Regular Article - Theoretical Physics

Implications of perturbative unitarity for scalar di-boson resonance searches at LHC

Luca Di Luzio^{1,2,a}, Jernej F. Kamenik^{3,4,b}, Marco Nardecchia^{5,6,c}

¹ Dipartimento di Fisica, Università di Genova and INFN, Sezione di Genova, via Dodecaneso 33, 16159 Genova, Italy

² Institute for Particle Physics Phenomenology, Department of Physics, Durham University, Durham DH1 3LE, UK

³ Jožef Stefan Institute, Jamova 39, 1000 Ljubljana, Slovenia

⁴ Faculty of Mathematics and Physics, University of Ljubljana, Jadranska 19, 1000 Ljubljana, Slovenia

⁵ DAMTP, University of Cambridge, Wilberforce Road, Cambridge CB3 0WA, UK

⁶ Theoretical Physics Department, CERN, Geneva, Switzerland

Received: 14 July 2016 / Accepted: 1 January 2017 / Published online: 16 January 2017 © The Author(s) 2017. This article is published with open access at Springerlink.com

Abstract We study the constraints implied by partial wave unitarity on new physics in the form of spin-zero di-boson resonances at LHC. We derive the scale where the effective description in terms of the SM supplemented by a single resonance is expected to break down depending on the resonance mass and signal cross section. Likewise, we use unitarity arguments in order to set perturbativity bounds on renormalizable UV completions of the effective description. We finally discuss under which conditions scalar di-boson resonance signals can be accommodated within weakly coupled models.

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1 Introduction

Unitarity of the time evolution of an isolated quantum system and in particular of the associated *S*-matrix is one of the cornerstones of quantum field theory. In practical perturbative calculations however, *S*-matrix unitarity is always approximate and asymptotic. Nonetheless, significant violations of unitarity at low orders in perturbation theory are heralds of a strongly coupled system and can be used to constrain the range of validity of a given (effective) quantum field theory description.

Perhaps most famously, constraints imposed by perturbative unitarity in WW scattering have been used in the past to infer an upper bound on the Higgs boson mass or, alternatively, on the scale where the standard model (SM) description of weak interactions would need to be completed in the ultraviolet (UV) in terms of some new strongly coupled dynamics [1,2]. Correspondingly it allowed one to narrow down the relevant mass search window and motivate the construction of the LHC with capabilities that ensured the eventual Higgs boson discovery (cf. [3] for a review).

More generally, perturbative unitarity constraints on the validity of a certain theoretical description are applicable both in non-renormalizable as well as renormalizable models. In both cases they allow one to assess the limitations of a perturbative expansion. In the non-renormalizable effective field theory (EFT) approach this amounts to a truncated power expansion in (E/Λ) , where *E* is a typical energy in a process and Λ is the EFT cut-off scale. Violations of perturbative unitarity signal the breakdown of such an expansion, when the leading powers do not represent a good approximation to the physical result. A notable standard example is the pion scattering in chiral perturbation theory, where the loop



^ae-mail: luca.di-luzio@durham.ac.uk

^be-mail: jernej.kamenik@cern.ch

^c e-mail: marco.nardecchia@cern.ch

and power expansion are adequate at low enough scattering energies but violate perturbative unitarity at higher energies and eventually need to be UV completed with the inclusion of dynamical vector resonances. On the other hand within renormalizable models, the expansion proceeds in terms of positive powers of the renormalizable couplings. Sizable violations of unitarity at leading (tree) order signal the breakdown of such an expansion and the onset of strongly coupled dynamics. Here the most renown case is that of the aforementioned *WW* boson scattering in the presence of a heavy SM Higgs boson.

The recently rekindled interest in new physics (NP) in the form of (possibly broad) di-photon resonances [4–8] at the LHC prompt us to reconsider the implications of perturbative unitarity for EFT interpretations of resonances decaying to di-boson final states. In particular, focusing on promptly produced scalar SM singlets decaying to two SM gauge bosons we aim to address the following questions: at which maximal energies do we expect the effective description in terms of the SM supplemented by a single scalar to break down? What can we learn about the possible UV completions of such effective theory from unitarity arguments? In particular, can a potential di-boson signal be accommodated within weakly coupled models, and if so, under which conditions?

We further motivate the endeavor with the observation that in perturbative weakly coupled models, decays of a scalar singlet into two transverse SM gauge bosons can only arise at loop level involving massive charged and/or colored particles leading to a suppression factor of $\Gamma_{V_T V_T}/M \propto \alpha_V^2/16\pi^3$. Even in the case of QCD $\Gamma_{V_T V_T}/M \gtrsim 10^{-4}$ would require large couplings and/or large multiplicies of new states contributing in the loop. Both possibilities are potentially subject to constraints coming from perturbative unitarity. In particular, we will show how they enter the amplitudes of $2 \rightarrow 2$ scatterings of the new degrees of freedom.

Similar considerations have already triggered several studies addressing the issue of the predictivity and calculability within weakly coupled perturbative models of di-photon resonances.¹ These include studying the renormalization group equations (RGE) of the models [10] or the actual appearance of Landau poles [11-13]. For marginal operators such as those corresponding to the gauge couplings, Yukawas or the scalar quartic, both effects are, however, only logarithmically sensitive to the UV cut-off scale of the theory. The resulting constraints can also be circumvented if the models can be UV completed into theories exhibiting an infrared (IR) fixed point behavior. In the case of scalar extensions, the stability of the scalar potential has also been used [14,15]. In this case the possibility of a metastable vacuum with its intricate relations to the cosmological history of the Universe requires additional assumptions going beyond quantum field theory arguments. Some aspects of partial wave unitarity for di-photon resonances which partially overlap with our work have already been discussed in [16–18], however with a different focus with respect to our analysis.

The rest of the paper is structured as follows: Sect. 2 contains a brief recap of partial wave unitarity arguments, which we first apply in Sect. 3 to the EFT case where a di-boson resonance is the only new degree of freedom beyond the SM. In Sect. 4 we then consider weakly coupled benchmark models with either new fermionic or scalar degrees of freedom coupling to a di-boson resonance and inducing the EFT operators in the low-energy limit. Our main results are summarized in Sect. 5. Finally, some relevant technical details of our computations can be found in Appendix A.

2 Brief review on partial wave unitarity

Let us denote by $T_{fi}(\sqrt{s}, \cos \theta)$ the matrix element of a 2 \rightarrow 2 scattering amplitude in momentum space, defined via

$$(2\pi)^4 \delta^{(4)}(P_i - P_f) \mathcal{T}_{fi}(\sqrt{s}, \cos\theta) = \langle f | T | i \rangle, \tag{1}$$

where *T* is the interacting part of the *S*-matrix, S = 1 + iT. The dependence of the scattering amplitude on $\cos \theta$ is eliminated by projecting it onto partial waves of total angular momentum *J* (see e.g. [19–21])

$$a_{fi}^{J} = \frac{\beta_{f}^{1/4}(s, m_{f1}^{2}, m_{f2}^{2})\beta_{i}^{1/4}(s, m_{i1}^{2}, m_{i2}^{2})}{32\pi s} \times \int_{-1}^{1} d(\cos\theta) d_{\mu_{i}\mu_{f}}^{J}(\theta) \mathcal{T}_{fi}(\sqrt{s}, \cos\theta), \qquad (2)$$

where $d_{\mu_i\mu_f}^J$ is the *J*th Wigner *d*-function appearing in the Jacob–Wick expansion [22], while $\mu_i = \lambda_{i1} - \lambda_{i2}$ and $\mu_f = \lambda_{f1} - \lambda_{f2}$ are defined in terms of the helicities of the initial $(\lambda_{i1}, \lambda_{i2})$ and final $(\lambda_{f1}, \lambda_{f2})$ states. The function $\beta(x, y, z) = x^2 + y^2 + z^2 - 2xy - 2yz - 2zx$ is a kinematical factor related to the momentum (to the fourth power) of a given particle in the center of mass frame. The right hand side of Eq. (2) must be further multiplied by a $\frac{1}{\sqrt{2}}$ factor for any identical pair of particles either in the initial or final state.

When restricted to a same-helicity state (zero total spin), the Wigner *d*-functions reduce to the Legendre polynomials, i.e. $d_{00}^J = P_J$. In practice, we will only focus on J = 0 $(d_{00}^{00} = P_0 = 1)$, since higher partial waves typically give smaller amplitudes, unless J = 0 amplitudes are suppressed or vanish for symmetry reasons. Hence, the quantity we are interested in is

$$a_{fi}^{0} = \frac{\beta_{f}^{1/4}(s, m_{f1}^{2}, m_{f2}^{2})\beta_{i}^{1/4}(s, m_{i1}^{2}, m_{i2}^{2})}{32\pi s} \times \int_{-1}^{1} d(\cos\theta) \,\mathcal{T}_{fi}(\sqrt{s}, \cos\theta).$$
(3)

¹ For a broad survey of such models cf. [9].



Fig. 1 Unitarity constraint in the Argand plane. A Born value of Re $a_{ii}^J = \frac{1}{2}$ and Im $a_{ii}^J = 0$ (*red line*) requires a correction (*blue line*) which amounts to at least the $\sqrt{2} - 1 \simeq 40\%$ of the tree-level value in order to come back inside the unitarity circle

In the high-energy limit, $\sqrt{s} \to \infty$, one has $\beta_f^{1/4} \beta_i^{1/4} / s \to 1$. The unitarity condition on the *S*-matrix, $SS^{\dagger} = 1$, gives

$$\frac{1}{2i} \left(a_{fi}^{J} - a_{if}^{J*} \right) \ge \sum_{h} a_{hf}^{J*} a_{hi}^{J}, \tag{4}$$

where the sum over *h* is restricted to 2-particle states, which slightly underestimates the left hand side. For i = f Eq. (4) reduces to

$$\operatorname{Im} a_{ii}^{J} \ge |a_{ii}^{J}|^{2}.$$
(5)

Hence, a_{ii}^J must lie inside the circle in the Argand plane defined by (cf. also Fig. 1)

$$\left(\operatorname{Re} a_{ii}^{J}\right)^{2} + \left(\operatorname{Im} a_{ii}^{J} - \frac{1}{2}\right)^{2} \le \frac{1}{4},$$
 (6)

which implies

$$|\operatorname{Im} a_{ii}^{J}| \le 1 \quad \text{and} \quad |\operatorname{Re} a_{ii}^{J}| \le \frac{1}{2}.$$
(7)

Under the assumption that the tree-level amplitude is real, Eq. (7) suggests the following perturbativity criterion:

$$|\operatorname{Re}\left(a_{ii}^{J}\right)^{\operatorname{Born}}| \leq \frac{1}{2}.$$
(8)

In fact, a Born value of Re $a_{ii}^J = \frac{1}{2}$ and Im $a_{ii}^J = 0$ needs at least a correction of 40% in order to restore unitarity (cf. Fig. 1).

In reality, one expects to have issues with perturbativity even before saturating the bound in Eq. (8), which is hence understood to be a conservative one. Stronger constraints can be obtained by considering the full transition matrix connecting all the possible 2-particle states, which amount to applying Eq. (8) to the highest eigenvalue of $|\text{Re}(a_{if}^J)^{\text{Borm}}|$.

