1	Species' distribution models indicate contrasting late-Quaternary							
2	histories for southern and northern hemisphere bird species							
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15 Abstract

Aim: To test hypotheses: that Fynbos species had more extensive distributions at the Last Glacial Maximum (LGM), extending onto the exposed 'Agulhas Plain'; that genetically distinct British taxa could have persisted through the LGM on adjacent areas of exposed shelf.

19 **Location:** Southern Africa; Europe.

20 **Methods:** Climatic response surfaces were fitted for 14 Fynbos and two European birds. These models 21 were used to hindcast species' distributions for palaeoclimates simulated using a fully-coupled 22 atmosphere–ocean general circulation model. LGM annual net primary productivities (ANPP) of two plant 23 functional types upon which the European birds depend were simulated using a dynamic vegetation model 24 and compared to potential LGM bird distributions.

Results: Fynbos birds' potential LGM distributions mostly extended southwards onto the exposed Agulhas Plain and consistently were more extensive than at present. This contrasts with conventional expectations for temperate species based upon northern hemisphere evidence. North-west European taxa potentially had LGM ranges on exposed shelf and ice-free areas west and south-west of the British Isles ice sheet. This is consistent with available genetic evidence, supporting the long-standing hypothesis that these taxa persisted through the LGM in this region. In both regions, results allow generation of new testable hypotheses about species' evolution and palaeobiogeography.

Main Conclusions: Bird species found today in Fynbos likely had more extensive ranges under glacial conditions, with many species' potential ranges extending onto the Agulhas Plain. Bird taxa today restricted to the British Isles probably survived the LGM with limited distributions on exposed shelf and icefree areas south-west of the British Isles ice sheet. Areas of shelf exposed under glacial conditions are likely to have been important components of glacial distributions of species in both northern and southern hemispheres. The contrasting history of northern and southern hemisphere species has important conservation implications, especially in relation to conserving intra-specific genetic diversity.

39 Keywords

40 Fynbos endemic birds; South Africa; Agulhas Plain; peri-glacial survival; British Isles; *Loxia scotica*;
41 *Lagopus lagopus scotica*; LPJ-GUESS; HadCM3; phylogeography.

42 **1. Introduction**

43 Species' distribution models (SDMs), relating species' present recorded distributions to present climatic 44 conditions (Pearson & Dawson, 2003), are widely used to explore species' potential distributions under 45 future climatic conditions projected using general circulation models (GCMs), first being applied in this way >15 years ago (Huntley et al., 1995; Lenihan & Neilson, 1995). Only recently, however, have SDMs been 46 used to explore species' potential past distributions under GCM-simulated palaeoclimatic conditions 47 (Martínez-Meyer & Peterson, 2006; Garzon et al., 2007; Carnaval & Moritz, 2008; Alba-Sanchez et al., 48 49 2010), or to identify areas where species' may have persisted during periods of the late Quaternary when 50 conditions were unfavourable for their wider occurrence (Buckley et al., 2009; VanDerWal et al., 2009). To a large extent this can be attributed to historical limitations of GCM palaeoclimatic simulations. Until 51 recently, these predominantly were made using atmospheric GCMs that required sea surface temperatures 52 (SSTs) to be specified, usually on the basis of summer and winter season reconstructions made from 53 microfossil evidence (CLIMAP Project Members, 1984). Furthermore, neither ocean circulation nor 54 seasonal sea-ice cover generally were simulated. Even when refined using a nested regional climate 55 model (RCM), using the RCM output to drive palaeovegetation simulations revealed substantial biases with 56 57 respect to the palynological record of past vegetation, (Huntley et al., 2003). Similar biases were revealed when comparing simulated climates with conditions inferred from dated permafrost features (van 58 Huissteden et al., 2003). As Alfano et al. (2003) showed, these biases were likely a result largely of how 59 reconstructed seasonal SSTs were used to derive an annual cycle of SSTs. 60

As computing power increased, however, and the imperative for more reliable projections of future 61 climate drove the development of fully-coupled ocean-atmosphere GCMs, these models have also begun 62 to be applied to simulate late Quaternary palaeoclimates. Singarayer and Valdes (2010) used a version of 63 64 the Hadley Centre Unified Model to make a consistent set of simulations for a series of time slices extending back through the last glacial to the last interglacial. These simulations provide the basis for 65 palaeovegetation simulations that are sufficiently robust to be used to supplement information from the 66 67 palynological record, allowing exploration of the drivers of past forest dynamics (Miller et al., 2008) and of 68 the character and productivity of last glacial vegetation throughout northern Eurasia (Allen et al., 2010).

