

1 **Oceanic Detachment Faults Generate Compression in Extension**

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15 **ABSTRACT**

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17 In extensional geologic systems such as mid-ocean ridges, deformation is typically
18 accommodated by slip on normal faults, where material is pulled apart under tension and
19 stress is released by rupture during earthquakes and magmatic accretion. However, at
20 slowly spreading mid-ocean ridges where the tectonic plates move apart at rates less than
21 80 km Ma⁻¹, these normal faults may roll over to form long-lived, low-angled
22 detachments that exhume mantle rocks and form corrugated domes on the seabed. Here
23 we present the results of a local microearthquake study over an active detachment at
24 13°20'N on the Mid-Atlantic Ridge to show that these features can give rise to reverse
25 faulting earthquakes in response to plate bending. During a six-month survey period we
26 observed a remarkably high rate of seismic activity, with more than 244,000 events
27 detected along 25 km of the ridge axis, to depths of ~10 km below seafloor. Surprisingly,
28 the majority of these were reverse faulting events. Restricted to depths of 3 - 6 km below
29 seafloor, these reverse events delineate a band of intense compressional seismicity
30 located adjacent to a zone of deeper extensional events. This deformation pattern is
31 consistent with flexural models of plate bending during lithospheric accretion. Our results

32 indicate that the lower portion of the detachment footwall experiences compressive
33 stresses and deforms internally as the fault rolls over to low angles before emerging at the
34 seafloor. These compressive stresses trigger reverse faulting even though the detachment
35 itself is an extensional system.

36

37 **INTRODUCTION**

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39 Oceanic lithosphere is formed at mid-ocean ridges by a combination of magmatism and
40 normal faulting, driven by far-field forces arising from processes including plate
41 subduction and mantle convection (Lachenbruch, 1976). In these extensional settings, a
42 portion of the strain is expected to be accommodated by slip on normal faults, which is
43 reflected in the focal mechanisms of earthquakes observed near the spreading axis (Sykes,
44 1967). At slow-spreading ridges, accounting for large parts of the lithosphere formed in
45 the Atlantic, Indian and Arctic Oceans, young lithosphere may be deformed by slip along
46 large-offset normal faults called detachments (Cann et al., 1997; Tucholke et al., 1998;
47 Dick et al., 2003; Escartin et al., 2008b). Detachment faults can exhume lower crustal
48 gabbros and serpentinized mantle peridotites at the seabed and form kilometer-scale
49 dome-shaped features called oceanic core complexes (Cann et al., 1997; MacLeod et al.,
50 2002; Escartin et al., 2003; Grimes et al., 2008). The mechanical behavior of detachment
51 faults is controversial because the domed fault surfaces emerge from the seafloor at low
52 angles that are that are incompatible with the physics of extensional faulting (Buck et al.,
53 2005). There is evidence for fault initiation on a steeply dipping, deeply penetrating
54 rupture surface (MacLeod et al., 2009; Morris et al., 2009; MacLeod et al., 2011), but the
55 mechanism by which the fault rolls over to low angles prior to seafloor exhumation is
56 poorly understood. Local earthquake surveys with ocean bottom seismographs (OBSs)
57 have the potential to address this issue; however, previous OBS deployments at oceanic
58 detachments had insufficient aperture and instrument density to resolve the mechanics of
59 fault rollover (deMartin et al., 2007; Collins et al., 2012; Grevemeyer et al., 2013).
60 Intriguingly, a few reverse faulting events were observed beneath the Logatchev core
61 complex on the Mid-Atlantic Ridge (MAR) at 14°40'N, but the relationship of these
62 events to the extensional fault system was unclear, and they were attributed to volume

63 expansion from serpentinization or magma chamber filling rather than deformation on a
64 detachment fault (Grevemeyer et al., 2013).

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66 **MICROEARTHQUAKE EXPERIMENT**

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68 In 2014 we conducted the largest microearthquake experiment to-date at a slow-
69 spreading ridge. A dense network of 25 short-period OBSs (instrument spacing of 2-3
70 km) was deployed for a six-month period along ~10 km of the ridge axis at 13°N on the
71 MAR (Fig. 1). Detachment faults are common in this region, including two well-
72 surveyed and sampled oceanic core complexes located at 13°20'N and 13°30'N (Smith et
73 al., 2006; MacLeod et al., 2009; Mallows and Searle, 2012; Escartin et al., 2017). Both
74 core complexes have well-developed, domed, corrugated surfaces and are accompanied
75 by a high level of hydroacoustically-recorded seismicity, suggesting that they are
76 currently active or have been in the recent geological past (Smith et al., 2008; MacLeod
77 et al., 2009; Mallows and Searle, 2012).

