

1 **Slip distributions on active normal faults measured from LiDAR and field mapping of**
2 **geomorphic offsets: an example from L'Aquila, Italy, and implications for modelling seismic**
3 **moment release.**

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17

18 **Abstract**

19

20 Surface slip distributions for an active normal fault in Italy have been measured using terrestrial
21 laser scanning (TLS), concentrating on offsets developed since 15 ± 3 ka and for 2
22 palaeoearthquake ruptures, in order to assess the impact of spatial changes in fault orientation and
23 kinematics on sub-surface slip distributions that control seismic moment release. The southeastern
24 half of the surface trace of the Campo Felice active normal fault near the city of L'Aquila, central
25 Italy, was scanned with TLS to define the vertical and horizontal offsets of geomorphic slopes that
26 formed during the last glacial maximum (15 ± 3 ka) from the centre of the fault to its southeastern
27 tip. Field measurements were made to define the strike and dip of the fault plane and plunge and
28 plunge direction of the slip vector from striations on slickensides. Fault kinematics from 43 sites
29 and throw/heave measurements from 250 scarp profiles were analysed using a modification of the
30 Kostrov equations to calculate the magnitude and directions of the horizontal principle strain-rates.
31 The studied 5 km long portion of the fault has an overall strike of 140° , but has a prominent bend
32 where the strike is $100\text{-}140^\circ$, where the fault has linked across a former left-stepping relay-zone
33 which had an along strike length of ~ 600 m and across strike width of ~ 300 m. Throw-rates defined
34 by TLS profiles across a 15 ± 3 ka bedrock fault scarp decrease linearly from 0.95 ± 0.025 mm/yr at
35 the fault centre through 0.5 ± 0.025 mm/yr to zero at the fault tip, except in the position of the
36 prominent bend where throws rates increase by 0.15 ± 0.025 mm/yr over a distance of ~ 1 km. The
37 vertical coseismic offsets averaged between two palaeoearthquake ruptures that manifest
38 themselves as fresh stripes of rock at the base of the bedrock scarp, also increase across the

39 prominent bend from 0.66 ± 0.05 m to 1 ± 0.05 m. Both the dip of the fault ($\sim 50^\circ$), and slip-vector
40 azimuth ($205\text{-}218^\circ$) are constant across the prominent bend. These combine to produce a principle
41 strain-rate calculated in 250×250 m boxes centred on the fault trace that decreases linearly from
42 ~ 3.5 ppm/yr to ~ 1 ppm/yr from the fault centre towards its tip; the strain-rate does not increase
43 across the prominent fault bend. The above shows that changes in fault strike, whilst having no
44 effect on the principle horizontal strain-rate, can produce local maxima in throw-rates of $\sim 25\%$, and
45 these throw-rate maxima can also be seen in slip distributions for palaeoearthquakes. We discuss
46 the implications of the above for modelling sub-surface slip distributions for earthquake ruptures
47 through inversion of GPS, InSAR and strong motion data using planar fault approximations,
48 referring to recent examples on the nearby Paganica fault that ruptured in the Mw 6.3 2009
49 L'Aquila Earthquake, where slip anomalies of 20-30% of the total slip are considered significant,
50 yet small-scale changes in fault orientation are not modelled.

51

52 **Introduction**

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54 The spatial distribution of geomorphic offsets across active normal faults reveal that surface fault
55 traces are not linear features, but instead are characterised by discontinuities such as relay zones
56 and bends in the fault trace (Faure Walker et al. 2009). It is well-known that surface ruptures to
57 earthquakes follow these discontinuities, wrapping around bends in the fault trace or crossing relay
58 zones (Roberts 1996), implying that at depth the fault is continuous across such surface
59 discontinuities. The surface slip distribution can be examined by geomorphologists, in contrast to
60 the sub-surface slip distribution. The subsurface slip distribution is important because (1) it defines
61 the ruptured area and amount of slip, which alongside the stiffness of the deforming material define
62 the seismic moment, or energy release in an earthquake (Kostrov 1974, Wells and Coppersmith
63 1994), and (2) is used to calculate how stress has been transferred onto fault surfaces that were
64 not ruptured in that particular earthquake, but could represent the sites of future earthquake
65 rupture (e.g. Walters et al. 2009). In this paper we show that although the sub-surface slip-
66 distribution is beyond the direct observation of geomorphologists, geomorphic observations of the
67 surface slip distribution can provide important constraints on these earthquake processes. In
68 particular, Faure Walker et al. (2009) showed that offsets of dated geomorphic surfaces across
69 fault scarps, combined with measurements of the strike and dip of the fault plane and plunge and
70 plunge direction of the slip vector, can be used to derive the relationship between (i) the vertical
71 and horizontal motions of the rocks around the fault, (ii) the amount of slip on the fault plane itself,
72 and (iii) strain-rates implied by such motions, and how these relate to regional strain-rates imposed
73 by motions between and within tectonic plates. In order to maintain the imposed strain-rate at
74 locations where small scale bends in the strike of normal faults exist, Faure Walker et al. (2009)
75 showed that the rate of throw accumulation must increase relative to the rest of the fault, because

76 vertical and horizontal motions, slip on the fault and strain rates are inter-related (see Method
77 Section).

78

79 Despite this, sub-surface slip distributions for normal faulting earthquakes are commonly modelled
80 assuming planar fault geometries with no bends in the fault trace, contrary to data from the fault-
81 related geomorphology. For example, a number of authors have attempted to invert data from GPS
82 and InSAR collected over a time period encompassing the Mw 6.3 2009 L'Aquila Earthquake in
83 Italy (Fig. 1). The vertical and horizontal motions recorded geodetically across the Paganica Fault
84 ruptured in 2009 have been used to iteratively-model the sub-surface slip, utilising elastic half-
85 space dislocation models. Modelling is facilitated by assumptions concerning the shear modulus,
86 Poisson's ratio and rheological layering, and, in general, a planar fault is assumed and discretised
87 into relatively small ($\sim 1 \times 1$ km) patches on a larger fault surface ($\sim 25 \times 15$ km). The vertical and
88 horizontal motions are used to retrieve the slip on the idealised fault plane. We note a variety of
89 solutions from different authors for this type of modelling (Fig. 1), but note that in what is probably
90 the most sophisticated attempt at this modelling by D'Agostino et al. (2012), the maxima in sub-
91 surface coseismic slip underlies the area where greatest coseismic subsidence was measured at
92 the surface with InSAR. This asymmetry, where the maximum modelled slip is skewed towards the
93 SE end of the fault is present in the slip distributions of D'Agostino et al. (2012), Atzori et al.
94 (2009), Cirella et al. (2009), Cheloni et al. (2010) and Walters et al. (2009), consistent with the
95 observation that maximum surface subsidence recorded by InSAR is skewed in the same way
96 (compare Fig. 1a with 1b-e). Crucially for this paper, we also note that the slip maxima lies down-
97 dip of a 1-2 km across-strike relay-zone (labelled R in Fig. 1a) between two of the fault traces that
98 ruptured during the earthquake. Here we ask the question as to what the relationship is between
99 this relay-zone (non-planarity of the fault plane), slip on the fault plane at depth and vertical
100 motions of the ground surface recorded by InSAR and GPS. We suspect following Faure Walker et
101 al. (2009), that the relay zone may overlie a zone of non-planarity in the fault plane at depth that
102 may have induced anomalous surface deformation. Calderoni et al (2012) have suggested from an
103 analysis of fault-trapped seismic waves that the fault segments at surface are part of a continuous
104 fault system at depth. Unfortunately, the Paganica Fault is poorly-exposed relative to other nearby
105 faults and thus the geomorphic signature of slip and fault kinematics are difficult to retrieve for this
106 fault, so we have been unable to directly apply the theory from Faure Walker et al. (2009). Thus, to
107 ask the above question, and quantify how much the vertical deformation is affected by bends in
108 fault traces, we utilise observations of a well-exposed fault located ~ 15 km to the SSW of the faults
109 ruptured in 2009 - the Campo Felice active normal fault. The Campo Felice fault exhibits a well-
110 exposed bedrock fault scarp that records slip since the last-glacial maximum (15 ± 3 kyrs), and has
111 clear evidence of coseismic slip in past earthquakes in the form of stripes of freshly-exposed rock
112 at the base of the fault plane. The relationship between vertical motions, slip on the fault and
113 strain-rate can be retrieved across a prominent bend in the strike of the fault trace because the

114 fault plane is well-preserved and exhibits numerous examples of slickenside surfaces covered in
115 frictional-wear striae that record the slip vector orientation. We have measured the orientations of
116 the fault plane and slip-vector in the field, and scanned the geomorphology of the site using
117 terrestrial laser-scanning (TLS) to retrieve the amounts of slip on the fault and the vertical motion
118 recorded by offset geomorphology in 3D. We use this information on the Campo Felice fault to
119 discuss the likely patterns of slip at depth on the neighbouring Paganica Fault.

