Title:Topical Treatments for Chronic Plaque Psoriasis of the Scalp: a Systematic ReviewRunning head:Topical Treatments for Chronic Plaque Psoriasis of the Scalp

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Disclaimer: The results of a Cochrane review can be interpreted differently, depending on people's perspectives and circumstances. The conclusions presented are the opinions of review authors and are not necessarily shared by The Cochrane Collaboration

# Summary

# What is already known about this topic?

- Psoriasis of the scalp affects up to 79% of people with chronic plaque psoriasis.
- Despite the range of treatment options, psoriasis of the scalp is a difficult condition to manage.

# What does this study add?

 Very potent corticosteroids are more effective than potent steroids and vitamin D analogues either as monotherapy or combination therapy. However, the atrophic potential of corticosteroid treatments for scalp psoriasis remains uncertain.

#### Abstract

### Background

Chronic plaque psoriasis is the most common type of psoriasis and is characterised by redness, thickness and scaling. First line management is with topical treatments.

## Objective

Our objective was to establish the effectiveness, tolerability and safety of topical treatments for people with chronic plaque psoriasis of the scalp, assessing placebo-controlled trials of all treatments and head-to-head trials that assessed vitamin D analogues.

#### Methods

As part of a Cochrane review of topical treatments for psoriasis we systematically searched electronic databases for randomized controlled trials. The review included 26 randomised controlled trials of treatments for psoriasis of the scalp with 8,020 participants. Trials used several measures to assess changes in psoriasis severity: these were combined using the standardised mean difference metric and interpreted by reporting as a 6-point global improvement score.

### Results

On effectiveness grounds, very potent or potent steroid treatments should be preferred to vitamin D3 analogue with approximately an average 10% additional improvement on a 6-point scale. Vitamin D3 analogue combined with potent steroid appears more effective than monotherapy with either vitamin D3 or steroids. Rates of withdrawal from treatment and adverse events in trials were generally low and similar to those for placebo. There remains uncertainty about the atrophic potential of corticosteroid treatments for scalp psoriasis.

### Conclusions

Corticosteroids are more effective as vitamin D analogues and similarly tolerated. However, further research is needed to inform long-term maintenance treatment and provide appropriate safety data.

Key words: Psoriasis; Review; Drug Administration, Topical; Treatment Outcome; Drug Safety

### Background

Psoriasis is a chronic inflammatory skin disease with a prevalence ranging from 1-2% in the UK and northern European populations<sup>12</sup> down to 0.1 to 0.3% in the Far East<sup>3</sup> and China.<sup>4</sup> Chronic plaque psoriasis may be localised or widespread and accounts for 90% of psoriasis cases;<sup>5</sup> it is characterised by red patches of thickened skin (plaques) covered in silver scales. Any area of the body may be affected, but the main areas are the knees, elbows, lower back and scalp. There is a wide spectrum of disease severity from a single plaque to involvement of more than 90% of the skin surface. Psoriasis can lead to social isolation,<sup>6</sup> stigmatisation<sup>7</sup> and can adversely affect quality of daily life.<sup>8-15</sup> Psoriasis of the scalp affects up to 79% of people with chronic plaque psoriasis; the scalp is typically the first area of the body to be affected, and the frequency of involvement increases with the duration of the disease.<sup>16</sup>

Disease progression is complex and appears to be influenced by many factors including local trauma, infections, certain drugs (such as beta-blockers, lithium, chloroquine and non-steroidal antiinflammatory drugs (NSAIDs)), the duration of antipsoriatic treatments, endocrine factors, sunlight, alcohol, smoking and stress.<sup>17</sup> The skin lesions of psoriasis are characterised by cells multiplying too quickly (epidermal hyperproliferation), cells not maturing normally (abnormal keratinocyte differentiation) and the presence of cells which cause inflammation (a lymphocyte inflammatory infiltrate).<sup>18-20</sup> Psoriasis is now recognised as an immune-mediated disorder, with tumour necrosis factor alpha (TNF $\alpha$ ), dendritic cells and T-cells all contributing to its pathogenesis.<sup>21</sup> A meta-analysis<sup>22</sup> of three genome-wide association studies (GWAS) identified 15 new chromosomal susceptibility loci for psoriasis, bringing the total number of loci known to be associated with psoriasis to 36. Several of these loci are involved in the regulation of the skin's innate immune response. They provide confirmation of the role of several existing biologic therapies and provide new targets for drug development.