69 Such series of GCM simulations provide a basis for applying SDMs to explore the late-Quaternary history of taxa that have a limited, or no, fossil record. They can also be used to explore how the different 70 71 glacial palaeogeography, as a result of eustatic depression of sea-level by a maximum of ca. 120 m at the 72 last glacial maximum (LGM; ca. 21 ka BP), may have been important in providing areas of distribution that are now submerged (Sakaguchi et al., 2010). In addition, they are a potentially valuable basis for testing 73 and generating hypotheses in historical biogeography. Here we illustrate this potential using SDMs fitted 74 75 to bird species of two regions, southern Africa and north-west Europe, that provide several marked 76 contrasts. In particular, southern Africa experienced no extensive development of last glacial ice sheets, 77 whereas large parts of northern Europe and the European mountains were covered by ice sheets at the LGM (Ehlers & Gibbard, 2005). Prevalent climatic constraints also differ today between the two regions: 78 Nowhere in north-west Europe experiences any significant degree of seasonal moisture deficiency, 79 whereas much of the region is typified by sub-zero winter temperatures and snow cover even in the 80 lowlands. In contrast, seasonal drought typifies most of southern Africa, whilst sub-zero temperatures and 81 snow are features generally only of the higher mountains (e.g. Mulder & Grab, 2010). 82

Exploring how palaeoclimatic conditions potentially impacted upon species in these two regions allows us to examine whether the paradigm, based upon northern hemisphere evidence, of temperate species having more restricted glacial distributions applies also in the southern hemisphere. We also apply our SDMs to address two specific hypotheses:

- That LGM palaeoclimatic conditions enabled bird species associated today with the Fynbos
 Biome of southern and south-western South Africa to have more extensive LGM distributions,
 including southward range extensions onto the 'Agulhas Plain' that was exposed at that time.
- That species or races today restricted to the British Isles, including the endemic *Loxia scotica* (Scottish Crossbill) and *Lagopus lagopus scoticus* (Red Grouse), as well as the genetically distinctive *Pinus sylvestris* (Scots Pine) found today in Scotland (Ennos, 1997), could have persisted through the LGM on ice-free areas and areas of exposed continental shelf south-west of the British Isles (Huntley & Birks, 1983; Huntley, 1989).

95 2. Methods

To address the first hypothesis, we examined the 39 bird species listed by Hockey et al. (2005) as primarily 96 97 associated with the Fynbos Biome. Fourteen species were selected (Table 1) on the basis that: (1) they 98 are southern African endemics; (2) they do not range extensively beyond the Fynbos; and (3) they were mapped as individual taxa by Harrison et al. (1997). Distribution and reporting rate data, the latter a proxy 99 for abundance, were mapped for southern African birds by Harrison et al. (1997) on a 0.25° grid, except in 100 Botswana where a 0.5° grid was used. Reporting rate data for the 14 selected species were obtained 101 102 from the Animal Demography Unit, University of Cape Town, and used to fit quantitative climatic response 103 surface (CRS) models.

To address the second hypothesis, distribution data for *Loxia scotica* and *Lagopus lagopus* (Red / Willow Grouse), were obtained from the European Bird Census Council (EBCC). These data, mapped by Hagemeijer and Blair (1997), were recorded on a *ca*. 50 km grid. Unfortunately *L. I. scoticus*, endemic to the British Isles and distinguished from other sub-species principally by its uniform and seasonally invariant plumage and its diet principally of *Calluna vulgaris* (Ling) shoots (Cramp & Simmons, 1980), was not mapped separately. Qualitative CRSs were fitted using data for the entire area mapped by the EBCC.

CRS models describe species' recorded distributions or abundances in relation to a series of bioclimatic 111 variables derived from present climatic data. Both the quantitative models for Fynbos species and the 112 qualitative models for European species were fitted by locally-weighted regression following methods 113 described by Huntley et al (1995; 2011). We favour this approach because: it requires an a priori choice 114 of a limited number of biologically relevant bioclimatic variables; it makes no assumptions about the form 115 116 of the relationships between species' probability of occurrence or abundance and the bioclimatic variables; it allows for interacting effects of bioclimatic variables and for these interactions to be non-stationary in 117 climatic space; the fitted response surface is readily visualised, which is of great benefit in model 118 interpretation; and extrapolation of the models into climatic space not represented in the training dataset 119 120 is both conservative and predictable. Goodness-of-fit and robustness of the models were assessed using the measures and validation procedures described by Huntley et al. (2011). With the exception of species 121 recorded from ≤25 grid cells, model validation was performed by fitting and testing models on 100 random 122

70:30 splits of the data; in the case of the more sparsely recorded species models were fitted omitting and
predicting each grid cell in turn. Threshold values for determining suitable grid cells were taken as those
values that maximised Cohen's kappa (Cohen, 1960; Huntley *et al.*, 1995).

Bioclimatic variables were derived from climatic variables in the CRU CL 1.0 0.5° dataset 126 (http://www.cru.uea.ac.uk/~timm/grid/CRU CL 1 0.html, New et al., 1999) and/or the WorldClim 2.5' 127 dataset (http://www.worldclim.org/, Hijmans et al., 2005). Bioclimatic variables used were chosen to 128 reflect primary constraints on bird species' distributions and abundance, whether acting directly or 129 indirectly. As discussed by Huntley et al. (2006), appropriate variables differ between the two regions: 130 Coldest month mean temperature, annual thermal sum above a 5°C threshold and annual ratio of actual to 131 potential evapotranspiration were used for European species, whereas for Fynbos species, coldest and 132 warmest month mean temperatures, annual ratio of actual to potential evapotranspiration and a measure of 133 the intensity of either the dry or wet season, according to which gave the better model for each species, 134 were used. Calculation of the measures of dry and wet season intensity is described by Huntley et al. 135 136 (2006).

