1	Mid-Pleistocene foraminiferal mass extinction coupled with phytoplankton evolution
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15	Understanding the interaction between climate and biotic evolution is crucial for
16	deciphering the sensitivity of life. An enigmatic global mass extinction occurred in the
17	deep oceans during the Mid Pleistocene, with a loss of over 100 species (20%) of sea
18	floor calcareous foraminifera. An evolutionarily conservative group, benthic
19	foraminifera often comprise $>50\%$ of benthic eukaryote biomass on the deep ocean
20	floor. Here, we test extinction hypotheses (temperature, corrosiveness, productivity) in
21	the Tasman Sea, using geochemistry and micropalaeontology, and find evidence from
22	several globally distributed sites for a change in phytoplankton food source as the
23	extinction cause. Coccolithophore evolution may have enhanced the seasonal 'bloom'
24	nature of primary productivity and fundamentally shifted it towards a more intra-
25	annually variable state at $\sim$ 0.8 Ma. Our results highlight seasonality as a potential new

# 26 consideration for Mid-Pleistocene global biogeochemical climate models, and imply that 27 deep sea biota may be sensitive to future changes in productivity.

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29 High resolution continuous records, such as those found in the marine realm, provide an 30 outstanding opportunity to pair fossil occurrences with geochemical environmental proxies to 31 examine the possible causes of mass extinctions. The Mid-Pleistocene Transition (MPT, ~1.2–0.6 Ma) was characterised by global cooling, glacial stage lengthening, changing ocean 32 circulation, and evolution of terrestrial and marine biota<sup>1-3</sup>. During the MPT, a stepwise 33 34 extinction of over 100 species of deep water benthic foraminifera occurred, targeted to a 35 specific morphological group (largely the elongated 'stilostomellids' with ornamented apertures, referred to here as the Extinction Group) within just three families<sup>4-7</sup>. Benthic 36 for a minifera are an important group often comprising >50% of the total benthic eukaryote 37 biomass on the deep ocean floor<sup>8</sup>. The cause of this last mass extinction, their only significant 38 39 extinction in the last 15 Myr, is still unknown<sup>6,7,9-11</sup>. The deep ocean is the largest and most stable habitat on Earth<sup>10</sup>, and the only other two mass extinctions of benthic foraminifera in 40 41 the Cenozoic occurred over the Palaeocene-Eocene and Eocene-Oligocene boundaries<sup>10</sup>. 42 Understanding the ecological sensitivity of the deep sea is important as it is a habitat increasingly under stress<sup>12</sup>, and may be sensitive to current and future changes in 43 44 productivity<sup>13</sup>. This last mass extinction in benthic foraminifera, completed by  $\sim 0.8-0.6$  Ma, 45 has been extensively studied and shown to be global in extent, although slightly diachronous and apparently stepwise during glacials<sup>4,7,11,14</sup>. Higher latitude localities (above ~60°) have not 46 been studied in detail<sup>7</sup>, but Bering Sea<sup>15</sup> and Kerguelen Plateau Southern Ocean<sup>7</sup> foraminiferal 47 48 assemblages show very low abundances of the Extinction Group before the MPT. A lack of 49 living representatives has hampered our understanding of their ecological preferences and 50 therefore the cause of the extinction. Leading hypotheses include: changing deep water

physical properties, such as cooling and increased oxygenation<sup>6,7,10,14</sup>; a change in 51 52 productivity or extinction of a particular phytoplankton food source<sup>7,11</sup>; and increased 'seasonality' or irregularity of organic carbon export<sup>9-11</sup>. Although bottom water temperature, 53 oxygenation and corrosiveness have all been challenged as the cause, partly due to a global 54 uniform change being unlikely<sup>7,10</sup>, these properties have yet to be directly tested with 55 56 environmental parameters reconstructed alongside extinction group data<sup>7</sup>. In this study, we address these hypotheses by examining the first records of extinction group 57 58 abundance generated together with proxies for bottom water temperature, carbonate saturation  $(\Delta[CO_3^{2-}])$ , related to corrosiveness), sea surface temperature and productivity. We also 59 60 generated records of phytoplankton assemblages from two sites to compare with a published South Pacific record<sup>11</sup>. 61

