

Tailoring cognitive behavioural therapy to subtypes of voice-hearing

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Submitted to Journal:
Frontiers in Psychology

Specialty Section:
Psychopathology

ISSN:
1664-1078

Article type:
Hypothesis & Theory Article

Received on:
11 Feb 2015

Accepted on:
01 Dec 2015

Provisional PDF published on:
01 Dec 2015

Frontiers website link:
www.frontiersin.org

Citation:

Smailes D, Alderson-day B, Fernyhough C, Mccarthy-jones S and Dodgson G(2015) Tailoring cognitive behavioural therapy to subtypes of voice-hearing. *Front. Psychol.* 6:1933. doi:10.3389/fpsyg.2015.01933

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Provisional

Tailoring cognitive behavioural therapy to subtypes of voice-hearing

Running title: CBT for subtypes of AVH

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23 Cognitive behavioural therapy (CBT) for voice-hearing (i.e., auditory verbal hallucinations;
24 AVH) has, at best, small to moderate effects. One possible reason for this limited efficacy is
25 that current CBT approaches tend to conceptualise voice-hearing as a homogenous
26 experience in terms of the cognitive processes involved in AVH. However, the highly
27 heterogeneous nature of voice-hearing suggests that many different cognitive processes may
28 be involved in the etiology of AVH. These heterogeneous voice-hearing experiences do,
29 however, appear to cluster into a set of subtypes, opening up the possibility of tailoring
30 treatment to the subtype of AVH that a voice-hearer reports. In this paper, we (a) outline our
31 rationale for tailoring CBT to subtypes of voice-hearing, (b) describe CBT for three putative
32 subtypes of AVH (inner speech-based AVH, memory-based AVH, and hypervigilance
33 AVH), and (c) discuss potential limitations and problems with such an approach. We
34 conclude by arguing that tailoring CBT to subtypes of voice-hearing could prove to be a
35 valuable therapeutic development, which may be especially effective when used in early
36 intervention in psychosis services.

37 Keywords: hallucinations; voice-hearing; psychosis; schizophrenia; cognitive behavioural
38 therapy

39

Provisional

40 Introduction

41 Auditory verbal hallucinations (AVH), or ‘voice-hearing’, refers to the experience of hearing
42 a voice in the absence of an appropriate external stimulus and which “has a sufficient sense of
43 reality to resemble a veridical perception, over which the subject does not feel s/he has direct
44 and voluntary control, and which occurs in the awake state” (David, 2004, p. 110). Whilst
45 some voice-hearers report that their experiences have a broadly positive impact on their lives
46 (e.g., Jenner et al., 2008) and a significant proportion do not have a need for psychiatric or
47 psychological help (Johns et al., 2014), others are very distressed and impaired by their
48 experiences. When such people come into contact with psychiatric or psychological services,
49 they are typically diagnosed with a psychotic disorder, such as schizophrenia (although AVH
50 are reported by people with other mental health problems, e.g., mood disorders, borderline
51 personality disorder, and dissociative disorders; Larøi et al., 2012), and they receive
52 medication, psychological therapy, or a combination of both, to help them cope with their
53 voice-hearing and any co-morbid experiences (e.g., persecutory delusions).

54

55 Cognitive behavioural therapy (CBTp; e.g., Morrison et al., 2004) is the most well-known
56 and widely researched psychological intervention for psychosis (McCarthy-Jones et al.,
57 2015). CBTp typically aims to reduce the distress associated with psychotic experiences,
58 rather than attempting to reduce the frequency of those experiences (Morrison and Barratt,
59 2010). To achieve this aim, a wide range of different techniques are used and, thus, CBTp
60 refers to a relatively broad range of psychological interventions (Thomas, 2015; Thomas et
61 al., 2014). As a result, there is considerable debate about what the essential components of
62 CBTp are (Morrison and Barratt, 2010) and about which interventions ‘count’ as CBTp (e.g.,
63 Laws et al., 2014; Lincoln, 2010; McKenna et al., 2010). For the purposes of this paper, we
64 consider CBTp to refer to the interventions outlined in treatment manuals such as Morrison et
65 al. (2004), Kingdon and Turkington (2005), and Beck et al. (2009), which focus on
66 alleviating the distress associated with AVH through changing a voice-hearer’s appraisal of
67 their AVH, based on a developmental, individualised formulation. This aim is achieved
68 through the normalisation of psychotic experiences, the use of behavioural experiments to
69 test unhelpful beliefs about voices, the development of better coping strategies, the adoption
70 of more effective emotion regulation strategies in place of unhelpful strategies (such as safety
71 behaviours), and the revision of negative beliefs about the self. While these manuals were
72 published between six and eleven years ago, they continue to guide treatment in many CBTp
73 studies (e.g., Morrison et al., 2014).

74

75 CBTp is recommended by the National Institute for Health and Care Excellence (NICE) in
76 the UK (NICE, 2009, 2015), as well by bodies in the United States of America (Dixon et al.,
77 2010) and Australia (Royal Australian and New Zealand College of Psychiatrists, 2005). In
78 the UK, the recommendation that CBTp be offered to people who are diagnosed with a
79 psychotic disorder is largely based on a series of meta-analyses that reported CBTp to be
80 moderately effective in reducing the severity of the positive psychotic symptoms (e.g.,
81 Wykes et al., 2008; Zimmerman et al., 2005). However, these meta-analyses did not examine
82 the effects of CBT on voice-hearing specifically (i.e., reductions in positive symptoms might
83 refer to reductions in delusions, thought disorder, and/or hallucinations in any modality).
84 Recent meta-analyses have found that that CBTp is effective at reducing the severity of

85 auditory hallucinations (van der Gaag et al., 2014, Hedges' $g = 0.49$; Jauhar et al., 2014,
86 Hedges' $g = 0.34$).