120

121 **Geological background**

122

123 The central Apennines contains active normal faults, such as the Campo Felice, Parasano and
124 Paganica faults discussed in this paper (Fig. 2; Galadini and Galli 2000, Roberts and Michetti
125 2004, Pace et al. 2006, Faure Walker et al. 2010). Extension during the Plio-Pleistocene has been
126 located on the high topography of the Apennine mountains, the site of an older, submarine
127 foreland thrust belt produced during Cretaceous-Miocene Alpine convergence. The normal faults
128 offset pre-rift Mesozoic and Tertiary carbonates and have produced localised inter-montane basins
129 in their hangingwalls. The extension is associated with uplift and formation of the topography of the
130 Apennines mountains (D'Agostino et al. 2001, Faure Walker et al. 2012).

131

132 Active normal faulting in the central Apennines is associated with a long recorded history and
133 palaeoseismic record of past earthquakes (Galli et al. 2008). Events like the 1915 Mw 6.9-7.0
134 Fucino earthquake (33,000 deaths) and the 2009 Mw 6.3 L'Aquila earthquake (309 deaths) have
135 ruptured faults that are now well-mapped with clear surface faulting (Michetti et al. 1996, Boncio et
136 al. 2009). The L'Aquila earthquake ruptured the Paganica Fault with surface vertical offsets of 10-
137 15 cm through the town of Paganica, with continuation of the mapped ruptures both northwest and
138 southeast of the town. Observations with InSAR and GPS demonstrate coseismic subsidence of
139 up to 25 cm between 5-6 km into the hangingwall of the fault (Fig. 1 a; see D' Agostino et al. 2012
140 for a review). Elastic dislocation modelling suggests this implies over 80 cm of slip at depth on the
141 fault (Fig. 1b-f; Papanikolaou et al. 2010, D' Agostino et al. 2012 for a review). This area with high
142 values of surface subsidence, and implied area of high slip at depth, is skewed in location towards
143 the southeastern end of the surface ruptures. Unfortunately, the surface ruptures occur in
144 unconsolidated slope sediments in most places, so the orientation of the fault plane and the slip
145 vectors of the earthquake are relatively poorly constrained, except in the central portion of the
146 rupture within the town of Paganica where a study of offset tarmac and concrete surfaces along
147 the rupture revealed the slip vector plunges at 21° towards 218° ($\pm 5^\circ$), almost perpendicular to the
148 strike of the fault (127°), at least at the surface (Roberts et al. 2010). The relatively poor exposure
149 of the ruptures to the 2009 earthquake led us to study the kinematics of neighbouring active
150 normal faults. Below we report a study of the nearby Campo Felice fault accomplished using
151 terrestrial laser scanning (TLS) and field structural mapping and analysis.

152

153 **Method**

154

155 A TLS point cloud dataset of the Campo Felice fault was acquired using a Riegl LMS-z420i laser
156 scanner. The dataset consisted of six scan positions and 11 million points, covering the entire 5 km
157 length of the Campo Felice fault (Fig. 4a). The point clouds from each scan position were co-
158 registered using the RiSCAN pro processing software. This process correctly unites the point
159 clouds from each scan position within 3D space. Geo-referencing was carried out by surveying a
160 network of cylindrical reflectors present within each point cloud using real time kinematic (RTK)
161 GPS. The UTM 33T co-ordinate system with WGS84 datum was chosen. The vertical offsets that
162 define the surface slip distribution can be recovered from these data, but first a number of data
163 processing steps are required.

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165 A terrestrial laser scanner acquires a point cloud dataset by using the time of flight of sequentially
166 emitted and reflected laser pulses to calculate the range between the laser scanner and objects
167 within its line of sight. By incrementally adjusting the emission direction in horizontal and vertical
168 steps, the scanner is able to sample reflections on a regularly spaced grid within the line of sight of
169 the scanner. For each laser return a unique point in 3D space is calculated, with individual returns
170 populating a point cloud dataset (Fig. 4a). Laser returns can occur from the ground surface, bare
171 rock, vegetation or other similar objects such as fence posts and buildings.

172

173 The first step in processing the point cloud is to remove all points that do not represent ground
174 returns. This step can be carried out manually in the case of small study areas with limited
175 vegetation by selecting and deleting vegetation from within a 3D viewer, such as in the processing
176 software RiSCAN pro. This process can preserve most of the ground points, with little degradation,
177 although it can be unrealistically time consuming in the case of larger study areas. A sensible
178 compromise is to remove the most easily identifiable patches of vegetation and lone trees
179 manually before applying a vegetation filter or algorithm to the point cloud. In this study, a pseudo-
180 vegetation filter was applied to the point cloud using the GEON *points2grid* software [Crosby et al.,
181 in review]. *Points2grid* was developed to create raster elevation grids from point cloud data. The
182 software operates by allowing the user to define an output grid spacing S , which will determine the
183 uniform point spacing in map view of the output pointset. The software also requires a search
184 radius to be defined, and for the case of the pseudo-vegetation filter, the minimum elevation option
185 selected. *Points2grid* in this case calculates the elevation value for each output point according to
186 the minimum elevation found in the input pointset within the specified search radius R (Fig. 4 b and
187 c). As a general rule, the search radius should be:

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$$R = \frac{\sqrt{2}}{2} * S$$

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The effect is that the points with vegetation have higher elevation values than the ground surface and are removed from the output pointset. A side effect of the process is that the input point cloud is also decimated and re-sampled as a regularly spaced pointset. This can be beneficial, as the fewer points that are used to represent the topography the more options are available for intensive post-processing to create derivatives for use in analysis. It is important however not to over-filter the data as this can lead to over-simplification of the output pointset and the removal of the important topographic features which exist beneath the vegetation. As a general rule, an output point spacing of between 2 – 4 meters, with corresponding search radius R between 1.41 – 2.8 meters seems to be most suitable for the TLS datasets from the active normal fault collected during this study. It is most suitable because it preserves metres-scale changes in the actual topography while still being large enough to eradicate vegetation. Once the point cloud has been filtered to remove vegetation there are a number of derivatives that can be created from the dataset in order to identify geomorphic features.

The generation of a solid surface from a point cloud dataset allows a more complete appreciation of the topographic point cloud dataset. A solid surface representation of the topography is created using the vegetation-filtered pointset as input. The simplest way to create a representative surface from a pointset is by the creation of a triangular irregular network (TIN). A TIN is a triangulated mesh, whereby the vertices of each triangle are located using the input pointset. It is essentially a method of joining the points together and filling the internal space between three points with a plane. The most common method of choosing groups of three points to form triangles is through Delaunay triangulation [Delaunay, 1934], whereby all points are used as triangle vertices, such that no triangles can be subdivided using points located within a triangle and that the smallest angle of each triangle is the largest from the possible combinations. The process favours triangulation options that produce equilateral triangles and those with very large differences between the lengths of their sides are avoided. A major advantage of surface generation by TIN using Delaunay triangulation over more complex routines is that the process is computationally efficient. The point cloud processing software RiSCAN pro is able to generate TIN surfaces from point cloud datasets using Delaunay triangulation (Fig. 4d). The generation of a TIN surface, with lighting applied from a unidirectional source allows immediate identification of the base of the fault scarp, footwall gullies and hangingwall erosional channels (Fig 4d and e).

A further enhancement to a TIN surface is to calculate the dip of each triangle from horizontal using the dip calculation algorithm in the program goCAD, and then to interpolate this data over the entire surface. This interpolated data can then be used to colour the surface according to the local dip, using a colour map, creating a surface dip map as shown in Figure 4e. Surface dip (slope)

226 maps allow a quantitative assessment of the surface to be carried out. Geomorphic features such
227 as bowl shaped rotational slips and alluvial fans are clearly defined using this technique, as
228 opposed to viewing the surface without a dip colourmap applied. The creation of a surface slope
229 map also allows for the dip of the fault scarp, hangingwall and the footwall to be visualised in their
230 entirety.

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232 The generation of topographic contours (lines connecting points of equal elevation) allow a further
233 method of surface assessment. Topographic contours were generated in goCAD using the contour
234 algorithm from within the surface attributes toolbox and are displayed directly on the surface.
235 Topographic contours provide a means with which to measure the uniformity of a slope, for
236 example the hangingwall of an active normal fault. Contours in a particular region of the
237 hangingwall that are linear and equally spaced signify that this region of the hangingwall has not
238 been modified by the geomorphic processes that could affect the measured fault slip. On the other
239 hand topographic contours that are curved and non-equally spaced signify geomorphic features
240 such as rotational slips, alluvial fans and erosional channels and footwall bedrock gullies (e.g. Fig.
241 4g).