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#### 63 **Results**

64 We reconstructed environmental parameters using a wide range of proxies at Deep Sea 65 Drilling Project (DSDP) Site 593 over the period ~0.75–1 Ma, covering the benthic extinction 66 (Fig. 1). Site 593 is situated in 1063 m of water on the Challenger Plateau of the Tasman Sea 67 (SW Pacific Ocean, Supplementary Fig. 1), lying to the north of the modern Subtropical Front 68 which is a complex zone delineated by large gradients in sea surface temperature (SST) and 69 salinity<sup>16</sup>. SSTs in the Tasman Sea are considered to be sensitive to glacial-interglacial displacement of the Subtropical Front<sup>7,17</sup>. Site 593 is bathed by Antarctic Intermediate Water, 70 71 which is broadly characterised by low salinity (34.3–34.5 PSU), low temperatures (3.5–10°C) and high dissolved oxygen  $(200-250 \text{ }\mu\text{moles } \text{kg}^{-1})^{18,19}$ . 72 73 Previous low resolution (~22 kyr) benthic foraminiferal data from Site 593 (ref. 5) indicated that the extinction occurred between 0.8–0.9 Ma. Our higher resolution (~3.5 kyr) 74

results indicate the extinction group declined in the Tasman Sea, irrespective of size fraction,

76 throughout the study interval with an initial overall reduction in abundance at ~0.95 Ma, and a 77 major decline towards very low abundance at ~0.83 Ma (Fig. 1g). Our data are thus consistent 78 with other studies that conclude the architecture of the extinction is captured in all size 79 fractions<sup>7</sup>, and suggests it was not associated with an early shortening of the life cycle, which 80 might be apparent with an increased proportion of small specimens. Sites below ~1 km water depth typically have the highest extinction group diversity<sup>7</sup>, and species richness at Site 593 is 81 relatively low at about 10–12 species per ~10 cc sample (Fig. 1h). Site 593 is dominated by 82 83 Strictocostella scharbergana and Siphonodosaria lepidula, which decline abruptly at 0.85 and 84 0.8 Ma respectively (Fig. 1i-j). Different species are dominant in extinction assemblages in the Pacific, Atlantic and Mediterranean, although they are morphologically (and thus possibly 85 86 ecologically) related and also became extinct during the MPT<sup>7</sup>.

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88 Bottom water temperature and corrosiveness. Decreased intermediate/deep water 89 temperature is a hypothesized cause of the extinction<sup>6</sup>, possibly due to increased oxygenation<sup>10</sup> and its impact on an inferred microbial food source<sup>6,14</sup>. Bottom water 90 91 temperature at intermediate-depth Site 593, reconstructed from Mg/Ca of infaunal Uvigerina 92 *peregrina* (see Methods), ranges from ~3–8°C (modern temperature is 4.5°C), with warmer 93 interglacials (Fig. 1e). Sea water absolute magnesium (17 Ma residence time) and calcium (1 Ma residence) concentrations would have been slightly different during the Mid-Pleistocene<sup>20</sup>, 94 95 thus impacting the accuracy of the temperature estimates based on modern calibration 96 (possibly by up to  $\sim 1^{\circ}$ C) although the overall trends will be unchanged. There is no apparent 97 correlation between bottom water temperature and faunal abundances during the pre-98 extinction period before ~0.83 Ma (Fig. 2a), nor any secular change over the extinction itself, 99 and we conclude that the benthic extinction at Site 593 was not caused by temperature 100 changes. Increased bottom water corrosiveness is another physical property that has been

proposed to impact benthic foraminifera <sup>21-22</sup>. The living position of the Extinction Group is 101 unknown, but has been inferred as infaunal<sup>7,9,11</sup>. If this were the case, pore water  $[CO_3^{2-}]$ 102 103 might be more relevant regarding the extinction, although pore waters have lower  $[CO_3^{2-}]$  and changes in bottom water  $[CO_3^{2-}]$  would have impacted pore water values (in addition to other 104 factors such as organic carbon flux and sedimentation rate). Bottom water  $\Delta[CO_3^{2-}]$  at Site 105 106 593, reconstructed from B/Ca of epifaunal Cibicidoides wuellerstorfi (see Methods), shows 107 relatively low values ranging from 10–25 µmol/kg, with highest values recorded during 108 cooler glacials and some potential negative outliers before 0.9 Ma (Fig. 1f). Although oceanic 109 boron residence times are long (20 Ma), similar to Mg/Ca the absolute B/Ca of seawater 110 would have been slightly different from modern thus potentially impacting the absolute values 111 of our calculated  $\Delta$ [CO<sub>3</sub><sup>2-</sup>] even though the overall trends should be considered accurate<sup>23</sup>. 112 Since all the values are oversaturated with respect to *in situ*  $[CO_3^{2-}]$  (i.e. all have positive  $\Delta[CO_3^{2-}]$  values), and the extinction group abundance does not co-vary with  $\Delta[CO_3^{2-}]$  during 113 114 the pre-extinction interval (Fig. 2b), we conclude that the extinction group was tolerant to 115 values in this range, and could not have become globally extinct due to increased bottom 116 water corrosiveness.

