

# Tactile Sensing in Human-Computer Interfaces: The Inclusion of Pressure Sensitivity as a Third Dimension of User Input

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## Abstract

This paper presents a review of tactile technologies for human-computer interactivity via touch interfaces, where touch force is measured as a third dimension of user input along with touch location. Until recently, tactile technologies for computing applications have detected only the location of a touch (or several touches simultaneously) with no additional information about the force or pressure the user imparts to the interface. Such additional input may open up new applications in force-enhanced gestures, for example the touch force may dictate the linewidth used in drawing software, or the speed of a scroll gesture may be increased with increasing applied force. Here we review the underlying physical principles behind several force sensitive touch technologies. The latest innovations by leading technology developers, only available in the patent literature, are also described and where public data exists the force-resistance behaviours of several key technologies are compared in terms of their sensitivity and range of response. The advantages and disadvantages of each technology are discussed, along with the current and possible future applications in consumer electronics. It is shown that the concept of pressure-sensitivity as an additional user input mechanism is fast gaining traction, with many implementations already found in commercial products. Furthermore, a study of the patent trends shows that this functionality may soon become commonplace in the new generation of consumer electronic devices.

*Keywords:* Tactile sensing, Human-computer interactions, Touchscreen technology

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## 1. Introduction

Tactile sensing has become increasingly important in human-computer interactions (HCI), introducing novel and intuitive ways for the user to interact with a computer interface, such as in machinery control panels, point-of-information (POI) and point-of-sales (POS) kiosks, and device interfaces in consumer electronics. Touch may be detected on an integrated trackpad (such as in a laptop) or on a transparent touchscreen overlaid onto the display (for example in smartphones and tablets), thus eliminating the need for a separate touch interface as the user can directly interact with the icons shown on the underlying display.

Currently most touch interfaces can detect only the location of the touch, i.e. the device knows if and where it is being touched, but with no information about the force of the touch. However recent advances have begun to incorporate force or pressure sensitivity as a third dimension of user control. The pressure sensitive component may be incorporated directly into the touch location sensor. Alternatively, the pressure sensing component may take the form of force sensors external to the location sensing interface. This includes force sensors which are placed underneath the corners of the interface or force sensors found in an external device such as a pressure-sensitive stylus. The addition of pressure-sensitivity opens up new methods of interactivity, including pressure based text entry, menu selection and handwriting/signature recognition [1, 2, 3], and force enhanced gestures for scrolling, zooming and image manipulation [4, 5].

Force or pressure-sensitive tactile sensors can already be found in applications such as robotics and electronic skin [6, 7], and in biomedical applications such as bite force measurement in dentistry and human gait analysis [8, 9]. Here, tactile sensing may be defined as the “detection and measurement of contact parameters in a predetermined contact area and subsequent pre-processing of the signals at the taxel level, i.e., before sending tactile data to higher levels for perceptual interpretation” [10]. These applications have been the topic of many review articles which describe the latest research and innovation [11, 12, 13, 14].

Whilst there exist several reviews on the underlying technologies for location sensing in touch interfaces [15, 16, 17, 18, 19, 20] and advances in multi-touch and 3D gesturing [21, 22], to date there is no review in the literature which discusses the inclusion of pressure sensitivity into touch interfaces. The aim of this review paper is to draw together the various methods of adding pressure sensitivity to touch interfaces in HCI applications via specialised tactile sensors. First, we present a short introduction to the various methods of pressure sensing used for tactile applications,

38 along with the advantages and disadvantages of each. Then the applications of these  
39 sensors in HCI touch interfaces are discussed in detail. The technologies have been  
40 broadly split according to application, including keyboards, laptop trackpads, and  
41 transparent touchscreens. For the latter, a distinction is made between resistive and  
42 capacitive technologies. Together, these account for 80% of the total revenue and  
43 95% of all touchscreen units shipped in 2011 [15] and most pressure-sensing solutions  
44 are focussed here. However, the inclusion of pressure-sensing in other touchscreen  
45 technologies is also briefly discussed. A distinction is also made between pressure-  
46 sensing solutions which are incorporated directly into the touch module of the device  
47 (e.g. continuous thin films or 2D matrix arrays of sensors incorporated into the  
48 touchscreen structure) and a small number of discrete sensors placed outside of the  
49 touch module (e.g. four force sensors placed underneath the display).

## 50 **2. Pressure Sensing Mechanisms**

Sensor	Modulated Physical Parameter	Operating Principle	Manufacture Details	Advantages	Disadvantages
<b>Strain Gauge</b>	Resistance	Applied pressure causes change in length and cross-sectional area of conductive coil	Can be micro-machined and embedded into a polymer to create a thick film sensor array with mechanical flexibility	Well established design and manufacture processes Easily integrated into existing circuitry High spatial resolution achievable for micro-machined strain gauges	Response scales with surface area – can be large in the lateral dimension Insensitive to lateral force Sensitive to temperature fluctuation and humidity Less sensitive than piezoresistive sensors Non-linearity and hysteresis of response
<b>Piezoresistive</b>	Resistance	Applied pressure changes inter-atomic spacing such that electrons are promoted or demoted from conduction band	Can be micro-machined and embedded into polymer to create a sensor with mechanical flexibility	Well established design and manufacture processes High sensitivity, especially to low applied pressure Smaller lateral dimension than strain gauge High spatial resolution achievable for micro-machined piezoresistors	Piezoresistive material can be brittle and fragile Relatively costly materials When embedded into polymer there can be a loss in sensitivity
<b>Conducting Polymer Composite</b>	Resistance	Applied pressure deforms the composite resulting in more conduction pathways between filler particles	Can be printed by screen-printing or similar	Simple fabrication techniques mean low cost for large area fabrication Mechanically flexible and robust structure Low power consumption due to high resistance of off-state	Conduction is isotropic – can lead to low spatial resolution Hysteresis effects due to mechanical properties of polymer causes poor repeatability of response Typically have a low dynamic range
<b>Intrinsically Conductive Polymer</b>	Resistance	Applied pressure deforms the polymer causing current flow between adjacent polymer chains	Can be printed by screen-printing or roll-to-roll	Mechanically flexible and robust structure Low-cost large-area fabrication	Typically low sensitivity Conduction is isotropic - can lead to low spatial resolution
<b>Piezoelectric</b>	Voltage	Applied pressure causes redistribution of internal charge and produces a voltage	Can be printed by screen-printing or roll-to-roll	High sensitivity Mechanically flexible and robust structure	Cannot detect a dynamic force Requires amplifier to boost output signal Cross-talk between piezo- and pyroelectric effects Cross-talk between sensor elements in array
<b>Capacitive</b>	Capacitance	Applied pressure decreases the electrode separation and increases the mutual capacitance between the electrodes	Complex fabrication techniques, e.g. photolithography and thin-film deposition to produce complex 3D structure	High sensitivity Not affected by temperature variations Small sensor size leads to high spatial resolution	Sensitive to electromagnetic interference leading to poor signal to noise ratio Requires relatively complex circuitry with high power consumption Cross-talk between sensor elements in an array
<b>Inductive</b>	Magnetic inductance leading to a change in voltage	Applied pressure causes displacement of a magnetic core through a primary coil, inducing a voltage which is measured by secondary coil	Typically bulky, mechanical structure not suited for thick or thin film deposition techniques	High sensitivity and dynamic range High repeatability of response with little or no hysteresis	Bulky structure such that arrayed sensors would provide low spatial resolution Possible frictional losses between magnetic core and coil
<b>Optical</b>	Light intensity	Applied pressure deforms optical fibre and decreases the light intensity measured at CCD detector	Sensors may be produced by embedding optical fibres in a polymer	No cross-talk between sensors Insensitive to external electromagnetic noise Can be flexible and durable when embedded into polymer	Hysteresis effects due to mechanical properties of polymer leading to poor repeatability of response Signal can be attenuated by initial misalignment of the sensor leading to false-touch effects.

Table 1:  
Comparison of pressure-sensitive tactile technologies

51 The most commonly used tactile or touch pressure sensors are based on resistive,  
52 capacitive, piezoelectric, inductive and optical sensing. Each of these techniques has  
53 advantages and disadvantages which are summarised in Table 1. Further information  
54 on tactile sensors can be found elsewhere in the literature, for example Yousef *et al*  
55 give an excellent review of tactile sensor arrays for robotics applications, detailing  
56 the spatial resolutions of each sensor array discussed [13].

## 57 *2.1. Resistive Pressure Sensors*

### 58 *2.1.1. Strain Gauges and Piezoresistors*

59  
60 A piezoresistor exhibits a change in electrical resistance with applied stress. This  
61 type of response is seen in semiconducting materials including germanium and silicon  
62 (polycrystalline or amorphous). When a stress is applied to a semiconductor resistor  
63 with initial resistance  $R$ , the change in resistance  $\Delta R$  is given by

$$\Delta R = R(\pi_l \rho_l + \pi_t \rho_t) \quad (1)$$

64 where  $\pi$  is the piezoresistive coefficient and  $\rho$  is the applied stress along the  
65 longitudinal and transverse directions, denoted by the subscripts  $l$  and  $t$  respectively.  
66 The piezoresistive coefficient is related to the change in the inter-atomic spacing  
67 when a stress is applied to the material, making it easier or harder for electrons to  
68 be promoted into the conduction band.

69 Piezoresistivity may also be observed in metals, although the piezoresistive coef-  
70 ficient is often much smaller than that of semiconductor materials. Here, the effect  
71 is mostly due to the change in geometry of a conductor under applied stress which  
72 affects the current flow through the material. Strain gauges use this effect to detect  
73 applied pressure. They have long winding conductive coils so that when the sensor is  
74 deformed through an applied pressure the cross section of the coil decreases and the  
75 conduction length increases, thus decreasing the resistance through the coil. Strain  
76 gauges typically have a higher sensitivity than piezoresistors. However piezoresistors  
77 are capable of giving a higher output per unit area and are typically smaller in the  
78 lateral dimension.

79 Both piezoresistors and strain gauges can be embedded into an elastomeric poly-  
80 mer which provides mechanical flexibility. However the response of the sensor can  
81 then become prone to creep and hysteresis effects, especially for piezoresistive sensors.

### 82 *2.1.2. Conducting Polymer Composites*

83 Conductive polymer composites comprise electrically conductive filler particles  
84 dispersed into an insulating polymer matrix. The conductivity of the composite is

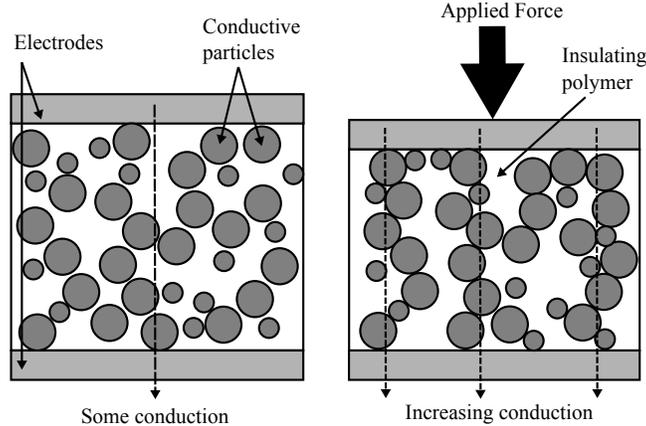


Figure 1: A network of conducting filler particles is dispersed in an insulating polymer matrix at a loading close to the percolation threshold and deposited between two electrodes. Compression of the composite increases the number of conductive pathways throughout the composite.

85 strongly dependent on the filler volume fraction and the nature of conduction be-  
 86 tween individual particles. At a low loading the particles are well dispersed and  
 87 there are very few conductive pathways through the composite, leading to high re-  
 88 sistance. At the critical particle loading (the percolation threshold) the conductivity  
 89 increases as a greater number of conductive pathways are formed. This is described  
 90 by percolation theory or effective medium models. At loadings close to the perco-  
 91 lation threshold the resistance becomes very sensitive to deformation. A pressure  
 92 sensor may be realised by fabricating a conducting polymer composite such that in  
 93 its natural undeformed state the filler content is close to the percolation threshold.  
 94 Then when the composite is deformed the spacing between filler particles decreases  
 95 producing a large increase in the conductivity of the composite. This mechanism is  
 96 represented in Fig.1. The sensors are naturally flexible and are usually robust with  
 97 a simple and well-established manufacture process. However, the response may be  
 98 prone to hysteresis effects and typically has a low sensing range. Some conductive  
 99 polymer composites have been fabricated to exhibit a large dynamic range in re-  
 100 sponse to pressure. In this case there is a high loading of conductive particles which  
 101 have a rough surface texture which is completely wetted by the polymer. Conduction  
 102 is via a pressure-induced quantum tunnelling conduction mechanism [23, 24, 25].

103 *2.1.3. Conductive Polymers*

104 For intrinsically conductive polymers, the flow of electrons is through the con-  
105 jugated backbone of the polymer which has either p-type or n-type doping. Com-  
106 pression of the polymer allows charge to transfer between adjacent polymer chains.  
107 Examples of intrinsically conductive polymers include polyaniline, polypyrrole and  
108 polyacetylene. Their use as a flexible pressure sensor has been well researched, for  
109 example see [26, 27] and in many cases they can be deposited using a screen-printing  
110 or roll-to-roll printing process [28]. Whilst their mechanical flexibility makes them  
111 robust sensors, in their basic form they are inelastic and typically exhibit a low  
112 sensitivity to applied pressure.

113 *2.2. Capacitive Pressure Sensors*

114 The capacitance change between a fixed electrode and a deformable electrode,  
115 separated by an air gap or other dielectric medium, may be used to detect an applied  
116 force. The capacitance between two plates of area  $A$  separated by distance  $d$  by a  
117 medium with permittivity  $\epsilon_r$  is given by

$$C = \epsilon_0 \epsilon_r \frac{A}{d}. \quad (2)$$

118 Hence, a change in the spacing between electrodes, for example caused by a force  
119 applied to the upper electrode, can result in a measurable change in capacitance. It  
120 is also possible to use a spacer layer whose dielectric properties change with applied  
121 force. Capacitive sensors show high sensitivity even at low applied forces and they  
122 are insensitive to temperature variations. Sensor arrays can be printed onto flexible  
123 thin films, for example, Pritchard *et al* demonstrate an array of capacitive sensors  
124 with 150 nm thick gold electrodes and a 1.5  $\mu\text{m}$  thick Parylene C dielectric layer [29].  
125 Substrate dependent, the sensors can be very thin and the sensor arrays are capable  
126 of giving a high spatial resolution. However complex circuits are often required to  
127 address and read-out from each capacitive sensor in the array and there is a problem  
128 of cross-talk between nearby sensors. The sensors also have a high sensitivity to  
129 external electromagnetic interference.