242
243 Geomorphic processes alter the perceived surface offset along active faults and are an important
244 consideration for earthquake geologists. In order to study surface offsets produced solely by fault
245 slip during earthquakes it is necessary to select study sites within the TLS dataset which have not
246 had their surface offset altered by geomorphic processes such as erosional gullying, colluvial and
247 alluvial fan sedimentation or landslides. Attribute map, examples of which are given in Figures 4d-
248 g, were used to identify sites where exhumation of the fault scarp and fault plane were solely
249 through fault slip and unaffected by mass movement, erosion or sedimentation; we found twenty
250 five such study sites that are free from the effects of such geomorphic processes. Topographic
251 cross sections were created at each of these sites from the surface TIN using the cross section
252 (surface profile) tool in RiSCAN pro. At each site ten topographic cross sections were created in
253 parallel, spaced at 1 m intervals (Fig. 4e), producing two hundred and fifty in total. Each of the
254 topographic cross sections (e.g. Fig. 5) were interactively interpreted for throw using the program
255 *Crossint* written by the first author in the GNU octave language.

256
257 To utilise *Crossint*, a vertically dipping plane was created in RiSCAN pro, which was rotated and
258 translated so that it intersected the TIN in a correct location and trend to create a topographic
259 cross section close to perpendicular to the strike of the fault. The location of the topographic cross
260 section to be generated was checked against the mapped geomorphology to ensure the site was
261 suitable. A batch of ten topographic cross sections, spaced 1 m apart are created using the cross
262 section tool in RiSCAN pro. The tool uses the intersection of the TIN and the vertically dipping
263 plane to create the first cross section, the next cross section is then created at a spacing of 1 m

264 from the first, in the direction of the normal to the plane. The process continues until ten cross
265 sections have been created. The vertically dipping plane is then translated along the fault scarp to
266 the next suitable site for cross section generation, the plane is rotated perpendicular to strike, the
267 geomorphology of the site is checked and the next set of cross sections are generated. The
268 process was repeated until cross sections were generated for all suitable sites along the fault.

269

270 The generated topographic cross sections were exported from RiSCAN pro in drawing exchange
271 format (.dxf) and imported into goCAD, which was used to convert the .dxf format files to space
272 delimited ascii text in the form x y z. From this point each of the topographic cross section files are
273 interpreted using *Crossint*. The program is loaded from within the GNU octave terminal and
274 prompts the user to enter the name of the cross section to be interpreted. The user enters the
275 name of the first ascii x y z cross section file to process the first cross section. The x y z data from
276 the cross section file is read by the program, and displayed as a cross sectional plot (Fig. 5). The
277 user then picks two points in the hangingwall using the mouse, between which they are happy a
278 representative portion of the hangingwall exists. *Crossint* then produces a linear regression
279 through all cross section points which exist between the selected points, plots a best fit line and
280 reports the inclination of the line (Fig. 5c and d). the user then confirms interpretation of the
281 hangingwall surface. The user is then prompted to pick two points on the scarp. Once the user has
282 picked these two points, *Crossint* repeats the linear regression for the picked section of the scarp,
283 plots the best fit line and reports the dip of the line (Fig. 5c and d), the user confirms the regression
284 line as a representation of the scarp. The user then picks two points in the footwall, between which
285 an appropriate representation of the footwall exists. *Crossint* repeats the regression for those
286 points and plots the best fit line. The intersection of the hangingwall regression line and the scarp
287 regression line is given as the lower point of the scarp. The intersection of the scarp regression line
288 and the footwall regression line is given as the upper point of the scarp. The throw and heave
289 displayed on the interpreted cross section are the vertical and horizontal differences between
290 these two intersection points. *Crossint* displays the throw and heave for the present interpretation,
291 based on the picked points; the user confirms the interpretation, or has the option to start over. The
292 accepted interpretation, with the picked points and the regression lines is output to a scalable
293 vector graphics file (.svg), along with annotation detailing the inclination of the regression lines and
294 the calculated throw and heave (Fig. 5). This process was repeated for all 250 cross sections from
295 the Campo Felice fault.

296

297 Field measurements were collected along the entire length of the Campo Felice fault. The
298 collected measurements comprised the strike and dip of the exposed fault scarp surface, and the
299 slip direction measured from the plunge direction of fault striae (Fig. 6). The field measurements
300 were taken using a compass clinometer with locations provided by real time kinematic GPS with
301 centimetre precision. In order to visualise the changing geometry and slip direction of the fault

302 along its length, the GPS locations were converted to distance along the fault, from the North-
 303 Western end, to be plotted on the x-axis against the various measurements from the TLS analysis.

304

305 A strain-rate profile (Fig. 7) was calculated from data of throw, fault geometry and kinematic slip,
 306 using the method described by Faure Walker et al. (2009) (Table 1). The advantage of converting
 307 to strain-rate instead of throw-rate is that strain-rate is independent of variations in fault geometry
 308 and of the direction of kinematic slip, as suggested by Faure Walker et al. (2009). Strain-rate is
 309 calculated for boxed shaped areas using the equations below, as defined by Faure Walker, et al.
 310 (2009). The components of strain e_{11} , e_{12} and e_{22} are calculated for each sample box of width L
 311 and area a . T represents the average throw measured on the fault within the sample box and t is
 312 the time period over which that throw has formed (for instance 15 ± 3 kyrs in the case of post
 313 glacial faulting in the central Apennines). The average values of kinematic plunge direction
 314 ($plungedir$), kinematic slip direction ($slipdir$) and strike ($strike$) for field measurements within the
 315 sample box are also used. The direction of principal strain for each box is then defined by θ . The
 316 strain-rate for each box ($strainrate$) is then calculated in the direction of the regional principal strain
 317 direction, defined by the average of the values of θ for each sample box along the fault.

318

$$e_{11} = \frac{1}{at} LT \cot(plunge) \sin(slipdir) \cos(strike)$$

$$e_{22} = \frac{-1}{at} LT \cot(plunge) \cos(slipdir) \sin(strike)$$

$$e_{12} = \frac{1}{2at} LT \cot(plunge) \cos(slipdir + strike)$$

$$\theta = \frac{\arctan(2 \frac{e_{12}}{e_{11} - e_{22}})}{2}$$

$$strainrate = \frac{e_{11} + e_{22}}{2} - \frac{e_{11} - e_{22}}{2} \cos(2\theta_{ave}) - e_{12} \sin(2\theta_{ave})$$

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320

321 Results

322

323 Figure 7 shows how the strike, dip, kinematics, throw-rate, strain-rate and coseismic slip for
 324 palaeoearthquakes vary along the studied portion of the Campo Felice fault. The measurements
 325 for fault strike (Fig. 7a) describe two linear segments, located between 0 – 1500 m and 3000 –
 326 4750 m distance along the fault. The two linear segments have strikes of $\sim 126^\circ \pm 10$ and $\sim 148^\circ \pm 20$
 327 respectively. The section of the fault at 1500 – 3000 m, between these two segments has a strike
 328 which describes a curved geometry from $\sim 126^\circ$ at 1500 m, to a low of $100^\circ \pm 10$ at 2175 m,
 329 increasing to $\sim 140^\circ$ at 3000 m. The field measurements of fault dip (Fig. 7b) show fault dip to be
 330 consistent along the length of the fault, with little change in fault dip outside of the measurement
 331 precision of $\pm 3^\circ$. The mean fault dip is 54° ($\pm 1\sigma = 3.1$), the minimum and maximum measured dips

332 are 48° and 61° respectively. The field measurements for the direction of slip (Fig. 7c), collected
333 from kinematic fault striae are consistent between 0 – 3000 m distance along the fault, with a mean
334 slip direction of 211° ($\pm 1\sigma = 3.9$). The slip direction becomes increasing oblique towards the South-
335 Eastern tip, in the direction of the centre of the fault. The direction of slip increases from ~211° at
336 3000 m distance along the fault to ~250° at the tip at 4750 m distance along the fault.

337
338 Data analysis of the interpretation of twenty five cross section locations (250 individual scarp
339 profiles) produced a profile of throw post 15 ± 3 ka for the studied portion of the Campo Felice fault
340 (Fig. 7d) The throw profile shows 1σ precisions for measurement of throw only, calculated from the
341 mean and hence standard deviation of throw interpreted from the ten individual scarp profiles that
342 have been combined for each location, reinforcing the advantages of using LiDAR and *Crossint*.
343 The profile describes a gradual increase in post 15 ± 3 ka throw along strike from zero at the fault
344 tip, through a value of ~7 m where the fault scarp begins to have a clear geomorphic expression to
345 ~14 m at the North-Western end of the studied portion of the fault. We estimate that, given good
346 exposure, it would be possible to identify scarps with throws as small as 1-2 metres. However, the
347 exposure close to the southwestern fault tip are degraded by mass-wasting and in this instance we
348 have not been able to measure right up to the fault tip.

