1	Mass wasting triggered by the 2008 Wenchuan earthquake exceeds orogenic growth
2	
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12	
13	Shallow earthquakes are a primary cause of rock uplift in mountain ranges <sup>1</sup> , yet large earthquakes also
14	trigger widespread coseismic landsliding that causes significant but spatially heterogeneous erosion <sup>2-4</sup> .
15	The interplay between rock uplift and the distribution and magnitudes of coseismic landslides thus raises
16	a fundamental question: do large earthquakes – and the landslides they trigger – create or destroy
17	mountainous topography? Here we examine the potential changes in orogen volume resulting from the
18	catastrophic $M_w$ 7.9 2008 Wenchuan earthquake in Sichuan, China. The earthquake triggered more than
19	56,000 landslides <sup>5</sup> , with a spatial distribution that was only partly related to the pattern of tectonic
20	deformation <sup>6</sup> . Using area-volume scaling relationships <sup>4,7</sup> we estimate that coseismic landsliding produced
21	$\sim$ 5-15 km <sup>3</sup> of erodible material, greater than the net volume of 2.6±1.2 km <sup>3</sup> added to the orogen by
22	coseismic rock uplift <sup>8</sup> . This discrepancy indicates that, even if only a fraction of landslide debris is
23	removed from the orogen over the likely ~2000-4000 year earthquake return period <sup>6</sup> , the Wenchuan
24	earthquake will lead to a net material deficit in the Longmen Shan. This result challenges the widely-held
25	notion that large dip-slip or oblique-slip earthquakes build mountainous topography, and invites more
26	careful consideration of the relationships between coseismic slip, mass wasting, and relief generation.
27	

It is axiomatic that earthquakes build topography through repeated vertical displacements<sup>1</sup>, yet large 28 earthquakes are also a primary trigger of landslides<sup>2</sup>, which play a dominant role in the competition 29 between tectonic and surface processes that drives mountain belt evolution<sup>9-12</sup>. Recent work<sup>2-4,7</sup> has shown 30 that landslides are capable of generating sustained high rates of erosion (of order 1-10 mm yr<sup>-1</sup>), which 31 32 poses a challenge to our understanding of how mountainous topography is generated: if the volume of 33 erodible sediment produced by earthquake-triggered landsliding exceeds the coseismically-generated rock 34 volume added to the orogen, then - assuming that this sediment is evacuated from the orogen by other 35 erosional processes - the volume and mean elevation of the orogen must decrease. The relative roles of large earthquakes in generating coseismic rock uplift and facilitating landslide erosion<sup>13</sup> are thus critical for 36 37 understanding the balance between crustal advection and denudation.

38

The M<sub>w</sub> 7.9 Wenchuan earthquake of 12 May 2008 in Sichuan Province, China, is ideal for examining the 39 40 relationships between landsliding and orogen evolution because of its large magnitude, the steep regional topography, and the widespread occurrence of coseismic landsliding<sup>5,14</sup>. The earthquake occurred in the 41 42 Longmen Shan mountain range, which is underlain by a complex lithological assemblage comprising 43 Proterozoic granitic massifs, a Paleozoic passive margin sequence, a thick Triassic-Eocene(?) foreland basin succession, and minor exposures of poorly-consolidated Cenozoic sediment<sup>15</sup>. The faults in the Longmen 44 Shan originated in the Late Triassic<sup>16</sup> and have remained active into the Quaternary as dextral-thrust 45 oblique-slip faults<sup>17</sup>. The earthquake involved > 10 m of oblique dextral-thrust surface slip on the Beichuan 46 and Pengguan faults<sup>6,18</sup> (Fig. 1), and inversion of GPS and InSAR data<sup>6</sup> coupled with field observations<sup>18</sup> 47 48 show that the magnitude and proportion of dextral strike-slip and thrust dip-slip fault displacement varied 49 significantly along the rupture trace, with two distinct zones of concentrated slip and moment release near 50 Yingxiu and Beichuan (Fig. 1).