87

88 Despite these studies, there is good evidence to suggest that these effect sizes are not
89 representative of CBT's true effect on voice-hearing. First, there is evidence that the effects
90 of CBTp on positive symptoms are substantially reduced when potential sources of bias (e.g.,
91 failure to blind assessors to the treatment assignment of participants, researcher allegiance) in
92 trials are taken into account (Jauhar et al., 2014). For example, Jauhar et al. reported that
93 masking moderated the effect of CBT on positive symptoms (although see McKenna and
94 Kingdon, 2014, for a critique of the way in which Jauhar et al. examined masking). In studies
95 where masking appears to have been compromised (i.e., raters may not have been blind to the
96 treatment allocation), there was a moderate, significant effect of CBTp on positive symptoms
97 (Hedges' $g = 0.57$), while in studies where it appeared unlikely that masking was
98 compromised, there was a non-significant effect of CBTp on positive symptoms (Hedges' $g =$
99 0.08). In terms of hallucinations, Jauhar et al. reported a large difference between the effect
100 size of CBTp for auditory hallucinations between masked (Hedges' $g = 0.18$) and non-
101 masked studies (Hedges' $g = 0.91$). Second, van der Gaag et al.'s meta-analysis has been
102 criticised by Laws et al. (2014) for including studies which found large effect sizes for CBT,
103 but (a) were small-scale pilot studies (e.g., McLeod et al., 2007), which some argue should be
104 excluded from meta-analyses (Kraemer et al., 1998; Coyne et al., 2010), or (b) used a form of
105 therapy (Avatar therapy; Leff et al., 2013) that some researchers and clinicians do not regard
106 as a CBT intervention (Laws et al., 2014; Leff et al., 2014). It should be noted, however, that
107 the concerns raised by Laws et al. are not shared by other researchers (e.g., some researchers
108 argue that small studies should be included in meta-analyses, so long as they are
109 methodologically sound in other ways, such as having adequate randomisation and blinding
110 procedures in place; Sackett and Cook, 1993; Schulz and Grimes, 2005). In summary, CBT
111 has been shown to have small-to-moderate effects on voice-hearing, but these effects may be
112 inflated because of methodological problems in some RCTs.

113

114 **Why isn't CBT more effective for voice-hearing?**

115 One reason why CBT for voice-hearing may have limited effectiveness is that current
116 interventions typically treat voice-hearing as a relatively homogenous experience in terms of
117 underlying cognitive processes. While most researchers and clinicians acknowledge
118 heterogeneity in voice-hearing and suggest that this must be addressed by interventions (e.g.,
119 they report that the interventions provided in a study were individually tailored treatments
120 based on case formulations), it is unclear what specific facets of the diverse voice-hearing
121 experience determine what kind of intervention is delivered. For example, the coping
122 strategies and behavioural experiments employed in CBT for voice-hearing often refer to
123 ways that a voice-hearer can interrupt inner speech, based on the assumption that if one is
124 able to interfere with the production of inner speech, one can prevent the generation of the
125 'raw material' of an AVH. This is demonstrated by one recent CBT for voice-hearing
126 intervention, which included participants learning coping skills such as humming, singing,
127 reading, reading out loud, and talking to someone (Zanello et al., 2014). Similar strategies
128 that involve subvocalisation are endorsed by Kingdon and Turkington (2005) and by Beck et
129 al. (2009) as ways in which a voice-hearer may attempt to interrupt AVH. Meanwhile,
130 Morrison et al. (2004) suggested that, to encourage a voice-hearer to consider the possibility

131 that their voices may be internally generated, they should “conduct behavioural experiments
132 using subvocalisation” (p. 134) and observe how this interrupts their AVH. These approaches
133 seem to imply that voices have their basis in a form of inner speech, which may be the case
134 for some voice-hearers but not for others (Jones, 2010; McCarthy-Jones, 2012).
135 Heterogeneity in the involvement of processes related to inner speech in voice-hearing may
136 account for the variability in the success of this coping strategy. For example, subvocal
137 counting has also been found to be an effective long-term intervention in less than a fifth of
138 voice-hearers (Nelson et al., 1991).

139

140 Thus, it is possible that current CBT interventions for voice-hearing fail to address the range
141 of different cognitive processes that underlie AVH. This could be considered to be the only
142 reasonable strategy available to clinicians, given the enormous heterogeneity (e.g.,
143 McCarthy-Jones et al., 2014b; Nayani and David, 1996; Woods et al., 2015) of AVH reported
144 by voice-hearers. However, analysis of the phenomenology of voice-hearing suggests that,
145 from this huge diversity, it is possible to identify a meaningful set of subtypes of voice-
146 hearing, for which one might be able to develop specific sets of treatments. In the next
147 section we briefly review evidence supporting the existence of subtypes of AVH.