Palaeoclimate scenarios used were derived from the series of simulations made by Singarayer and 137 Valdes (2010) using the HadCM3 fully-coupled atmosphere-ocean GCM (Gordon et al., 2000; Pope et al., 138 2000). Whereas many simulations made with different GCMs are available for the LGM and Holocene 139 'optimum' (6 ka BP), this consistent series of 68 simulations spanning the past 120 ka offers a unique 140 opportunity to explore species' potential distributions and abundances for a range of past conditions. 141 Atmospheric composition, orbital forcing, ice-sheet extent and altitude, and the land-sea mask were 142 prescribed, respectively, on the basis of ice-core data, orbital calculations, ice-sheet modelling and sea-143 level depression inferred from ice-volume evidence. Past values of climatic variables were derived from 144 the GCM outputs following Allen et al. (2010), but were extended to include shelf areas exposed by eustatic 145 sea-level depression. To do this we first calculated the potential present (i.e. 1961-90) climate of grid 146 cells exposed by the maximum 120 m sea-level depression. This was achieved by fitting thin-plate spline 147 surfaces (Hutchinson, 1989) relating each of the 36 relevant climatic variables from the CRU CL 1.0 148 149 dataset (i.e. 12 monthly means of temperature, precipitation and percentage cloud cover) concurrently to longitude, latitude and altitude. Climatic variables were then interpolated for shelf grid cells, altitudes for 150

which were calculated as means of the relevant grid cells in the GEBCO 1' resolution global altitudinal and bathymetric grid (IOC *et al.*, 2003). Interpolated anomalies for the palaeoclimate scenario were then applied to these values, and finally bioclimatic variable values were calculated. Species' potential past distribution and/or abundance patterns were simulated using the CRS models. Potential late Quaternary distribution and/or abundance patterns were then mapped and compared with those simulated for the present.

To explore the extent to which Pinus sylvestris and Calluna vulgaris, specific food plants required by the 157 two north-west European bird taxa, may have been present in western Europe at the LGM, and their likely 158 productivity, we used results from a simulation of LGM vegetation north of 35° N made using the LPJ-159 GUESS dynamic vegetation model (Smith et al., 2001). This simulation (Allen et al., 2010) used 47 plant 160 functional types (PFTs), including one parameterised to represent P. sylvestris. Although C. vulgaris was 161 not specifically represented as a PFT, the PFT parameterised to represent dwarf shrubs in the Ericaceae 162 can be taken as an adequate representation of this species because it is the dominant representative of 163 this PFT in most areas where the PFT has high productivity. The principal advantages of this approach, 164 rather than of fitting CRS models for the plant taxa and using them to simulate their LGM distributions, are: 165 (1) that direct effects upon plant performance of the lower atmospheric CO₂ concentration at the LGM, 166 compared to prior to the industrial revolution, are accounted for by LPJ-GUESS; and (2) that LPJ-GUESS 167 provides quantitative simulations of annual net primary productivity (ANPP) for each PFT, whereas CRSs, 168 and the data to which they could be fitted, provide only qualitative information about where the species 169 170 potentially might occur. Details of the LGM palaeovegetation simulation, including boundary conditions used, are given by Allen et al. (2010). 171

172 3. Results

173 3.1 Fynbos birds

174 CRS models for the 14 species all gave goodness-of-fit measures indicating high performance, values for 175 the area under the curve (AUC) for a receiver operating characteristic plot all being > 0.97 (Table 1). The 176 models were also shown to be robust (for details see Huntley *et al.*, 2011). When used to simulate 177 species' potential LGM distribution and abundance patterns, they revealed evidence supporting the

hypothesised southward range extensions onto exposed shelf areas, although with individualistic species'
 responses (Figure 1).

The species examined fall into four groups on the basis of their present distributions (see 180 Supplementary Figure S1(a – d) for species' recorded and simulated potential present distributions). The 181 first group comprises seven species at present limited to a greater or lesser extent to Western Cape (see 182 Supplementary Information Figure S2 for place names referred to in the text) and adjacent parts of the 183 surrounding provinces (Pternistis capensis (Cape Spurfowl), Figure 1(a); Chaetops frenatus (Cape 184 Rock-jumper), Figure 1(c); Cryptillas victorini (Victorin's Warbler), Figure 1(f); Promerops cafer (Cape 185 Sugarbird), Figure 1(g); Anthobaphes violacea (Orange-breasted Sunbird), Figure 1(i); Crithagra totta 186 (Cape Siskin), Figure 1(k); and C. leucopterus (Protea Seedeater), Figure 1(n)). These species 187 generally had much enlarged potential LGM ranges, extending eastwards, northwards and also southward 188 onto the Agulhas Plain, although in the case of Chaetops frenatus and Cryptillas victorini extension onto the 189 Agulhas Plain is simulated only in the east of their potential LGM ranges. 190

