

1 High frequency variability of environmental drivers determining benthic community
2 dynamics in headwater streams.

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14 **Abstract**

15

16 Headwater streams are an important feature of the landscape, with their diversity in
17 structure and associated ecological function providing a potential natural buffer against
18 downstream nutrient export. Phytobenthic communities, dominated in many headwaters by
19 diatoms, must respond to physical and chemical parameters that can vary in magnitude
20 within hours, whereas the ecological regeneration times are much longer. How diatom
21 communities develop in the fluctuating, dynamic environments characteristic of headwaters
22 is poorly understood. Deployment of near-continuous monitoring technology in sub-
23 catchments of the River Eden, NW England, provides the opportunity for measurement of
24 temporal variability in stream discharge and nutrient resource supply to benthic
25 communities, as represented by monthly diatom samples collected over two years. Our data
26 suggest that the diatom communities and the derived Trophic Diatom Index, best reflect
27 stream discharge conditions over the preceding 18 - 21 days and Total Phosphorus
28 concentrations over a wider antecedent window of 7 – 21 days. This is one of the first
29 quantitative assessments of long-term diatom community development in response to
30 continuously-measured stream nutrient concentration and discharge fluctuations. The data
31 reveal the sensitivity of these headwater communities to mean conditions prior to sampling,

32 with flow as the dominant variable. With sufficient understanding of the role of antecedent
33 conditions, these methods can be used to inform interpretation of monitoring data,
34 including those collected under the European Water Framework Directive and related
35 mitigation efforts.

36

37 **Key words**

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39 Headwater streams, Diatoms, Ecological status assessments, Antecedent conditions.

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41 **Environmental Impact**

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43 Headwater streams are a central feature of the landscape, with their diversity in structure
44 and associated ecological function providing a potential natural buffer against downstream
45 nutrient export. Assessment of these systems through their dominant biota, the
46 phytobenthos, is critical given the key role of headwaters within catchments. By
47 understanding the responses of benthic diatoms to antecedent conditions we can begin to
48 determine key physical and chemical drivers of these communities, which could then be
49 used to inform stream and wider catchment mitigation and monitoring efforts.

50

51 **Introduction**

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53 Headwater streams, of first and second order, drain up to 80% of catchments yet pose
54 daunting challenges to the assessment of ecological status using indicator organisms¹⁻³,
55 necessary for meeting the objectives of the European Water Framework Directive (WFD)⁴.
56 The dynamic nature of discharge in many headwater catchments is attributed to their small
57 catchment areas and therefore short residence times of precipitation. This results in
58 frequent disturbance and resetting of community structure by high discharge events and
59 episodic nutrient fluxes⁵. To understand the biodiversity and ecology of headwater systems
60 it is important to recognise that the natural flow regime of headwaters is dynamic⁶ and that
61 this dynamism plays a central role in determining and maintaining ecosystem integrity⁷⁻¹¹.
62 Traditional biomonitoring approaches are typically based on single season sampling of
63 relatively long-lived organisms such as fish or macrophytes, or multi-season sampling of

64 invertebrates¹²⁻¹⁵, providing only snap-shots of a community and not capturing the natural
65 variability that defines headwaters.

66

67 Headwater ecosystems are often dominated by benthic communities¹⁶ forming biofilms
68 comprising a mixture of algae and microbial components^{17, 18}. Foremost amongst the algae
69 in terms of biomass are diatoms; siliceous unicellular algae with strong environmental
70 affinities, which are widely used in monitoring¹⁹⁻²³. Benthic diatoms have the most rapid
71 turnover of organisms used in stream monitoring and readily respond to changes in
72 discharge and nutrient availability²⁴⁻²⁷, making them useful proxies of temporally-rapid
73 ecosystem change and one of the few that can capture the dynamics of headwaters.
74 Understanding ecological sensitivities is important if adequate baselines are to be
75 established from which to assess attempts to mitigate diffuse pollution, in headwaters
76 specifically and within wider river systems more generally.