3 Effective field theory of a scalar resonance

We consider the EFT of a gauge singlet spin-0 resonance, S with mass M_S , coupled to the SM fields. Assuming CP invariance, we choose S to transform as a scalar.² The only renormalizable terms couple S to the Higgs boson in the scalar potential

$$\mathcal{L}_{\text{int.}}^{(4)} = -\mu_S S H^{\dagger} H - \frac{\lambda_S}{2} S^2 H^{\dagger} H, \qquad (9)$$

where $\mu_S \leq s_{\alpha} m_S^2 / v \leq m_S^2 / 600$ GeV. In the inequality we have introduced $v \simeq 246$ GeV and $s_{\alpha} \leq 0.4$ [23,24] as the sine of the mixing angle between *S* and the Higgs boson, *h* (in the unitary gauge $H = (0, v + h) / \sqrt{2}$). While for a CP-even *S* the μ_S term can contribute to the $S \rightarrow hh$, $W_L W_L$, $Z_L Z_L$ widths, it is not relevant for unitarity bounds in the high-energy limit. The d = 5 Lagrangian instead reads

$$\mathcal{L}_{\text{int.}}^{(5)} = -\frac{g_3^2}{2\Lambda_g} SG_{\mu\nu}^2 - \frac{g_2^2}{2\Lambda_W} SW_{\mu\nu}^2 - \frac{g_1^2}{2\Lambda_B} SB_{\mu\nu}^2 - \frac{1}{\Lambda_H} S\left(D_{\mu}H\right)^{\dagger} D^{\mu}H - \frac{1}{\Lambda'_H} S\left(H^{\dagger}H\right)^2 - \frac{1}{\Lambda_d} S\overline{Q}_L d_R H - \frac{1}{\Lambda_u} S\overline{Q}_L u_R H^c - \frac{1}{\Lambda_e} S\overline{L}_L e_R H + \text{h.c.},$$
(10)

where we have suppressed flavor indices. This parametrization makes it clear that apart from the μ_S term in Eq. (9), the interactions of a scalar singlet with the SM fields, directly relevant for di-boson resonances at the LHC, are all due to non-renormalizable d = 5 operators. Their effects are thus expected to be enhanced at high energies eventually leading to the breakdown of perturbative unitarity. In order to quantify this simple observation in the following subsections we evaluate the relevant scattering amplitudes involving SM gauge bosons, Higgs bosons, and quarks at the respective leading orders in perturbation theory. Moreover, since we are interested in studying $2 \rightarrow 2$ scattering processes at energies $\sqrt{s} \gg M_S \gg v$, we can safely set all the massive parameters (including M_S) to zero and work within the unbroken SM theory. This also implies that we can neglect any h-S

 $^{^2}$ The pseudo-scalar case leads to analogous conclusions as far as unitarity bounds are concerned, hence in the following we will not consider it separately.

mixing effects and set the masses of the final state SM particles to zero. We distinguish between two classes of tree-level processes characterized by a different energy scaling of the amplitude: scalar mediated scatterings and d = 5 contact interactions.

3.1 Scalar mediated boson scattering

Let us start, as an example, by considering the $\gamma \gamma \rightarrow \gamma \gamma$ scattering amplitude due to the effective operator

$$-\frac{e^2}{2\Lambda_{\gamma}}SF_{\mu\nu}^2,\tag{11}$$

whose matching with the operators in Eq. (10) is given by

$$\frac{1}{\Lambda_{\gamma}} = \frac{1}{\Lambda_B} + \frac{1}{\Lambda_W}.$$
(12)

The calculation is detailed in Appendix A.1. In the (++, --) helicity basis we find

$$\mathcal{T} = -\frac{e^4}{\Lambda_{\gamma}^2} \begin{pmatrix} \frac{s^2}{s - M_S^2} & \frac{s^2}{s - M_S^2} + \frac{t^2}{t - M_S^2} + \frac{u^2}{u - M_S^2} \\ \frac{s^2}{s - M_S^2} + \frac{t^2}{t - M_S^2} + \frac{u^2}{u - M_S^2} & \frac{s^2}{s - M_S^2} \end{pmatrix}$$

$$\sqrt{s} \approx M_S - \frac{e^4 s}{\Lambda_{\gamma}^2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix},$$
(13)

where in the last step we took the high-energy limit. Note that only the *s*-channel survives at high energies.

The projection on the J = 0 partial waves is obtained by applying Eq. (3) and by multiplying by a 1/2 factor which takes into account the presence of identical particles both in the initial and final states. In the high-energy limit we get

$$a^0 \simeq -\frac{e^4 s}{32\pi \Lambda_\gamma^2} \begin{pmatrix} 1 & 0\\ 0 & 1 \end{pmatrix},\tag{14}$$

which, confronted with Eq. (8), leads to the tree-level unitarity bound

$$\sqrt{s} \lesssim \sqrt{16\pi} \frac{\Lambda_{\gamma}}{e^2}.$$
 (15)

As a matter of fact, the bound above can be made stronger if one considers the full $VV \rightarrow V'V'$ scattering matrix, where V and V' are any of the 8 + 3 + 1 (transversely polarized) SM gauge bosons of the effective Lagrangian in Eq. (10). In such a case, the previous calculation is readily generalized in the high-energy limit where only the *s*-channel survives. To this end, we note that a scattering amplitude in the *s*-channel can be written as

$$m_{ij} = \frac{a_i a_j}{s - M_S^2},\tag{16}$$

where a_i and a_j are obtained by cutting any $i \rightarrow j$ diagram in two parts along the *s*-channel propagator. The matrix in Eq. (16) has rank 1 and its non-zero eigenvalue is given by the trace. Hence, denoting by \tilde{a}^0 the eigenvalue of the $VV \rightarrow$ V'V' scattering matrix, in the high-energy limit we get

$$\tilde{a}^{0} \simeq -\frac{s}{32\pi} \left(\frac{8g_{3}^{4}}{\Lambda_{g}^{2}} + \frac{3g_{2}^{4}}{\Lambda_{W}^{2}} + \frac{g_{1}^{4}}{\Lambda_{B}^{2}} \right).$$
(17)

Correspondingly, the tree-level unitarity bound is given by

$$\frac{s}{32\pi} \left(8 \frac{g_s^4}{\Lambda_g^2} + 3 \frac{g_2^4}{\Lambda_W^2} + \frac{g_1^4}{\Lambda_B^2} \right) \lesssim \frac{1}{2}.$$
 (18)

We remark that in deriving these bounds we consider only the transverse polarizations of the *W* and *Z* gauge bosons. Generally, scattering amplitudes involving longitudinally polarized massive vector bosons can grow as positive powers of $E/m_{W,Z}$ implying apparently stronger dependence on *s*. However, as can easily be verified (through an explicit calculation of the processes at hand or more generally via a clever gauge choice [25]), the scattering amplitudes involving longitudinally polarized states sourced by the gauge field strengths in Eq. (10) are *suppressed* by powers of $m_{W,Z}/E$ and thus do not lead to relevant unitarity constraints at high *s*.

In the $v \rightarrow 0$ limit there is just one additional tree-level *s*-channel contribution leading to $2 \rightarrow 2$ scatterings of SM particles from Eq. (10), that is due to the operator

$$\frac{1}{\Lambda_H} S(D_\mu H)^{\dagger} D^\mu H \supset \frac{S}{\Lambda_H} \partial_\mu H_i^{\dagger} \partial^\mu H_i$$
(19)

where we have neglected vertices with 4 or 5 particles and $H^T = (H_1, H_2)$. In the $(|H_1^{\dagger}H_1\rangle, |H_2^{\dagger}H_2\rangle)$ basis, the J = 0 partial wave matrix at $\sqrt{s} \gg M_S$ is found to be

$$a^0 \simeq -\frac{s}{64\pi\Lambda_H^2} \begin{pmatrix} 1 & 1\\ 1 & 1 \end{pmatrix}.$$
 (20)

Imposing the unitarity bound on the highest eigenvalue we get

$$\sqrt{s} \lesssim \sqrt{32\pi} \Lambda_H.$$
 (21)

Note that in the EW broken vacuum the constraint corresponds to scattering of both the physical Higgs bosons and the longitudinally polarized massive EW gauge bosons. Considering thus also $|H_i^{\dagger}H_i\rangle$ as possible initial and final states, Eq. (18) is generalized to

$$\frac{s}{32\pi} \left(8 \frac{g_s^4}{\Lambda_g^2} + 3 \frac{g_2^4}{\Lambda_W^2} + \frac{g_1^4}{\Lambda_B^2} + \frac{1}{2\Lambda_H^2} \right) \lesssim \frac{1}{2}.$$
 (22)

3.2 Fermion-scalar contact interactions

Next we consider the contact interaction

$$-\frac{1}{\Lambda_d} S \,\overline{Q}_L d_R H = \left[-\frac{1}{\Lambda_d} \delta^b_a \delta^j_i \right] S \left(\overline{Q}_L \right)^{ai} (d_R)_b H_j, \quad (23)$$

where we have explicitly factored out the color and $SU(2)_L$ group structure. In this case the leading scattering process is $\overline{Q}d \rightarrow SH$. By explicitly writing the polarization and gauge indices in the amplitude, one finds

$$\mathcal{T} = -\frac{\delta_a^b \delta_i^j}{2\Lambda_d} \overline{v}^s(k) \left(1 + \gamma_5\right) u^r(p).$$
(24)

Only the ++ and -- polarizations survive. By explicit evaluation (cf. Appendix A.2 for the expression of the spinor polarizations) we get

$$\mathcal{T}_{++} = \frac{\delta_a^b \delta_i^j}{\Lambda_d} (E+p^3) \stackrel{\sqrt{s}}{\simeq} \stackrel{M_s}{\simeq} \delta_a^b \delta_i^j \frac{\sqrt{s}}{\Lambda_d}, \tag{25}$$

$$\mathcal{T}_{--} = \frac{\delta_a^b \delta_i^J}{\Lambda_d} (E - p^3) \stackrel{\sqrt{s}}{\simeq} \stackrel{M_S}{\simeq} 0.$$
(26)

At high energies the J = 0 partial wave is obtained by considering the color singlet channel for a state in the linear combination $\frac{1}{\sqrt{2}} (|\overline{Q}d\rangle + |SH\rangle)$, which gives

$$a^0 \simeq \frac{1}{16\pi} \frac{\sqrt{s}}{\Lambda_d}.$$
(27)

Correspondingly, the tree-level unitarity bound reads

$$\sqrt{s} \lesssim 8\pi \Lambda_d.$$
 (28)

Similarly, from the other two contact interactions in the last row of Eq. (10) we get $\sqrt{s} \leq 8\pi \Lambda_u$ and $\sqrt{s} \leq 8\pi \Lambda_e$.