78 We recorded over 244,000 events on more than three stations during the 198 day
79 deployment, yielding a mean rate of ~1240 microearthquakes per day (see Methods), two
80 orders of magnitude greater than that observed at the Logatchev core complex
81 (Grevemeyer et al., 2013). This remarkably high rate of seismicity was fairly constant
82 throughout the deployment period (Fig. 2b). There was no evidence for foreshock-main
83 shock sequences, except for a small seismic swarm in the western band of events at Julian
84 day 280 within a region extending 3 km south from its northern tip. The locations and
85 focal mechanisms of these events are indistinguishable from the rest of the seismicity in
86 this area. Events have small local magnitude (M_L), ranging between -1.0 and 2.7 and with
87 a modal average of 0.3 (Supplementary Fig. 1). The high number of earthquakes,
88 combined with the dense seismic network, allowed us to estimate hypocenters and focal
89 mechanism solutions for a subset of 35,262 well-characterized events (see Appendix).
90 These reveal that reverse faulting was the most common mode of deformation near the
91 13°20'N detachment during our deployment (Fig. 1). The compressional events define a
92 distinct arc of intense seismicity that wraps around the detachment trace (on the eastern
93 edge of the corrugated surface), at depths of 3-6 km beneath the seabed (Fig. 2 and

94 Supplementary Fig. 2). The slip directions (i.e., rake) of these events are typical of
95 reverse and reverse-oblique faults. The compression (*P*) axes are dominantly sub-
96 horizontal but there is no preferred orientation for the dip and strike of the fault planes
97 (Fig. 2c). Events within the reverse faulting band of seismicity have slightly smaller
98 magnitudes than those in the normal faulting band (Supplementary Fig. 1). In contrast,
99 normal faulting is restricted to a narrow band of seismicity ~3 km east of the reverse
100 faulting zone, at depths of 5-12 km beneath the seabed (Fig. 2 and Supplementary Fig. 2).
101 These event depths, which are comparable to the depth of normal faulting seismicity
102 observed at the TAG detachment (deMartin et al., 2007), clearly show that extensional
103 faulting extends beneath the crust. Focal mechanisms indicate steeply-dipping (50-70°)
104 normal faults oriented sub-parallel to the near N-S trending spreading axis (Mallows and
105 Searle, 2012) (Fig. 2c), and tension (*T*) axes indicating consistent extensional stress
106 oriented parallel to the spreading direction (~273°).

107

108 **DISCUSSION**

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110 Our observations indicate that lithospheric extension at the 13°20'N detachment generates
111 both compressional and extensional seismicity contemporaneously. The band of intense
112 reverse faulting at 3-6 km depth is located directly beneath the hanging wall cutoff,
113 where the gently-dipping corrugated surface emerges on the seafloor (Fig. 2e), hence
114 cannot lie on the detachment fault plane itself. Instead, this reverse faulting must be
115 occurring within the detachment footwall. An active high-temperature vent field is
116 located on the 1320 corrugated surface (Escartin et al., 2017), which could indicate
117 footwall emplacement of magma bodies (Fig. 1); however, the vent site is located 2.3 km
118 west from the band of reverse faulting (Fig. 2e), and cooling of a magma body should
119 generate tensile, rather than compressive, stresses. Thermal contraction associated with
120 heat extraction from a footwall magma body is therefore not a plausible source
121 mechanism for the shallow band of compressive seismicity. Our observations instead
122 support a model in which internal deformation of the lithosphere in response to flexural
123 bending stress results in a high level of seismicity at the point of maximum bending
124 (Lavie et al., 1999; Buck et al., 2005). The variability in the strike and dip of fault plane

125 solutions (P - and T -axes) in this zone indicates distributed, isotropic deformation of the
126 deeper, internal portion of the detachment footwall (Fig. 2c). In contrast, towards the
127 center of the axial valley and at greater depth (6-10 km), steep, ridge-parallel normal
128 faulting accommodates extensional deformation on the active detachment as new material
129 accretes into the footwall. The short distance between bands of reverse and normal
130 faulting (~ 2 km perpendicular to the fault plane) requires a rapid change in the footwall
131 stress field, from extensional stresses in the accretion zone to compressive stresses in the
132 region of fault rollover. This observation, combined with the spatially restricted zone of
133 reverse faulting, indicates that fault rollover may be a relatively abrupt, rather than
134 gradual, process, with tightening of curvature at progressively shallower sub-surface
135 depths.

136 We have developed a simple model based upon the deflection of a bending plate
137 with elastic-plastic rheology to reconcile our observations (see Supplementary Materials;
138 McAdoo et al., 1978). The model is constrained by the location and dip of the corrugated
139 fault surface at the seafloor, the spatial distribution and focal mechanisms of observed
140 earthquakes, and a lithospheric slab thickness of 6 km inferred from the depth distribution
141 of seismicity. We use the distribution of earthquakes in the footwall to define a stress
142 profile, with ‘plastic’ failure at depths where seismic events are observed (in elastic-
143 plastic models, deformation from earthquakes is treated as bulk ‘plastic’ yielding), and
144 assume that the initiating fault is likely to have a maximum dip of $\sim 70^\circ$. We seek a
145 bending profile that satisfies these constraints, by varying the mechanical strength of the
146 plate in terms of its flexural rigidity, or effective elastic thickness (T_e). We find that a
147 best fit is obtained if T_e increases linearly from 0.7 km near the spreading axis, to 0.9 km
148 at the point where the footwall emerges at the seafloor (dashed line, Fig. 2e). This range
149 in T_e , which is a modeling parameter rather than a physical property of the lithosphere, is
150 consistent with previous estimates from bathymetric profiles of detachment faulted
151 terrain (Schouten et al., 2010). Our simple model demonstrates that the location of the
152 reverse faulting is consistent with that predicted by bending of the detachment footwall
153 under a mechanically reasonable deflection profile (Fig. 3).