Three species have present distributions confined largely to the east, often principally in the 191 Drakensberg and surrounding mountainous areas (Chaetops aurantius (Drakensberg Rock-jumper), Figure 192 1(d); Promerops gurneyi (Gurney's Sugarbird), Figure 1(h); and Crithagra symonsi (Drakensberg 193 194 Siskin), Figure 1(I)). All three had potential LGM distributions of increased extent, with increases especially towards the north and, to a lesser extent, east. Southward range extension was limited, with 195 potentially suitable grid cells on the Agulhas Plain an order of magnitude further from the species' principal 196 potential range (500 - 1000 km) than typical dispersal distances observed for Fynbos species, and thus 197 unlikely to have been occupied. 198

A further three species have more widespread present distributions (*Geocolaptes olivaceus* (Ground Woodpecker), Figure 1(b); *Cinnyris afer* (Greater Double-collared Sunbird), Figure 1(j); and *Crithagra scotops* (Forest Canary), Figure 1(m)). All three again had potentially more extensive LGM distributions, extending in almost all directions, including southwards onto the Agulhas Plain. In two cases they exhibited a tendency potentially to retreat from more inland parts of their present range, the exception being *G. olivaceus* that potentially also expanded its range inland.

For several species in these groups, suitable conditions are simulated at the LGM also in markedly disjunct areas far to the north or north-east of the remainder of the species' potential LGM range, notably in northern Namibia and/or South Africa. Given their isolation, it is unlikely, albeit not impossible, that such areas would have been occupied.

The final species, *Bradypterus sylvaticus* (Knysna Warbler), Figure 1(e), has a very restricted present distribution close to the south coasts of Western and Eastern Cape. Although at 0.5° resolution the CRS model simulates rather few occurrences, these are in the appropriate areas. At the LGM the species' distribution is simulated to shift eastwards and also to extend southwards onto the narrow shelf areas south of Eastern Cape. Interestingly, however, there is no suggestion of any extension northwards, in contrast to what is seen for most other species.

Median range extent for the 14 species, calculated as the number of grid cells simulated as potentially 215 suitable, is ca. 2.6 x greater for LGM climate than for present climate. Only one species, Crithagra 216 217 scotops, has a potential LGM range virtually identical in extent to that for the present, whilst three species (Chaetops frenatus, Cryptillas victorini and Crithagra leucopterus) have potential LGM range extents $\geq 4 \text{ x}$ 218 those simulated for the present. These LGM results can be placed in context by considering simulations 219 made for palaeoclimate scenarios derived from simulations made by Singarayer and Valdes (2010) for a 220 221 series of other past intervals (6, 9, 12, 15, 18, 42 and 120 ka BP, and three Heinrich Events (Andrews, 1998): H1 (17 ka BP), H2 (24 ka BP) and H4 (38 ka BP)) (see Supplementary Information, Figures S2 -222 These reveal that glacial palaeoclimates generally result in simulated range extents greater than at 223 15). 224 present, the most extreme potential range increases being simulated for Heinrich Event palaeoclimates. 225 The latter all give median range extents > 4 x those simulated for the present, H1 (ca. 17k BP) with a 226 median range extent of 5.6 x, being the most extreme. Strikingly, the last interglacial palaeoclimate (120 ka BP) was the only one to give a reduction, albeit marginally, in median range extent. Species most 227 reduced in potential range at that time compared to the present were Promerops gurneyi (0.53), Chaetops 228 229 aurantius (0.63) and Crithagra symonsi (0.67), the three species with present distributions principally in the 230 Drakensberg and other mountainous eastern areas.

231 **3.2 North-west European birds and their habitats**

CRS models for both species had excellent goodness-of-fit measures (Table 2). That for Loxia scotica 232 accurately simulated the species' distribution in Scotland (see Supplementary Figure S17 for the species' 233 recorded distribution), although also simulating one grid square in England and two in Norway as potentially 234 235 suitable under present climatic conditions (Figure 2(a)). For the LGM the species is simulated potentially 236 to occupy a series of grid cells south-west of the ice sheet that covered most of the British Isles. In 237 addition, areas in the Cantabrian mountains and eastern Pyrenees are simulated as potentially suitable, along with isolated grid cells in Cornwall and Brittany. Persistence of the species in these areas would 238 have required presence of Pinus, preferably P. sylvestris, its principal food plant. As Figure 2(c) 239 240 illustrates, simulated ANPP for the *P. sylvestris* PFT at the LGM is high in the areas simulated as potentially suitable for L. scotica. Most importantly, high ANPP of P. sylvestris is simulated throughout the exposed 241 242 shelf and ice-free continental areas west and south-west of the British Isles ice sheet.

