (Bradley, 2003). Berger and Loutre (1991) calculated the insolation values at different latitude zones in both summer and winter, showing weakening summer insolation at 30°N (Figure 6d). The weakening East Asian summer monsoon during the 6650 – 2150 cal yr BP identified here is most likely a response to the orbital-induced weakening insolation since about 11,000 years BP (An, 2000; Wang *et al.*, 2005).

There are many possible ways that insolation could influence the EAM system. One possible process is that reducing insolation leads to changes in oceanic and atmospheric circulation, resulting in changes in temperature and precipitation (An and Thompson, 1998; Xiao *et al.*, 2006; Huang *et al.*, 2008). For example, reducing insolation results in cooler tropical temperature and thus resulted in a weakened Hadley circulation (Rind and Overpeck, 1993) and reduced water vapour content of the atmosphere. Thereby it would reduce the monsoonal precipitation and resultant freshwater runoff. The influence of precession on the EAM is also supported by a variety of other proxies, eg pollen records from the desert/loess transition of north central China (eg Jiang *et al.*, 2006) and loess deposits from central China (An *et al.*, 2000; Kukla *et al.*, 1990). Due to the short record from UV1, this study only demonstrates EAM changes following part of the precession cycle during the mid-Holocene.

B Solar modulation

Solar activity is one of the possible controlling factors for EAM changes at the millennial timescale. One proxy used to reflect solar modulation (cosmic ray flux) is ^{10}Be concentration in ice cores (Raisbeck *et al.*, 1990; Masarik and Beer, 1999). Studies show that high ^{10}Be concentrations can be attributed to lower solar shielding and consequently to a lower solar activity (Finkel and Nishiizumi, 1997; Muscheler *et al.*, 2004). Horiuchi *et al.* (2008) analysed an ice core at the Dome Fuji station, inland Antarctica, and found that both the concentration and the flux of ^{10}Be increased at the known solar-activity minimums during the past millennia, eg Oort (1010 – 1050 yr CE) and Wolf (1280 – 1340 yr CE). Finkel and Nishiizumi (1997) suggest that oscillations in the ^{10}Be concentration of the GISP2 ice core indicate changes in cosmic ray flux and thus (activity of) solar output, as production rates at geomagnetic latitudes above 60° are almost completely insensitive to changes in geomagnetic field intensity (Lal and Peters, 1967). Spectral analysis on ^{10}Be (GISP2 ice core) indicates two significant periodicities of 2475 years and 1125 years (significance level $> 99\%$; Figure 7b), which is similar to the two periodicities (2514 and 1408 years) derived from $\delta^{13}\text{C}$ (Figure 7a). The similar periodicities at millennial scale, together with a good correlation between de-trended $\delta^{13}\text{C}$ signal (core UV1) and de-trended ^{10}Be concentration (GISP2, Finkel and Nishiizumi, 1997) (Figure 6e and 6f) indicate that solar irradiation might be a driving mechanism of EAM variability at millennial and centennial time scales (eg Chen *et al.*, 2008).

Although studies have hypothesized several possible correlations between solar activity and the earth's climate, the exact process controlling how the EAM responds to insolation change still remains unclear. Haigh (1996) suggested that strong solar output increases UV radiation, resulting in an increase in stratospheric O_3 . The stratospheric O_3 absorbs radiation and heat up the stratosphere, and the heat propagates down into the troposphere. However, whether the stratospheric heat is strong enough to pass down through the atmosphere far below still needs further investigation. Carslaw *et al.*, 2002 proposed the “cosmic ray – cloud effect” to explain the link between solar activity and atmospheric processes. Cosmic rays are charged particles with very high energy. Charged particles can move freely along with the magnetic field lines, but not when its track is transverse to the field lines. On their way to the Earth, cosmic rays must cross through interplanetary (IP) magnetic fields, and thus, the stronger IP magnetic field will result in less cosmic rays arriving at the earth. The strength of the IP magnetic field at solar maxima is almost 1.5 times that at solar minima (Yuming Wang and Tengfei Zhang, personal communication, 2011). Therefore, stronger solar activity will result in fewer cosmic rays reaching the Earth, which is then correlated with a reduction in cloud cover (Parker, 1958). One possible mechanism for the cosmic ray-cloud effect is suggested by Yu and Turco (2001). Cosmic rays, with their high energy, are able to ionize the atmospheric molecules during the collision, which results in charged molecular clusters. These clusters then work as the condensation nuclei for the cloud formation. Thus shortage of cosmic rays during periods of strong solar activity will discourage cloud formation, and result in higher solar radiation