154 The two bands of seismicity show well-defined along-axis extents, the northern
155 ends of which lie within the OBS network and are therefore well resolved. The extent of

156 the western, compressional band roughly matches that of the corrugated surface between
157 13°15' and 13°21'N, north of which the NVZ begins to develop (Fig. 1). The band of
158 normal faulting extends ~3 km further north to 13°23'N, beyond which the seismicity
159 rate is remarkably low. These results demonstrate that the nature of seismically
160 accommodated deformation changes significantly at the northern limit of the 1320 core
161 complex, but the inability of our network to provide focal mechanism solutions in this
162 area makes it difficult to interpret this change in the context of fault structure and
163 deformation. A vigorous swarm of seismicity occurred over a 2-3 day period at 13°27'N,
164 just south of the 1330 core complex, which is suggestive of magmatic activity; however,
165 this interpretation is necessarily tentative because we cannot obtain focal mechanism
166 estimates from this area.

167 The apparent lack of seismicity on the upper surface of the detachment footwall at
168 shallow crustal depths is enigmatic. Extensional bending stresses are clearly high in this
169 region, and there must be slip between the footwall and hanging wall on the fault surface.
170 Rock samples recovered from the 13°20'N detachment fault scarp are dominated by
171 hydrothermal quartz-cemented basalt breccia, in addition to sheared serpentinites, talc
172 schists, incohesive cataclasites and hydrothermal deposits (MacLeod et al., 2009;
173 Escartin et al., 2014, 2017). This assemblage provides evidence for significant
174 hydrothermal alteration and mineralization in the fault zone, which may modify the
175 rheology of these rocks and preclude the generation of detectable seismicity (Reinen et al.,
176 1992; Escartin et al., 2008a). Alternatively, we cannot rule out the possibility that
177 deformation in this zone occurs episodically over time intervals that are long compared to
178 the duration of our observations.

179

180 **CONCLUSIONS**

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182 We find that accretion and extension of oceanic crust at the 13°20'N detachment is
183 accommodated by two distinct modes of deformation, reflecting two stages of
184 lithospheric evolution. First, extensional faulting occurs at the point where the
185 detachment fault initiates at depths of 6-10 km. These high-angle normal faults
186 accommodate the far-field forces that drive plate separation as lower crustal and upper

187 mantle rocks are incorporated into newly forming lithosphere in the rising fault footwall.
188 Second, as the footwall rotates to lower angles, bending stresses lead to internal
189 compression in the lower half of the plate. As a result, reverse faults initiate within the
190 bending lithosphere at depths of 3 to 6 km below where the footwall emerges at the
191 seafloor to form a domed, corrugated fault surface (Fig. 3). This evolution of footwall
192 stress is consistent with kinematic models for detachment fault behavior (Buck, 1988)
193 and with direct observations for reverse faulting in detachment fault footwalls (Pressling
194 et al., 2012), suggesting that reverse faulting may be ubiquitous in mature, active oceanic
195 detachments. Our results provide a new framework for interpreting detachment seismicity,
196 and suggest that reverse faulting events reported at other core complexes may have been
197 triggered by bending stresses rather than volume expansion (e.g., serpentinization). The
198 mechanical regime we describe shows that plate bending associated with the exhumation
199 and formation of oceanic core complexes can generate compressional stresses leading to
200 reverse faulting, despite being situated in an extensional stress regime.