130 *2.3. Piezoelectric Pressure Sensors*

131 Piezoelectric materials undergo a change in the surface charge density with the  
132 application of stress, due to either the formation or realignment of induced dipoles  
133 within the material. When the piezoelectric material is placed between two elec-  
134 trodes, a voltage can be measured where the amplitude is directly proportional to  
135 the stress applied and to the piezoelectric coefficient. Pyroelectric materials gen-  
136 erate a voltage due to changing temperature. When thermal energy is absorbed

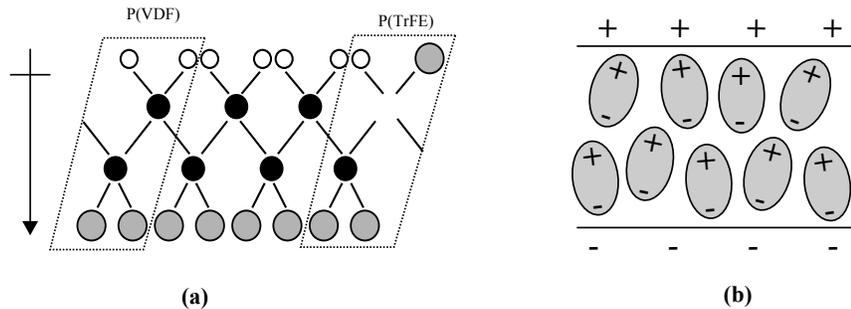


Figure 2: (a) Molecular arrangement inside a P(VDF-TrFE) nanocrystal, where black circles represent carbon atoms, grey circles represent fluorine atoms and white circles represent hydrogen atoms. The distribution of electrical charge produces a permanent dipole moment. (b) Thin polymer film containing nanocrystals of P(VDF-TrFE). Electrical poling results in the alignment of the nanocrystal dipoles along the direction of the applied electric field. Compression of the film will cause a change in dipole orientation, inducing an electrical signal.

137 the material expands or contracts, again changing the surface charge density. The  
 138 ferroelectric polymer polyvinylidene fluoride (PVDF) exhibits both a large piezoelec-  
 139 tric and pyroelectric responses and can be printed to form a transducer or pressure  
 140 sensor device [30, 31, 32]. The copolymer P(VDF-TrFE) (polyvinylidene fluoride-tri-  
 141 fluoroethylene) is often used as it has a greater crystallinity after annealing. The  
 142 structure of P(VDF-TrFE) is shown in Fig.2(a). The alignment of hydrogen and flu-  
 143 orine atoms give the structure a permanent electric dipole moment. Fig.2(b) shows  
 144 a thin film composed of P(VDF-TrFE) nanocrystals, where the dipoles have been  
 145 aligned through the application of an electric field double that of the coercive field  
 146 strength (a process called poling). Upon compression of the film by an applied force,  
 147 the orientation of the dipoles is altered and an electrical signal is induced.

148 Once touched, the induced voltage discharges over a short time-scale through the  
 149 internal resistance of the PVDF layer, and this is a large problem for the detection of  
 150 static forces. This technology is therefore unsuitable for the detection of a constant  
 151 force. There can also be a problem of cross-talk between adjacent sensors in an array.  
 152 However the voltage output can be large even for small deformations due to the high  
 153 sensitivity of the piezoelectric material, and the sensor elements do not require a  
 154 power supply. They can be printed, or otherwise deposited, onto flexible substrates  
 155 making them well suited for flexible applications.

#### 156 2.4. Inductance Pressure Sensors

157 A primary conductive coil induces a magnetic field which is then sensed in a  
158 secondary sensing coil. This principle is used in the linear variable differential trans-  
159 former (LVDT), where displacement of a magnetic core through the primary coil  
160 changes the induced voltage measured in the sensing coil. This voltage is directly  
161 proportional to the length of core magnetically coupled to the sensing coil. The LVDT  
162 is primarily used as a displacement sensor. Displacing the magnetic core changes the  
163 coupling length between core and coil and produces a measurable change in the  
164 amplitude and phase of the voltage in the sensing coils. The displacement of the  
165 magnetic core can also be linked to the force applied to it so that this type of sen-  
166 sor is also suitable for force or pressure measurement. The sensitivity and dynamic  
167 range of these sensors are typically very high, however they can be quite bulky so  
168 that a sensor array may give a low spatial resolution. However, they show virtually  
169 no hysteresis effects and have a high repeatability.

#### 170 2.5. Optical Sensors

171 A basic optical pressure sensor consists of an LED light source and a CCD detector  
172 separated by a length of optical fibre. When a force is applied to sensor, the optical  
173 fibres bend and the light received at the CCD is attenuated. It is possible to embed  
174 a mesh of optical fibres into an elastomer to produce a flexible pressure sensitive  
175 sensor [33]. Optical sensors are insensitive to electromagnetic noise and suffer no  
176 cross-talk effects between adjacent sensors. They can be robust and flexible when  
177 embedded into a polymer matrix. However initial bending or misalignment of the  
178 sensor may produce unwanted signal attenuation and false-touch effects.

### 179 3. Sensor Requirements and Considerations for Applications in HCI Touch 180 Interfaces

181 A force sensor may be defined as giving a constant reading as a function of applied  
182 force irrespective of the contact area. A pressure sensor will give, with a constant  
183 applied force, a reading which is inversely proportional to the area of applied force.  
184 Most sensors described in this review are a combination of both, where the sensor  
185 output depends on both the applied force and the contact area. However, the term  
186 ‘force sensitive’ is often used in the literature and especially in the patents when  
187 describing these devices. The devices are not always true force sensors and are not  
188 designed to measure exact levels of applied force. Rather, the device is designed  
189 to detect varying *levels* of applied force. The software can then execute a specific  
190 response depending on the force level detected, such as a light touch or a hard press.

191 For the purpose of HCI touch interfaces a light touch may be of the order of 0.1 N  
192 and a hard press up to 10 N. This force may be detected indirectly through:

- 193 • An increase in contact area between electrodes, associated with applying a  
194 force to a specific area of the device (purely a surface effect)
- 195 • Deformation of one electrode relative to the other causing either
  - 196 – Compression of a piezoresistive layer deposited between the electrodes,  
197 and therefore producing a change in resistance through the sensor
  - 198 – Straining of a piezoelectric layer deposited between the electrodes, and  
199 measuring a change in voltage across the sensor
  - 200 – A change in capacitance between the two electrodes resulting from the  
201 change in spacing between them
- 202 • A combination of the above.

203 Of course, in real-world applications force is applied via a human fingertip or  
204 a stylus over a finite area. For the former, the area over which the force applies  
205 depends upon the force itself – as a human fingertip is compliant by nature and the  
206 harder the press the larger the touch area. It is often assumed this contact area  
207 remains constant, and testing of the touch interfaces usually involves a probe of  
208 fixed dimension. The area over which the force applied is important, especially for  
209 touchscreens which rely on deformation of the upper electrode. For the same value  
210 of force, a larger area upon which the force acts will result in a smaller maximum  
211 deflection than if the force is applied over a smaller area. Hence only a measure of the  
212 pressure will allow direct comparison between technologies for which the dimensions  
213 of the testing probe are different. However, in many cases (especially for those in the  
214 patent literature) this level of detail is not provided. Often in the patents the applied  
215 force is quoted in units of mass. Here we have approximated 10 g as equal to 0.1  
216 N force for purposes of simplification. Throughout this review, the term pressure–  
217 sensitive is used to describe touch interfaces capable of detecting applied levels of  
218 force over a fixed contact area as described above. Where the dimensions of the  
219 test probe are identical, the response is quoted in units of force. For comparison  
220 of different technologies for which the available data is collected using probes of  
221 different dimensions, whenever possible the response is quoted in terms of pressure.  
222 If there is no data on contact area, the force is used instead with the caveat that  
223 direct comparison is difficult.

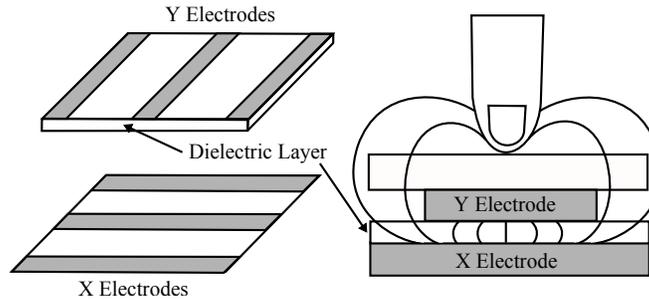


Figure 3: Projected mutual capacitive touchscreen. The approach of a conductive object such as a finger detracts from the charge stored between two fixed electrodes, resulting in a change in capacitance. For a matrix array of electrodes, each electrode intersection is capable of measuring a change in capacitance and hence a touch event.

224 The specific requirements for each sensor are strongly dependant on the intended  
 225 application and how the input pressure is intended to be used. For example, in a  
 226 keyboard the input pressure may be measured underneath each key and may define  
 227 whether the output is an upper or lower case letter. Here, a distinction need only be  
 228 made between a light touch and a hard press. For touchscreens overlaid on top of a  
 229 display (either LCD or OLED), or for a trackpad of a laptop or similar, it may be  
 230 advantageous to differentiate many different levels of pressure. Here, such detailed  
 231 pressure information may be beneficial, for example for controlling brush stroke size  
 232 in drawing software.

## 233 4. Applications of Pressure Sensors in HCI Touch Interfaces

### 234 4.1. Capacitive Touchscreens

#### 235 4.1.1. Projected Capacitive Touchscreens

236 Projected capacitive (P-Cap) touchscreens work by measuring a change in ca-  
 237 pacitance associated with the increasing proximity of a finger to the touch interface.  
 238 (Note that this is an entirely different principle to capacitive pressure sensors as  
 239 described in Section 2.2 which detect pressure by the change in separation of two  
 240 conductive electrodes). In self-capacitive systems, the capacitance of the human  
 241 body acts to increase the self-capacitance of a single electrode. For mutual capaci-  
 242 tive systems, the approaching finger detracts from the charge stored between a pair  
 243 of electrodes and reduces the capacitance between the electrodes, as shown in Fig.3.

244 The transparent electrodes, usually Indium doped Tin Oxide (ITO), are printed in a  
245 matrix pattern such as rectilinear rows and columns or interlocking diamonds. Each  
246 electrode intersection is scanned individually, allowing every touch to be registered.

247 Capacitive touchscreens (specifically P-Cap) are currently the market leader for  
248 consumer electronics applications. With the release of the Apple iPhone in 2007,  
249 capacitive technology became mainstream and is now the standard for touchscreens  
250 in consumer electronics. Surface capacitive touchscreens are also available but are less  
251 common. Further information on all types of capacitive touchscreens can be found in  
252 the literature [15, 16, 17]. P-Cap touchscreens can only detect input from a human  
253 finger or conductive stylus and are highly sensitive to electronic noise. Performance is  
254 hindered by surface moisture or other screen contaminants. Because each electrode  
255 intersection is scanned using a high sampling rate the power consumption is high  
256 compared to resistive touch screens. However, they currently have higher spatial  
257 resolution than resistive touchscreens, require a very low activation force and are  
258 more durable due to their rigid design. These benefits have led to the dominance of  
259 P-Cap in touch interfaces for smartphones, tablets and trackpads.

260 Previous attempts to measure applied pressure in P-Cap touchscreens associated  
261 the size of the contact area with the force applied, as a harder press will result in a  
262 greater contact area between finger and screen due to the compliant nature of the  
263 human fingertip. A larger contact area means that more electrode intersections are  
264 triggered and by integration of the capacitance values recorded at each intersection  
265 the contact area can be calculated and the applied pressure can be estimated. This  
266 approach has been demonstrated in [34, 35]. A difference in contact area may  
267 also be used to differentiate between adult and child input and to adjust the device  
268 functionality accordingly [36]. However, one potential issue is that this approach  
269 requires additional calibration to compensate for variation in user finger sizes and  
270 has limited accuracy. For example, without user calibration the method cannot  
271 distinguish between a hard press from a small finger and a light touch from a large  
272 finger. It is difficult to detect anything beyond a moderately hard press, beyond  
273 which the touch area does not increase significantly.

#### 274 4.1.2. *In-Cell ‘Pressed’ Capacitive Touchscreens*

275 In-cell touch refers to the internalisation of the touch sensors inside an LCD pixel  
276 array. As such, this technology is currently only found in the established LCD display  
277 industry and is not currently available for the newer OLED displays. Typically the  
278 sensor is integrated into the thin film transistor (TFT) array, the colour filter layer,  
279 or both. This should eliminate the need for further cover sheets or coatings on top  
280 of the LCD display. The benefit is that both the touch interface and the display are

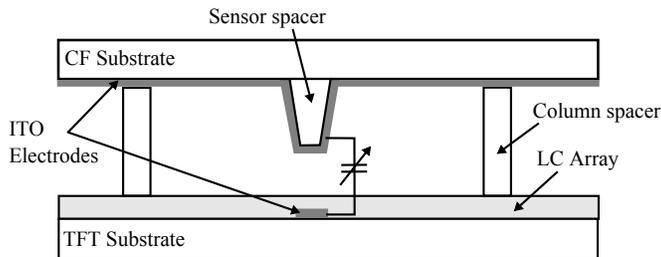


Figure 4: Pressed capacitive in-cell touch interface. The touch electrodes are incorporated into the LCD display and are deposited on the thin film transistor (TFT) substrate and the colour filter (CF) substrate. When the top surface of the LCD is pressed the colour filter substrate deforms, reducing the distance between the two electrodes and causing an increase in the mutual capacitance.

281 made as one manufacture process.

282 There are three main in-cell touch technologies; capacitive, voltage and light  
 283 sensing, full details of which can be found elsewhere [37]. To the author’s knowledge  
 284 pressure–sensitivity has not yet been incorporated into in–cell voltage or light sensing  
 285 technologies.