3.3 Unitarity bounds

As an exemplification we consider a scalar resonance *S* with mass M_S and total width Γ_S appearing in a di-photon final state at the LHC.³ Expanding the effective Lagrangian in Eq. (10) around the broken electroweak (EW) vacuum, the part relevant for *S* production at the LHC is

$$\mathcal{L}_{\text{int.}}^{(5)} \supset -\frac{g_3^2}{2\Lambda_g} SG_{\mu\nu}^2 - \frac{e^2}{2\Lambda_\gamma} SF_{\mu\nu}^2 - \sum_q y_{qS} S\overline{q}q, \qquad (29)$$

whose operators give rise to the decay widths

$$\Gamma_{\gamma\gamma} \equiv \Gamma(S \to \gamma\gamma) = \pi \alpha_{\rm EM}^2 \frac{M_S^3}{\Lambda_\gamma^2},\tag{30}$$

$$\Gamma_{gg} \equiv \Gamma(S \to gg) = 8\pi \alpha_s^2 \frac{M_S^3}{\Lambda_g^2},\tag{31}$$

$$\Gamma_{q\overline{q}} \equiv \Gamma(S \to q\overline{q}) = \frac{3}{8\pi} y_{qS}^2 M_S \left(1 - \frac{4m_q^2}{M_S^2}\right)^{3/2}.$$
 (32)

The matching between the operators in Eqs. (29) and (10) then yields

$$\frac{1}{\Lambda_{\gamma}} = \frac{1}{\Lambda_B} + \frac{1}{\Lambda_W}, \qquad y_{qS} = \frac{v}{\sqrt{2}\Lambda_q}.$$
(33)

In the narrow width approximation the prompt *S* production at the LHC can also be fully parametrized in terms of the relevant decay widths,

$$\sigma(pp \to S) = \frac{1}{M_{SS}} \left[\sum_{\mathcal{P}} C_{\mathcal{P}\overline{\mathcal{P}}} \Gamma_{\mathcal{P}\overline{\mathcal{P}}} \right], \tag{34}$$

where \sqrt{s} is the LHC *pp* collision energy and $C_{\mathcal{P}\overline{\mathcal{P}}}$ parametrize the relevant parton luminosities.

For illustration purposes in the following we consider in turn either gg and $\gamma\gamma$ induced processes or alternatively $b\bar{b}$ and $\gamma\gamma$ rates at a benchmark mass of $M_S = 750$ GeV. The remaining possibilities lie in between these two limiting cases considering the values of relevant parton luminosities (their values at $\sqrt{s} = 8$ TeV and 13 TeV LHC can be found e.g. in [12]). In the former case given a 13 TeV cross section $\sigma_{\gamma\gamma} \equiv \sigma (pp \rightarrow S) \mathcal{B}_{\gamma\gamma}$ one obtains the relation

$$\frac{\Gamma_{\gamma\gamma}}{M_S} \frac{\Gamma_{gg}}{M_S} \simeq 1.4 \times 10^{-7} \frac{\sigma_{\gamma\gamma}}{\text{fb}} \frac{\Gamma_S}{M_S},\tag{35}$$

while for the latter we obtain

$$\frac{\Gamma_{\gamma\gamma}}{M_S} \frac{\Gamma_{b\bar{b}}}{M_S} \simeq 2.3 \times 10^{-5} \frac{\sigma_{\gamma\gamma}}{\text{fb}} \frac{\Gamma_S}{M_S}.$$
(36)

These relations define the phenomenological benchmarks for the resonance partial widths into gauge boson and quark final states, to be subjected to constraints from perturbative unitarity.

To make contact with the EFT unitarity discussion of the preceding subsections we use Eqs. (30)–(31) and trade Λ_g , Λ_W and Λ_B for Γ_{gg} , $\Gamma_{\gamma\gamma}$ and the ratio $r \equiv \Lambda_B/\Lambda_W$. In particular, we get

³ Analogous analysis can be performed also for other EW gauge boson final states with the slight complication of disentangling the transverse and longitudinal gauge boson polarizations, as they are sourced by different terms in the EFT Lagrangian ($\Lambda_{B,W}$ and Λ_{H} , respectively).

$$\frac{1}{\Lambda_g^2} = \frac{\Gamma_{gg}}{8\pi\alpha_s^2 M_S^3},\tag{37}$$

$$\frac{1}{\Lambda_W^2} = \frac{\Gamma_{\gamma\gamma}}{\pi \alpha_{\rm EM}^2 M_S^3} \left(\frac{r}{1+r}\right)^2,\tag{38}$$

$$\frac{1}{\Lambda_B^2} = \frac{\Gamma_{\gamma\gamma}}{\pi \alpha_{\rm EM}^2 M_S^3} \left(\frac{1}{1+r}\right)^2,\tag{39}$$

which inserted back into Eq. (18) yield

$$\sqrt{s} \lesssim M_S \left(\frac{\Gamma_{gg}}{M_S} + f(r)\frac{\Gamma_{\gamma\gamma}}{M_S}\right)^{-1/2},$$
(40)

with

$$f(r) = \frac{3r^2 s_W^{-4} + c_W^{-4}}{(1+r)^2}.$$
(41)

Barring the fine-tuned region around r = -1 (corresponding to $1/\Lambda_{\gamma} = 0$), the function f(r) has the global minimum 1.6 for r = 0.030 and reaches asymptotically the maximum 57 for $r \rightarrow \pm \infty$. Hence, we can set the following unitarity bounds:

$$\sqrt{s} \lesssim 32 \, M_S \left(\frac{\Gamma_{gg}/M_S}{10^{-3}}\right)^{-1/2},\tag{42}$$

$$\sqrt{s} \lesssim (13 \div 79) M_S \left(\frac{\Gamma_{\gamma\gamma}/M_S}{10^{-4}}\right)^{-1/2},\tag{43}$$

where the values 13 and 79 in the last equation correspond respectively to the boundary values $r \to \pm \infty$ and 0.030.

Generally, these bounds can be interpreted as the indication of the mass scale of new degrees of freedom UV completing the effective low-energy description and regularizing (unitarizing) the amplitude growth. If *S* is a member of a new strongly coupled sector (i.e. a composite state) [12,26– 36], the above results imply upper bounds on its compositeness scale.⁴ Unfortunately, in this context unless a prospective O(TeV) mass di-boson resonance would have a very large di-boson decay width, the bounds do not appear strong enough to guarantee observable effects at LHC energies and a prospective future 50–100 TeV hadron-hadron collider [37,38] would be called for. On the other hand, in perturbative weakly coupled realizations discussed in the next section, where *S* remains an elementary particle in the UV, its couplings to SM gauge field strengths cannot be generated at the tree level. Thus one expects new dynamics to appear much below the above conservative unitarity estimates.

In the case of quark scattering, we use Eqs. (32) and (33). Thus the bound in Eq. (28) translates into

$$\sqrt{s} \lesssim 2\sqrt{3\pi} v \left(\frac{\Gamma_{q\overline{q}}}{M_S}\right)^{-1/2} \simeq 4.8 \,\mathrm{TeV} \left(\frac{\Gamma_{q\overline{q}}/M_S}{0.1}\right)^{-1/2},$$
(44)

where on the r.h.s. we have normalized the partial width in $q\overline{q}$ to a broad resonance scenario. Contrary to S couplings to SM gauge field strengths, its couplings to SM fermions can easily be realized in weakly coupled renormalizable models already at the tree level. In particular, this requires (a) S mixing with the SM Higgs doublet, (b) embedding S into an EW doublet with the quantum numbers of the SM Higgs, or (c) the introduction of new massive fermions mixing with the SM quarks and/or leptons. Case (a) is constrained by Higgs coupling measurements [23,24]. In both remaining cases, the above result can be interpreted as an upper bound on the mass scale of the extra EW (and color) charged states present in the UV completions. Unfortunately, unless S decay channels to SM quarks induce a sizable width, LHC energies will not necessarily be sufficient to exhaust these possibilities directly within the EFT. One should thus consider explicit UV realizations. In the case (b) which goes beyond the scope of this paper, precision Higgs boson and EW measurements can be used to provide additional handles [39–44]. Case (c) on the other hand, is covered in the next section.

In Fig. 2 we display the scale of unitarity violation Λ_U [TeV] in the $\mathcal{B}_{\gamma\gamma}$ vs. $\sigma_{\gamma\gamma}$ plane, for either gg or $b\overline{b}$ production and assuming either a broad or narrow resonance. In particular, for gg production we have

$$\Lambda_U = M_S \left[\frac{\Gamma_{gg}}{M_S} + f(r) \frac{\Gamma_{\gamma\gamma}}{M_S} \right]^{-1/2}, \tag{45}$$

while for $b\overline{b}$ production

$$\Lambda_U = \min\left\{2\sqrt{3\pi}\upsilon \left(\frac{\Gamma_{b\bar{b}}}{M_S}\right)^{-1/2}, M_S\left[f(r)\frac{\Gamma_{\gamma\gamma}}{M_S}\right]^{-1/2}\right\}.$$
(46)

As reference values we take $M_S = 750 \text{ GeV}$ and f(r) = 30. The horizontal lines from top to bottom indicate a cross section signal of 6, 0.6 and 0.2 fb, assuming the same significance of the signal over the three integrated luminosities $\int \mathcal{L} = 3.2,300 \text{ and } 3000 \text{ fb}^{-1}$. The red curve denotes instead the reference value $\Lambda = 20 \text{ TeV}$, corresponding to the typical squark–gluino reach of a futuristic 100 TeV collider [45], which applies in the case of colored new physics generating

⁴ It is an interesting question whether there could be an UV model where new dynamics shows up only at the scale of the ultimate unitarity violation, as e.g. in Eq. (43). A possibility would be for instance an SU($N_{\rm TC}$) model of vector-like confinement (along the lines of Ref. [35]) with a large $N_{\rm TC}$. Since the anomaly coefficients are enhanced by $N_{\rm TC}$, this would allow to obtain a parametrically large di-boson signal while keeping a relatively high confinement scale $\Lambda_{\rm TC}$. A detailed study of the feasibility of such scenario goes beyond the scope of the present paper.



Fig. 2 Scale of unitarity violation Λ_U in TeV in the $(\mathcal{B}_{\gamma\gamma}, \sigma_{\gamma\gamma})$ plane (cf. Eqs. (45)–(46)). *Upper/lower plots* corresponding to $gg/b\overline{b}$ production, while *left/right plots* to the large/small width scenario. As reference values we assume $M_S = 750 \text{ GeV}$ and f(r) = 30. The *red curve* denotes the new physics scale accessible at a futuristic 100 TeV col-

the effective operators. Hence, if a signal is observed above the red curve it basically means that a 100 TeV collider could potentially probe the physics responsible for the restoration of unitarity. We observe that such low-scale violation of unitarity are more readily obtained in the large width scenario and that, for any given $\sigma_{\gamma\gamma}$ and $\mathcal{B}_{\gamma\gamma}$, unitarity violation sets in earlier for $b\overline{b}$ induced production, compared to gluon fusion processes, due to much smaller PDFs.

4 Weakly coupled models

In this section we consider explicit UV completions of the effective operators of Sect. 2, capturing the main features of several proposed NP models, which have recently appeared in the literature. In particular, we will assume either fermion or scalar mediators⁵ and CP-even couplings (the CP-odd



lider, $\Lambda = 20$ TeV, while the *three horizontal lines* from *top* to *bottom* are three reference cross sections, namely 6, 0.6 and 0.2 fb. The *yellow triangle* on the *top-left* of each figure is the region in parameter space where $\Gamma_S/M_S > 10\%$

case leads to similar conclusions as far as concerns unitarity bounds). Moreover, we restrict ourselves to the cases of $b\bar{b}$, gg and/or $\gamma\gamma$ decays and postulate different sets of fields which separately contribute to the relevant partial widths. Note that as far as perturbativity is concerned, the latter hypothesis leads to conservative bounds. Colored mediators are experimentally much more constrained, and their masses generally need to lie close to or above the TeV scale. On the other hand, much lighter uncolored mediators are still allowed, potentially leading to resonantly enhanced one-loop contributions to radiative *S* decays [47,48].

