

# Hubble Frontier Fields: predictions for the return of SN Refsdal with the MUSE and GMOS spectrographs

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## ABSTRACT

We present a high-precision mass model of the galaxy cluster MACS J1149.6+ 2223, based on a strong gravitational lensing analysis of *Hubble Space Telescope* Frontier Fields (HFF) imaging data and spectroscopic follow-up with Gemini/Gemini Multi-Object Spectrographs (GMOS) and Very Large Telescope (VLT)/Multi Unit Spectroscopic Explorer (MUSE). Our model includes 12 new multiply imaged galaxies, bringing the total to 22, composed of 65 individual lensed images. Unlike the first two HFF clusters, Abell 2744 and MACS J0416.1–2403, MACS J1149 does not reveal as many multiple images in the HFF data. Using the `LENSTOOL` software package and the new sets of multiple images, we model the cluster with several cluster-scale dark matter haloes and additional galaxy-scale haloes for the cluster members. Consistent with previous analyses, we find the system to be complex, composed of five cluster-scale haloes. Their spatial distribution and lower mass, however, makes MACS J1149 a less powerful lens. Our best-fitting model predicts image positions with an rms of 0.91 arcsec. We measure the total projected mass inside a 200-kpc aperture as  $(1.840 \pm 0.006) \times 10^{14} M_{\odot}$ , thus reaching again 1 per cent precision, following our previous HFF analyses of MACS J0416.1–2403 and Abell 2744. In light of the discovery of the first resolved quadruply lensed supernova, SN Refsdal, in one of the multiply imaged galaxies identified in MACS J1149, we use our revised mass model to investigate the time delays and predict the rise of the next image between 2015 November and 2016 January.

**Key words:** gravitational lensing: strong – supernovae: individual: SN Refsdal – galaxies: clusters: individual: MACS J1149.

## 1 INTRODUCTION

Since the discovery of the first giant arcs (in Abell 370; Soucail et al. 1988), gravitational lensing has been recognized as one of the most powerful tools to understand the evolution and assembly of struc-

tures in the Universe. Gravitational lensing allows us to measure the dark matter content of the lenses, free from assumptions regarding their dynamical state (for reviews, see e.g. Schneider, Ehlers & Falco 1992; Massey, Kitching & Richard 2010; Kneib & Natarajan 2011; Hoekstra et al. 2013), as well as to spatially resolve the lensed objects themselves (Smith et al. 2009; Richard et al. 2011a; Rau, Vegetti & White 2014). Massive galaxy clusters are ideal ‘cosmic telescopes’ and generate high magnification factors over a large

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field of view (Ellis et al. 2001; Kneib et al. 2004). Their importance for the study of both clusters and the distant Universe lensed by them is apparent from ambitious programs implemented with the *Hubble Space Telescope (HST)*, like the Cluster Lenses And Supernovae with Hubble (CLASH, PI: Postman; Postman et al. 2012) multicycle treasury project, the Grism Lens-Amplified Survey from Space (GLASS) program (PI: Treu; Schmidt et al. 2014), and the recent Hubble Frontier Fields (HFF) Director’s initiative.<sup>1</sup>

The galaxy cluster studied in this paper, MACS J1149.6+ 2223 (hereafter MACS J1149), at redshift  $z = 0.544$  (RA: + 11:49:34.3, Dec.: + 22:23:42.5), was discovered by the Massive Cluster Survey (MACS; Ebeling, Edge & Henry 2001; Ebeling et al. 2007). The first strong-lensing analyses of MACS J1149 were published by Smith et al. (2009), Zitrin & Broadhurst (2009), and Zitrin et al. (2011), based on shallow *HST* data (GO-9722, PI: Ebeling) taken with the Advanced Camera for Surveys (ACS), and revealed one of the most complex cluster cores known at the time. MACS J1149 stands out among other massive, complex clusters not only by virtue of its relatively high redshift, but also for it hosting a spectacular lensed object, a triply lensed face-on spiral at  $z = 1.491$  (Smith et al. 2009). The system was selected as a target for the CLASH program and thus observed with both ACS and the Wide Field Camera 3 (WFC3) across 16 passbands, from the ultraviolet (UV) to the near-infrared, for a total integration time of 20 *HST* orbits, leading to the discovery of a lensed galaxy at  $z = 9.6$ , observed near the cluster core (Zheng et al. 2012), and the publication of a revised strong-lensing analysis by Rau et al. (2014).

More recently, MACS J1149 was selected as one of the six targets for the HFF observing campaign. Combining the lensing power of galaxy clusters with the high resolution of *HST* and allocating a total of 140 *HST* orbits for the study of each cluster, the HFF initiative aims to probe the distant and early Universe to an unprecedented depth of  $\text{mag}_{\text{AB}} \sim 29$  in seven passbands (three with ACS, four with WFC3). In a coordinated multiteam effort, mass models<sup>2</sup> of all six HFF cluster lenses were derived from pre-HFF data (CLASH data in the case of MACS J1149) to provide the community with a first set of magnification maps (see in particular Johnson et al. 2014; Richard et al. 2014; Coe, Bradley & Zitrin 2015). Deep HFF imaging of MACS J1149 was obtained during Cycle 22.

In 2014, MACS J1149 was observed with WFC3 between November 3 and 20 as part of the GLASS programme. In the resulting data, Kelly et al. (2015a) discovered a new supernova (SN) within the multiply-imaged spiral galaxy discussed above, lensed into an Einstein cross by a foreground cluster galaxy. Multiply-lensed SN have been predicted for years, but with a relatively low probability of detection (e.g. Refsdal 1964; Kovner & Paczynski 1988). Up to then, only candidates of such events had been reported (Goobar et al. 2009; Patel et al. 2014; Quimby et al. 2014), making this new SN, named SN Refsdal by their discoverers, the first secure case of a resolved multiply-lensed SN. We refer the reader to Kelly et al. (2015a) for more details. The discovery of SN Refsdal led to revisions of the pre-HFF strong-lensing analysis of MACS J1149 and allowed measurements of time delays as well as predictions for the time of appearance of the same SN event in another image of the multiply-imaged spiral (Diego et al. 2016; Oguri 2015; Sharon & Johnson 2015). More recently, other HFF analyses were presented in Treu et al. (2015), Grillo et al. (2015), and Kawamata et al. (2015), in good agreement with the analysis presented here.

In this paper, we present a revised and improved version of the mass model of MACS J1149 by Richard et al. (2014), taking advantage of the recent deep HFF images of the system, as well as spectroscopic surveys of the cluster core with Gemini/Gemini Multi-Object Spectrographs (GMOS) and Very Large Telescope (VLT)/Multi Unit Spectroscopic Explorer (MUSE). We study the case of SN Refsdal and compare our results with those obtained by Sharon & Johnson (2015), Oguri (2015), Diego et al. (2016), Treu et al. (2015), Grillo et al. (2015), and Kawamata et al. (2015).

When quoting cosmology-dependent quantities, we adopt the  $\Lambda$  cold dark matter ( $\Lambda$ CDM) concordance cosmology with  $\Omega_{\text{m}} = 0.3$ ,  $\Omega_{\Lambda} = 0.7$ , and a Hubble constant  $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$ . Magnitudes are quoted in the AB system.

## 2 OBSERVATIONS

### 2.1 Hubble Frontier Fields data

MACS J1149 was observed for the HFF campaign (ID: 13504, PI: J. Lotz) with WFC3 between 2014 November and 2015 January in four filters, and with ACS between 2015 April and May in three filters. The discovery of SN Refsdal in the GLASS data led to additional observations with WFC3, performed (and to be performed) at pre-set intervals between 2015 January and November (ID: 13790, PI: Rodney). We used the self-calibrated data (version v1.0) with a pixel size of 0.03 arcsec provided by Space Telescope Science Institute (STScI).<sup>3</sup> These data combine all *HST* observations of the cluster for total integration times corresponding to 25, 20.5, 20, and 34.5 orbits with WFC3 in the *F105W*, *F125W*, *F140W*, and *F160W* passbands, respectively, and to 18, 10, and 42 orbits with ACS in the *F435W*, *F606W*, and *F814W* filters, respectively, leading to a limiting magnitude of  $\text{mag}_{\text{AB}} = 29$ , and thus a depth typical of ultradeep field observations, for all seven filters. A composite *HST*/ACS colour image is shown in Fig. 1.

### 2.2 Spectroscopy with GMOS

MACS J1149 was observed with the GMOS spectrograph on Gemini-North (ID program: GN-2010A-Q-8) during four nights between 2010 March 19 and April 20. The seeing varied between 0.7 and 0.9 arcsec. A single multi-object mask was used with a total of 20 slits covering multiple images, cluster members, and other background galaxies identified from the *HST* images; the slit width was 1 arcsec. Observations with the B600 and R831 gratings provided a spectral resolution between 1500 at 650 nm and 3000 at 840 nm. A total of  $40 \times 1050 \text{ s}$  exposures were taken, equally split across four wavelength settings centred at 540, 550, 800, and 810 nm.

The GMOS spectroscopic data were reduced using the Gemini IRAF reduction package (v. 1.1) to create individual calibrated 2D spectra of each slit and exposure. These were then aligned and combined using standard IRAF recipes, and 1D spectra were extracted at the location of the sources of interest.

