1	High-resolution monitoring of Yok Balum Cave, Belize: an
2	investigation of seasonal ventilation regimes and the
3	atmospheric and drip flow response to a local earthquake
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14	Abstract
15	The nature of cave ventilation is of interest to cavers, speleologists and paleoclimatologists
16	working with stalagmites. Because cave ventilation systematics may change over the growth
17	span of a stalagmite, understanding what factors affect them is critical for determining events
18	that may have potentially affected climate proxies within the stalagmite. Similarly,
19	understanding how the hydrologies of the drips feeding a stalagmite evolve through time is
20	key to building robust records of paleoclimate, particularly because stalagmite records have
21	become critical archives of climate change information of the last 500,000 years. Here we
22	present data from an extensive, on-going monitoring effort at Yok Balum Cave, Belize,
23	initiated in 2011, that characterises high-resolution ventilation dynamics at this site. Clear
24	seasonal ventilation regimes exist, driven by thermally induced inside-outside air density
25	differences. The winter regime is dominated by air inflow from the cave, decreased

26 drawdown from the epikarst into the cave and a limited diurnal signal. Conversely, summer 27 ventilation is dominated by air outflow from the cave, greater CO₂ drawdown and drip water 28 degassing and a strong diurnal signal. Active monitoring during a large (M7.4) earthquake in 29 November 2012 provides a unique opportunity to assess the response of cave atmosphere and 30 hydrology to substantial seismic activity. Cave atmosphere and hydrology is found to be 31 highly resilient to seismic activity, with no observable disturbance occurring around the earthquake, despite there being considerable evidence of physical disruption in the cave. 32 33 Monitoring included different drip hydrologies, and the earthquake affected none of the 34 monitored drip types. This suggests that stalagmite-derived paleoclimate records are not 35 affected by seismic activity, except in extreme cases where the stalagmite or conjugate 36 stalactite are damaged or reoriented.

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38 **1. Introduction**

39 Characterising caves in terms of their unique ventilation processes is important as it has a 40 first-order control on atmosphere composition, can potentially lead to seasonal bias in 41 speleothem growth and consequently has significant implications when interpreting 42 paleoclimate proxy signals from speleothems (Kowalczk and Froelich, 2010; Baldini, 2010; Sanchez-Canete et al., 2013). Real-time cave atmosphere data is also useful when 43 44 characterising cave ecosystems (Oh and Kim, 2011; De Freitas et al., 1982) and assessing the 45 suitability of caves for industry and tourism (De Freitas et al., 1982; Smithson, 1991; Dueñas 46 et al., 1999; Dueñas et al., 2011; Virk et al., 1997). Estimation of cave ventilation is possible directly, via anemometers, indirectly via measurement of radon gas (²²²Rn) levels (Kowalczk 47 and Froelich, 2010; Hakl et al., 1997; Faimon et al., 2006; Oh and Kim, 2011) and other 48 49 tracer gases (De Freitas et al., 1982) or by studies of air density contrasts and thermal patterns

50 within the cave (Faimon et al., 2012; Smithson, 1991; Sanchez-Canete et al., 2013). The 51 importance of understanding unique cave ventilation mechanisms have been well highlighted 52 in recent studies (Kowalczk and Froelich, 2010; Cowan et al., 2013; Mattey et al., 2010; 53 Baker et al., 2014) as the distinct nature of ventilation in individual caves can negate general 54 assumptions regarding the seasonality of carbonate precipitation. For example, Mattey et al. 55 (2010) identified unusual seasonal ventilation regimes in New St Michaels Cave, Gibraltar where the summer season was typified by low cave air pCO₂. This proved important when 56 57 linking seasonal regimes to calcite fabric, paired annual laminae, stable isotope and trace 58 element variability and highlighted the importance of understanding unique cave 59 environments. Studies like this become increasingly important as speleothem-based 60 paleoclimate research continues to develop higher resolution records that are resolved to a 61 seasonal or sub-seasonal level.