349
350 Superimposed on this general increase in post 15 ± 3 ka throw from South-East to North-West is a
351 local increase between 1500 – 3500 m distance along the fault (Fig. 7d). The local increase
352 reaches a maximum of ~11 m at ~2400 m distance along the fault, representing a 17% increase
353 from the value of ~9.5 m depicted at the local minimum at ~1600 m distance.

354
355 For comparison with, and calculation of strain-rates, we also show the post 15 ± 3 ka throw data
356 discretised into 250 m sections of the faults (Fig. 7e red line). Strain-rates were calculated using
357 data from Fig. 7a-e. The strain-rate profile (Fig. 7e green line), is calculated from data of throw,
358 fault geometry and kinematic slip, using the method described by Faure Walker et al. (2009). The
359 strain-rate profile differs from the throw-rate profile in that irregularities in throw are not replicated
360 in the strain-rate profile. Strain decreases in an almost linear fashion from a maximum of ~3.51
361 ppm/yr at the North-Western exposed end of the Campo Felice fault to ~1.04 ppm/yr close to the
362 tip at the South-Eastern end of the fault.

363
364 In addition we have examined the vertical offsets produced by what appear to be at least two
365 palaeoearthquakes along the portion of the fault we have studied (Fig. 7f and g). Giaccio et al.
366 (2002) identified colour banding at the base of the exposed fault planes with stripes defining
367 vertical offsets as large as 1.2 m, and, through analogy with colour bands that are known to have
368 been produced elsewhere by earthquake surface rupturing in historical earthquakes (e.g. Roberts
369 1996, Galli et al. 2008), they interpreted the presence of at least two palaeoearthquakes. Up to

370 four stripes were noted by Giaccio et al. (2002), but only the two lowest can be correlated along
371 strike for a significant distance. The throws that we have interpreted for these two
372 palaeoearthquakes from examination of the data in Giaccio et al. (2002) and from our own field
373 observations are shown in Figure 7f and g. Both the lowest (youngest) and penultimate event show
374 increases in throw along strike towards the fault tip, coincident with the position of the prominent
375 bend in the fault trace. The cumulative throw produced by both earthquakes shows a clear
376 increase from 0.66 ± 0.1 m to 1.0 ± 0.1 m along strike towards the fault tip. Thus, both the
377 cumulative throw that has accumulated over 15 kyrs, and the throw associated with two
378 palaeoearthquakes over an unknown, but presumably shorter time period depart from the pattern
379 of gradual decrease towards the fault tip in the vicinity of the prominent bend in the fault trace.

380

381 **Discussion**

382

383 Our main finding is that an anomaly in the orientation of the Campo Felice fault plane (a change in
384 fault strike around a bend in the fault in this case) has produced an anomaly in vertical motion
385 across the fault, even though the strain-rate represented by the faulting shows a simple, almost
386 linear decrease towards the fault tip. This pattern can be recognised over the timescale of faulting
387 since the last glacial maximum (15 ± 3 kyrs) and over the timescale of two palaeoearthquakes
388 (much less than 15 kyrs). These results are similar to those of Faure Walker et al. (2009) who in
389 addition to variations in fault strike, also found that for the Parasano fault, the dip of faults that
390 breach former relay zones also contribute to anomalies in vertical offset, despite a simple, almost
391 linear decrease in strain-rate towards the fault tip. The local anomaly in throw-rates recorded on
392 the Campo Felice fault in this study where the measured value is elevated by ~ 0.2 mm/yr relative
393 to the value expected given a linear extrapolation of the value towards the fault tip is $\sim 40\%$ of the
394 total variation in throw-rate we have recorded on the studied portion of the fault (range is 0.95-0.45
395 mm/yr; thus 0.5 mm/yr), representing an anomaly in the linear extrapolated slip of $\sim 33\%$ extra slip.
396 A similar pattern of increased throw-rate was recorded by Faure Walker et al. (2009) who found
397 that the throw-rate doubled along part of the fault where the obliquity of the fault strike relative to
398 the slip-vector increased by $\sim 30^\circ$ and the fault dip increased by $\sim 6^\circ$. Thus, we conclude that
399 relatively small variations in fault orientation measured at the surface can have significant effects
400 on the vertical motions associated with the surface slip distribution.

401

402 This leads to the question of what effect variations in fault orientation have on slip at depth.
403 Prominent features of the deformation associated with the 2009 Mw 6.3 L'Aquila earthquake (Fig.
404 1), are that (1) maxima in surface subsidence and modelled sub-surface slip distributions are
405 skewed towards the southeastern end of the mapped surface rupture, and (2) a 1-2 km-across
406 relay-zone exists between two portions of the surface rupture, and this relay-zone is also located in
407 the southeastern part of the overall rupture trace. All five studies of sub-surface slip distribution

408 illustrated in Figure 1 have chosen to model the deformation with a single fault at depth. If this
409 single fault at depth is to link to the faults at the surface, a significant bend of the fault surface must
410 exist in the sub-surface with an along strike extent of ~4 km and an across strike amplitude of ~2
411 km. This bend is significantly larger than the example we have measured on the Campo Felice
412 Fault (~0.6 km by ~0.4 km) and also larger than the example on the Parasano Fault described by
413 Faure Walker et al. (2009) (~1 km by ~0.8 km). We suggest that it is likely that this 4 x 2 km bend
414 in the sub-surface fault trace will have affected the magnitude of slip on the fault plane in the 2009
415 earthquake to produce an anomalous patch of relatively-high vertical motion (subsidence), where
416 the vertical motion could have been tens of percent extra compared to what would have been
417 produced if the fault plane had been planar. The implications of this are as follows:

418
419 1) Modelled sub-surface slip distributions are simplifications of the actual slip. The modelled slip
420 distributions shown in Figure 1 are useful in that they allow visualisation of the relationship
421 between surface deformation and slip at depth. However, in detail it is clear that if deviations from
422 planarity of a ruptured fault are not considered the modelled slip distribution is a simplification of
423 the actual slip on the fault.

424 2) The relationship between slip at the surface, slip at depth and earthquake magnitude will be
425 affected by non-planarity of the fault and the resultant simplification of the modelled slip. The
426 seismic moment of an earthquake is calculated by combining values for the dimensions of the
427 rupture, the stiffness of the ruptured material and the amount of slip (Kostrov 1974). If the amount
428 of slip increases locally due to non-planarity of the fault plane, the derived value for seismic
429 moment will be affected. At present, this process is not considered when relating data on the
430 ruptured fault to seismic moment. This process was also not considered when relating the lengths
431 of ruptures to slip at the surface and slip at depth for databases of historical earthquakes (Wells
432 and Coppersmith 1994), yet this database is used to estimate seismic moment, surface and sub-
433 surface rupture length from slip recorded for palaeoearthquakes described from trenching studies.
434 In summary, if deviations from planarity of a ruptured fault are not considered, this will introduce
435 error into estimation of the seismic moment, slip at depth, surface rupture length and sub-surface
436 rupture length for a single earthquake like the 2009 L'Aquila event; furthermore, this is likely to be
437 one of the reasons for scatter in the relationships between these variables in the database of Wells
438 and Coppersmith (1994) for multiple earthquakes.

439 3) Stress transfer modelling depends on using the slip distribution from an earthquake to model the
440 stress transfer to so-called "receiver faults" (e.g. Walters et al. 2009). If the modelled slip
441 distribution is a simplification, the stress transfer will also be a simplification. If the modelled slip
442 distribution on a planar fault has concentrations of high slip, that are an artefact of inverting
443 measured anomalies in vertical motion at the surface with a simple planar fault, when in fact the
444 fault is not a single plane, modelled concentrations of high stress will in turn be artefacts – yet it is

445 these modelled concentrations of high stress on receiver faults that may cause concern in terms of
446 the possibility of imminent triggered slip in a triggered earthquake.

447 4) Palaeoseismological studies of past earthquakes commonly measure the throw per event throw-
448 rate associated with past events (Galli et al. 2008), but rarely record the spatial variation in fault
449 orientation around the site, usually because the fault plane is poorly-exposed in the unconsolidated
450 material exposed in trenches. However, the fault orientation is essential information if the
451 significance of the throw per event and throw-rate values are to be fully understood. Throw-rates
452 and throw per event measured locally in trenches may typify that portion of the fault if the fault
453 orientation is relatively constant along strike, but conversely, the throw-rate and throw per event
454 may be anomalous if the local fault orientation is anomalous. As throw per event is used to
455 reconstruct likely rupture dimensions and maximum earthquake magnitudes for seismic hazard
456 and engineering design purposes, care must be taken not to forget the spatial continuity of throw
457 per event and hence uncertainty introduced by local changes in fault orientation.

458

459 The implications listed above are profound for our understanding of the earthquake process, yet to
460 date we only have two examples where the anomalous slip produced by bends in a fault plane
461 have been quantified (this study and Faure Walker et al. 2009). We suggest that more studies of
462 the geomorphology and structural geology of active faults are needed to produce an empirical
463 relationship between the dimensions of bends in fault planes and the amplitude of vertical
464 deformation.