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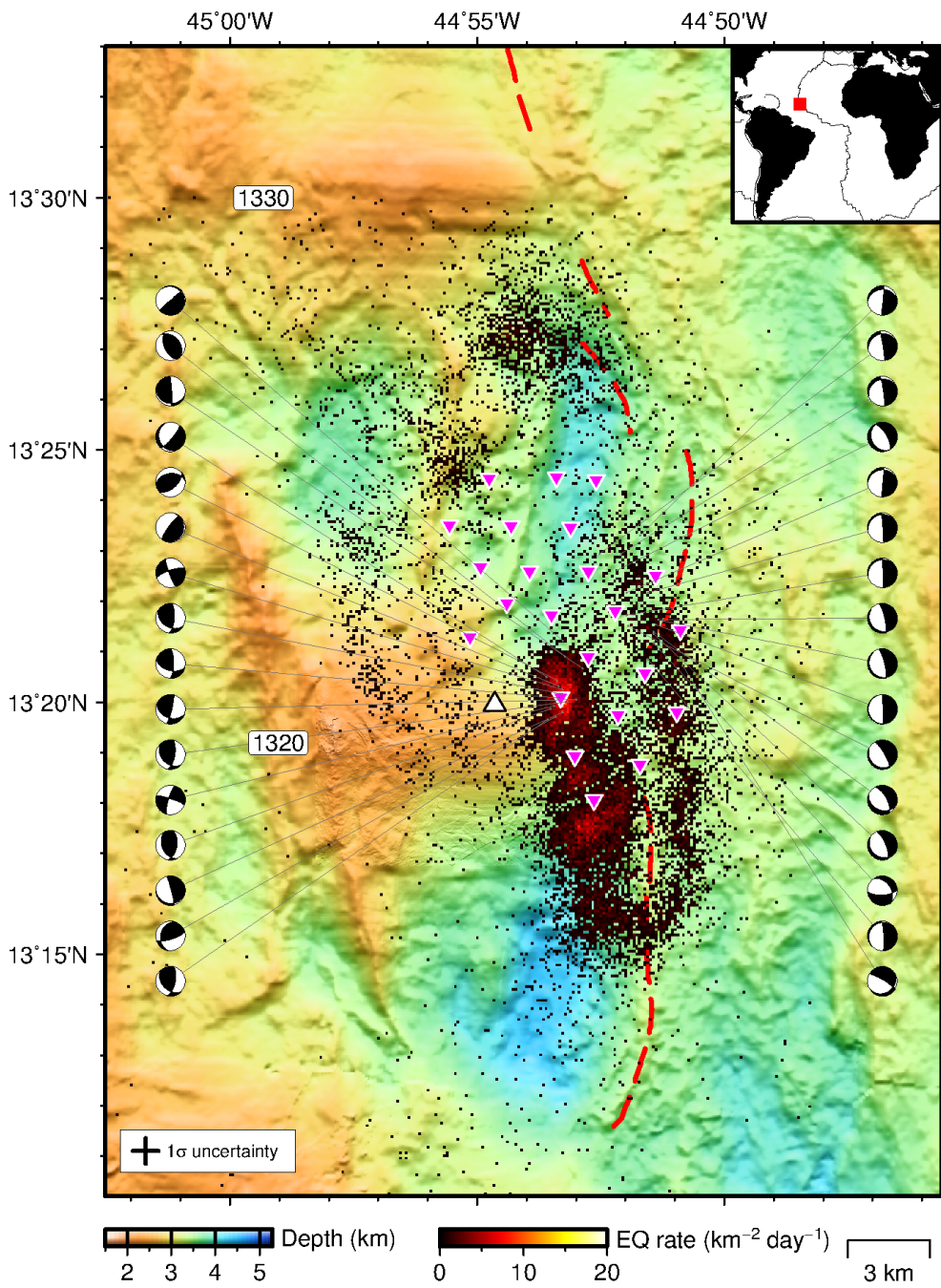
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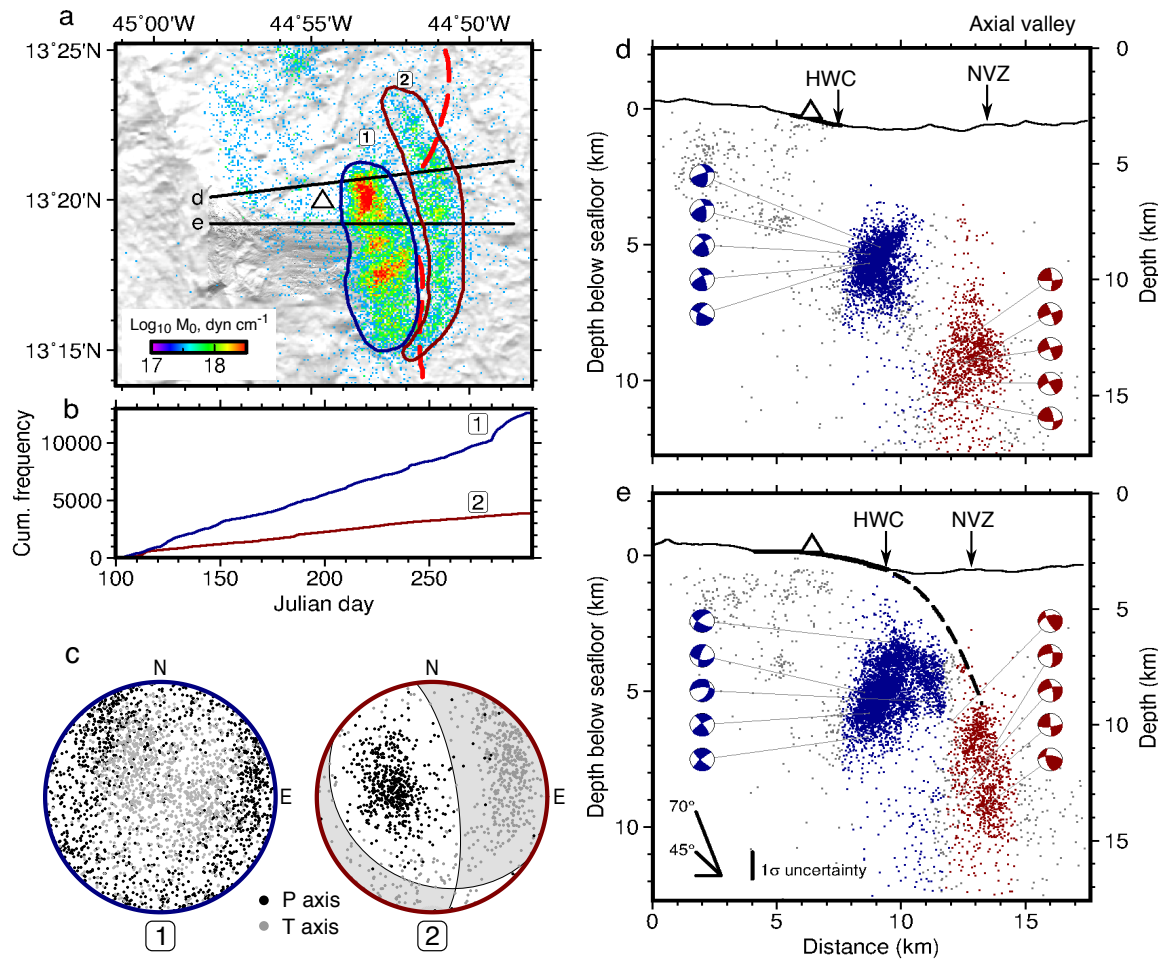
FIGURE CAPTIONS