Caves in seismically active regions can display considerable evidence of past seismic 62 63 activity, such as: broken speleothems, speleothem growth anomalies and deformation, 64 displacement and rock fall events (Becker et al., 2006; Gilli, 1999; Gilli and Serface, 1999; 65 Gilli and Delange, 2001). A limited number of studies have attempted to quantify the effect seismic activity may have on karst-cave atmosphere (Sebela et al., 2010; Virk et al., 1997), 66 particularly with regards to CO₂ variability. Such information is pertinent when interpreting 67 68 paleoclimate proxy evidence from speleothems in caves which may have been subject to substantial tectonic activity as seismic activity affects cave ²²²Rn and CO₂ levels through pro-69 70 /co-seismic degassing and increased influx to the cave (Sebela et al., 2010; Wu et al., 2003; 71 Virk et al., 1997; Menichetti, 2013). Crushing of material during seismic activity increases the rock permeability for ²²²Rn gas and CO₂ leading to higher within cave concentrations. 72 73 Cave air pCO₂ levels exert a strong control on carbonate precipitation rates (Baldini, 2010; Kowalczk et al., 2008; Palmer, 2007; Banner et al., 2007) and therefore substantial crustal 74

75 degassing has the potential to stagnate speleothem growth, particularly in deep, poorly ventilated passages. This can complicate paleoclimate proxy interpretations from speleothems 76 for weeks to years depending on site-specific ventilation regimes. ²²²Rn is a radioactive vet 77 78 inert tracer gas frequently used to assess cave ventilation (Kowalczk and Froelich, 2010; Oh 79 and Kim, 2011) but can also pose a health risk in confined, poorly ventilated caves (Field, 80 2007; Virk et al., 1997) and therefore its relation to seismic activity warrants assessment, 81 particularly caves used for commercial or tourism purposes. It is also largely unknown how 82 seismic activity may affect karst hydrology and stalagmite drip regimes. Changes in the 83 hydrological regime feeding a stalagmite can affect speleothem growth rates and the 84 transmission of geochemical signals from overlying climate to the speleothem carbonate; 85 consequently, changes in hydrology can have important implications when interpreting 86 paleoclimate proxy data in speleothems.

87 This study presents high-resolution cave monitoring data from Yok Balum Cave, Belize. 88 These data provide detailed information regarding seasonal cave ventilation mechanisms by understanding cave pCO₂ and air density relationships and via examination of thermal 89 90 gradients as evidence of internal-external air exchange. An understanding of the subtle 91 seasonally variable fluxes of cave air CO₂ allows improved interpretations from not only Yok 92 Balum, but other tropical sites as well. Additionally, active monitoring during a large (M7.4) 93 earthquake in November 2012 provides a unique opportunity to assess the response of cave 94 atmosphere and hydrology to substantial seismic activity.

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96 **2.** Study Site

Yok Balum Cave (Mopan Maya for 'Jaguar Paw Cave') is located in the Toledo District of
southern Belize, approximately 3km south of the modern Mopan Maya village of Santa Cruz

(16° 12' 30" N, 89° 4 24" W; 366 m above sea level) (Fig. 1a). The cave is developed within 99 100 the tectonically uplifted Cretaceous Campur Limestone formation that originates from 101 massive limestone deposition around the granite intrusions composing the Maya Mountains 102 to the north (Miller, 1996; Kennett et al., 2012). The cave is one of several which occur in a 103 SW-to-NE trending limestone karst ridge (Fig. 1b) whose formation is likely associated with 104 the vertical flow of chemically aggressive allogenic water originating on the highlands of the 105 Maya Mountains (Miller, 1996), although no stream exits within the cave today. Yok Balum 106 extends as a main trunk passage approximately 540m from a small opening in the west (main 107 entrance) to a larger, more elevated opening to the south (second entrance) (Fig. 1c). The 108 second entrance resulted from cave collapse probably associated with tectonic activity. U-109 series dating of the base of a stalagmite growing on a breakdown block associated with the 110 creation of the second entrance dates the collapse at a minimum of $44,000 \pm 3300$ years BP. 111 There is also considerable evidence of seismic activity within the cave including large faulted 112 flowstones and displaced speleothems. U-series dating of carbonate precipitated within a 113 faulted flowstone provided a date of $26,400 \pm 170$ years BP.

The western coast of Central America displays relatively high seismic hazard potential due to the subduction of the Cocos Plate beneath the North American and Caribbean Plates (Fig. 1a). A divergent boundary exists between the North American and Caribbean Plates approximately 100km south of the southern Belize border. The dominant source of seismic activity felt in southern Belize, however will result from intermediate-depth earthquakes occurring within the subducted Cocos Plate.