51

To constrain landslide erosion, coseismic and immediate postseismic landslides were mapped within an area of 13,800 km<sup>2</sup> in the Longmen Shan using high-resolution satellite imagery collected within 30 days of the earthquake (see Methods). We resampled the raw landslide inventory data into landslide density  $P_{ls}$ :

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$$56 \qquad P_{ls} = A_{ls} / A_t$$

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58 where  $A_{ls}$  is the area of all landslides within a chosen window size  $A_t$  (ref. 19).  $P_{ls}$  values vary from > 60% 59 (with  $A_t = 1 \text{ km}^2$ ) near the epicenter to 0% in the low-relief Sichuan Basin (Fig. 1).  $P_{ls}$  also varies significantly along strike, with high values along the Min Jiang valley near Yingxiu (Fig. 1) and secondary clusters to the 60 61 northeast, particularly associated with major transverse river valleys. This partly, but not fully, reflects along-strike variations in surface rupture<sup>18</sup>. Strong variations in  $P_{ls}$  between different lithologies were noted 62 by Dai et al.<sup>5</sup>, along with complex relationships between  $P_{ls}$  and distance from the earthquake source. Given 63 64 that landslide occurrence is not solely tied to coseismic deformation, there is potential for mismatch 65 between patterns and volumes of tectonic rock uplift and landslide erosion.

66

67 Understanding the balance between tectonic and mass wasting processes in the Wenchuan earthquake 68 requires a scaling relationship to convert individual landslide area  $A_i$  to total volume  $V_{is}$ :

69

$$70 V_{ls} = \sum_{1}^{n} \alpha A_i^{\gamma} (2)$$

71

72 where n is the number of landslides and the scaling parameters  $\alpha$  and  $\gamma$  are constants that vary with 73 setting and hillslope process (e.g. bedrock or shallow landslides). We applied equation (2) using published scaling parameters<sup>4,7</sup> as well as those derived from field measurement of 41 landslides in the study area. 74 75 The results (Table 1) are strikingly consistent and place first-order constraints on the likely volume of 76 material involved. Application of a global best-fit relationship for all landslide types from Larsen et al.<sup>4</sup> with  $\gamma$  = 1.332±0.005 yields V<sub>ls</sub> = 5.73 +0.41/-0.38 km<sup>3</sup>. A global best-fit relationship for bedrock landslides from 77 78 Larsen et al.<sup>4</sup> ( $\gamma$  = 1.35±0.01) and a relationship derived from field measurements ( $\gamma$  = 1.388±0.087) both yield similar values of  $V_{ls} \approx 9 \text{ km}^3$ , while a global relationship from Guzzetti et al.<sup>7</sup> yields  $V_{ls} = 15.2 + 2.0 / -1.8$ 79 km<sup>3</sup>. The predicted volumes in Table 1 are minima, because the images span most but not all of the surface 80 rupture (see Methods), but are consistent with spatially-averaged denudation of 0.42-1.1 m over the 81

13,800 km<sup>2</sup> mapped area. Conversion of these estimates to landslide erosion rates requires knowledge of the recurrence intervals of large landslide-triggering earthquakes on the Beichuan fault, but these are poorly constrained by limited dating at a few widely-spaced trench sites<sup>20-21</sup> or inferred rates of strain accumulation<sup>6</sup>. Assuming plausible recurrence intervals of 2000-4000 yr (refs. 6,20) yields a long-term, spatially-averaged erosion rate due to landsliding alone of 0.1-0.6 mm yr<sup>-1</sup>, similar to the pre-earthquake total erosion rates of 0.2-0.6 mm yr<sup>-1</sup> in the eastern Longmen Shan estimated from cosmogenic nuclide analyses over similar millenial time scales<sup>22</sup>.