The first model comprises new fermionic mediators (see e.g. [10]), all singlets under $SU(2)_L$. To this end, we introduce N_Q copies of electromagnetic (EM) neutral vector-like QCD triplets $Q_A \sim (3, 1, 0)$ (with $A = 1, \ldots, N_Q$) as well

⁵ The case of vector mediators has been suggested and analyzed in Ref. [46] within a simplified model. A complete renormalizable UV

Footnote 5 continued

realization of this idea requires a non-trivial extension of the SM gauge sector, subject to many additional theoretical and experimental constraints. For this reason we do not consider such a possibility in our analysis.

as N_E copies of colorless vector-like fermions E_B (with $B = 1, ..., N_E$), with (hyper)charge Y ($E_B \sim (1, 1, Y)$). We assume the theory to be invariant under a $U(N_Q) \otimes U(N_E)$ global symmetry and the di-boson resonance is represented by a real scalar field S. The Lagrangian featuring the new fermions reads

$$\mathcal{L}^{\rm NF} = \overline{Q}_A i \not\!\!D Q_A + \overline{E}_B i \not\!\!D E_B - \left(m_Q \overline{Q}_A Q_A + m_E \overline{E}_B E_B \right) + y_Q S \overline{Q}_A Q_A + y_E S \overline{E}_B E_B - V(S),$$
(47)

where the details of the scalar potential are not needed for our discussion.

The second model we are going to consider involves instead new scalar mediators. In analogy to the previous case, we introduce $N_{\tilde{Q}}$ copies of EM neutral QCD scalar triplets $\tilde{Q}_A \sim (3, 1, 0)$ and $N_{\tilde{E}}$ copies of colorless charged scalars $\tilde{E}_B \sim (1, 1, Y)$, again all singlets under $SU(2)_L$. We also assume the theory to be invariant under a $U(N_{\tilde{Q}}) \otimes U(N_{\tilde{E}})$ global symmetry and the di-boson resonance is represented by a real scalar field S. The Lagrangian featuring the new scalars reads

$$\mathcal{L}^{\rm NS} = |D_{\mu}\tilde{Q}_{A}|^{2} + |D_{\mu}\tilde{E}_{B}|^{2} - \left(m_{\tilde{Q}}\tilde{Q}_{A}^{*}\tilde{Q}_{A} + m_{\tilde{E}}\tilde{E}_{B}^{*}\tilde{E}_{B} + A_{Q}S\tilde{Q}_{A}^{*}\tilde{Q}_{A} + A_{E}S\tilde{E}_{B}^{*}\tilde{E}_{B}\right) + \dots,$$
(48)

where the ellipses stand for additional terms in the scalar potential which are irrelevant for our discussion.

Focusing on the CP-even couplings, the contributions to $\Gamma_{\gamma\gamma}$ and Γ_{gg} can now be written as [12]

$$\frac{\Gamma_{\gamma\gamma}}{M_{S}} = \frac{\alpha_{\rm EM}^{2}}{16\pi^{3}} \left| N_{E} Q_{E}^{2} y_{E} \sqrt{\tau_{E}} \mathcal{S}(\tau_{E}) + N_{\tilde{E}} Q_{\tilde{E}}^{2} \frac{A_{E}}{2M_{S}} \mathcal{F}(\tau_{\tilde{E}}) \right|^{2}, \tag{49}$$

$$\frac{\Gamma_{gg}}{M_{S}} = \frac{\alpha_{s}^{2}}{2\pi^{3}} \left| N_{Q} I_{Q} y_{Q} \sqrt{\tau_{Q}} \mathcal{S}(\tau_{Q}) + N_{\tilde{Q}} I_{\tilde{Q}} \frac{A_{Q}}{2M_{S}} \mathcal{F}(\tau_{\tilde{Q}}) \right|^{2}, \tag{50}$$

where $\tau_i = 4m_i^2/M_S^2$ (for $i = E, \tilde{E}, Q, \tilde{Q}$), $I_Q = I_{\tilde{Q}} = 1/2$ is the index of the QCD representation, while $Q_{E(\tilde{E})}$ is the EM charge of $E(\tilde{E})$. The loop functions read

$$S(\tau) = 1 + (1 - \tau) \arctan^2(1/\sqrt{\tau - 1}),$$
 (51)

$$\mathcal{F}(\tau) = \tau \arctan^2(1/\sqrt{\tau - 1}) - 1.$$
(52)

In particular, in the limit of heavy particles $(\tau \rightarrow \infty)$, they decouple as $S(\tau) \simeq 2/(3\tau)$ and $\mathcal{F}(\tau) \simeq 1/(3\tau)$. As a reference value we fix $M_S = 750$ GeV, $\alpha_s(M_S/2) = 0.1$, $\alpha_{\rm EM} = 1/137$ and set the masses of the mediators close

to the current experimental bounds from direct searches,⁶ $m_{E,\tilde{E}} = 400 \,\text{GeV}$ and $m_{Q,\tilde{Q}} = 1 \,\text{TeV}$, thus getting

$$\frac{\Gamma_{\gamma\gamma}^{\rm NF}}{M_S} = 7.8 \times 10^{-8} N_E^2 Q_E^4 y_E^2, \\ \times \frac{\Gamma_{gg}^{\rm NF}}{M_S} = 2.7 \times 10^{-6} N_Q^2 y_Q^2$$
(53)

$$\frac{\Gamma_{YY}^{NS}}{M_S} = 1.2 \times 10^{-8} N_{\tilde{E}}^2 Q_{\tilde{E}}^4 \left(\frac{A_E}{750 \text{ GeV}}\right)^2, \\ \times \frac{\Gamma_{gg}^{NS}}{M_S} = 2.6 \times 10^{-8} N_{\tilde{Q}}^2 \left(\frac{A_Q}{750 \text{ GeV}}\right)^2, \quad (54)$$

where we have separately considered the cases of new fermions and scalars. For heavier mediator masses the rates decouple as powers of $1/\tau_i = M_S^2/(4m_i^2)$ and thus even larger couplings are required. For this reason, perturbativity bounds extracted using Eqs. (53)–(54) are understood to be conservative.

Finally, we also consider a special case of the fermionic model, where at least one colored fermionic mediator has the SM gauge quantum numbers of the down-like right-handed SM quarks $\mathcal{B} \sim (3, 1, -1/3)$ and mixes with the *b*-quark, in turn inducing $S\overline{b}b$ interactions.⁷ The relevant $b - \mathcal{B}$ mixing Lagrangian is

where $Q_3 = (t_L, b_L)$, we have used reparametrization invariance to rotate away a possible $\overline{B}b_R$ mass-mixing term, and have also neglected small CKM induced mixing terms with the first two SM generations. In the following we assume all couplings to be real in accordance with the CP-even nature of *S*. After EW symmetry breaking, the physical eigenstates B'and b' are then given in terms of the above weak eigenstates as

$$\begin{pmatrix} b'_{L,R} \\ \mathcal{B}'_{L,R} \end{pmatrix} = \begin{pmatrix} \cos\theta_{\mathcal{B}b}^{L,R} & \sin\theta_{\mathcal{B}b}^{L,R} \\ -\sin\theta_{\mathcal{B}b}^{L,R} & \cos\theta_{\mathcal{B}b}^{L,R} \end{pmatrix} \begin{pmatrix} b_{L,R} \\ \mathcal{B}_{L,R} \end{pmatrix}, \quad (56)$$

⁶ Stable charged leptons must be heavier than about 400 GeV in order to avoid excessive Drell–Yan production [49,50], while the bounds on long-lived colored particles are more model dependent due to non-perturbative QCD uncertainties and typically range from few hundreds of GeV to 1 TeV [51,52].

⁷ Analogous cases for vector-like fermions mixing with other quark flavors can easily be derived using the results of [53].

where

$$\tan 2\theta_{\mathcal{B}b}^{L} = \frac{\sqrt{2}vy_{\mathcal{B}}M_{\mathcal{B}}}{M_{\mathcal{B}}^{2} - \left[y_{b}^{2} + y_{\mathcal{B}}^{2}\right]v^{2}/2},$$
(57)

$$\tan 2\theta_{\mathcal{B}b}^{R} = \frac{v^{2} y_{b} y_{\mathcal{B}}}{M_{\mathcal{B}}^{2} - \left[y_{b}^{2} - y_{\mathcal{B}}^{2}\right] v^{2}/2},$$
(58)

and the masses are related via

$$m_b m_{\mathcal{B}} = M_{\mathcal{B}} y_b \frac{v}{\sqrt{2}}, \qquad m_b^2 + m_{\mathcal{B}}^2 = M_{\mathcal{B}}^2 + \frac{v^2}{2} \left[y_b^2 + y_{\mathcal{B}b}^2 \right].$$
(59)

In this basis, the S interactions with b' and \mathcal{B}' are

$$-\mathcal{L}^{\mathcal{B}-b} \ni S\left[\overline{\mathcal{B}'}\mathcal{B}'\cos\theta_{\mathcal{B}b}^{L}(\cos\theta_{\mathcal{B}b}^{R}\tilde{y}_{\mathcal{B}} - \sin\theta_{\mathcal{B}b}^{R}\tilde{y}_{b}) + \overline{b}'b'\sin\theta_{\mathcal{B}b}^{L}(\sin\theta_{\mathcal{B}b}^{R}\tilde{y}_{\mathcal{B}} + \cos\theta_{\mathcal{B}b}^{R}\tilde{y}_{b}) + \overline{\mathcal{B}'_{R}}b'_{L}\sin\theta_{\mathcal{B}b}^{L}(\cos\theta_{\mathcal{B}b}^{R}\tilde{y}_{\mathcal{B}} - \sin\theta_{\mathcal{B}b}^{R}\tilde{y}_{b}) + \overline{\mathcal{B}'_{L}}b'_{R}\cos\theta_{\mathcal{B}b}^{L}(\sin\theta_{\mathcal{B}b}^{R}\tilde{y}_{\mathcal{B}} + \cos\theta_{\mathcal{B}b}^{R}\tilde{y}_{b}) + \mathrm{h.c.}\right].$$
(60)

The $\theta_{\mathcal{B}b}^L$ mixing angle is constrained by EW precision measurements to $\sin \theta_{\mathcal{B}b}^L = 0.05(4)$ [53], while $\theta_{\mathcal{B}b}^R$ is parametrically further suppressed as $\theta_{\mathcal{B}b}^R \sim (m_b/m_{\mathcal{B}})\theta_{\mathcal{B}b}^L$. The $S \rightarrow b\bar{b}$ decay width can thus be written compactly as

$$\frac{\Gamma_{b\overline{b}}}{M_S} = \frac{3}{8\pi} \sin^2 \theta_{\mathcal{B}b}^L \tilde{y}_b^2 = 3 \times 10^{-4} \left(\frac{\sin \theta_{\mathcal{B}b}^L}{0.05}\right)^2 \tilde{y}_b^2, \quad (61)$$

up to terms suppressed as $m_b^2 / \{M_S^2, m_B^2\}$. Note that contrary to the loop induced decay modes, $\Gamma_{b\bar{b}}$ does not explicitly depend on the mediator mass. On the other hand, its implicit dependence through $\theta_{Bb}^L \sim v/m_B$ is well constrained experimentally. The resulting unitarity constraints based on Eq. (61) and saturating the upper bound on θ_{Bb}^L can thus again be considered as conservative.