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118 **Carbon flux.** Benthic foraminifera are impacted by several ecological factors, which include 119 bottom water oxygenation, bottom water sediment heterogeneity and hydrodynamics, temperature, corrosiveness and organic carbon type and flux<sup>21,22</sup>. However, above the 120 lysocline (below which dissolution occurs), typical open ocean benthic ecology is primarily 121 122 affected by organic carbon flux – which is related to primary productivity (quantity, type and duration) and remineralisation of particulate organic carbon as it is transported to depth $^{10}$ . A 123 124 change in organic carbon export, linked to primary productivity, has been hypothesized as an 125 alternative cause of the extinction<sup>7,9,10</sup>. SST at Site 593 (Fig. 1b), reconstructed using the

126	alkenone proxy $U_{37}^{K}$ (see Methods), shows significant variability over the study interval,
127	ranging from 10–18°C, with cooler temperatures during glacials after ~0.95 Ma. Since
128	modern SSTs in the Tasman Sea are tightly coupled to the position of the productive
129	Subtropical Front, we anticipate that shifts to Subtropical Front position might have impacted
130	organic matter flux. Sedimentary chlorin (Fig. 1d) are derived from photosynthetic material
131	and specifically from chlorophyll pigments <sup>24</sup> . At this distal oceanic location they are likely to
132	have originated from a proximal phytoplankton source, although a terrestrial contribution
133	cannot be discounted by this study. Chlorin concentrations are typically higher during colder
134	glacials at 0.75, 0.82 and 0.93 Ma, possibly indicating enhanced productivity <sup>24</sup> at times of
135	Subtropical Front northward migration (cooler SSTs). However, despite significant variations,
136	there is no prior correlation (Fig. 2c) nor secular change in chlorin concentration (and by
137	extension phytoplankton carbon flux) which could account for the extinction in benthic
138	foraminifera focused at ~0.83 Ma (Fig. 1d).

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140 **Phytoplankton food source.** The extinction group therefore appears to have been relatively 141 tolerant to variations in physical water properties and potentially overall organic carbon flux 142 changes at Site 593 before the ~0.83 Ma decline. Changing organic carbon *source* is another possible extinction mechanism<sup>7,9-11</sup>. The extinction group probably lived infaunally, according 143 to their elongated morphology and lower shell  $\delta^{13}$ C than epifaunal foraminifera<sup>7,9,11</sup>, and 144 145 preferred relatively high organic carbon flux at upper-abyssal to mid-bathyal depths; their 146 global abundance reflects this distribution<sup>7</sup>. The specialised architectural functions of Extinction Group apertures has been discussed at length<sup>11</sup> but remain unknown, and may have 147 helped direct pseudopodial flow for detritus feeding<sup>10,11</sup>, perhaps leaving them sensitive to a 148 149 change in organic carbon supply.

Considering the strong benthic-pelagic coupling of benthic foraminifera<sup>10</sup>, we 150 151 compiled published high resolution calcareous nannoplankton assemblage records to assess 152 the potential for a global changing source of organic carbon causing the extinction. 153 Coccolithophores are one of the major mid-low latitude phytoplankton groups contributing to the organic carbon pump, known to undergo rapid evolution in the Pleistocene<sup>25</sup>. From 154 155 published records, we identified that a significant peak in the morphological genus "small *Gephyrocapsa*" (<3 µm) occurred in the SE Atlantic<sup>26</sup>, NW Pacific<sup>27</sup>, and SW Pacific<sup>11</sup> 156 157 centered at ~0.8 Ma (Fig. 3b). This peak in abundance is consistent with records from the Indian Ocean<sup>25</sup>, and other nannoplankton records that do not differentiate this particular 158 159 species from other small placoliths in the North Atlantic, South Atlantic and Mediterranean 160 (Supplementary Fig. 3). Although most of these sites do not have benthic foraminiferal data 161 with which to directly compare the nannoplankton assemblages, Site MD97-2114 in the SW Pacific indicates that the benthic extinction coincided with this peak<sup>11</sup>, and other sites<sup>7</sup> 162 163 indicate that at a global scale the extinction had largely taken place by 0.8 Ma (Fig. 3c). To 164 test the hypothesis that dominant small Gephyrocapsa could have been implicated in the 165 benthic extinction, we paired records of nannoplankton and foraminiferal assemblage data in 166 the Tasman Sea (Fig. 1f) and the North Atlantic (Supplementary Fig. 3). Both records show a 167 consistently high % small *Gephyrocapsa* (>90%) during the extinction interval at ~0.83 Ma. 168 Interestingly, the first step in the extinction at ~0.96 Ma at Site 593 (Fig. 1g) coincides with 169 an initial increase in the % small Gephyrocapsa from ~50 to 65% (Fig. 1c), showing the 170 possibility that % small Gephyrocapsa was already exerting some control over Extinction 171 Group abundance. From our research, the abundance of small *Gephyrocapsa* is the only 172 oceanic parameter that shows a correlation with the Extinction Group leading up to the 173 extinction in the Tasman Sea (Fig. 2d). To address the possible significance of this

174 correlation, we explore the various possible environmental changes controlling the two groups175 and describe a new conceptual model that can account for both datasets.