Topical treatments are the main therapy for psoriasis of the scalp, whatever the level of disease severity.<sup>16</sup> They include vitamin D analogues, used alone or in combination with potent corticosteroids; potent and very potent corticosteroids; coal tar; and other treatments.<sup>23 24</sup> Scalp psoriasis is one of the most challenging forms of the disease to manage, because the presence of hair makes the use of ointments and cream-based products "difficult and messy".<sup>25</sup> Lotions, shampoos, gels and sprays are all used as vehicles for active treatment.

This paper is based on a Cochrane review<sup>26 27</sup> most recently substantially amended in The Cochrane Library 2013, Issue (*3*) (see <u>http://www.thecochranelibrary.com</u>).<sup>28</sup> Cochrane reviews are regularly updated as new evidence emerges and in response to feedback; the Cochrane Library should be consulted for the full and most recent version of the review.

#### Objective

To compare the effectiveness, tolerability and safety of topical treatments for people with chronic plaque psoriasis of the scalp relative to placebo, and to compare vitamin D analogues head-to-head with other topical treatments.

#### Methods

This research presents a subset of a larger Cochrane review on topical treatments for chronic plaque psoriasis (*Mason 2013, in press*).<sup>28</sup> The full review includes 177 studies, reported in 242 articles. The methods reported here are those used for the full review, including trials of body and scalp psoriasis.

#### Inclusion criteria

We included only randomised controlled trials in the review. Trials could be either placebocontrolled or have an active comparison with a vitamin D preparation. We selected vitamin D analogues for this comparison because they are first-line treatments in many developed countries.<sup>29</sup> Trials of systemic or ultra-violet (phototherapy) treatments with adjunctive topical treatment were not eligible for inclusion. We excluded the study if no useful effectiveness, withdrawal or adverse

events data were available, either from the published paper or from sponsors or trialists, we excluded the study.

#### Data extraction

One author extracted study data and assessed study quality. A second author checked these data.

### **Searches**

Table 1 shows the electronic databases searched in February 2011. Details of the search strategy for Medline are available as an online appendix (Ref). Ongoing studies were identified from the UK Clinical Research Network Study Portfolio and the metaRegister of Current Controlled Trials. We routinely contacted trialists and companies for missing data.

## TABLE 1 HERE

#### <u>Outcomes</u>

We extracted data from trials on four primary outcomes (Table 2). Trials often reported more than one measure, but no trial reported all of the measures. We therefore devised a 'combined endpoint' to facilitate treatment comparisons, and use this to report summary findings from the review. We constructed the combined endpoint by taking primary outcomes data in the order listed in Table 2, according to availability. Thus within-trial differences essentially capture a common construct of change in psoriasis severity due to treatment, regardless of the outcome measure used. Additionally we extracted data on five secondary outcomes: data on withdrawal due to any cause, to adverse events and to treatment failure, as well as adverse events due to local and systemic effects.

## TABLE 2 HERE

#### Statistical Methods

We analysed and pooled findings using a standardised mean difference (SMD) statistic within a random effects model. We report heterogeneity Q ( $\chi^2$ ) statistic and I<sup>2</sup> statistics, noting heterogeneity according to the Cochrane Handbook's "rough guide to interpretation": 50% to 90%: may represent substantial heterogeneity and 75% to 100% considerable heterogeneity.<sup>30</sup> Where studies did not report estimates of variance, we derived them from confidence intervals or from P values where possible, or imputed them deterministically by pooling the standard deviations of treatment cohorts fully reported in trials and adjusted for scale. SMD combined endpoints were transformed back to natural units on a 6-point improvement (Investigators Global Assessment of improvement; IAGI) scale (ranging from 'worse' to 'clearance') using pooled IAGI standard deviations. As definitions of withdrawal and adverse events vary between trials, data were summarised using a random effects risk difference metric.