4.1 Single fermion case

Let us first consider a simplified model featuring a real scalar singlet *S* and a non-colored Dirac fermion ψ , with the interaction Lagrangian

$$\mathcal{L}_I \supset -yS\overline{\psi}\psi. \tag{62}$$

We denote the masses of *S* and ψ , respectively as M_S and m_{ψ} . Focusing on the J = 0 sector, the most relevant scattering amplitude is given by $\psi \overline{\psi} \rightarrow \psi \overline{\psi}$ (cf. Appendix A.2). In particular, the matrix of scattering amplitudes in the (++, --) helicity basis⁸ is found to be

$$\mathcal{T} = -y^2 \begin{pmatrix} \frac{4(p^3)^2}{s - M_S^2} + \frac{-4m^2 \cos^2 \frac{\theta}{2}}{t - M_S^2} & \frac{4(p^3)^2}{s - M_S^2} + \frac{4E^2 \cos^2 \frac{\theta}{2}}{t - M_S^2} \\ \frac{4(p^3)^2}{s - M_S^2} + \frac{4E^2 \cos^2 \frac{\theta}{2}}{t - M_S^2} & \frac{4(p^3)^2}{s - M_S^2} + \frac{-4m^2 \cos^2 \frac{\theta}{2}}{t - M_S^2} \end{pmatrix}$$

$$\sqrt{s} \gg \frac{M_S}{\simeq} -y^2 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \tag{63}$$

where in the last step we took the high-energy limit. The projection on the J = 0 partial waves is readily obtained by applying Eq. (3). We report here the expression in the high-energy limit (for the full expression see Eqs. (117)–(118) in Appendix A.2):

$$a^0 \simeq -\frac{y^2}{16\pi} \begin{pmatrix} 1 & 0\\ 0 & 1 \end{pmatrix},\tag{64}$$

which, confronted with Eq. (8), yields the tree-level unitarity bound

$$y \lesssim \sqrt{8\pi}$$
. (65)

The behavior of $|\text{Re } a^0_{++++}|$ and $|\text{Re } a^0_{++--}|$ with the full kinematical dependence is displayed in Fig. 3, for the reference values $M_S = 750 \text{ GeV}$, $m_{\psi} = 400 \text{ GeV}$ and $y = \sqrt{8\pi}$.

A remarkable feature emerging from Fig. 3 is that, for e.g. the asymptotic value $y = \sqrt{8\pi}$, tree-level unitarity is violated already at scales not far from the resonance at $M_S = 750$ GeV. In particular (cf. left panel in Fig. 3), the *t*-channel contribution in $|\text{Re} a_{++++}^0|$ has a non-negligible effect at low energy, so that the maximal violation of unitarity turns out to be at scales not far from threshold. Conversely (cf. right panel in Fig. 3), the *s*- and *t*-channel tend to cancel each other in $|\text{Re} a_{++--}^0|$. Hence, due to the subleading contribution of the $|\text{Re} a_{++--}^0|$ partial wave in all the relevant kinematical region, the highest eigenvalue of $|\text{Re} a^0|$ is always dominated by $|\text{Re} a_{++++}^0|$. In Fig. 4 we show the tree-level unitarity bound in the approximation where it is dominated by $|\text{Re} a_{++++}^0|$, for the three reference values $m_{\psi} = 250, 400$ and 1000 GeV.

The above discussion prompts us to investigate resonance width effects, which can also become important very close to the scattering poles and effectively regulate the formally diverging tree-level amplitudes. Since such effects necessarily go beyond the tree-level approximation (they can be viewed as the absorptive part of the resummed self-energy contributions of S), we do not attempt to include them explicitly.⁹ Instead we superimpose contours of constant

⁸ +- and -+ have zero projection on the J = 0 sector.

⁹ For a different approach see Refs. [54,55].





Fig. 3 Full kinematical dependence of $|\text{Re } a^0_{++++}|$ (*left panel*) and $|\text{Re } a^0_{++--}|$ (*right panel*), for the reference values $M_S = 750 \text{ GeV}$, $m_{\psi} = 400 \text{ GeV}$ and $y = \sqrt{8\pi}$. Dashed, dotted and full (red) lines

represent, respectively, *s*-, *t*-channel and full contribution to the partial wave. Asymptotically, for $\sqrt{s} \gg M_S$, m_{ψ} , the values $|\text{Re } a^0_{++++}| \simeq \frac{1}{2}$ and $|\text{Re } a^0_{+++-}| \simeq 0$ are reached



Fig. 4 Saturation of the tree-level unitarity bound, $|\text{Re } a_{++++}^0| = 1/2$, in the (\sqrt{s}, y) plane for $M_S = 750 \text{ GeV}$ and the three reference values $m_{\psi} = 250$, 400 and 1000 GeV. *Dashed, dotted* and *full (red) lines* denote respectively the *s*-, *t*-channel and full contribution to the partial wave. The *light-green shaded area* in the *first plot* corresponds to the

region where $\Gamma_S/M_S > 10\%$, while the *gray-level vertical bands* are contours of possible finite width effects defined in Eq. (66) with $\alpha = 3$, 4, 5. The *dashed (black) horizontal line* indicates the asymptotic value $y = \sqrt{8\pi} \simeq 5$, while the full (*black*) line is the perturbativity bound obtained from the RGE criterion $\beta_y/y < 1$ (cf. Eq. (68))

s (in shades of gray) where the (on-shell) width effects, parametrized as¹⁰

$$\alpha = \frac{|s - M_S^2|}{\Gamma_S M_S},\tag{66}$$

are expected to become important. Unitarity constraints derived in such regions cannot be considered meaningful. The parameter α in Eq. (66) can be viewed as a measure of the relative error Δ introduced by using the tree-level propagator in the squared amplitude instead of one corrected in a Breit–Wigner approximation. In particular, we have $\alpha = \sqrt{1/\Delta - 1}$. So, for example, $\alpha = 3$ corresponds to $\Delta = 10\%$. For concreteness we fix $\Gamma_S/M_S = 0.10$. Note that due to the scaling of Eq. (66), smaller *S* decay widths

can only lead to more stringent constraints (derived closer to the resonance poles). The bounds derived in this way can thus be considered conservative.

For $m_{\psi} = 250 \,\text{GeV}$, S can directly decay into $\psi \overline{\psi}$, thus giving the following contribution to the total decay rate:

$$\Gamma_S = \frac{y^2}{8\pi} M_S \left(1 - \frac{4m_{\psi}^2}{M_S} \right)^{3/2}.$$
 (67)

In fact the requirement $\Gamma_S/M_S < 10\%$ is always more constraining than the tree-level unitarity bound whenever the *s*-pole resonance is above threshold, $M_S > 2m_{\psi}$ (cf. shaded light-green region in the first plot of Fig. 4). On the other hand, for cases where the *s*-pole resonance is below threshold, tree-level unitarity is violated (for e.g. the asymptotic value $y = \sqrt{8\pi} \simeq 5$) above 1.2 TeV (for $m_{\psi} = 400 \text{ GeV}$) and 2.2 TeV (for $m_{\psi} = 1000 \text{ GeV}$). Importantly in these

¹⁰ For a similar approach see Refs. [21,56,57].



Fig. 5 Full kinematical dependence of $|\text{Re} a_{\phi\phi^* \to \phi\phi^*}^0|$, for the reference values $M_S = 750 \text{ GeV}$, $m_{\phi} = 400 \text{ GeV}$ and $A/M_S = 5$ (*left panel*). Same for $m_{\phi} = 1000 \text{ GeV}$ and $A/M_S = 10$ (*right panel*). Dashed, dotted and full (red) lines represent, respectively, *s*-,

cases, both energies lie safely away from the region where resonance width effects can become relevant.

It is interesting to compare the tree-level unitarity bounds in Fig. 4 with those obtained via the RGE criterion [10]

$$\frac{\beta_y}{y} = \frac{5y^2}{16\pi^2} < 1.$$
(68)

The latter agrees up to an $\mathcal{O}(1)$ factor with the bound based on tree-level unitarity in the asymptotic high-energy regime $y < \sqrt{8\pi}$.

Finally we note that in addition to $\psi\overline{\psi}$ scattering, in bounding tree-level unitarity within the fermionic mediator model one can also consider other elastic channels, such as ψS or $\psi\psi$. It turns out, however, that the corresponding J = 0 partial wave amplitudes vanish in the $\sqrt{s} \rightarrow \infty$ limit and also do not receive possible enhancements due to nearby *s*-channel resonance poles, thus leading to no additional constraints.

4.2 Single scalar case

Let us next consider the scalar resonance S interacting with a complex scalar field ϕ via

$$\mathcal{L}_I \supset -AS\phi^*\phi,\tag{69}$$

where *A* is a massive coupling and the masses of *S* and ϕ are denoted as M_S and m_{ϕ} , respectively. The amplitude for the $\phi\phi^* \rightarrow \phi\phi^*$ scattering reads

$$\mathcal{T}_{\phi\phi^*\to\phi\phi^*} = -A^2 \left(\frac{1}{s - M_S^2} + \frac{1}{t - M_S^2} \right).$$
(70)



t-channel and the full contribution to the partial wave. Asymptotically, for $\sqrt{s} \gg M_S$, m_{ϕ} , $|\text{Re } a^0_{\phi\phi^* \to \phi\phi^*}|$ approaches zero for any value of the coupling *A*

Correspondingly, the J = 0 partial wave is found to be

$$a^{0}_{\phi\phi^{*}\to\phi\phi^{*}} = -A^{2} \frac{\sqrt{s(s-4m_{\phi}^{2})}}{16\pi s} \times \left(\frac{1}{s-M_{S}^{2}} - \frac{\log\frac{s-4m_{\phi}^{2}+M_{S}^{2}}{M_{S}^{2}}}{s-4m_{\phi}^{2}}\right), \quad (71)$$

whose behavior is shown in the left (right) panel of Fig. 5 for the reference values $M_S = 750 \text{ GeV}$, $m_{\phi} = 400 \text{ GeV}$ (1000 GeV) and $A/M_S = 5$ (10).

Note that, differently from the fermion mediators' case, the unitarity bound is never relevant in the high-energy regime $\sqrt{s} \gg M_S$, m_{ϕ} . Such situation is expected since the scalar interaction in Eq. (69) is in the form of a relevant operator, whose tree-level contribution to a^0 vanishes as 1/s in the $s \rightarrow \infty$ limit. Thus tree-level unitarity in this case cannot be used to bound the validity of the leading order perturbative description at high energies. It can nonetheless identify problematic kinematical regions in vicinity of scattering poles.

Figure 6 shows the unitarity bound for the three reference values $m_{\phi} = 250,400$ and 1000 GeV.

For $m_{\phi} = 250 \,\text{GeV}$, the $S \rightarrow \phi \phi^*$ decay channel contributes to the total width of S via

$$\Gamma_{S} = \frac{1}{16\pi} \frac{A^{2}}{M_{S}} \sqrt{1 - \frac{4m_{\phi}^{2}}{M_{S}^{2}}}.$$
(72)

Analogously to the fermionic case, whenever the *s*-pole resonance is above threshold, $M_S > 2m_{\phi}$, the requirement $\Gamma_S/M_S < 10\%$ is always more constraining than the tree-level unitarity bound (cf. light-green shaded area in the first plot of Fig. 6). Below threshold, the issue of the *s*-pole resonance width is treated in a similar way as for the fermionic case, by identifying and avoiding kinematical regions in \sqrt{s}



Fig. 6 Saturation of the tree-level unitarity bound, $|\text{Re } a_{\phi\phi^* \rightarrow \phi\phi^*}^0| = 1/2$, in the $(\sqrt{s}, A/M_S)$ plane for $M_S = 750 \text{ GeV}$ and the three reference values $m_{\phi} = 250$, 400 and 1000 GeV. *Dashed, dotted* and *full (red) lines* represent, respectively, *s*-, *t*-channel and the full contribution to the partial wave. The *light-green shaded area* in the *first plot*

corresponds to the region where $\Gamma_S/M_S > 10\%$, while the *gray-level vertical bands* are the cuts due to finite width effects defined in Eq. (66) with $\alpha = 3, 4, 5$. The *full (black) line* is the perturbativity bound obtained from the finite trilinear vertex correction $\Delta A/A < 1$ (cf. Eq. (73))

via Eq. (66) where finite width effects can become important. For $m_{\phi} = 400$ (1000) GeV, tree-level unitarity is then violated for values of $A/M_S \gtrsim 6.6$ (11), at scales of $\sqrt{s} \simeq 920$ GeV (2.2 TeV).