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121 **3. Monitoring Instrumentation**

123 Tropical environments provide a challenging environment for electronic monitoring 124 instrumentation, especially for long term monitoring studies in remote areas. In this study the 125 threat of malfunction due to high humidity and water was minimised by keeping non-126 waterproof equipment in airtight boxes and sealed plastic bags with a silica desiccant where applicable. Above cave soil temperature was recorded hourly using a Tinytag temperature 127 128 logger buried at a 0.4m depth. Cave air CO₂ was monitored every three hours between April 2011 and January 2013 (with a four-month break from June 2012 to October 2012 due to 129 130 equipment failure) using a Vaisala CARBOCAP Carbon Dioxide GMP343 Probe (± 3 ppmv 131 + 1% of reading) linked to a Vaisala MI70 Indicator and powered by two Duracell MN918 132 Lantern batteries. A Radon Scout Plus, powered by two D-cell batteries and a four × D-cell 133 external battery pack was set up next to the within-cave CO₂ logger to detect radon 134 fluctuations every three hours for the same time interval. The Radon Scout, being extremely 135 sensitive to moisture, was kept in a watertight box. This resulted in a muted radon measurement as fewer α particles reached the alpha counter. For qualitative assessment of 136 ²²²Rn fluctuations this was not considered an issue. However, ²²²Rn values peaked during 137 data download when the logger was removed from the box. To account for this, ten days of 138 data were removed after data download to allow ²²²Rn values to return to normal levels. 139 Combined Barotroll pressure and temperature logger were installed both inside and above the 140 141 length of the cave to measure hourly barometric pressure and temperature (precision $\pm 0.1\%$ and $\pm 0.1^{\circ}$ C). Tinytag temperature loggers were placed in transect along the cave to measure 142 143 hourly temperature. Stalagmate automated drip loggers recorded hourly drip rates feeding 144 three stalagmites of potential paleoclimate interest. Data were downloaded and the equipment 145 maintained every four months. A summary of all monitoring equipment is shown in Table 1. 146 The location of all equipment and monitored stalagmites is shown in Figure 1c.

4. Cave Ventilation

149 Cave ventilation (air exchange with the outside atmosphere) has a first order control on cave atmosphere composition and is dependent on a number of factors, including fluctuations in 150 151 temperature and pressure, cave geometry and susceptibility to external winds (Cowan et al., 152 2013; Bourges et al., 2001; Spötl et al., 2005; Baldini et al., 2006; Denis et al., 2005; 153 Kowalczk and Froelich, 2010). Pflitsch and Piasecki (2003) classify cave passages in terms 154 of air movement as being dynamic, transitional or static. However, the static state is very rarely observed, aside from in deep passages (Pflitsch and Piaseki, 2003; Przylibski and 155 156 Ciezkowski, 1999). Convective air circulation, driven by internal versus external air density 157 differences, is a dominant ventilation mechanism in caves with more than one entrance at different elevations (Gregoric et al., 2013; Kowalczk and Froelich, 2010; Wigley, 1967; 158 Badino, 2010). In tropical caves, where cave air temperatures do not vary significantly on 159 160 seasonal timescales, air density difference will be predominantly controlled by surface 161 temperature and barometric pressure variations (Fairchild et al., 2006). Air density responds primarily to temperature (Faimon et al., 2012; Gregoric et al., 2013; Gregoric et al., 2011) 162 and to a lesser extent pressure and humidity as expressed in equation (1) below (after 163 164 Kowalczk (2009)):

$$\rho_{air} = \frac{P}{R_d \bullet T_v}$$

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(1)

166 Where R_d is the universal gas constant and P is barometric pressure. T_v is virtual temperature, 167 calculated via equation (2), in which T_d is dew point.

$$T_{v} = (T + 273.15) / (1 - (0.379 \bullet \frac{6.11 \bullet 10^{\frac{7.5 \cdot T_{d}}{237.7 + T_{d}}}}{P}))$$
(2)

169 If cave pCO₂ is more than an order of magnitude greater than that of the free atmosphere, T_{ν} 170 is affected. This can lead to errors of up to 9°C when calculating cave T_{ν} (Sanchez-Canete et 171 al., 2013) and subsequent error in air density calculations. At Yok Balum maximum recorded 172 pCO₂ is 770ppm and the summertime mean is ~500ppm. This is less than an order of 173 magnitude grater than the free atmosphere and therefore this CO₂ exerts a negligible effect on 174 cave air density.