286 In-cell capacitive sensing has had the most success in terms of total research and  
 287 commercial products, and can be further categorised as pressed, self, or mutual ca-  
 288 pacitive depending on the operating principles. The principles for the self and mutual  
 289 capacitive are the same as for the P-Cap technology described earlier. However in  
 290 the case of in-cell technology the touch electrodes are incorporated into the display  
 291 module instead of being manufactured entirely separately from the display, allowing  
 292 for thinner, lighter devices. Mutual in-cell P-Cap touchscreens, developed by LG  
 293 Display for Apple, Inc. can be found in the iPhone 5 and iPhone 6 models. However,  
 294 just like for P-Cap technology, internalising the detection of applied pressure is not  
 295 currently possible.

296 ‘Pressed’ capacitive in-cell touch sensing elements consist of two electrodes: a  
 297 sensor spacer is incorporated onto the colour filter glass, and a flat electrode is  
 298 deposited onto the TFT layer, underneath the liquid crystal array of the LCD. Often  
 299 there is a further column spacer to prevent full contact between the two electrodes,  
 300 as demonstrated in Fig.4. This uses the sensing principle described in Section 2.2.  
 301 When a force is applied to the upper surface of the LCD, the colour filter glass  
 302 deforms, causing the spacing between the electrodes to decrease and/or the dielectric  
 303 constant of the liquid crystal material to change. Then, by Equation 2, a change

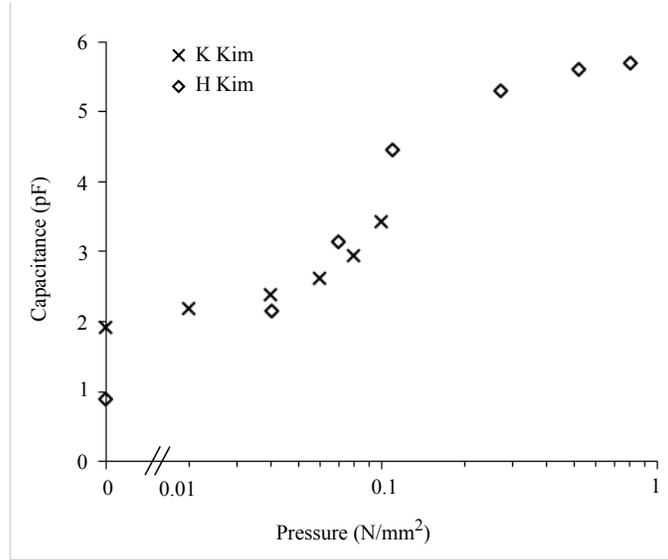


Figure 5: Capacitance output as a function of applied pressure, using data taken from [38, 40].

304 in the mutual capacitance between the two electrodes may be measured. In this  
 305 way both touch location and touch force can be measured. Note that although the  
 306 mutual capacitance is measured, this is very different to the mutual P-Cap technology  
 307 described earlier. The electrode configuration is different, and in this case the mutual  
 308 capacitance is changed by a physical force rather than the approach of a conductive  
 309 object which ‘steals’ charge from the electrodes, as is the case for P-Cap. The touch  
 310 resolution depends on the number of display pixels per touch sensor (and therefore  
 311 the total number of touch sensors present in the entire display). This is typically in  
 312 the range of 4:1 (high touch resolution) to 16:1 (lower touch resolution).

313 Because of the relation between the deformation (electrode separation) and the  
 314 capacitance, this technology seems a promising candidate for the detection of pres-  
 315 sure. Research has been conducted which investigates the change in capacitance with  
 316 applied force. H. Kim *et al* designed and fabricated a 20x20 array of pressed capaci-  
 317 tive touch sensors [38, 39]. Indium Zinc Oxide (IZO) electrodes were deposited onto  
 318 flexible polycarbonate films. On the lower electrode an insulating layer of the poly-  
 319 mer SU-8 was deposited at a thickness of 5  $\mu\text{m}$ . The two electrodes were separated  
 320 using spacer columns of SU-8, creating a void space 8  $\mu\text{m}$  in height between the two  
 321 electrodes. The insulator and spacer layer was formed from the polymer SU-8. The  
 322 total thickness of the sensor array was 253  $\mu\text{m}$  and an average optical transmittance

323 of 86 % in the visible light range (380–770 nm) was measured. A force gauge with a  
324 contact area of 1 mm × 1 mm was used to apply force up to 0.8 N. The data, taken  
325 from [38], is replicated in Fig.5 which shows the measured capacitance as a function  
326 of the applied pressure on the touchscreen. It can be seen that the capacitance in-  
327 creases linearly from an initial value of 0.9 pF at zero pressure to around 4.5 pF at  
328 an applied pressure of 0.1 Nmm<sup>-2</sup>. After this the capacitance value saturates. Nu-  
329 merical simulation confirmed that a force of 70 mN applied over 1 mm<sup>2</sup> was required  
330 to deflect the top electrode by 8 μm. At this maximum deflection the electrodes are  
331 in contact and there will be no further increase in the capacitance. Whilst this result  
332 demonstrates the principle of pressure sensing via pressed capacitive touch sensors,  
333 this particular sensor is only capable of differentiating applied force up to around  
334 0.1 N and cannot differentiate anything beyond a light touch (0.1 N). Therefore, the  
335 pressure sensing capabilities are very limited.

336 K. Kim *et al* designed a similar sensor array using single wall carbon nanotube  
337 (SWCNT) electrodes separated by a compressive silicone gel [40]. The cross-array of  
338 electrodes were formed by scribing and patterning of SWCNT coated PET substrates.  
339 The electrode separation (silicone thickness) was approximately 500 μm. The optical  
340 transmittance was 81 % measured at a wavelength of 550 nm. The touchscreen was  
341 tested at forces from 0 to 5 N using a probe with diameter of 8 mm. The pressure-  
342 capacitance response, using data replicated from [40], is shown in Fig.5. It can be  
343 seen that the capacitance increases from an initial value of 1.92 pF at zero applied  
344 pressure to 3.42 pF at a pressure of 3.5 Nmm<sup>-2</sup>. It is clear that the magnitude of the  
345 electrode separation plays an important role in determining the range of forces the  
346 touchscreen is sensitive to. When contact area is taken into account (as the same  
347 force, applied over a larger area will result in a smaller vertical displacement than for  
348 a force applied over a smaller area) a greater saturation force may be achieved using  
349 a greater electrode separation. However in practice a large electrode separation is  
350 disadvantageous, as it adds significantly to the overall thickness of the touchscreen.  
351 For devices such as smartphones and tablets, slimness is often prioritised.

352 One potential problem with the pressed-capacitive approach is that the electrode  
353 spacing, and therefore capacitance change, tends to be very small and the signal  
354 to noise ratio (SNR) is low. This is especially true for low applied forces. Noise is  
355 introduced by capacitive coupling between the force sensing and the display circuitry  
356 and is also inherent within the LCD. Often, more complicated circuitry is required  
357 to boost the signal and reduce the noise in the system. A research group affiliated  
358 to Sharp Laboratories have developed one method of overcoming the problem of  
359 poor SNR [41]. A high sensitivity active pixel sensor (APS) circuit is used along  
360 with in-pixel signal amplification. The circuitry of the force sensors and LCD are

361 kept separate by using a series of bumps on the upper deformable electrode. The  
362 conductive coating on these bumps is electrically separate from the pixel electrodes.  
363 On the bottom electrode, a guard ring is etched around the sensor capacitor structure  
364 to electrically isolate the liquid crystal material in the force sensing region from the  
365 display pixel region. This reduces the electrical noise and allows in-pixel amplification  
366 of the sensor signal. Whilst there is no information regarding the thickness of the  
367 sensor build, the output voltage (calculated from the change in capacitance) is found  
368 to increase for applied forces between 0 and 2.5 N. The sensitivity of the response  
369 can be further modified by variations in the APS circuitry. C. Kim *et al* have also  
370 designed a similar device using active matrix circuitry [42]. In this case, the electrode  
371 gap is just 0.5  $\mu\text{m}$ . However, only forces up to 0.2 N have been investigated using  
372 a 0.8 mm test probe diameter. The complex circuitry can often lead to a high  
373 power demand in these devices. Huang *et al* have counteracted this by using an  
374 algorithm which rectifies the non-linear relationship between applied force and output  
375 capacitance [43]. The touchscreen prototype by Chen *et al* can measure both normal  
376 and shear forces using an offset electrode pattern [44].

377 Despite the advances in read-out circuitry and enhanced SNR, a fundamental  
378 problem of pressed in-cell capacitive sensors for touchscreen technologies is the poor  
379 durability. Because the device is reliant on deformation of the color filter glass layer,  
380 there can be no protective cover glass on the top surface of the device. A cover glass  
381 layer is vital in high-end applications such as smartphones and tablets, to protect the  
382 LCD display from damage. Cover glass is just not compatible with pressed in-cell  
383 technology, as a greater activation force would be required to produce any response,  
384 making the device insensitive to light touches. Because of the greater stiffness of a  
385 thick cover glass layer, the area of deflection becomes larger for a given force resulting  
386 in greater error in the measured touch location. Furthermore, applying pressure to  
387 an LCD display can cause image artefacts which can last even after the finger is  
388 removed from the screen.

389 Several key technology companies, including Synaptics and Apple Inc., have  
390 patent applications which describe the incorporation of a pressed capacitive layer  
391 into a touchscreen [45, 46, 47]. In this format there are usually three sets of elec-  
392 trodes, where the lower two define a p-cap location sensor and the third is printed  
393 onto a deformable substrate which lies at the top of the electrode stack. The capac-  
394 itance change between these electrodes and the uppermost in the p-cap sensor array  
395 can be used to quantify the applied force. However, to the author's knowledge this  
396 type of touchscreen can at present only be found in the Samsung ST550 and TL220  
397 cameras. Here, the user is advised not to use sharp objects on the screen, and is  
398 further warned about the potential of discolouration of the LCD screen if the screen

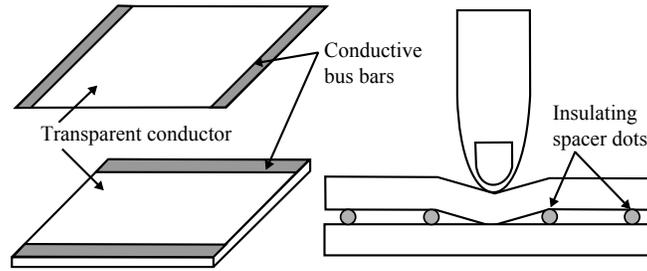


Figure 6: Four-wire resistive touchscreen. Two transparent electrodes are separated by an array of spacer dots. Touch by any object causes the upper electrode to deform and contact the lower electrode. The resistance of the electrode material acts as a voltage divider, where the ratio of the measured voltages give the location of the touch. In this format, only a single touch can be detected at any one time.

399 is pressed too hard [48]. The pressure sensitivity of this kind of touchscreen is not  
 400 utilised at all in the camera.

#### 401 *4.2. Resistive Touchscreens*

402 Resistive touch sensing was first commercialised by Elographics, Inc. in 1971,  
 403 with a transparent touchscreen produced in 1977. A four-wire resistive touchscreen  
 404 is shown in Fig.6. Two substrates, one of which must be sufficiently flexible, are  
 405 coated with a transparent conductor such as ITO to form the electrodes. These  
 406 are separated by an air gap created by small spacer dots (for example insulating  
 407 glass beads) which prevent initial contact. When the user presses onto the flexible  
 408 substrate, the two electrodes make electrical contact and a voltage is measured. The  
 409 resistance of the ITO acts as a voltage divider, so that the ratio of voltages measured  
 410 can be used to determine the position of the touch. In the four-wire format only  
 411 a single touch may be detected at any one time. However, in 2005 JazzMutant  
 412 (renamed Stantum in 2007) developed multi-touch resistive touchscreens using their  
 413 patented Interpolated Voltage Sensing Matrix (iVSM) [49]. Here, the top and bottom  
 414 electrodes are deposited in rows and columns, with each intersection forming a square  
 415 with sides of 1.5 mm. Each square acts as a digital switch, with a current flowing  
 416 when top and bottom electrodes make contact.

417 The input for a resistive touchscreen can be applied by a finger or any other  
 418 (non-sharp) object, whether conductive or insulating. They have a lower power  
 419 consumption than capacitive-style touchscreens as current only flows in the active

420 on-state, and they are also cheaper per unit area. However, the main disadvantages  
421 are that only a single touch can be detected (in the 4-wire configuration) and the  
422 durability is poor, as ITO printed onto a flexible substrate is known to crack and  
423 flake when flexed [50]. A high activation force is also required that depends on the  
424 mechanical flexibility of the upper electrode and the depth of the air gap. Current  
425 applications for resistive touchscreens are usually in the commercial and industrial  
426 markets, for example retail point-of-sales and point-of-information kiosks, automo-  
427 tive and industrial touch controls.

428 For transparent touchscreens, it is possible to print a pressure-sensitive layer  
429 directly onto one of the transparent electrodes, for example using a screen-printing  
430 process. With a deformable upper electrode an applied pressure will act to modify  
431 the resistance of this layer. For a matrix array of electrodes, the resistance at each  
432 intersection is modified by the pressure-sensitive layer. The intersection with the  
433 lowest resistance corresponds to the touch location, and the resistance value indicates  
434 the level of applied pressure. For such a layer, several technical requirements must  
435 be met. For use in a touchscreen overlaying a display, there must be appropriate  
436 light transmission through the layer. The response must be uniform across the layer  
437 and be repeatable for a large number of presses. The resistance of the layer must  
438 show adequate variation over a range of forces from a light touch (0.1 N to a hard  
439 press (10 N), in order to create a number of pressure levels that can be differentiated  
440 by the read-out electronics. The layer should also be responsive at very light touches  
441 in order to minimize the activation force. Here we review several pressure sensitive  
442 layers that can be incorporated into a resistive touchscreen. They all comprise a  
443 transparent conductive polymer composite as described in section 2.1.2 deposited  
444 between two (transparent) electrodes, but the structure of the composite material is  
445 different in each case.

446 Motorola Solutions, Inc. ('Motorola') have developed a transparent pressure sen-  
447 sitive conducting polymer composite for use in touchscreen applications which is  
448 currently patent pending [51]. Conductive nanoparticles less than 100 nm in size,  
449 e.g. In-doped  $SnO_2$  (ITO),  $SnO_2$  or ZnO, are dispersed in a translucent insulating  
450 polymer such as a phenoxy resin, polyether, acrylic or silicone. The composite can be  
451 deposited onto a transparent electrode by spin coating, dip coating or screen print-  
452 ing and is then cured to produce a layer 1-10  $\mu\text{m}$  thick. A prototype multi-touch  
453 enabled touchscreen using this pressure sensitive layer has been demonstrated [52].  
454 The particle loading is 20-30 % by volume, and the layer is printed at a thickness  
455 of 1  $\mu\text{m}$  and sandwiched between perpendicular arrays of transparent conductive  
456 electrodes. Optical transmission through the pressure sensing layer is at least 94  
457 % of transmission through glass. A contact pressure cannot be established as no

458 information is given on the area of the force-testing probe.