reaching the earth, resulting in higher Earth surface temperature (Carslaw *et al.*, 2002). As a high ^{10}Be concentration has been found to be related to periods of low solar activity during past millennia at centennial time scales (eg Finkel and Nishiizumi, 1997; Muscheler *et al.*, 2004; Horiuchi *et al.*, 2008), the Earth surface temperature would be low during periods of low solar activity indicated by high concentration of ^{10}Be in the GISP2 ice core (Figure 6e; Finkel and Nishiizumi, 1997). Thus, it is possible that at the millennial time scale, solar activity might influence the EAM through changing the earth surface temperature, and resultant changes in strength of the Siberian High. The Siberian High becomes strong during periods of low solar activity, resulting in strong winter monsoons of low precipitation (eg An, 2000). For example, dry and wet periods indicated by de-trended $\delta^{13}\text{C}$ signal (Figure 6e) are coherent with low and high solar activity indicated by ^{10}Be concentration (Figure 6f).

Solar forcing seems to explain most of the fluctuations observed in this study, however, correlation between EAM and insolation breaks down from 4500 cal yr BP to 3500 cal yr BP. Strengthened solar activity around 3930 cal yr BP indicated by low ^{10}Be concentration (Figure 6f), might result in the wet event around 3990 cal yr BP indicated by high $\delta^{13}\text{C}$ (Figure 6e). Solar activity weakens gradually until c. 3300 cal yr BP (indicated by increasing ^{10}Be concentration, Figure 6f), and correspondingly, the EAM weakens generally.

B Other forcing

Decadal to centennial fluctuations in EAM might be due to other forcing, such as high-latitude IRD events (Figure 6g and 6h). Changes in solar activity have been suggested as a possible forcing mechanism for millennial-scale cooling events in the Northern Atlantic area, such as the North Atlantic ice-rafted debris (IRD) events (Bond *et al.*, 2001). Such correlation is supported by comparison of de-trended ^{10}Be (Figure 6f) and de-trended hematite-stained grain concentration (HSG, Bond *et al.*, 2001) in the North Atlantic sediments (Figure 6g). These changes in the North Atlantic lead to enhanced strength of the Siberian High (Bianchi and McCave, 1999; Rohling *et al.*, 2002) which, in turn, can lead to reduced EAM precipitation. Connection between the IRD events and the EAM is supported by good consistence between de-trended HSG (Figure 6g, Bond *et al.*, 2001) and de-trended $\delta^{13}\text{C}$ (Figure 6e). For example, three key IRD events from the North Atlantic during the mid-Holocene, at 5900 – 5800, 4300 – 4100 and 2800–2700 cal yr BP (Bond *et al.*, 1997; Black bars numbered 2, 3 and 4 in Figure 6g) appear to correlate with dry events observed in the Pearl River area, at around 5700, 4300 and 2700 cal yr BP (black bars in Figure 6f). Dry events observed in UV1 are slightly delayed compared to IRD events suggested by Bond *et al.* (2001). This might be due to the time lag between vegetation change and climate change, (likely to be 100-200 years) the UV1 record is based on vegetation signal.

However, in addition to the dry events addressed above (black bars in Figure 6e), there are other dry events lasting 100 – 300 years suggested by $\delta^{13}\text{C}$ (grey bars in Figure 6e). These additional dry events seem to be consistent with low solar activity (Figure 6f), while none of them is correlated with IRD events. These additional dry events might be linked to some regional forcing such as ENSO and possibly high-frequency and low-amplitude changes in the sea level.

Correlation between high-latitude cooling events and the EAM at centennial time scales is supported by the $\delta^{18}\text{O}$ record from stalagmites (Figure 6h, Wang *et al.*, 2005). Wang *et al.* (2005) suggested good correlation between EAM and IRD events during the Holocene, suggesting a possible connection between EAM and high-latitude cooling events. However, comparison between the Pearl River estuary $\delta^{13}\text{C}$ record, and the $\delta^{18}\text{O}$ from the Dongge Cave, reveals some obvious mismatches. The $\delta^{13}\text{C}$ from UV1 indicates two significant dry periods around 5700 and 3500 cal yr BP respectively (Figure 6a), while $\delta^{18}\text{O}$ from Dongge Cave does seem to suggest a cool period around 5700 cal yr BP and no obvious change around 3500 cal yr BP (Figure 6c). It is particularly noticeable that the correlation between our Pearl River estuary record (Figure 6e) and the Dongge Cave stalagmite record (Figure 6h) at millennial time scale seems to be weak compared to the correlation between the Pearl River estuary, ^{10}Be concentration and HSG records (Figure 6e, 6f and 6g). Comparison between $\delta^{13}\text{C}$ and ^{10}Be suggests the two dry periods (~5700 and ~3500 cal yr BP) might due to low solar activity (Figure 6e and 6f), while the $\delta^{18}\text{O}$ stalagmite record might be more sensitive to local scale variability and thus does not catch up these changes (Figure 6h).