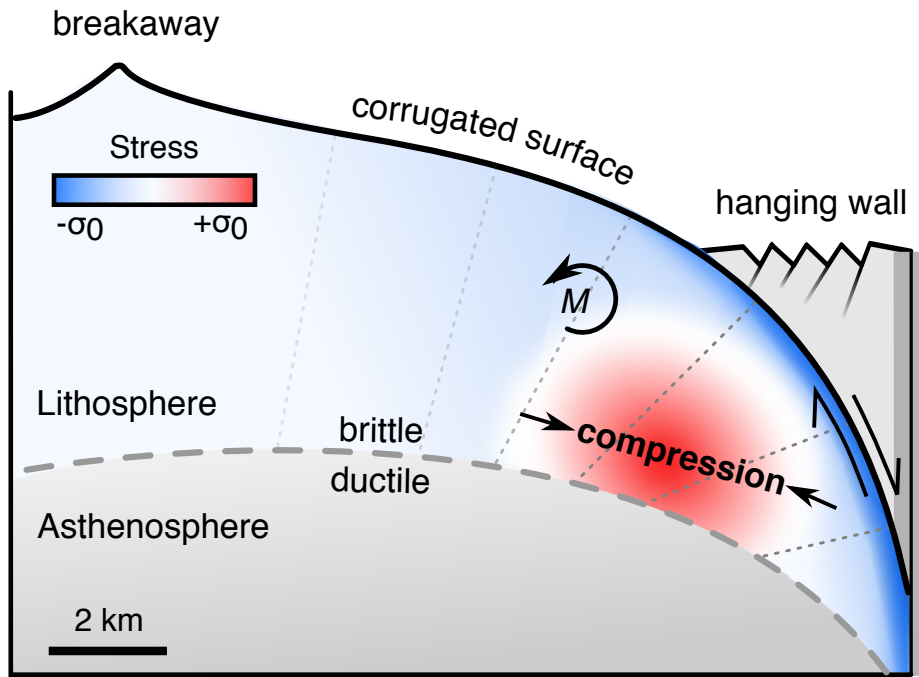
Figure 1. Bathymetric map with seismicity and focal mechanisms at 13°20'N on the MAR. Inset shows location of study site (red box) and mid-ocean ridges (black lines). Main panel shows seismicity rate calculated in 100 x 100 m bins for 18,313 well constrained, relocated events detected by >9 instruments. Randomly selected first-motion focal mechanism solutions are plotted in lower-hemisphere projection; red line shows neovolcanic zone (NVZ); pink triangles show OBS positions; white triangle is Irinovskoe vent field. Location of along-axis adjacent corrugated oceanic core complexes shown by 1320 and 1330 labels, cross shows average 68% confidence level horizontal location uncertainty (0.9 km).

Figure 2. Seismicity rate and cross-sections. a: Shaded-relief bathymetry (illuminated from NE) with cumulative seismic moment release in dyn cm^{-1} ; red/blue polygons delineate domains shown in b and c; black lines are transects shown in d and e; white triangle is vent field; red line is NVZ. b: Seismicity time series for domains 1 (blue) and 2 (red). c: Stereonets with P (black) and T (gray) axes for events in domains 1 and 2; gray shading is best-fitting fault plane solution for domain 2 (352° strike and 72° dip east). d and e: Cross-sections with hypocenters colored by domain as in (a) and representative focal mechanisms (cross-sections through lower hemisphere projection). Black solid line is seabed; thickened sections indicate corrugated fault scarp exposure; dashed line is calculated plate deflection from elastic-plastic model, applicable to spreading parallel profile in (e) only; arrows show location of hanging wall cutoff (HWC) and nearest along-strike projection of the neovolcanic zone (NVZ).