77

78 The dynamic hydrological environment of headwaters ensures that nutrient resources are
79 also highly temporally variable^{28, 29}. In small headwater catchments, nutrients enter streams
80 through varied hydrological pathways³⁰⁻³², where event-driven processes predominate,
81 rather than the damped, baseflow-influenced hydrological regime within larger, lowland
82 catchments³³. This generates considerable variability across diverse temporal scales in
83 nutrient concentration and nutrient availability to the benthic community in these systems
84^{34, 35}. Community structural variability can be captured using nutrient-sensitive metrics such
85 as the Trophic Diatom Index (TDI)³⁶. The TDI is an index used for classifying ecological status
86 in the UK³⁷ based on the ecological sensitivity of diatoms to water quality, and especially to
87 total phosphorus concentration (TP)^{36, 38}. Therefore, event-driven discharge patterns and
88 nutrient delivery processes are particularly important in understanding benthic diatom
89 community dynamics³⁹, which are in a continuous mode of re-set and response. It has long
90 been established through temporal studies that benthic diatom communities are a function
91 of not only the nutrient loading on the system but also the hydrological regime⁴⁰. Further
92 studies⁴¹ conducted over 15 months in 12 New Zealand gravel-bed streams have
93 demonstrated through monthly sampling that diatom taxonomic richness is influenced by
94 interaction between annual flood frequency and nutrient concentrations. Despite these
95 observations, understanding of the temporal impacts of flow-nutrient transfer relationships

96 on community dynamics in headwaters over an extended period of time remains limited.
97 However, advances in monitoring technology have led to the opportunity for near-
98 continuous measurements of environmental variables such as water chemistry and
99 discharge ⁴²⁻⁴⁷ to better determine the salient drivers of ecological communities and
100 crucially their response period.

101 This paper aims to evaluate the influence of temporal variability in discharge and TP
102 concentration on benthic headwater communities, and therefore the reliability of ecological
103 status assessments based on infrequent sampling of these organisms. Twenty five months
104 of diatom community data from two headwater streams in the River Eden catchment,
105 England, were investigated to address the hypothesis that, at any given point in time, the
106 benthic diatom community will reflect the accumulated effect of a critical period of
107 antecedent temporal dynamics in discharge and nutrient conditions. Hence, the calculated
108 metrics used in ecological assessments will be skewed toward these antecedent conditions,
109 rather than reflecting the spot water samples often collected as part of monitoring. For the
110 first time, we attempt to define the duration of diatom community representivity and
111 response periods in headwater streams. This evaluation will contribute to the interpretation
112 of the ecological monitoring of water quality in headwater ecosystems, and give greater
113 insights into diversity and species interactions that condition the resilience and dynamics of
114 headwater phytobenthos and, ultimately, down-stream function ⁴⁸⁻⁵⁰.

115 **Methods**

116

117 **Study area**

118 Data were collected from two small rivers, Newby Beck (54°35'N, 02°962'W) which drains
119 the headwaters of the Morland catchment, and Pow Beck (54°50'N, 02°57'W) which drains
120 the Pow catchment, with areas of 12.5 and 10.5 km² respectively, within the wider River
121 Eden catchment, NW England. These sub-catchments (Figure 1) form part of the Defra
122 (Department for the Environment and Rural Affairs)-funded Demonstration Test Catchments
123 (DTC) programme, a catchment-scale research platform testing measures for addressing the
124 effects of diffuse pollution from agriculture on stream ecosystems ^{42, 43, 47, 51-54}.

125

126 Automatic weather stations in each catchment measure rainfall at intervals of 15 minutes ⁴⁵.
127 Fixed monitoring stations, designed by NWQIS and built by AT Engineering ⁵⁵, are located no
128 more than 3 m from stream channels, adjacent to biological sampling areas providing *in-situ*
129 water quality measurements. A Hach Lange combined Sigmatax SC sampling and
130 homogenisation unit and Phosphax Sigma wet chemistry analyser, is used to measure
131 phosphorus concentration. A sample is taken from the watercourse using an intake pipe
132 located mid-stream, via a peristaltic pump, which fills a flow cell located inside the
133 monitoring station. The pump runs for five minutes every 30 minutes, allowing the flow cell
134 to overflow with stream water. The Sigmatax draws a sample from the flow cell into a glass
135 chamber, where it is homogenised by ultrasonication for 3 minutes. A 10 ml aliquot of the
136 homogenised sample is delivered to a glass cuvette inside the Phosphax Sigma. Therefore,
137 within the 30 minute sampling time, a single measurement of TP is made before the flow
138 cell is re-filled. Due to asynchrony between pump timing and Sigmatax sampling frequency,
139 the Hach Lange data is reported at hourly frequency ^{42, 44, 47}.