Conclusion

Results from this study suggests that East Asian Monsoon experienced a general weakening between 6650 and 2150 cal yr BP. Superimposed on this trend are wet/dry oscillations at millennial to centennial time scales, with major millennial periodicities of about 2500 years and 1400 years, and centennial periodicities of 770 years and 480 year. Our data supports the view that orbital-induced precession forcing is the primary controlling mechanism for the monsoonal variability during the Holocene, while solar modulation is a significant forcing for wet/dry oscillations at millennial time scales. Solar activity influences the EAM by changing the strength of Siberian High at millennial time scales (also influencing the North Atlantic through IRD events). Results of this study are supported by other records, especially the high-resolution stalagmite record from Dongge Cave (Wang *et al.*, 2005). However, mismatches between millennial cycles from the $\delta^{13}\text{C}$ record presented here and the Dongge Cave $\delta^{18}\text{O}$ stalagmite record suggests the Dongge Cave might reflect more local conditions, while $\delta^{13}\text{C}$ presented here reflects broader climate conditions.

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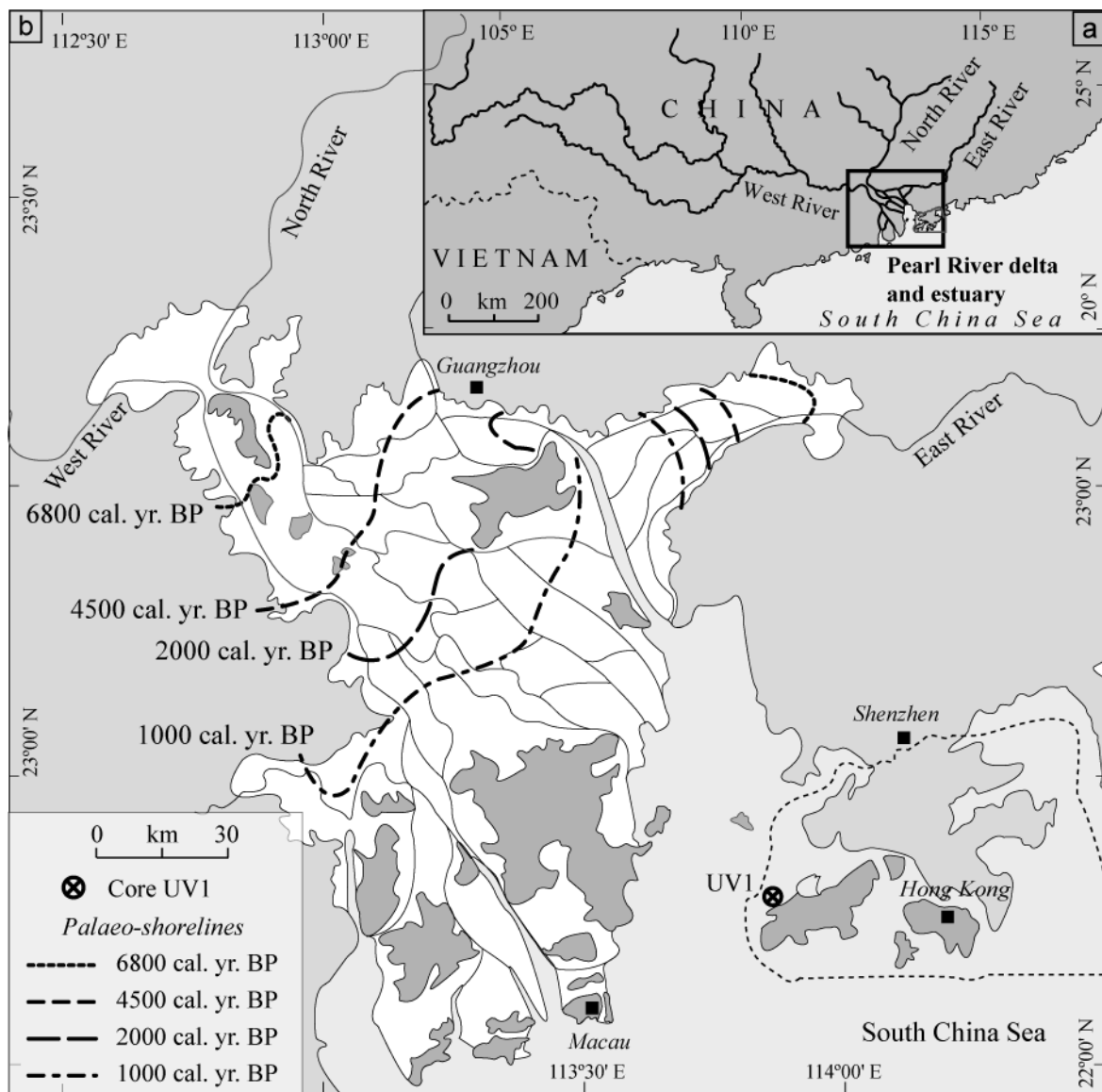