Figure 3. Schematic diagram of stress fields generated by deformation at mature oceanic detachment faults. Most of lithosphere is under tension, but bending (M) associated with fault rollover to low dip angles generates compressive stresses (red shading) in lower portion of fault footwall. Gray dotted lines are markers perpendicular to fault surface; gray dashed line shows nominal base of lithosphere. Earthquakes are expected in zones where stress exceeds yield stress ($|\sigma| > |\sigma_0|$), consistent with our observations. Hanging wall is tectonized and thus weak, which facilitates penetration of seawater into upper part of fault zone.







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SUPPLEMENTAL INFORMATION

DATA ACQUISITION AND PROCESSING

A network of 25, four-component, short period OBSs was deployed between April 12th and October 26th 2014. OBSs were free-fall deployed approximately 2-3 km apart, and 23 instruments successfully recorded data. Initial *P*- and *S*-wave arrivals were detected using an STA/LTA algorithm, and arrival times were refined with a kurtosis-based picking method (Supplementary Figs. 3 and 4; *Baillard et al.*, 2014). A one-dimensional *P*-wave velocity model was constructed using the median velocity obtained from a grid of coincident wide-angle seismic refraction profiles, and draped beneath the seabed (Supplementary Fig. 5; *Simão et al.*, 2016) This model was used to predict travel times between stations and nodes within a 70 x 70 x 20 km (*x-y-z*) model domain at 250 m intervals. An *S*-wave velocity model was generated with a V_p/V_s ratio of 1.8, obtained by minimizing root mean square (rms) arrival time residuals for V_p/V_s values ranging from 1.4 to 2.4. Travel times were calculated using an Eikonal finite-difference scheme and

NonLinLoc software (Podvin and Lecomte, 1991; Lomax et al., 2000). Initial earthquake locations were determined using the grid-search algorithm (Tarantola and Valette, 1982; Lomax et al., 2000) for 183,762 events detected by more than four OBSs. After applying stations corrections, double-difference hypocenter relocation was carried out for 35,262 well-constrained events detected on more than nine OBSs with rms residual < 0.15 s, using differential travel times from the catalog and the program hypoDD (Waldhauser and Ellsworth, 2000), yielding 18,313 double-difference relocated hypocenters. Best-fitting first-motion focal mechanism solutions for the subset of 35,262 relocated events were obtained using HASH software (Hardebeck and Shearer, 2002). The seismic moment for each event was calculated using the long-period spectral level of vertical displacement spectra, and then converted into a local magnitude estimate.

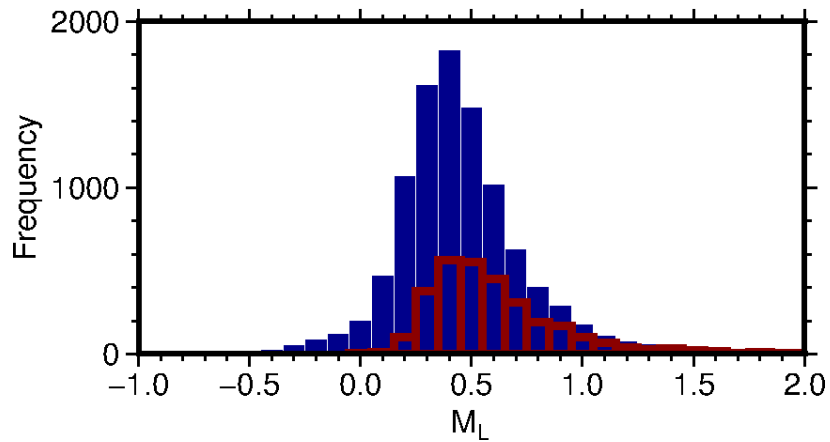
ELASTIC-PLASTIC MODEL

The model for elastic-plastic bending allows us to calculate synthetic profiles for the detachment footwall surface. The deflection of a bending plate is defined in terms of the bending moment, $M(x)$, which varies along the length of a bending profile, and the in-plane force, T , which is the horizontal force applied to the end of the plate, and is constant along a profile (Fig. 3). Far-field forces give rise to the in-plane force, which is applied from outside the bending region (e.g. ridge push). The rheological parameters are expressed in terms of the depths and horizontal normal stresses, $\sigma_{xx}(z)$ at the top and base of the elastic core (z_1 and z_2 respectively). Mathematical details of the model are described elsewhere (McAdoo et al., 1978). We require the deflected surface to dip at 20° at the point of emergence at the seabed, and to have a maximum slope of no greater than $\sim 70^\circ$ adjacent to the spreading axis. The problem is simplified by assuming a constant stress profile and a constant yield stress of 52 MPa. We assume a Young's modulus and Poisson's ratio of 60 GPa and 0.25, respectively, and a density contrast between lithosphere and water of 3800 kg m^{-3} . We vary the flexural rigidity of the bending plate, expressed in terms of T_e , in order to obtain a bending profile which best fits the observed seismicity and 20° dip of the corrugated surface on the seabed. T_e represents the