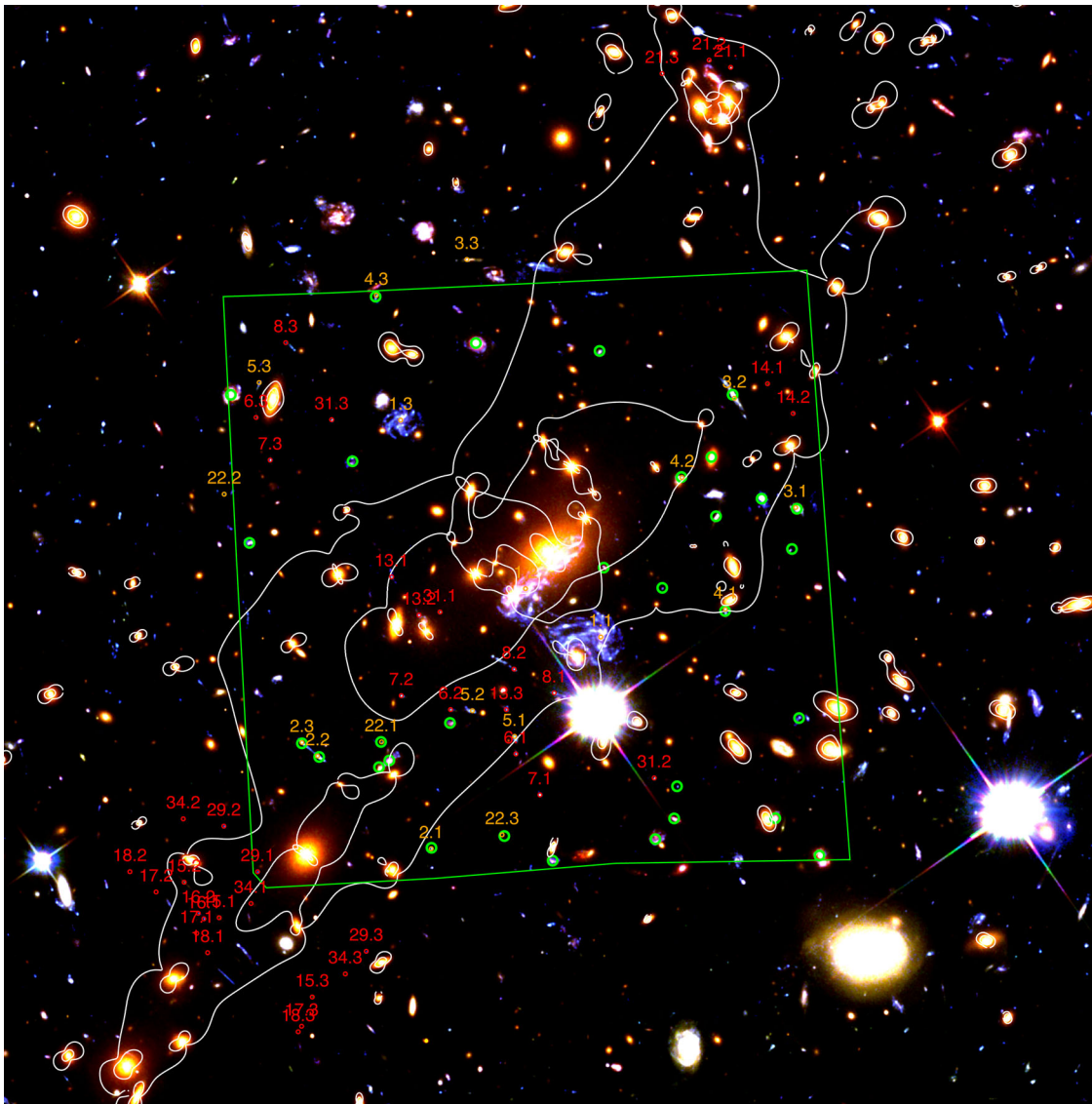
### 2.3 Spectroscopy with MUSE

The integral field spectrograph MUSE (Bacon et al. 2010) on the VLT observed the very central region of MACS J1149 (green square

<sup>1</sup> <http://www.stsci.edu/hst/campaigns/frontier-fields/>

<sup>2</sup> <http://archive.stsci.edu/prepds/frontier/lensmodels/>

<sup>3</sup> <http://archive.stsci.edu/pub/hlsp/frontier/m1149/images/hst/>



**Figure 1.** Overview of all multiple-image systems used in this study. The most secure identifications, used to optimize the lens model in the image plane (65 images) are shown in red; in orange we highlight the systems with a spectroscopic redshift from either GMOS or MUSE, with larger green circles highlighting the background sources with a MUSE redshift. System #1 is split into 24 individual sources at the same redshift, not labelled on the figure for clarity (see Table 1 for their coordinates). The underlying colour image is a composite created from *HST*/ACS images in the *F814W*, *F606W*, and *F435W* passbands. Critical lines at  $z = 1.49$  and  $7.0$  are shown in white. The green rectangle highlights the VLT/MUSE field of view. The top left-hand inset shows a close-up view of the northern component of the cluster (clump #4 in Table 5). North is up and east is left.

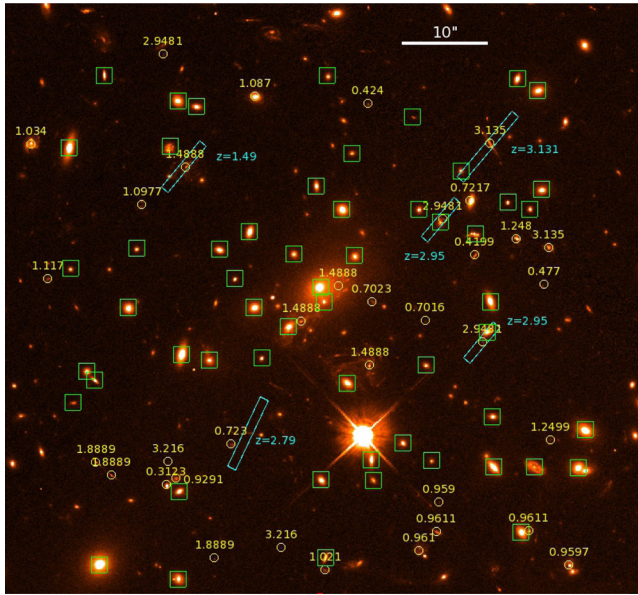
in Fig. 1) on 2015 February 14 and March 21 as part of the DDT program 294.A-5032(A) (PI: Grillo). The spectrograph’s  $1 \times 1$  arcmin<sup>2</sup> field of view was rotated slightly to a position angle of  $4^\circ$  in order to include the majority of the central multiple-image systems. The seeing varied between 0.9 and 1.2 arcsec.

For the analysis presented here, we combine 10 exposures of 1440 s each that are publicly available from the ESO archive (the complete observations were published while this paper was under review; Grillo et al. 2015). We reduced these data using version 1.1 of the MUSE data reduction pipeline (Weilbacher et al., in preparation); selected results from the full data set (including proprietary exposures) are presented by Karman et al. (2015). We performed the basic calibrations (bias and flat-field corrections, wavelength and geometrical calibration) and applied a twilight and illumination correction to the data taken on each night. Flux calibration was per-

formed using a standard star taken at the beginning of the night, and a global sky subtraction was applied to the pixel tables before a final resampling. The 10 exposures were then aligned after adjustments for offsets measured from the centroid of the brightest star in the south of the field (Fig. 2).

The final MUSE data cube has a spatial pixel scale of 0.2 arcsec and covers the wavelength range 4750–9350 Å at  $1.25 \text{ \AA pixel}^{-1}$  and a resolution of 1500–3000. Following Richard et al. (2015), we analysed this large data set using two complementary approaches: we first extracted the 1D spectrum at the location of each of the sources detected in the HFF images and falling within the MUSE field of view, and then estimated all possible redshifts based on emission- and absorption-line features. In addition, we used narrow-band images created with customized software based on SExtractor (Bertin & Arnouts 1996) to perform a blind search of the data cube for





**Figure 2.** *HST*/ACS *F814W* image of MACS J1149 centred on the MUSE field of view. Cyan slits mark GMOS spectroscopic measurements; yellow circles show the sources with MUSE spectroscopic redshifts; and green squares highlight cluster members with a MUSE spectroscopic redshift as listed in Table 1. We note the presence of a small group of galaxies at  $z = 0.96$  in the south-west corner.

isolated emission lines not associated with continuum sources. We then merged the results from both approaches to generate a final MUSE redshift catalogue.

In total, we measured the redshift of 88 sources, including 57 cluster members between  $z = 0.513$  and  $0.570$ , and 27 background sources (some of them being multiply imaged, see Section 3.2). Tables 1–3 list coordinates and redshifts for cluster members, singly imaged background sources, and foreground galaxies, respectively. The redshifts for multiple images are provided in Table 4.

### 3 MULTIPLY IMAGED SYSTEMS

#### 3.1 *HST* identifications

MACS J1149 has been the subject of a number of strong-lensing analyses (Smith et al. 2009; Zitrin & Broadhurst 2009; Zitrin et al. 2011; Johnson et al. 2014; Rau et al. 2014; Richard et al. 2014; Coe et al. 2015; Diego et al. 2016; Oguri 2015; Sharon & Johnson 2015), all of which were based on pre-HFF data except for Diego et al. (2016), their work uses one-third of the HFF data. We started our search for multiple images guided by the mass model of Richard et al. (2014). This model incorporates 35 multiple images of 10 different lensed galaxies, three of which have spectroscopic redshifts from Smith et al. (2009): systems #1, #2, and #3, at  $z = 1.491$ ,  $1.894$ , and  $2.497$ , respectively.

