

1 Persistent warmth across the Benguela upwelling system  
2 during the Pliocene epoch

3

4 **Antoni Rosell-Melé<sup>1,2\*</sup>, Alfredo Martínez-García<sup>3</sup> and Erin L. McClymont<sup>4†</sup>**

5 <sup>1</sup>*Institut de Ciència i Tecnologia Ambientals (ICTA) and Department of Geography, Universitat  
6 Autònoma de Barcelona, Bellaterra, Catalonia, Spain*

7 <sup>2</sup>*Institució Catalana de Recerca i Estudis Avançats (ICREA), Barcelona, Catalonia, Spain*

8 <sup>3</sup>*Geological Institute, ETH Zürich, Zürich, Switzerland*

9 <sup>4</sup>*School of Geography Politics and Sociology, Newcastle University, Newcastle upon Tyne, UK*

10 <sup>†</sup>*Present address: Department of Geography, Durham University, Durham, UK*

11 *\*Corresponding author. Tel.: +34 93 581 3583; Fax: +34 93 581 3331;*

12 *antoni.rosell@uab.cat*

13

14 **ABSTRACT**

15 A feature of Pliocene climate is the occurrence of “permanent El Niño-like” or “El Padre”  
16 conditions in the Pacific Ocean. From the analysis of sediment cores in the modern  
17 northern Benguela upwelling, we show that the mean oceanographic state off Southwest  
18 Africa during the warm Pliocene epoch was also analogous to that of a persistent Benguela  
19 “El Niño”. At present these events occur when massive southward flows of warm and  
20 nutrient-poor waters extend along the coasts of Angola and Namibia, with dramatic effects  
21 on regional marine ecosystems and rainfall. We propose that the persistent warmth across

22 the Pliocene in the Benguela upwelling ended synchronously with the narrowing of the  
23 Indonesian seaway, and the early intensification of the Northern Hemisphere Glaciations  
24 around 3.0-3.5 Ma. The emergence of obliquity-related cycles in the Benguela sea surface  
25 temperatures (SST) after 3 Ma highlights the development of strengthened links to high  
26 latitude orbital forcing. The subsequent evolution of the Benguela upwelling system was  
27 characterized by the progressive intensification of the meridional SST gradients, and the  
28 emergence of the 100 ky cycle, until the modern mean conditions were set at the end of the  
29 Mid Pleistocene transition, around 0.6 Ma. These findings support the notion that the  
30 interplay of changes in the depth of the global thermocline, atmospheric circulation and  
31 tectonics preconditioned the climate system for the end of the warm Pliocene epoch and the  
32 subsequent intensification of the ice ages.

33

#### 34 **Highlights**

- 35 1. Persistent Benguela “El Niño” during the warm Pliocene epoch
- 36 2. Ended synchronously with the narrowing of the Indonesian seaway
- 37 3. Intensification of Benguela meridional temperature gradients went in parallel with  
38 Subantarctic dust deposition
- 39 4. Plio-Pleistocene orbital variability analogous to that in Eastern Equatorial Pacific

40

41

42

43 **Abbreviations:**

44 Angola-Benguela Frontal Zone (ABFZ); sea surface temperatures (SST); Ocean Drilling  
45 Program (ODP); Alkenone mass accumulation rates (MAR); Mid Pleistocene Transition  
46 (MPT); Indonesian Throughflow (ITF)

47

48 **Keywords**

49 Plio-Pleistocene transition; Benguela upwelling; sea surface temperature; export  
50 productivity; "El Niño"-like; Indonesian seaway; Northern Hemisphere Glaciation; wavelet  
51 analysis; orbital variability

52

53 **1. Introduction**

54           The Benguela upwelling system (BUS) forms part of one of the world's major  
55 eastern boundary current systems. The Angola Current and the northern BUS meet at about  
56 15 °S (with an annual variability of 2-3° latitude) where their boundaries are defined by the  
57 Angola-Benguela Frontal Zone, or ABFZ (Meeuwis and Lutjeharms, 1990) (Fig. 1).  
58 Southward protrusions of warm Angola Current water sporadically extend along the  
59 African coast as far as 25 °S (Shannon et al., 1986). They are marked by a reduction in  
60 upwelling, and associated with anomalous increases in precipitation, disruptions to fish,  
61 bird and mammal migrations and adverse impacts on fish populations (Rouault et al.,  
62 2003). They have been called Benguela “El Niño” events (Shannon et al., 1986) because of  
63 the similarities with sea surface fluctuations along the South American coast associated  
64 with the El Niño/Southern Oscillation, but it is not clear which processes drive the  
65 Benguela events. Some authors argue that the origin of the Benguela “El Niño” is remotely  
66 forced by zonal wind stress anomalies in the equatorial Atlantic, triggering Kelvin waves  
67 that propagate along the equator and subsequently along the southwest African coast where  
68 they induce downwelling anomalies (Florenchie et al., 2003; Shannon et al., 1986). An  
69 alternative proposition is that sea surface temperatures (SSTs) along the southwest African  
70 coast respond to a basin scale weakening of the South Atlantic subtropical anticyclone  
71 which induces a weakening of the southeasterly trades (Lübbecke et al., 2010; Richter et  
72 al., 2010).

73           Determining the timing and magnitude of changes in the BUS across the Plio-  
74 Pleistocene is important because model experiments suggest that the appearance of cold

75 waters in subtropical and tropical upwelling regions may have had a significant effect in  
76 ending the Pliocene global warmth (Barreiro et al., 2006; Philander and Fedorov, 2003).  
77 The transition between the Pliocene and Pleistocene epochs was marked by the  
78 development of extensive bipolar glaciations (Ravelo et al., 2004). The subsequent  
79 strengthening of the meridional and zonal temperature gradients in association with  
80 increasing ice volume resulted in an equatorward expansion of the polar oceans, and an  
81 intensification and equatorward contraction of the atmospheric convective cells (Brierley et  
82 al., 2009; Etourneau et al., 2010; Fedorov et al., 2013; Martinez-Garcia et al., 2010). These  
83 changes in atmospheric circulation should have impacted the variability of the major  
84 eastern boundary currents of the sub-tropical gyres driven by the easterly trade winds and,  
85 particularly, in the wind-driven upwelling system of Benguela where the distinct coastal  
86 regime is greatly influenced by the South Atlantic subtropical anticyclone.

87         Variability in upwelling intensity in response to both glacial-interglacial oscillations  
88 and over longer timescales have been identified by alternating horizons of organic carbon-  
89 and carbonate-rich sediments in the BUS since the initiation of the upwelling during the  
90 mid-Miocene (Bruchert et al., 2000; Diester-Haass et al., 1986; Diester-Haass et al., 2002;  
91 Etourneau et al., 2009; Giraudeau et al., 2000; Giraudeau et al., 2002; Marlow et al., 2000;  
92 Meyers, 2001; Siesser, 1980; Summerhayes et al., 1995). The Pliocene-Pleistocene  
93 transition resulted in upwelling intensification in the central and Northern Benguela region  
94 (e.g. Christensen et al., 2002; Marlow et al., 2000), which has also been observed in other  
95 eastern boundary current systems (Dekens et al., 2007; Herbert and Schuffert, 1998). A  
96 comparison of organic carbon and carbonate accumulation rates off southwestern Africa  
97 also identified the development of the modern division between a northern perennial