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90 These landslide volume estimates can be compared with the volume of material added to the orogen in the earthquake via coseismic rock uplift. de Michele et al.<sup>8</sup> inverted ascending and descending mode Synthetic 91 92 Aperture Radar (SAR) data (see Methods) to obtain the three-dimensional surface displacement vectors at 93  $\sim$ 350 m intervals across the region. We sum the vertical component of these data (Fig. 1) over the area of our landslide mapping to obtain a net positive volume gain  $V_t = 2.6 \pm 1.2$  km<sup>3</sup>. This is more than one standard 94 95 error less than all estimates of landslide volume (Table 1), and implies that the earthquake added much less 96 volume to the Longmen Shan than was potentially released by landsliding (Fig. 2). There are, however, two 97 important caveats to this direct comparison. First, the SAR data were obtained between November 2006 98 and August 2008 and thus record surface change due to coseismic and postseismic landslides as well as coseismic and postseismic deformation. Landsliding affects only about 4% of the 13,800 km<sup>2</sup> mapped area, 99 100 however, minimizing the effect of landsliding on  $V_{\rm f}$ . Also, disruption of the ground surface by landsliding 101 causes local incoherence in the SAR analysis, and incoherent pixels are not used in the calculation of surface displacements<sup>8</sup>. The displacement magnitudes and directions determined from the inversion closely 102 match field observations<sup>8,18</sup>, suggesting that at the orogen scale the displacement estimates are not 103 104 strongly biased by landslide-induced surface change. Second and more significantly, estimated landslide 105 volume does not necessarily equate to eroded volume; conversion to an orogen-scale erosion rate requires that the landslide debris be efficiently flushed from the orogen<sup>13</sup>. While there was some sediment storage 106 107 along major Longmen Shan river valleys before the earthquake, the overall preponderance of bare-bedrock hillslopes and general lack of thick (>100 m) sediment stores<sup>22,23</sup> suggest that coseismic landslide debris is 108

likely to be efficiently removed over the entire earthquake cycle, but the lack of pre- and post-earthquake
sediment discharge data prevents us from quantifying the rate of removal<sup>13,24</sup>.

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112 Thus, if hillslope and fluvial processes can remove the Wenchuan landslide debris before the next large 113 landslide-triggering earthquake, then the earthquake will likely have caused a significant net volume loss 114 from the orogen. How does this imbalance affect the growth of topography in the Longmen Shan? We 115 stress that our results are an instantaneous measure of the competition between erosional and tectonic 116 processes and bear only indirectly on the long-term volumetric balance that defines an orogen<sup>11</sup>. It is possible that the range is in topographic decay, as suggested by Godard et al.<sup>25</sup>, with rates of erosion 117 118 outpacing those of rock uplift, although this model remains to be tested through more focused 119 thermochronological investigation. A second possibility is that some of the long-term rock uplift is accumulated through interseismic deformation<sup>26</sup> or afterslip<sup>27-28</sup>, although the latter mechanism in 120 121 particular has tended to yield a small fraction of the coseismic displacement. Alternatively, an important 122 fraction of long-term rock uplift may occur in more frequent smaller, or deeper, earthquakes that generate lower PGA values<sup>29</sup> and trigger a much lower volume of landslides<sup>2-3</sup>. In that scenario, large or shallow 123 124 earthquakes would serve primarily to reduce the tectonic topography constructed by smaller or deeper earthquakes and maintain hillslopes at threshold gradients. In support of this idea, Ouimet<sup>30</sup> noted that 125 short-term (10<sup>3</sup> yr) erosion rates in the Longmen Shan are 0.2-0.3 mm yr<sup>-1</sup>, lower than rates over Myr time 126 scales (0.5-0.7 mm yr<sup>-1</sup>; ref. 25), and suggested that large earthquakes allow erosion rates to catch up with 127 128 longer-term rock uplift rates. Climatic conditions will also likely play a role in determining the precise 129 pattern and volume of landslides in response to a given earthquake; given the order-of-magnitude 130 agreement between our estimated rates of landslide erosion and both long- and short-term regional 131 erosion rates, however, temporal variations in climate are unlikely to exert significant changes on the 132 volume balance. A further possibility is that the balance between rock uplift and landslide erosion in the 133 Wenchuan earthquake was anomalous and cannot be extrapolated over multiple earthquake cycles. It 134 seems likely that earthquakes with a larger component of shortening will lead to a net addition of rock 135 volume, whereas dominantly strike-slip events will cause a net loss due to widespread landsliding but