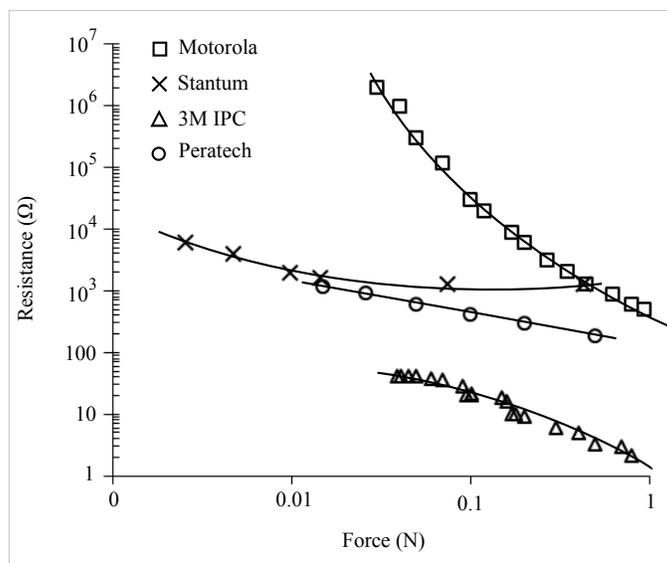


Figure 7: A comparison of the force-resistance response for various touchscreen technologies, reproduced from data provided by Motorola Solutions, Inc. [52], Stantum [56], 3M Innovative Property Company [57] and Peratech Holdco Ltd [62]. The resistance through the touchscreen is measured as a function of applied mass. Lines are drawn for each data set as a guide to the eye.

459 Instead, a typical force-resistance response is shown in Fig.7, reproduced from  
460 data provided in [52]. It can be seen that the resistance decreases exponentially with  
461 applied force, dropping from 20 MΩ at zero load to less than 5 kΩ for a 1 kg load.  
462 However, a significant activation force of 0.04 N (40 g) is required before resistance  
463 begins to decrease and so very light touches cannot be detected. Motorola have  
464 a number of other patent applications, including the incorporation of the pressure  
465 sensitive layer into a device and using the layer to validate touch inputs and eliminate  
466 false touch readings [53, 35].

467 It is possible to further control the conduction pathways by the alignment of  
468 magnetic filler particles using an external magnetic field. This effect has been stud-  
469 ied previously for polymer composites containing nickel particles and carbon nan-  
470 otubes [54, 55]. By applying an external magnetic field, the particles are aligned  
471 into columns which can span one dimension of the composite. In a pressure sensi-  
472 tive layer, the particles may be arranged into columns spanning the top and bottom  
473 electrodes, as shown in Fig.8. A lower particle loading is required to produce the nec-  
474 essary conduction pathways between the electrodes. Stantum have developed such

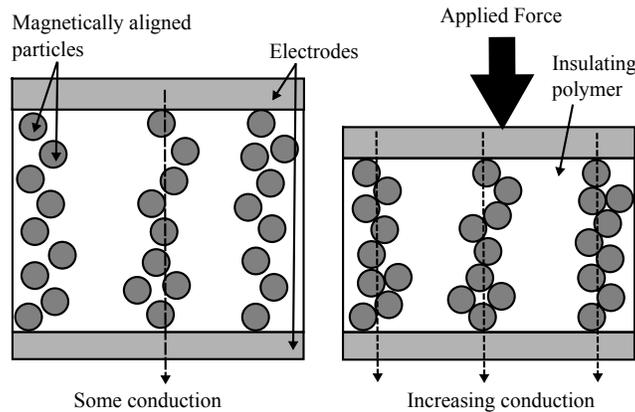


Figure 8: Conducting magnetic particles are dispersed in an insulating polymer and aligned into columns by applying an external magnetic field. The columns act as conductive pathways between top and bottom electrodes. By compressing the layer, the distance between neighbouring particles decreases, and charge transfer through direct percolation or quantum tunnelling increases, reducing the resistance through the sensor. This principle is used in a force sensing layer developed by Stantum [56].

475 a layer using this principle, which is currently patent pending [56]. Nickel particles  
 476 are dispersed in an insulating polymer, for example silicone or polyurethane, at a  
 477 loading of 0.3-10 % by volume. The nickel particles have a diameter of 2-5  $\mu\text{m}$  and  
 478 have a spiky surface topography, where the surface protrusions can be greater than  
 479 1  $\mu\text{m}$  in length. The composite is deposited as a film 50-100  $\mu\text{m}$  thick, and an ex-  
 480 ternal magnetic field of strength 3-10 mT is used to align the magnetic particles into  
 481 columns spanning the thickness of the printed film. By adjusting the magnetic field  
 482 strength the cross-sectional diameter of the columns can be altered, but is usually in  
 483 the range of 20-25  $\mu\text{m}$ . By applying a pulsed or sinusoidal magnetic field the cross  
 484 section can be reduced to 10  $\mu\text{m}$ . The strength of the magnetic field also controls  
 485 the distribution of columns across the film.

486 When the layer is deformed the separation between the particles in each col-  
 487 umn decreases and more conduction pathways are formed, as shown in Fig.8. The  
 488 resistance of each pathway may also decrease. It is known that a close proximity  
 489 between nickel particles as described above can result in field-assisted quantum tun-  
 490 nelling [23, 24]. A force-resistance response for loads up to 500 g is shown in Fig.7,  
 491 reproduced from data given in [56]. Again, no details on the contact area of the  
 492 probe are given so contact pressures cannot be calculated. At zero applied force, the

493 resistance is of the order 100 k $\Omega$ . A load of 100 g decreases the resistance to 10 k $\Omega$ ,  
 494 beyond which the resistance decreases marginally for loads up to 500 g. This insen-  
 495 sensitivity to larger applied forces may limit its applicability. Because fewer particles  
 496 are required to produce the well-defined conductive pathways, greater optical clarity  
 497 of the layer can be achieved. However, in practice the large film thickness of 50-100  
 498  $\mu\text{m}$  will have a detrimental effect on the optical transmission. Details on this have  
 499 not yet been reported.

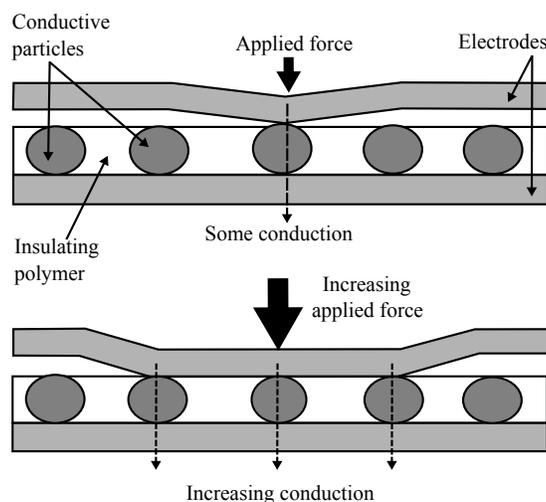


Figure 9: Conducting particles are dispersed in an insulating polymer film such that the particle size is of a similar dimension to the thickness of the printed film. With increasing deformation of the upper electrode an increasing number of particles are contacted. This principle is used in transparent force sensor developed by 3M Innovative Property Company [57, 58] and Peratech Holdco Ltd [62], although in the latter the particles themselves also show a decrease in resistance with increasing applied pressure.

500 In contrast to the methods described above, it is also possible to create a pressure  
 501 sensing layer by dispersing a very low number of particles in the insulating polymer,  
 502 provided that the particles are of a size comparable to the thickness of the printed  
 503 layer. Rather than the conduction occurring through a convoluted pathway of small  
 504 particles, of which there must be a high enough concentration so as to reach the per-  
 505 colation threshold, instead a low concentration of larger particles provides a series  
 506 of conduction paths where the particles directly connect the top and bottom elec-  
 507 trodes, as shown in Fig.9. This approach has been demonstrated by 3M Innovative  
 508 Property Company (3M IPC), who have patented such a layer for use in force sensi-

509 tive membranes and touchscreens [57, 58]. The layer, which can be deposited using  
510 blade coating (and likely screen-printing for large scale manufacture) is typically 1-  
511 10  $\mu\text{m}$  thick and comprises conductive particles, for example ITO or silver-coated  
512 glass beads, dispersed in an elastomeric polymer. The particle size is of a similar  
513 dimension to the printed layer, such that the top surface of the particle may protrude  
514 above the film surface. Spacer dots may be dispersed onto the film surface to prevent  
515 initial contact between the film and upper electrode. Upon application of force to the  
516 touchscreen, the top electrode deforms and is brought into contact with one or more  
517 conducting particles, allowing current to flow. With increasing deformation, the top  
518 electrode contacts an increasing number of particles, thus decreasing the resistance  
519 between the electrodes. This is purely a surface effect as the resistance depends  
520 on the contact area of the touch and the layer is not intrinsically piezoresistive. It  
521 has been reported that the resistance  $R$  decreases with increasing applied force  $F$   
522 according to

$$R = \frac{A}{F^n}, \quad (3)$$

523 where  $A$  and  $n$  are constants. The value of  $n$  indicates the sensitivity of the sensor  
524 where a larger value produces a greater decrease in resistance for a given increase in  
525 applied force. For a silicone rubber film of thickness 25  $\mu\text{m}$  containing ITO-coated  
526 glass fibres the  $n$  value was reported to be 1.02 and the force-resistance response for  
527 this particular sensor is shown in Fig.7 which is reproduced from data provided in  
528 [57]. No details of the contact area of the force-testing probe are provided. It can  
529 be seen that the resistance decreases from 10  $\text{k}\Omega$  under a load of 40 g to around  
530 20  $\Omega$  at 800 g. There is no data provided for loads higher and lower than this so  
531 first touch sensitivity cannot be assessed. The optical transmission through a 60  
532  $\mu\text{m}$  film containing silver coated glass beads with diameter 43  $\mu\text{m}$  dispersed at a  
533 concentration of 140 particles per  $\text{mm}^2$  was reported to be 91 % over the visible  
534 wavelengths 400-700 nm.

535 One potential issue in this type of pressure sensitive layer is the susceptibility of  
536 the upper electrode to damage from prolonged and repeated contact with protruding  
537 particles. Many transparent conducting electrodes suffer from poor durability under  
538 flexing. This is widely reported for ITO on flexible substrates and is one of the driving  
539 forces for developing a replacement for ITO [50]. Abrasion with hard particulates  
540 will further decrease the durability and lifetime of the sensor. One solution would be  
541 to use other transparent conducting electrodes such as graphene, metal nanowires,  
542 or carbon nanotube dispersions, all of which show enhanced durability over ITO [59,  
543 60, 61]. Alternatively, as described in the patent [57], it is possible to fill the air gap

544 between particles and top electrode with an insulating filler material which acts as  
545 a buffer material between electrode and particle.

546 A similar pressure sensitive composite layer has been developed by Peratech Ltd,  
547 since renamed Peratech Holdco Ltd ('Peratech') [62]. However in this case the par-  
548 ticulates are agglomerates of many smaller conductive particles, e.g. spherical or  
549 acicular antimony-doped tin dioxide (ATO) particles with diameter 200 nm (and a  
550 length of 0.2-2  $\mu\text{m}$  for the acicular particles). These are dispersed in an insulating  
551 polymer such as acrylic and/or polyvinyl resin, at a loading of 0.1-0.5 % by mass.  
552 The agglomerates have typical dimensions of 5-15  $\mu\text{m}$  and are either formed as the  
553 constituent particles are mixed into the insulating polymer, or they can be pre-formed  
554 before adding to the polymer. A further patent details one possible composition of  
555 such pre-formed granules [63].

556 With increasing applied pressure, more agglomerates are brought into contact  
557 with the top electrode thus reducing the resistance through the layer, similar to  
558 the 3M IPC composite layer. However, the patent also infers that the agglomerates  
559 themselves are inherently pressure sensitive, such that a compressed agglomerate will  
560 exhibit a lower electrical resistance than when at rest. By compressing the agglom-  
561 erates, the inter-particle voids are reduced and more of the constituent particles are  
562 brought into contact. Quantum tunnelling of electrons may occur from one particle  
563 to the next if the potential barrier caused by the insulating polymer binder is suffi-  
564 ciently narrow. The sensitivity is thus governed by surface and bulk effects, due to  
565 an increasing number of agglomerates contacting the upper electrode with increas-  
566 ing applied pressure, and the resistance of individual agglomerates decreasing due to  
567 compression.

568 The force resistance response of a layer comprising 0.2 % ATO agglomerates  
569 dispersed in an insulating varnish was determined using a probe tip of 8 mm diameter  
570 to apply a force of 0.15–5 N. The response of the touchscreen is shown in Fig.7,  
571 reproduced from data provided in [62]. The resistance changes from 15 k $\Omega$  to 2 k $\Omega$   
572 when the load is increased up to 500 g. The optical transmission through this layer  
573 is 98 % when compared to transmission through the ITO/glass electrode.

574 This layer, marketed as QTC™ Clear, is used in FineTouch Z - a pressure sensitive  
575 transparent touch panel produced by a partnership between Stantum and Nissha  
576 Printing Co. Ltd [64]. FineTouch Z uses Stantum's iVSM technology and is capable  
577 of detecting 256 levels of pressure [65], with possible applications including palm  
578 rejection (when operating the touchscreen with a passive stylus), dynamic capture  
579 of handwriting, and fine control when using the device for drawing applications.