148

149 **Evidence for subtypes of voice-hearing**

150 Despite the heterogeneity of AVH (e.g., McCarthy-Jones et al., 2014b; Nayani and David,
151 1996; Woods et al., 2015), the phenomenology of AVH reported by voice-hearers suggests
152 that they can be divided into a relatively small number of subtypes. For example, Stéphane et
153 al. (2003) performed a cluster analysis of 21 phenomenological properties of AVHs reported
154 by 30 participants (most of whom were diagnosed with schizophrenia), which indicated the
155 existence of two subtypes. One subtype was characterised by repetitive, simple content (e.g.,
156 AVH consisted of repeatedly hearing one or two words), by clear acoustics, by hearing the
157 voice in external space, by being accompanied by other hallucinations, and by recognition of
158 the self as the source of the AVH. The other subtype was characterised by non-repetitive
159 content, which was moderately to highly complex (e.g., AVH ranged from sentences to
160 conversations), by an inner space location, by multiple voices, by a lack of clear triggers, and
161 by a belief that the source of the AVH was another person. More recently, McCarthy-Jones et
162 al. (2014b) performed a cluster analysis of 13 phenomenological properties of auditory
163 hallucinations reported by 199 participants (most of whom, again, were diagnosed with
164 schizophrenia), which suggested the existence of three subtypes of AVH (as well as a
165 nonverbal auditory hallucinations subtype). The first AVH subtype, termed ‘Constant
166 Commenting and Commanding AVH’, was characterised by repetitive commands, or almost
167 constant commentary, and were typically in the first or third person. The second AVH
168 subtype, termed ‘Own Thought AVH’, was characterised by content that was not directed at a
169 person and was in the first person, by being similar to memory, and by possibly being one’s
170 own ‘voice’ or thoughts. The third AVH subtype, termed ‘Replay AVH’, was characterised
171 by being “identical to a memory of heard speech” (p. 229). While these two studies do not
172 wholly concur on which subtypes of AVH may exist, they both indicate that it is possible to
173 categorise AVH into a small number of subtypes.

174

175 Based, in part, on these findings, McCarthy-Jones et al. (2014a) tentatively suggested the
176 existence of five subtypes of voice-hearing. The first, hypervigilance AVH occur when a
177 person perceives the presence of a threat-related word or phrase in environmental noise (e.g.,
178 a young man may hear the insult ‘nonce’ in the chatter of a crowd; Dodgson and Gordon,
179 2009). The second, memory-based AVH occur when processes normally involved in
180 retrieving memories generate an intrusive verbal cognition (e.g., which resembles something
181 derogatory said by a critical caregiver, or something said during a traumatic experience) and
182 a person misattributes this to an external, non-self source. The third, inner speech-based AVH
183 occur when processes normally involved in producing inner speech generate a cognition
184 which a person misattributes to an external, non-self source. The fourth, epileptic AVH occur
185 – by definition – in people with a diagnosis of epilepsy, appear to be a result of specific
186 lesions in posterior temporal language areas, and differ in a number of important ways from
187 the AVH reported by voice-hearers who do not have epilepsy (Serino et al., 2014). The fifth,
188 deafferentation AVH occur when deafferentation-like changes occur in auditory cortex or
189 other language processing regions, brought on by hearing loss (Cole et al., 2002) or social
190 isolation (Hoffman, 2007). These changes are thought to elicit neural activity that creates
191 internal, self-generated cognitions that are very difficult to distinguish from external, non-
192 self-generated events, and so these cognitions are experienced as AVH.

193

194 **Implications of the existence of subtypes of voice-hearing**

195 If these putative AVH subtypes can be reliably identified in voice-hearers, there are important
196 implications for therapeutic interventions. For example, Jones (2010) claimed that different
197 subtypes of voice-hearing may be caused by different neurobiological and/or cognitive
198 mechanisms. If one accepts this claim, it is tempting to argue that different therapeutic
199 interventions will be required for different subtypes, given that each intervention will have to
200 address a different set of neurobiological alterations (if it is a pharmacological intervention)
201 or of cognitive problems or biases (if it is a psychological intervention). This argument has
202 received support from a small number of studies.

203

204 For example, Stephane et al. (2001) reported two cases of service-users who experienced
205 AVH that were fixed and repetitive. Anti-psychotic medication appeared to be ineffective in
206 reducing the frequency of these AVH. Given the nature of the voices reported by the two
207 service-users (i.e., in some ways they were similar to the intrusive thoughts experienced in
208 OCD), both were prescribed fluvoxamine (an anti-obsessional agent). In both cases,
209 fluvoxamine appeared to be effective in reducing the frequency of AVH. Thus, Stephane et
210 al. suggest that the AVH experienced by these two service-users may belong to an
211 obsessional subtype of AVH, which differ from other AVH in terms of their fixed, repetitive
212 content. Moreover, they argued that these AVH may have a distinct neural substrate, which
213 can be modified by anti-obsessional rather than anti-psychotic medication. To take an
214 example from clinical psychology, Kingdon and Turkington (1998) postulated the existence
215 of four subtypes of psychosis – obsessional psychosis, drug-related psychosis, anxiety
216 psychosis, and sensitivity psychosis – and described how interventions for AVH needed to be
217 modified according to each subtype. For example, they suggested that obsessional AVH tend
218 to occur when a person experiences a thought that they deem to be unacceptable (e.g., they
219 have an unpleasant thought and think, “I would never think that and so I cannot be the source
220 of that thought”). Accordingly, therapy for this type of AVH involved psychoeducation about

221 the nature of unwanted, intrusive thoughts. In contrast, Kingdon and Turkington suggested
222 that sensitivity AVH occur in people who struggle to cope with relatively minor stressors
223 (e.g., moving away to university) and so therapy involved training of social skills and novel
224 coping strategies that would enable a voice-hearer to better cope with minor stressors.