465

466 **Conclusions**

467

468 A study of the structural geology and geomorphology of the well-exposed Campo Felice active
469 normal fault shows that despite a simple linear decrease in strain-rate along strike towards to the
470 fault tip, a change in fault strike has produced a localised anomaly in vertical motion, with the
471 throw-rate increasing by ~40% close to the fault bend. The throw anomaly can be resolved both
472 over a timescale of multiple seismic cycles (15 ± 3 ka in this case) or over the timescale of two
473 individual palaeoearthquakes (<15 kyrs). This example is well explained by theoretical
474 considerations advanced by Faure Walker et al. (2009), who show that horizontal strain-rates and
475 rates of vertical and horizontal deformation are linked by variables that include fault slip vectors
476 and fault orientations. A 4 x 2 km across relay zone in the surface ruptures to the 2009 L'Aquila
477 earthquake (Mw 6.3) on the neighbouring Paganica fault is likely to be underlain by a bend in the
478 fault trace at depth of similar dimensions. The theory of Faure Walker et al. (2009) suggests that a
479 bend of this size will produce a significant local anomaly in throw per event and throw-rate on the
480 fault. An anomaly in surface deformation recorded by InSAR for the earthquake does exist, as
481 surface subsidence is skewed towards the southeastern end of the rupture trace, with a maxima in
482 the vicinity of the aforementioned relay zone. Early attempts to model this deformation have used a

483 planar fault, but we suggest that improved sub-surface slip distributions will be achieved if a non-
484 planar fault with a change in strike is utilised. Surface and sub-surface slip distributions are used to
485 model stress transfer and calculate maximum magnitudes for palaeoearthquakes. We suggest the
486 orientation of the fault plane in question should be considered with care as uncertainty in fault
487 plane orientation relative to the slip-vector will produce uncertainty in derived stress transfer and
488 maximum magnitude estimates. Studies of the geomorphology are a key input for the construction
489 of a database documenting the effects of such fault bends will be that is offset around active faults.

490

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492

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496

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578

579 **Figure Captions**

580

581 Figure 1 Map of surface deformation and modelled sub-surface slip distributions for the 2009 M_w
582 6.3 L' Aquila earthquake, Italy. (a) Surface ruptures adapted from Boncio et al. (2010) with
583 contours of “coseismic” surface displacements recorded by InSAR between 4th April 2009 and
584 12th April 2009 adapted from D'Agostino et al. (2012). dashed line approximates the modelled
585 planar, rectangular faults in panels b-f. (b)-(f) Range of modelled slip distributions from different
586 combinations of InSAR, GPS and strong motion data. Red lines on b-f show the extent of surface
587 faulting from Boncio et al. (2010). Note the relative positions of the maxima for surface deformation
588 and sub-surface slip distributions; the maxima are skewed towards the SE tip of the surface
589 ruptures.

590

591 Figure 2 Location map for active faults in central Italy on a 20 m DEM. Boxes locate Figs. 1 and 3.

592

593 Figure 3 Location maps for the Campo Felice. (a) Geological map adapted from Giaccio et al.
594 (2002) and Vezzani and Ghisetti 1998. (b) Aerial photograph from Google Earth™. The faults offset
595 Cretaceous carbonates with normal sense displacements, controlling the position of a Quaternary
596 Holocene intra-montane basin, and have offset a former (Quaternary?) drainage course.

597
598 Figure 4. LiDAR data, processing and analysis. (a) Point cloud data. (b) Manual removal of
599 vegetation from point cloud. (c) Contour map. (d) TIN surface with the locations of 25 study sites
600 where 10 scarp profiles were produced (250 scarp profiles in total). Each red line is actually 10
601 profile lines spaced 1m apart along strike. A representative set of 25 scarp profiles from the 25
602 locations indicated are shown in Figure 5. (e) A surface dip map using the dip calculation algorithm
603 in goCAD and displayed in Google Earth. Blue colours correspond to low values of dip ~ 20
604 degrees. Yellow colours correspond to moderate values of dip ~ 40 degrees. Red colours
605 correspond to high values of dip ~ 60 degrees.

606
607 Figure 5 Scarp profiles derived from terrestrial laser scan data (TLS) from the 25 sites indicated in
608 Figure 4d, showing offsets of a 15 ± 3 ka periglacial slope. These 25 profiles are a sub-set of the
609 250 profiles generated to produce the values and error bars in Figure 7. Offsets were studied using
610 *Crossint*.

611
612 Figure 6 Lower hemisphere stereographic projection of the orientation of fault planes and the slip-
613 vector orientation defined by striated faults, showing how the kinematics of faulting vary along the
614 Campo Felice fault. Data were collected from the 25 locations shown in Figure 4, but have been
615 grouped together to form this figure.

616
617 Figure 7 Graphs showing the relationship between fault orientation and rates of faulting for the
618 Campo Felice fault. (a) Fault strike. Error bars are $\pm 3^\circ$. The red line is a moving point average of
619 five measurements. (b) Fault dip. Error bars are $\pm 3^\circ$. The red line is a moving point average of five
620 measurements. (c) Slip vector azimuth. Error bars are $\pm 3^\circ$. The red line is a moving point average
621 of five measurements. (d) Post 15 ± 3 ka throw measured with TLS. (e) Throw and principle
622 horizontal strain-rate (see Table 1). Strain-rate was calculated in 250×250 m boxes using the
623 equations in the text. The error bars for throw are $\pm 1 \sigma$ for measurements of throw alone, not
624 including those for uncertainty in age, whilst those for strain-rate are $\pm 2\sigma$. A throw-rate scale is also
625 shown on the y axis for 3 scenarios for the age of the offset slope (12 ka, 15 ka and 18 ka) – our
626 preferred estimate of the age is 15 ± 3 as it encompasses our assessment of the uncertainty (see
627 Faure Walker et al. 2010 for discussion). (f) and (g) vertical offsets associated with two
628 palaeoearthquakes recorded by stripes of freshly-exposed fault plane at the base of the exposed
629 fault plane reported by Giaccio et al. (2002), but modified slightly during our own fieldwork. Errors
630 on field estimates of the throw for these palaeoearthquakes are estimated to be ± 0.1 m.

631

632 Figure 8 Summary cartoon showing how the location of maximum coseismic subsidence
633 associated with the 2009 L'Aquila Earthquake (Ms 6.3) may relate to the sub-surface geometry of
634 the fault. We speculate that the segmented fault at surface coalesces into a single curved fault at
635 depth, and the along-strike bend in the fault requires high values for vertical motion following the
636 relationships quantified by Faure Walker et al. (2009).

637

638

638 **Table 1 Data used to calculate strain-rate in 250 m bins along strike**

639

Plunge of kinematic slip (degrees from horizontal)	Strike of fault (degrees from north)	Kinematic slip direction (degrees from north)	Throw (m)	Distance along strike (m)	Strain- rate (ppm/yr)
47	128	213	13.77	125	3.41
47	129	213	14.19	375	3.52
47	134	208	12.63	625	3.08
52	136	208	12.74	875	2.59
50	135	210	10.98	1125	2.42
52	138	213	11.02	1375	2.25
51	134	212	9.62	1625	2.05
53	118	215	10.05	1875	1.99
54	104	210	10.55	2125	1.86
55	105	209	11.19	2375	1.91
53	113	209	10.87	2625	2.09
53	142	208	9.47	3375	1.82
54	148	221	8.80	3625	1.60
55	153	222	8.31	3875	1.39
55	153	249	7.30	4375	1.04