The model for Lagopus lagopus accurately simulates the species' present range that includes Britain 243 and Ireland (L. I. scoticus) and the Boreal zone, its range in the latter extending from western Norway (L. I. 244 245 variegatus) eastwards across Fennoscandia and northern Russia (L. I. lagopus) to Siberia and Boreal North 246 America (see Supplementary Figure S17 for the species' recorded north-west European distribution). In addition, however, areas in the Alps, and a single grid cell in the Pyrenees, remote from the species' 247 present range, are simulated as suitable for the species under present climatic conditions. The potential 248 249 range simulated for the LGM extends throughout ice-free areas of the exposed shelf and British Isles, as well as across the southern North Sea basin and southwards through eastern Europe. In addition, most of 250 the Cantabrians, parts of the Pyrenees, the Massif Central and the margins of the Alps are simulated as 251 potentially suitable. Strikingly, the ice-free area of shelf north-east of Scotland also is simulated as 252 potentially suitable, whilst the area of suitable conditions is constricted in central southern England, with 253 highly suitable conditions to west and east but only a limited link between the two where conditions are less 254 255 suitable.

Whereas most *Lagopus lagopus* sub-species feed primarily upon *Salix* (Willow) and *Betula* (Birch) catkins and buds, or on berries of various dwarf shrubs, including *Vaccinium* spp. (Bilberry, Cowberry, etc.) and *Empetrum nigrum* (Crowberry), *L. I. scoticus* feeds primarily upon *Calluna vulgaris* shoots.

Accordingly, the key habitat requirement for the latter sub-species is presence of Calluna-dominated 259 heathlands and related communities. The most relevant PFT simulated by Allen et al. (2010) is the 260 261 Ericaceae (dwarf shrub) PFT, parameterisation of which results in behaviour similar to that of C. vulgaris in terms of the ANPP pattern simulated for present climate. Simulated ANPP for this PFT for the LGM 262 (Figure 2(d)) is high on the exposed shelf and land areas to the west and south-west of the British Isles. It 263 is also high in northern Iberia, around the Massif Central and western Alps, in the southern North Sea and 264 in parts of eastern Europe. The coincidence between the high productivity area simulated to the south-265 west of the British Isles and the high suitability of the same area for L. lagopus provides additional support 266 for the hypothesis that L. I. scoticus persisted in this region through the LGM. 267

268 4. Discussion

On the basis of northern hemisphere evidence, there is a paradigm expectation that temperate species' 269 270 ranges were reduced in extent under glacial conditions, species being constrained to what frequently are 271 referred to as 'glacial refugia'. Simulated LGM ranges of Fynbos species, however, are generally more extensive, or at least no less extensive, than those simulated for present climatic conditions. This reflects 272 the absence of extensive continental ice sheets or mountain glaciation in southern Africa (Boelhouwers & 273 Meikleiohn. 2002), coupled to limited cooling and reduced spatial extent of the summer rainfall regime, the 274 latter likely associated with a general reduction in monsoon strength under glacial conditions. This 275 potential for more, rather than less, extensive LGM ranges has important implications for Fynbos species, 276 and perhaps also for other southern hemisphere temperate species. In particular, present distributions 277 and populations of Fynbos species are likely reduced compared to their ranges and populations during 278 most of the Pleistocene, perhaps rendering them even more vulnerable than northern hemisphere 279 temperate species to the impacts of projected future climatic changes. The overall range reduction 280 simulated for the last interglacial serves to underline this issue. That this reduction was potentially most 281 severe for species of the eastern mountains provides a striking parallel to simulated impacts of projected 282 283 future climatic changes upon species with this general distribution (Huntley et al., 2011; Huntley & Barnard, 284 submitted).

Our results for most of the 14 Fynbos species modelled also uphold our hypothesis that these species' more extensive LGM ranges would have included areas on the exposed Agulhas Plain. Given the

paradigm expectation that temperate species' ranges shifted equatorward during glacial stages, the overall 287 southward shift of potential range at the LGM exhibited by several species is striking. This expectation 288 289 arises primarily from evidence for northern hemisphere regions where seasonal temperatures are a principal determinant of species' range limits. In South Africa, however, remoteness from any sea-ice 290 cover at the LGM, even in winter, and persistence of the warm Agulhas Current during glacial stages 291 (Gersonde et al., 2003), even if somewhat weakened (Franzese et al., 2006), would have limited the 292 293 degree of cooling. Furthermore, the differing seasonal patterns of precipitation and drought, with winter rains predominating in the south-west but summer rains in the east (see e.g. South Africa Rain Atlas, 294 http://134.76.173.220/rainfall/index.html), are of much greater importance in determining species' present 295 distribution patterns (Cowling et al., 1997). Changes in seasonal precipitation patterns thus are likely to 296 297 have been at least as important as, if not more important than, temperature changes in driving species' range changes in this region during the Pleistocene. The general potential range expansion of Fynbos 298 species associated with the region of predominantly winter rainfall is consistent with projections of 299 increased winter rainfall in the region at the LGM (see e.g. PMIP2 results, http://pmip2.lsce.ipsl.fr/). 300