108 upwelling region, and a more seasonal upwelling signature to the south by ca. 2 Ma  
109 (Giraudeau et al., 2002). The late Pliocene sediments from the BUS are also marked by the  
110 ‘Matuyama Diatom Maximum’ (MDM), a period of extensive peak opal deposition that  
111 spans from 20°S and 30°S, and that occurred synchronously with biogenic opal maxima in  
112 upwelling systems in other parts of the world such as off California and Mauritania  
113 (Janecek, 2000; Tiedemann, 1991). During the MDM, SSTs in Benguela and other  
114 upwelling systems of the world cooled synchronously (Dekens et al., 2007; Etourneau et  
115 al., 2009; Herbert and Schuffert, 1998; Liu et al., 2008; Marlow et al., 2000). However, the  
116 high opal contents characteristic of the MDM are not mirrored by an increase in the  
117 accumulation of organic carbon (Berger et al., 2002; Etourneau et al., 2012; Lange et al.,  
118 1999; Marlow et al., 2000). The MDM is interpreted to have formed beneath the frontal  
119 boundary between the cold upwelled water and the offshore warmer South Atlantic surface  
120 water during episodes of equatorward excursions of polar waters into the BUS (Berger et  
121 al., 2002; Lange et al., 1999; Marlow et al., 2000). After the MDM, there is a general  
122 decrease in opal and diatom deposition and a change in the composition of the diatom flora,  
123 but the increase in organic matter and upwelling species points to increased importance of  
124 coastal upwelling toward the present (Berger et al., 2002; Etourneau et al., 2009; Marlow et  
125 al., 2000).

126         To investigate further the processes that drove changes in the BUS across the  
127 Pliocene-Pleistocene, we evaluate to which extent some of the transitions that occurred at  
128 orbital and longer timescales were linked to conditions in the Southern Ocean. We have  
129 focused our discussion especially in the Pliocene, an epoch when the South Atlantic  
130 atmospheric convective cells extended further poleward than today, greatly affecting wind

121 intensity and global precipitation patterns (Barreiro et al., 2006; Brierley et al., 2009;  
122 Martinez-Garcia et al., 2011; Martinez-Garcia et al., 2010). We have tested whether  
123 Pliocene mean climatic conditions resulted in a climatic state off south western Africa  
124 analogous to that of a persistent Benguela “El Niño”. We note that we have investigated a  
125 steady-state change (change in the mean state, and the occurrence of Benguela “El Niño-  
126 like” conditions), and not a change in interannual variability as correspond to modern  
127 Benguela “El Niño” events.

128

## 129 **2. Study Sites**

130         The BUS runs parallel to the coastline of southern Africa (Fig. 1). The upwelling is  
131 driven by the combination of the offshore divergence as the Benguela Current and eastern  
132 limb of the South Atlantic gyre flow equatorwards, and the longshore winds generated by  
133 low pressure over the Kalahari desert (Dowsett and Willard, 1996; Hay and Brock, 1992).  
134 The sources of the Benguela Current waters are diverse, including Indian and South  
135 Atlantic subtropical thermocline water; saline, low-oxygen tropical Atlantic water; and  
136 cooler, fresher Subantarctic Mode Water (SAMW) (Garzoli and Gordon, 1996). The Indian  
137 Ocean water can amount to 25% of the total and is injected into the Benguela Current  
138 through the Aghulas retroflection eddy and filament process (Garzoli et al., 1996). At  
139 present, when the Benguela Current increases in strength it brings in more subtropical water  
140 (Garzoli et al., 1996). The upwelling also brings to the surface cold and nutrient-rich waters  
141 from the subduction zones of surface water at the Subtropical-Subantarctic Front  
142 (Lutjeharms and Valentine, 1987). The high nutrient content of the upwelling waters fuels

143 high levels of production in the surface ocean and high rates of organic carbon  
144 sequestration to the sediments below (Mollenhauer et al., 2004; Schneider and Müller,  
145 1995).

146         The upwelling is not a uniform process in the present-day BUS. In the northern  
147 BUS, the upwelling is perennial and may extend well offshore in filaments of cold and  
148 nutrient-rich waters that create year-round high productivity (Lutjeharms and Stockton,  
149 1987). The perennial upwelling and high nutrient contents of the upwelling waters drives  
150 high levels of production, which can be traced in surface sediments by high levels of  
151 organic carbon (up to 9% dry weight, Mollenhauer et al., 2004). This contrasts with the  
152 seasonal upwelling system and lower nutrient contents that characterize the southern BUS,  
153 identified by the lower organic carbon contents found in surface sediments relative to those  
154 in the north (Rogers and Bremner, 1991). SSTs in the southern BUS also reflect the balance  
155 between the relative inputs of Indian Ocean (via the Agulhas retroflexion) and cool  
156 Southern Ocean waters from the Antarctic Circumpolar Current (ACC) system to the south.  
157 The relative importance of these inputs has varied through time, particularly during glacial-  
158 interglacial oscillations, with northward migrations of the ACC during glacials argued to  
159 have restricted or blocked the exchange of Indian Ocean waters with the south-east Atlantic  
160 (e.g. McClymont et al., 2005; McIntyre et al., 1989; Peeters et al., 2004).

161         We have compared the evolution of SST and marine export productivity in three  
162 sites currently south of the ABFZ, drilled during Ocean Drilling Program (ODP) Leg 175  
163 (Fig. 1). Sites 1081 (19°37'S, 11°19'E, 794 m water depth) and 1082 (21°5'S, 11°49'E,  
164 1280 m water depth) were drilled under the zone of modern perennial upwelling and below  
165 the northward flowing Benguela Current (Wefer et al., 1998). Site 1084 (25°31'S, 13°02'E,



166 1992 m water depth) is in close proximity to the highly active and central (Lüderitz)  
167 upwelling cell in the Northern Cape Basin (Wefer et al., 1998) and in the centre of the  
168 BUS. SSTs are quantified using the alkenone unsaturation index  $U_{37}^K$  (Brassell et al.,  
169 1986). Export productivity is inferred using alkenone biomarker accumulation rates which  
170 *sensu stricto* only reflects Haptophyte algae export productivity. Alkenone mass  
171 accumulation rates (MAR) have been shown, however, to relate to export productivity  
172 fluxes (Bolton et al., 2011), as they display a good agreement with total chlorophyll MAR  
173 in the sites studied over timescales >100 ky (Marlow, 2001). Our new data from ODP 1081  
174 and ODP 1084 is combined with previously published results from ODP 1082 (Etourneau  
175 et al., 2009).