Comparing the above tree-level unitarity bound with a complementary perturbativity criterion, we notice that in this case the RGEs cannot be used since, *A* being associated to a relevant operator, by dimensional reasons it cannot enter its beta function alone. However, *A* does give a finite perturbative correction to the trilinear scalar vertex $S\phi\phi^*$. By evaluating the one-loop correction at zero external momentum we find

$$\Delta A = \frac{1}{16\pi^2} \frac{A^3}{m_{\phi}^2 - M_S^2} \left(1 + \frac{M_S^2 \log \frac{M_S^2}{m_{\phi}^2}}{m_{\phi}^2 - M_S^2} \right).$$
(73)

In the $m_{\phi} \gg M_S$ limit we have

$$\Delta A = \frac{1}{16\pi^2} \frac{A^3}{m_{\phi}^2} + \mathcal{O}\left(\frac{M_S}{m_{\phi}}\right)^2,\tag{74}$$

while for $M_S \gg m_{\phi}$

$$\Delta A = \frac{1}{16\pi^2} \frac{A^3}{M_S^2} \left(1 + \log \frac{m_\phi^2}{M_S^2} \right) + \mathcal{O}\left(\frac{m_\phi}{M_S}\right)^2.$$
(75)

We can hence define a perturbativity criterion via the relation $\Delta A/A < 1$. In any of the two limits above, the bound $\Delta A/A < 1$ is approximately given by¹¹

$$\frac{A}{\max\left\{m_{\phi}, M_{S}\right\}} < 4\pi,\tag{76}$$

which agrees within an $\mathcal{O}(1)$ factor with the bound based on tree-level unitarity (cf. also Fig. 6).

We also note that a conceptually different bound could be inferred by requiring that A does not destabilize too much the d = 2 operators.¹² For instance, by inspecting the beta function of M_S^2 (see e.g. [59])

$$\beta_{M_S^2} = \frac{A^2}{8\pi^2},\tag{77}$$

we might require $\beta_{M_S^2}/M_S^2 = A^2/8\pi^2 < 1$, which yields a bound very similar to that in Eq. (76). On the other hand, an interesting feature of the mass-hierarchy bound is that, unlike the one obtained via the finite vertex correction, it gets enhanced by the multiplicity *N* of fields ϕ coupling to *S*, via the replacement $A^2 \rightarrow NA^2$.

Finally, in addition to the $\phi \phi^*$ channel, one could also consider the ϕS or $\phi \phi$ scatterings. However, for reasons similar to the fermionic case, these processes do not lead to additional constraints and we do not discuss them any longer.

4.3 Generalization in flavor space

The results of the previous two subsections can be readily generalized to the case of N copies of the mediators. The

¹¹ A similar estimate of the onset of the non-pertubative regime, based on naive dimensional analysis, has been suggested in [58].

¹² This is essentially a hierarchy problem, not related to perturbativity.

same conclusions apply for fermion and scalar mediators, but for definiteness we are going to explicitly discuss them for fermions only. To this end, let us consider N copies of fermion fields, ψ_i (i = 1, ..., N), interacting via the Lagrangian term

$$\mathcal{L}_I \supset -y_{ij} S \overline{\psi}_i \psi_j, \tag{78}$$

where y_{ij} is understood in the mass basis. Let us assume then some flavor structures for y_{ij} and study the corresponding form of the unitarity bound:

1. $y_{ij} = y \ (\forall i \text{ and } j)$. In such a case the amplitude matrix in Eq. (63) gets generalized into

$$\mathcal{T} \otimes J_N,$$
 (79)

where \otimes denotes Kronecker product and J_N is the *N*-dimensional matrix made all by 1's. Since the only nonzero eigenvalue of J_N is equal to *N* (recall that J_N is a rank-1 matrix), all the results of the previous section are readily generalized by the replacement $y \rightarrow \sqrt{N}y$.

2. $y_{ij} = y\delta_{ij}$ This case corresponds to the weakly coupled models discussed at the beginning of Sect. 4. The Lagrangian features an extra U(N) global symmetry which can be conveniently used to label the irreducible sectors of the $\psi\overline{\psi} \rightarrow \psi\overline{\psi}$ scattering amplitudes. Since $N \otimes \overline{N} = \mathbf{1} \oplus \operatorname{Adj}_N$, a general two-particle state $|\psi_i\overline{\psi}_j\rangle$ can be decomposed into a singlet channel

$$|\psi\overline{\psi}\rangle_{\mathbf{1}} = \frac{1}{\sqrt{N}} \sum_{i} |\psi_{i}\overline{\psi}_{i}\rangle, \tag{80}$$

and an adjoint one

$$|\psi\overline{\psi}\rangle_{\rm Adj}^{A} = T_{ij}^{A}|\psi_{i}\overline{\psi}_{i}\rangle, \tag{81}$$

where T^A , with $A = 1, ..., N^2 - 1$, are SU(N) generators in the fundamental representation (in the normalization Tr $T^A T^B = \delta^{AB}$) and we properly took into account the normalization of the states.

Due to the specific flavor structure, $y_{ij} = y \delta_{ij}$, one has

$$\langle \psi_k \overline{\psi}_l | S | \psi_i \overline{\psi}_j \rangle = i \mathcal{T}_s \,\delta_{ij} \delta_{kl} + i \mathcal{T}_l \,\delta_{ik} \delta_{jl}, \tag{82}$$

where T_s and T_t denote respectively the *s*- and *t*-channel contribution to the scattering amplitudes in Eq. (63). Let us hence discuss in turn the non-zero scattering amplitudes. For the singlet-singlet channel one finds



Fig. 7 Tree-level unitarity bound in the (\sqrt{s}, \sqrt{Ny}) plane for the reference values $M_S = 750 \text{ GeV}$ and $m_{\psi} = 400 \text{ GeV}$. The *dashed (red) line* denotes the *s*-channel contribution (independent from *N* in this normalization). The *full (red) lines*, labeled by the value of N = 1, 2, 3, 4, denote instead the full contribution. The value $y = \sqrt{8\pi} \simeq 5$, indicated by the *dashed (black) horizontal line*, is reached asymptotically

$$\mathbf{1} \langle \psi \overline{\psi} | S | \psi \overline{\psi} \rangle_{\mathbf{1}} = \frac{1}{N} \sum_{ik} \langle \psi_k \overline{\psi}_k | S | \psi_i \overline{\psi}_i \rangle$$
$$= \frac{1}{N} \sum_{ik} (i \mathcal{T}_s \, \delta_{ii} \delta_{kk} + i \mathcal{T}_t \, \delta_{ik} \delta_{ik})$$
$$= i \mathcal{T}_s \, N + i \mathcal{T}_t. \tag{83}$$

In the asymptotic limit, $\sqrt{s} \gg M_S$, m_{ψ} , the *t*-channel decouples and one recovers the same multiplicity suppression in the unitarity bound, $\sqrt{Ny} \le \sqrt{8\pi}$, as in case 1. The results in the low-energy region are instead displayed in Fig. 7, which shows the tree-level unitarity bound in the (\sqrt{s}, \sqrt{Ny}) plane, for different values of N. Notice that, in this normalization, the *s*-channel contribution is not affected by N, while the *t*-channel contribution is suppressed like 1/N (cf. Eq. (83)). Hence, for large enough N the unitarity bound coincides with the *s*-channel one and becomes relevant only in the asymptotic region $\sqrt{s} \gg M_S$, m_{ψ} .

The other non-zero scattering amplitude is the adjointadjoint one, which is found to be

Hence, we conclude that the adjoint-adjoint scattering is phenomenologically less relevant: only the subleading *t*-channel contributes, without the high-multiplicity enhancement. 3. $y_{ij} = y_i \delta_{ij}$ This is the most general case relevant for a di-boson resonance, for which the mediators' couplings enter the partial width $\Gamma_{\gamma\gamma}$ as $|\sum_i y_i|^2$. On the other hand, the unitarity bound on the $2 \rightarrow 2$ scatterings applies to the combination $\sum_i |y_i|^2$. Hence, at fixed value of $|\sum_i y_i|^2$, the sum that enters in the amplitude for the $2 \rightarrow 2$ scattering is minimized when $y_i = y$ ($\forall i$). In this way the bound from unitarity is minimized too.

Finally, we briefly discuss the case where the mediators carry extra gauge quantum numbers, as e.g. color. This exactly matches the identity-y scenario and thus all the previous results carry over. In particular, given an N_R -dimensional irreducible representation of the gauge group, the state corresponding to the gauge singlet combination always features an N_R enhancement in the *s*-channel.

4.4 Application to mediator models

We are now ready to discuss the implication of the unitarity bounds on the required partial widths needed to reproduce any given $\gamma\gamma$ signal at the LHC. In particular, in the case of gg-initiated production processes (at $M_S = 750 \text{ GeV}$) the constraints to be fulfilled are the following:

• Fermion mediators (model in Eq. (47)):

$$N_E y_E^2 < 8\pi, \tag{85}$$

$$3N_Q y_Q^2 < 8\pi, \tag{86}$$

$$N_{E}^{2} N_{Q}^{2} y_{E}^{2} y_{Q}^{2} Q_{E}^{4} = 6.6 \times 10^{4} \left(\frac{\sigma_{\gamma\gamma}}{\text{fb}}\right) \left(\frac{\Gamma_{S}/M_{S}}{0.1}\right).$$
(87)

The flavor and color enhancement of the bounds in Eqs. (85), (86) hold in the asymptotic region $\sqrt{s} \gg M_S$, $m_{E,Q}$, where the partial wave is *s*-channel dominated, while in deriving Eq. (87) we used Eqs. (35) and (53).

• Scalar mediators (model in Eq. (48)):

$$N_{\tilde{E}} \left(\frac{A_E}{M_S}\right)^2 < 25,\tag{88}$$

$$3N_{\tilde{Q}}\left(\frac{A_{Q}}{M_{S}}\right)^{2} < 400, \tag{89}$$

$$N_{\tilde{E}}^{2} N_{\tilde{Q}}^{2} \left(\frac{A_{E}}{M_{S}}\right)^{2} \left(\frac{A_{Q}}{M_{S}}\right)^{2} Q_{\tilde{E}}^{4} = 4.5$$
$$\times 10^{7} \left(\frac{\sigma_{\gamma\gamma}}{\text{fb}}\right) \left(\frac{\Gamma_{S}/M_{S}}{0.1}\right). \tag{90}$$

The values in Eqs. (88) and (89) refer to the *s*-channel bounds of Fig. 6, for which the flavor and color enhancement apply, while in deriving Eq. (90) we have used

Eqs. (35) and (54). On the other hand, the following constraints (obtained by looking at the full partial wave amplitude in Fig. 6):

$$\left(\frac{A_E}{M_S}\right)^2 < 44, \qquad \left(\frac{A_Q}{M_S}\right)^2 < 120,$$
(91)

hold irrespectively of the flavor and color copies. Note that the bounds on A_Q are weaker than on A_E because the partial wave amplitudes are decreasing fast for heavy mediators (away from the poles). Thus, contrary to the fermionic case, unitarity bounds on these scalar couplings crucially depend on the assumed mediator masses. Nevertheless, the bounds cannot be circumvented by decoupling the mediator masses (for fixed M_S) since the decoupling of the partial rates in Eqs. (49)–(50) is faster than that of the partial wave amplitude (cf. Eq. (71)).