175 Typically, during the winter months, external air temperature will be cooler than that of the 176 cave and a positive air density difference will dominate i.e. internal air will be denser, 177 although a diurnal signal will also exist. Alternatively, during the summer, typically warmer 178 external temperatures will result in largely negative air density difference. Local weather may 179 result in short lived reversals in cave-atmosphere air density differences. The particular 180 ventilation influence of seasonal air density differences between cave and free atmosphere is 181 governed by the cave geometry (e.g passage orientation and size), the distance from cave entrances and total cave volume (Batiot-Guilhe et al., 2007; Cowan et al., 2013). 182

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5. Results and Discussion of Ventilation

The diurnal and seasonal patterns of airflow at Yok Balum are a direct response to a thermally induced disequilibrium in air density between the cave and outside air, similar to other caves (De Freitas et al., 1982; Kowalczk and Froelich, 2010). Within cave temperature is nearly constant at $22.4^{\circ}C$ ($\pm 0.5^{\circ}C$) year round, although a low amplitude diurnal signal is present. Within cave temperature is equivalent to the average yearly external temperature (Fig. 2) and is likely a result of moderation of outside temperatures by the epikarst. External air temperature can affect cave air pCO_2 by both inducing density driven ventilation associated with inside-outside air density differences (De Freitas et al., 1982) (Fig. 3a) and by promoting higher soil pCO_2 stimulating biological activity in the soil zone (Baldini et al., 2008; Bond-Lamberty and Thomson, 2010; Hess and White, 1993; Murthy et al., 2003; Sherwin and Baldini, 2011).

196 The simple structure of Yok Balum Cave, with two entrances at either end of a single main 197 trunk passage results in a well-ventilated dynamic cave system, evidenced by the low annual 198 mean CO₂ values (461ppm) (Fig. 3b). However, CO₂ displays clear seasonal trends in both 199 mean concentration and variability. Summer (April - October) is characterised by higher 200 mean pCO₂ (~500ppm) and high temporal variability (standard deviation of 72.5ppmv) whereas winter (November - March) has lower pCO2 (~420ppm) and displays lower 201 202 temporal variability (standard deviation 24.3ppmv). Here, we use the theory of entropy of 203 curves to highlight the differences between summer and winter ventilation. Entropy (E) is a 204 measure of variance within a dataset. It is described as the mean cumulative sum of absolute 205 first differences of a time or spatial derivative (Denis et al., 2005; Denis and Crémoux, 2002), 206 or specifically, in this case, the average change in pCO_2 values at 3-hourly intervals. 207 Therefore, higher entropy values indicate a greater change in subsequent pCO_2 measurements 208 and therefore an indication of variance within subsets of the dataset. CO₂ displays entropy of 209 approximately 430 during the summer and 150 during the winter (Fig. 3b), indicating that the 210 variance is nearly three times greater during the summer months. These trends in pCO₂ mean 211 values and variance are controlled by seasonal CO₂ flux into the cave and ventilation, most 212 likely controlled by external temperatures and infiltrating rainfall. The following sections will 213 use high resolution monitoring data to describe the seasonal ventilation regimes occurring in

Yok Balum. It should be noted that the summer and winter seasons are not synonymous with
the wet and dry seasons. Summer is considered to be months April – October and winter
November – March. During the summer months external temperatures are greater than within
cave temperature and vice versa for winter.

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- 219 5.1 Summer regime
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221 Air density differences between the cave and the free atmosphere, controlled predominantly 222 by external temperature, drives the summer season diurnal ventilation regime. Outside air 223 temperatures (T_{atmos}) are higher on average than that inside the cave (T_{cave}) producing an 224 almost constant negative air density difference (Fig. 3b). In a typical one-entrance cave 225 system this could cause severe season-long stagnation, and subsequently very high pCO₂, as 226 the cooler denser cave air becomes trapped at the lowest point of elevation in the cave 227 (Cowan et al., 2013; Spötl et al., 2005). At Yok Balum complete stagnation does not occur 228 because the dual-entrance system provides a means of outflow for density driven flow from 229 the more elevated southern (second) entrance to the lower western (main) entrance.

230 Outside air temperature begins to rise around 06:00 and reaches a maximum in the early 231 afternoon. At this point cave-atmosphere air density difference is greatest and air outflow is 232 at a maximum (Fig. 4a). As the air density difference increases during this period, outflow 233 occurs at both entrances; CO_2 concentrations will simultaneously increase as high p CO_2 air is 234 drawn out of the overlying epikarst and soil zones (Fig. 5a). During the day biological 235 activity in the soil will also be at a diurnal maximum, producing higher soil pCO₂. By late 236 afternoon the cave-atmosphere air density difference begins to decrease and the volume of 237 outflowing air decreases, reducing CO₂ drawdown from the epikarst. Outflow at the lower,