580 Fig.7 compares the variation in resistance response with applied force for each  
581 pressure-sensitive resistive touchscreen discussed. Direct comparison between each

582 touchscreen is difficult as exact details regarding the build, for example the depth of  
583 the air-gap and the mechanical flexibility of the upper substrate, are not divulged.  
584 Also, the contact area of the probe used for the force-resistance measurements in each  
585 case is not always given, so the applied pressure cannot be calculated. However,  
586 some conclusions may still be drawn. The greatest range in resistance is seen for  
587 the touchscreen developed by Motorola, where the resistance drops over four orders  
588 of magnitude for loads between 40 g to 1 kg. However, a minimum load of 40 g is  
589 required to produce an initial response. Because of the nature of the pressure sensing  
590 layer, a large force may initially be required to provide the necessary deformation  
591 to the polymer in order to increase the number of conduction pathways. For the  
592 Stantum touchscreen, a decrease in resistance is observed above 3 g, but above 100 g  
593 there is no further significant decrease in resistance. Because there is initially a close  
594 proximity between neighbouring nickel particles in the column, a small activation  
595 force may be required to create the initial contact between the upper electrode and  
596 nearest particle, after which current can flow down the column without requiring  
597 further deformation of the layer. The touchscreens demonstrated by Peratech and  
598 3M show a decrease in resistance over the full range of applied loads without the  
599 ultra-sensitive response of the Motorola touchscreen or the lack of sensitivity at  
600 high loads shown by Stantum. The resistance values for the 3M touchscreen are  
601 consistently lower than those demonstrated by Peratech, and the resistance drops  
602 below 100  $\Omega$  for loads greater than 200 g. High current flow leading to high power  
603 usage may be detrimental in some applications. In order to use the resistive layer  
604 as a voltage divider in a touchscreen assembly as described earlier, the resistance  
605 should not fall below that of the connectors and read-out circuitry. In this case, the  
606 Peratech pressure sensing layer is advantageous. For both the 3M IPC and Peratech  
607 results there is no resistance value reported for zero applied load. However, this  
608 can be adjusted by control over the air-gap and mechanical flexibility of the upper  
609 electrode.

### 610 *4.3. Other Touchscreen Technologies*

#### 611 *4.3.1. Surface and Bending Wave*

612 When an object impacts onto a rigid material, such as a finger contacting a  
613 touchscreen, both surface and bending waves propagate through the material. Whilst  
614 surface acoustic waves propagate on the substrate surface only, bending waves travel  
615 through the full thickness of the substrate, radiating outwards from the location of  
616 the touch. During a touch event, a number of surface and bending waves of different  
617 frequencies are produced which propagate through the touch interface at different  
618 speeds. Bending waves may also undergo reflections at the interface between internal

619 surfaces of the substrate. Sensors at the edge of the substrate receive this complex  
620 signal, which is then used to determine the location of the touch.

621 Both Acoustic Pulse Recognition (APR) patented by Elo Touchsystems [66] and  
622 Dispersive Signal Technology patented by 3M [67] use bending waves in order to  
623 extract the touch signal. Both of these technologies use four piezoelectric transduc-  
624 ers located asymmetrically on the substrate perimeter which convert the measured  
625 pressure from the acoustic wave to a voltage. However, the signal processing algo-  
626 rithms can currently only differentiate between touch input from various points on  
627 the touchscreen surface and cannot differentiate between different touch forces and  
628 so currently this technology is not pressure-sensitive.

629 Conversely, in Surface Acoustic Wave (SAW) touchscreens, the piezoelectric trans-  
630 ducers send bursts of ultrasonic Rayleigh waves across the touch surface in response  
631 to a supplied voltage. Reflectors at the edges of the touchscreen reflect the acous-  
632 tic wave back across the screen and into the relieving piezoelectric sensors, which  
633 convert the pressure input back to a voltage. The transit time of the wave depends  
634 on its path length so that each physical location can be mapped into the time do-  
635 main. When a human finger, or indeed any other sound-absorbing object touches the  
636 screen some of the Rayleigh waves are absorbed. By measuring where the reduction  
637 in the wave amplitude occurs the touch location can be determined. The amount of  
638 reduction in the signal amplitude can in principle be used to determine the touch  
639 pressure. The IntelliTouch touchscreen produced by Elo Touch Solutions uses this  
640 principle and it is stated that pressure-sensing is possible. However no information  
641 is given about the levels of pressure that can be detected, beyond that a minimum  
642 of 85 g activation force is required [68]. To the authors knowledge, there are no de-  
643 vices currently available on the market that utilise the pressure-sensing capabilities  
644 of SAW touchscreens.

#### 645 *4.3.2. Optical Sensing Touchscreens*

646 An infrared (IR) touchscreen typically consists of two IR LEDs along two adjacent  
647 sides of the touch surface and two receiving IR photodetectors on the other sides  
648 (i.e. a transmitter and receiver for both X and Y coordinates). The transmitters are  
649 pulsed sequentially, so that when the surface is touched, the IR beam is broken and  
650 the touch location can be calculated. Pressure information cannot be calculated as  
651 the touch force does not impact in any way on the IR photodetector. In camera-  
652 based optical touchscreens, IR LEDs provide a peripheral backlight across the touch  
653 surface with cameras placed in two or more corners of the screen which can detect the  
654 presence or absence of light. When a finger touches the screen the peripheral light  
655 is blocked and the cameras observe a shadow. Again, pressure information cannot

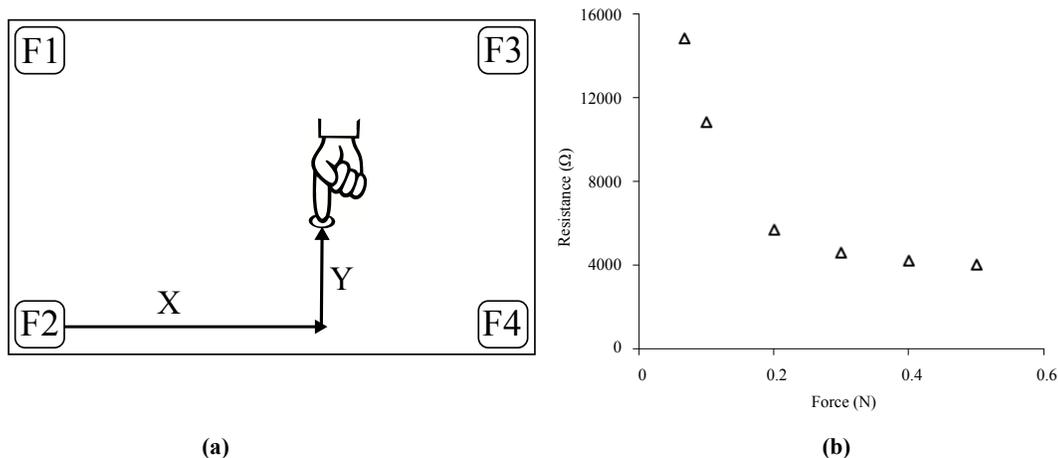


Figure 10: (a) Four discrete force sensors placed underneath a touch interface or display may be used to determine both the location and force of a single touch. (b) Data for the resistance response of a touch display demonstrated by F-Origin, where resistance decreases with increasing applied force.

656 be recorded by this technology. To the authors knowledge, there are no pressure-  
 657 sensing touchscreens available which utilise the optical pressure-sensing mechanism  
 658 described in Section 2.

#### 659 4.4. Pressure Sensors External to the Touchscreen

660 The previous sections described the incorporation of pressure sensors directly  
 661 into the touchscreen assembly where the pressure sensing components are intrinsi-  
 662 cally part of the touchscreen assembly. There is an alternative method of adding  
 663 pressure sensitivity, where pressure is assessed outside of the touch module. The  
 664 pressure sensors may be found underneath the display, or even overlaid on top of  
 665 the touchscreen in a transparent array. Pressure sensitive styli may also be used  
 666 which send pressure information directly to the device controller or to specialised  
 667 applications which can utilise these pressure levels.

##### 668 4.4.1. Force Sensors underneath the Touch Interface

669 It is possible to incorporate discrete force or pressure sensors underneath the  
 670 display unit. In fact, some touchscreens utilise this concept to measure both the  
 671 location and the force of the touch, rather than detecting touch indirectly through  
 672 a change in resistance or capacitance between two electrodes. These touchscreens

673 comprise four discrete force sensors underneath the four corners of the interface as  
674 shown in Fig.10(a). The sensors used may be any of those described in section 2 such  
675 as strain gauges, piezoelectric transducers, capacitance sensors, inductance sensors  
676 or even force sensitive resistors, where each has its own benefits and drawbacks [69].  
677 These are summarised in Table 1. In the touchscreen industry, this type of touch  
678 interface is usually referred to as ‘force-based’ to distinguish it from other technologies  
679 such as resistive or capacitive.

680 Analysis of the force or pressure recorded at each corner allows determination  
681 of the touch location. Whilst only three forces are necessary to triangulate the  
682 touch location, when pressed the touch surface will always undergo a small degree  
683 of deflection (as no surface may be classed as truly rigid) and the addition of a  
684 fourth sensor allows the effect of the deflection on the sensors to be accounted for. In  
685 addition, four sensors can easily be integrated into the common rectangular design  
686 of most touch panels.

687 Simplistically, the touch coordinates  $X$  and  $Y$  can be calculated by moment  
688 equations:

$$X = \frac{F3 + F4}{F1 + F2 + F3 + F4}, \quad Y = \frac{F1 + F2}{F1 + F2 + F3 + F4} \quad (4)$$

689 The touch force  $Z$  is simply equal and opposite in magnitude to the sum of the  
690 forces measured at each sensor.

$$Z = -(F1 + F2 + F3 + F4) \quad (5)$$

691 This concept first showed commercial success in 1991 when IBM developed their  
692 TouchSelect overlays for CRT (cathode ray tube) monitors, where the CRT screen  
693 was mounted on strain gauge force sensors [70, 71]. However, the product only  
694 lasted 3 years on the market and overall was unsuccessful. For force to be mea-  
695 sured accurately, the movement of the screen or cover glass must be constrained to  
696 the downward ( $z$ ) direction only, eliminating any lateral or off-axis forces. Because  
697 a touch event is not static and constant, the algorithm must account for any dy-  
698 namic force profile measured at the force sensors. If these effects are not taken into  
699 consideration, the accuracy of the device in determining touch location is severely  
700 limited.

701 Several attempts have been made to overcome these issues. QSI Corporation  
702 developed their force sensing touch technology InfiniTouch™ using a beam mount-  
703 ing method, whereby the beams absorb most of the lateral forces. An accuracy of  
704 1% across the  $X$  and  $Y$  dimensions is reported [72]. Furthermore, if the touch sur-  
705 face is constructed from a rigid material, and under normal operation is subject to

706 stresses well below the limits of the material, then the effect of pre-stressing and over-  
707 constraint of the beams is negligible. The company F-Origin, Inc. have patented a  
708 different design which removes the issue of lateral forces. Their force sensing touch  
709 panel zTouch™ uses a suspension spring arm method, where the screen is supported  
710 by a looped filament or string, thus removing frictional forces [73, 74]. Furthermore,  
711 computing power has increased significantly since the 1990s, and digital signal pro-  
712 cessing integrated chips can be readily and cheaply obtained which are more than  
713 capable of processing the dynamic force waveforms from each of the four sensors.  
714 An example force–resistance response demonstrated by F-Origin zTouch™ is shown  
715 in Fig.10(b).

716 The major drawback with this technology is that usually these devices are only  
717 capable of detecting a single touch event. If the screen is touched in more than one  
718 location, the centroid of the applied forces will be calculated. In order for the device  
719 to become multi-touch, multiple force-sensing areas are required. For a grid of  $n \times n$   
720 force sensing areas, assuming there is a sensor at each corner of the discrete force  
721 sensing areas, a total of  $(n + 1)^2$  sensors are required. For a high resolution force  
722 response where a large  $n$  is required, the number of sensors necessary becomes very  
723 large and the complexity of the system escalates. The exception to this is Force-  
724 Touch™ developed by NextInput, Inc. who use an array of micro-electromechanical  
725 (MEM) force sensors underneath the touch interface to detect touch location and  
726 touch force to sub-millimeter and sub-millinewton resolution [75]. Furthermore, the  
727 addition of force sensors may add to the overall device thickness and weight.

728 The majority of applications for this technology make use of its other benefits  
729 rather than the addition of force sensitivity. These include the detection of touch  
730 from any object, conductive or insulating and the rugged and durable nature of the  
731 technology which is resistant to surface contamination. The touchscreen is usually  
732 cheap to manufacture as the cost is not dependent on the area of the touchscreen  
733 – large displays are feasible. Finally, the touch interface itself may be patterned,  
734 for example with drilled holes, textured areas or embossed Braille characters. These  
735 benefits make force based touchscreens ideal for outdoor applications, or other ap-  
736 plications that need to withstand rough handling, input from gloved hands, contam-  
737 inants such as dust and liquids, and extreme temperatures. Example applications  
738 include ATMs, information kiosks and industrial control panels. Of course, in any of  
739 these applications the force sensitivity may be used as an additional controllable in-  
740 put. However, due to the issues highlighted above, it is unlikely that this technology  
741 in its current state would ever replace the industry standard projected–capacitive  
742 touchscreens which are at present found in most smartphones and tablets.

743 In order to achieve pressure sensitivity along with the multi-touch capability

744 of a capacitive touchscreen, a hybrid approach may be used. The touch location is  
745 calculated using a P-Cap touchscreen or similar (which has multi-touch capabilities),  
746 and the force of the touch is determined using the discrete force sensors. These  
747 so-called hybrid touchscreens provide a beneficial solution for applications such as  
748 smartphones and tablets where multi-touch is now a standard and necessary feature.

749 The hybrid approach can already be found in projected-capacitive laptop track-  
750 pads, as described in Section 4.5.3. Furthermore, Apple, Inc. also hold a patent  
751 detailing the inclusion of force sensors into trackpads and touchscreens [76, 77]. A  
752 recent press release states that the newly developed Apple Watch will have a pres-  
753 sure sensitive transparent touch interface which is capable of differentiating between  
754 a light tap and a hard press, where the hard press is used to shortcut to a specific  
755 demand [78]. The force sensors used can be strain gauges, capacitive membranes,  
756 silicone diaphragm or any other suitable force sensor. In [77], the FSR is described  
757 as one possible force sensor.

#### 758 *4.4.2. Pressure Sensitive Stylus*

759 A stylus may be described as passive or active. A passive stylus comprises any  
760 conductive object, for example a metal rod, conductive plastic or conductive rubber-  
761 tipped pen, which can be used to replace finger-touch on a touchscreen. Passive  
762 styli are low cost, easily replaced and can be made to any size required. However,  
763 they provide no more resolution or functionality than the human finger. Active  
764 styli are typically enhanced with additional functionalities such as pressure and tilt  
765 measurement and require a power source in order to operate, which can either be  
766 drawn from the device or provided by an internal battery supply.