176

# 177 Discussion

178 Our new nannoplankton data (Fig. 1f, Supplementary Fig. 3), together with other compiled 179 records (Fig. 3b), indicate the global oceans became dominated by small Gephyrocapsa 180 around  $\sim 0.8$  Ma, a finding that has not previously been highlighted, perhaps due to the 181 relatively short duration of this event compared with typical biostratigraphic sampling 182 resolution. In some of the records the dominance may have occurred sooner (e.g. Site 980, N 183 Atlantic) than others (e.g. Site 593, Tasman Sea), such that there is diachroneity in the onset 184 of this event, but the crucial point is that all global records with coccolith data show high 185 abundances of small *Gephyrocapsa* at ~0.8 Ma. In summary, evidence for a global peak in small Gephyrocapsa at ~0.8 Ma comes from sites in the SE Atlantic<sup>26</sup>, SW Pacific<sup>11</sup>, NW 186 Pacific<sup>27</sup>, Indian Ocean<sup>25</sup>, N Atlantic and Tasman Sea (Supplementary Figs 2,3). The cause of 187 188 the small Gephyrocapsa event remains unknown, but it may have been an evolutionary 189 adaptation<sup>25,28</sup> as the *Gephyrocapsa* lineage is thought to be relatively independent of 190 temperature and nutrients, but dependent on light intensity and day length<sup>25</sup>. The well-known 191 abundance peak of G. caribbeanica at ~0.6 Ma has been linked to increased blooms, due to a 192 sustained reduction in orbital eccentricity affecting day length and light intensity<sup>25</sup>; a 193 sustained eccentricity minima is also observed during the benthic extinction event at ~0.8 Ma 194 (Supplementary Fig. 2).

195 Regardless of the cause of the small *Gephyrocapsa* event, its correlation with the 196 extinction at ~0.8 Ma provides a new piece of evidence when evaluating the cause of the 197 benthic extinction. Correlation itself does not prove causation, but as phytoplankton provide a 198 food supply for marine benthos, how might this change in phytoplankton assemblages have

199 impacted benthic foraminifera? We propose that the most plausible extinction mechanism 200 would be a change towards a more uneven variation in annual export production and delivery of organic carbon to the deep ocean <sup>9-11</sup>. There is micropalaeontological evidence for an 201 202 increase in intra-annul variability of phytodetrital pulses during the extinction interval, with increased seasonal phytodetrital benthic foraminifera in the Indian Ocean<sup>9</sup> and SW Pacific<sup>11</sup> 203 204 from ~0.8 Ma. Previous studies have suggested that this could not have caused the benthic 205 extinction because physical processes that enhance seasonality could not have occurred throughout the global ocean at the same time, as the benthic extinction did<sup>7,11</sup>. However, if the 206 207 ecology of small Gephyrocapsa was similar to its modern descendent, Emiliania huxleyi, a 208 seasonal bloom species that dominates mid-low latitude nannoplankton assemblages during 209 the Holocene<sup>25,28</sup>, the increased global presence of small *Gephyrocapsa* may represent an 210 early adaptation to more bloom-type ecology within nannoplankton, where intra-annual 211 export production changed. Modern (interglacial) global productivity is dominantly annually 212 uneven outside the oligotrophic ocean gyres (Fig. 4). Modelling studies indicate mid-low 213 latitude oceanic intra-annual variability of production may decrease with future projected 214 warming<sup>29</sup>, potentially implying that intra-annual production variability could have been 215 higher (compared with modern) during colder glacials. Any increased intra-annual variability 216 during MPT glacials would have reduced organic carbon flux during some seasons, while 217 increasing flux at other times. In addition to preferring relatively high organic carbon flux<sup>7,9</sup>. 218 the unique apertural ornamentation of the Extinction Group may denote a different feeding 219 strategy. We speculate that they required a more uniform supply of carbon throughout the 220 year. This is in contrast to extant species, typically able to survive for years without fresh phytodetritus<sup>30</sup>. The phytoplankton change may have primed the group for complete 221 222 extinction at ~0.8–0.6 Ma. There remains the possibility that both the small *Gephyrocapsa* 223 event and the benthic extinction reflect an alternative, common cause. Although we consider