640

641

Figure 1

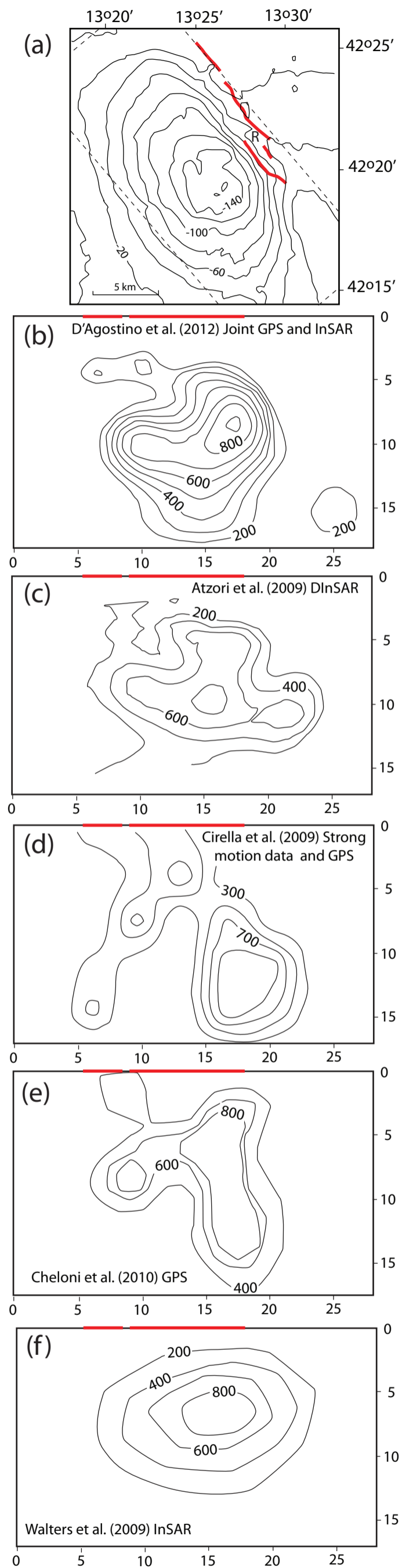


Figure 2

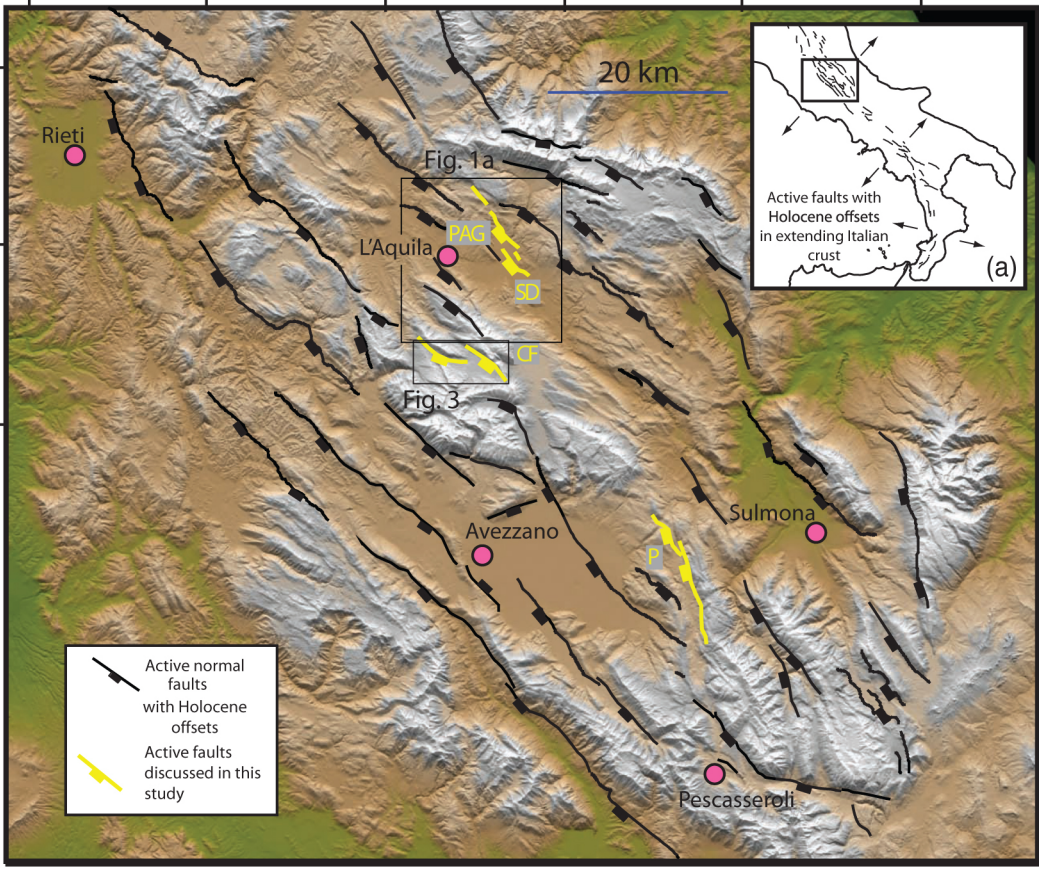


Figure 3

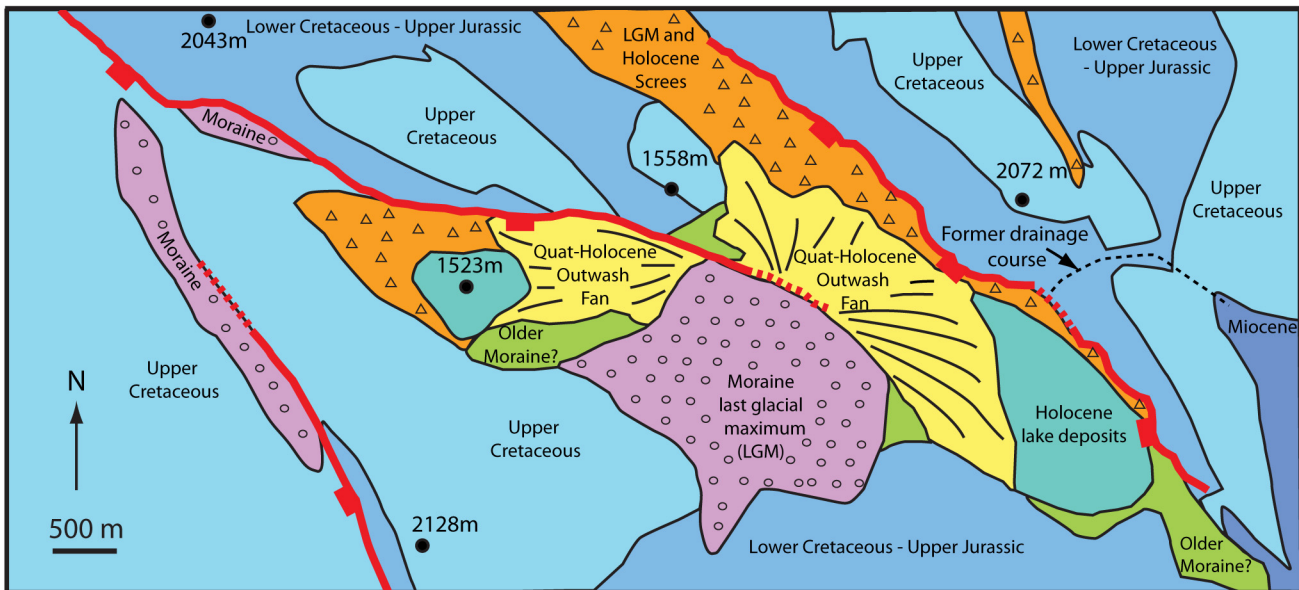