Figure 1 Study area. a: Location of Pearl River estuary, East Asia; b: Locations for core UV1 (this study) and palaeo-shorelines (Zong *et al.*, 2009a).

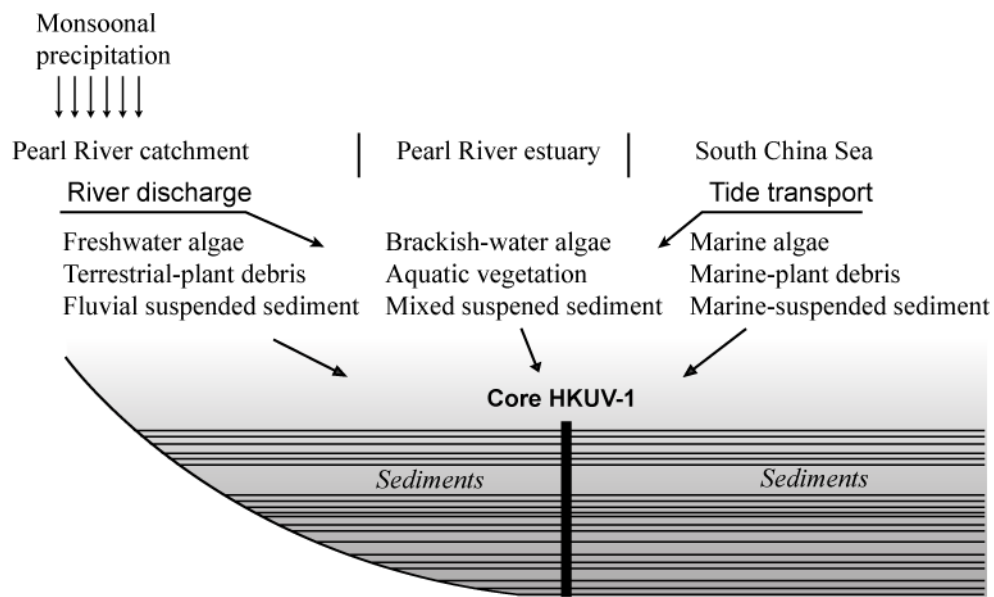


Figure 2 Sediment input into the Pearl River estuary from three main sources including terrestrial, brackish-water and marine areas (adapted from Zong *et al.*, 2006).

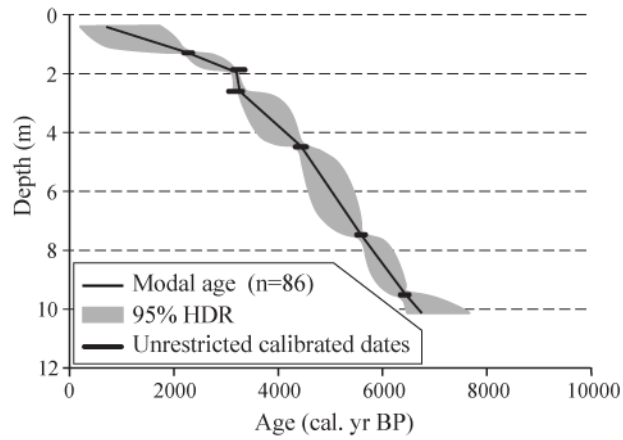


Figure 3 Age model for Core UV1 (modified from Zong *et al.*, 2010b). The age model is established via Bchron using the method suggested by Heegaard *et al.* (2005) and Parnell *et al.* (2008). The 95% highest posterior density ranges (HDR) indicate the uncertainty of the ages assigned to each sample between the dated depths, together with the mean modelled age for each sample. In the graph, the number of samples involved in the calibration is shown as 'n', and the modelled age curve is established based on the 50% chronology.