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141 Flow measurements are derived by applying stage-discharge relationship to 15 minute
142 water level readings recorded by a pressure transducer. The stage-discharge relationship
143 was developed through the collection of manual current metering measurements and
144 extrapolated beyond the gauged range using assumptions for the stage-velocity relationship
145 and the hydrological water balance ⁵⁶. To identify major errors in the high-resolution rainfall,
146 discharge and TP time series, each dataset was visually assessed to identify anomalies.
147 Evident outliers for periods where the readings clearly demonstrated instrument drift were
148 removed. Missing value replacement, based on averaging of neighbouring values, was
149 undertaken when three days or less of missing data were observed, gaps greater than three
150 days were left blank.

151 From March 2011 to March 2013 mid-monthly diatom samples were taken from submerged
152 stones in riffle areas (10-15cm water depth) ⁵⁷. Clean frustule suspensions were obtained by
153 oxidizing organic matter with hot hydrogen peroxide (30% v/v). Permanent slides were then
154 prepared using Naphrax high resolution diatom mountant. Three hundred diatom valves
155 were identified and counted along transects at 1000x magnification, under oil immersion,
156 with a Zeiss Axioskop microscope. Valves were identified using standard floras (primarily

157 Krammer and Lange-Bertalot, 1986, 1988, 1991, 1991)⁵⁸. Margalef Index of community
158 diversity was calculated for each monthly diatom assemblage. Calculation and
159 interpretation of TDI v3 and Ecological Quality Ratio (EQR) followed the WFD protocol under
160 the classification tool DARLEQ (Diatom Assessment of River and Lake Ecological Status)^{59, 60}.
161 The TDI developed by Kelly and Whitton³⁶ and subsequently revised⁶¹, is based on the
162 weighted average equation:

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$$\frac{\sum_{j=1}^n a_j \times s_j}{\sum_{j=1}^n a_j}$$

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173 where a_j = abundance of valves of species j in sample, s_j = pollution sensitivity of species j .
174 Values of diatom sensitivity range from 1 (indicating low nutrient conditions) to 5 (indicating
175 very high nutrient conditions). This equation provides the weighted mean sensitivity (WMS)
176 of taxa present in a given sample. TDI is the WMS expressed on a scale of 0 – 100, with 0
177 indicating low nutrient condition and 100 indicating high nutrient condition. TDI is
178 calculated as $(WMS*25)-25$. EQR is calculated based on the observed TDI value for a
179 particular river system and that expected under reference conditions (see WFD UK TAG for
180 specific details)⁵⁹.

181

182 Daily average rainfall, discharge and TP data were used to explore relationships with TDI and
183 chlorophyll-a. Monthly TDI values are based on scrapes from 5 cobbles taken from riffles
184 which are pooled to form a composite sample. Benthic chlorophyll-a measurements were
185 taken using *in-situ* fluorometry (ISF), through a hand-held probe, the BenthosTorch©⁶².
186 Three cobbles were taken at random from the same riffle zones and benthic chlorophyll-a of
187 each was measured. Results were then averaged. Calculations of antecedent forcing periods
188 of TDI and ISF chlorophyll-a to rainfall were based on daily averaged data over 18 months
189 for Pow, and 25 months for Newby Beck. Daily averages for discharge and TP for Newby
190 Beck are based over 23 and 16 months, and for Pow 18 and 10 months, respectively.

191 Pearson's r statistic was calculated between monthly TDI and chlorophyll-a against mean
192 discharge for Pow Beck and Newby Beck, and TP for Newby Beck. The quasi-continuously
193 sampled discharge and TP data were averaged over periods from zero to 21 days.