The new, deep HFF ACS and WFC3 images allow us to extend this set of multiple images. To this end, we followed Jauzac et al. (2014, 2015) and first computed the cluster’s gravitational-lensing deflection field that describes the mapping of images from the image plane to the source plane, on a grid with a spacing of  $0.2 \text{ arcsec pixel}^{-1}$ . Since the transformation scales with redshift as described by the distance ratio  $D_{LS}/D_{OS}$ , where  $D_{LS}$  and  $D_{OS}$  are the distances between the lens and the source, and the observer and the source, respectively, it is only computed once, thereby enabling an

**Table 1.** Catalogue of cluster members detected with VLT/MUSE observations.

ID	RA	Dec.	$z_{\text{spec}}$
1	177.39548	22.404037	0.5133
2	177.39328	22.400253	0.5134
3	177.39859	22.398064	0.5264
4	177.39096	22.401691	0.5272
5	177.40628	22.405381	0.5277
6	177.40358	22.396369	0.5307
7	177.40745	22.399136	0.5307
8	177.39121	22.392715	0.531
9	177.40261	22.396186	0.5315
10	177.39287	22.397096	0.5322
11	177.40546	22.397881	0.5327
12	177.40121	22.400339	0.5327
13	177.39181	22.405281	0.5335
14	177.3911	22.404904	0.5335
15	177.40306	22.404389	0.5335
16	177.39846	22.405383	0.536
17	177.39854	22.389783	0.536
18	177.39965	22.399616	0.536
19	177.39139	22.401063	0.5365
20	177.39502	22.39602	0.5365
21	177.3938	22.402294	0.5385
22	177.40752	22.403047	0.5392
23	177.39686	22.392292	0.5398
24	177.40077	22.396256	0.5403
25	177.40104	22.397885	0.5403
26	177.40515	22.399789	0.5408
27	177.39581	22.393496	0.5408
28	177.39215	22.401282	0.5408
29	177.39869	22.392303	0.5411
30	177.3987	22.398519	0.5411
31	177.39452	22.400647	0.5416
32	177.39886	22.401818	0.5418
33	177.40014	22.394428	0.5425
34	177.39527	22.401054	0.5426
35	177.40171	22.398803	0.5428
36	177.40367	22.391933	0.5433
37	177.39483	22.392927	0.5436
38	177.39169	22.390611	0.5436
39	177.40225	22.399759	0.5436
40	177.38969	22.392704	0.5441
41	177.4069	22.39583	0.5441
42	177.39778	22.395445	0.5443
43	177.39269	22.394364	0.5453
44	177.39752	22.39955	0.5458
45	177.40663	22.395536	0.5466
46	177.4037	22.404578	0.5468
47	177.40737	22.394819	0.547
48	177.39797	22.401045	0.5471
49	177.40369	22.389101	0.5491
50	177.40645	22.389565	0.5496
51	177.39265	22.392733	0.5504
52	177.39693	22.39297	0.5511
53	177.39761	22.402875	0.5511
54	177.39275	22.398072	0.5519
55	177.38943	22.393942	0.5554
56	177.39983	22.397255	0.5609
57	177.40397	22.403094	0.567

**Table 2.** Catalogue of singly imaged background galaxies detected with VLT/MUSE observations.

ID	RA	Dec.	$z_{\text{spec}}$
58	177.39503	22.397460	0.7016
59	177.39691	22.398059	0.7023
60	177.39346	22.401332	0.7217
61	177.40183	22.393461	0.723
62	177.40377	22.392353	0.9291
63	177.39456	22.391586	0.959
64	177.39000	22.389538	0.9597
65	177.39525	22.390021	0.961
66	177.39141	22.390644	0.9611
67	177.39465	22.390637	0.9611
68	177.39854	22.389384	1.021
69	177.40885	22.403175	1.034
70	177.40100	22.404706	1.087
71	177.40495	22.401208	1.0977
72	177.40825	22.398792	1.117
73	177.39185	22.400103	1.248
74	177.39065	22.393606	1.2499

**Table 3.** Catalogue of foreground galaxies detected with VLT/MUSE observations.

ID	RA	Dec.	$z_{\text{spec}}$
75	177.40410	22.392153	0.3123
76	177.39331	22.399581	0.4199
77	177.39704	22.404475	0.424
78	177.39088	22.398611	0.477

efficient lens inversion. We then compute the critical region at redshift  $z = 7$  and limit our search for multiple images in the ACS data to this area (white contours in Fig. 1). Careful searches, combined with visual scrutiny and confirmation, revealed 12 new multiply imaged systems, bringing the total number of multiple images identified in MACS J1149 to 65, involving 22 different multiply imaged galaxies (Fig. 1 and Table 4), which leads to considerable tighter constraints on the mass model of the cluster. Although a significant improvement over the pre-HFF statistics, this number of new systems is disappointing compared to how many were discovered in the first two HFF clusters, MACS J0416.1–2403 and Abell 2744; we discuss this issue in Section 5.1.

As one of the main goals of our analysis is to measure precise time delays prompted by the discovery of SN Refsdal, we followed Rau et al. (2014) and decomposed system #1, the SN host galaxy, into 24 features, selected as the brightest components of the spiral (see the bottom part of Table 4 for their coordinates). We also added the four images of SN Refsdal located in image 1.2 and labelled S1, S2, S3, and S4, following the same notation as Kelly et al. (2015a), Sharon & Johnson (2015), and Oguri (2015).

In order to test the reliability of our multiple-image identifications, we computed a flux- $\chi^2$  statistic to quantify the similarity of the photometry in each pair of images within a given system:

$$\chi_v^2 = \frac{1}{N-1} \min_{\alpha} \left( \sum_{i=1}^N \frac{(f_i^A - \alpha f_i^B)^2}{\sigma_i^{A^2} + \alpha^2 \sigma_i^{B^2}} \right),$$

where  $f_i$  and  $\sigma_i$  are the fluxes and errors in filter  $i$ ,  $N$  is the total number of filters, and  $\alpha$  is the minimization factor rescaling both spectral energy distributions (SEDs). As shown by Mahler et al. (in preparation) this statistic quantifies the probability of two images originating from the same source.

Flux measurements were derived from isophotal magnitudes measured with SEXTRACTOR (Bertin & Arnouts 1996), and the fluxes of oversegmented multiple images were combined into a total flux per multiple image. The corresponding magnitudes are presented in Table 4. Combining all HFF filters, we find acceptable values for  $\chi^2$  ( $\sim 1-3$ ) for almost all images, with slightly high values typically being observed for sources whose photometry is compromised by bright nearby sources.

A notable exception is image 3.3, already found in the pre-HFF images, which features a very high  $\chi^2$  value (56), although it seems to be the most plausible counterimage of system 3 based on predictions of both its position and morphology derived from a lensing model constrained with 3.1 and 3.2 only. Fig. 3 shows the three images of system #3 in composite *HST* ACS/WFC3 colour images (top panel), as well as the predicted images (monochrome), simulated based on the morphology of image 3.1. The predicted location and morphology of images 3.2 and 3.3 closely match those of the real data; however, the colour of image 3.3 is significantly reddened compared to images 3.1 and 3.2, thus producing the aforementioned large  $\chi^2$  value. Removing the differential amplification between images 3.1 and 3.3, we find the magnitude differences in all filters to follow a typical reddening curve (Fig. 4), which can be easily modelled by a Milky Way (MW; Allen 1976) or Small Magellanic Cloud (SMC; Prevot et al. 1984; Bouchet et al. 1985) extinction curve, with typical values of  $A_V = 0.51$  (MW) or 0.47 (SMC), if we assume that the extinction occurs in the cluster at  $z = 0.54$ . Dust extinction has been previously reported in the outskirts of clusters (e.g. Chelouche, Koester & Bowen 2007). Alternatively, we cannot rule out dust extinction by an intervening galaxy in the foreground or background of the cluster. For instance, the background spiral to the lower right of image 3.3 (Fig. 3) is a good candidate. We derive a photometric redshift  $z = 1.4$  and a best-fitting extinction  $A_V = 1.0$  from the public CLASH photometric catalogues (Postman et al. 2012)<sup>4</sup> using the HYPERZ photometric redshift software (Bolzonella, Miralles & Pelló 2000). Image 3.3 is located 12 kpc from the centre of this galaxy in the source plane at  $z = 1.4$ ; at such a high impact parameter the lower extinction in image 3.3 can thus be expected.

### 3.2 Redshift constraints

The spectroscopic observations described in Section 2 allowed us to confirm newly identified multiple-image systems, as well as to correct earlier spectroscopic redshifts measurements. Compared to Smith et al. (2009), we revise the spectroscopic redshift of system #3 to  $z = 3.128$ , based on the deeper GMOS data that clearly show Lyman  $\alpha$  in emission as well as an associated spectral Lyman  $\alpha$  break in the continuum (Fig. 5). The revised redshift of system #3 is also confirmed with MUSE for images 3.1 and 3.2. We also measure secure GMOS spectroscopic redshifts for system #4,  $z = 2.95$ , for system #5,  $z = 2.79$ , and system #9,  $z = 0.981$ , from strong Lyman  $\alpha$  and [O II] emission lines, respectively. MUSE observations add to these findings by revealing extended emission around image 4.1, producing a Lyman  $\alpha$  Einstein ring around the very close cluster member (Fig. 6). MUSE observations also confirm the redshift of the HFF multiple-image system #22 as  $z = 3.216$ , again from strong Lyman  $\alpha$  emission. Finally, we slightly revise the redshift of the system #1, the face-on spiral, to  $z = 1.4888$ , based on the total

<sup>4</sup> <https://archive.stsci.edu/missions/hlsp/clash/mac1149/catalogs/hst/>