767 Electromagnetic resonance (EMR) styli draw their power from the device they  
768 are coupled with. The device has an additional sensing or ‘digitiser’ layer underneath  
769 the display in addition to the capacitive touchscreen overlaid on top of the display.  
770 The magnetic field generated by this layer induces a current in the stylus when it  
771 is within range of the device. The stylus uses this current to relay information on  
772 the use of the stylus (e.g. location, tilt, pressure) back to the touchscreen controller.  
773 An example of this type of stylus is the Samsung S-Pen (manufactured by Wacom  
774 Co. Ltd.) for the Galaxy Note 4 which can differentiate 2048 levels of pressure.  
775 The device allows for both capacitive input through finger-touch and stylus input  
776 through the digitiser layer. Whilst the stylus allows high-resolution pressure input,  
777 the addition of the digitiser layer adds to the thickness and weight of the device. An  
778 increased distance between the surface and the digitiser layer can lead to parallax  
779 issues, where the line is not drawn directly under the pen, as seen by the user.

780 Without a digitiser layer, the stylus requires an internal battery. N-Trig devel-

781 oped the DuoSense active stylus, which uses the same controllers as the capacitive  
782 touchscreen, i.e. it does not require an additional digitiser layer and instead uses  
783 an internal battery. The DuoSense can detect 256 levels of pressure. The Wacom  
784 Bamboo fineline is advertised for use with the Apple iPad and gives 1048 pressure  
785 levels. However, both of these styli are only supported by specific applications.

786 The pressure sensitivity is realised by the incorporation of a pressure sensor within  
787 the stylus, usually connected to the stylus nib such that retraction of the nib triggers  
788 the pressure sensor. Wacom. Co. Ltd. hold a patent detailing the use of an inductive  
789 style pressure sensor within a stylus, whereby the sensor is not constrained to detect  
790 axial forces only. This means that the stylus shows high pressure sensitivity at low  
791 pressures, even when the stylus is held in a non-vertical writing position. Wacom  
792 also hold a patent which utilises a conductive polymer composite as a pressure sensor,  
793 where the composite consists of spherical carbon particles of diameter 1–20  $\mu\text{m}$  and  
794 hollow elastic microspheres of diameter 10–150  $\mu\text{m}$  dispersed in an insulating silicone-  
795 based polymer. They state that this particular conducting polymer composite shows  
796 high repeatability with a low amount of hysteresis [79].

797 The pressure sensor used may also be optical, whereby movement of the stylus nib  
798 causes partial coverage of an LED light source or similar. The attenuation of the light  
799 signal is picked up by a photodetector and can be measured as a function of applied  
800 force on the nib [80, 81]. Otherwise, the pressure sensor may be capacitance based,  
801 whereby depression of the stylus nib causes one conductive plate to move relative  
802 to another such that the areas in direct opposition to one another are altered, thus  
803 changing the capacitance measured between the plates [82, 83]. BlackBerry Ltd.  
804 describe a pressure-sensitive stylus where pressure is detected through a change in  
805 air pressure inside an internal cavity within the stylus, when compared to external  
806 air pressure [84].

807 The advantage of these styli include their high pressure sensitivity, as these de-  
808 vices can typically differentiate between 256 and 2048 levels of pressure. Because  
809 only a single sensor is required, and the housing is large (the size of a typical pen)  
810 there is less constraint on the physical dimensions of the sensor.

811 However, the major disadvantage is that the stylus use and performance depends  
812 not only on the pressure-sensing capabilities of the pen, but also the display, chip,  
813 controller and driver support. For example, currently Apple products have no in-  
814 built pressure sensing capabilities in the touch screen. A pressure-sensing stylus  
815 would only work on specifically designed applications which can utilise this pressure  
816 sensitivity – and they may not utilise all pressure levels inherent in the pen. New  
817 devices such as the Samsung Galaxy Note series have an in-built digitiser layer which  
818 supports stylus input, and a range of applications in which the pressure-sensing

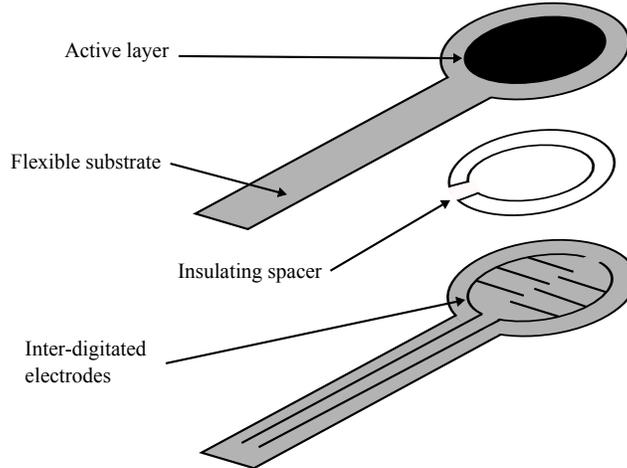


Figure 11: Force sensitive resistor. The active layer, consisting of small particles dispersed in a polymer binder, is screen-printed directly onto a flexible substrate and separated from inter-digitated electrodes by a spacer. Upon application of pressure, the active layer is pushed into contact with the electrodes.

819 capabilities can be utilised. However, the stylus provided will work solely for this  
 820 device, and is expensive to replace if lost.

## 821 4.5. Keyboards and Trackpads

### 822 4.5.1. Force Sensitive Resistors

823 The force sensitive resistor (FSR) was first patented in 1977 by Franklin Eventoff [85].  
 824 An active resistive layer is screen printed onto a flexible substrate, and separated from  
 825 a set of inter-digitated electrodes by a spacer layer such as a ring of insulating ma-  
 826 terial which maintains air flow into and out of the cavity, as shown in Fig.11. In  
 827 another format, the active layer is printed directly onto one electrode and separated  
 828 from the second by the spacer. When the top layer is pressed the electrode(s) deforms  
 829 into the spacer layer and comes into contact with the active layer. With increasing  
 830 force a larger area of the active layer is in contact with the electrodes, decreasing the  
 831 electrical resistance through the sensor.

832 The active layer in its most basic form is a screen-printable conductive polymer  
 833 composite as described in Section 2.1.2, where the conductive particles are embedded  
 834 into a printable base polymer. When printed, the active layer has micro or nano-  
 835 scale surface protrusions, depending upon the size of the constituent particles. The  
 836 resistance is highly dependent on the contact area, which itself is dependent on the

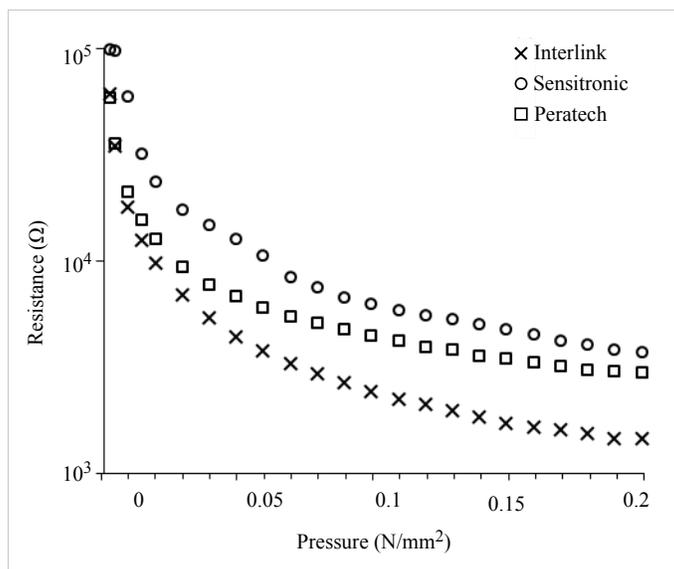


Figure 12: Force-resistance response of FSR sensors manufactured by Interlink Electronics, Sensitronics LLC and Peratech Holdco Ltd. In each case forces up to 10 N were applied using a load cell with a rubber probe with an 8 mm diameter. For each sensor, the resistance decreases over three orders of magnitude with increasing applied force.

837 force applied to the upper electrode.

838 For example, Interlink Electronics have patented an ink containing SnO particles  
 839 of size 0.5-10  $\mu\text{m}$  which create micro-protrusions at the surface of the ink, thereby  
 840 increasing the number of electrical contact points between electrode and ink with  
 841 increasing force [86]. The sensitivity of the response can be further controlled by  
 842 the number and spacing (pitch) of the inter-digitated fingers, where a finer pitch will  
 843 increase the dynamic range of the FSR.

844 In other commercial FSRs, the active layer itself is piezoresistive. Tekscan, Inc.  
 845 describe an active layer which consists of a network of carbon black particles 1-1000  
 846 nm in size, dispersed in a polymer binder [87]. As shown in Fig.1, with increasing  
 847 force a greater number of the particles within the active layer are brought into direct  
 848 contact or close enough for quantum tunnelling of electrons to occur, thus decreasing  
 849 the electrical resistance of the layer. Similarly, Peratech Holdco Ltd license a  
 850 quantum-tunneling ink which contains both spherical insulating particles and acic-  
 851 ular semiconducting particles [88]. Evidence suggests that charge transfer occurs  
 852 via direct conduction and more significantly quantum tunnelling between the acic-  
 853 ular particles [89]. The change in resistance can be attributed to both the change

854 in contact area and the change in conductivity of the active layer. Fig.12 com-  
855 pares the force-resistance responses of three commercial FSR sensors - a 0.5 inch  
856 FSR™ 402 manufactured by Interlink Electronics (£5.42 per unit [90]), a 0.5 inch  
857 FSR101 ShuntMode™ manufactured by Sensitronics LLC (unit price \$6 USD [91])  
858 and a QTC™ sensor manufactured by Peratech Holdco Ltd (no pricing available).  
859 In each case, the active layer is printed onto inter-digitated electrodes as shown in  
860 Fig.11 and the sensor was loaded with forces up to 10 N using a load cell with a  
861 rubber probe of diameter 8 mm. It can be seen that for each sensor the resistance  
862 varies over three orders of magnitude when forces up to 10 N are applied, where the  
863 resistance decrease has a power law dependence on the applied force, with the expo-  
864 nent varying in the range  $-0.6$  to  $-0.9$ . For the Peratech and Sensitronics sensors  
865 the response shows signs of saturation at higher forces. However the Interlink sensor  
866 shows a decrease in resistance even up to 10 N applied force. The activation force  
867 (the minimum force required to produce a decrease in resistance) is of the order of  
868 0.15 N. This range of response makes FSR technology suitable for detecting many  
869 levels of applied force, from a light touch (0.1 N) to a hard press (10 N).

870 A further benefit of FSR technology is that it can be manufactured using low-  
871 cost large-area printing methods and as a component is easy to integrate into a  
872 device. The sensors are lightweight and thin, typically no more than 1 mm total  
873 thickness [92]. The sensor performance in terms of its sensitivity, activation and  
874 saturation forces (the force at which the resistance has levelled to a minimum value)  
875 can be controlled by the mechanical design of the sensor. The saturation force is a  
876 function of the area of applied force and the spacing of the inter-digitated fingers.  
877 FSR sensors tend to be insensitive to high frequency vibrations and acoustic noise  
878 pick-up. This can be useful in some applications in avoiding cross-talk between sen-  
879 sors. However, the reproducibility of the response can often be poor. For example,  
880 the FSR® 400 Series manufactured by Interlink Electronics quotes a batch to batch  
881 variation of resistance response of 6 %. Variation across a single sensor is quoted  
882 as 2 %. This stems from the inherent batch to batch variations common in printed  
883 technologies and also hysteresis effects caused by the mechanical relaxation of the  
884 host polymer. Whilst this variation means that the sensor is not suited to precise  
885 measurement of force, it is appropriate for use in tactile sensors where only approxi-  
886 mate levels of applied force are required. The recovery speed of the sensor is limited  
887 by its mechanical rise time (i.e. the time taken for the deformed active layer to  
888 return to its original position) which is typically quite slow at 1–2 ms. Finally, the  
889 FSR can show a drift in resistance for a constant applied load. Whilst this drift is  
890 reversible, for applications where measurement of a static force is required, the drift  
891 must be taken into consideration.

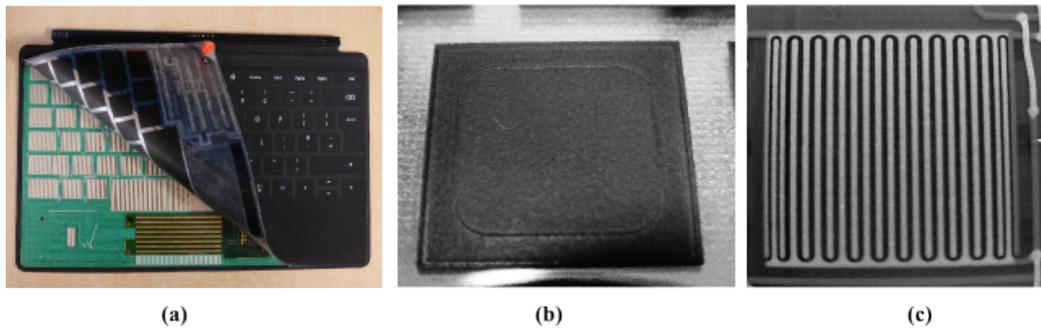


Figure 13: (a) Dismantled view of Microsoft Surface Touch Cover, a pressure sensitive keyboard containing FSR sensor technology. (b) An insulating spacer ring is printed directly onto the active layer of each FSR sensor. (c) Inter-digitated electrodes are printed directly onto the bottom substrate.