176

### 177 **3. Methods**

#### 178 *3.1. Alkenone analysis.*

179 Sediment samples were freeze-dried, homogenised, and solvent extracted by  
180 sonication. Solvent extracts from Site 1084 were fractionated into apolar and polar fractions  
181 with column chromatography using silica gel as adsorbent and elution solvents consisting  
182 of (F1) dichloromethane:hexane (1:1, v:v) and (F2) dichloromethane:methanol (1:1, v:v).  
183 Alkenones (F1) were identified and quantified with a gas chromatograph fitted with a  
184 split/splitless injector (300°C) and a flame ionization detector (330°C), using  
185 hexatriacontane as internal standard. Separation of the target compounds was achieved with  
186 an Agilent J&W HP-1 capillary column (60 m, 0.25 mm internal diameter, 0.25 µm film  
187 thickness) and a capillary precolumn (5 m, 0.25 mm internal diameter, 0.25 µm film

188 thickness), and helium as the carrier gas ( $1.5 \text{ ml min}^{-1}$ ). The oven temperature program was  
189  $45^\circ\text{C}$  to  $245^\circ\text{C}$  at  $20^\circ\text{C}/\text{min}$  and  $245^\circ\text{C}$  to  $305^\circ\text{C}$  at  $10^\circ\text{C}/\text{min}$ . Total solvent extracts from  
190 Site 1081 were derivatized with bis-(trimethylsilyl) trifluoroacetamide (BSTFA, Fluka,  
191  $>98\%$ ) in DCM ( $100\mu\text{l}$  of each at  $70^\circ\text{C}$  for 2 hr in  $\text{N}_2$  purged vials) immediately prior to  
192 analysis. Analysis was made by gas chromatography with automated split/splitless injection  
193 (held at  $280^\circ\text{C}$ ) and flame ionisation detection (GC-FID, Fisons 8000 Series with AS 800  
194 auto-sampler). Separation was performed using an HP1 fused silica capillary column ( $30 \text{ m}$   
195  $\times 0.32 \text{ mm}$  internal diameter). Hydrogen was used as carrier gas ( $0.6 \text{ kg}/\text{cm}^2$ ) and the oven  
196 temperature program was  $45^\circ\text{C}$  to  $245^\circ\text{C}$  at  $20^\circ\text{C}/\text{min}$  and  $245^\circ\text{C}$  to  $305^\circ\text{C}$  at  $10^\circ\text{C}/\text{min}$ .

197

### 198 3.2. Age models.

199 For a better comparison of the evolution of the different SST records of the BUS  
200 through the Pliocene-Pleistocene, in this study the age models of ODP sites 1081 and 1084  
201 are generated by aligning the new SST estimates to the existing paleotemperature estimates  
202 from the nearby site ODP 1082 (Etourneau et al., 2009) using the software Analyseries  
203 (Paillard et al., 1996), which in turn is based on the alignment of a benthic/planktonic  $\delta^{18}\text{O}$   
204 record and alkenone SSTs to the LR04 oxygen isotope stack over the last 3.5 Ma  
205 (Etourneau et al., 2009) (Figs. 2 and 3). In the older part of the records, the  
206 magnetostratigraphy and calcareous nannofossil biostratigraphy ages (Wefer et al., 1998)  
207 are used. In Fig. 3 we show a comparison of the new age model estimates obtained by SST  
208 alignment and the existing magnetostratigraphy and biostratigraphy chronologies (Wefer et

209 al., 1998). The sampling resolution of the records is suborbital from 0 to 1.5 Ma for Site  
210 1081, and from 0 to 2.2 Ma for Site 1084.

211

#### 212 **4. Results**

213 The new data presented here extend the mid-Pleistocene alkenone data sets from  
214 Site 1081 (Durham et al., 2001) back to 5 Ma, significantly increase the resolution of the  
215 previously published Pliocene-Pleistocene data set from Site 1084 (Marlow et al., 2000),  
216 and are presented using revised age models that allow a more detailed comparison of the  
217 variability of the different records through time (data are available from the Pangaea  
218 database at <http://www.pangaea.de/>). The precision of the alkenone paleothermometer is  
219 supported by the remarkable close agreement of the absolute SST reconstructions from our  
220 northernmost Site 1081 and published data from the nearby Site 1082 (Etourneau et al.,  
221 2009) (Figs. 2 and 4), and the replication of the modern SST gradient across northern  
222 Benguela by the core top SST estimates (Figs.1 and 2).

223 A conspicuous feature in all of the SST records is the continuous cooling across the  
224 Pliocene-Pleistocene, from 5-3.5 Ma to the end of the Mid Pleistocene Transition (MPT) ca  
225 0.6 Ma (Fig. 4). Site 1081 from the northernmost upwelling region depicts an overall  
226 cooling range of ca. 9°C whereas a 12.5°C range is observed in Site 1084, even though the  
227 overall trends and patterns of the glacial/interglacial variability are remarkably similar (Fig.  
228 2). Notably, prior to around 3.5 Ma the SST gradient between both sites was at zero, in  
229 contrast to the modern gradient of around 4°C (Figs. 1 and 5), and export productivity was  
230 low in both sites. The onset of the gradual increase in the SST gradient from around 3.5

231 Ma was driven by a larger mean increase in the rate of cooling in Site 1084 relative to 1081  
232 and 1082. Despite falling SSTs and an increasing SST gradient since 3.5 Ma, export  
233 productivity did not increase above background levels until the period ca. 2.4–2.0 Ma. At  
234 Site 1084, export productivity maxima occurred prior to the MPT, from ca. 1.5 Ma, when  
235 there was an increase in the SST gradient between sites 1081 and 1084 (Fig. 4) and an  
236 increase in the rate of cooling in both records (Fig. 4). However, Site 1081 maxima in  
237 export production increase later, in association with the end of the MPT from 0.6 Ma (Fig.  
238 4). The modern situation was thus reached after the MPT ca. 0.6 Ma when export  
239 productivity at both sites was high and the SST gradient was comparable to the modern  
240 (Fig. 4).

241

## 242 **5. Discussion**

### 243 *5.1. Pliocene-Pleistocene climatic transitions*

244 The major transitions over the Pliocene-Pleistocene in the BUS mark an overall  
245 strengthening of upwelling, which has been postulated to be related to a change in  
246 atmospheric circulation via the Southeast Atlantic high-pressure cell, tied to a cooling in the  
247 Southern Ocean, and the equatorward movement of the Southern Ocean fronts (Etourneau  
248 et al., 2009; Giraudeau et al., 2002). In fact, we do observe that the trends in the SST  
249 gradients between the central and northern Benguela sites occurred in very close  
250 correspondence with the long term variability in dust deposition in the Subantarctic Atlantic  
251 (Martinez-Garcia et al., 2011) (Fig. 4D). The parallel change in the gradient and cooling in  
252 SSTs in the BUS with the increase in atmospheric dust transport after 3.5-3.2 Ma in the

253 Southern Ocean further points to the link between BUS conditions and the intensification of  
254 atmospheric circulation in the South Atlantic across the Pliocene-Pleistocene (Fig. 4D).

255         The SST cooling trend observed in the BUS after the late Pliocene and the onset of  
256 the Northern Hemisphere Glaciation (NHG) and the MDM may have had a variety of  
257 causes, related to global and regional processes (Berger et al., 2002; Lange et al., 1999;  
258 Marlow et al., 2000; Martinez-Garcia et al., 2010). Etourneau et al. (2009) discussed how  
259 lower SSTs during the MDM at the BUS (Site 1082) was related to a change in the  
260 thermocline depth and outcropping of cold AAIW which transported silica rich Southern  
261 Ocean waters equatorwards and to the BUS (Cortese et al., 2004; Hillenbrand and Cortese,  
262 2006; Marlow et al., 2000; Robinson and Meyers, 2002). The high silicic acid contents in  
263 the AAIW would have resulted from the enhanced stratification and decrease in opal  
264 production in the Antarctic Ocean (Sigman et al., 2004). Lawrence et al. (2006) also  
265 proposed that the unused nutrients at high latitudes were transported by upwelling source  
266 waters, primarily from the Southern Ocean, and also led to a marked rise in productivity in  
267 the Eastern Equatorial Pacific after 3 Ma and associated with the intensification of the  
268 NHG.