**Table 4.** Multiply imaged systems considered in this work.

ID	RA	Dec.	$z$	$m_{F814W}$	$\mu$
1.1 <sup>a</sup>	177.397	22.396007	1.4888	22.46 ± 0.01	3.7 ± 0.1
1.2 <sup>a</sup>	177.39941	22.397438	1.4888	23.39 ± 0.01	4.1 ± 0.1
1.3 <sup>a</sup>	177.40341	22.402426	1.4888	22.73 ± 0.01	9.7 ± 0.3
2.1	177.40243	22.389739	1.894	26.46 ± 0.02	4.6 ± 0.1
2.2	177.40607	22.392484	1.894	24.4 ± 0.01	>20
2.3	177.40657	22.392881	1.894	24.49 ± 0.01	18.5 ± 1.6
3.1	177.39076	22.39984	3.128	23.36 ± 0.0	10.5 ± 0.4
3.2	177.39272	22.403074	3.128	22.77 ± 0.0	10.3 ± 0.4
3.3	177.40129	22.407182	3.128	24.01 ± 0.01	4.3 ± 0.1
4.1	177.39301	22.396826	2.95	25.41 ± 0.01	–
4.2	177.3944	22.400729	2.95	–	7.4 ± 0.2
4.3	177.40419	22.40612	2.95	25.96 ± 0.03	3.4 ± 0.1
5.1	177.39976	22.393062	2.79	25.15 ± 0.01	15.5 ± 0.7
5.2	177.40111	22.393824	2.79	25.01 ± 0.01	12.0 ± 0.5
5.3	177.40794	22.403538	2.79	26.12 ± 0.02	4.3 ± 0.1
6.1	177.39972	22.392545	2.66 ± 0.02	26.37 ± 0.03	9.0 ± 0.3
6.2	177.40181	22.393858	–	26.4 ± 0.02	8.1 ± 0.3
6.3	177.40804	22.402505	–	27.41 ± 0.06	4.7 ± 0.1
7.1	177.39895	22.391332	2.79 ± 0.02	25.87 ± 0.02	4.5 ± 0.1
7.2	177.40339	22.394269	–	26.16 ± 0.02	4.6 ± 0.1
7.3	177.40759	22.401243	–	26.3 ± 0.03	4.2 ± 0.1
8.1	177.39849	22.394351	2.81 ± 0.02	26.12 ± 0.02	>20
8.2	177.39978	22.395055	–	24.7 ± 0.04	15.1 ± 0.6
8.3	177.40709	22.40472	–	26.03 ± 0.02	3.2 ± 0.1
9.1	177.40515	22.426221	0.981	24.81 ± 0.01	1.7 ± 0.1
9.2	177.40387	22.427217	0.981	24.57 ± 0.01	4.9 ± 1.3
9.3	177.40323	22.427221	0.981	24.14 ± 0.0	2.9 ± 0.3
9.4	177.40365	22.426408	0.981	25.11 ± 0.01	3.4 ± 0.3
10.1	177.40447	22.425508	1.34 ± 0.01	25.99 ± 0.01	3.0 ± 0.2
10.2	177.40362	22.425629	–	26.09 ± 0.01	2.2 ± 0.1
10.3	177.4022	22.426611	–	26.5 ± 0.02	1.8 ± 0.1
13.1	177.4037	22.397787	1.28 ± 0.01	25.87 ± 0.03	>20
13.2	177.40282	22.396656	–	26.14 ± 0.02	11.9 ± 0.6
13.3	177.40003	22.393857	–	25.78 ± 0.02	5.3 ± 0.1
14.1	177.39166	22.403504	3.50 ± 0.06	27.06 ± 0.03	13.4 ± 0.7
14.2	177.39084	22.402624	–	27.13 ± 0.03	>20
15.1	177.40922	22.387695	3.58 ± 0.08	26.57 ± 0.03	7.5 ± 0.9
15.2	177.41034	22.388745	–	25.86 ± 0.02	>20
15.3	177.40624	22.385349	–	27.19 ± 0.04	3.8 ± 0.1
16.1	177.40971	22.387662	2.65 ± 1.45	27.19 ± 0.04	>20
16.2	177.40989	22.387828	–	27.34 ± 0.04	>20
17.1	177.40994	22.387232	6.28 ± 0.17	28.02 ± 0.06	5.5 ± 0.4
17.2	177.41124	22.388457	–	28.14 ± 0.07	15.2 ± 1.1
17.3	177.40658	22.384483	–	28.46 ± 0.08	3.6 ± 0.1
18.1	177.40959	22.38666	7.76 ± 0.16	28.51 ± 0.23	3.4 ± 0.2
18.2	177.41208	22.389057	–	–	8.3 ± 0.3
18.3	177.40669	22.384319	–	–	3.6 ± 0.1
21.1	177.39284	22.41287	2.48 ± 0.04	26.38 ± 0.02	>20
21.2	177.39353	22.413083	–	22.52 ± 0.06	>20
21.3	177.39504	22.412686	–	27.5 ± 0.04	14.6 ± 1.1
22.1	177.40402	22.3929	3.216	27.86 ± 0.05	5.0 ± 0.2
22.2	177.40906	22.400233	3.216	27.85 ± 0.05	3.9 ± 0.1
22.3	177.40016	22.39015	3.216	27.57 ± 0.05	4.1 ± 0.1
26.1	177.40475	22.425978	1.49 ± 0.03	26.87 ± 0.03	3.5 ± 0.4
26.2	177.40361	22.426078	–	26.44 ± 0.03	4.0 ± 0.5
26.3	177.40274	22.426936	–	26.7 ± 0.02	2.5 ± 0.1
29.1	177.40799	22.389056	2.76 ± 0.05	27.99 ± 0.07	10.7 ± 2.0
29.2	177.40907	22.390406	–	27.55 ± 0.04	9.2 ± 0.4
29.3	177.40451	22.386702	–	28.56 ± 0.08	4.0 ± 0.1
31.1	177.40215	22.396747	2.78 ± 0.03	26.86 ± 0.03	2.3 ± 0.1
31.2	177.39529	22.391833	–	26.2 ± 0.02	3.2 ± 0.1
31.3	177.40562	22.402439	–	26.1 ± 0.02	4.2 ± 0.1
34.1	177.4082	22.388116	3.42 ± 0.08	27.28 ± 0.04	4.3 ± 0.5
34.2	177.41037	22.390621	–	27.35 ± 0.05	6.7 ± 0.2

Table 4 – continued

ID	RA	Dec.	$z$	$m_{F814W}$	$\mu$
34.3	177.40518	22.386031	–	$27.66 \pm 0.06$	$4.0 \pm 0.1$
1002.2 <sup>b</sup>	177.39701	22.396	1.4888	–	–
1002.3 <sup>b</sup>	177.39943	22.397424	1.4888	–	–
1002.1 <sup>b</sup>	177.40343	22.402419	1.4888	–	–
1003.2 <sup>b</sup>	177.39815	22.396344	1.4888	–	–
1003.3 <sup>b</sup>	177.39927	22.39683	1.4888	–	–
1003.1 <sup>b</sup>	177.40384	22.402564	1.4888	–	–
1004.2 <sup>b</sup>	177.39745	22.396397	1.4888	–	–
1004.3 <sup>b</sup>	177.39916	22.397214	1.4888	–	–
1004.1 <sup>b</sup>	177.40359	22.402653	1.4888	–	–
1006.2 <sup>b</sup>	177.39712	22.396717	1.4888	–	–
1006.3 <sup>b</sup>	177.39812	22.398247	1.4888	–	–
1006.4 <sup>b</sup>	177.39878	22.397625	1.4888	–	–
1006.1 <sup>b</sup>	177.40338	22.402867	1.4888	–	–
1007.2 <sup>b</sup>	177.39697	22.396636	1.4888	–	–
1007.3 <sup>b</sup>	177.39782	22.398464	1.4888	–	–
1007.4 <sup>b</sup>	177.39882	22.397711	1.4888	–	–
1007.1 <sup>b</sup>	177.40329	22.402831	1.4888	–	–
1008.2 <sup>b</sup>	177.39698	22.396553	1.4888	–	–
1008.3 <sup>b</sup>	177.39793	22.398418	1.4888	–	–
1008.4 <sup>b</sup>	177.39889	22.397639	1.4888	–	–
1008.1 <sup>b</sup>	177.40331	22.402786	1.4888	–	–
1009.2 <sup>b</sup>	177.397	22.396444	1.4888	–	–
1009.1 <sup>b</sup>	177.399	22.397625	1.4888	–	–
1010.2 <sup>b</sup>	177.39694	22.396394	1.4888	–	–
1010.1 <sup>b</sup>	177.39904	22.397611	1.4888	–	–
1011.2 <sup>b</sup>	177.39701	22.396197	1.4888	–	–
1011.3 <sup>b</sup>	177.39922	22.397472	1.4888	–	–
1011.1 <sup>b</sup>	177.40337	22.402556	1.4888	–	–
1015.2 <sup>b</sup>	177.39672	22.395372	1.4888	–	–
1015.3 <sup>b</sup>	177.39975	22.397489	1.4888	–	–
1015.4 <sup>b</sup>	177.40012	22.397203	1.4888	–	–
1015.1 <sup>b</sup>	177.40325	22.402008	1.4888	–	–
1016.2 <sup>b</sup>	177.39688	22.396211	1.4888	–	–
1016.3 <sup>b</sup>	177.39918	22.397589	1.4888	–	–
1016.1 <sup>b</sup>	177.40327	22.402581	1.4888	–	–
1018.2 <sup>b</sup>	177.39723	22.396208	1.4888	–	–
1018.3 <sup>b</sup>	177.39933	22.397303	1.4888	–	–
1018.1 <sup>b</sup>	177.40354	22.402533	1.4888	–	–
1019.2 <sup>b</sup>	177.39661	22.396308	1.4888	–	–
1019.3 <sup>b</sup>	177.39777	22.398783	1.4888	–	–
1019.4 <sup>b</sup>	177.39867	22.398219	1.4888	–	–
1019.5 <sup>b</sup>	177.39899	22.397869	1.4888	–	–
1019.1 <sup>b</sup>	177.40303	22.402681	1.4888	–	–
1020.2 <sup>b</sup>	177.39708	22.395722	1.4888	–	–
1020.1 <sup>b</sup>	177.40353	22.402236	1.4888	–	–
1021.2 <sup>b</sup>	177.39689	22.395761	1.4888	–	–
1021.3 <sup>b</sup>	177.39954	22.397483	1.4888	–	–
1021.4 <sup>b</sup>	177.39996	22.397094	1.4888	–	–
1021.1 <sup>b</sup>	177.40336	22.402289	1.4888	–	–
1022.2 <sup>b</sup>	177.39717	22.396508	1.4888	–	–
1022.3 <sup>b</sup>	177.39895	22.397503	1.4888	–	–
1022.1 <sup>b</sup>	177.40342	22.402764	1.4888	–	–
1024.2 <sup>b</sup>	177.39809	22.395853	1.4888	–	–
1024.3 <sup>b</sup>	177.39993	22.396714	1.4888	–	–
1024.1 <sup>b</sup>	177.40379	22.402193	1.4888	–	–
1026.2 <sup>b</sup>	177.39798	22.396011	1.4888	–	–
1026.3 <sup>b</sup>	177.39981	22.39676	1.4888	–	–
1026.1 <sup>b</sup>	177.40379	22.402317	1.4888	–	–
1050.2 <sup>b</sup>	177.39746	22.395653	1.4888	–	–
1050.3 <sup>b</sup>	177.39761	22.395778	1.4888	–	–
1050.4 <sup>b</sup>	177.39775	22.395217	1.4888	–	–
1050.5 <sup>b</sup>	177.39818	22.395681	1.4888	–	–
1050.6 <sup>b</sup>	177.40006	22.396691	1.4888	–	–