In the case of fermion mediators we have five parameters (y_E , y_Q , N_E , N_Q and Q_E) entering the expression in Eq. (87) corresponding to a particular di-photon signal strength. Hence, a possible way to display the tree-level unitarity bounds in Eqs. (85)–(86) is to choose a value of Q_E and fix $y_Q = y_E$. Figure 8 (upper side plots) displays iso-curves reproducing the benchmark signal of $\sigma_{\gamma\gamma} = 1$ fb and $\Gamma_S/M_S = 0.1$ in the N_Q vs. N_E plane and the associated perturbativity bounds for different values of Q_E . A very similar discussion applies to the case of scalar mediators (cf. lower side plots).

As it emerges from Fig. 8, the only possibilities to accommodate the benchmark di-photon signal within weakly coupled models are either via exotically large EM charges¹³ and/or a very large number of mediators' copies. These two latter options are also bounded by usual RGE arguments, which, however, are not sufficient to exclude such possibilities (see e.g. [10]).

We finally discuss the case of the model in Eq. (55) where the production of *S* is due to $b\overline{b}$ -initiated processes. Using Eqs. (36) and (61) we obtain

$$\left(\frac{\sin\theta_{\mathcal{B}b}^{L}}{0.05}\right)^{2}\tilde{y}_{b}^{2} = 77\left(\frac{\sigma_{\gamma\gamma}}{\text{fb}}\right)\left(\frac{\Gamma_{S}/M_{S}}{0.1}\right)\left(\frac{\Gamma_{\gamma\gamma}/M_{S}}{10^{-4}}\right)^{-1},$$
(92)

to be confronted with the tree-level unitarity bound

$$\tilde{y}_b^2 < \frac{8\pi}{3},\tag{93}$$

¹³ To this end, it would be relevant to consider scattering amplitudes providing unitarity constraints on the EM charge of the colorless mediators, e.g. via hypercharge-mediated scatterings. However, unitarity arguments cannot be straightforwardly applied in the presence of longrange forces, since the amplitudes are plagued by IR singularities (cf. the case of Bhabha scattering in the forward region [60]).



Fig. 8 Contours of constant Yukawa couplings $y_Q = y_E$ in the N_Q vs. N_E plane (*upper side plots*) and constant scalar trilinears $A_Q/M_S = A_E/M_S$ in the $N_{\tilde{Q}}$ vs. $N_{\tilde{E}}$ plane (*lower side plots*) for parameter points predicting a $\sigma_{\gamma\gamma} = 1$ fb di-photon resonance with $M_S = 750 \text{ GeV}$ and $\Gamma_S/M_S = 0.1$ (cf. Eqs. (87) and (90)). The differ-

ent cases are associated to values of the EM charge of Q_E and $Q_{\tilde{E}}$ from 1 to 3, while the exclusion regions correspond to the tree-level unitarity bounds in Eqs. (85)–(86) (*upper side plots*) and Eqs. (88)–(89) (*lower side plots*)

where we also took into account the color enhancement of the *s*-channel. In this case, the perturbative unitarity constraint is very severe (see Fig. 9). In particular for our benchmark it excludes the possibility for $S \rightarrow b\overline{b}$ decays to saturate a large decay width.

5 Conclusions

Perturbative unitarity is a powerful theoretical tool for inferring the range of validity of a given EFT, with notable examples of applications both in the physics of strong and electroweak interactions. The continued interest in di-boson resonances at the LHC motivated us to investigate the implications of partial wave unitarity for the theoretical description of such possible signals both in the minimal EFT extension of the SM as well as in its renormalizable UV completions.



Fig. 9 Contours of constant $\Gamma_{b\bar{b}}/M_S$ in the $(\sin \theta_{Bb}^L, \tilde{y}_b)$ plane. The values of $\Gamma_{b\bar{b}}/M_S$ are varied between 0.1 and 0.001. The *vertical (gray)* band denotes the 1- σ upper bound on $\sin \theta_{Bb}^L$, while the *full (red) line* is the tree-level unitarity bound

In the case of a TeV-scale scalar di-boson resonance observable at the LHC we have, under some very basic and natural assumptions on the structure of the EFT (mainly that S is a spin-0 SM gauge singlet and that the dim = 5 operators in Eq. (10) are the most relevant ones for the decay of S), demonstrated a potential violation of tree-level unitarity in the scattering of SM fields at energy scales of few tens of TeV. One should stress, however, that in many models (both weakly and strongly coupled) predicting observable di-boson resonances, new states are typically predicted to lie much below our energy estimates.

In a similar way one can use perturbative unitarity in order to estimate the range of validity of perturbation theory in explicit renormalizable UV completions of the low-energy EFT and accordingly set perturbativity bounds on the relevant model couplings. Especially in the case of a large total *S* width, the inferred bounds are typically very constraining, and they are in particular endangering the calculability of many weakly coupled models present in the literature.

Interestingly, tree-level unitarity bounds are important not only at high energies but also close to thresholds of new physics. This is especially crucial for scalars interacting via relevant operators, since the corresponding unitarity bounds are always saturated at finite scattering energies relatively close to threshold. Other perturbativity criteria such as those based on Landau poles are only logarithmically sensitive to the energy scale and typically need a few decades of running before hitting the singularity of the Landau pole.

Finally, we find that our perturbative bounds are sensitive not only to the strengths of the couplings (y) of the mediators to a di-boson resonance but also to the multiplicity N of the mediator states. For example, for fermions the bounds scale as Ny^2 , exhibiting a similar 't Hooft scaling as the perturbative bounds obtained by analyzing the RGE flow of the couplings [10].

We conclude that in the event of an experimental observation of a scalar di-boson resonance at the LHC, while our estimates cannot provide a guarantee to see on-shell effects of additional new degrees of freedom at the LHC, they would immediately imply the existence of additional phenomena within the energy reach of the next generation 50–100 TeV hadron colliders, thus making a strong physics case for their construction.

Note added While completing this paper we came across Ref. [18]. Though part of our work overlaps with it, we reach different conclusions.

Acknowledgements We thank Ramona Gröber, Jacobo López-Pavón, David Marzocca, Christopher W. Murphy, Enrico Nardi, and Filippo Sala for helpful discussions. The work of L.D.L. is supported by the Marie Curie CIG program, Project Number PCIG13-GA-2013-618439. J.F.K. acknowledges the financial support from the Slovenian Research Agency (Research core Funding No. P1-0035). **Open Access** This article is distributed under the terms of the Creative Commons Attribution 4.0 International License (http://creativecomm ons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. Funded by SCOAP³.

Appendix A: Amplitudes

In this appendix we provide the details of the tree-level amplitude calculations. We limit ourselves to the case of $2 \rightarrow 2$ scatterings in the center of mass frame and with all particle masses in the external states equal to *m*. By denoting the incoming momenta by *p* and *k* and the outgoing ones by *p'* and *k'*, the kinematical variables are given by

$$p = (E, 0, 0, p^3),$$
 (94)

$$k = (E, 0, 0, -p^3), \tag{95}$$

$$p' = (E, p^3 \sin \theta, 0, p^3 \cos \theta), \tag{96}$$

$$k' = (E, -p^3 \sin \theta, 0, -p^3 \cos \theta), \qquad (97)$$

with $p^3 > 0$. Correspondingly, the Mandelstam variables read

$$s = (p+k)^2 = 4E^2,$$
(98)

$$t = (p - p')^2 = -4(p^3)^2 \sin^2 \frac{\theta}{2},$$
(99)

$$u = (p - k')^{2} = -4(p^{3})^{2} \cos^{2} \frac{\theta}{2}.$$
 (100)

Everything can be conveniently re-expressed in terms of \sqrt{s} and *m* via the relations $E = \sqrt{s}/2$, $(p^3)^2 = s/4 - m^2$, $t = -(s - 4m^2) \sin^2 \frac{\theta}{2}$ and $u = -(s - 4m^2) \cos^2 \frac{\theta}{2}$.

A. $1 \gamma \gamma \rightarrow \gamma \gamma$ scattering

Given the interaction Lagrangian

$$\mathcal{L}_I \supset c \, SF^{\mu\nu} F_{\mu\nu},\tag{101}$$

we are interested in computing the scattering amplitude for the process $\gamma(p, s_1, \mu) + \gamma(k, s_2, \nu) \rightarrow \gamma(p', s_3, \alpha) + \gamma(k', s_4, \beta)$. To this end, it is convenient to choose a specific basis for the transverse polarization vectors

$$\begin{split} \epsilon_{+}(p) &= \frac{1}{\sqrt{2}}(0, 1, -i, 0), & \epsilon_{-}(p) &= \frac{1}{\sqrt{2}}(0, 1, i, 0), \\ \epsilon_{+}(k) &= -\epsilon_{-}(p), & \epsilon_{-}(k) &= -\epsilon_{+}(p), \\ \epsilon_{+}(p') &= \frac{1}{\sqrt{2}}(0, \cos \theta, -i, -\sin \theta), & \epsilon_{-}(p') &= \frac{1}{\sqrt{2}}(0, \cos \theta, i, -\sin \theta), \\ \epsilon_{+}(k') &= -\epsilon_{-}(p'), & \epsilon_{-}(k') &= -\epsilon_{+}(p'). \end{split}$$

Since we focus our analysis on states with J = 0, we can restrict ourselves to $s \equiv s_1 = s_2$ and $r \equiv s_3 = s_4$. In such a case all the amplitudes are proportional to

$$\epsilon_{s\mu}(p)\epsilon_{s\nu}(k)\epsilon_{r\alpha}^{*}(p')\epsilon_{r\beta}^{*}(k') = \epsilon_{s\mu}(p)\epsilon_{s\nu}^{*}(p)\epsilon_{r\alpha}^{*}(p')\epsilon_{r\beta}(p').$$
(102)