269         Based partly on changes in diatom flora in the central BUS (Garzoli and Gordon,  
270 1996; Marlow et al., 2000), export productivity and  $\delta^{15}\text{N}$  sediment data, the interval at 2.4-  
271 2.0 Ma has been interpreted to mark a switch in the source of the upwelled waters from the  
272 AAIW to the SAMW, and the beginning of the modern BUS seasonal pattern as a result of  
273 a strengthening in the trade winds (Etourneau et al., 2009). However, some of these  
274 findings are partly based on the comparison of records between site 1082 and the

275 previously published and lower resolution data from site 1084. Here, we show that with an  
276 increase in the sampling resolution of Site 1084 we could synchronize the age models of  
277 both sites using their SST records at a orbital-scale resolution, and together with the data  
278 from Site 1081, it is apparent that a significant increase in alkenone export production did  
279 occur from 2.4-2.0 Ma (Fig. 4C), but with no significant change in the meridional SST  
280 gradient between the central and northern BUS (Fig. 4D). During this time interval frontal  
281 systems developed in the Southern Ocean (Liu et al., 2008), together with an increase in  
282 Southern Ocean siliceous productivity (Cortese et al., 2004), which probably restricted the  
283 subsurface water transfer to low latitude regions like the BUS and reduced the nutrient  
284 content in the source water. This likely led to the demise of the MDM and diatom  
285 production in upwelling areas (Etourneau et al., 2012; Marlow et al., 2000).

286         The meridional SST gradient between the northern and central BUS started  
287 increasing at 1.5 Ma (Fig. 4D), with a concomitant increase in export productivity. The  
288 modern gradient in SST between the northern and central upwelling sites was reached at  
289 0.6 Ma, together with the highest values of export productivity (Figs. 2C and 2D). This  
290 change after the end of the MPT would reflect formation of the modern Southern Ocean  
291 fronts and the strengthening of the atmospheric circulation and trade winds as observed by  
292 a marked increase in dust in the Subantarctic Atlantic (Martinez-Garcia et al., 2011), the  
293 formation of Subantarctic waters and deeper equatorward circulation of AAIW (Etourneau  
294 et al., 2009). Thus, the Pleistocene increase in the global meridional temperature gradients,  
295 enhanced atmospheric convection and equatorward expansion of the polar margins  
296 (Martinez-Garcia et al., 2010), and a shallower global thermocline (Philander and Fedorov,  
297 2003) led to the gradual equatorward migration and intensification of the coastal Benguela

298 upwelling until it reached the modern oceanographic configuration, typical of a colder  
299 mean climate than in the Pliocene.

300

## 301 5.2. *Variability in orbital frequencies*

302 To investigate further the interplay between climate long-term trends and orbital  
303 scale variability in the BUS we have undertaken a wavelet spectral analysis on the alkenone  
304 SST and MAR records from Site 1084 (this study) and published data from Site 1082  
305 (Etourneau et al., 2009). On the sections in which they overlap, the spectra in both sites are  
306 very similar (Fig. 6). SST variability associated to obliquity (41 ky period) intensifies after  
307 3 Ma and becomes significant above red noise around 2.7 Ma (Figs. 6 and 7). Variability at  
308 the precession frequency, however, is weak throughout the record. The 100 ky cycle  
309 emerges and dominates after ca. 0.6 Ma, the end of the MPT, both in sites 1082 and 1084.  
310 This pattern has not previously been described for the BUS, but it is remarkably similar to  
311 what has been shown for the Eastern Equatorial Pacific (Lawrence et al., 2006), and  
312 suggests that high-latitude processes start to drive low-latitude climate variability on the  
313 obliquity band (41-ky) after 3 Ma (Fig. 7).

314 However, it is not straightforward to investigate the links between the presence of a  
315 41 ky period with high latitude climate during the late Pliocene and early Pleistocene, due  
316 to the limited number of records presently available (especially in the Southern Ocean)  
317 which also have the adequate resolution and chronology. The emergence of strong 41 ky  
318 periodicity does not occur in the only available Southern Ocean SST record (ODP Site  
319 1090) until after 1.8 Ma (Martinez-Garcia et al., 2010). However, this may be due to the

320 poleward expanded tropical warm pool at that time which may have decreased the  
321 sensitivity of the record to higher latitude insolation forcing prior to 1.8 Ma (Martinez-  
322 Garcia et al., 2010). However, both the global  $\delta^{18}\text{O}$  record (Lisiecki and Raymo, 2005) and  
323 the available North Atlantic SST records (ODP Site 982) show evidence of strong obliquity  
324 cycles during the late Pliocene and early Pleistocene (Lawrence et al., 2009) in the high  
325 latitudes of the Northern Hemisphere (Fig. 7).

326         The presence of the MDM, as discussed here previously, does show support for  
327 changing diatom productivity linked to longer-term changes in Southern Ocean circulation  
328 and nutrient supply to the Benguela system (e.g. Etourneau et al., 2009). But those same  
329 data sets have not been presented with time-series analysis to determine what may pace any  
330 shorter-term variations in productivity, and how that might relate to the pacing of the SST  
331 cycles identified here.

332         The emergence of the 41 ky cycles in the Benguela data sets occurs as higher  $\delta^{30}\text{Si}$   
333 values in ODP 1082 are interpreted to reflect a longer term trend towards increased silicate  
334 utilization partly in response to enhanced silicate supply from the Southern Ocean  
335 (Etourneau et al., 2012). However, whether upwelling intensity and/or Benguela SSTs were  
336 also determined by Southern Ocean circulation at the 41 ky period is not clear given the  
337 low resolution of the  $\delta^{30}\text{Si}$  data, and an absence of 41 ka cycles in SST at ODP 1090  
338 (Martinez-Garcia et al., 2010). The absence of significant precession cycles in our time  
339 time series analysis would also indicate that low latitude processes at orbital scales play a  
340 marginal role in driving variations in SST at the BUS, especially prior to the MPT, which



341 has also been observed in the eastern equatorial Pacific (Lawrence et al., 2006; Liu and  
342 Herbert, 2004).

343 As argued in the previous section, the influence of high latitude processes at the  
344 BUS could have occurred through a shoaling of the thermocline, identified by a cooling in  
345 SST, and the subsurface advection of subpolar/polar waters that in the Benguela region  
346 marked the onset of the MDM (Berger et al., 2002; Lange et al., 1999; Marlow et al., 2000).  
347 A further increase in the intensity of the 41 ky obliquity band in sites 1082 and 1084  
348 happens at 1.5 Ma (Figs 3B and S3B), coincidental with an increase in dust/atmospheric  
349 circulation and cooling in the Subantarctic (Fig. 4D) (Martinez-Garcia et al., 2011;  
350 Martinez-Garcia et al., 2010), which coincides with an increase in alkenone MAR in  
351 northern (Etourneau et al., 2009) and central Benguela (Fig. 4C). This export production  
352 increase could denote a further shoaling of the thermocline and suggests the influence of  
353 Southern Ocean waters in the BUS, which after 1.5 Ma may have originated in the  
354 Subantarctic as SAMW (Etourneau et al., 2009).

355 The spectra of the alkenones MAR is less clear. Significant precession and obliquity  
356 cycles occur in different parts of the record and there is a strong 400ky cycle, probably  
357 related to the eccentricity modulation of precession, suggesting an important role of low  
358 latitude climate in controlling productivity changes (Fig. 6). Surprisingly, the 100 ky cycle  
359 in the productivity records is significant during the MPT but not afterwards.