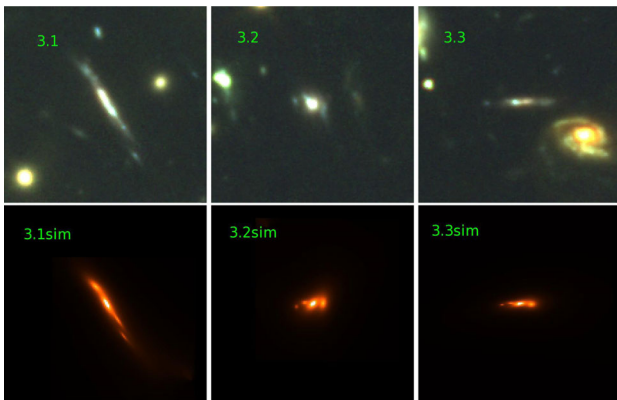
**Table 4** – *continued*

ID	RA	Dec.	$z$	$m_{F814W}$	$\mu$
1050.1 <sup>b</sup>	177.40376	22.402033	1.4888	–	–
1052.2 <sup>b</sup>	177.3973	22.395383	1.4888	–	–
1052.3 <sup>b</sup>	177.39792	22.395725	1.4888	–	–
1052.4 <sup>b</sup>	177.39803	22.395239	1.4888	–	–
1052.5 <sup>b</sup>	177.39817	22.395478	1.4888	–	–
1052.6 <sup>b</sup>	177.40016	22.396758	1.4888	–	–
1052.1 <sup>b</sup>	177.4037	22.401947	1.4888	–	–
1192.2 <sup>b</sup>	177.39664	22.396236	1.4888	–	–
1192.3 <sup>b</sup>	177.39796	22.398689	1.4888	–	–
1192.4 <sup>b</sup>	177.39904	22.397833	1.4888	–	–
1192.1 <sup>b</sup>	177.40305	22.402631	1.4888	–	–
1211.2 <sup>b</sup>	177.39699	22.395628	1.4888	–	–
1211.1 <sup>b</sup>	177.40346	22.402172	1.4888	–	–
1222.2 <sup>b</sup>	177.39698	22.396081	1.4888	–	–
1222.1 <sup>b</sup>	177.4034	22.402461	1.4888	–	–

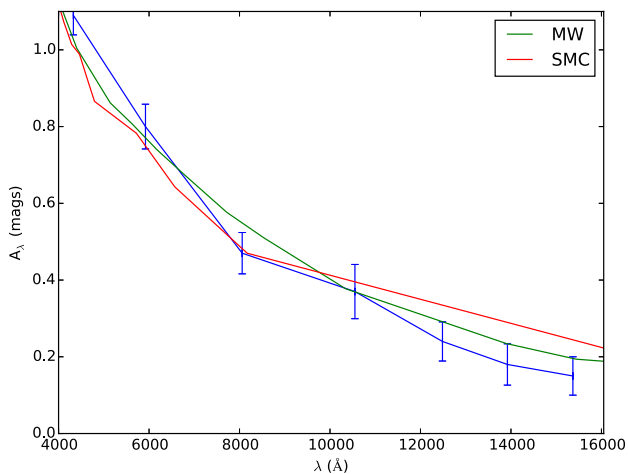
*Notes.* We include the predicted magnification given by our model. Some of the magnitudes are not quoted because we were facing deblending issues that did not allow us to get reliable measurements. The flux magnification factors come from our best-fitting mass model, with errors derived from MCMC sampling.

<sup>a</sup>Thanks to the VLT/MUSE data, we were able to revise spectroscopic redshift of system #1, from  $z = 1.491$ , as in Smith et al. (2009), to  $z = 1.4888$ .

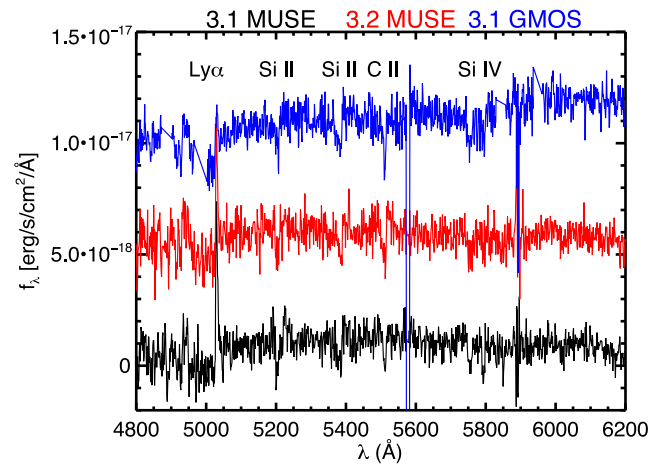
<sup>b</sup>Indicate the different components of system #1 we have used for our model, following the decomposition presented in Rau et al. (2014).



**Figure 3.** Top panels: *HST* ACS/WFC3 colour images of system 3 (*F814W*, *F105W*, and *F160W* filters as RGB). Bottom panels: predicted images from a source matching the morphology of image 3.1.



**Figure 4.** Extinction estimated from a comparison of image 3.3 and image 3.1, and models assuming a MW or SMC extinction law, with attenuations  $A_V = 0.51$  and  $0.47$ , respectively.



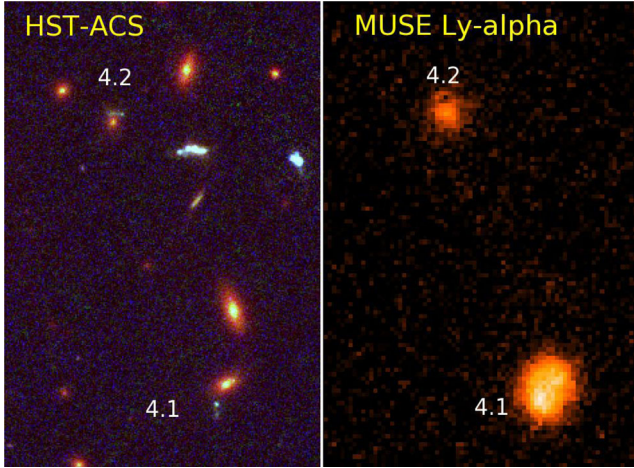
**Figure 5.** Example of extracted GMOS and MUSE spectra for system 3, confirming a redshift  $z = 3.128$  from Lyman  $\alpha$  in emission, spectral break, and UV absorption lines. Individual spectra are offset vertically for clarity.

integral field unit (IFU) spectrum of this object (see also Yuan et al. 2011).

In addition to measuring the redshifts of known multiple-image systems, we used the spectroscopic redshifts measured from GMOS and MUSE data also to investigate the possible multiplicity of other background sources (see second part of Table 2). All of these are predicted by our best mass model (Section 4) to be single images, including a small group of 11 galaxies at  $0.95 < z < 1.3$  within the MUSE field of view (Fig. 2 and Table 2). This test allowed us to reject potential new multiple images and to confirm the validity of our strong-lensing analysis.

Table 4 lists the coordinates, redshifts (spectroscopic or predicted by our model), *F814W*-band magnitudes, and magnifications predicted by our best-fitting model, for all multiple images used in this work. Magnitudes were measured using SExtractor (Bertin & Arnouts 1996).





**Figure 6.** Close-up view of system #4. The left-hand panel highlights images 4.1 and 4.2 in the *HST/ACS* image. The right-hand panel shows the Lyman  $\alpha$  emission in the same area as observed with MUSE.

## 4 STRONG-LENSING MASS MODEL

### 4.1 Methodology

Since our method to create the MACS J1149 mass model closely follows the one used by Jauzac et al. (2014, 2015), we here only give a brief summary and refer the reader to Kneib et al. (1996), Smith et al. (2005), Verdugo et al. (2011), and Richard et al. (2011b) for more details. Our mass model combines large-scale dark matter haloes to model the cluster-scale mass components and galaxy-scale haloes to model individual cluster members, typically large elliptical galaxies or galaxies that affect strong-lensing features due to their proximity to multiple images. As in our previous work, all mass components are modelled as Pseudo Isothermal Elliptical Mass Distribution (PIEMD; Limousin, Kneib & Natarajan 2005; Jauzac et al. 2014, 2015), characterized by a velocity dispersion  $\sigma$ , a core radius  $r_{\text{core}}$ , and a cut radius  $r_{\text{cut}}$ . In this parametric approach, haloes are not allowed to contain zero mass, and hence the relative probability of different models meeting the observational constraints is quantified by the  $\chi^2$  and rms statistics.

For the PIEMD models added to parametrize cluster members (mass perturbers) we fix the PIEMD parameters (centre, ellipticity, and position angle) at the values measured from the cluster light

distribution (see e.g. Kneib et al. 1996; Limousin et al. 2007; Richard et al. 2011a) and assume empirical scaling relations to relate the dynamical PIEMD parameters (velocity dispersion and cut radius) to the galaxies’ observed luminosity (Richard et al. 2014). For an  $L^*$  galaxy, we optimize the velocity dispersion between 100 and 250  $\text{km s}^{-1}$  and force the cut radius to less than 70 kpc to account for tidal stripping of galactic dark matter haloes (Limousin et al. 2007, 2009; Natarajan et al. 2009; Wetzell & White 2010).

Finally, one more parameter that is fixed, but plays an important role in the  $\chi^2$  computation, is the positional uncertainty of the multiple images. Indeed, it will affect the derivation of errors, i.e. a smaller positional uncertainty will generally result in a smaller statistical uncertainty, thus leading to an underestimation of the statistical error budget.

With HFF-like data, the average astrometric precision of the image position is of the order of 0.05 arcsec. However, parametric cluster lens models often fail to reproduce or predict image positions to this precision, yielding instead typical image plane rms values between 0.2 arcsec and a few arcseconds. In this work, we use a positional uncertainty of 0.5 arcsec.