limited rock uplift. Dextral and thrust slip in the Wenchuan earthquake were highly partitioned between different fault strands<sup>18</sup>, and the ratio of rock uplift to lateral slip on those strands may vary between earthquakes<sup>17</sup>. Large differences in that ratio in successive earthquakes would thus be expected to yield major temporal variations in the net volume balance, even if the pattern and total volume of landsliding remained the same. In any case, the apparent and provocative mismatch between tectonic and erosional volumes involved in the Wenchuan earthquake points to a need for much greater understanding of the role of large earthquakes in setting regional erosion rates and long-term patterns of orogen evolution.

143

### 144 Methods

145 Landslide mapping. We developed a semi-automated detection algorithm using EO-1 and SPOT 5 imagery 146 for objective mapping of individual landslides (see Supplementary Information). Landslide areas were 147 extracted from EO-1 imagery using an intensity threshold and a 20° gradient mask to remove false positives in valley floors; independent work<sup>5</sup> shows that areas with a gradient of  $<20^{\circ}$  have very low landslide 148 149 densities. Unsupervised classification with a 20° gradient mask was used to delineate landslide areas in 150 SPOT 5 imagery. A series of feature-oriented filters were applied to remove false positives produced by 151 roads and fields, and the map was visually inspected and corrected. This resulted in a landslide map with a total area of 13,800 km<sup>2</sup> (Fig. 1) and that covers 150 km of the 225 km surface rupture<sup>6,18</sup>, so that the total 152 landslide area and volume calculated here are minimum values. Comparisons with field evidence<sup>18</sup>, fault 153 models<sup>6</sup>, and SAR analysis<sup>8</sup>, and with independent landslide maps compiled by hand from imagery and 154 aerial photographs<sup>5</sup>, however, suggest that the mapped area covers the majority of co-seismic slip and 155 156 represents a significant sample of the main impact zone of the earthquake.

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**Coseismic volume estimation**. By combining C and L band space-borne Synthetic Aperture Radar (SAR) amplitude data, de Michele et al.<sup>8</sup> derived the three-component coseismic surface displacement field due to the Wenchuan earthquake. Here we used the up or vertical component to calculate the net coseismic volume change in the Longmen Shan, ignoring elevation change in the low-relief Sichuan Basin (Fig. 1).

162 Within the area of the Longmen Shan covered by the landslide mapping (Fig. 1), we calculated the net 163 volume change as

165 
$$V_t = A \sum_{x=1}^{n} (U_x)$$
 (3)

166

167 where A is the cell area,  $U_x$  is the vertical displacement for each cell, and n is the number of cells, yielding  $V_t$ = 2.6 x 10<sup>9</sup> m<sup>3</sup>. The standard deviation of the difference between the displacements and ground truth data 168 169 is not a good statistical indicator of the uncertainty in  $V_t$ , because random (uncorrelated) errors are likely to 170 lead to a negligible net contribution to the total volume over the mapped area. Instead, we estimated the 171 uncertainty in  $V_t$  by evaluating the magnitude of statistical variation in  $U_x$  within a non-deforming area far 172 from the earthquake rupture. We chose a 36 km x 36 km area in the Sichuan basin, 45 km away from the 173 fault rupture, containing a high level of noise (mean of 0 m and standard deviation of 1.5 m). We extracted 174 30 profiles, each 36 km long, within the selected area, and used the least squares method to fit each profile 175 by linear regression. Because the y-intercept value influences the volume estimation beneath each 36 km x 176 1 pixel area, we examined the y-intercept parameter for each of the profiles and calculated the Root Mean 177 Square Error (RMSE) between the 30 y-intercept parameters and the ground truth data. This yields an 178 RMSE of 0.10 m; when applied over the entire mapped area, this is equivalent to an estimated uncertainty of 1.2 x  $10^9$  m<sup>3</sup> on V<sub>t</sub>. 179