360

361 *5.3. Pliocene Benguela “El Niño”-like mean state*

362           The lack of a SST gradient between the northern and central sites of the BUS  
363 together with their low export productivity fluxes and relatively warm SSTs prior to 3.5 Ma  
364 indicate that the ABFZ was displaced south of Site 1084 (Fig. 4), and hence that the mean  
365 oceanographic conditions in the Southeast Atlantic at timescales >100ky were analogous to  
366 that of a persistent Benguela “El Niño”-like scenario. This would imply that warm Angola  
367 Current water extended along the African margin south of the location of Site 1084  
368 (25°31’N).

369           Low Subantarctic dust deposition in the Pliocene has been interpreted as a  
370 consequence of weak atmospheric convective cells that extended further poleward, and  
371 probably gave rise to a weakening of the South Atlantic subtropical anticyclone (Barreiro et  
372 al., 2006; Brierley et al., 2009; Martinez-Garcia et al., 2011; Martinez-Garcia et al., 2010).  
373 This scenario is coherent with the occurrence of weaker southeasterly trades and reduced  
374 upwelling (and low organic carbon accumulation in bottom sediments) as is observed to  
375 occur during modern Benguela “El Niño” events (Lübbecke et al., 2010; Richter et al.,  
376 2010). The analogy with modern conditions of Benguela “El Niño”-like patterns also  
377 presupposes the occurrence of increased precipitation onshore in South western Africa  
378 during the Pliocene, which is also shown by models (e.g. Brierley et al., 2009; Haywood et  
379 al., 2002). This is corroborated by evidence from a pollen record in Site 1082, which was  
380 interpreted to reflect a shift from a few areas with desert/semidesert vegetation prior to 3  
381 Ma, to a gradual increase in aridification towards the present (Dupont, 2006; Dupont et al.,  
382 2005).

383           The initial onset in the meridional SST gradient in the northern Benguela upwelling  
384 around 3.5 Ma coincided with the early onset of the intensification of the NHG from 3.6

385 Ma to 2.4 Ma, whose root cause has been ascribed to a long-term tectonic forcing such as  
386 closing or narrowing of ocean gateways, or mountain building (Mudelsee and Raymo,  
387 2005). Some authors have hypothesized that the increase in SSTs in Benguela during the  
388 Pliocene is related to the closure of the Central American Seaway or CAS (Prange and  
389 Schulz, 2004). Closure of the CAS could have resulted in changes in the Atlantic  
390 meridional overturning circulation (AMOC), leading to a general cooling of the southern  
391 hemisphere, and might have played a secondary role in the initiation of the NHG (Lunt et  
392 al., 2008), although a significant role for the CAS in global climate change has also been  
393 questioned (Molnar, 2008). However, a change in the AMOC initiated by the progressive  
394 closure of the CAS took place between 4.8 and 4.0 million years ago, beyond the time span  
395 over which all our records overlap.

396         The timing of the cooling of the surface and subsurface eastern Indian Ocean at 3.5  
397 Ma (inferred from Mg/Ca ratios of planktonic foraminifera in sites DSDP 214 and ODP  
398 763; Figs. 1 and 7C) (Karas et al., 2009; Karas et al., 2011), which is postulated to be an  
399 outcome from the tectonically-driven reduction of the Indonesian Throughflow (ITF, ca.  
400 2.95 - 3.5 Ma) (Cane and Molnar, 2001), is coeval with the end of the permanent Benguela  
401 “El Niño”-like state off Southwest Africa, a cooling of the surface Subantarctic Atlantic  
402 (Martinez-Garcia et al., 2010) (Fig. 5), and a cooling of SSTs in the North Atlantic  
403 (Lawrence et al., 2009) . Our findings lend support to the view that the reduction of the ITF  
404 diminished heat transport from the Indian Ocean polewards and to the South Atlantic  
405 (Karas et al., 2011). We hypothesize that this may have led to a series of climatic changes  
406 in the Southern Ocean (i.e. poleward movement of frontal zones) that preconditioned the  
407 climate system for the intensification of the NHG and its impact on low latitude climate

408 (i.e. through changes in the depth of the thermocline and temperature and nutrient contents  
409 of source waters). We note that if the ITF changes are implicated in intensifying the NHG,  
410 which subsequently led to equatorward contraction of atmospheric circulation cells and  
411 therefore the increased SST gradient observed beginning 3.5 Ma, then this implies a  
412 somewhat earlier start to intensified NHG glaciation than is commonly accepted.

413

## 414 **6. Conclusion**

415 Our study indicates that the modern structure of the BUS characterized by intense  
416 upwelling, cold SST, and high biological productivity, is not stable over long time scales.  
417 Although our data do not allow us to resolve the frequencies characteristics of modern “El  
418 Niño” events, they provide strong evidence for a fundamental change in the mean state of  
419 the BUS during the Pliocene epoch that resulted in regional climatic conditions analogous  
420 to those of a persistent Benguela “El Niño”. The Benguela and Pacific “El Niños” may  
421 respond to different forcing mechanisms in the modern ocean, but the two systems appear  
422 to be particularly sensitive to the changes in the mean state of the climate system that  
423 characterize the warm Pliocene epoch, suggesting that both regions may be susceptible to  
424 profound changes under prolonged anthropogenic forcing of climate.

425 In turn, these trends are associated by the emergence of different orbital signals,  
426 which reflect an evolving response of the Benguela system to high-latitude processes as the  
427 mean state of the region changes. The described sequence of changes in the BUS across the  
428 Pliocene-Pleistocene can be interpreted as the result of the interplay of processes occurring  
429 at regional and global scales, to which the Benguela region appears to be particularly  
430 sensitive. Thus, the Benguela records reflect the ongoing Cenozoic global cooling trend,

431 which probably led to a gradual global shoaling of the thermocline (Philander and Fedorov,  
432 2003), and a progressive increase in the response of low-latitude climate to high latitude  
433 orbital forcing (Lawrence et al., 2006). Our study would further suggest the influence of  
434 Southern Ocean oceanographic changes on the evolution of the BUS and other major  
435 upwelling systems.

436

#### 437 **Acknowledgements**

438 This research used samples and/or data provided by the Ocean Drilling Program  
439 (ODP). ODP is sponsored by the U.S. National Science Foundation (NSF) and participating  
440 countries under the management of Joint Oceanographic Institutions. A. Sansón, E.L  
441 Durham, J. Marlow and P. Bracke are acknowledged for analyzing some of the records and  
442 early discussions. The late Johann Lutjeharms, Sam Jaccard and Gerald Haug are  
443 acknowledged for their feedback on the ideas discussed in the manuscript. Financial  
444 support for this research was provided by a Marie Curie-IOF fellowship (235626) to ARM,  
445 and a grant from the Spanish MICINN (CGL2008-03288-E).