### 4.2 Results

Our mass model includes five cluster-scale haloes, referred to as #1, #2, #3, #4, and #5 in Table 5. An additional cluster-scale halo, south-east of the BCG, was requested by the model compare to the pre-HFF mass model presented in Richard et al. (2014). Indeed, its necessity was confirmed by both a smaller rms value and a better reduced  $\chi^2$  compare to a four cluster-scale haloes mass model. During the optimization process, the position of these large-scale haloes is allowed to vary within 20 arcsec of the associated light peak. In addition, we limit the ellipticity, defined as  $e = (a^2 + b^2)/(a^2 - b^2)$ , to values below 0.7, while the core radius and the velocity dispersion are allowed to vary between 1 and 35 arcsec, and 100 and 2000  $\text{km s}^{-1}$ , respectively. The cut radius, by contrast, is fixed at 1000 kpc, since strong-lensing data alone do not probe the mass distribution on such large scales. In addition to these five cluster-scale dark matter haloes, we include galaxy-scale perturbations induced by 216 probable cluster members (Richard et al. 2014) by assigning a galaxy-scale halo to each of them. Finally, we add two galaxy-scale haloes to model the BCG of the cluster (clump #6 in Table 5), as well as the cluster member lensing SN Refsdal (clump #7 in Table 5). Using the set of the most securely

**Table 5.** PIEMD parameters inferred for the five dark matter clumps considered in the optimization procedure. Clumps #6 and #7 are galaxy-scale haloes that were modelled separately from scaling relations, to respectively model the BCG of the cluster as well as the cluster member responsible for the four multiple images of SN Refsdal. Coordinates are given in arcseconds with respect to  $\alpha = 177.3987300$ ,  $\delta = 22.3985290$ . The ellipticity  $e$  is the one of the mass distribution, in units of  $(a^2 + b^2)/(a^2 - b^2)$ . The position angle  $\theta$  is given in degrees and is defined as the direction of the semimajor axis of the iso-potential, counted counterclockwise from the horizontal axis (being the RA axis). Error bars correspond to the  $1\sigma$  confidence level. Parameters in brackets are not optimized. Concerning the scaling relations, the reference magnitude is  $\text{mag}_{F814W} = 20.65$ .

Clump	$\Delta x$	$\Delta y$	$e$	$\theta$	$r_{\text{core}}$ (kpc)	$r_{\text{cut}}$ (kpc)	$\sigma$ ( $\text{km s}^{-1}$ )
#1	$-1.95^{+0.10}_{-0.19}$	$0.17^{+0.15}_{-0.22}$	$0.58 \pm 0.01$	$30.58^{+0.35}_{-0.51}$	$112.9^{+3.6}_{-2.1}$	[1000]	$1015^{+7}_{-6}$
#2	$-28.02^{+0.26}_{-0.17}$	$-36.02^{+0.27}_{-0.21}$	$0.70 \pm 0.02$	$39.02^{+2.23}_{-1.69}$	$16.5^{+2.7}_{-3.9}$	[1000]	$331^{+13}_{-9}$
#3	$-48.65^{+0.13}_{-0.49}$	$-51.35^{+0.30}_{-0.22}$	$0.35 \pm 0.02$	$126.48^{+7.11}_{-4.42}$	$64.2^{+6.8}_{-9.6}$	[1000]	$286^{+24}_{-16}$
#4	$17.62^{+0.28}_{-0.18}$	$46.90^{+0.36}_{-0.28}$	$0.15 \pm 0.02$	$54.66^{+3.51}_{-4.83}$	$110.5^{+1.2}_{-2.1}$	[1000]	$688^{+9}_{-17}$
#5	$-17.22^{+0.17}_{-0.18}$	$101.85^{+0.08}_{-0.07}$	$0.44 \pm 0.05$	$62.29^{+5.14}_{-4.61}$	$2.1^{+0.5}_{-0.1}$	[1000]	$263^{+8}_{-7}$
#6	[0.0]	[0.0]	[0.2]	[34.0]	$3.95^{+0.57}_{-0.89}$	$92.08^{+6.50}_{-7.91}$	$284 \pm 8$
#7	[3.16]	[-11.10]	$0.22 \pm 0.02$	$103.56^{+7.09}_{-7.95}$	[0.15]	$43.17^{+1.34}_{-1.02}$	$152^{+2}_{-1}$
$L^*$ elliptical galaxy	-	-	-	-	[0.15]	$52.48^{+2.17}_{-0.89}$	$148^{+2}_{-3}$

identified multiply imaged galaxies described in Section 3 and shown in Fig. 1, we optimize the free parameters of this mass model using the publicly available `LENSTOOL` software.<sup>5</sup>

The best-fitting model optimized in the image plane predicts image positions that agree with the observed positions to within an rms of 0.91 arcsec, a value that is slightly higher than the one published in Richard et al. (2014). This increase is in part caused by the high individual  $\chi^2$  value of image 3.3 ( $\chi^2 = 13$ ), which we nonetheless consider a robust identification (see discussion in Section 3).

The parameters describing our best-fitting mass model are listed in Table 5. Although allowed to vary within 20 arcsec of their associated light peak, the final positions of the five cluster-scale haloes predicted by the model coincide much more closely with the light peaks. In order to integrate the mass map within annuli, we choose the location of the overall BCG, i.e.  $\alpha = 177.3987300$ ,  $\delta = 22.3985290$ , as the cluster centre. The two-dimensional (cylindrical) mass within 80 arcsec is then  $M(R < 500 \text{ kpc}) = (6.29 \pm 0.03) \times 10^{14} M_{\odot}$ , slightly lower than the previous result by Smith et al. (2009) of  $M(R < 500 \text{ kpc}) = (6.7 \pm 0.4) \times 10^{14} M_{\odot}$ , but within their error bars. The mass of  $(1.71 \pm 0.20) \times 10^{14} M_{\odot}$  within a 30 arcsec ( $\sim 200 \text{ kpc}$ ) aperture reported by Zitrin et al. (2011) agrees with the value from our new mass model of  $M(R < 200 \text{ kpc}) = (1.840 \pm 0.006) \times 10^{14} M_{\odot}$ .

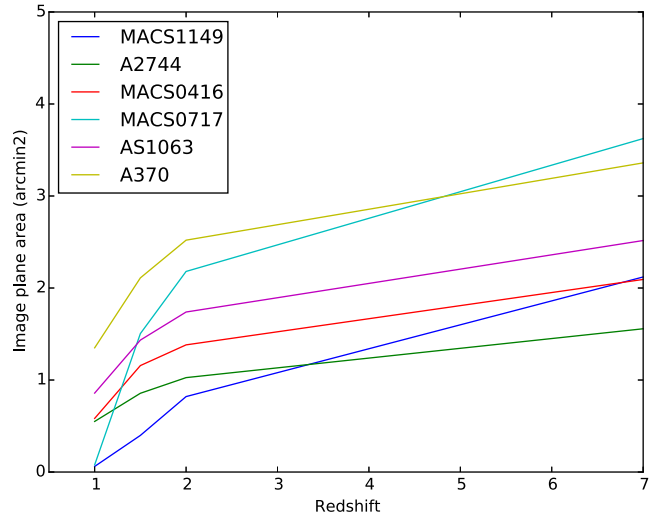
## 5 DISCUSSION

### 5.1 The lensing power of MACS J1149

The small number of 22 multiple-imaged systems identified in MACS J1149 from HFF data is surprising, compared to the 34 and 51 systems found in equally deep *HST* images of the first two HFF clusters, Abell 2744 and MACS J0416. Because of this discrepancy, we carefully examined the MUSE data for several sources at  $z \sim 1$  within the central arcmin<sup>2</sup> of the cluster core, but confirmed all of them to be single images, as predicted.

In an attempt to find the root cause of the relatively modest lensing power of MACS J1149, we compute the surface area in the image plane within which we expect multiple images (Fig. 7). For all six Frontier Field clusters we find this area to increase with source redshift, starting at an average value of  $\sim 1$  arcmin<sup>2</sup> at  $z = 1$  and reaching values around 2.5 arcmin<sup>2</sup> at  $z = 7$ , although with large cluster-to-cluster differences.

Whereas the overall shape of these curves is very similar for four of the six HFF clusters, to the extent that they are essentially scaled versions of each other, two systems exhibit a different trend with redshift. For both MACS J1149 and MACS J0717, the curves in Fig. 7 start at a very small surface area at  $z = 1$  ( $\sim 0.1$  arcmin<sup>2</sup>) but then rise much more steeply than those of the remaining HFF targets. Part of this effect is due to their being at higher redshift ( $z = 0.55$ ), causing their corresponding critical surface mass density to decrease more rapidly with increasing source redshift. We argue that the trend is also rooted in both of these clusters sharing a similar morphology or, more generally, in the fact that extremely disturbed clusters (both MACS J0717 and MACS J1149 consist of more than three subclusters) are at a disadvantage for lensing background sources at low redshift, owing to disjoint critical lines, but gain on less complex systems at higher source redshifts at which the critical lines for strong lensing join. Being the much more massive



**Figure 7.** Evolution of the surface area in the image plane within which multiple images are observed, as a function of the source redshift. Compared to the five other Frontier Fields clusters, MACS J1149 features a much smaller multiple-image region at low redshifts.

system, MACS J0717 features a larger image plane area throughout though, thereby ‘catching up’ much faster than the less massive MACS J1149.

In conclusion, we trace the small number of multiple images in MACS J1149 to the system’s complex and extended morphology which leads to the smallest area for multiple imaging of sources at redshifts  $z < 3$  among all HFF target clusters. Following the same argument, we expect the two final HFF targets, Abell 370 and AS 1063, to produce much larger number of multiple images when they are observed with *HST* in the coming months.