#### Interpretation

Interpreting the weight of evidence in support of a treatment is ultimately arbitrary, nonetheless there are two accepted principles (all things being equal): first, consistent findings from multiple independent trials provide stronger evidence than one trial alone; and second, trials with larger numbers of patients are preferable to smaller ones because of the lower risk of inferential error. These two principles are used to interpret available evidence for the clinical management of scalp psoriasis. The statistical significance of findings was determined at the 5% level.

### Results

The review included 26 trials of scalp psoriasis:<sup>31-56</sup> 14 trials were placebo controlled only; 11 compared vitamin D with other active treatment; and, one additional trial<sup>41</sup> reported both placebo-controlled and active comparisons. Table 3 summarises the characteristics of the scalp trials reviewed.

#### TABLE 3 HERE

Of the 20 trials that reported baseline disease severity, the majority (13/20, 65%) investigated moderate to severe psoriasis of the scalp (Figure 1).

#### **FIGURE 1 HERE**

Treatment duration averaged 7 weeks, but ranged from 2 weeks to 52 weeks. With the exception of one within-patient (right-left) study that was published in 1978,<sup>47</sup> trials were between-patient (parallel group) in design.

### **FIGURE 2 HERE**

Figure 2 summarises study quality. Most trials (73%) were double-blind. Single-blind (investigator only; 2 trials) and 'open' (no blinding; 3 trials) studies were typically those in which it was impossible to conceal the identity of products being investigated from participants, for example, trials of coal tar products, or trials of products comparing different vehicle formulations such as shampoo and solution. The majority of studies did not report their methodology in sufficient detail. For instance, just 8 trials (31%) clearly reported the randomisation method, and concealment of treatment allocation was unclear in 25 trials (96%). In one trial, concealment of treatment allocation was 'adequate' (i.e. the investigator could not foresee treatment assignment). On average, 11% of patients were lost to follow up during trials (range 0% to 21%). However, most trials (73%) demonstrated that groups were comparable in both clinical and demographic characteristics.

#### Placebo-controlled trials

We found placebo-controlled evidence of effectiveness for 11 treatments for scalp psoriasis (Figure 3). Thirteen between-patient trials and one within-patient trial<sup>47</sup> contributed data from 3011 participants. Patient participation in the trials ranged between two and eight weeks.

FIGURE 3 HERE

The largest treatment effect was observed for the very potent corticosteroid clobetasol propionate, reported in 4 trials (SMD -1.57; 95% Cl -1.81 to -1.34; l<sup>2</sup> = 43.3%; N=788), which improved participants' psoriasis by 1.9 points on a 6-point global improvement scale. The potent steroid betamethasone dipropionate, reported in 2 trials (SMD -1.09; 95% Cl -1.29 to -0.90; l<sup>2</sup> = 0%; N=712), improved psoriasis by 1.3 points on a 6-point global improvement scale. Other very potent steroids (amcinonide, halcinonide and fluocinolone acetonide [with occlusion]) and potent steroids (betamethasone valerate, betamethasone-17, 21-dipropionate [plus salicylic acid] were reported only in single trials with smaller patient numbers (and thus less precision) but with similar benefits.

The vitamin-D3 analogue calcipotriol demonstrated a modest benefit against placebo although findings were heterogeneous (2 trials;  $I^2$ : 69.2%; N=457) with the only trial of significant size suggesting a 0.6 point improvement on a 6-point global improvement scale. Calcipotriol combined with betamethasone dipropionate suggested significant benefit against placebo but substantial heterogeneity (2 trials;  $I^2 = 90\%$ ; N=854), with individual trials reporting 0.7 and 1.5 point gains on a 6-point scale.