194

195 **Results**

196

197 High temporal variability in the benthic communities of the two River Eden sub-catchments
198 was anticipated as an ecological response to rainfall and associated discharge characteristics
199 (Table 1) and nutrient transfer processes. The flashy hydrological regime is clearly revealed
200 by the tight coupling between daily precipitation and discharge over a 24-month period for
201 Newby Beck, and over a 20 month period for Pow Beck (Figure 3). Correlations between
202 rainfall and discharge are significantly positively correlated (Newby Beck: $r = 0.74$, $p < 0.01$;
203 Pow Beck: $r = 0.63$, $p < 0.01$). TP concentrations are also significantly positively correlated
204 with discharge (Newby Beck: $r = 0.74$, $p < 0.01$; Pow Beck: $r = 0.54$, $p < 0.01$). In Pow Beck,
205 high TDI and low biomass periods are generally associated with high discharge events and
206 corresponding peaks in TP concentration (Figure 3). During these periods fast growing
207 pioneer diatom species, such as *Achnanthydium minutissimum* and *Amphora pediculus*,
208 which have optimal colonisation rates on the scoured cobble substrate, are seen to
209 dominate up to 68 % of the diatom assemblage (Figure 2). In spring of both years
210 *Achnanthydium minutissimum* is particularly dominant comprising more than 50 % of the
211 diatom assemblage. *Amphora pediculus* becomes dominant throughout autumn and winter.
212 In 2011 *Amphora pediculus* reaches a maximum of 27 % in September, while in 2012 a
213 maximum of 48 % is reached in December (Figure 2b).

214

215 Periods of higher biomass are generally associated with an increase in abundance of
216 *Achnanthydium minutissimum*, as observed in May 2012, and *Cocconeis placentula var*
217 *euglypta*, as typified in October 2011 and September 2012. In Newby Beck, key pioneer
218 species also dominate community structure on an annual cycle with *Achnanthydium*
219 *minutissimum* dominating the species assemblage in spring and early summer. *Amphora*
220 *pediculus* becomes dominant from September to February, reaching maximum percentage
221 abundance in December of both years (Figure 2a). In Pow Beck, values of Margalef species

222 richness demonstrated greater variation in species and assemblage heterogeneity, ranging
223 from 1.92 to 5.08, than Newby Beck which ranged from 2.63 to 4.2(Figure 2).

224

225 Figure 3 illustrates the monthly development of two measures related to the headwater
226 diatom communities, namely the calculated TDI water quality measure and the ISF benthic
227 chlorophyll-a. For Newby Beck (Figure 3a), two distinct quasi-cyclic periods can be
228 distinguished in the diatom community structure. TDI values, used here as a proxy for
229 community structure, are higher between September and February than March to August
230 ($t(10df) = -16.07, p < 0.05$), with a peak in December in both years, indicating a higher level
231 of nutrient-tolerant taxa and thus, more nutrient-enriched conditions. This is supported by
232 generally higher TP concentrations during these months. These patterns in TDI are partly
233 tracked by benthic chlorophyll-a, which is used as a surrogate for benthic productivity.
234 Within relatively quiescent hydrological periods, e.g. January to May 2012, broadly positive
235 relationships between benthic productivity and community structure are observed, where
236 lower TP concentrations and improved water quality, as inferred from the TDI, is matched
237 by an increase in benthic chlorophyll-a. However, Figure 3a demonstrates near anti-phasing
238 of chlorophyll-a with TDI during high discharge episodes, such as December 2012 and
239 January 2013. Considerable resilience of these diatom communities is highlighted by the
240 stability of the inter-monthly TDI scores against the highly variable hydrological regime, and
241 even the benthic chlorophyll-a. However, the annual range of TDI values is high, spanning
242 'high' to 'poor' EQR status and chlorophyll-a values from 1.73 to 10.35 $\mu\text{g}/\text{cm}^2$.

243 Similar quasi-cyclic periods are observed in the Pow catchment for TDI (Figure 3b) with TDI
244 values indicative of poorer water conditions from September to March in both years. While
245 monthly values of TDI across Morland and Pow catchments are correlated over the study
246 periods ($r = 0.72, p < 0.05$), the range of TDI values in Pow (41 to 79) is less than that
247 observed in Morland (32 to 83). Inter-monthly variations are again relatively small in Pow,
248 but as in Newby Beck, the range is significant in terms of classification, spanning 'high' to
249 'poor' EQR classes. However, chlorophyll-a values range from 0.14 to 7.92 $\mu\text{g}/\text{cm}^2$ in Pow
250 Beck, which is generally lower than in Newby Beck. Unlike in Newby Beck, there is usually an
251 inverse relationship between the TDI and benthic chlorophyll-a. When values of TDI are high
252 in Pow from October to March in both years, benthic chlorophyll-a was seen to be less than