224 it unlikely, as we have discounted various water property changes (Figs 1,2), perhaps an 225 unconstrained factor such as a reduction in surface and deep ocean CO<sub>2</sub> played a role. 226 Our proposed mechanism is consistent with the timing, bathymetric and geographic 227 distribution of the extinction event. To summarise, our proposed ecology for the Extinction 228 Group is that they preferred a relatively high flux of organic carbon *regularly* delivered to the 229 sea floor such that there were no long periods within the year where flux was very low. This 230 explains their distribution before the extinction<sup>7</sup>, which was (1) higher in mid-low latitude 231 regions as opposed to high latitudes, as high latitudes would have had a more uneven supply 232 of export production throughout the year (Fig. 4); (2) lower in oligotrophic regions such as the 233 South Pacific Gyre, South China Sea and Mediterranean, and higher in modern eutrophic 234 regions<sup>7</sup>; (3) higher at mid bathyal to upper abyssal depths compared with the oligotrophic 235 lower abyssal ocean, where carbon flux is very low. This proposed ecology also explains their 236 disappearance first at deeper abyssal depths (oligotrophic), the Mediterranean (oligotrophic), 237 and during glacials<sup>7</sup> which may have experienced more variability of seasonal export production, according to modelling studies<sup>29</sup>. Our proposed mechanism can explain the 238 239 extinction because we invoke evolution within a phytoplankton group prevalent in the global 240 oceans where the Extinction Group were most abundant before the extinction. Thus, although 241 small Gephyrocapsa may not have been abundant at higher latitudes where siliceous 242 phytoplankton predominate today, this was a region with low Extinction Group abundance 243 before 0.8 Ma and thus not a suitable refuge for the group when mid-low latitude small 244 Gephyrocapsa began to dominate nannoplankton assemblages. 245 The long-term decline of the Extinction Group during the Eocene-Oligocene, and 246 increase in phytodetritus-exploiting species, has been suggested as due to enhanced seasonality in primary productivity forced by cooling<sup>10,31</sup>. In this sense, the Cenozoic decline 247 248 of the Extinction Group could be categorized as a 'slow' mass extinction<sup>32</sup>, as export

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249 production may have exhibited gradually more intra-annual variability. However, the abrupt 250 decline by ~0.8 Ma coinciding with the small Gephyrocapsa event would indicate a 'rapid' 251 mass extinction with biological causes, perhaps with similarities to planktonic foraminiferal mass extinctions during the Palaeocene-Eocene and Eocene-Oligocene transitions<sup>32</sup>. The 252 253 timing of our proposed increase in this mid-low latitude intra-annual variability, inferred from 254 the global small Gephyrocapsa dominance and benthic extinction, may be important for the 255 development of climate during the MPT as variability of primary production could have impacted carbon export and storage<sup>33</sup>. Further modelling studies are needed to ascertain the 256 257 impact of an enhanced intra-annual variability of production on climate (during MPT 258 cooling), forced by evolutionary changes within the biosphere rather than physical processes 259 alone.

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261 Methods

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263 DSDP Site 593: stratigraphy and age model. Deep Sea Drilling Project (DSDP) Site 593 264 (40°30.47'S, 167°40.47'E, 1063 m water depth) was cored on the Challenger Plateau of the 265 Tasman Sea, in the Southwest Pacific Ocean. The upper 393 m of recovered sediment are 266 foraminifera-bearing nannofossil ooze, hosting very abundant and visually well-preserved 267 benthic foraminifera<sup>34</sup>. A low-resolution, orbitally-tuned stratigraphy was available to guide 268 sampling based on a shipboard bio- and magneto-stratigraphy and coarse resolution benthic 269  $\delta^{18}$ O analyses on infaunal *Uvigerina* spp.<sup>35</sup>. The updated age model here has been generated 270 after re-assigning this to the GTS2012 timescale<sup>36</sup>. Samples for the new isotope stratigraphy 271 were analysed at 10–20 cm resolution in cores 593Z-1H-1 through 593Z-5H-2 (~0–36.3 m 272 depth) to yield a mean sample resolution of  $\sim$ 5 kyr for the time period 0–1.1 Ma. The revised 273 glacial-interglacial stratigraphy for the interval 0–0.4 Ma, based on *C. wuellerstorfi* oxygen

274 isotopes and the Brunhes/Matuyama palaeomagnetic reversal, is presented in ref. 37. Here we created a revised isotope stratigraphy for the period 0.4–1.1 Ma using new  $\delta^{18}O$  analyses of 275 276 the epifaunal species C. wuellerstorfi (Supplementary Fig. 4, Supplementary Table 1). The 277 tuning targets are shown in Supplementary Table 2. The Potaka tephra (1 Ma<sup>38</sup>) is clearly identified at 21.50 mbsf, and lies above a distinct benthic  $\delta^{18}$ O minimum, which is thus 278 279 aligned to MIS 31. The top of the Olduvai magnetochron is not well represented, but the base 280 of the Olduvai, and the Gauss/Matuvama boundary, were used to guide identification of key 281 isotope stages. A linear sedimentation rate is assumed between all tie points. 282