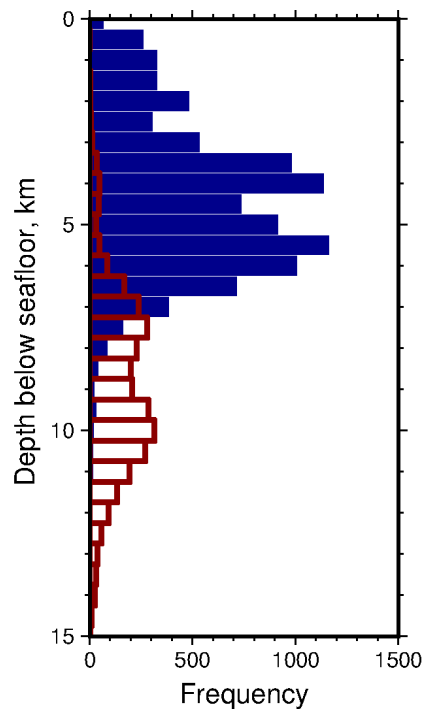
mechanical strength of a bending plate, which can be thought of as a response function that does not correlate to any geological or geophysical boundary within the lithosphere.

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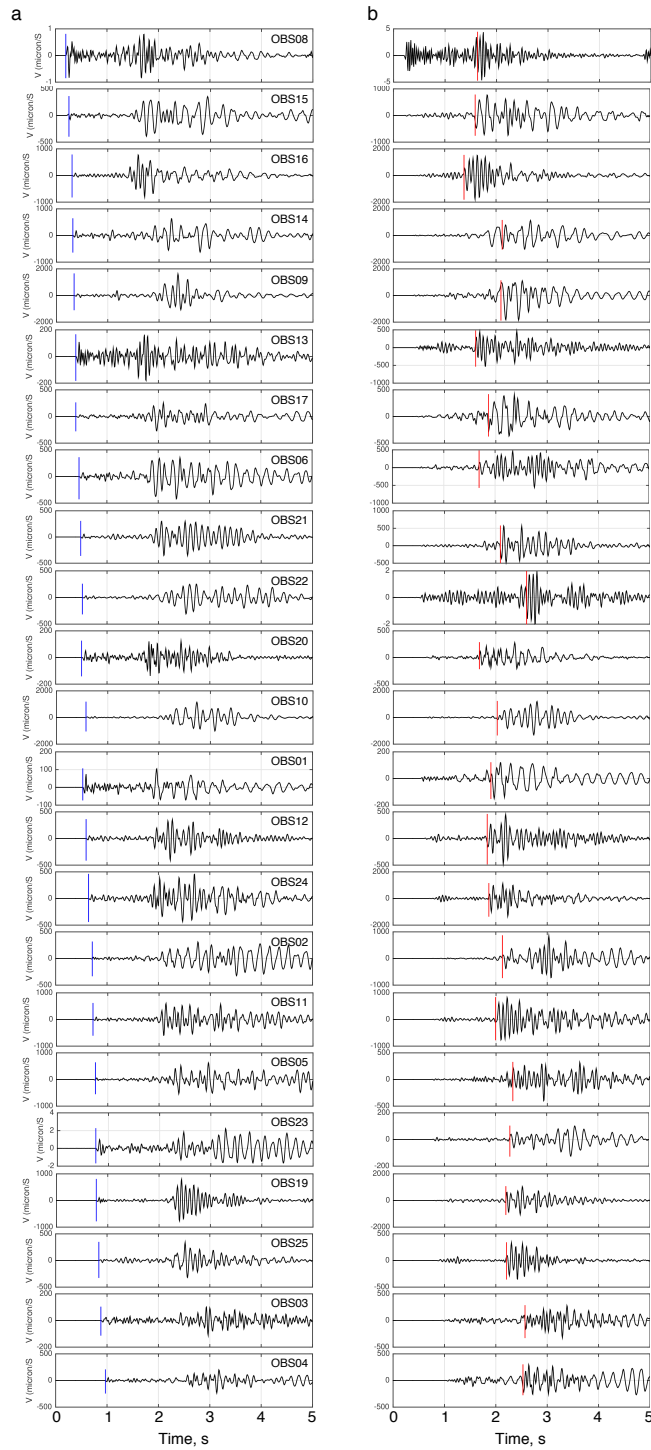
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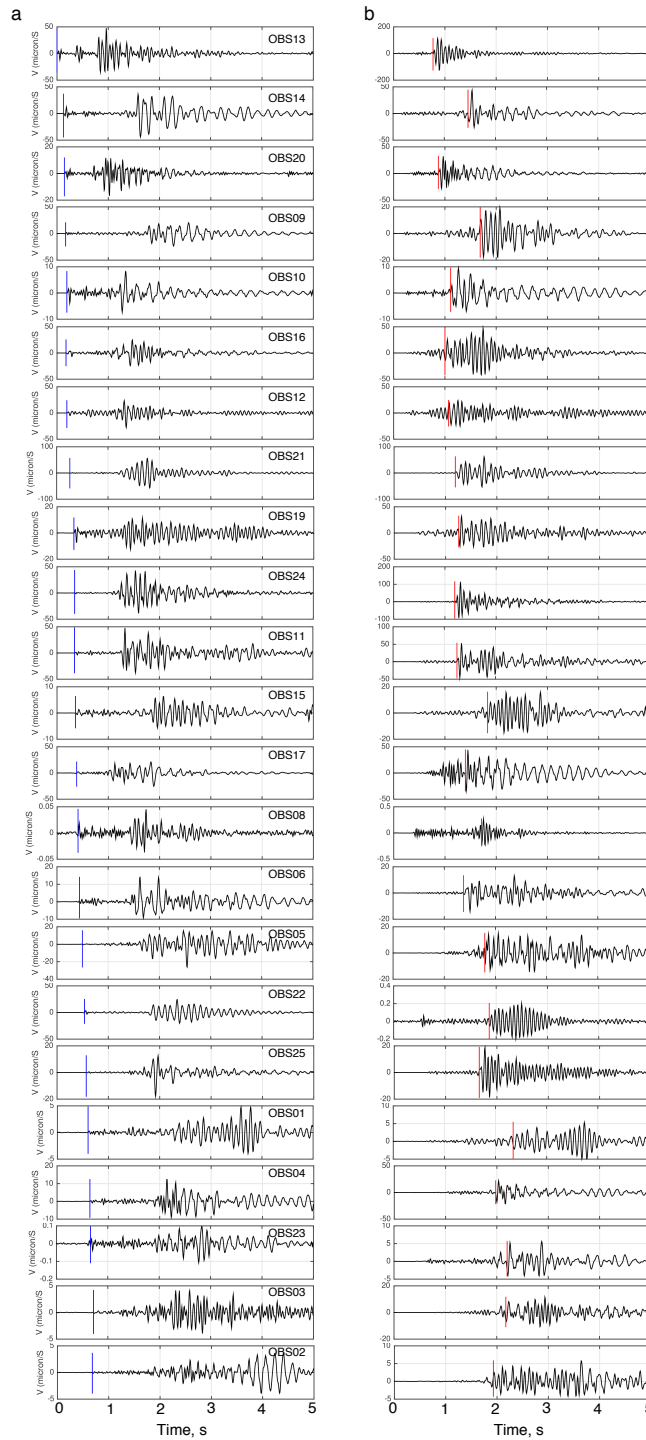
Supplementary Figure 1. Magnitude frequency histogram. Local magnitudes (M_L) calculated for relocated events detected by >9 stations. Blue bars are events from domain 1; red bars are from domain 2 (see Figure 2a for locations). M_L obtained from seismic moments, calculated using the long-period spectral level of vertical displacement spectra, and then converted into a local magnitude estimate. Cumulative moment release in domains 1 and 2 is 12.3×10^{20} and 7.7×10^{20} dyn cm⁻¹, respectively.