## References

- 446  
447  
448 Barreiro, M., Philander, G., Pacanowski, R., Fedorov, A., 2006. Simulations of warm  
449 tropical conditions with application to middle Pliocene atmospheres. *Clim. Dyn.* 26, 349-  
450 365.
- 451 Berger, W.H., Lange, C.B., Pérez, M.E., 2002. The early Matuyama Diatom Maximum off  
452 SW Africa: a conceptual model. *Marine Geology* 180, 105-116.
- 453 Bolton, C.T., Lawrence, K.T., Gibbs, S.J., Wilson, P.A., Herbert, T.D., 2011. Biotic and  
454 geochemical evidence for a global latitudinal shift in ocean biogeochemistry and export  
455 productivity during the late Pliocene. *Earth Planet. Sci. Lett.* 308, 200-210.
- 456 Brassell, S.C., Eglinton, G., Marlowe, I.T., Pflaumann, U., Sarnthein, M., 1986. Molecular  
457 stratigraphy: a new tool for climatic assessment. *Nature* 320, 129-133.
- 458 Brierley, C.M., Fedorov, A.V., Liu, Z., Herbert, T.D., Lawrence, K.T., LaRiviere, J.P.,  
459 2009. Greatly expanded tropical warm pool and weakened hadley circulation in the early  
460 pliocene. *Science* 323, 1714-1718.
- 461 Bruchert, V., Elena Perez, M., Lange, C.B., 2000. Coupled primary production, benthic  
462 foraminiferal assemblage and sulfur diagenesis in organic-rich sediments of the Benguela  
463 upwelling system. *Marine Geology* 163, 27-40.
- 464 Cane, M.A., Molnar, P., 2001. Closing of the Indonesian seaway as a precursor to east  
465 African aridification around 3-4 million years ago. *Nature* 411, 157-162.

466 Christensen, B.A., Kalbas, J.L., Maslin, M.A., Murray, R.W., 2002. Paleoclimate changes  
467 in southern Africa during the intensification of Northern Hemisphere glaciation: evidence  
468 from ODP Leg 175 Site 1085. *Marine Geology* 180, 117-131.

469 Cortese, G., Gersonde, R., Hillenbrand, C.D., Kuhn, G., 2004. Opal sedimentation shifts in  
470 the World Ocean over the last 15 Myr. *Earth Planet. Sci. Lett.* 224, 509-527.

471 Dekens, P.S., Ravelo, A.C., McCarthy, M.D., 2007. Warm upwelling regions in the  
472 Pliocene warm period. *Paleoceanography* 22.

473 Diester-Haass, L., Meyers, P.A., Rothe, P., 1986. Light-dark cycles in opal-rich sediments  
474 near the Plio-Pleistocene boundary, DSDP Site 532, Walvis Ridge Continental Terrace.  
475 *Marine Geology* 73, 1-23.

476 Diester-Haass, L., Meyers, P.A., Vidal, L., 2002. The late Miocene onset of high  
477 productivity in the Benguela Current upwelling system as part of a global pattern. *Marine*  
478 *Geology* 180, 87-103.

479 Dowsett, H., Willard, D., 1996. Southeast Atlantic marine and terrestrial response to middle  
480 Pliocene climate change. *Marine Micropaleontology* 27, 181-193.

481 Dupont, L.M., 2006. Late Pliocene vegetation and climate in Namibia (southern Africa)  
482 derived from palynology of ODP Site 1082. *Geochem Geophys Geosy* 7.

483 Dupont, L.M., Donner, B., Vidal, L., Perez, E.M., Wefer, G., 2005. Linking desert  
484 evolution and coastal upwelling: Pliocene climate change in Namibia. *Geology* 33, 461-  
485 464.

486 Durham, E.L., Maslin, M.A., Platzman, E., Rosell-Melé, A., Marlow, J.R., Leng, M.,  
487 Lowry, D., Burns, S.J., and the ODP Leg 175 Shipboard Scientific Party, 2001.  
488 Reconstructing the climatic history of the western coast of Africa over the past 1.5 m.y.: a  
489 comparison of proxy records from the Congo Basin and the Walvis Ridge and the search  
490 for the Mid-Pleistocene Revolution, in: Wefer, G., Berger, W.H., Richter, C. (Eds.),  
491 *Proceedings of the Ocean Drilling Program, Scientific Results*, pp. 1-46 [Online].

492 Etourneau, J., Ehlert, C., Frank, M., Martinez, P., Schneider, R., 2012. Contribution of  
493 changes in opal productivity and nutrient distribution in the coastal upwelling systems to  
494 Late Pliocene/Early Pleistocene climate cooling. *Clim. Past* 8, 1435-1445.

495 Etourneau, J., Martinez, P., Blanz, T., Schneider, R., 2009. Pliocene-Pleistocene variability  
496 of upwelling activity, productivity, and nutrient cycling in the Benguela region. *Geology*  
497 37, 871-874.

498 Etourneau, J., Schneider, R., Blanz, T., Martinez, P., 2010. Intensification of the Walker  
499 and Hadley atmospheric circulations during the Pliocene-Pleistocene climate transition.  
500 *Earth Planet. Sci. Lett.* 297, 103-110.

501 Fedorov, A.V., Brierley, C.M., Lawrence, K.T., Liu, Z., Dekens, P.S., Ravelo, A.C., 2013.  
502 Patterns and mechanisms of early Pliocene warmth. *Nature* 496, 43-49.



503 Florenchie, P., Lutjeharms, J.R.E., Reason, C.J.C., Masson, S., Rouault, M., 2003. The  
504 source of Benguela Ninos in the South Atlantic Ocean. *Geophys. Res. Lett.* 30, 12.11.

505 Garzoli, S.L., Gordon, A.L., 1996. Origins and variability of the Benguela Current. *J.*  
506 *Geophys. Res.-Oceans* 101, 897-906.

507 Garzoli, S.L., Gordon, A.L., Kamenkovich, V., Pillsbury, D., DuncombeRae, C., 1996.  
508 Variability and sources of the southeastern Atlantic circulation. *J. Mar. Res.* 54, 1039-1071.

509 Giraudeau, J., Bailey, G.W., Pujol, C., 2000. A high-resolution time-series analyses of  
510 particle fluxes in the Northern Benguela coastal upwelling system: carbonate record of  
511 changes in biogenic production and particle transfer processes. *Deep-Sea Research II* 47,  
512 1999-2028.

513 Giraudeau, J., Meyers, P.A., Christensen, B.A., 2002. Accumulation of organic and  
514 inorganic carbon in Pliocene-Pleistocene sediments along the SW African margin. *Marine*  
515 *Geology* 180, 49-69.

516 Grinsted, A., Moore, J.C., Jevrejeva, S., 2004. Application of the cross wavelet transform  
517 and wavelet coherence to geophysical time series. *Nonlin. Processes Geophys.* 11, 561-566.

518 Hay, W.H., Brock, J.C., 1992. Temporal variation in intensity of upwelling off southwest  
519 Africa, in: Summerhayes, C.P., Prell, W.L., Emeis, K.-C. (Eds.), *Upwelling Systems:*  
520 *Evolution Since the Early Miocene.* Geological Society Special Publication No 64, pp. 463-  
521 497.

522 Haywood, A.M., Valdes, P.J., Francis, J.E., Sellwood, B.W., 2002. Global middle Pliocene  
523 biome reconstruction: A data/model synthesis. *Geochemistry, Geophysics, Geosystems* 3,  
524 1072.

525 Herbert, T.D., Schuffert, J.D., 1998. 2. Alkenone unsaturation estimates of Late Miocene  
526 through Late Pliocene sea -surface temperatures at site 958, in: Firth, J.V. (Ed.),  
527 *Proceedings of the Ocean Drilling Program, Scientific Results, Vol. 159T*, pp. 17-21.

528 Hillenbrand, C.D., Cortese, G., 2006. Polar stratification: A critical view from the Southern  
529 Ocean. *Palaeogeogr. Palaeoclimatol. Palaeoecol.* 242, 240-252.

530 Janecek, T.R., 2000. Data report: Late neogene biogenic opal data Leg 167 sites on the  
531 California margin, *Proc. Ocean Drill. Progr.Sci. Res. 167, Ocean Drill. Progr. College*  
532 *Station, Texas*.