238 main entrance weakens or ceases completely. As the cave-atmosphere air density difference 239 reaches a minimum, around 01:00, cave air pCO₂ reaches minimal values. This is most likely 240 due to minimised CO₂ drawdown and inflow of low pCO₂ atmospheric air from the second 241 entrance (if T_{cave} reaches or surpasses T_{atmos}) flushing through the cave from the second 242 entrance to the lower main entrance (Fig. 5b). If the outside air density remains considerably 243 higher than that of the cave then pCO₂ may remain elevated, but will decrease somewhat due 244 to decreased CO₂ drawdown from the epikarst, lower soil activity and some air movement 245 driven by the venturi effect (Fig. 5b). Areas closest to the entrances can be expected to 246 undergo the most ventilation, particularly at the second entrance, which is larger. Increased 247 water through flow during the wet season is undoubtedly an additional driver of higher 248 average summer pCO₂ as it increases dissolved CO₂ transport to the cave; increasing 249 degassing and consequently producing higher cave pCO₂.

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251 5.2 Winter regime

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During the winter season outside air temperatures are generally cooler than those inside the cave, producing a positive air density difference and a ventilation regime dominated by inflow. Ventilation is therefore more continuous than during the summer.

Maximum air density difference occurs at night (around 03:00) when T_{atmos} is at a minimum (Fig. 4b). Cooler outside air flushes into the cave, predominantly through the more elevated second entrance but also at the main entrance. Cave air pCO₂ will therefore approximate that of the external atmosphere. Outside air temperatures begin to rise at ~06:00 and reach a maximum at ~14:00, as in the summer season. However, as the outside temperature increases it approaches that of the cave air, reducing the air density difference to near zero (or to

negative values if T_{cave} surpasses T_{atmos}). This reduces air inflow to the cave and if a negative 262 263 air density difference occurs then outflow may occur during this time (Fig. 5c). This variation 264 of air density difference over a threshold value results in a daily ventilation regime whereby 265 the cave inhales during the day and exhales at night. The inhalation during the day draws low pCO₂ air into the cave, flushing the cave and keeping pCO₂ values similar to atmospheric 266 267 levels (Fig. 5d). Any weak exhalation at night continues effective air turnover and maintains 268 low pCO₂ concentrations. Again, it is the areas close to the entrances that will undergo the 269 most rigorous air turnover.

A combination of inflow dominated ventilation and less CO_2 from drip water degassing keeps winter cave air p CO_2 at near atmospheric levels. A less distinct diurnal regime is observed in CO₂ and air density difference variability. During the summer, increased water through flow, strong air outflow and large CO_2 drawdown, increase average p CO_2 and daily variability.

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5.3 Temperature observations

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277 Hourly temperature data is used as an indicator of air movement in order to determine 278 seasonal modes of ventilation and to understand exactly how air moves through the cave. We 279 use Tinytag (TT) temperature loggers at different sites to assess thermal variability. TT3, a 280 temperature logger located ~50m from the second entrance and shows more variance than 281 TTI, located ~50m from the main entrance and TT2, located in the midsection of the cave 282 ~100m from the main entrance and ~140m from the second entrance (Fig. 6e). TT2 displays 283 the least variance and most moderated temperature (Fig. 6b). Entropy (see section 5) can be 284 illustrated graphically through time as a cumulative curve, the slope of which indicates variability within the dataset. Entropy curves are calculated for each temperature dataset from 285

286 the three loggers (Fig. 6a), thus facilitating comparison of their variance with time. TT3 287 displays the greatest variability over the whole time series, suggesting that this region of the 288 cave is most strongly coupled with external air temperatures via air exchange. During the summer months TT3 increases by 0.4°C, as air in this region responds to warmer external 289 290 temperatures. TT2 is stable through the same period and TT1 displays an increase similar to 291 that of TT3, but of only 0.3°C. This thermal variability decreasing with distance from a cave 292 entrance is in accordance with a traditional cave temperature models (Wigley, 1967) and 293 previous thermal profile studies of caves (Sanchez-Canete et al., 2013; De Freitas et al., 294 1982).