Figure 4

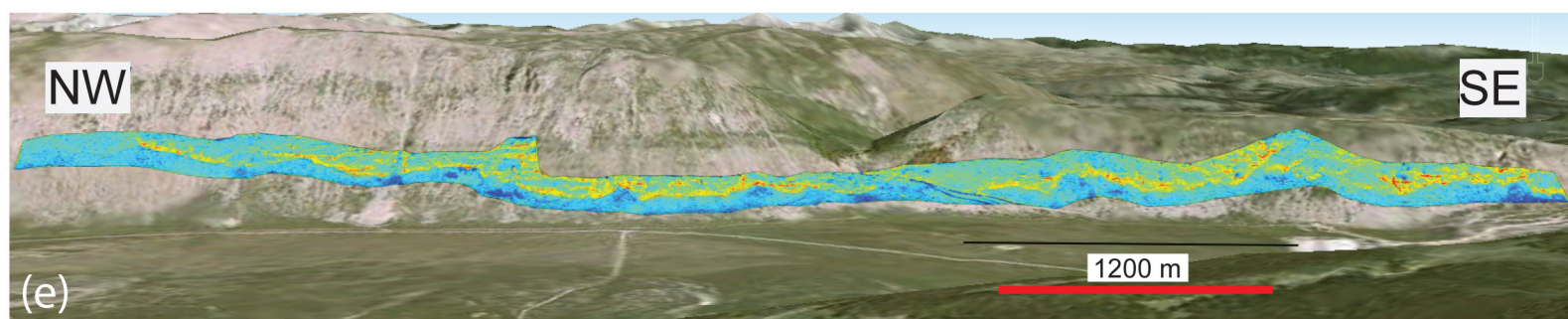
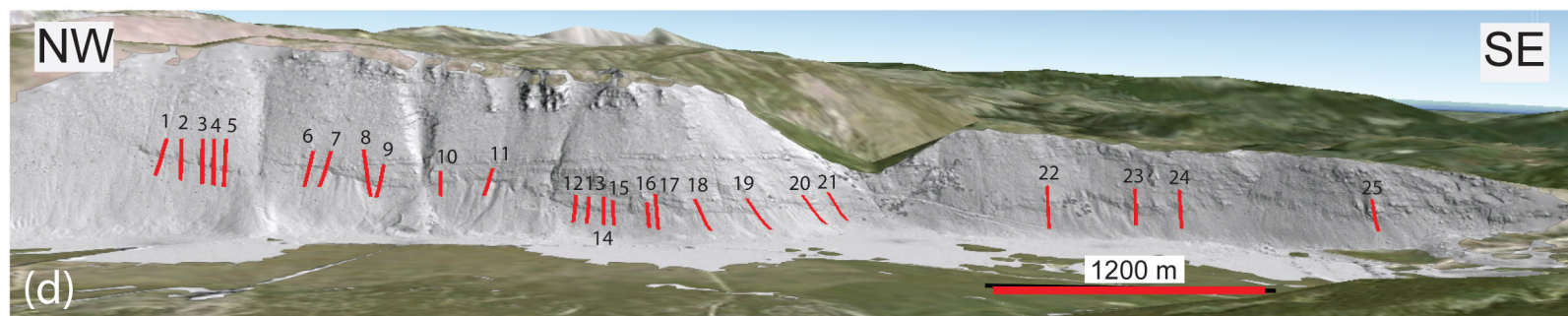
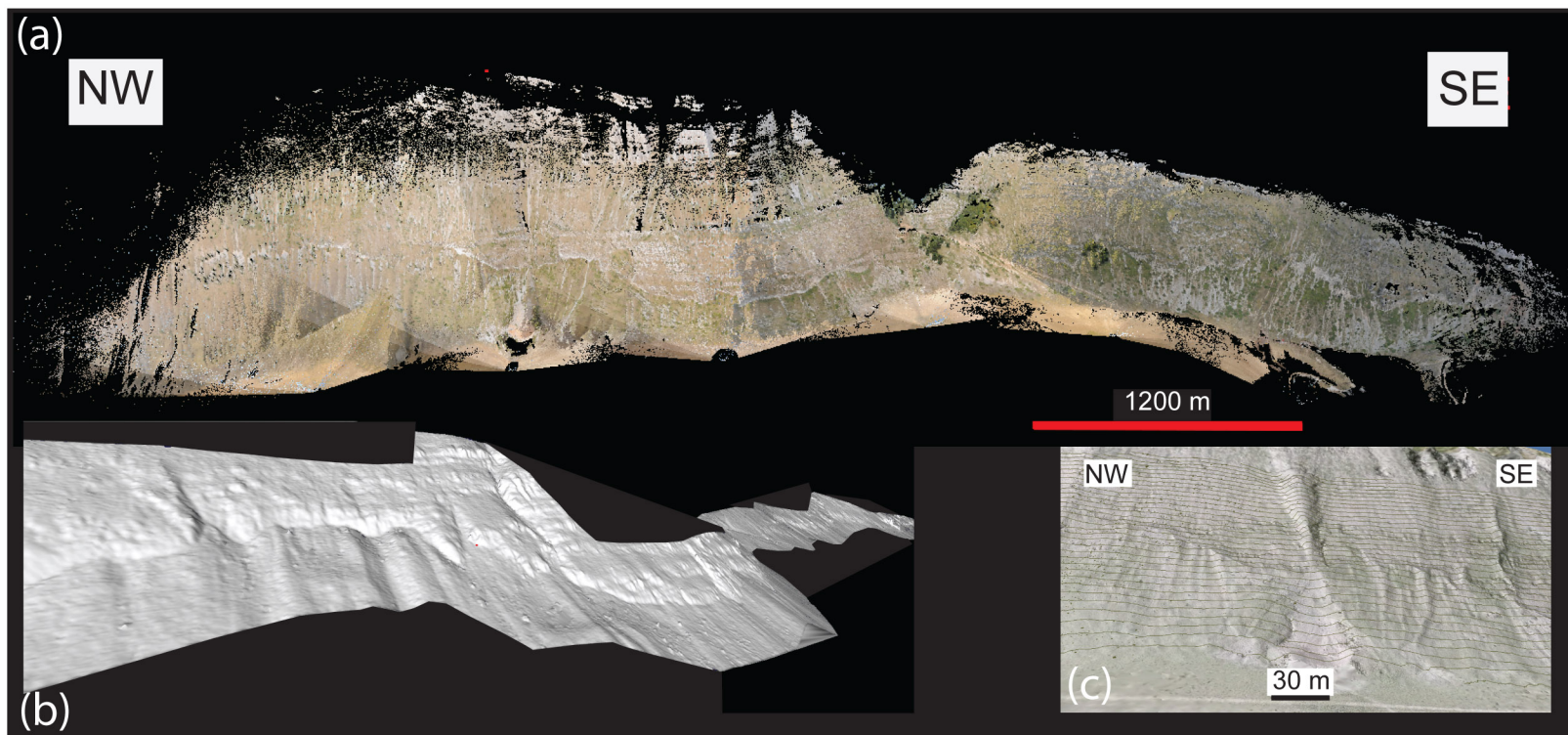