Figures

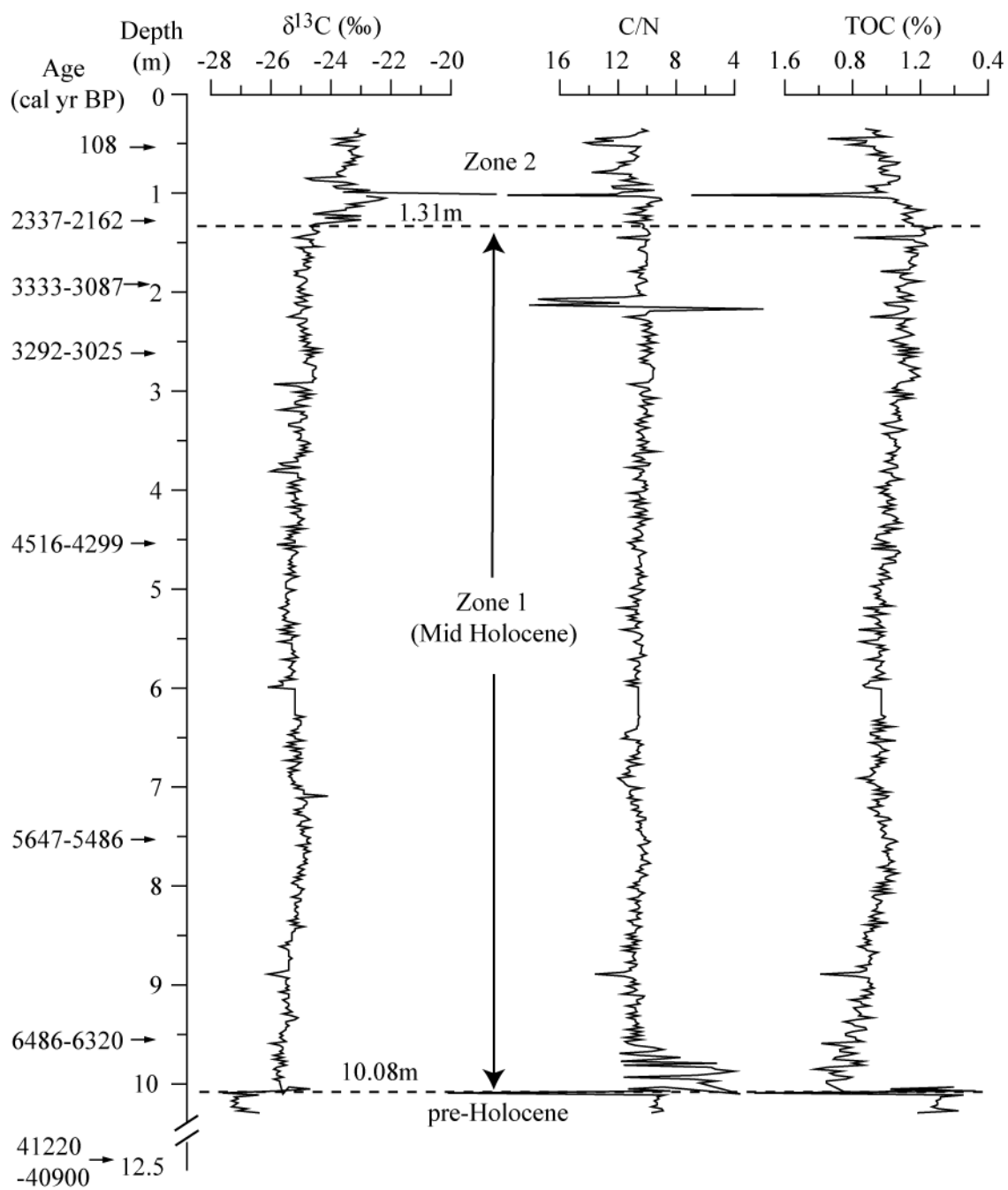


Figure 4 Results of $\delta^{13}\text{C}$, C/N and TOC for core UV1 (10.29-0.35m).