295 During the winter, TT3 displays greater variance than the other two loggers, again indicating 296 that this section of the cave is more closely coupled to the outside air during winter than 297 summer (Fig. 6). This is consistent with the ventilation mechanism described in the previous 298 section where inflow of cooler atmospheric air dominates the winter ventilation regime, 299 simultaneously lowering long term cave air temperature in this area of the cave and 300 mimicking the diurnal external temperature cycle in the cave. TT2 remains the least variable, 301 due to its location in the midsection of the cave. TT1 decreases, indicating that cooler 302 atmospheric air flows in, but that ventilation at the main entrance is less rigorous than at the 303 second entrance. Furthermore, short-lived decreases in temperature recorded by TT1 (and to a 304 lesser extent in TT2) are in anti-phase with TT3. This could be an indication of air entering at 305 the main entrance and flushing through the cave, forcing warmer air from the less dynamic 306 mid-section of the cave through to the second entrance, where it is recorded as a small 307 increase in temperature at TT3. This thermal pulse process would also operate in reverse, 308 with cooler air entering at the second entrance and forcing air through the cave to the main 309 entrance.

310 Data collected through high-resolution temperature experiments, conducted over two 14-hour 311 intervals in June and late October 2012, is used to characterise ventilation on short time 312 scales. A transect of three temperature loggers placed in the cave recorded temperature every 313 10 seconds to capture very short-term thermal fluctuations overnight, from 18:00 to 08:00. 314 Failure of one of the loggers during the June experiment limits the number of loggers to two, 315 but does not affect data interpretation for this project. During the June experiment (Fig 7) the 316 two temperature loggers, TT5 and TT7, record essentially static temperature, supporting the 317 idea that air density driven outflow dominates during this season. During the logging interval, 318 the cave – atmosphere air density difference does not drop below zero and so inflow does not 319 occur. During the late October experiment thermal variance at all three sites is much greater 320 (Fig. 8). TT5 and TT8 record more thermal variability than TT7 suggesting that air inflow 321 close to the main entrance is less persistent. TT5 and TT7 both record cooler temperatures 322 than TT8, presumably due to their proximity to a cave entrance. TT8 and TT5 track each 323 other, roughly in accordance with cave – atmosphere air density difference and are weakly in 324 anti-phase with TT7. This is similar to what we see in the longer-term record (Fig. 6) where 325 cooler external air enters the main entrance and forces air along the main passage, which is 326 recorded as a pulse of warmer deep cave air at the second entrance. It would appear from this 327 high resolution time series that this occurs in both directions. The limited temporal timeframe 328 of these two experiments hinders making firm conclusions about the diurnal movement of air 329 at Yok Balum Cave; although it is encouraging that the results acquired are in agreement with 330 the longer, hourly-resolution time series.

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6. November 7th earthquake

On November 7th 2012 at 16:35 (UTC) (10:35 local time) a 7.4 magnitude earthquake struck 333 334 off the coast of Guatemala (Fig. 1a). The epicentre was estimated to be at a depth of 24.0km 335 and occurred as a result of thrust faulting on or near the subduction zone of the Cocos plate 336 and the overlying Caribbean and North American plates (United States Geological Survey). Tremors were felt in parts of Belize and villagers from Santa Cruz village, 5km from Yok 337 338 Balum Cave, reported feeling the tremors. According to United States Geological Survey 339 estimates this shock would result in a seismic hazard, measured in peak ground acceleration at the cave site of $1.6 - 2.4 \text{ m/sec}^2$ A field crew returned to the cave in January 2013 to find 340 341 large fallen blocks at the cave main entrance and numerous displaced and freshly broken 342 stalagmites and stalactites within the cave. Reasonable evidence therefore suggests that the cave was subject to seismic activity on or around November 7th 2012. There are only a 343 handful of published studies reporting earthquake damage to caves (Gilli, 1999; Gilli and 344 345 Delange, 2001; Renault, 1970) and so direct monitoring observations of the effect of seismic 346 activity are pertinent to the science of speleology in general and have implications for 347 reconstructing climate from cave deposits. It will be particularly useful to determine how environmental variables that affect speleothem growth and carbonate deposition, such as drip 348 hydrology and cave ventilation, may be affected be seismic activity. For example, if seismic 349 350 activity causes considerable water re-routing, we might expect subsequent changes in 351 speleothem growth for days to months, which can confuse a climate record. Similarly, 352 atypical speleothem growth and/or carbonate isotopes could be produced from significant 353 seismically induced changes in cave pCO₂.