253 1 $\mu\text{g}/\text{cm}^2$, which is lower than chlorophyll-a in the Morland catchment. Similar to Newby
254 Beck, there is a non-significant relationship between water temperature and chlorophyll-a
255 (Newby Beck: $r = 0.24$, $p > 0.05$; Pow Beck: $r = 0.18$, $p > 0.05$). Clusters of high rainfall events
256 and associated high stream discharges correlate with high TDI values and low chlorophyll-a,
257 suggesting that unlike in Newby Beck, physical rather than nutrient factors dominate.
258 Extreme examples of this inverse response in the ecological community structure and
259 function to high discharge occurred in December 2011 and October 2012. Similarly to the
260 case study at Newby Beck in the Morland catchment, the resilience of the communities in
261 the Pow is evidenced by their overall stability in key species *Achnanthydium minutissimum*,
262 *Amphora pediculus* and *Cocconeis placentula var euglypta*, and associated productivity.

263

264 **Discussion**

265 Increases in discharge in these study catchments can occur rapidly with timescales of hours
266 to days, and recovery from peaks to baseline conditions also occurs quickly (Figure 3).
267 Within the Morland catchment, these flashy hydrographs are due to the steepness of the
268 terrain and shallow soils overlying bedrock. As clay-rich glacial till is widespread in the Pow
269 catchment, surface runoff can quickly be generated following rainfall. Similarly in other
270 catchments this flashy hydrological response has been shown to contribute to extremely
271 variable nutrient concentrations^{46, 63, 64}, which benthic communities, with longer
272 regeneration times, must respond to. Key questions in in-stream ecological assessment are
273 how these benthic communities respond and recover from event-driven disturbances, and
274 how sensitive they are to antecedent nutrient and discharge conditions.

275 Despite the dynamic nature of the physical environment, strong similarities in the overall
276 structural and functional benthic ecosystem changes in these two headwater streams are
277 observed. The primary control appears to be rainfall and associated discharge, which is
278 coherent between these geographically related sites. For both Newby Beck and Pow Beck,
279 TDI increases as discharge increases, indicating delivery of nutrients to the streams during
280 high rainfall and associated discharge events. Conversely, chlorophyll-a values tend to be
281 lower during high discharge events. This is most likely a combination of high bed shear
282 stress scouring the biofilms, probably enhanced by sediment abrasion, and lower light levels
283 restricting photosynthesis under deep water with high turbidity levels⁶⁵⁻⁶⁷. Our data suggest

284 that yearly biomass of the community can change 10-fold, whereas month-on-month
285 community composition remains relatively stable within the annual cycle. The TDI does
286 mask some internal variation in assemblage diversity of more specialist species, but the
287 value is largely controlled by the ratio of aforementioned key pioneer species that are both
288 present and abundant all year round in the benthic assemblage, and have the ability to
289 withstand changes in their habitat associated with discharge including shear stress, light and
290 nutrient concentration. From a community perspective, these flow related habitat
291 characteristics can be significant in terms of succession stage⁶⁸⁻⁷⁰, with successional state
292 having a direct result on metric scores and WFD classification⁷¹.

293 This lends to the hypothesis that at any point in time the benthic diatom community will
294 represent a critical time period which reflects the accumulative impact of antecedent
295 temporal dynamics in discharge-nutrient condition. The continuous water chemistry,
296 rainfall, flow data and levels collected by the EdenDTC project enables the critical
297 antecedent period determining the diatom community structure (using TDI as a surrogate)
298 and biomass (ISF benthic chlorophyll-a) to be investigated. Figure 4 shows that the TDI is
299 positively correlated with mean discharge and the strength of the correlation increases
300 according to the antecedent period. For Newby Beck an initial correlation is found between
301 TDI and mean discharge on the day of diatom sampling ($p < 0.05$, $r = 0.54$), which
302 strengthens to a maximum after 18 days ($p < 0.05$, $r = 0.7$). Significant correlations are also
303 observed between TDI and TP after 15 days ($p < 0.05$, $r = 0.53$), but this increases further to
304 a maximum after 21 days ($p < 0.05$, $r = 0.66$). A similar correlation with discharge is observed
305 in Pow Beck, although with lower coefficients and a maximum is reached later (21 days; $p <$
306 0.05 ; $r = 0.63$). For Pow Beck, significant correlations are observed between TDI and TP
307 between 7-12 days ($p < 0.05$, $r = - 0.6$). Overall, this indicates that at-a-point community
308 composition is a product of factors related to discharge over the preceding 15-21 days.
309 Given the positive relationship between discharge and TP in the Morland catchment, it is
310 possible the relationship between TDI and discharge is partly mediated by nutrient
311 concentration.