892 Primary applications for FSR sensors include biomedical, e.g. pressure mapping  
 893 whilst walking [93], robotics [94] and musical synthesizers [95]. Various articles com-  
 894 pare FSR technologies and describe their applications in these fields [92, 96, 97, 98].  
 895 FSRs can currently be found in some computer keyboards and laptop trackpads [?  
 896 ]. FSR sensors are used in the VersaPad<sup>®</sup> trackpad produced by Interlink Elec-  
 897 tronics. This consists of two FSR sensors sandwiched together and separated by  
 898 spacer dots, and is offered as a rugged alternative to traditional projected-capacitive  
 899 trackpads that can be used in high humidity environments or with gloved hands.  
 900 The UnMousePad is a multi-touch location and pressure sensing trackpad using  
 901 FSR technology, developed by TouchCo, Inc. in 2009 [99]. The Microsoft Touch  
 902 Cover is a pressure sensitive keyboard for integration with Microsoft Surface tablets.  
 903 Underneath each letter key is an FSR sensor measuring 15 x 15 mm and a set of  
 904 inter-digitated electrodes, as shown in Fig.13. Microsoft Corp. hold a relevant patent  
 905 detailing this system [100]. The pressure sensitivity is used to dismiss light touches  
 906 as accidental and for rejecting unintended touch from the palm of the hand (palm  
 907 rejection). Other possible uses detailed in the patent include using force to change  
 908 the size, colour or case of text input and also for gaming applications. Because  
 909 there are no moving parts, the keyboard is thinner and lighter (2.75 mm and 185 g)  
 910 with a greater product lifetime compared to mechanical keyboards. The ‘quantum  
 911 tunnelling’ ink licensed by Peratech Holdco Ltd is used in the 909 TouchPro drill  
 912 produced by GlobalPowerBrands Int. Pty Ltd. Here the pressure sensitivity is used  
 913 to control the speed of the drill rotation.

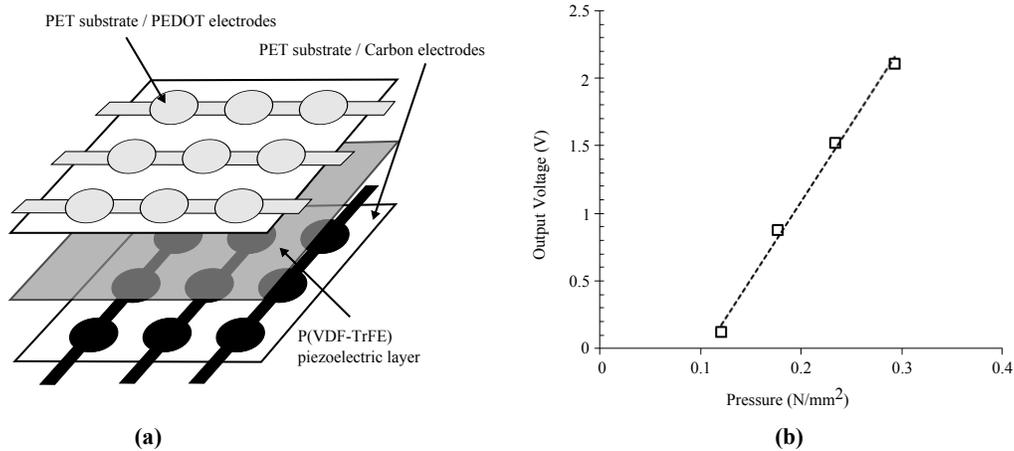


Figure 14: (a) The structure of PyzoFlex® foil, consisting of the piezoresistive layer sandwiched between two electrode arrays, either carbon-based or PEDOT. Compression of the film induces a measurable voltage. (b) The PyzoFlex® foil produces a highly linear pressure–voltage response (data reproduced from [101]).

#### 914 4.5.2. Piezoelectric Foil

915 PyzoFlex® foil, developed by Media Interaction Lab, is a pressure sensitive print-  
 916 able film. A piezoelectric ink containing randomly orientated nano-crystals of the  
 917 copolymer P(VDF-TrFE) is printed at a thickness of 5  $\mu\text{m}$  onto an electrode, which  
 918 can be a screen-printable carbon-based ink or a transparent conducting polymer such  
 919 as poly(3,4-ethylenedioxythiophene) (PEDOT), as shown in Fig.14(a). After print-  
 920 ing the dipoles are aligned by poling. The top and bottom electrodes are connected  
 921 perpendicularly so that a voltage signal can be read out from each electrode-PVDF-  
 922 electrode intersection. Under applied pressure, or a change in temperature caused  
 923 by a hovering finger, a voltage change can be detected due to redistribution of the  
 924 dipoles, as demonstrated previously in Fig.2. Prototypes of the PyzoFlex® foil have  
 925 been demonstrated, where pressures as low as 0.12  $\text{N}/\text{mm}^2$  produced a voltage of  
 926 the order of 0.1 V [101, 102]. The piezoelectric coefficient is between 20–30  $\text{pC}/\text{N}$ .  
 927 The pressure-voltage response of the PyzoFlex® material, is shown in Fig.14(b),  
 928 reproduced from data provided in [101], where forces were applied using a test probe  
 929 4.5 mm in diameter. It can be seen that for applied pressures in the range 0.12–0.29  
 930  $\text{N}/\text{mm}^2$  (corresponding to applied forces from 1.9–4.7 N) the voltage output is highly  
 931 linear. No data is provided for pressures above 0.29  $\text{N}/\text{mm}^2$  so the saturation force

932 cannot be determined.

933 However, there are still many issues with this sensor. Perhaps the main disad-  
934 vantage is that the sensor cannot detect a dynamic force unless additional complex  
935 signal processing algorithms are used. This is because during application of a static  
936 force the induced voltage discharges through the internal resistance of the PVDF  
937 layer. The sensor can only truly detect a dynamic applied force.

938 Because the touch signal is small, both amplification of the signal and reduction of  
939 background noise via a noise filter is required. Signal noise is introduced from infra-  
940 red light found in ambient lighting, and from cross-sensitivity between the piezo-  
941 and pyroelectric responses. Furthermore, the detection of multiple adjacent touches  
942 on the PyzoFlex® foil is currently problematic due to cross-talk between adjacent  
943 sensors. However, this technology shows potential in that the highly linear response  
944 facilitates mapping of the pressure levels, and the technology is suited to flexible  
945 applications. It is also possible to create a transparent sensor array by replacing the  
946 carbon electrodes with a transparent alternative such as PEDOT or a nanoparticle-  
947 based ink. Media Interaction Lab state that PyzoFlex® foil has applications in  
948 flexible displays using OLED display technology, although in principle it may be  
949 used in conjunction with any touch interface.

#### 950 *4.5.3. Projected–Capacitive Trackpads*

951 The majority of laptop computers replace the mouse with an integrated trackpad.  
952 The trackpad consists of a location–sensing surface and perhaps one or two discrete  
953 buttons which provide a click function. For some trackpads, the entire trackpad is  
954 hinged such that pressing down in an area opposite to the hinge location (usually  
955 the bottom of the trackpad) provides the click mechanism.

956 The principles of projected–capacitive location sensing have previously been de-  
957 scribed in Section 4.1.1 where this technology is described for transparent touchscreen  
958 applications. The principles are essentially the same, except that of course a trackpad  
959 does not require a transparent touch surface, or transparent connecting electrodes.

960 Pressure–sensitivity may be incorporated into these trackpads by means of placing  
961 discrete force sensors external to the touch surface, for example underneath the touch  
962 surface. Location sensing is still achieved by projected–capacitive sensors. The  
963 details of this method have been described fully in Section 4.4.1. This approach has  
964 already achieved commercial success in laptop trackpads. ForcePad™ V.4 produced  
965 by Synaptics, Inc [103] can be used to define force–sensitive multi-touch gestures [104,  
966 105]. Four force sensors underneath the corners of the trackpad allow the detection  
967 of up to 1000 g from up to five fingers simultaneously with 15 g resolution, and  
968 is converted into 64 discrete force levels. The hinge mechanism of the traditional

969 trackpad (which allows for click input) is no longer required as the user can click  
970 anywhere on the trackpad by applying a force above a predetermined threshold. In  
971 this case, the lack of moving mechanical parts could enhance the product lifetime.

972 At the time of writing, Apple have released another update which states that their  
973 newly developed force-sensing technology, called Force Touch, will be present in the  
974 new generation of MacBook trackpads. Here, four force sensors are incorporated  
975 underneath the trackpad, such that the trackpad can register many levels of pressure  
976 which can be used for force-enhanced gestures such as zooming or scrolling.

977 Another method of including pressure-sensitivity is the inclusion of optical-based  
978 pressure sensors within the trackpad structure. The Synaptics ForcePad™V.3 detects  
979 applied pressure uses an image-sensing array in the trackpad. This relates the size  
980 of the contact area to the pressure-applied by the fingertip. If a hard press is de-  
981 tected (larger contact area between fingertip and touch interface) the click function  
982 is activated.

Application	Examples	Location Sensing Mechanism	Pressure Sensing Mechanism	Sensor Details	Pressure Sensing Capabilities	Advantages	Disadvantages
<b>Keyboard</b>	Microsoft Surface Touch Cover 2	Resistive – conducting polymer composite (FSR)	Resistive – conducting polymer composite (FSR)	One sensor measuring 15 mm x 15 mm underneath each key	Need only distinguish light touch and hard press for palm-rejection functionality	Keyboard is thinner and lighter than for traditional mechanical keys (2.75 mm and 185 g) Pressure sensitivity removes need for mechanically moving parts	Currently there is no haptic feedback when pressing each key
<b>Laptop Trackpad</b>	Interlink VersaPad™	Resistive – conducting polymer composite (FSR)	Resistive – conducting polymer composite (FSR)	One large continuous FSR sensor 41 x 57 mm underneath trackpad surface	Capable of detecting 256 levels of pressure although this feature is not utilised in the VersaPad™	Trackpad can detect input from any object Thin and lightweight compared to capacitive-style trackpads (115 g) Can be used in extreme environments such as high humidity	A minimum activation force is required to register a touch event Multi-touch functionality is not supported
	PyzoFlex@ prototype only	Piezoelectric (PVDF-TrFE copolymer)	Piezoelectric (PVDF-TrFE copolymer)	Printed array of 16 x 8 piezoelectric sensors covering an area of 210 x 130 mm <sup>2</sup> Each sensor has 10 mm radius and thickness of 50 µm plus 175 µm substrate thickness [101, 102]	Capable of detecting applied pressure from 0.1–0.3 N/mm <sup>2</sup> (2–5 N)	Highly linear pressure sensing response May have applications for touchscreens if transparent electrodes are used Sensors can be fabricated using a low-cost print process Suitable for flexible applications	Can only detect a dynamic force unless complex signal processing algorithms are used Sensitive to electromagnetic noise and crosstalk between sensors Currently only low spatial resolution but this can be improved by increasing the spatial density of sensors
	Synaptics ForcePad™ v.3	Projected capacitive	Algorithm relating contact area with applied force	No physical sensors, algorithm only	Detection of force above pre-determined threshold to activate click function	Pressure sensitivity removes need for mechanically moving parts Trackpad is thinner and lighter than competitors (as thin as 1 mm)	Cannot distinguish multiple pressure levels Algorithm requires calibration prior to use Lack of haptic feedback associated with click
	Synaptics ForcePad™ v.4	Projected capacitive	Individual force sensors underneath four corners of trackpad surface	Sensor type is undisclosed but is likely to be strain gauge, piezoelectric, capacitive or similar	64 levels of pressure, up to 7 N force, from 5 fingers simultaneously	Pressure sensitivity removes need for mechanically moving parts Trackpad is thinner and lighter than competitors (3 mm) 64 pressure levels allow pressure sensitive gestures	Lack of haptic feedback associated with click
<b>Force or pressure sensors underneath the touch interface</b>	QSI Corp. Touch™	Infini-F-Origin zTouch™	Force/pressure sensors external to touch interface	Force/pressure sensors external to touch interface	Piezo-resistive sensors underneath four corners of interface	Detection of touch from any object Rugged and durable touch interface is not sensitive to screen contaminants Cost is independent of area of touch interface Touch interface can be patterned or 3D	Only a single touch can be detected Friction or bending effects must be fully accounted for in order to achieve accurate location sensing
					Array of MEM force sensors underneath the display interface allows for multi-touch location and pressure sensing	Pressure sensing with sub-mN resolution	
<b>Capacitive Touchscreen</b>	Research only (although this technology is used in Samsung ST550 and TL220 camera touchscreens for location sensing only)	In-Cell pressed capacitive array	Capacitive pressure sensor	Sensor array 5 x 5 mm unit size at thickness 750 µm (excluding lower ITO electrodes) [40]	Detection of up to about 0.1 N applied force	Good transparency (, 86%) Suitable for flexible applications	Low signal to noise ratio Unsuitable for high-end applications such as smartphones and tablets due to issues with capacitive coupling with other device components
				20 x 20 sensor array with 2 x 2 mm sensor size at thickness 253 µm [38, 39]	Detection of up to 5 N applied force		
	Apple Watch Blackberry SurePress™	Projected capacitive	Individual force sensors underneath four corners of touchscreen/display modules	Sensor type is undisclosed but likely to be strain gauge, piezoelectric, capacitive or similar	Apple Watch will be able to differentiate between a light touch and a hard press	Easy addition of pressure sensing to any location sensing device Sensors are entirely separate to touchscreen hence need not be transparent Multi-touch location sensing is supported	Sensors may add to overall thickness of device Lateral forces on the sensors need to be eliminated to ensure accurate pressure readings Complex algorithm may be required to extract force from dynamic force profiles measured at each sensor
	Active Stylus e.g. Samsung S-Pen for Galaxy Note 4 (2048 pressure levels) N-Trig Active Pen (248 pressure levels) Wacom Bamboo Stylus fineline (1024 levels) Wacom Intuos Creative Stylus 2 (2048 levels)	Projected capacitive OR additional digitiser layer underneath display specifically designed for stylus input	Active stylus pen with in-built pressure sensor	Sensor type can be resistive (conductive polymer composite), capacitive, inductive or optical	Highly sensitive with many pressure levels, frequently used for artistic and drawing software	High pressure resolution (up to 2048 levels) Single sensor required Few constraints on sensor size and weight	Pressure sensing capability also dependent on device (controller, chip) and applications (software and drivers) Digitiser layer used in some devices adds to device thickness and weight Some styli may require charging or replacement batteries Expensive to replace if lost or broken
<b>Resistive Touchscreen</b>	Research or prototype only	Resistive	Resistive – conducting polymer composite	Percolative network of nanoparticles spanning 1–10 µm transparent layer. 12 x 16 sensors across 3.5 inch touchscreen [51]	Detection of 0.04 – 1 N force is reported	Current flow only when touchscreen is pressed – low power consumption Supports multi-touch input and input from any object Insensitive to electromagnetic noise	Additional layer may impact on optical transmission through touchscreen Particles may abrade with ITO electrodes leading to shorter product lifetime Resistive layer is prone to hysteresis effects
				Magnetically aligned particles spanning 50–100 µm transparent layer. Currently patent only [56]	Detection of up to 0.5 N force is reported		
				Single layer of particles in 1–10 µm transparent layer [57]	Detection of up to 0.8 N force is reported		

Table 2:  
Comparison of pressure-sensitive tactile technologies for applications in human-computer interaction

## 983 5. Comparison of Pressure Sensing Touch Technologies and Future Trends

984 As a summary, Table 2 compares each technological application discussed in this  
985 paper in terms of its sensing mechanism, how the pressure-sensitivity is utilised, and  
986 the advantages and disadvantages of the technology in this particular application.  
987 whether the technology has already achieved commercial success and the relevant  
988 references to the literature.