Centres of diversity in the northern hemisphere temperate zone, in terms of both species richness and 301 302 intra-specific genetic diversity, frequently are associated with the limited areas in which species of this zone occurred during the LGM (Hampe & Petit, 2005). Fynbos species, however, potentially experience their 303 304 greatest range restriction under peak interglacial conditions, with the most extreme recent 'bottle-neck' 305 being during the last interglacial. We can thus hypothesise that both the species richness of Fynbos 306 species and their intra-specific genetic diversity should be greatest in their potential 'interglacial refugia'. 307 We also postulate that closely related species pairs with vicariant distributions centred in the Western Cape and the eastern mountains, especially the Drakensberg (e.g. Chaetops frenatus / C. aurantius, Promerops 308 cafer / P. gurneyi, Crithagra totta / C. symonsi) likely diverged from common ancestors as a consequence 309 of isolation in these two 'refugial' regions, most probably during successive Pleistocene interglacials but 310 alternatively during the early Pleistocene when glacial cooling was less strongly expressed. 311

To-date, Fynbos birds have attracted little attention from geneticists and phylogeographers. The results of our simulations, however, suggest a number of specific testable hypotheses, as well as the general hypotheses outlined above, that could be addressed using genetic approaches. For example,

species of the eastern mountains, whose potential last interglacial ranges are simulated to have been 315 particularly reduced, might be hypothesised to show genetic evidence of a more marked 'bottleneck' at that 316 317 time. Given that the majority of species potentially had increased range extents and populations during the LGM, they can be expected to show population genetic evidence of recent contraction of both range 318 and population. The simulated potential suitability of disjunct areas at the LGM for species that have 319 vicariant sister species (e.g. Chaetops frenatus and C. aurantius, Promerops cafer and P. gurneyi) whose 320 321 LGM range potentially overlapped with, or was at least adjacent to, these disjunct areas, raises an intriguing possibility. If these disjunct potential LGM range components were occupied, then there may be 322 a genetic legacy of inter-breeding in populations of the sister species if, as a result of their relatively recent 323 324 origins, the species were not by then completely isolated.

In the case of the hypothesised LGM survival on areas of exposed shelf and ice-free continent south-325 326 west of the British Isles of taxa such as the endemic Loxia scotica, the Scottish genetic variant of Pinus sylvestris, Lagopus lagopus scoticus and Calluna vulgaris, the simulations provide compelling supporting 327 Palaeoecological evidence long ago pointed to the likelihood of survival of P. sylvestris in this 328 evidence. region (Huntley & Birks, 1983; Birks, 1989), a hypothesis subsequently supported by genetic evidence 329 330 (Ennos, 1997; Sinclair et al., 1998; Sinclair et al., 1999; Kinloch et al., 1986). Survival of this species would imply survival of communities similar to those in which it is found today, such communities often 331 having an understorey in which C. vulgaris is a dominant species, even close to the north-westernmost limit 332 of P. sylvestris today in northern Norway (Jalas & Suominen, 1973) that closely coincides with the northern 333 limit of C. vulgaris in the same region (Gimingham, 1960). In this case the genetic evidence (Rendell & 334 Ennos, 2002) also points to the glacial survival of C. vulgaris in northern Europe, consistent with the model 335 evidence of areas of high ANPP of the Ericaceae (dwarf shrub) PFT south-west of the British Isles ice 336 sheet at the LGM. 337

Although the status of the endemic Scottish Crossbill has long been debated, it is now accorded specific status as *Loxia scotica*. It is considered most closely related to *L. pytyopsittacus* (Parrot Crossbil), another *Pinus* specialist, rather than to *L. curvirostra* (Common Crossbill) that generally specialises upon *Picea* (Spruce). Although Knox (1989) speculated that *L. scotica* may have originated as recently as the Holocene, from a population of *L. pytyopsittacus* that reached the British Isles during the Pleistocene and

subsequently became isolated, the simulation of suitable conditions for the species south-west of the British Isles ice sheet at the LGM suggests that the species may have a longer history. Furthermore, the simulated potential range at 120 ka BP, during the last interglacial, is closely similar to that occupied during the Holocene, leading us to hypothesise that the species has a history extending back at least to the that time, and perhaps much further into the Pleistocene. Such an hypothesis is amenable to testing using genetic evidence.