Two further treatments, each assessed by one small trial, were no more effective than placebo (ciclopirox olamine shampoo, and salicylic acid).

Placebo-controlled trials do not provide adequately precise findings to rank all available treatment options using indirect (placebo-controlled) comparisons. However available evidence suggests a ranking of monotherapies for effectiveness of clobetasol propionate (very potent corticosteroid), betamethasone dipropionate (potent steroid) and then calcipotriol (vitamin-D3 analogue).

#### Head-to-head trials

Direct (head-to-head) comparisons were made of vitamin D analogues (alone or in combination) with other treatments (Figure 4). Twelve studies contributed data from 5413 patients. Trial durations ranged from 4 to 8 weeks in eleven studies, but the duration was 52 weeks in one trial.<sup>48</sup>

#### **FIGURE 4 HERE**

Trials comparing vitamin D analogue monotherapy with very potent or potent steroid monotherapy consistently favoured corticosteroid treatment. One small trial comparing calcipotriol and clobetasol propionate supported the indirect comparison of these two treatments (SMD 0.37; 95% CI 0.05 to 0.69; N=151) with a 0.5 point gain on a 6-point global improvement scale favouring clobetasol propionate. Two trials comparing calcipotriol with betamethasone dipropionate (SMD 0.48; 95% CI 0.32 to 0.64;  $I^2 = 60\%$ , N=1676) and with betamethasone valerate (SMD 0.37; 95% CI 0.20 to 0.55;  $I^2 = 0\%$ , N=510) favoured corticosteroid treatment with 0.6 and 0.5 point gains respectively on a 6-point global improvement scale. We also pooled the trials comparing vitamin D analogues with potent or very potent steroids (SMD 0.45; 95% CI 0.36 to 0.53;  $I^2 = 1\%$ , N=2337). Thus corticosteroid approximately a 10% improvement in average scores compared with calcipotriol on a 6-point global improvement scale.

Combination therapy involving vitamin D analogue and corticosteroid offered a small advantage over corticosteroid alone (SMD -0.18; 95% CI -0.26 to -0.10;  $I^2 = 0\%$ , N=2444) of 0.2 points on a 6point global improvement scale. Combination therapy involving vitamin D analogue and corticosteroid offered an improvement over calcipotriol alone, although findings were heterogeneous (4 trials;  $I^2 = 82\%$ , N=2581), with gains of 0.5 to 1.2 points on a 6-point global improvement scale. Findings were heterogeneous for trials comparing calcipotriol with coal tar polytherapy (3 trials;  $I^2 = 90\%$ , N=835), ranging from no benefit to 1.2 points on a 6-point global improvement scale.

### Withdrawals

For most treatments, rates of total withdrawal, withdrawal due to adverse events, withdrawal due to treatment failure were similar to those for placebo ('placebo-like') and not statistically significantly different; however, evidence for individual treatments was typically informed by individual small trials. When pooled findings from multiple trials were assessed, placebo-like withdrawal was only supported for calcipotriol (3 trials; l<sup>2</sup>=0%; N=517). Overall, evidence on clobetasol propionate suggested the withdrawal rate was similar to placebo but findings were heterogeneous (5 trials; l<sup>2</sup>=89%; N=1006). There was evidence from two trials that withdrawal rates for combined calcipotriol and betamethasone valerate was lower than placebo (RD -9%; 95%CI -16% to -3%; 2 trials; l<sup>2</sup>=0%; N=854).

Withdrawal rates for head-to-head comparisons of treatments consistently suggested slightly lower withdrawal from corticosteroids than from calcipotriol although this finding was not significant at the level of individual treatments. There was some evidence to suggest greater withdrawal with calcipotriol than combined calcipotriol and betamethasone valerate, although findings were heterogeneous (5 trials; I<sup>2</sup>=79%; N=2847), with higher withdrawal for calcipotriol varying from 5% to 18%.