533 Karas, C., Nürnberg, D., Gupta, A.K., Tiedemann, R., Mohan, K., Bickert, T., 2009. Mid-  
534 Pliocene climate change amplified by a switch in Indonesian subsurface throughflow.  
535 *Nature Geoscience* 2, 434-438.

536 Karas, C., Nürnberg, D., Tiedemann, R., Garbe-Schönberg, D., 2011. Pliocene Indonesian  
537 Throughflow and Leeuwin Current dynamics: Implications for Indian Ocean polar heat  
538 flux. *Paleoceanography* 26, PA2217.

539 Lange, C.B., Berger, W.H., Lin, H.L., Wefer, G., Shipboard Sci Party, L., 1999. The early  
540 Matuyama Diatom Maximum off SW Africa, Benguela Current System (ODP Leg 175).  
541 *Marine Geology* 161, 93-114.

542 Lawrence, K.T., Herbert, T.D., Brown, C.M., Raymo, M.E., Haywood, A.M., 2009. High-  
543 amplitude variations in North Atlantic sea surface temperature during the early Pliocene  
544 warm period. *Paleoceanography* 24.

545 Lawrence, K.T., Liu, Z., Herbert, T.D., 2006. Evolution of the Eastern Tropical Pacific  
546 Through Plio-Pleistocene Glaciation. *Science* 312, 79-83.

547 Lisiecki, L.E., Raymo, M.E., 2005. A Pliocene-Pleistocene stack of 57 globally distributed  
548 benthic delta O-18 records. *Paleoceanography* 20, PA1003.

549 Liu, Z., Altabet, M.A., Herbert, T.D., 2008. Plio-Pleistocene denitrification in the eastern  
550 tropical North Pacific: Intensification at 2.1 Ma. *Geochemistry, Geophysics, Geosystems* 9,  
551 Q11006.

552 Liu, Z.H., Herbert, T.D., 2004. High-latitude influence on the eastern equatorial Pacific  
553 climate in the early Pleistocene epoch. *Nature* 427, 720-723.

554 Lübbecke, J.F., Böning, C.W., Keenlyside, N.S., Xie, S.P., 2010. On the connection  
555 between Benguela and equatorial Atlantic Nios and the role of the South Atlantic  
556 Anticyclone. *J. Geophys. Res., C: Oceans Atmos.* 115, C09015.

557 Lunt, D.J., Valdes, P.J., Haywood, A., Rutt, I.C., 2008. Closure of the Panama Seaway  
558 during the Pliocene: implications for climate and Northern Hemisphere glaciation. *Clim.*  
559 *Dyn.* 30, 1-18.

560 Lutjeharms, J.R.E., Stockton, P.L., 1987. Kinematics of the upwelling front off the  
561 southern Africa. *South African Journal of Marine Science* 5, 35-49.

562 Lutjeharms, J.R.E., Valentine, H.R., 1987. Water types and volumetric considerations of  
563 the South-East Atlantic upwelling regime. *South African Journal of Marine Science* 5, 63-  
564 71.

565 Marlow, J.R., 2001. Application of UK37' for Long-term (Pliocene-Pleistocene)  
566 Palaeoclimate Reconstruction. Newcastle upon Tyne, Newcastle upon Tyne.

567 Marlow, J.R., Lange, C.B., Wefer, G., Rosell-Mele, A., 2000. Upwelling intensification as  
568 part of the Pliocene-Pleistocene climate transition. *Science* 290, 2288-2291.

569 Martinez-Garcia, A., Rosell-Mele, A., Jaccard, S.L., Geibert, W., Sigman, D.M., Haug,  
570 G.H., 2011. Southern Ocean dust-climate coupling over the past four million years. *Nature*  
571 476, 312-315.

572 Martinez-Garcia, A., Rosell-Mele, A., McClymont, E.L., Gersonde, R., Haug, G.H., 2010.  
573 Subpolar Link to the Emergence of the Modern Equatorial Pacific Cold Tongue. *Science*  
574 328, 1550-1553.

575 McClymont, E.L., Rosell-Mele, A., Giraudeau, J., Pierre, C., Lloyd, J.M., 2005. Alkenone  
576 and coccolith records of the mid-Pleistocene in the south-east Atlantic: Implications for the  
577 index and South African climate. *Quat. Sci. Rev.* 24, 1559-1572.

578 McIntyre, A., Ruddiman, W.F., Karlin, K., Mix, A.C., 1989. Surface water response of the  
579 equatorial Atlantic Ocean to orbital forcing. *Paleoceanography* 4, 19-55.

580 Meeuwis, J.M., Lutjeharms, J.R.E., 1990. Surface Thermal-Characteristics of the Angola-  
581 Benguela Front. *South African Journal of Marine Science-Suid-Afrikaanse Tydskrif Vir*  
582 *Seewetenskap* 9, 261-279.

583 Meyers, P.A., 2001. Miocene–Pleistocene sedimentary record of carbon burial under the  
584 Benguela Current upwelling system, southwestern margin of Africa, in: Wefer, G., Berger,  
585 W.H., Richter, C. (Eds.), *Proceedings of the Ocean Drilling Program, Scientific Results,*  
586 *175*, pp. 1–19 [Online]. Available from World Wide Web: <[http://www-  
587 odp.tamu.edu/publications/175\\_SR/VOLUME/CHAPTERS/SR175\\_106.PDF](http://www-<br/>587 odp.tamu.edu/publications/175_SR/VOLUME/CHAPTERS/SR175_106.PDF)>.

588 Mollenhauer, G., Schneider, R.R., Jennerjahn, T., Müller, P.J., Wefer, G., 2004. Organic  
589 carbon accumulation in the South Atlantic Ocean: its modern, mid-Holocene and last  
590 glacial distribution. *Global and Planetary Change* 40, 249-266.

591 Molnar, P., 2008. Closing of the Central American Seaway and the ice age: A critical  
592 review. *Paleoceanography* 23.

593 Mudelsee, M., Raymo, M.E., 2005. Slow dynamics of the Northern Hemisphere glaciation.  
594 *Paleoceanography* 20, PA4022.

595 Paillard, D., Labeyrie, L., Yiou, P., 1996. Macintosh Program performs time-series  
596 analysis. *Eos Trans. AGU* 77, 379-379.

597 Peeters, F.J.C., Acheson, R., Brummer, G.-J.A., de Ruijter, W.P.M., Schneider, R.R.,  
598 Ganssen, G.M., Ufkes, E., Kroon, D., 2004. Vigorous exchange between the Indian and  
599 Atlantic oceans at the end of the past five glacial periods. *Nature* 430, 661-665.

600 Philander, S.G., Fedorov, A.V., 2003. Role of tropics in changing the response to  
601 Milankovich forcing some three million years ago. *Paleoceanography* 18, Artn 1045.

602 Prange, M., Schulz, M., 2004. A coastal upwelling seesaw in the Atlantic Ocean as a result  
603 of the closure of the Central American Seaway. *Geophys. Res. Lett.* 31, L17207.

604 Ravelo, A.C., Andreasen, D.H., Lyle, M., Lyle, A.O., Wara, M.W., 2004. Regional climate  
605 shifts caused by gradual global cooling in the Pliocene epoch. *Nature* 429, 263-267.