Figure 5

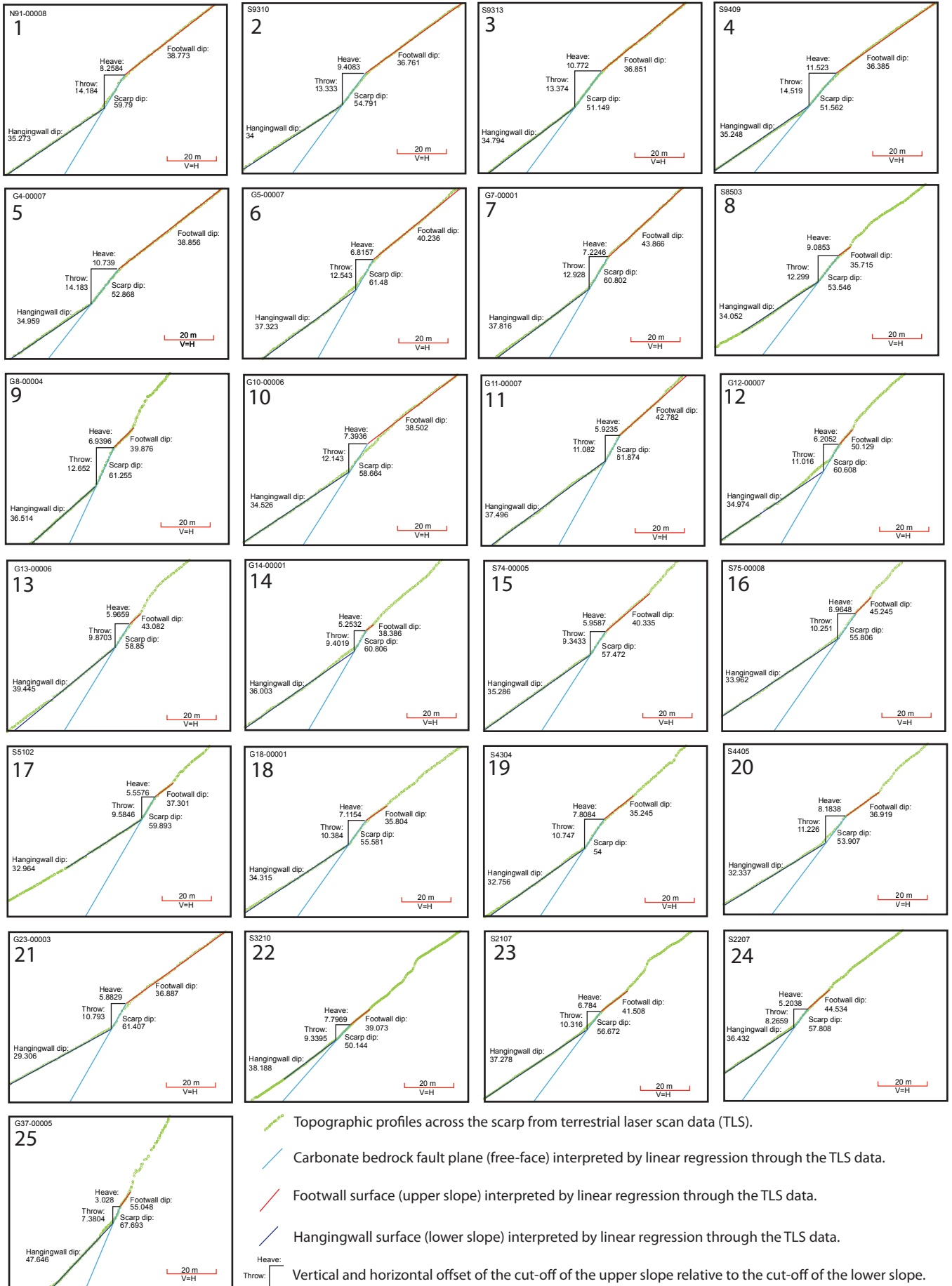


Figure 6

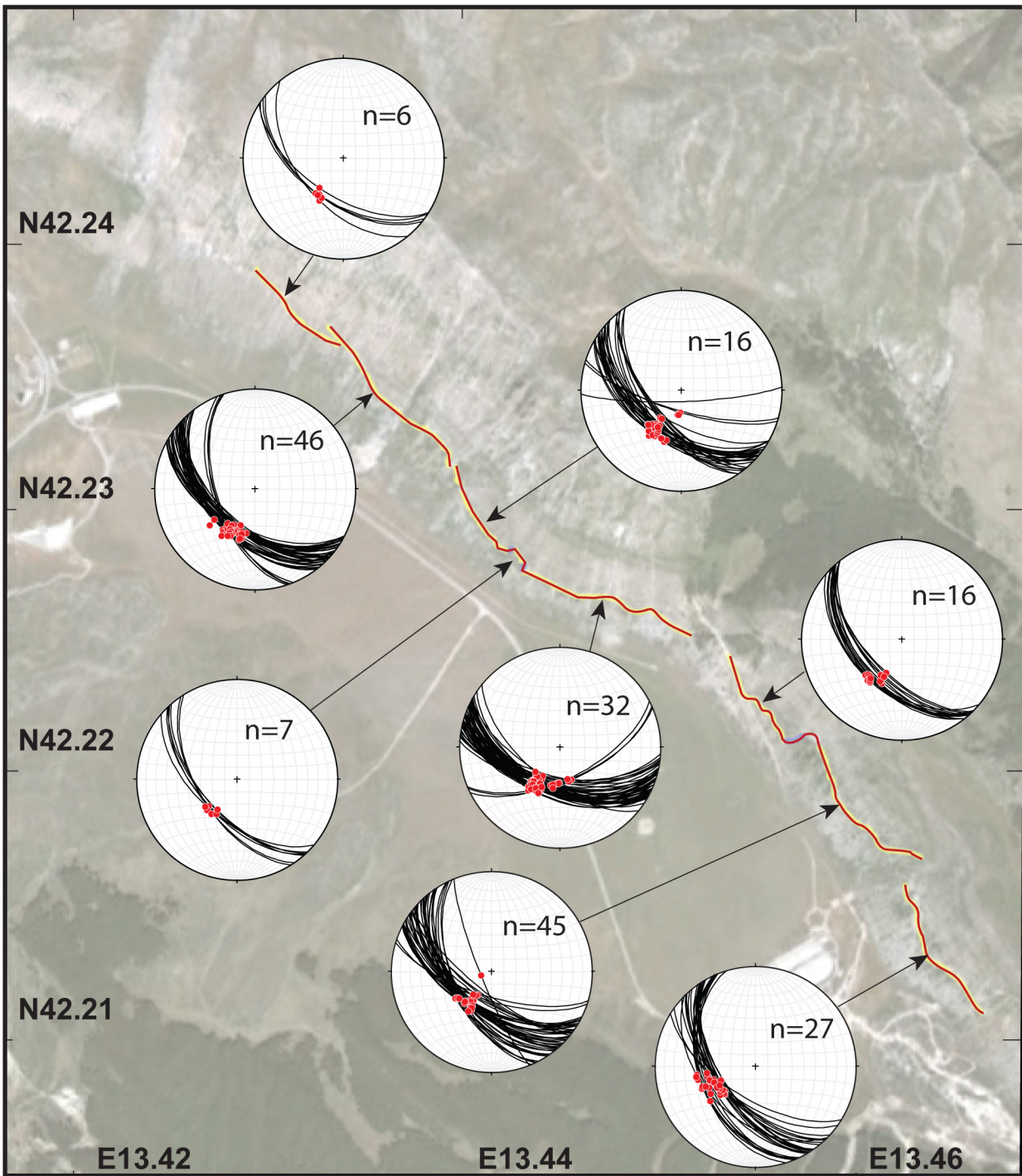


Figure 7

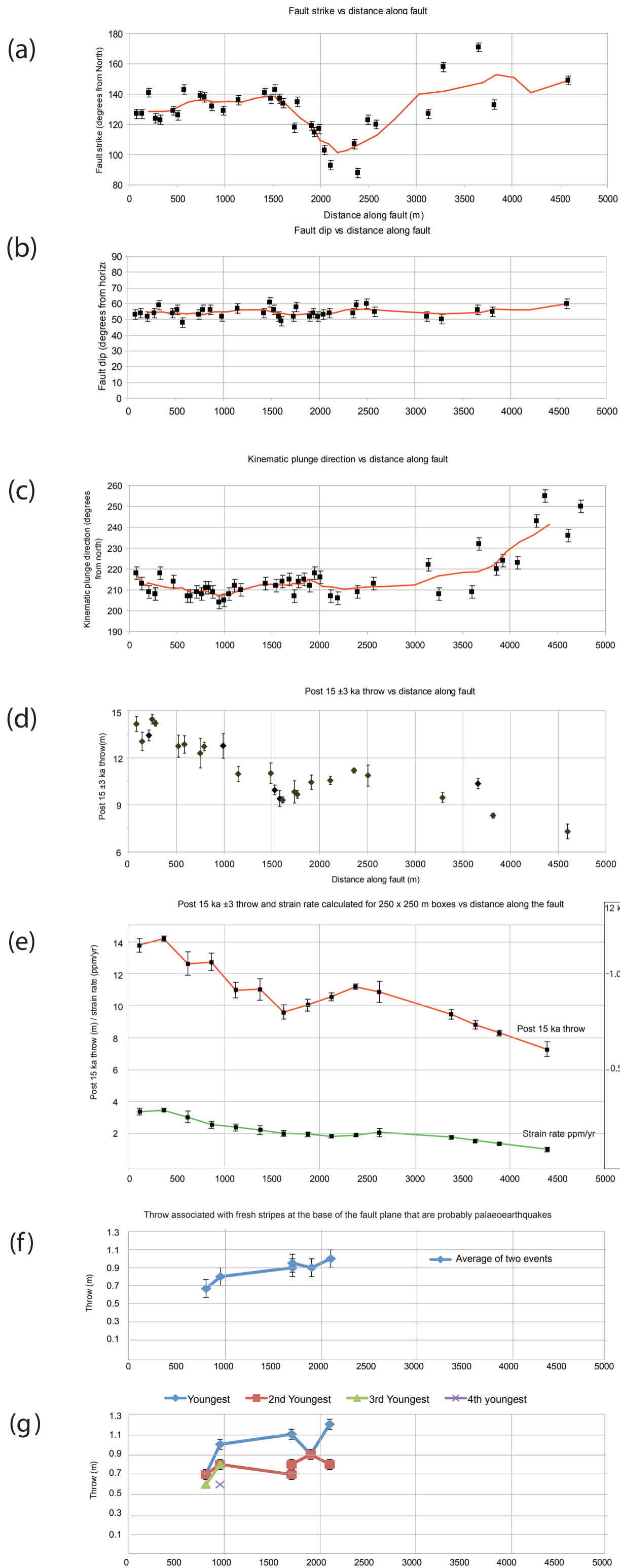
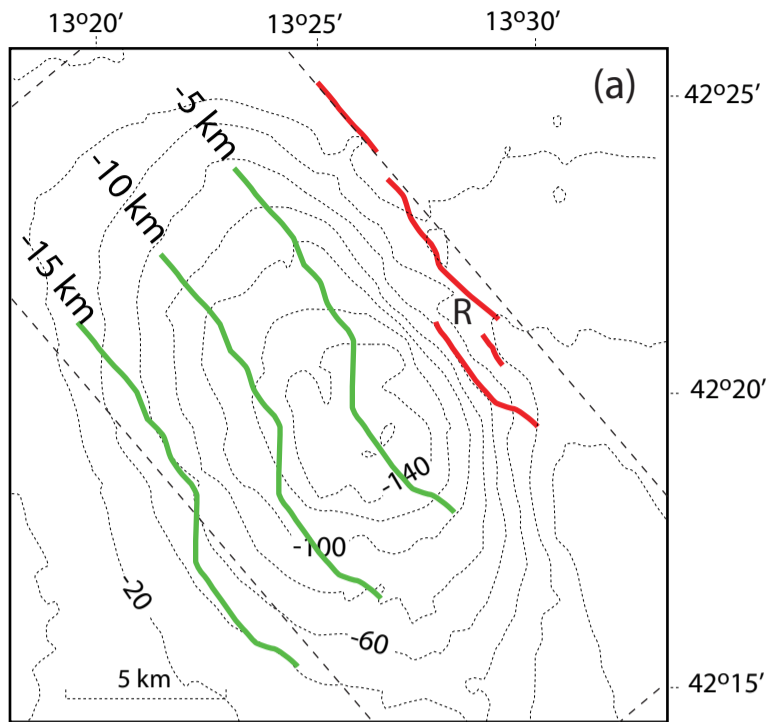


Figure 8



Map with schematic contours drawn on the fault plane at depth