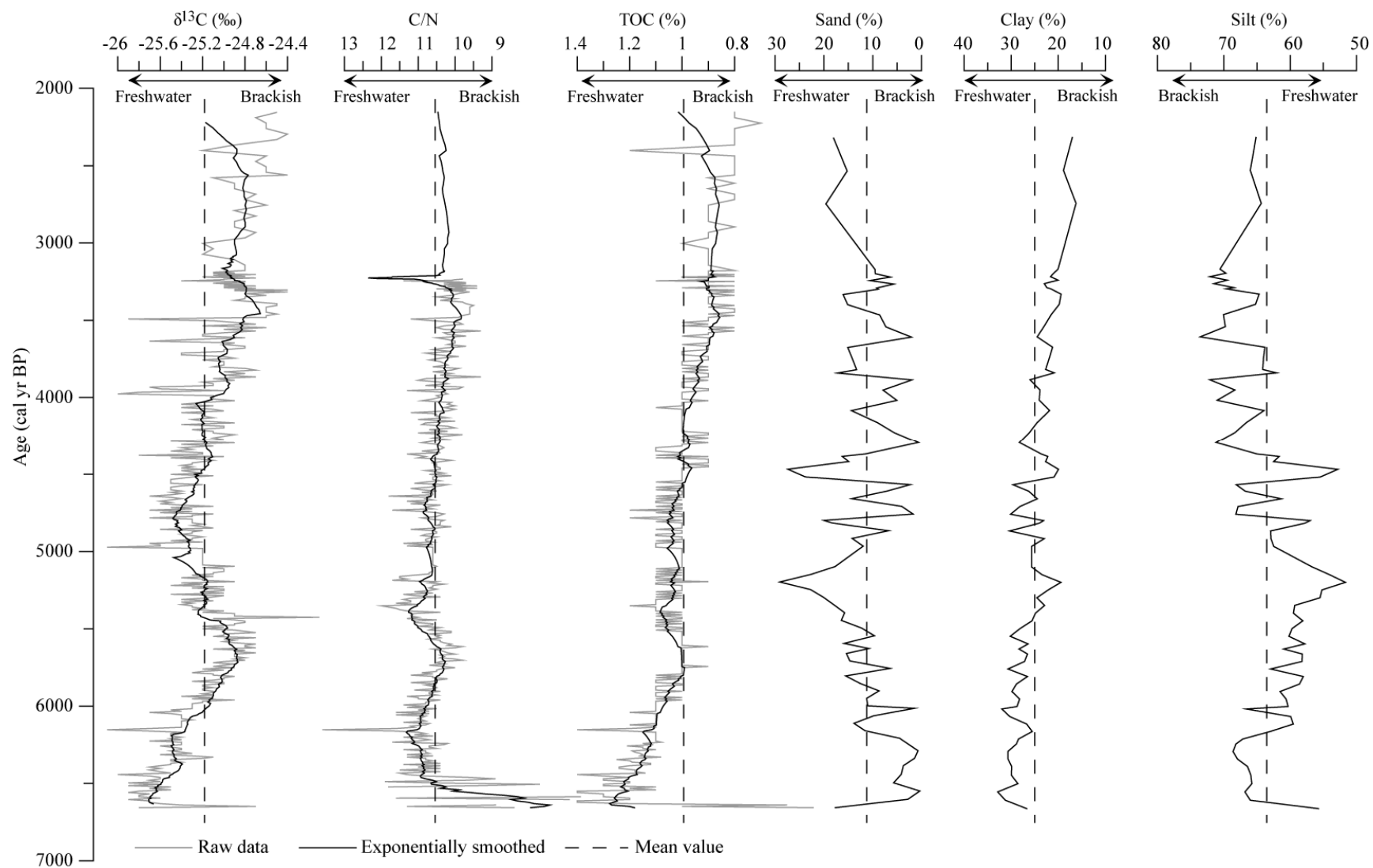


Figure 5 Results of $\delta^{13}\text{C}$, C/N, TOC and particle size during the mid-Holocene (10.07-1.31m of core UV1, ranging from 6650 to 2220 cal yr BP) plotted against calibrated ages at 95% HDR.