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6.1 Cave atmosphere response

No clear change occurred in cave air pCO₂ or ²²²Rn during or for the week following the 356 earthquake (Fig. 9). Two ²²²Rn peaks occur around the earthquake (Fig. 9a) and although 357 fracture distillation induced by seismic activity may cause such peaks in cave atmosphere 358 ²²²Rn, these peaks are not significant, in terms of magnitude or duration, when the entire 359 222 Rn dataset is considered (Fig. 3c). A sharp increase in both 222 Rn and CO₂ occurs on the 360 20th of December (Fig. 3 b and c) but given the short half-life of radon (3.8 days) it is 361 extremely unlikely that this is a delayed signal of the November 7th event. This increase could 362 be explained instead by a coincident decrease in air density difference, associated with a 363 364 moderate rainfall event, temporarily reducing air inflow to the cave and resulting in a shortlived increase in CO₂ and ²²²Rn. 365

366 A significant change in cave atmosphere may not be observed due to the seasonal timing of the earthquake. As previously observed, cave ventilation during the winter is dominated by 367 368 inflow which acts to keep pCO₂ levels low. Seismic activity of a similar magnitude occurring during the summer season when outflow is dominant, may lead to a discernible increase in 369 cave air ²²²Rn and CO₂. Potentially a clearer influence could be observed in less well-370 371 ventilated cave. Prior to the collapse and opening of the second entrance at Yok Balum, ventilation would have been less effective and cave air pCO₂ and ²²²Rn higher. Considerable 372 seismic activity at this time may have created uncharacteristically high pCO₂ and 222 Rn values 373 374 as a result of limited ventilation and should be a consideration when studying speleothems from Yok Balum deposited prior to the collapse of the second entrance, when ventilation 375 376 would have been restricted. Similarly, in caves where ventilation is less efficient it may be pertinent to assess the impact of seismic activity on cave atmospheric composition; 377 particularly when speleothem from the cave are being considered for palaeoclimate 378 379 reconstruction.

381 6.2 Hydrological response

382 Three drip loggers were deployed during the November 2012 earthquake: 'YOK-SK', 'YOK-383 SD' and 'YOK-LD'. Of these drips, two (YOK-LD and YOK-SD) were 'static' in nature 384 (Smart and Friederich, 1987; Baker et al., 1997), because they displayed low drip rates and 385 low variability (Fig. 10a), indicative of a diffuse flow dominated hydrology. YOK-SK is 386 classified as a 'seasonal' drip (Baker et al., 1997) because it responds to local rainfall events and seasonal climate variability (Fig. 10a), suggesting that a fracture flow pathway is 387 388 activated once a threshold rainfall rate or epikarst saturation is achieved. YOK-SK responds 389 to local rainfall with a lag time of < 6 days, but displays greater variability during the wet 390 season when the epikarst and soil are closer to saturation.

391 None of these three loggers recorded any clear drip response to the seismic activity on 392 November 7th (Fig. 10b). YOK-LD and YOK-SD, the two static drips, show no response, 393 suggesting that diffuse flow regimes are not affect by seismic activity of substantial 394 magnitude. Similarly, YOK-SK, which at the time of the earthquake was displaying a peak in 395 drip rate in response to rainfall events in the preceding days, shows no response outside what 396 would be expected from the longer time series. These data suggest that preferential flow 397 routes are not necessarily altered by seismic activity of this nature. This observation is of 398 significant value for speleothem based paleoclimate studies as it suggests that seismic activity 399 does not affect hydrological flow pathways and hence alter carbonate geochemistry.

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401 7. Conclusions

402 Yok Balum is an extremely well ventilated cave system that displays distinct seasonal403 ventilation regimes, consistent with changes in air density differences between the cave and

404 outside atmosphere. The winter regime is dominated by air inflow, low pCO₂ and lower epikarstic drawdown and CO₂ flux into the cave. Conversely, air outflow, high epikarstic 405 406 CO2 drawdown, increased drip water degassing and a strong diurnal signal dominates the 407 summer regime. Based on air temperature changes the degree of air exchange increases from 408 the centre of the cave to the entrances and the second entrance experiences greater air 409 exchange than the main entrance, presumably due to its size. By looking at thermal 410 fluctuations of cave air on a ten-second timescale, direction of air movement is identified 411 during summer and winter nights respectively and both entrances are found to display active 412 dual-directional connections to the free atmosphere. The three datasets presented here: long 413 term three-hourly CO₂, hourly temperature and the two high resolution studies all help to 414 build a comprehensive understanding of ventilation at Yok Balum Cave. This will be 415 pertinent as on-going paleoclimate research at this cave. Continued monitoring will help to discern inter-annual fluctuations and identify long term links between cave pCO₂ and local 416 417 climate.