312 In Newby Beck and Pow Beck, a non-significant relationship is found between benthic
313 chlorophyll-a and antecedent discharge-TP conditions, thus indicating that antecedent
314 conditions over the preceding 21 days are not key determinands of benthic productivity,

315 which may be due to disturbance frequency ⁵. While non-significant relationships are
316 observed between benthic productivity and antecedent discharge-TP conditions, a clear
317 response to high discharge conditions is evident in Figure 3. This is consistent with
318 community structure being defined by nutrient supply and retention within benthic biofilms
319 ⁷², whereas physical controls on productivity, especially damage to biofilms through
320 scouring, may be expected to have a more immediate influence ⁴⁰. This analysis
321 demonstrates that aspects of community structure and ecological functional processes, such
322 as chlorophyll-a production, respond differently to antecedent discharge and nutrient
323 conditions, and that this may be dependent on catchment specific factors such as geology
324 and land use which may be equally important determinands of these benthic communities
325 as climate ⁷³⁻⁷⁵.

326 Our results confirm temporal coupling between benthic algal biomass and nutrient
327 concentrations in the two streams through the monthly sampling period, although the
328 relationship between these variables differs in its strength and direction. The near-cyclical
329 patterns observed in the two years of ecological data from both Eden sub-catchments
330 suggest that variability linked to rainfall patterns on an almost seasonal basis is an inherent
331 part of these systems. Note, these are not true seasonal cycles, but rather are linked to
332 clusters in the incidence of precipitation and nutrient delivery. The ability of the community
333 to recover from event-driven disturbances to their underlying equilibrium with water quality
334 implies considerable resilience ⁷⁶. Moreover, sustained differences in the magnitude of the
335 TDI and chlorophyll-a levels between Newby Beck and Pow Beck highlights the importance
336 of catchment specific factors, as well as temporal changes in physical and chemical
337 variables. The two similarly sized catchments have comparable rainfall and discharge
338 characteristics, yet local influences on the stream ecology are likely, including geology,
339 water flow, residence times and most importantly, farming practices ⁷⁷⁻⁸⁰.

340 Due to the inherent variability of headwater streams it is important that ecological
341 monitoring is conducted at an appropriate temporal resolution, and employs the
342 appropriate community measures ⁸¹. These data imply that a single season sampling
343 monitoring frequency, such as those suggested under the WFD, is inadequate and is unlikely
344 to give results representative of the full annual cycle. At the other extreme, the benthic
345 diatom community structure will not reflect single events, but rather an accumulated

346 average of the preceding two to three weeks of stream physical and chemical condition.
347 This finding is beneficial to studies of baseline water quality conditions and highlights the
348 time-integrating property of water quality assessments based on benthic community
349 structure ⁸².

350 **Conclusion**

351 The opportunities provided by near-continuous environmental measurements within the
352 DTC programme, have revealed the time-scale of response and sensitivities of benthic
353 ecosystems in headwaters. The data indicate that assessment tools and metrics developed
354 under the WFD for lower order rivers can be applied to headwater streams despite their
355 dynamic nature, and that they can discriminate nutrient pressures between catchments.
356 Nevertheless, it is essential to understand the importance of the impact of precipitation on
357 these streams, and therefore both climate change ⁸³ and land use management ⁸⁴ have to
358 be considered in parallel when planning for the future. Both of these factors can only be
359 evaluated against long term data sets and an understanding of catchment processes across
360 all seasons for several years. An appropriate temporal approach of multi-annual duration
361 that encompasses both short term events and seasonal variability would provide particular
362 value in terms of informing mitigation efforts to reduce diffuse pollution. Future research
363 should be focused on improving understanding of benthic community composition and
364 productivity in appropriate temporal frameworks, and environmental decision-making must
365 accommodate event-driven physical and chemical processes, as only by understanding the
366 real-time dynamics of headwaters can we fully understand the ecology of these streams.

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