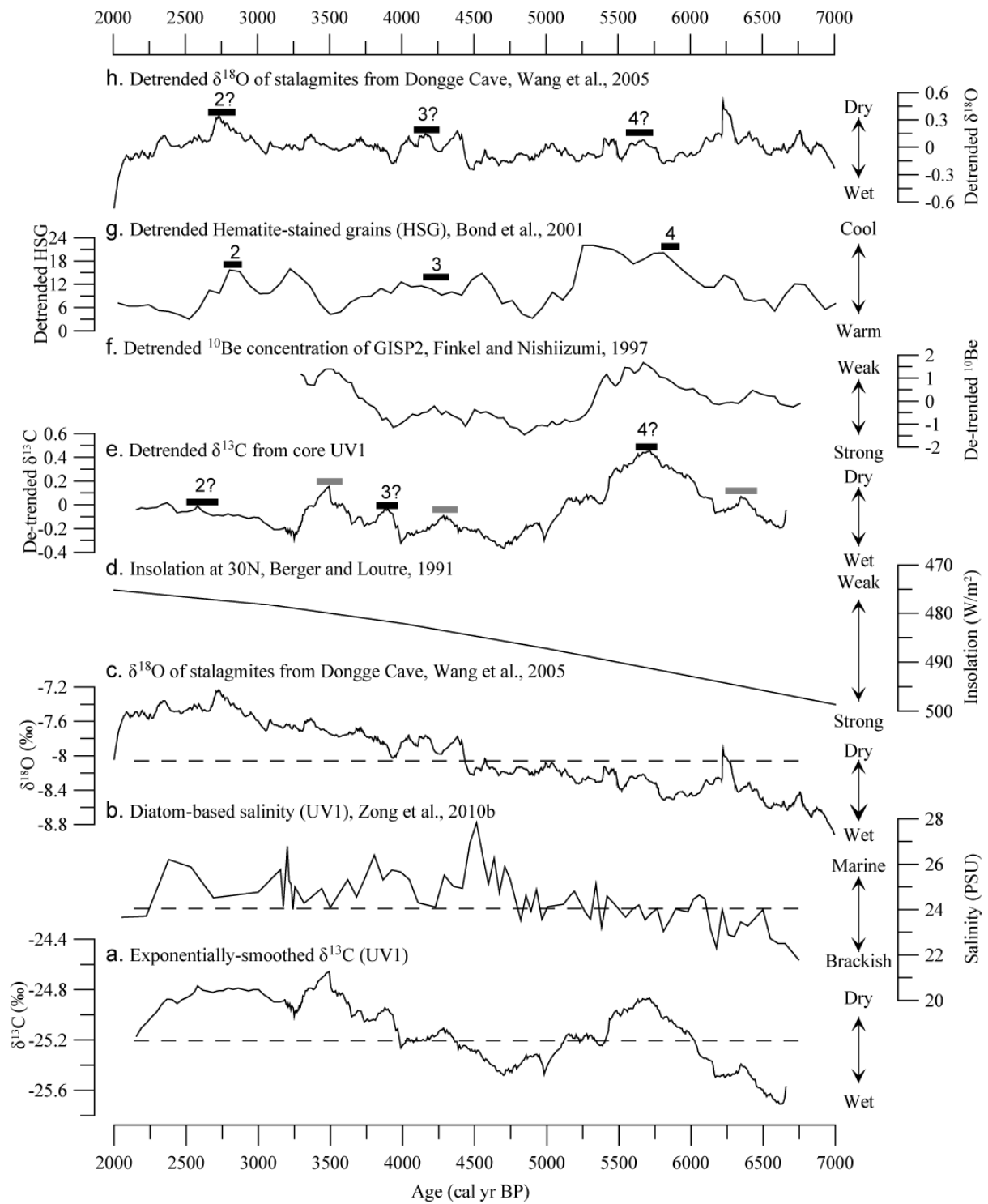


Figure 6 Comparison between $\delta^{13}\text{C}$ of UV1 and other records. a: exponentially-smoothed $\delta^{13}\text{C}$ from 6650-2150 cal. yr BP (this study); b: palaeo-salinity based on diatom-salinity transfer function from core UV1 by Zong *et al.* (2010b); c: $\delta^{18}\text{O}$ of stalagemite from Dongge Cave, southern China (Wang *et al.*, 2005). In panels “a”, “b” and “c”, dashlines show the mean value of each proxy. d: insolation changes at 30°N (Berger and Loutre, 1991); e: detrended $\delta^{13}\text{C}$ from core UV1 (this study); f: detrended concentration of ^{10}Be of GISP2 ice core (Davis *et al.*, 1990; Alley *et al.*, 1995; Finkel and Nishizumi, 1997), where higher ^{10}Be concentration is responding to stronger solar activity; g: detrended hematite-stained grains (HGS) of Core

MC52 and VM29-191 (Bond *et al.*, 2001), with IRD events 2,3 and 4 (Bond *et al.*, 1997) marked by black bars; h: detrended $\delta^{18}\text{O}$ of stalagemite from Dongge Cave, southern China (Wang *et al.*, 2005). In panels “e” and “h”, black bars show the possible position of IRD events 2, 3, and 4. In panel “e”, grey bars are dry events observed in this study. Detrending of these data was taken using the PAST programme (Hammer *et al.*, 2001).