225

226 The idea of tailoring CBT to subtypes of AVH is not, therefore, entirely novel. However,
227 none of the approaches that have encouraged clinicians to tailor therapy to subtypes of AVH
228 have been formally evaluated. Moreover, these approaches have provided little theoretical
229 basis for the interventions they propose and they have given little guidance on how a clinician
230 should decide which intervention is right for whom. In the next section, we describe a novel
231 CBT manual for voice-hearing, which contains clinician- and client-oriented information (a)
232 showing how a subtype of AVH may be identified, (b) explaining how CBT should be
233 modified according to the subtype of AVH that has been identified, and (c) providing a
234 theoretical rationale for why CBT should be tailored in a particular manner for each subtype.
235 The manual is concerned with three of the subtypes proposed by McCarthy-Jones et al.
236 (2014a) – inner speech-based AVH, memory-based AVH, and hypervigilance AVH. The two
237 other subtypes proposed by McCarthy-Jones et al. – epileptic AVH and deafferentation AVH
238 – are not considered in the manual given that these experiences are probably much more
239 amenable to biological than psychological interventions. In addition, McCarthy-Jones et al.
240 propose that within the inner speech- and memory-based subtypes, further subtypes may exist
241 (e.g., inner speech-based AVH could be divided into ‘own thought’, ‘novel’, and
242 ‘obsessional’). However, in its present form, the manual does not consider these within-
243 subtype distinctions (i.e., the manual would propose the same intervention for own thought
244 inner speech-based AVH as for novel inner speech-based AVH).

245

246 **CBT for subtypes of voice-hearing**

247 As in other forms of CBT_p, the manual encourages the clinician to develop a shared problem
248 list with a service-user and to respect their interpretation of their experiences (i.e., voices
249 should not be dismissed as ‘just externally misattributed inner speech’ or ‘just externally
250 misattributed memories’). The manual (Dodgson et al., 2014; available on request) begins by
251 trying to establish the subtype of AVH that a service-user is experiencing, primarily through
252 questions about phenomenological properties of the voice (or voices) they hear. The clinician
253 is encouraged to ask questions about the auditory properties of the voices (e.g., do they sound
254 as if someone is speaking to you, or are they sometimes silent?), about whether the voice
255 appears to originate from (e.g., inside or outside the head), about the length of the voice’s
256 utterances (i.e., short versus long utterances), and about the identity of the voice. In addition
257 to these questions about the phenomenology of the AVH, the service-user is asked a series of
258 questions about the triggers of AVH and about the contexts in which AVH occur. For
259 example, the voice-hearer is asked about where their attention is focused (e.g., internally, on
260 their own thoughts and feelings, or externally, on other people) when they experience an
261 AVH, about the situations they are in when they typically experience AVH (e.g., alone, in a
262 quiet room or in a noisy room with lots of people), and about what emotions tend to precede
263 the occurrence of an AVH.

264

265 The voice-hearer's answers to these questions should enable the clinician to come to a
266 decision about the subtype of AVH the voice-hearer is experiencing. None of these questions
267 are 'diagnostic' of a person experiencing a particular subtype, but they can provide strong
268 indications that a person is experiencing one subtype rather than another. For example, while
269 both inner speech-based and memory-based AVH may sound as if they are sometimes
270 coming from inside and sometimes from outside the head, hypervigilance AVH should only
271 ever be experienced as coming from outside the head (Dodgson and Gordon, 2009; Garwood
272 et al., 2014). Similarly, both memory-based and hypervigilance AVH are characterised by
273 having repetitive content; the former because the AVH is based on a memory, which should
274 stay relatively stable over time, the latter because this type of AVH is a product of a person
275 scanning the environment for a particular phrase or set of phrases. However, if a voice-hearer
276 reports that the content is similar to what was often said to them by, for example, an abusive
277 parent, and that they tend to experience the voice when they are alone at home, this would
278 suggest that they are experiencing memory-based AVH (given that hypervigilance AVH are
279 typically experienced in noisy, social environments). Drawing on this information, the
280 clinician should then develop an individualised longitudinal formulation with the voice-
281 hearer, which explains how and why the AVH has developed, and which subtype of AVH the
282 service-user is experiencing.

283

284 Based on the decision about what subtype of AVH a voice-hearer is experiencing, the
285 clinician is encouraged to flexibly draw on a series of treatment options, which are based on
286 current models of each subtype of AVH (e.g., Dodgson and Gordon, 2009; Fernyhough,
287 2004; Waters et al., 2006) or of related phenomena (e.g., intrusive memories in PTSD; Ehlers
288 and Clark, 2000). While there is some overlap in the three treatment packages (e.g., affective
289 problems are thought to play an important role in each subtype of AVH), there are important
290 differences between each approach. The three treatment approaches are outlined below.