The status of Lagopus lagopus scoticus is similarly the subject of debate. Genetic evidence, however, 349 suggests that this taxon is as distinct from other races of L. lagopus as is L. lagopus from L. mutus 350 (Ptarmigan) in Scandinavia (Quintela et al., 2010). The simulation of an extensive potential range for L. 351 lagopus south-west and south of the British Isles ice sheet at the LGM, the near isolation of this area from 352 other areas simulated as potentially suitable for L. lagopus at the LGM, and the coincidence that this area 353 354 also is simulated to have a high ANPP for the Ericaceae (dwarf shrub) PFT at the LGM, together support the proposition that L. I. scoticus originated no later than the LGM, and potentially earlier in the late 355 Pleistocene. The genetic evidence indicates that divergence between L. I. scoticus and L. I. lagopus 356 occurred between 12,500 and 125,000 years ago (Quintela et al., 2010). Such a divergence time would 357 358 be consistent with an origin for L. I. scoticus as a result of isolation during the last glacial stage, and hence with the simulated range for L. lagopus at the LGM. That the population from which L. l. scoticus evolved 359 was isolated also in an area with high ANPP of the Ericaceae (dwarf shrub) PFT might well have 360 contributed to the evolution of the sub-species' dietary specialisation. 361

The simulated potential LGM range of *L. lagopus* also includes an isolated area of suitable conditions north-east of Scotland. This leads us to hypothesise that *L. l. variegatus* may have originated in this region during the last glacial stage, shifting to its present range in south-west Norway as the Scandinavian ice sheet retreated. Genetic evidence could again test this hypothesis.

Our simulations have provided support for both of our specific hypotheses, and have also led to the important conclusion that, in contrast to northern hemisphere temperate species, Fynbos species, and potentially also other southern hemisphere temperate species, potentially had LGM distributions that were more extensive than their present distributions. In the case of the north-west European species, available genetic evidence is consistent with our results and conclusions. These results also lead to new

hypotheses about the time of origin of Loxia scotica and the place and time of origin of both Lagopus 371 lagopus scoticus and L. I. variegatus, all of which could be tested by new genetic data. In the case of the 372 373 Fynbos species, our results also enable us to generate hypotheses that could be tested using new genetic evidence. From a biodiversity conservation viewpoint, and in the context of anthropogenic climatic 374 change, the contrasting history of northern and southern hemisphere temperate species has important 375 implications: Whereas it has been argued that "the rear edge matters" (Hampe & Petit, 2005) in relation to 376 377 conserving intra-specific genetic diversity of northern hemisphere temperate species, almost the opposite may be true for southern hemisphere species if climatic change parallels previous interglacial maxima in 378 terms of the resulting range restriction. 379

As Nogues-Bravo (2009) has argued, this and similar studies have limitations in terms of the 380 assessment of uncertainties. This largely reflects a lack of means adequately to quantify the many 381 382 sources of uncertainty, and thus realistically to assess the overall uncertainty of simulated distributions. These studies, nonetheless, are valuable because of their capacity to generate testable hypotheses. 383 Improvements in modelling approaches, realistic assessments of uncertainties, and iterative interactions 384 with other approaches together can advance our understanding of how species responded to past climatic 385 386 changes. This is especially true of genetic approaches, and where taxa are poorly represented in the fossil record, such as most birds, and/or indistinguishable in the fossil record, such as the genetic races of 387 Pinus sylvestris. Such advances are crucial to improving our ability to predict the consequences of 388 present and projected future climatic changes. 389

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397 Biosketch

398 Brian Huntley is a palaeoecologist, ecologist and biogeographer with research interests in the interactions 399 between species, ecosystems and their changing environment. His current work spans a range of 400 taxonomic groups and ecosystems, from conifers forming New Zealand treelines to extinct Pleistocene mammals of Eurasia, although he has a particular interest in birds and climatic change. Judy Allen is a 401 402 palaeoecologist with particular interests in Quaternary vegetation history. Phoebe Barnard is a conservation biologist with a particular interest in birds of the Fynbos Biome. Yvonne Collingham is a 403 404 biologist who researches the responses of species to climatic changes. Phyllida Holliday is a biologist who is currently researching the history of the distributions of Loxia spp. worldwide. 405

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541 SUPPLEMENTARY MATERIAL

- 542 The online supplementary material comprises 17 Figures as follows:
- 543 Figure S1: Recorded and simulated distributions of 14 Fynbos bird species.
- 544 Figure S2: Map of southern Africa showing South African province names and neighbouring countries as 545 referred to in the text.
- Figures S3 S16: Potential distributions for the present, 6, 9, 12, 15, 18, 21, 42 and 120 ka BP, and for
 Heinrich Events H1 (17 ka BP), H2 (24 ka BP) and H4 (38 ka BP), for 14 Fynbos bird
 species.
- 549 Figure S17: Recorded breeding distributions of *Loxia scotica* and *Lagopus lagopus* in north-west Europe.
- As a service to our authors and readers, this journal provides supporting information supplied by the authors. Such materials are peer-reviewed and may be reorganized for online delivery, but are not copyedited or typeset. Technical support issues arising from supporting information (other than missing files) should be addressed to the authors.