### **Adverse events**

Rates of local adverse events were very low and placebo-like, although only consistently informed by multiple trials and substantial numbers of patients for calcipotriol and clobetasol propionate. Evidence from head-to-head trials supports the central finding of no important differences between treatments. Calcipotriol monotherapy, when compared with combined calcipotriol and

betamethasone, was associated with higher adverse event rates although some of these findings were heterogeneous.

Seven of the 19 trials that included a corticosteroid (alone or in combination) reported that atrophy had been assessed. <sup>33 40 46 50-52 57</sup> Four trials reported no atrophy: treatments tested in these trials included clobetasol propionate shampoo,<sup>40</sup> potent corticosteroids,<sup>50</sup> and combination treatment with calcipotriol and betamethasone dipropionate.<sup>46 48</sup> The remaining three trials reported instances of atrophy,<sup>33 51 52</sup> all investigating clobetasol propionate. The method used to assess atrophy was described in only two trials and was unclear in all other trials. In Luger 2008,<sup>48</sup> a 52 week trial that compared combination therapy with vitamin D monotherapy, an independent adjudication committee decided whether the cases observed by the investigator were likely to be attributable to treatment. Poulin 2010 relied on self-report by study participants.<sup>51</sup>

#### Discussion

This review identified 26 trials of scalp psoriasis with 8,020 participants, with most trials investigating moderate to severe disease. Despite a range of topical therapeutic options there is substantial evidence for only a few treatment options: clobetasol propionate, betamethasone dipropionate, betamethasone valerate, calcipotriol and calcipotriol combined with potent steroid. Other corticosteroids suggest similar performance although findings are imprecise or based on findings of single trials. We did not find evidence to support the first-line use of a tar-based shampoo (with or without a keratolytic, such as salicylic acid, for significant scaling), a current formulary recommendation.<sup>58</sup>

All treatments were more effective than placebo, meaning that all may have clinical value in the management of individual patients. Corticosteroids out-performed the vitamin D3 analogue calcipotriol: although indirect evidence from placebo-controlled trials favoured very potent above

potent steroids this was not apparent in head-to-head evidence with calcipotriol. However, we did not review very potent compared with potent steroid treatment directly. Combined treatment with vitamin D3 analogue and corticosteroid offered a small advantage over steroid alone and might be a treatment option in treatment resistant scalp psoriasis.

In overview, withdrawal and adverse event rates were very low in absolute terms, placebo-like and similar when comparing between treatments. There is some evidence that combined calcipotriol and betamethasone dipropionate is better tolerated than calcipotriol alone. Evidence presented in trials is insufficient to make any statement about corticosteroid-induced skin atrophy, and it is possible that cases of atrophy have gone unreported.

A limitation of the analysis is that with relatively few trials informing comparisons it was not possible to explore heterogeneous findings meaningfully. Heterogeneity may arise due to methodological or clinical diversity between trials. Trials were not often adequately reported so methodological variation cannot be excluded. Variations in age, gender, severity and ethnicity are examples of potential clinical diversity that may promote heterogeneous findings. For example Tyring 2010<sup>54</sup> and Jemec 2008<sup>41</sup> were both 8-week, double blind parallel group trials comparing combination therapy with its vehicle (placebo gel). Both trials found combination treatment to be significantly more effective than placebo (Figure 1), but the effect sizes were qualitatively different: -0.62 [-0.98, -0.27]) and -1.28 [-1.48, -1.08]. In both trials, around 72% of patients on active treatment responded (very mild disease or clearance) but placebo response differed (41% vs. 23%). Tyring 2010 recruited Hispanic, Latino, Black and African American patients, 63% male; 6.5% with mild disease, and 37.3% (vs. 19.8%) with severe or very severe disease. Thus there are multiple candidates for heterogeneous findings.