291

292 **CBT for Inner Speech-Based AVH**

293 Inner speech-based AVH are thought to occur when a person generates a cognition, using
294 many of the process normally involved in generating inner speech, and misattributes that
295 cognition to an external, non-self source (Fernyhough, 2004; Frith and Done, 1988). A
296 number of cognitive mechanisms are hypothesized to play a role in the development of this
297 type of AVH. First, a person is thought to generate a cognition that has a dialogic structure
298 (i.e., it takes the form of a to and fro conversation, rather than a monologue), and that has the
299 auditory qualities of another person's voice (Hoffman et al., 2008; for fuller accounts of the
300 different forms inner speech can take and how this relates to voice-hearing, see McCarthy-
301 Jones and Fernyhough, 2011; Fernyhough, 2004). Second, this cognition is thought to occur
302 with little effort. Thus, it lacks one of the key characteristics (i.e., cognitive effort) that we
303 use to identify self-generated cognitions from non-self-generated events (Johnson, 1997).
304 Third, this cognition may have been subject to thought suppression, which can make the
305 cognition feel even less self-generated and, ironically, increases the frequency of the
306 intrusions (Salkovskis and Campbell, 1994). Fourth, some voice-hearers are thought to have a
307 trait-like bias in their reality discrimination skills, so that they tend to misattribute internal,
308 self-generated cognitions to an external, non-self source (Brookwell et al., 2013). Moreover,
309 this bias can be exacerbated by negative affect (Hoskin et al., 2014; Smailes et al., 2014).

310

311 Psychoeducation for this subtype involves guided discovery in which voice-hearers are
312 presented with information about (a) how inner speech develops, based on a Vygotskian
313 (1934/1987) model; (b) the different forms of inner speech that we can experience; (c) how
314 low effort cognitions are hard to identify as self-generated; (d) how ineffective thought
315 suppression can be, and how it typically leads to a paradoxical increase in the suppressed
316 thought; (e) how stress/negative affect can make it difficult to recognise cognitions as self-
317 generated. Thus, a core aim of psychoeducation for inner speech-based AVH is to help a
318 voice-hearer understand how diverse normal inner speech is and how, in some situations, we
319 can feel that we have no control over the content of our thoughts.

320

321 The coping strategies suggested for inner speech-based AVH are, to some extent, similar to
322 the coping strategies employed in traditional CBT for voice-hearing. For example, they
323 involve activities that will block the phonological loop, such as soothing self-talk, humming,
324 and singing to oneself. In addition, behavioural experiments that involve using inner speech
325 to practice transforming either the content of the voice (into something positive) or the sound
326 of the voice (from an unpleasant, dominant-sounding voice to a less powerful, even amusing
327 voice) may help to reduce the distress experienced by voice-hearers as it shows ways in
328 which they can try to control AVHs when they occur. Beyond activities focussed on inner
329 speech, the manual encourages the avoidance of thought suppression strategies and
330 rumination and the use of effective emotion regulation strategies, such as distraction and
331 seeking social support.

332

333 **Case Vignette**

334 Philip had become acutely unwell, leading to a hospital admission, where he described a
335 belief that he had been kidnapped by psychologists for an experiment. He believed that he
336 had been placed in a false town that copied his home town and his parents and family were
337 imposters. Philip could hear and see the psychologists, particularly at night. Treatment
338 initially focussed on reducing the risk to his parents and testing out his delusional beliefs,
339 before the focus turned to his voices. Philip described being distressed by conversations with
340 the psychologists and also intrusive critical voices. Philip's experiences were classified as
341 inner speech-based AVH as they typically provided a running commentary on his activities
342 and happened more often when he was alone and focussed on his own thoughts. Therapy
343 involved presenting an explanation of how inner speech develops and an exercise on various
344 ways people can experience inner speech, including forms of inner speech that 'sound' like
345 other people's voices. The role of the phonological loop in a person's inner speech was
346 described and Philip was encouraged to try to block the loop with humming and listening to
347 music in his head. The success of these strategies increased his belief that the voices were
348 similar to his inner speech. Philip was then encouraged to summon the psychologists in his
349 mind and transform both their voice and appearance. He enjoyed forcing them into comic
350 voices and appearances, which provided further evidence that they were similar to his inner
351 speech and that he could exercise control over them. When Philip experienced the voice he
352 became adept at blocking the phonological loop or transforming the voice into something
353 comic, which reduced his distress and the voices started to reduce in frequency.

354

355 **CBT for Memory-Based AVH**

356 Memory-based AVH are thought to occur when a person experiences an intrusive (typically
357 unpleasant) verbal cognition, through many of the process normally involved in generating
358 auditory memories, and misattributes it to an external, non-self source (McCarthy-Jones et
359 al., 2014a). Again, a number of cognitive mechanisms are hypothesized to play a role in the
360 development of this type of AVH, many of which are also involved in the development of
361 inner speech-based AVH. First (and most obviously), a person is thought to experience an
362 intrusive verbal cognition. The intrusive nature of the cognition may be a result of it being
363 related to a memory that was encoded during a traumatic event. Memories of traumatic
364 events are often encoded in a data-driven manner, rather than in conceptually-driven manner
365 (Ehlers and Clarke, 2000). That is, they are frequently encoded in terms of sensory
366 impressions and perceptual characteristics, rather than in terms of context and meaning. As a
367 result, these memories tend to be recalled involuntarily, as a result of perceptual or emotional
368 cues rather than by intentional recall (Ehlers et al., 2004). Thus, by their very nature, these
369 memories – or in this case, cognitions related to these memories – occur without any
370 cognitive effort (i.e., they are triggered by being in a place that resembles the place where a
371 traumatic event occurred), and so will be experienced as intrusive (hence participants who
372 report high levels of data-driven processing at the time of a trauma are more likely to develop
373 PTSD, or PTSD symptoms, than are participants who report low levels of data-driven
374 processing at the time of a trauma; Halligan et al., 2002; Murray et al., 2002). Alternatively,
375 the cognition may be related to a memory that was not encoded during a traumatic experience
376 (e.g., it may be an unpleasant comment made repeatedly by a teacher at school), but given its
377 negative content, it may have been subject to thought suppression. As described above,
378 suppressed cognitions are more likely to rebound into consciousness, and so will be
379 experienced as intrusive. Thus, through these mechanisms, a person will experience an
380 intrusive cognition that lacks one of the key characteristics (i.e., cognitive effort) that we use
381 to identify self-generated cognitions from non-self-generated events (Johnson, 1997). When
382 these intrusions are experienced in the context of biased reality discrimination, they are
383 experienced as AVH, rather than being identified as an internal, self-generated cognition.