989 It should be noted that a direct quantifiable comparison of these technologies  
990 is not possible as each is intended for a different application and as such may re-  
991 quire different pressure sensing capabilities, different build parameters and different  
992 materials characteristics. However, a broad comparison in terms of the response pa-  
993 rameter may prove useful in giving a general overview of the functionality that these  
994 technologies are capable of. We define the response parameter as

$$Response = \frac{X_i - X_{min}}{X_{max} - X_{min}} \times 100\%, \quad (6)$$

995 where  $X_i$  is the  $i$ th value of a measurable quantity  $X$ , for example resistance,  
996 capacitance or voltage, and  $X_{min}$  and  $X_{max}$  are the minimum and maximum values  
997 of  $X$ , respectively.

998 Fig.15 shows the response of the various types of pressure-sensitive touch tech-  
999 nologies described in this review as a function of applied pressure. Response data  
1000 for Interlink FSR technology (FSR-Interlink), PyzoFlex® piezoelectric foil (PIEZO-  
1001 PyzoFlex), in-cell pressed capacitive touchscreens demonstrated by H. Kim and K.  
1002 Kim (ICPC-K Kim and ICPC-H Kim) and the resistive pressure-sensitive touch-  
1003 screen patented by Peratech Ltd (RES-Peratech) are compared. For the FSR tech-  
1004 nology as described in Section 4.5.1, the Interlink sensor is chosen as a representative  
1005 sample and for the resistive-pressure sensitive touchscreens outlined in section 4.2  
1006 only the data for the Peratech touchscreen allows for the touch pressure to be calcu-  
1007 lated.

1008 Interestingly, the response for both RES-Peratech and FSR-Interlink technology is  
1009 similar in that they operate over the same range of applied pressure. The response for  
1010 both ICPC-K Kim and PIEZO-PyzoFlex® is almost linear in the range of pressures  
1011 tested. Of course it is likely that for higher pressures the response would eventually  
1012 saturate. The sensitivity of a particular sensor may be defined as the change in  
1013 pressure (expressed as a percentage of the total pressure range of the sensor) required  
1014 to produce a 50 % response:

$$Sensitivity = \frac{Pressure_{50\%} - Pressure_{0\%}}{Pressure_{100\%} - Pressure_{0\%}}, \quad (7)$$

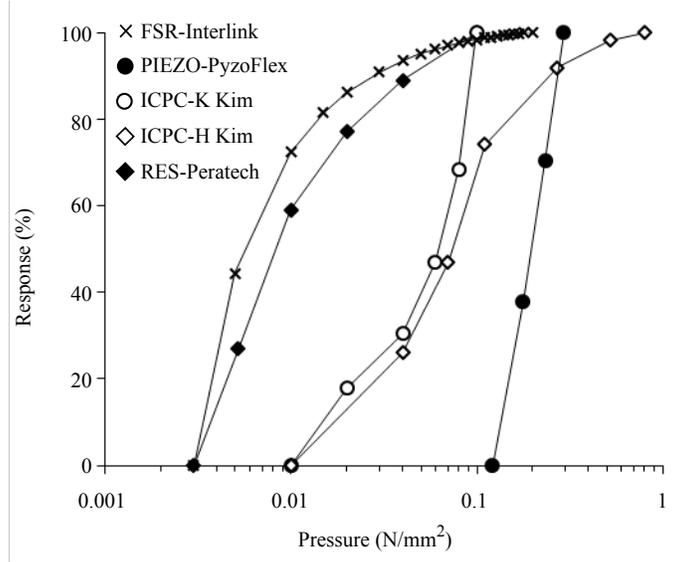


Figure 15: Comparison of sensor response to applied pressure for Interlink FSR technology (FSR-Interlink), PyzoFlex® piezoelectric foil (PIEZO-PyzoFlex), in-cell pressed capacitive touchscreens demonstrated by H. Kim and K. Kim (ICPC-K Kim and ICPC-H Kim) and the resistive pressure-sensitive touchscreen patented by Peratech Ltd (RES-Peratech).

1015 where  $Pressure_{100\%} - Pressure_{0\%}$  defines the range of response, that is the dif-  
 1016 ference between the maximum and minimum pressure (or force) able to be detected.  
 1017 The calculated sensitivity values are compared in Table 3. Here we can see the sen-  
 1018 sitivity for both ICPC-K Kim and PIEZO-PyzoFlex® is around 50 %, indicating a  
 1019 linear response - 50 % of the sensor response is achieved through 50 % application  
 1020 of applied pressure. The other technologies have much lower sensitivity values. This  
 1021 indicates that the sensors are highly sensitive to low values of applied pressure, as  
 1022 only a pressure input typically less than 10 % is required to produce a 50 % response.

1023 For each technology discussed in this review paper, the maximum and minimum  
 1024 force values from the available data (i.e. the range of response) are shown in Fig.16.  
 1025 The region corresponding to a light touch (0.1 N) and a hard press (10 N) is shaded.  
 1026 Both the pressure-sensing resistive touchscreen patented by Peratech Ltd and the  
 1027 FSR technology (in this case demonstrated by Interlink but in practice any of the  
 1028 sensors shown in Fig.12) produce a response for most forces in this range. Whilst the  
 1029 ICPC touchscreen demonstrated by K. Kim and the resistive touchscreen patented  
 1030 by Stantum are sensitive to smaller applied forces below this limit, in practice this  
 1031 is not particularly useful.

Table 3: Sensitivity of selected pressure-sensing tactile technologies

Technology	Sensitivity
FSR-Interlink	1.5
PIEZO-PyzoFlex	45
ICPC-K Kim	59
ICPC-H Kim	8.2
RES-Peratech	5.8

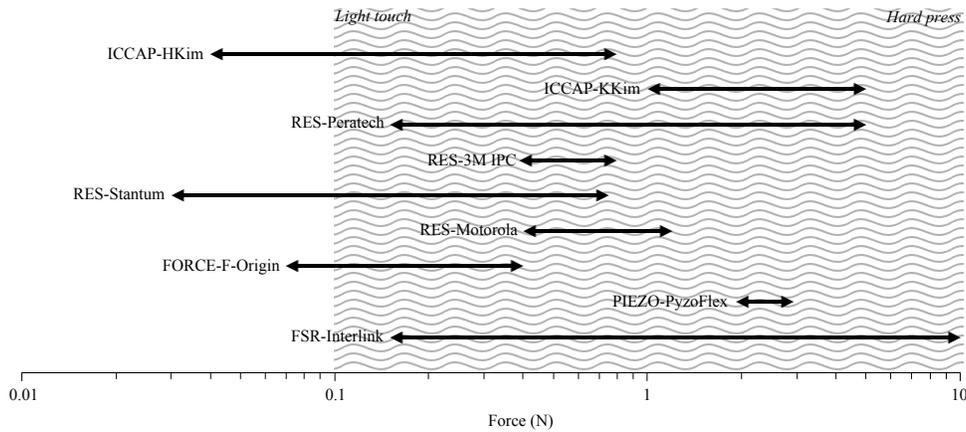


Figure 16: Comparison of the range of forces to which the pressure-sensitive touch technologies described in this review exhibit a response. The force region comparable to a light touch (0.1 N) and a hard press (10 N) is shaded.

1032 In terms of how pressure input may be utilised in computer interfaces, a pressure  
 1033 level may be defined as a range of pressures that will result in a certain reaction.  
 1034 For example in drawing software each pressure level would result in brush stroke  
 1035 of a certain diameter. The diameter would typically become larger for a higher  
 1036 pressure level input. A large sensitivity coupled with a small responsive pressure  
 1037 range means that the defined pressure levels must become narrow. The reaction  
 1038 becomes almost switch-like and access to intermediate pressure levels requires a high  
 1039 degree of user control. However for a smaller sensitivity coupled with a large range  
 1040 of response, broad pressure levels may be defined and it becomes easier for the user  
 1041 to manipulate between the pressure levels.

1042 Another important issue to consider is the cost of implementing the pressure-

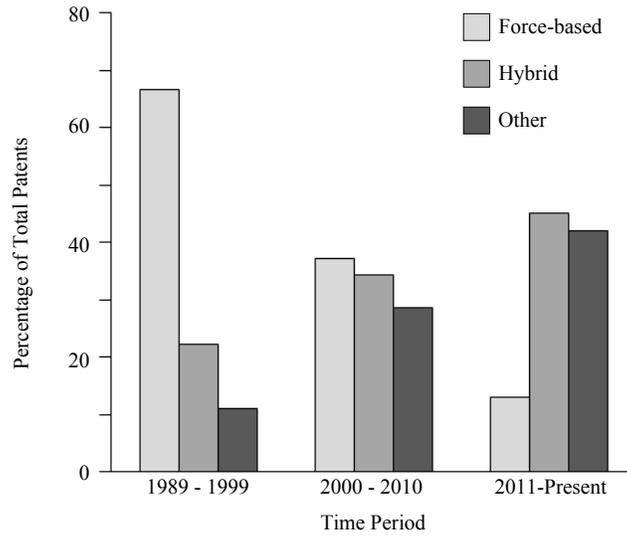


Figure 17: An analysis of patent trends over time. A sample of 75 patents containing the words “force sensitive touchscreen” or “pressure sensitive touchscreen” were analysed. It was found that the majority of the patents described either a touchscreen which used discrete force sensors to measure both touch location and touch force, or a hybrid touchscreen where discrete force sensors were used alongside a location sensing technology, usually projected capacitive. Furthermore, the percentage of hybrid technologies is found to increase over time, whereas the percentage of discrete force-based technology patents decreases.

1043 sensing solution. The addition of a small number of discrete pressure sensors, placed  
 1044 outside the touch module in strategic locations (for example force sensors placed in  
 1045 the four corners underneath the display) is likely to be a low-cost solution as the  
 1046 price of sourcing and incorporating the sensors into the build of the device should  
 1047 be comparatively low. Contrast this with incorporating the pressure sensor within  
 1048 the touchscreen itself – for example the continuous resistive films described in Sec-  
 1049 tion 4.2 or even a 2D matrix array of sensors as described in Section 4.1.2. Here, the  
 1050 manufacture costs are likely to be high as new methods and additional steps must be  
 1051 included in the manufacture of the touchscreen. Whilst the resistive pressure sensing  
 1052 layers can be printed, using screen-print techniques for example, the manufacture of  
 1053 the pressed in-cell touchscreen uses photolithographic methods with a high number  
 1054 of manufacture steps.

1055 Analysis of the patent literature can yield information regarding the possible fu-  
 1056 ture successes for each technology. Fig.17 shows the patent trends in touch technolo-

1057 gies since 1989. A patent search engine was used to search for patents containing the  
1058 phrase “force sensing touchscreen” and/or “pressure sensing touchscreen”. A sample  
1059 size of 75 patents were analysed in the order they were listed on the search engine.  
1060 It can be seen that the majority of the patents describe either force-based touch-  
1061 screens or the hybrid touchscreens described above. Interestingly, it can be seen  
1062 that the percentage of purely force-based technology patents decreases over time,  
1063 whereas the percentage of patents detailing the hybrid technology increases. In the  
1064 category of ‘other’ the patents may describe resistive pressure sensing technology,  
1065 FSRs and pressed–capacitive technology. This is perhaps of no surprise, as the lead-  
1066 ing touchscreen technologies currently use P-Cap or In-Cell P-Cap technology and  
1067 hybridisation with discrete force sensors is perhaps the simplest compatible method  
1068 of incorporating pressure sensitivity in such a device.

## 1069 **6. Conclusion**

1070 This review describes current and emerging tactile sensing technologies for use in  
1071 HCI applications where touch pressure can provide a third dimension of user input.  
1072 Pressure–sensing may be realised by the incorporation of resistive, piezoresistive,  
1073 capacitive, piezoelectric or inductive pressure sensors.

1074 Whilst some of the pressure–sensing technologies discussed are at present only de-  
1075 tailed in the patent literature, or available as prototype only, there are some products  
1076 available on the market which already utilise pressure–sensitivity. These include the  
1077 Microsoft Surface Touch Cover Keyboard and the Interlink VersaPad™ laptop track-  
1078 pad, which contains FSR resistive pressure–sensing technology. Pressure sensitivity is  
1079 also being developed for transparent touchscreens, for example by the incorporation  
1080 of a resistive pressure–sensing layer in a resistive–type touchscreen, or by a capacitive  
1081 pressure sensing array in a pressed ‘in–cell’ touchscreen. However, currently these  
1082 technologies are in the research stage only, and whilst at least the resistive solution  
1083 is under development by some companies there is currently no device on the market  
1084 utilising this technology. The pressed in-cell approach has been studied by various  
1085 research groups, for its potential applicability in touchscreens. However, the inherent  
1086 disadvantages of this technology mean it is unlikely to be commercialised in the near  
1087 future.

1088 Perhaps the most success (in terms of number of patents and devices which utilise  
1089 this principle) has been achieved by the addition of discrete pressure sensors outside  
1090 the touch module, where the sensors do not need to be transparent. For example,  
1091 the Apple Watch uses this method to distinguish between a light touch and a hard  
1092 press, and the ForcePad™ trackpad produced by Synaptics, Inc. can detect 64 levels

1093 of applied pressure from five fingers simultaneously. Perhaps the main benefit of this  
1094 approach is that the specific advantages of the touchscreen can be kept, for example  
1095 the multi-touch functionality associated with P-Cap touchscreens, as the pressure  
1096 sensors can be integrated underneath any display using any location-sensing inter-  
1097 face. Analysis of patent trends show this approach is rapidly gaining traction. For  
1098 these reasons, the authors believe that this approach may show the most commercial  
1099 successes in the next few years.

1100 In the words of Apple “[Pressure sensing] is the most significant new sensing  
1101 capability since Multi-Touch” [106]. Their recent focus on force-sensing in laptop  
1102 trackpads and wearable technology such as the Apple Watch show that it is only a  
1103 matter of time before pressure input becomes mainstream in the new generation of  
1104 human-computer interfaces.

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## 1109 8. Figures

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