### 5.2 SN Refsdal

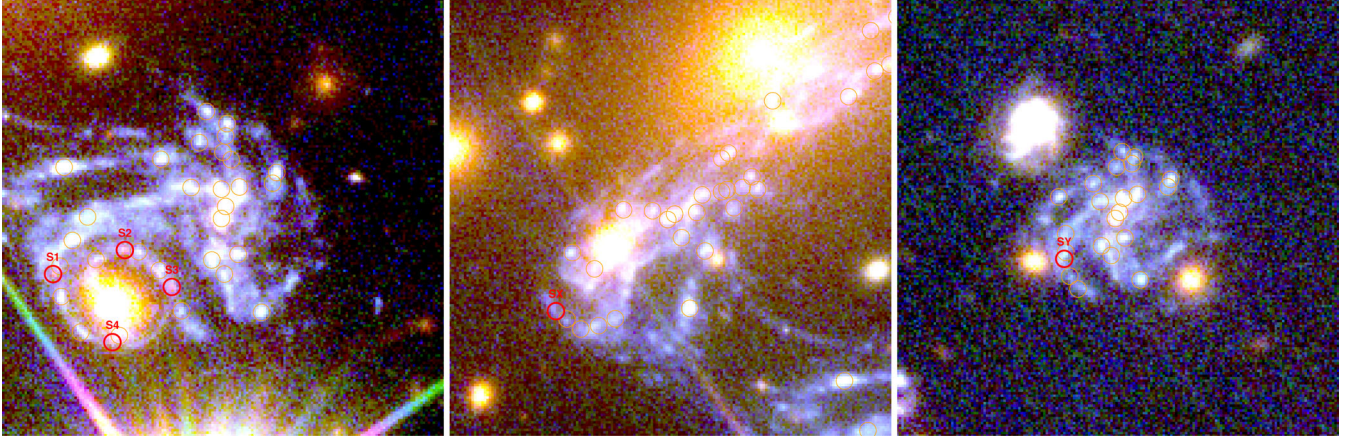
#### 5.2.1 Different studies of SN Refsdal

Since the discovery of SN Refsdal, three new pre-HFF strong-lensing analyses have attempted to precisely compute the time delays between the multiple images of the SN (Diego et al. 2016; Oguri 2015; Sharon & Johnson 2015), using both parametric and free-form approaches. While this paper was under review, three additional strong-lensing groups have presented predictions using HFF, VLT, and Keck data (Grillo et al. 2015; Kawamata et al. 2015; Treu et al. 2015).<sup>6</sup> All the models predict six multiple images of SN Refsdal in total: one in each of the three multiple images of the face-on spiral (1.1, 1.2, 1.3), with the one in image 1.1 quadruply imaged by a cluster member, resulting in four separate components named S1, S2, S3, and S4, as shown in Fig. 8.

Sharon & Johnson (2015), Diego et al. (2016) and Oguri (2015). Using `LENSTOOL`, Sharon & Johnson (2015) investigated different models and found the order of appearance for the four images within image 1.1 to be S3–S1–S2–S4. As for the remaining two images of SN Refsdal, Sharon & Johnson (2015) claim that the first one, SY, appeared in image 1.3  $\sim 12$  yr ago, while the sixth image, SX, is predicted to appear in image 1.2 in 2015 September.

<sup>6</sup> Another recent analysis focuses on the environment provided the host of SN Refsdal (Karman et al. 2015), a subject that is outside the scope of our paper.

<sup>5</sup> <http://projects.lam.fr/repos/lenstool/wiki>



**Figure 8.** Zoom on system #1, with orange circles highlighting the 24 components of the spiral used for our mass model. Left-hand panel: image 1.1 with the Einstein cross formed by images S1, S2, S3, and S4 of SN Refsdal. Middle panel: image 1.2 with the predicted location of SN Refsdal in red, SX, predicted to appear  $\sim 1.8$  yr after S1, i.e. in 2016 January. Right-hand panel: image 1.3 with the predicted position of SN Refsdal, SY, where it would have been visible  $\sim 11.5$  yr ago following the predictions from our model.

**Table 6.** Time delays obtained for each image of SN Refsdal, given in days. The first part of the table gives the coordinates of the SN images used in this analysis, with the ones for SX and SY predicted by our best-fitting mass model. We also quote the magnification predicted by our best-fitting mass model,  $\mu$ . The second part of the table presents the time delays we measured with our analysis, following the two different methods presented in Section 5,  $\Delta t_{\text{CATS}}$  and  $\Delta t_{\text{CATS-src}}$ . We then give the results obtained by pre-HFF analysis in the third portion of the table: Sharon & Johnson (2015),  $\Delta t_{\text{Sharon}+15}$ , Oguri (2015),  $\Delta t_{\text{Oguri}+15}$ , and Diego et al. (2016),  $\Delta t_{\text{Diego}+15}$ . And finally the fourth and final section of the table lists the results obtained with the most recent HFF analysis presented by Treu et al. (2015): Diego’s model,  $\Delta t_{\text{Die-a}}$ , Grillo et al. (2015) model,  $\Delta t_{\text{Gri-g}}$ , Kawamata et al. (2015) models,  $\Delta t_{\text{Ogu-g}}$  and  $\Delta t_{\text{Ogu-a}}$ , Sharon’s models,  $\Delta t_{\text{Sha-g}}$  and  $\Delta t_{\text{Sha-a}}$ , and Zitrin’s model,  $\Delta t_{\text{Zit-g}}$ , for comparison with our analysis,  $\Delta t_{\text{CATS}}$  and  $\Delta t_{\text{CATS-src}}$ .

Component	S1	S2	S3	S4	SX	SY
RA	177.39823	177.9771	177.39737	177.39780	177.40024	177.4038
Dec.	22.395628	22.395789	22.395542	22.395172	22.396811	22.402149
$\mu$	$22.4 \pm 2.0$	$18.9 \pm 2.3$	$19.7 \pm 1.7$	$9.2 \pm 0.8$	$4.3 \pm 0.1$	$3.6 \pm 0.1$
$\Delta t_{\text{CATS}}$	0.0	$90 \pm 17$	$30 \pm 35$	$-60 \pm 41$	$449 \pm 45$	$-4654 \pm 358$
$\Delta t_{\text{CATS-src}}$	0.0	$-0.8 \pm 1.6$	$8.1 \pm 1.6$	$0.2 \pm 0.4$	$361 \pm 42$	$-5332 \pm 357$
$\Delta t_{\text{Sharon}+15}$	0.0	2.0	-5.0	7.0	$237^{+37}_{-50}$	$-4251^{+369}_{-373}$
$\Delta t_{\text{Oguri}+15}$	0.0	9.2	5.2	22.5	357.1	-6193.5
$\Delta t_{\text{Diego}+15}$	-	-	-	-	$376 \pm 25$	$-3325 \pm 763$
$\Delta t_{\text{Die-a}}$	0.0	$-17 \pm 19$	$-4.0 \pm 27$	$74 \pm 43$	$262 \pm 55$	$-4521 \pm 524$
$\Delta t_{\text{Gri-g}}$	0.0	$10.6^{+6.2}_{-3.0}$	$4.8^{+3.2}_{-1.8}$	$25.9^{+8.1}_{-4.3}$	$361^{+19}_{-27}$	$-6183^{+160}_{-145}$
$\Delta t_{\text{Ogu-g}}$	0.0	$8.7 \pm 0.7$	$5.1 \pm 0.5$	$18.8 \pm 1.7$	$311 \pm 24$	$-5982 \pm 287$
$\Delta t_{\text{Ogu-a}}$	0.0	$9.4 \pm 1.1$	$5.6 \pm 0.5$	$20.9 \pm 2.0$	$336 \pm 21$	$-6239 \pm 224$
$\Delta t_{\text{Sha-g}}$	0.0	$6^{+6}_{-5}$	$-1^{+7}_{-5}$	$12^{+3}_{-3}$	$277^{+11}_{-21}$	$-5016^{+281}_{-15}$
$\Delta t_{\text{Sha-a}}$	0.0	$8^{+7}_{-5}$	$5^{+10}_{-7}$	$17^{+6}_{-5}$	$233^{+46}_{-13}$	$-4860^{+126}_{-305}$
$\Delta t_{\text{Zit-g}}$	0.0	$-161 \pm 97$	$-149 \pm 113$	$82 \pm 51$	$224 \pm 262$	$-7665 \pm 730$

By contrast, Oguri (2015), using the public code GLAFIC (Oguri 2010), arrives at a different order of appearance of the SN within image 1.1, namely S1–S3–S2–S4 (see Table 6), claims that SY appeared  $\sim 17$  yr ago, and predicts that SX is to appear in 2015 November. Finally, Diego et al. (2016), using a free-form mass modelling technique, WSLAP+ (Diego et al. 2005, 2007; Sendra et al. 2014), do not address the order of appearance of images S1, S2, S3, and S4, but conclude that SY appeared  $\sim 9$  yr ago and predict SX to appear between 2015 November and 2016 January.

Treu et al. (2015), Kawamata et al. (2015) and Grillo et al. (2015). More recently, Treu et al. (2015) presented predictions based on seven different mass models. These new measurements were made using HFF mass models, and taking advantage of new spectroscopy

coming from *HST* with GLASS data (PI: Treu), as well as the complete MUSE DDT program 294.A-5032(A) (PI: Grillo; for our model we only had access to 4 h of the data as detailed in Section 2). The teams involved in this analysis (Sharon, Zitrin, Diego, Grillo, and Oguri) identified independently new multiple image systems and then voted to select the most secured identifications following the same criteria as presented in Wang et al. (2015). While our two analyses were done separately, we find a good agreement in terms of new multiple-image systems: system #22, system #29, system #21, system #15, system #16, system #31, system #17, system #18, and system #34 correspond, respectively, to system #110, system #21, system #24, system #26, system #27, system #28, system #203, system #204, and system #205 in Treu et al. (2015). There is no clear



discrepancy between our spectroscopic measurements and the ones presented in Treu et al. (2015) and Grillo et al. (2015). However, looking at the cluster members, Treu et al. (2015) present 170 spectroscopic identifications while we only identify 57 (see Section 2). Grillo et al. (2015) independently presented their strong-lensing mass model, as well as the complete data set they obtained with the MUSE program 294.A-5032(A). Kawamata et al. (2015) also presented the Oguri’s models in their paper. The results did not change from the ones presented in Treu et al. (2015).

The five teams involved in the Treu et al. (2015) SN Refsdal analysis predicted time delays for images S1, S2, S3, and S4, with different order of appearance and occurring within 12 and up to 240 d, depending on the model. The appearance of SX at peak brightness is predicted during the first half of 2016, while SY would have appeared between 1994 and 2004. However, magnification estimates from the different models suggest that it would have been too faint to be observable from archival data.