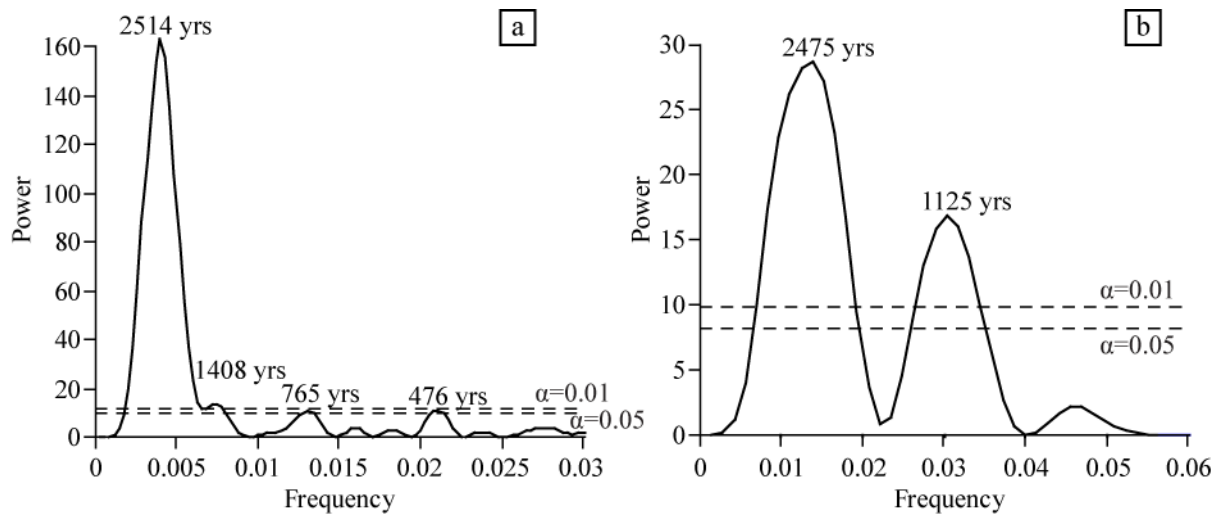


Figure 7 Spectral analysis of a: $\delta^{13}\text{C}$ of Core UV1 (this study) and b: ^{10}Be of GISP2 ice core (Davis *et al.*, 1990; Alley *et al.*, 1995; Finkel and Nishizumi, 1997). Exponentially-smoothed $\delta^{13}\text{C}$ and ^{10}Be data have been de-trended prior to spectral analysis using the PAST program (Hammer *et al.*, 2001). Dashlines show significance levels of 99% ($\alpha=0.01$) and 95% ($\alpha=0.05$) respectively.

Tables

Table 1 Radiocarbon dates from Core UV1

Depth (m)	Material dated	Conventional age (yr BP)	Method	95% HDR (cal. yr BP)	50% HDR (cal. yr BP)	%C (by weight)	$\delta^{13}\text{C}$ (‰, VPDB) ± 0.1	Laboratory code
0.5	Shell	Modern						GZ2211
1.3	Foraminifera	2254 \pm 30	AMS ^{14}C	2337-2162	2233			GZ2212
1.9	Foraminifera	3019 \pm 35	AMS ^{14}C	3333-3087	3232	9.8	-3.1	SUERC-9602
2.6	Foraminifera	2974 \pm 33	AMS ^{14}C	3292-3025	3157			GZ2213
4.5	Foraminifera	3963 \pm 35	AMS ^{14}C	4516-4299	4434	8.8	-4	SUERC-9605
7.5	Foraminifera	4847 \pm 35	AMS ^{14}C	5647-5486	5593	9.7	-4.1	SUERC-9606
9.5	Foraminifera	5633 \pm 36	AMS ^{14}C	6486-6320	6413	9	-2.6	SUERC-9607
*12.5	Shell	37900 \pm 320	AMS ^{14}C	41220-40900	41600		-1.4	OS-51226

Radiocarbon dates are calibrated using Calcuve Intcal 09, 95% and 50% HDR ages are estimated using Bchron (Parnell et al., 2008), HDR=highest posterior density region; *dates are cited from Zong *et al* 2009b, where calibrated ages are expressed at $\pm 2\sigma$ level.

Table 2 Measurements of Zone 1 and Zone 2 of Core UV1

Depth (m)	Age (cal. yr BP)	$\delta^{13}\text{C}$ (‰)	TOC (%)	C/N	Sand (%)	Clay (%)	Silt (%)
0.35-1.29	1364-1990	-23.1 \pm 2.0	0.1 \pm 0.0	11.3 \pm 1.7	17.0 \pm 12.1	22.2 \pm 4.4	60.8 \pm 10.8
1.31-10.07	2003-6395	-25.2 \pm 0.3	0.1 \pm 0.0	10.5 \pm 1.2	11.4 \pm 6.6	24.9 \pm 3.9	63.6 \pm 5.2

Values are presented as 'average values \pm the standard deviation