Cave pCO₂ and ²²²Rn did not show any discernable response to the November 7th earthquake. 418 419 Likewise, none of the three drips displayed any discernible hydrological response to the 420 earthquake, suggesting that seismic activity, even of considerable magnitude, has minimal 421 hydrological repercussions at Yok Balum and is insufficient to result in perturbations in 422 speleothem petrographical or geochemical records. It is noteworthy that the three loggers 423 represent two end members of 'standard' drip types, from highly diffuse, slow and static drip 424 rates (YOK-LD and YOK-SD), to highly variable and relatively fast drip rates (YOK-SK). 425 This suggests that intermediate drip types would probably be similarly unaffected by seismic 426 activity of a similar magnitude. The primary effect seismic activity may have on a 427 speleothem record is by altering the growth axis position or orientation, rather than a direct

428 disruption of the overlying hydrology. This could appear as a hiatus or sudden shift in isotope429 values if the growth axis movement was not accounted for during milling.

This study provides real time data on the effect of seismic activity on cave hydrology and atmosphere. In seismically active regions, determining this site-specific response is a desirable outcome of cave monitoring studies designed to aid speleothem paleoclimate proxy interpretation. These data provide encouraging evidence that seismic activity of this level does not have implications for speleothem paleoclimate proxy interpretations from caves with similar ventilation dynamics as Yok Balum.

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591	Table captions
592	
593	Table 1. Summary of equipment used in this study, including equipment accuracy, sampling
594	interval and additional comments.
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596	Figure Captions
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598	Figure 1.a) Tectonic setting of Belize region, including tectonics boundaries (adapted from
599	the United States Geological Survey). Estimated epicentre (yellow dot) and epicentral region
600	(blue rectangle) of 7th November 2012 earthquake. Red box identifies Belize, Yok Balum
601	Cave (red dot) and the area covered in b) a geological schematic of Belize (adapted from
602	Miller 1996) and the location of Yok Balum Cave (red dot). c) Map of Yok Balum Cave with
603	equipment locations and drip sites monitored in this study.
604	
605	Figure 2. 26.5-month time series of hourly Cave temperature (T_{cave}), soil temperature (T_{soil})
606	and outside air temperature (T_{atmos}) .
607	
608	Figure 3. Seasonal regimes and dominant characteristics of a) hourly inside versus outside
609	cave air density difference b) Three hourly cave pCO_2 and summer (April through October)
610	and winter (December through March) pCO ₂ Entropy values (E) c) Three hourly 222 Rn and d)

daily rainfall at Santa Cruz. November 7th earthquake indicated by the red dashed line and
surrounding two-week period by the grey shaded section.

613

Figure 4. Hourly cave air density difference and cave pCO₂ over **a**) $7^{th} - 16^{th}$ December 2011 (winter) and **b**) $20^{th} - 29^{th}$ May 2011 (summer).

616

Figure 5. Yok Balum long profile with schematic of theorised primary air flows and CO_2 flux during the summer at 12:00 (panel **a**) and 00:00 (panel **b**) and schematic of theorised primary air flows and CO_2 flux during the winter at 12:00 (panel **c**) and 00:00 (panel **d**).

620

Figure 6. 14-month time series of **a**) variability of three time series loggers expressed as entropy (cumulative sum of the absolute first differences) against time L(t) **b**) hourly temperature from three temperature loggers in the cave **c**) hourly inside and outside cave temperature **d**) three hourly cave air pCO₂. **e**) Location of temperature loggers within the cave.

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Figure 7. High-resolution summer experiment. 14-hour time series of a) hourly cave
temperature, external air temperature and internal - external air density difference and b) 10
second temperature measurements of TT5 and TT7. c) Location of TT5 and TT7 in the cave.

630

Figure 8. High-resolution winter experiment. 14-hour time series of **a**) hourly cave

632 temperature, external air temperature and internal - external air density difference and **b**) 10

second temperature measurements of TT8, TT5 and TT7 c) location of TT8, TT5 and TT7 in
the cave.

636	Figure 9. Response of a) cave air Rn^{222} b) CO ₂ c) inside vs outside cave air density
637	difference and d) daily rainfall at Santa Cruz during a 15 day time period surrounding the
638	November 7th earthquake (red dashed line).
639	
640	Figure 10. a) 22-month time series if drip regimes of YOK-LD, YOK-SK and YOK-SD
641	against Santa Cruz daily rainfall. November 7th earthquake indicated by red dashed line.
642	Black arrows indicate visits to the cave. b) YOK-LD and YOK-SK drip rates and Santa Cruz
643	daily rainfall from 1 st through 15 th November with earthquake indicated by red dashed line.