- 554 **Tables**
- 555 **Table 1: Goodness-of-fit of models fitted to the full data for Fynbos species**
- 556 Table 2: Goodness-of-fit of models fitted to the full data for North-west European species

Scientific name	Common name	No. of grid cells from which recorded ¹	Goodness-of-fit of the climatic response surface model		
			AUC ²	TSS ³	K⁴
Pternistis capensis	Cape Spurfowl	207	0.973	0.817	0.775
Geocolaptes olivaceus	Ground Woodpecker	484	0.973	0.839	0.730
Chaetops frenatus	Cape Rock-jumper	50	0.993	0.957	0.635
C. aurantius	Drakensberg Rock-jumper	80	0.997	0.971	0.796
Bradypterus sylvaticus	Knysna Warbler	25	0.992	0.950	0.529
Cryptillas victorini	Victorin's Warbler	58	0.996	0.970	0.712
Promerops cafer	Cape Sugarbird	134	0.992	0.918	0.822
P. gurneyi	Gurney's Sugarbird	93	0.982	0.897	0.682
Anthobaphes violacea	Orange-breasted Sunbird	123	0.993	0.925	0.810
Cinnyris afer	Greater Double-collared Sunbird	464	0.984	0.877	0.793
Crithagra totta	Cape Siskin	118	0.992	0.927	0.754
C. symonsi	Drakensberg Siskin	49	0.999	0.984	0.867
C. scotops	Forest Canary	195	0.986	0.918	0.733
C. leucopterus	Protea Seedeater	69	0.992	0.916	0.710

Table 1: Goodness-of-fit of models fitted to the full data for Fynbos species

558

559	1 The number of 0.25° grid cells from which the species was recorded as present in the Southern
560	African Bird Atlas Project dataset (Harrison et al., 1997).
561	² Area under the curve for a receiver operating characteristic plot (Metz, 1978).
562	³ True skill statistic (Allouche <i>et al.</i> , 2006).
563	⁴ Cohen's kappa (Cohen, 1960; Monserud & Leemans, 1992).

564 Table 2: Goodness-of-fit of models fitted to the full data for North-west European species

	Scientific name	Common name	No. of grid cells from which recorded ¹	Goodness-of-fit of the climatic response surface model				
				AUC ²	TSS ³	K ⁴		
	Lagopus lagopus	Willow / Red Grouse	552	0.995	0.956	0.899		
	Loxia scotica	Scottish Crossbill	14	0.998	0.993	0.800		
565								
566	¹ The number of <i>ca</i> . 50 km grid cells from which the species was recorded as present in the							
567	European Bird Census Council dataset (Hagemeijer & Blair, 1997).							
568	² Area under the curve for a receiver operating characteristic plot (Metz, 1978).							

³ True skill statistic (Allouche *et al.*, 2006).

569

570

⁴ Cohen's kappa (Cohen, 1960; Monserud & Leemans, 1992).

571 Figures

572 Figure 1: Potential distributions and abundances of Fynbos birds at the last glacial maximum

On each panel cyan rings indicate 0.5° grid cells simulated as potentially occupied under present climatic 573 conditions, whilst shaded dots indicate cells potentially occupied at the LGM, darker shading indicating 574 higher simulated reporting rates; cells simulated as suitable under both sets of climatic conditions have a 575 shaded dot enclosed by a cyan ring. (a) Pternistis capensis - Cape Spurfowl; (b) Geocolaptes olivaceus 576 - Ground Woodpecker; (c) Chaetops frenatus - Cape Rock-jumper; (d) Chaetops aurantius -577 Drakensberg Rock-jumper; (e) Bradypterus sylvaticus - Knysna Warbler; (f) Cryptillas victorini -578 579 Victorin's Warbler; (g) Promerops cafer - Cape Sugarbird; (h) P. gurneyi - Gurney's Sugarbird; (i) Anthobaphes violacea – Orange-breasted Sunbird; (j) Cinnyris afer – Greater Double-collared Sunbird; 580 (k) Crithagra totta – Cape Siskin; (l) C. symonsi – Drakensberg Siskin; (m) C. scotops – Forest Canary; 581 (n) C. leucopterus - Protea Seedeater. 582

583 Topography, including the approximate extent of exposed areas of continental shelf, is shown using the 584 GEBCO 1' resolution global altitudinal and bathymetric grid (IOC *et al.*, 2003).

585 Figure 2: Potential last glacial maximum distributions and ANPP of north-west European species

586 On the upper panels cyan rings indicate 0.5° grid cells simulated as potentially occupied under present 587 climatic conditions, whilst shaded dots indicate cells potentially occupied at the LGM, darker shading 588 indicating higher simulated probability of occurrence; cells simulated as suitable under both sets of 589 climatic conditions have a shaded enclosed by a cyan ring. (a) *Loxia scotica* – Scottish Crossbill; 590 (b) *Lagopus lagopus* (Red Grouse / Willow Grouse). The lower panels show ANPP (g C m⁻² yr⁻¹) 591 simulated by the LPJ-GUESS dynamic vegetation model for the LGM, darker shaded dots indicating higher 592 productivity. (c) *Pinus sylvestris* – Scots Pine; (d) Ericaceae (dwarf-shrub) – Heaths, Ling.

593 Topography, including the approximate extent of exposed areas of continental shelf, is shown using the 594 GEBCO 1' resolution global altitudinal and bathymetric grid (IOC *et al.*, 2003). LGM ice sheet extents, 595 indicated in blue-white, after Ehlers and Gibbard (2005).