384

385 Psychoeducation for this subtype involves guided discovery in which voice-hearers are
386 presented with information about (a) how memory normally works; (b) memories of
387 traumatic experiences tend to differ from normal memories; (c) how low effort cognitions are
388 hard to identify as self-generated; (d) how ineffective thought suppression can be, and how it
389 typically leads to a paradoxical increase in the suppressed thought; (e) how stress/negative
390 affect can make it difficult to recognise cognitions as self-generated. Thus, a core aim of CBT
391 for memory-based AVH is to help a voice-hearer to understand that AVH can be seen as a
392 relatively normal response to some type of traumatic experience.

393

394 Many of the coping strategies for memory-based AVH are drawn from interventions for
395 PTSD (e.g., Ehlers et al., 2005), given that the memory intrusions experienced in PTSD and
396 memory-based AVH can be considered similar phenomena (some would go so far as to say
397 that these are sometimes the same phenomena; Read et al., 2005). The aim of these coping
398 strategies is to reduce a person's reliance on the use of avoidant coping strategies (such as
399 thought suppression, avoidance of reminders of a traumatic experience, and other safety
400 behaviours), to encourage the use of effective emotion regulation strategies (e.g., distraction),
401 and to change excessively negative appraisals and interpretations of a trauma and its
402 consequences. Careful discussion of a traumatic event can help to achieve several of these

403 aims (Smith et al., 2006). First, effective emotion regulation strategies can be employed when
404 a service-user experiences high levels of distress during the discussion. Second, a service-
405 user can learn that they are able to cope with the negative emotions that thinking about the
406 trauma evokes. This is important as fear of not being able to cope with these emotions may
407 have been one reason for adopting avoidant strategies. Third, this discussion and the
408 therapist's reactions during the discussion can be a way in which a service-user can
409 disconfirm some of their negative trauma-related beliefs (e.g., "It was my fault", "I will never
410 get over this experience", "People will think bad things about me if they know about what
411 happened"). In addition, it is possible that through this discussion, memories and other
412 cognitions related to the traumatic event can begin to be re-integrated into everyday
413 autobiographical memory meaning that trauma-related memories should be less likely to be
414 unintentionally recalled as a result of sensory or emotional cues (Conway, 1997; Ehlers and
415 Clark, 2000).

416

417 **Case Report**

418 Grant had survived sexual, physical and emotional abuse in a children's home but had started
419 to experience voices in his early adulthood. These were constant and highly distressing and
420 disabling, even when on high levels of medication. Grant had been reluctant to engage with
421 therapy, but agreed to attend when the therapist provided information about the prevalence of
422 voice-hearing in people who had experienced multiple forms of abuse, suggesting that voice-
423 hearing may be a problem linked to his abusive past. Reducing the effects of voice-hearing on
424 his functioning was his initial goal for therapy. An initial assessment of his voice-hearing
425 suggested that Grant experienced inner speech-based AVH, with intrusive thoughts that
426 mirrored his beliefs about himself, which were worse when he was unoccupied. However,
427 when questioned about his first experience of voice-hearing, he described hearing footsteps
428 and laughter at the end of a corridor. Grant was already aware of the link between trauma and
429 voice hearing and quickly made the link with his experiences of lying awake at night
430 listening out to see if abusers would come to his room. When he understood that his first
431 experience of voice-hearing had been similar to experiencing an intrusive memory, Grant was
432 able to understand that his current experiences were also self-generated and that the content
433 was thematically similar to the comments of his abusers. With this increased insight, he was
434 able to engage in specific distraction techniques which increased his sense of control over his
435 voices, reduced the distress associated with this voices, and is starting to experience his
436 voices less often.

437

438 **CBT for Hypervigilance AVH**

439 Hypervigilance AVH are thought to occur when a person is concerned that others hold
440 specific negative beliefs about them (e.g., that they are a paedophile). As a result, a person
441 becomes very anxious, scans the environment for comments related to those beliefs, and
442 begins to misinterpret environmental noise (e.g., traffic noise, crowd noise, or mechanical
443 hums) as containing those comments (see Dodgson and Gordon, 2009). In part, these 'false
444 alarms' appear to occur because arousal shifts the balance of perceptual systems, so that top-
445 down processes have a larger influence on our perceptions (Dudley et al., 2014).

446

447 Psychoeducation for this subtype involves guided discovery in which voice-hearers are
448 presented with information about (a) the role of top-down influences on perception; (b) how
449 our perceptual systems have evolved to help us survive by quickly detecting threat; (c) how
450 feelings of fear and anxiety make us more likely to misperceive threat to be present when it is
451 not; and (d) how when our perceptual systems are dealing with degraded or noisy data, they
452 are more likely to make mistakes. Thus, a core aim of psychoeducation for hypervigilance
453 AVH is to help a voice-hearer understand that our perceptions are influenced by what we
454 expect to see and hear, and that when we expect to find threats in our environment, we are
455 very likely to find them, even when they are not present.