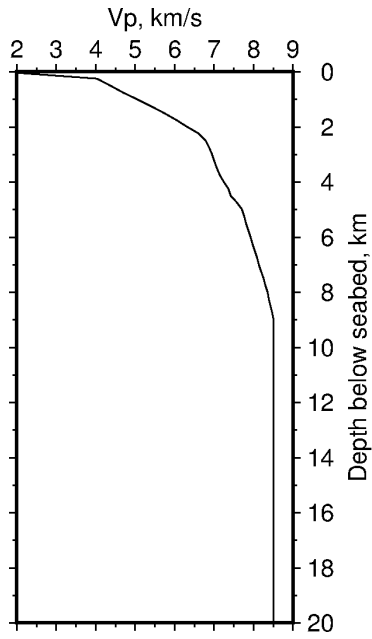
Supplementary Figure 2. Histogram of earthquake depths for domains 1 (blue) and 2 (red), see Figure 2a for locations.



Supplementary Figure 3. Example seismograms and arrival picks for an extensional event located near the spreading axis. Event hypocenter at $13^{\circ}21.298'$ N, $44^{\circ} 52.030'$ W, depth below seabed 8.4 km, $M_L = 1.7$ at 05:48 UTC on 9th July 2014. a: Vertical component of velocity and compressional (*P*) wave phase picks (blue lines). b: Horizontal component of velocity and shear (*S*) wave phase picks (red lines). OBS numbers annotated, data band-pass filtered from 1-25 Hz.



Supplementary Figure 4. Example seismograms and arrival picks for a compressional event located near the $13^{\circ}20'$ N detachment fault. Event hypocenter at $13^{\circ}20.740'$ N, $44^{\circ}53.810'$ W, depth below seabed 4.8 km, $M_L = 1.7$ at 22:54 UTC on 29th June 2014. a: Vertical component of velocity and compressional (*P*) wave phase picks (blue lines). b: Horizontal component of velocity and shear (*S*) wave phase picks (red lines). OBS numbers annotated, data band-pass filtered from 1-25 Hz.



Supplementary Figure 5. *P*-wave velocity model used in the travel-time calculation. Model constructed by draping a median 1-dimensional *P*-wave model obtained from coincident wide-angle active-source seismic experiment (Simão et al., 2016).