## Conclusions

There is evidence for the effectiveness and tolerability of a range of topical therapeutic options for scalp psoriasis, although this evidence is adequate for a limited number of treatments. On effectiveness grounds, very potent (clobetasol propionate) or potent steroid treatments should be preferred to vitamin D3 analogue (calcipotriol). Calcipotriol combined with potent steroid may be a therapeutic option in cases that do not respond to monotherapy. The atrophic potential of corticosteroid treatments for scalp psoriasis remains unclear, and future studies should report cases of atrophy consistently and using robust assessment methods.

### References

#### Table 1: Electronic databases searched

Database	Date searched
EMBASE	to 2011/02
MEDLINE	to 2011/02
Science Citation Index (SCI)	to 2011
Conference Proceedings Citation Index (CPCI-S)	to 2011
Biosis	to 2011
Dissertation Abstracts	all publication years
Inside Conferences	all publication years
CENTRAL Cochrane Library web interface	to 2011/02
Cochrane Skin Group's Trials Register	to 2011/02

#### Table 2: Outcome measures assessed in the review

Rank <sup>a</sup>	Primary Outcome measures	Construct
1	Investigator Assessment of Global	Improvement from baseline, usually scaled
	Improvement; <sup>b</sup>	from worse to cleared; higher scores indicate
	or the equivalent static score, Investigator	greater improvement.
	Global Assessment of Disease Severity	Disease severity usually scaled from 'absence
		of disease' to 'very severe disease'; higher
		scores indicate more severe disease.
2	Total Severity Score	Redness (erythema), thickness (infiltration) and
		scaling (sometimes also itching (pruritis)) of
		target plaque(s).Scored separately then
		summed.
3	Psoriasis Area Severity Index (PASI) $^{\circ}$	Redness, thickness, and scaliness of the lesions
		(each graded on a 0 to 4 scale), weighted by
		the area of involvement (0 to 6) and summed.
		Scored from 0 to 72.

4	* Patient (or Subject) Assessment of Global	See Investigator Assessment of Global		
	Improvement; <sup>b</sup> or the equivalent static	Improvement		
	score, Patient (or Subject) Global			
	Assessment of Disease Severity			
<sup>a</sup> Order for inclusion in 'combined endpoint'				
<sup>b</sup> Global improvement data are entered as a negative values, thus a reduction denotes a positive improvement				
for the active treatment consistent with other measures.				
<sup>c</sup> No study used the PASI score for scalp psoriasis				

# Table 3: Descriptive statistics of the study characteristics

Number of studies Number of participants	26 8,020			
Trial sample size [mean, range]	308 [22, 1505]			
Participant age [mean]	47.7 <sup>a</sup>			
Participant gender [% male: mean]	46.6% <sup>b</sup>			
Publication Year [range]	1978, 2010			
Treatment duration [weeks] [mean, range]	7.5 [1.5, 52]			
Follow-up duration [weeks] [mean, range]	10.8 [2, 52] <sup>د</sup>			
Study design				
Both placebo and active comparisons	1			
Head-to-head comparison only	11			
Placebo comparison only	14			
Between patient	25			
Within patient	1			
Method of randomisation				
Block randomisation	1			
Computerised	7			
Not reported	18			
Concealment of treatment allocation				
Adequate	1			
Unclear	25			
Blinding				
Double blind	19			
Single blind	2			
Open	3			
Not reported	2			
Baseline comparability demonstrated				
Yes	19			
Partial	2			
Note: summary statistics are based on data from 26 studies, with t	5			

Note: summary statistics are based on data from 26 studies, with the following exceptions: age <sup>a</sup> [N=19], gender <sup>b</sup> [N=21] and duration of follow up <sup>c</sup> [N=25].

Figure 1: Variation in participants' disease severity across the 26 trials of scalp psoriasis

Figure 2: Study quality, shown using the 'Risk of bias graph'

Note: review authors' judgements about each risk of bias item are presented as percentages across all included studies

Figure 3: Placebo-controlled trials of scalp psoriasis: treatment effectiveness

Figure 4: Active-controlled trials of scalp psoriasis: treatment effectiveness

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