456

457 The coping strategies suggested for hypervigilance AVH involve reducing physiological
458 arousal, reducing perceived threat, reality testing, rational self-talk, and distraction. These
459 coping strategies aim to help a person control feelings of fear and anxiety by either reducing
460 bodily arousal (e.g., via progressive muscle relaxation) or their beliefs about the threats
461 present in their environment (e.g., by discussing their beliefs with a trusted friend). If this is
462 achieved, the likelihood that a service-user will experience a hypervigilance AVH should be
463 reduced. Moreover, should they experience an AVH, their ability to control their levels of
464 fear and anxiety should enable a service-user to engage in rational self-talk, where they can
465 question whether what they have heard could really have been said to them, and/or to use
466 distraction techniques to divert their attention away from scanning for threat and thus reduce
467 AVH-related distress.

468

469 **Case Report**

470 Rick had been involved in a violent confrontation with a local gang, where he had tried to
471 protect his father. He became very vigilant for any signs that he was to be targeted in a
472 reprisal attack. He began to hear comments from people passing his house at night suggesting
473 that he would be assaulted and this created a vicious circle where he stayed awake throughout
474 the night to listen for signs of threat and began to hear more signs of this threat. This vicious
475 circle was broken when Rick was hospitalised and began medication. On discharge he felt
476 stigmatised by his mental health problems, remained convinced that he was in danger, and
477 was, therefore, reluctant to leave his house. His voice hearing experiences were classified as
478 hypervigilance AVH, as they occurred when his attention was externally-focused and their
479 content was consistent with the threat he predicted he was under. Therapy focussed on
480 providing a longitudinal formulation of what had happened to Rick prior to his admission.
481 The formulation highlighted that it would be natural for him to become more conscious of
482 threat after the violent incident. Rick's situation was likened to a soldier in a dangerous
483 situation where hypervigilance for threat has more positive than negative effects (i.e., the
484 value of detect genuine threats as early as possible outweighs the cost of making some false
485 alarms). However, in Rick's situation, hypervigilance for threat had more negative than
486 positive effects, and his sense of threat had escalated through sleep deprivation, substance
487 misuse, and the onset of his voices. Psychoeducation included reviewing the importance of
488 top-down processing or expectations on perception and error management theory. Rick found
489 the formulation compelling and normalising and it reduced the stigma he felt. Recognising
490 that the threatening comments he had heard were a result of him scanning his environment
491 for threat, rather than genuine indicators of a threat, enabled him to reassess the level of

492 danger he was in, allowing him to engage in graded exposure so that he was able to leave the
493 house.

494

495 **Points of departure from traditional CBT for voice-hearing**

496 The manual described here thus differs from traditional CBT for voice-hearing in that it
497 provides multiple formulation templates that should aid the creation of a shared formulation
498 concerning how a voice-hearer's AVH developed. These templates will reflect the individual
499 factors for each voice-hearer (e.g., the specific role of abusive experiences, or of difficult
500 family relationships, or of other stressful life events), but they guide the clinician to consider
501 that varied cognitive/emotional processes may be driving different types of AVH. Thus, the
502 clinician should be more able to (a) provide psycho-education that is a better 'fit' with a
503 voice-hearer's experiences, (b) identify behavioural experiments that are more likely help to
504 change a voice-hearer's appraisals of their AVH, and (c) suggest coping strategies that are
505 more likely to reduce the frequency of AVH. That being said, the approach we describe is not
506 intended to 'replace' existing CBT for voice-hearing; rather, its aim is to complement and
507 enhance the options available to clinicians. We envisage it being used in tandem with other
508 CBTp interventions with a specific focus, such as those that attempt to improve self-esteem
509 (e.g., Freeman et al., 2014), or reduce compliance with commanding AVH (e.g., Birchwood
510 et al., 2014).

511

512 **Problems with a subtyping approach**

513 While there are reasons to believe that adopting the approach described here will lead to the
514 development of more effective psychological interventions for voice-hearing, there are also a
515 number of reasons to be cautious. First, there is a relatively long history of approaches that
516 involve subtyping of hallucinatory experiences being of little practical use in terms of
517 developing better interventions (Stephane, 2013). For example, Jaspers (1962) distinguished
518 'true' AVH, which are heard in external space, from pseudohallucinations, which are heard in
519 internal space (i.e., from inside the head), and suggested that the latter are a more benign
520 form of AVH. However, it has been shown that this is not the case: internal and external
521 AVH are equally distressing for voice-hearers (Copolov et al., 2004). Thus, one could argue
522 that the present approach is yet another attempt to subtype AVH, which is unlikely to be of
523 any practical value. While it is important to acknowledge this possibility, the present
524 subtyping approach differs from some previous attempts to subtype AVH in that there is
525 relatively strong theoretical (e.g., Dodgson and Gordon, 2009; Ehlers and Clark, 2000;
526 Fernyhough, 2004; Waters et al., 2006) and empirical (e.g., Dudley et al., 2014; Garwood et
527 al., 2014; Rapin et al., 2013; Waters et al., 2006) support for the three subtypes described
528 here. This evidence indicates that the subtypes described here are related to separate cognitive
529 processes, meaning that different interventions are likely to be required to help a voice-hearer
530 cope with these different forms of AVH.