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- 255

## 256 Author Contributions

- RNP and SW did the landslide mapping and analysis. ALD, SW, LY, HR, and DNP collected field data on the
  rupture and landslide characteristics. MdM derived the tectonic mass flux. ALD conceived the idea and
  wrote the paper with input from RNP, NJR, DNP, and MdM.
- 260

## 261 Additional Information

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264 to ALD.

- 266 Figure Captions
- 267 1. Coseismic uplift and landslides triggered by the Wenchuan earthquake. Black polygons show individual
   268 landslides. Heavy black lines show surface rupture trace<sup>18</sup>, while star indicates epicenter. Grey boxes show

extent of imagery used in landslide mapping. Background is coseismic rock uplift field based on SAR analysis, modified from deMichele et al.<sup>8</sup>. Heavy grey line shows rupture-parallel section line onto which results are projected. B, Beichuan; Y, Yingxiu.

272

2. Along-strike variations in landslide occurrence and coseismic displacement. All data are projected onto
rupture-parallel line A-A' (Fig. 1) at 1 km intervals. a, total area of landslides within each 1-km wide strip. b,
landslide volume derived from global bedrock landslide scaling relationship<sup>4</sup> applied to individual landslides
within each 1-km wide strip; other relationships show similar patterns. c, net coseismic volume change<sup>8</sup> in
each 1-km wide strip. d, net volume change determined by subtracting landslide volumes from coseismic
volume change. e, along-strike distribution of sample area covered by satellite imagery. Local minima in
landslide area and volume are not correlated with small sample areas.

# 280 Table 1. Landslide scaling relationships and volume estimates

Relationship <sup>*</sup>	α	γ	Volume <sup>⁺</sup> (km³)	Mean erosion (m) <sup>‡</sup>	Erosion rate (mm y <sup>-1</sup> ) <sup>§</sup>	Ref.
L1 (all landslides)	0.146	1.332±0.005	5.73 +0.41/-0.38	0.42	0.1-0.2	4
L2 (all bedrock landslides)	0.186	1.35±0.01	9.21 +1.37/-1.19	0.68	0.2-0.4	4
L3 (mixed Himalayan landslides)	0.257	1.36±0.01	14.6 +2.2/-1.9	1.08	0.3-0.6	4
G (all landslides)	0.074	1.450±0.009	15.0 +2.0/-1.7	1.1	0.3-0.6	7
Field measurements	0.106	1.388±0.087	9.08 +22.2/-6.35	0.66	0.2-0.3	This study

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- 282 Notes:
- <sup>\*</sup>L1: global relationship for all landslides from Larsen et al.<sup>4</sup>; L2: global relationship for all bedrock landslides

from Larsen et al.<sup>4</sup>; L3: relationship for mixed bedrock and soil landslides in the Himalaya from Larsen et

- 285 al.<sup>4</sup>; G: global relationship for all landslides from Guzzetti et al.<sup>7</sup>
- 286 <sup>t</sup> uncertainties are expressed by applying equation (2) with  $\pm 1$  std error on  $\gamma$ .
- <sup>\*</sup> Mean erosion represents the average lowering of the ground surface due to landsliding and is calculated
- 288 by dividing the estimated volume by the total study area  $(A_{map})$ .
- 289 § Spatially-averaged landslide erosion rate is determined by dividing mean erosion range by the
- approximate earthquake recurrence interval of 2000-4000 yr (refs. 6, 20).