We refer the reader to Table 6 for the exact values of the time delays predicted by these eight different measurements, as well as the ones found by our analysis. For consistency, we use in all cases S1 as our reference and adopt the established naming convention (SX and SY) for the two other images of SN Refsdal in image 1.2 and 1.3, respectively. We note that some of the teams in Treu et al. (2015) had two sets of predictions, as they run different mass models using two different sets of multiple images (‘gold’, the most secured ones, and ‘all’, for the gold images plus a subset of less secured identifications). In Table 6 we keep the same notation as in Treu et al. (2015) to limit confusion.

### 5.2.2 Predictions for S1, S2, S3, and S4

Our modelling efforts do not use time delays as constraints but, as explained in Section 3, we include the position of the observed four images of SN Refsdal, as well as an independent PIEMD to model the cluster member responsible for the lensing of the spiral arm of image 1.1, and hence for the formation of the Einstein cross images. The delays between the appearance of the four images S1–S4 depend on the slope of the mass profile at the location of the lensing galaxy. The current uncertainties in the delays computed by various teams are caused by the fact that this slope can ultimately only be constrained by the highly unlikely detection of the central, fifth image of the SN, which is demagnified by the galaxy lens.

The arrival-time surface for a light ray emitted by a source, at the location  $\beta$ , traversing the cluster at the location  $\theta$ , is written as (e.g. Schneider 1985)

$$\tau(\theta, \beta) = \frac{1 + z_{cl}}{c} \frac{D_{OL} D_{OS}}{D_{LS}} \left[ \frac{1}{2} (\theta - \beta)^2 - \phi(\theta) \right], \quad (1)$$

where  $\theta$  and  $\beta$  are the image and the source plane positions, respectively,  $z_{cl}$  is the redshift of the cluster,  $D_{OL}$ ,  $D_{OS}$ , and  $D_{LS}$  are the cosmological distances between the observer and the lens, the observer and the source, and the lens and the source, respectively. Finally,  $\phi(\theta)$  represents the gravitational potential of the cluster. The measurement of the time delays is thus highly sensitive to the source plane position.

LENSTOOL measures time delays following this equation. However, for the different multiple images of a system, the model will predict a slightly different position of the source. LENSTOOL time delay measurement for one system will thus be based on a slightly different source position for each image, thus giving different de-

parture times. The entries  $\Delta t_{\text{CATS}}$  in Table 6 correspond to these measurements.

To overcome this issue we repeated the measurements following equation (1) but using an analytical method. Indeed, while the image positions are taken as the observed ones (or model predicted ones for SX and SY), we set  $\beta$  to the barycentre of the predicted source positions for the four SN images, in order to establish the same departure location and time in all measurements. Finally, the gravitational potential is derived using LENSTOOL, and then inserted in the equation. This ‘analytical’ way of measuring arrival-time surfaces (as opposed to the numerical approach taken in our previous use of LENSTOOL) has proven to be much more accurate. The entries  $\Delta t_{\text{CATS-src}}$  in Table 6 correspond to these measurements.

Depending on the method used to measure the time delays, our best-fitting mass model leads to an order of appearance in image 1.1 of S4–S1–S3–S2, and S2–S1–S4–S3 following either the LENSTOOL implementation or the analytical method, respectively. The LENSTOOL method predicts considerably longer delays of  $\Delta t_{S2-S1} = 90 \pm 17$  d,  $\Delta t_{S3-S1} = 30 \pm 35$  d, and  $\Delta t_{S4-S1} = -60 \pm 41$  d, compared to  $\Delta t_{S2-S1} = -0.6 \pm 1.6$  d,  $\Delta t_{S3-S1} = 8.1 \pm 1.6$  d, and  $\Delta t_{S4-S1} = 0.2 \pm 0.4$  d obtained with the ‘analytical’ version. These last measurements are much more realistic, considering the measurements obtained through the detailed study of the SN itself by Kelly et al. (2015a) (also presented in Treu et al. 2015). Our best-fitting analysis concludes that the four images appeared within  $\sim 5$  months following our first measurements, and within  $\sim 10$  d following the analytical measurements (see Table 6,  $\Delta t_{\text{CATS}}$  and  $\Delta t_{\text{CATS-src}}$ , respectively). The error bars are derived while measuring the time surfaces for each Markov chain Monte Carlo (MCMC) realizations.

Most of the models (Grillo et al. 2015; Kawamata et al. 2015; Oguri 2015; Sharon & Johnson 2015; Treu et al. 2015) claim different orders of appearance for images S1–S4, which is puzzling in particular with regard to Sharon & Johnson (2015) and HFF Sharon’s model presented in Treu et al. (2015) who use the same software as us, LENSTOOL.

There are important differences between their analysis and ours. The first one lies in the number of multiple images used, as Sharon & Johnson (2015) work with a pre-HFF mass model and the list of multiple images published in Johnson et al. (2014), and Treu et al. (2015) use a different set of HFF multiple images. We initially thought that an important difference between our analysis and the one by Sharon & Johnson (2015) resided in a misidentified spectroscopic redshift of  $z = 2.497$  for system #3, as published in Smith et al. (2009), whereas we revised this value to  $z = 3.128$  using the recent MUSE observation of this system (also confirmed by Grillo et al. 2015; Treu et al. 2015). Running the pre-HFF strong-lensing model of Richard et al. (2014) with either redshift of system #3, we find the erroneous earlier redshift to result in time delays for images S1–S4 that are much smaller than those obtained with the new redshifts and either the pre-HFF or our latest lens model. This trend is observed using both time surfaces’ measurement methods. We thus concluded that this misidentified redshift had a major impact on the time delay values and could be responsible for the bulk of the discrepancy between our findings and those of Sharon & Johnson (2015). However, while this paper was under review, Treu et al. (2015) presented a revised version of Sharon’s model using the correct redshift for system #3, and their results did not change.

The large differences between all the recent models’ estimations still remain puzzling. We thus investigated other sources of error, and identified another systematic effect that has a significant impact on the values of the time delays for S1–S4: the value of  $r_{\text{core}}$  that



characterizes the shape of gravitational potential of the elliptical galaxy lensing SN Refsdal in image 1.1 (clump #7 in Table 5). The choice of  $r_{\text{core}}$  affects both the order of appearance of the four images and the associated time delays while using the `LENSTOOL` implementation. Indeed, we estimate this effect to produce changes in  $\Delta t_{S_i - S_1}$  ( $i = 2, 3, 4$ ) of up to 30 d. Moreover, while the ‘analytical’ method does not show any differences in time delay values, it however changes the order of appearance of the different images S1–S4. The best-fitting parameters obtained for this galaxy are listed in Table 5 (clump #7). However, they should be taken with caution as explained above.

Although the same sources of systematic errors were identified using both methods, we revise our conclusions, and link the discrepancy between our first measurements and other independent analysis to the incorrect method that is implemented in `LENSTOOL` at the moment. We thus consider our second measurements,  $\Delta t_{\text{CATS-src}}$ , more accurate. A modification of the algorithm will be implemented according to the conclusions of this paper, and will be made public early 2016.

### 5.2.3 Predictions for SX and SY

All strong-lensing analyses conducted so far (including ours) agree that the SN image SY was the first one to appear in image 1.3, from our analysis  $\sim 15$  yr before S1, i.e.  $\Delta t_{\text{SY-S1}} = (-5332 \pm 357)$  d (and  $\sim 13$  yr ago,  $\Delta t_{\text{SY-S1}} = (-4654 \pm 358)$  d, following the `LENSTOOL` prediction). With our first measurement, the appearance of image SX in image 1.2 is expected  $\sim 1$  yr after S1 ( $\Delta t_{\text{SX-S1}} = 449 \pm 45$  d) and  $\sim 12$  months after S2, i.e. in 2015 November, assuming that S2 appeared in 2014 November (Kelly et al. 2015a). Our analytical measurement predicts an appearance of SX  $\sim 1$  yr after S1 (with an appearance of S1, S2, S3, and S4 with 8 d), in 2015 November  $\pm 1$  month, and thus also agreeing with the `LENSTOOL` prediction.

We can also compare our results regarding the time delays between SX and SY with those of Sharon & Johnson (2015), Oguri (2015), and Diego et al. (2016). Our initial measurement predicts  $\Delta t_{\text{SX-SY}} = (5103 \pm 361)$  d,  $\sim 14$  yr, which is in good agreement (within the uncertainty of  $\sim 1$  yr) with the value of  $\Delta t_{\text{SX-SY}} = 4488$  d,  $\sim 12.5$  yr, reported by Sharon & Johnson (2015), and close to the value found by Diego et al. (2016) of  $\Delta t_{\text{SX-SY}} = 3701$  d,  $\sim 10$  yr (with an uncertainty of  $\sim 2$  yr). Our analytical value of  $\Delta t_{\text{SX-SY}} = (5693 \pm 361)$  d,  $\sim 16$  yr, remains in really good agreement with the `LENSTOOL` measurement. All of these values are smaller than that of  $\Delta t_{\text{SX-SY}} = 6650.6$  d,  $\sim 18$  yr, published in Oguri (2015).

To summarize, considering the statistical and systematic errors, we conclude that image SX of SN Refsdal should appear between 2015 November and 2016 January, in good agreement with predictions from Diego et al. (2016) and Grillo et al. (2015).

Although all the models discussed here make different predictions for the appearance of image SX, they agree that it will occur in the sufficiently near future to render the event observable with a reasonable investment of time and resources. However, ultimately only photometric follow-up observations of this source will reveal the true time delays. Once obtained, such measurements can be included as constraints in the mass models, enabling us to determine to unprecedented precision the parameters of the lensing galaxy (clump #7), and to study the stellar and dark matter distribution within it, thereby also adding greatly to our efforts to reveal the nature of dark matter (Massey et al. 2015).

While manuscript of this paper was under review, image SX of SN Refsdal was detected in *HST*/WFC3 images in observations

taken in 2015 December, making our predictions correct (Kelly et al. 2015b), in excellent agreement with our predictions.

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