283 Micropalaeontology analysis. Benthic foraminiferal analyses were carried out at the British 284 Geological Survey, Keyworth, UK, and at the University of Leicester, UK, using an Olympus 285 SZX10 binocular microscope. 78 sediment samples of approximately 10 cc were oven dried at 286 40°C, and washed with deionized water over a 63 µm sieve to remove clays (at Durham 287 University). The >63  $\mu$ m fraction was then dried and individual species of foraminifera were 288 counted under a binocular microscope and transferred into cardboard reference slides. 289 Residues were sieved into size fractions, and picked separately, according to a procedure 290 outline in ref. 7, so that the >250  $\mu$ m fraction had 100% picked, the >125  $\mu$ m fraction had an 291 average of 37% picked, and the >63  $\mu$ m fraction had an average of 21% picked 292 (Supplementary Table 3). Fraction splits were used in order to increase the number of samples 293 analysed due to the high volume of sediment. Abundance in splits was then normalised for 294 dry sediment weight, to estimate a total number of extinction group specimens per g of dried 295 sediment for each sample<sup>7</sup>. A total of 31 species and taxonomic groups were identified using 296 the taxonomic work of Hayward et al. (ref. 7), and photographed using a Hitachi S-3600N 297 scanning electron microscope in the Geology Department at the University of Leicester 298 (Supplementary Fig. 5). Benthic foraminiferal accumulation rate (BFAR, specimens cm<sup>-2</sup>kyr<sup>-</sup>

<sup>2</sup>) was calculated by using sediment dry bulk density ( $\rho$ , in grams per cubic cm) and 300 sedimentation rate (v, in cm per kyr) as follows:

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302 [1] BFAR (specimens cm<sup>-2</sup>kyr<sup>-1</sup>) =  $\rho v$  (specimens g<sup>-2</sup>)

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304 Calcareous nannofossil species were analysed at the British Geological Survey, Keyworth, 305 UK. A total of 33 smear slides were prepared from the central part of fresh core sediment 306 samples from the Kochi International Ocean Drilling Program Core Repository, and were 307 analysed using a Zeiss cross-polarising light microscope at 1000x magnification. A counting 308 phase was performed to obtain a qualitative and quantitative description of the assemblages. 309 based on percentage abundances of each recognised taxon (Supplementary Table 4). 310 Nannofossil counts were performed in random visual fields on slides where the nannofossils 311 were homogeneously distributed. At least 300 specimens  $>3 \mu m$  were counted per slide in a 312 varying number of fields of view. Specimens  $<3 \mu m$  were included in a separate set of counts

313 to quantify their dominance. As a result, two counts were obtained: the total assemblage, and 314 the subdominant one excluding specimens  $<3 \mu m$ .

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316 Most of the species identified in this study belong to the family Noelaerhabdaceae and are

317 recognised at generic and specific level: *Gephyrocapsa oceanica*, *Gephyrocapsa* 

318 *caribbeanica*, *Gephyrocapsa muellerae* recognised during this interval as *Gephyrocapsa* 

319 margereli<sup>39</sup>, Gephyrocapsa omega and small Gephyrocapsa (specimens  $<3 \mu m$ , mainly

320 constituted by Gephyrocapsa aperta and Gephyrocapsa ericsonii). Other taxa are present

321 within the assemblages recognised at generic, specific and sub-specific level. At generic level,

322 minor taxa are represented by the genres Syracosphaera, Pontosphaera, Reticulofenestra and

323 Rhabdosphaera. At specific level, minor taxa are represented by the species Pseudoemiliania

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324 lacunosa, Reticulofenestra asanoi, Helicoshpaera carteri, Helicosphaera hyalina,

325 *Umbilicoshpaera sibogae*. Finally, *Coccolithus pelagicus* and *Calcidiscus leptoporus* were

326 distinguished at sub-specific and sub-morphotype level on the basis of their coccolith length.

327 Refs. 40 and 41 have documented the existence of two *C. pelagicus* sub-species: *Coccolithus* 

328 *pelagicus pelagicus*, the cold form, and *Coccolithus pelagicus braarudii*, the temperate and

329 upwelling waters form<sup>42</sup>. Three *C. leptoporus* morphotypes have been distinguished: *C.* 

330 *leptoporus* type small ( $<5.5 \mu m^{41,43}$ ); *C. leptoporus* type medium ( $5.5 - 8 \mu m$ ;<sup>41,43</sup>); and *C.* 

331 *leptoporus* type large (>  $8 \mu m^{41,43}$ ).