606 Richter, I., Behera, S.K., Masumoto, Y., Taguchi, B., Komori, N., Yamagata, T., 2010. On  
607 the triggering of Benguela Niños: Remote equatorial versus local influences. *Geophys. Res.*  
608 *Lett.* 37, L20604.

609 Robinson, R.S., Meyers, P.A., 2002. Biogeochemical changes within the Benguela Current  
610 upwelling system during the Matuyama Diatom Maximum: Nitrogen isotope evidence from  
611 Ocean Drilling Program Sites 1082 and 1084. *Paleoceanography* 17.

612 Rogers, J., Bremner, J.M., 1991. The Benguela ecosystem, Part VII: Marine geological  
613 aspects. *Marine Biology Annual Review* 29, 1-85.

614 Rouault, M., Florenchie, P., Fauchereau, N., Reason, C.J.C., 2003. South East tropical  
615 Atlantic warm events and southern African rainfall. *Geophys. Res. Lett.* 30, 9.1 - 9.4.

616 Schneider, R.R., Müller, P.J., 1995. What Role Has Upwelling Played in the Global Carbon  
617 and Climatic Cycles on a Million-Year Time Scale?, in: Summerhayes, C.P., Emeis, K.-C.,  
618 Angel, M.V., Smith, R.L., Zeitschel, B. (Eds.), *Upwelling in the Ocean: Modern Processes  
619 and Ancient Records*. John Wiley, pp. 361-380.

620 Shannon, L.V., Boyd, A.J., Brundrit, G.B., Tauntonclark, J., 1986. On the Existence of an  
621 El-Nino-Type Phenomenon in the Benguela System. *J. Mar. Res.* 44, 495-520.

622 Siesser, W.G., 1980. Late Miocene origin of the Benguela upswelling system off Northern  
623 Namibia. *Science* 208, 283-285.

624 Sigman, D.M., Jaccard, S.L., Haug, G.H., 2004. Polar ocean stratification in a cold climate.  
625 *Nature* 428(6978), 59-63.

626 Summerhayes, C.P., Kroon, D., Rosell-Mele, A., Jordan, R.W., Schrader, H.J., Hearn, R.,  
627 Villanueva, J., Grimali, J.O., Eglinton, G., 1995. Variability in the Benguela Current  
628 upwelling system over the past 70,000 years. *Progress in Oceanography* 35, 207-251.

629 Tiedemann, T., 1991. *Acht Millionen Jahre Klimageschichte von Nordwest Afrika und  
630 Palao-Ozeanographie des angrenzenden Atlanktis: hochauflösende Zeitreihen von ODP-  
631 Sites 658–661, Berichte-Reports, Geol.-Palaontologisches Institut. Univ. Kiel, Kiel, p. 190.*

632 Wefer, G., Berger, W.H., Richter, C., et\_al., 1998. *Proc. ODP, Init. Repts., 175. Ocean  
633 Drilling Program, College Station, TX.*

634

635

636 **Figure 1.** Location of the records discussed in the text. Modern annual mean sea surface  
637 temperature values are from the World Ocean Atlas 2009. Black arrows are schematic  
638 representation of the main currents relevant for the discussion in the text (LC: Leeuwin  
639 Current, ITF: Indonesian Throughflow, AGC: Agulhas Current, SAC: South Atlantic  
640 Current, BCC: Benguela Coastal Current, BOC: Benguela Oceanic Current, AC: Angola  
641 Current, ABFZ: Angola-Benguela Frontal Zone).

642

643 **Figure 2.** Comparison of the alkenone sea surface temperature (SST) reconstructions in the  
644 Benguela region after graphical alignment. (A) SST at ODP site 1084 (green line) and ODP  
645 Site 1082 (black line) (Etourneau et al., 2009). (B) SST at ODP site 1081 (red line) and  
646 ODP Site 1082.

647

648 **Figure 3.** Age/depth pointers obtained after aligning the alkenones SST records of ODP  
649 sites 1084 and 1081 to the SST reconstruction from ODP Site 1082 (Etourneau et al., 2009)  
650 (red dots), compared to those obtained by magnetostratigraphy and calcareous nannofossil  
651 biostratigraphy (Wefer et al., 1998).

652

653 **Figure 4.** Evolution of the Benguela upwelling system over the past 5Ma. (A) Lisiecki and  
654 Raymo global benthic  $\delta^{18}\text{O}$  stack (Lisiecki and Raymo, 2005). (B) Sea surface temperature  
655 evolution of the Benguela region at ODP Site 1084 (green line), ODP Site 1082 (black line)  
656 (Etourneau et al., 2009) and ODP Site 1081 (red line). Thick lines represent 600ky running  
657 averages. (C) Export production changes in the Benguela upwelling system over the last 5



658 Ma inferred from alkenone mass accumulation rates (MAR) at ODP Site 1084 (green line),  
659 ODP Site 1082 (black line) (Etourneau et al., 2009) and ODP Site 1081 (red line). (D)  
660 Evolution of the latitudinal gradient between ODP Sites 1082/1081 and ODP Site 1084  
661 calculated by subtracting the thick lines in panel B, and long-term trend of dust/Fe  
662 deposition in the Southern Ocean (Martinez-Garcia et al., 2011) calculated using a 600ky  
663 running average.

664

665 **Figure 5.** Evolution of the Indonesian Throughflow and the Southern Ocean at the end of  
666 the Pliocene Benguela “El Niño”-like conditions. (A) Lisiecki and Raymo global benthic  
667  $\delta^{18}\text{O}$  stack (Lisiecki and Raymo, 2005). (B) Sea surface temperature evolution of the  
668 Benguela region at ODP Site 1084 (green line), and ODP Site 1081 (red line). (C) Mg/Ca  
669 *Globorotalia crassaformis* subsurface temperature estimates from DSDP Site 214 (Karas et  
670 al., 2009). (D) Alkenone-based reconstruction of Southern Ocean SST at ODP Site 1090  
671 (Martinez-Garcia et al., 2010).

672

673 **Figure 6.** Continuous wavelet power spectrum of (A) Sea Surface Temperature (SST) at  
674 ODP Site 1082 (Etourneau et al., 2009) and (B) ODP Site 1084, Alkenones MAR at (C)  
675 ODP Site 1082 (Etourneau et al., 2009) and (D) ODP Site 1084, and (E) Lisiecki and  
676 Raymo (LR04) benthic  $\delta^{18}\text{O}$  stack (Lisiecki and Raymo, 2005), calculated using the  
677 methods proposed by Grinsted et al. (Grinsted et al., 2004). All the series were detrended  
678 and interpolated to 4 ky before the spectral analysis. The thick black contour designates the  
679 5% significance level against red noise. The cone of influence (COI) where edge effects  
680 might become significant is shown as a lighter shade.

681

682 **Figure 7.** Continuous wavelet power spectrum of (A) Lisiecki and Raymo (LR04) benthic  
683  $\delta^{18}\text{O}$  stack (Lisiecki and Raymo, 2005), (B) Sea Surface Temperature (SST) in the  
684 Benguela current (ODP Site 1082) (Etourneau et al., 2009), and (C) SST in the Eastern  
685 Equatorial Pacific (ODP Site 846) (Lawrence et al., 2006), calculated using the methods  
686 proposed by Grinsted et al. (Grinsted et al., 2004). All the series were detrended and  
687 interpolated to 4ky before the spectral analysis. The thick black contour designates the 5%  
688 significance level against red noise. The cone of influence (COI) where edge effects might  
689 become significant is shown as a lighter shade.

690

Fig. 1