For later convenience, let us also define $\epsilon_{s\mu} \equiv \epsilon_{s\mu}(p)$ and $\epsilon_{r\mu} \equiv \epsilon_{r\mu}(p')$. We then get the following contributions for the amplitude in the *s*, *t*, and *u* channels:

$$\begin{aligned} \mathcal{T}_{s} &= \frac{-16c^{2}}{s - m_{S}^{2}} \epsilon_{s\mu} \epsilon_{s\nu}^{*} \epsilon_{r\alpha}^{*} \epsilon_{r\beta} \left[(p \cdot k) g^{\mu\nu} - p^{\nu} k^{\mu} \right] \\ &\times \left[(p' \cdot k') g^{\mu\nu} - p'^{\beta} k'^{\alpha} \right] \\ &= \frac{-16c^{2}}{s - m_{S}^{2}} (\epsilon_{s} \cdot \epsilon_{s}^{*}) (\epsilon_{r}^{*} \cdot \epsilon_{r}) (p \cdot k) (p' \cdot k') \\ &= -4c^{2} \frac{s^{2}}{s - m_{S}^{2}}, \end{aligned} \tag{103}$$

$$\begin{aligned} \mathcal{T}_{t} &= \frac{-16c^{2}}{t - m_{S}^{2}} \epsilon_{s\mu} \epsilon_{s\nu}^{*} \epsilon_{r\alpha}^{*} \epsilon_{r\beta} \left[(-p \cdot p') g^{\mu\alpha} + p^{\alpha} p'^{\mu} \right] \\ &\times \left[(-k \cdot k') g^{\nu\beta} + k^{\beta} k'^{\nu} \right] \\ &= \frac{-16c^{2}}{t - m_{S}^{2}} \left| -(p \cdot p') (\epsilon_{s} \cdot \epsilon_{r}^{*}) + (p \cdot \epsilon_{r}^{*}) (p' \cdot \epsilon_{s}) \right|^{2} \\ &= -4c^{2} \frac{t^{2}}{t - m_{S}^{2}} \delta_{s, -r}, \end{aligned} \tag{104}$$

$$\begin{aligned} \mathcal{I}_{u} &= \frac{-16c^{2}}{u - m_{S}^{2}} \epsilon_{s\mu} \epsilon_{s\nu}^{*} \epsilon_{r\alpha}^{*} \epsilon_{r\beta} \left[(-p \cdot k') g^{\mu\beta} + p^{\beta} k'^{\mu} \right] \\ &\times \left[(-k \cdot p') g^{\nu\alpha} + k^{\alpha} p'^{\nu} \right] \\ &= \frac{-16c^{2}}{u - m_{S}^{2}} \left| -(p \cdot k') (\epsilon_{s} \cdot \epsilon_{r}) + (p \cdot \epsilon_{r}^{*}) (k' \cdot \epsilon_{s}^{*}) \right|^{2} \\ &= -4c^{2} \frac{u^{2}}{u - m_{S}^{2}} \delta_{s, -r}. \end{aligned}$$
(105)

A.2 $\psi \overline{\psi} \rightarrow \psi \overline{\psi}$ scattering

Starting from the interaction Lagrangian in Eq. (62), the *s*and *t*-channel scattering amplitudes for the process $\psi(p, r) + \overline{\psi}(k, s) \rightarrow \psi(p', r') + \overline{\psi}(k', s')$ are

$$\mathcal{T}_{s} = -\frac{y^{2}}{s - M_{S}^{2}} \overline{v}^{s}(k) u^{r}(p) \overline{u}^{r'}(p') v^{s'}(k'), \qquad (106)$$

$$\mathcal{T}_{t} = -\frac{y^{2}}{t - M_{S}^{2}} \overline{u}^{r'}(p') u^{r}(p) \overline{v}^{s}(k) v^{s'}(k').$$
(107)

To evaluate the amplitudes we consider the general representation for the spinor polarizations (see e.g. [60])

$$u^{r}(p) = \begin{pmatrix} \sqrt{p \cdot \sigma} \, \xi_{r} \\ \sqrt{p \cdot \overline{\sigma}} \, \xi_{r} \end{pmatrix}, \qquad v^{s}(p) = \begin{pmatrix} \sqrt{p \cdot \sigma} \, \eta_{s} \\ -\sqrt{p \cdot \overline{\sigma}} \, \eta_{s} \end{pmatrix},$$
(108)

for r = +, - and s = +, -. In particular, $\sigma = (1, \sigma)$ and $\overline{\sigma} = (1, -\sigma)$, where $\sigma = (\sigma^1, \sigma^2, \sigma^3)$ is the vector Pauli matrix, while ξ_r and η_s provide two independent bases for two-component spinors. The latter are chosen according to the following convention on the definition of the spinors' helicities:

$$\left(\vec{\Sigma}\cdot\hat{p}\right)u^{r}(p) = ru^{r}(p), \qquad \left(\vec{\Sigma}\cdot\hat{p}\right)v^{s}(p) = -sv^{s}(p),$$
(109)

where $\vec{\Sigma} = \text{diag}(\vec{\sigma}, \vec{\sigma})$ denotes the spin operator. Note that for anti-particles the helicity is defined with the opposite sign. A standard basis, for the two component spinors, which satisfies Eq. (109) is provided by

$$\xi_{+} = \begin{pmatrix} 1\\0 \end{pmatrix}, \quad \xi_{-} = \begin{pmatrix} 0\\1 \end{pmatrix}, \quad \eta_{+} = \begin{pmatrix} 0\\1 \end{pmatrix}, \quad \eta_{-} = \begin{pmatrix} 1\\0 \end{pmatrix}.$$
(110)

In order to evaluate Eqs. (106)–(107), we need the rotated spinors

$$u(p') = \begin{pmatrix} R(\theta) & 0\\ 0 & R(\theta) \end{pmatrix} u(p)$$
$$= \begin{pmatrix} \frac{R(\theta)\sqrt{p \cdot \sigma}R(\theta)^{-1}}{\sqrt{p' \cdot \sigma}} & 0\\ 0 & \frac{R(\theta)\sqrt{p \cdot \overline{\sigma}}R(\theta)^{-1}}{\sqrt{p' \cdot \overline{\sigma}}} \end{pmatrix}$$
$$\times \begin{pmatrix} R(\theta)\xi_r\\ R(\theta)\xi_r \end{pmatrix}, \qquad (111)$$

where

$$R(\theta) = \begin{pmatrix} \cos\frac{\theta}{2} & -\sin\frac{\theta}{2} \\ \sin\frac{\theta}{2} & \cos\frac{\theta}{2} \end{pmatrix},$$
(112)

is the rotation matrix of a bi-spinor in the 1–3 plane by an angle θ with respect to the 3rd axis. $R(\pi)$ and $R(\theta + \pi)$ are instead the relevant rotation matrices for v(k) and v(k'), respectively. The helicity amplitudes for the $\psi\overline{\psi} \rightarrow \psi\overline{\psi}$ scattering (Eqs. (106)–(107)) are displayed in Table 1.

Notice that we disagree with Ref. [20], as far as concerns the relative sign of the *s*- and *t*-channel for the - - ++ and + + -- amplitudes. We can actually have an independent **Table 1** $\psi \overline{\psi} \rightarrow \psi \overline{\psi}$ helicity amplitudes for the interaction term in Eq. (62)

r	S	r'	s'	$\overline{v}^{s}(k)u^{r}(p)\overline{u}^{r'}(p')v^{s'}(k')$	$\overline{u}^{r'}(p')u^r(p)\overline{v}^s(k)v^{s'}(k')$	$T_s(E\gg)$	$\mathcal{T}_t(E\gg)$
+	+	+	+	$4(p^3)^2$	$-4m^2\cos^2\frac{\theta}{2}$	$-y^{2}$	0
+	_	+	+	0	$2mE\sin\theta$	0	0
_	+	+	+	0	$-2mE\sin\theta$	0	0
_	_	+	+	$4(p^3)^2$	$4E^2\sin^2\frac{\theta}{2}$	$-y^{2}$	y^2
+	+	+	_	0	$-2mE\sin\theta$	0	0
+	_	+	_	0	$-4m^2\cos^2\frac{\theta}{2}$	0	0
_	+	+	_	0	$-4E^2\sin^2\frac{\theta}{2}$	0	$-y^{2}$
_	_	+	_	0	$-2mE\sin\theta$	0	0
+	+	_	+	0	$2mE\sin\theta$	0	0
+	_	_	+	0	$-4E^2\sin^2\frac{\theta}{2}$	0	$-y^{2}$
_	+	_	+	0	$-4m^2\cos^2\frac{\theta}{2}$	0	0
_	_	_	+	0	$2mE\sin\theta$	0	0
+	+	_	_	$4(p^3)^2$	$4E^2\sin^2\frac{\theta}{2}$	$-y^{2}$	y^2
+	_	_	_	0	$2mE\sin\theta$	0	0
_	+	_	_	0	$-2mE\sin\theta$	0	0
_	—	—	—	$4(p^3)^2$	$-4m^2\cos^2\frac{\theta}{2}$	$-y^{2}$	0

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cross-check of the results in Table 1 by comparing it with the calculation of the squared matrix element (summed over polarizations)

$$\sum_{\text{pol}} |\mathcal{T}_s + \mathcal{T}_t|^2 = |\mathcal{T}_s|^2 + |\mathcal{T}_t|^2 + 2\text{Re}\left(\mathcal{T}_s^*\mathcal{T}_t\right), \quad (113)$$

by means of spinor completeness relations. In the highenergy limit we find

$$|\mathcal{T}_{s}|^{2} = \left(\frac{y^{2}}{s - M_{s}^{2}}\right)^{2} \sum_{\text{pol}} \left|\overline{v}^{s}(k)u^{r}(p)\overline{u}^{r'}(p')v^{s'}(k')\right|^{2} \simeq 4y^{4},$$
(114)

$$|\mathcal{T}_{t}|^{2} = \left(\frac{y^{2}}{t - M_{S}^{2}}\right)^{2} \sum_{\text{pol}} \left|\overline{u}^{r'}(p')u^{r}(p)\overline{v}^{s}(k)v^{s'}(k')\right|^{2} \simeq 4y^{4},$$
(115)

$$2\operatorname{Re}\left(\mathcal{T}_{s}^{*}\mathcal{T}_{t}\right) = 2\frac{y^{2}}{s-M_{S}^{2}}\frac{y^{2}}{t-M_{S}^{2}}$$

$$\times \sum_{\mathrm{pol}}\left(\overline{v}^{s}(k)u^{r}(p)\overline{u}^{r'}(p')v^{s'}(k')\right)^{*}$$

$$\times \left(\overline{u}^{r'}(p')u^{r}(p)\overline{v}^{s}(k)v^{s'}(k')\right)$$

$$\simeq -4y^{4}.$$
(116)

Hence, the sum in Eq. (113) yields $4y^4$. It can be readily seen that by summing the various channels in Table 1 one also gets $4y^4$.

Finally, we report for completeness the analytical expression of the J = 0 partial wave for the + + + + and + + -- helicity-state scattering amplitudes, which read, respectively,

$$a_{++++}^{0} = -y^{2} \frac{\sqrt{s(s-4m_{\psi}^{2})}}{16\pi s} \left(\frac{s-4m_{\psi}^{2}}{s-M_{S}^{2}} + \frac{4m_{\psi}^{2} \left(\left(s-4m_{\psi}^{2}+M_{S}^{2} \right) \log \frac{s-4m_{\psi}^{2}+M_{S}^{2}}{M_{S}^{2}} - \left(s-4m_{\psi}^{2} \right) \right)}{\left(s-4m_{\psi}^{2} \right)^{2}} \right),$$
(117)

$$a_{++--}^{0} = -y^{2} \frac{\sqrt{s(s-4m_{\psi}^{2})}}{16\pi s} \times \left(\frac{s-4m_{\psi}^{2}}{s-M_{S}^{2}} + \frac{s \left(M_{S}^{2} \log \frac{s-4m_{\psi}^{2}+M_{S}^{2}}{M_{S}^{2}} - \left(s-4m_{\psi}^{2}\right)\right)}{\left(s-4m_{\psi}^{2}\right)^{2}} \right).$$
(118)

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