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333 Chlorin and alkenone analysis. Separate original samples were freeze-dried, homogenized, and alkenones and chlorins (diagenetic transformation products of chlorophyll)<sup>24,44</sup> were 334 335 extracted with an organic solvent mixture of dichloromethane/methanol (Supplementary 336 Table 5), following the microwave-assisted protocol of ref. 45. The microwave temperature 337 was increased from room temperature to 70°C over 2 min, held at this temperature for 5 min, 338 and then allowed to cool. Extracts were subsequently centrifuged in test tubes, and the 339 supernatant dried by rotary evaporation before being divided into two aliquots for analysis. 340 All analyses were carried out in the Geography Department at Durham University. Chlorins 341 were analysed by UV-vis spectrophotometry, quantified at the 410 nm and 665 nm 342 wavelengths, and normalised for extracted sample weight in g<sup>45</sup>. One aliquot was dissolved 343 again in a known volume of acetone, and analysed using a Dionex Photodiode array detector coupled to a Quaternary pump<sup>45</sup>. Absorbance across the 350-850 nm wavelength was 344 345 recorded, and quantified at 410 nm and 665 nm which corresponds to the diagenetic transformation products of chlorophyll<sup>24,44</sup>. Samples were analysed in triplicate, and the 346 347 means are reported here (Supplementary Table 5). The average standard deviation within 348 samples was 0.44 units (410 nm) and 0.08 units (665 nm).

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350	Alkenones were isolated from the second aliquot of the lipid extract using silica column
351	chromatography, eluting with <i>n</i> -hexane (for hydrocarbons), dichloromethane (for ketones)
352	and methanol (for polar compounds). Alkenones were quantified by Thermo Scientific Trace
353	1310 gas-chromatograph fitted with a flame ionisation detector (GC-FID). Separation was
354	achieved with a fused silica column (30 m $\times$ 0.25 mm i.d.) coated with 0.25 $\mu m$ of 5% phenyl
355	methyl siloxane (HP-5MS) and using He as the carrier gas. Following injection, the following
356	oven temperature program was used: 60–200°C at 20°C/min, 200-320°C at 6°C/min, then
357	held at 320°C for 35 min. Sea surface temperature (SST) was calculated using the $U_{37}^{K}$ '
358	index <sup>46</sup> and the global mean annual SST calibration <sup>47</sup> .
359	
360	<b>Oxygen isotope analysis.</b> Approximately 4 individual tests of <i>C. wuellerstorfi</i> from the >250
361	$\mu$ m fraction of each sample were analyzed using an IsoPrime dual inlet mass spectrometer
362	plus Multiprep device at the NERC Isotope Geosciences Laboratory. $\delta^{18}$ O are reported as per
363	mille (‰) deviations of the isotopic ratios ( $^{18}O/^{16}O$ ), and is calculated using the V-PDB scale
364	using an internal laboratory standard that is routinely calibrated against NBS-19 standards
365	(Supplementary Table 1). Average analytical reproducibility for $\delta^{18}$ O of the calcite standard
366	(KCM) is <0.1 ‰.
367	
368	Trace elemental analysis. Approximately 10 pristine individuals (with white calcite, no
369	visible clay, no visible recrystallization) per sample of benthic foraminifera Uvigerina
370	<i>peregrina</i> and <i>C. wuellerstorfi</i> were selected from the >250 $\mu$ m fraction. Particular care was
371	taken to select the correct U. peregrina and C. wuellerstorfi morphotypes, as

372 *Planulina/Cibicidoides* have been shown to have significant species-specific elemental

373 fractionation<sup>48,49</sup>. Benthic foraminifera were cleaned following the oxidative procedure of ref.

374	50, and analyzed firstly for calcium concentration by ICP-OES (Varian Vista). U. peregrina
375	were then reanalyzed at 100 ppm [Ca] for Mg/Ca by ICP-OES and the C. wuellerstorfi
376	samples were reanalyzed at 10 ppm [Ca] by ICP-MS (Element) for B/Ca. All trace element
377	sample preparation and analysis occurred at the Godwin Laboratory for Palaeoclimate
378	Research, Cambridge University (Supplementary Table 6). All analysed tests appeared
379	visually well preserved, and low Fe/Ca and Mn/Ca values recorded simultaneously by ICP-
380	OES indicate that samples were effectively cleaned and devoid of diagenetic effects.
381	Estimations of past bottom water temperatures were then generated using the most recent
382	published calibration for estimating IWT from the Mg/Ca ratio of Uvigerina <sup>2,51</sup> , which has
383	been shown to be suitable for this site for the interval from $0.4-0$ Ma <sup>37</sup> .
384	
385	[2] Mg/Ca <sub>U. peregrina</sub> = $0.1 * IWT + 1.0 mmol/mol$
386	
387	Bottom water $\Delta$ [CO <sub>3</sub> <sup>2-</sup> ] (defined as the difference between [CO <sub>3</sub> <sup>2-</sup> ] <sub>in situ</sub> and [CO <sub>3</sub> <sup>2-</sup> ] <sub>saturation</sub> ) was
388	calculated from the B/Ca ratio of epifaunal foraminifera C. wuellerstorfi using the following
389	calibration <sup>49</sup> . This proxy has also previously been used at this site for the interval from 0–0.4
390	Ma <sup>37</sup> .
391	
202	$[2] \mathbf{D}/\mathbf{C}_{2} = \frac{1.14 \pm 0.049 * A[\mathbf{C}_{2} + 1.77 + 1.41 + 1.41 + 1.41]}{2}$