531

532 That being said, claims about these subtypes remain tentative and further research examining
533 whether or not the subtypes of AVH described here is required. For example, it needs to be
534 determined whether these subtypes can be reliably identified. While previous research (e.g.,
535 McCarthy-Jones et al., 2014b) employed existing measures to identify subtypes of AVH, it is

536 likely that bespoke measures will need to be developed. In addition, research examining
537 whether these subtypes of AVH are associated with different cognitive processes is required.
538 For example, one would expect that voice-hearers who experience inner speech-based AVH
539 to report higher levels of dialogic inner speech as well as higher levels of inner speech that
540 has the auditory qualities of another person's voice (as assessed by, e.g., the Varieties of
541 Inner Speech Questionnaire, Jones & Fernyhough, 2011) than voice-hearers who do not
542 experience inner speech-based AVH. In contrast, one would expect that voice-hearers who
543 experience memory-based AVH to perform poorly on tasks involving the inhibition of
544 unwanted memories (e.g., on Schnider and Ptak's, 2002, inhibition of currently irrelevant
545 memories task) in comparison to voice-hearers who do not experience memory-based AVH.
546 Finally, one would expect that voice-hearers who experience hypervigilance AVH to show
547 greater top-down influences on perception (e.g., using the jumbled speech task, Fernyhough
548 et al., 2007, or the task employed in Daalman et al., 2012) than would voice-hearers who do
549 not experience hypervigilance AVH. If these predictions hold true, it would provide support
550 for the argument that different cognitive processes underlie different subtypes of AVH, which
551 is consistent with the idea that different interventions may be required for the different
552 subtypes. Clearly, however, the best way to investigate this claim would be to compare the
553 efficacy of the manual described here with traditional CBT for AVH interventions (e.g.,
554 Morrison et al., 2004), as the most important step in establishing whether a subtyping
555 approach is worthwhile would be to demonstrate that this approach is useful in clinical
556 settings. ~~can be (a) reliably identified (e.g., through the development of a standardised~~
557 ~~assessment), (b) shown to be associated with different cognitive/neural processes, and (c)~~
558 ~~shown to be useful clinically is required.~~

559
560 Another issue is that most voice-hearers report that they experience multiple subtypes of
561 AVH. For example, McCarthy-Jones et al. (2014b) reported that the majority of their sample
562 (59%) could be classified as experiencing more than one auditory hallucination subtype. One
563 could claim, therefore, that it makes little sense to tailor CBT to the subtype of AVH a person
564 reports when voice-hearers typically experience multiple subtypes. This claim can, however,
565 be countered in a number of ways. First, it is important to emphasize that this approach aims
566 to identify the subtype of AVH a person experiences, rather than aiming to subtype voice-
567 hearers. In addition, it may be that, even in voice-hearers who report multiple subtypes of
568 AVH, tailoring CBT to the subtypes they experience may be helpful. For example, it may
569 prove helpful to work with a voice-hearer to establish that they experience two subtypes of
570 AVH, to encourage them to employ different coping strategies when they experience
571 different types of voices, and to ask them to focus on using the coping strategies to better
572 control their most distressing voices first. Finally, it may be that experiencing only a single
573 subtype of AVH is more common in people who have a short history of voice-hearing (e.g.,
574 who are experiencing their first episode of psychosis) and that, over time, multiple subtypes
575 of AVH develop (see Jones, 2010, for a fuller account of this idea, which he calls the
576 dynamic developmental progression of AVH). If this is the case, then a subtyping approach
577 may be more appropriate for first episode or early intervention services.

578
579 A final concern is that the aims of this particular subtyping approach are to reduce the
580 frequency of AVH and to reduce the distress associated with AVH. Aiming to reduce the
581 frequency of AVH is, to some extent, inconsistent with one of the core tenet of CBT for
582 psychosis: that clinicians should seek to reduce the distress associated with AVH by changing

583 a voice-hearer's appraisals of their experience, and that reducing the frequency of psychotic
584 experiences is not typically a target in therapy (Morrison and Barratt, 2010). Aiming to
585 reduce the frequency of AVH is also at odds with the key values of the Hearing Voices
586 Movement – a prominent, international user-led organisation – who argue that interventions
587 for AVH should encourage acceptance of voice-hearing, rather attempting to suppress it, or to
588 reduce its frequency (Corstens et al., 2014). Despite this, many people with psychosis report
589 that reducing the frequency of their AVH (or delusions) is a priority for them (e.g., Fischer et
590 al., 2002; Rosenheck et al., 2005). This is true even for positive voices. For example, Jenner
591 et al. (2008) reported that, in a sample of 138 participants who heard positive as well as
592 negative voices, 57% did not want to keep their positive voices. The intervention we have
593 described, therefore, may be suitable for voice-hearers who are seeking to reduce the
594 frequency of their AVH, but may not be suitable for voice-hearers who do not set this as a
595 therapeutic goal.

596

597 **Conclusions**

598 At present CBT for voice-hearing has only limited effectiveness. There is growing evidence
599 that AVHs may be usefully divided into a set of subtypes and the existence of these subtypes
600 might, in part, account for this limited effectiveness of CBT for voice-hearing. In this article
601 we have described how CBT for voice-hearing could be tailored for three putative subtypes
602 of AVH. At present, we are examining the acceptability of this approach for both clinicians
603 and service-users and, if acceptability is demonstrated, we will investigate its efficacy in a
604 randomised controlled trial.

605

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