- 392 [3] B/Ca<sub>P. wuellerstrorfi</sub> =  $1.14 \pm 0.048 * \Delta [CO_3^{2-}] + 177.1 \pm 1.41 \mu mol/mol$
- 393



394

**Figure 1. Reconstructed environmental proxies for Tasman Sea DSDP Site 593**,

# 396 including extinct benthic foraminiferal abundance, shown with glacial-interglacial

397 **cycles. a**, Global benthic foraminifera  $\delta^{18}$ O composite<sup>52</sup> showing colder glacial (positive) and

- 398 interglacial cycles. **b**, Sea surface temperature reconstructed from sediment alkenones. **c**,
- 399 small *Gephyrocapsa* as a % of total nannofossil assemblage. **d**, Concentration of chlorin
- 400 pigments, used here as a proxy for photosynthetic material related to primary productivity<sup>24</sup>.

401 e, Bottom water temperature reconstructed from benthic foraminiferal *U. peregrina* Mg/Ca 402 ratios. f, Bottom water  $\Delta$ [CO<sub>3</sub><sup>2-</sup>] reconstructed from benthic foraminiferal B/Ca ratios. g-j, 403 Abundance per g and number of species of benthic foraminifera from the extinction group. 404 Note that none of the environmental proxies (b-e) follows abundance of the foraminiferal 405 extinction group (g-j).

406



407 408

409 Figure 2. Foraminiferal Extinction Group against other parameters, for the pre-

- 410 extinction interval ~0.8–1 Ma. a, Bottom water temperature derived from foraminiferal
- 411 Mg/Ca, **b**, bottom water  $\Delta$ [CO<sub>3</sub><sup>2-</sup>] derived from foraminiferal B/Ca, **c**, chlorin P410 from bulk
- 412 sediment, **d**, % small *Gephyrocapsa*.





414

415 Figure 3. Nannoplankton assemblages compared with extinct benthic foraminifera over the Mid Pleistocene. a, Global deep sea  $\delta^{18}$ O composite<sup>52</sup>. b, Accumulation rate of small 416 *Gephyrocapsa* at ODP Site  $1087^{26}$  and ODP Site  $1209^{27}$ . **c**, Flux (accumulation rate) of 417 418 extinct foraminifera from 15 global sites compiled by ref. 7, and including new data from this 419 study, with a 0.2 pt LOESS smoothing line (bold). Some data points are off the scale, 420 smoothed line takes into account all data. Note how the peak in small Gephyrocapsa 421 dominance at ~0.8 Ma occurs in the NW Pacific and SE Atlantic (and SW Pacific and N 422 Atlantic, Supplementary Fig. 3), and coincides with persistently low abundance of the 423 extinction group thereafter.



425

## 426 Figure 4. Location of core sites, on maps showing the mathematical estimates of modern 427 net primary production, and modern unevenness of annual primary production 428 (reproduced from ref. 53). Sites shown indicate where the Mid Pleistocene benthic 429 foraminiferal extinction group has been studied; the DSDP/ODP site numbers are given for 430 higher resolution studies<sup>7</sup> that correspond to the sites used to construct Fig. 3. **a**, Global average net primary productivity<sup>53</sup>. **b**, The geographic distribution of the seasonal variation of 431 432 net primary production (seasonality) for the years 1998-2007. The colours refer to the % of 433 the year over which 50% productivity takes place, such that purple indicates no seasonality 434 (colours modified from ref. 53). We propose glacial MPT seasonality may have been higher 435 than modern. Note that seasonality is generally lowest in regions where primary productivity 436 is low.

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581

# 582 Author Contributions

- 583 S.K. and E.L.M. devised the study. A.C.E. produced the Mg/Ca and B/Ca data and
- 584 constructed the age model, S.K. produced the foraminiferal assemblage data, E.L.M.

- 585 produced the organic geochemistry data, D.E. produced the nannofossil assemblage data,
- 586 A.C.E. and M.J.L. produced the oxygen isotope data. All authors contributed to the ideas and
- 587 writing of the manuscript.
- 588
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