

1 Landscape maturity, fold growth sequence and structural style in the Kirkuk  
2 Embayment of the Zagros, northern Iraq

3 Ahmed K. Obaid<sup>1,2</sup> and Mark B. Allen<sup>1</sup>

4 <sup>1</sup>Department of Earth Sciences, University of Durham, Durham, UK, DH1 3LE

5 <sup>2</sup>Department of Geology, College of Science, University of Baghdad, Baghdad, Iraq

6 a.k.obaid@durham.ac.uk; m.b.allen@durham.ac.uk

7 **Abstract**

8 The Kirkuk Embayment is located in the southwest of the Zagros fold-and-thrust belt of Iraq.  
9 Like fold-and-thrust belts worldwide, the Zagros is conventionally understood to have grown  
10 sequentially towards the foreland. Here we use landscape maturity analysis to understand  
11 anticline growth in the Embayment. Digital Elevation Model (DEM)-based geomorphic indices  
12 Hypsometric Integral (HI), Surface Roughness (SR) and their combination Surface Index (SI)  
13 have been applied to quantify landscape maturity. The results inform new ideas for the sequence  
14 of anticline growth. Maturity indices are highest for the QaraChauq Anticline in the center of the  
15 Embayment, then Makhool/Himreen to the south and lastly, the Kirkuk Anticline to the north.  
16 The pattern suggests the growth sequence is not classical ‘piggy back’ thrusting. This result fits  
17 the exhumation record, which is loosely constrained by the stratigraphic exposure level. Favored  
18 hypotheses for fold growth order are either i) the folds have grown at different times and out of  
19 sequence (QaraChauq first, then Makhool/Himreen, and Kirkuk last), or, ii) the growth occurred  
20 with different rates of exhumation but at broadly the same time. There are few constraints from  
21 available data on syn-tectonic sedimentation patterns. Fold growth across much of the  
22 Embayment might have begun within a limited timeframe in the late Miocene–Pliocene, during  
23 the deposition of the Mukdadiyah Formation. Another hypothesis is that folds grew in sequence  
24 towards the foreland with different rates of exhumation, but we consider this less likely. We also  
25 construct a new cross-section for the Embayment, which indicates limited Cenozoic strain: ~5%  
26 shortening. Analysis of topography and drainage patterns shows two previously-undescribed  
27 anticlines with hydrocarbon trap potential, between the Makhool and QaraChauq anticlines.

28 Keywords: Kirkuk Embayment, geomorphic indices, Zagros deformation, Surface index.

## 29 **1. Introduction**

30 The Zagros region is an important area for studies of continental deformation as it forms part of  
31 the active Arabia-Eurasia collision zone (Fig. 1). Therefore, approaches using geomorphology,  
32 seismicity and geodesy can be used, that are not applicable in ancient and inactive regions of  
33 deformation like the Caledonides or the Alps. The Arabia-Eurasia collision is due to the  
34 northward movement of the Arabian Plate that resulted in the closure of the Neotethys ocean  
35 (Alavi 2007; Blanc et al. 2003; Ghasemi and Talbot 2006; Vera and Gines 2009; McQuarrie  
36 2004). The exact time of initial collision is not well constrained, but most recent estimates put it  
37 in the range 25-35 Ma (McQuarrie and van Hinsbergen 2013; Allen and Armstrong 2008). There  
38 has been several hundred kilometers of continental convergence after initial collision  
39 (Mouthereau et al. 2012), which has been accommodated within an area covering much of  
40 southwest Asia, and specifically the territory of Iran, Turkey, Iraq and neighboring countries.

41 The Kirkuk Embayment (Fig. 2) is of special importance within Zagros as it contains the  
42 southwestern limit of deformation in the Zagros fold-and-thrust belt, and a large proportion of  
43 the hydrocarbon reserves in Iraq. The region includes the transition between the stable and  
44 unstable shelf of the northern part of the Arabian Plate (Jassim and Goff, 2006). It is a key  
45 question whether Cenozoic deformation started from the northeast at the continental suture and  
46 moved towards the southwest, either in quasi-continuous progression or in a series of pulses  
47 (Allen et al. 2013; Farahpour and Hessami 2012; Hessami et al. 2001; Karim et al. 2011; Lawa et  
48 al. 2013; Vergés et al. 2011). Koshnaw et al (2017), used patterns of syn-tectonic sedimentation  
49 to interpret “out-of-sequence” fold growth, towards the northeast, but as a late, localized  
50 exception to a northeast-southwest fold propagation sequence.

51 Digital elevation models (DEM) and satellite images have proven to be effective datasets  
52 for use in morphotectonic studies (Font et al. 2010; Singh and Jain 2009). With the aid of digital  
53 data, morphometric indices have therefore been widely used in active tectonic studies (e.g.  
54 Alipoor et al. 2011; Andreani et al. 2014; Bagha et al. 2014; Bahrami 2012; Bahrami 2013;  
55 Dehbozorgi et al. 2010; Ehsani and Arian 2015; Fard et al. 2015; Keller and Pinter 2002; Mosavi  
56 et al. 2015; El Hamdouni et al. 2008). Recently, researchers have used quantitative geomorphic  
57 indices maps to assess tectonic activity in many regions in the world. These indices include:  
58 surface roughness, hypsometric integral, and surface indices (González et al. 2015; Grohmann

59 2004; Mahmood and Gloaguen 2012; Shahzad and Gloaguen 2011b; Siddiqui 2014). Such  
60 approaches are especially useful where there is a lack of subsurface data and/or difficulty with  
61 access, which is the current situation in Iraqi Zagros.

62 Geomorphic indices are used in this paper to study landscape maturity, with a view to  
63 understanding the relative time of fold growth in the Kirkuk Embayment and therefore the  
64 sequence of deformation propagation. We also show the capacity of this kind of analysis to  
65 identify previously unrecognized folds, which may have hydrocarbon potential.

66

## 67 **2. Geological setting and seismotectonics**

### 68 *2.1 Regional tectonics*

69 As a part of the Arabia-Eurasia collision zone, the Zagros belt extends for ~1800 km from south-  
70 east Turkey, passing through the north and north-east of Iraq, across southern Iran and ending at  
71 the Strait of Hormuz in southeastern Iran (Alavi 2007; Vera and Gines 2009). The Zagros region  
72 has been subjected to a series of compressional and extensional phases during its geologic  
73 history that have initiated and later reactivated a series of basement faults (Ameen 1992; Jassim  
74 and Goff 2006; Stern and Johnson 2010; Burberry 2015). These deformation phases have also  
75 led to variations in the facies of the Kirkuk Embayment due to the vertical and horizontal  
76 movement of the basement faults (Burberry 2015). GPS data suggests that the region  
77 accommodates a northward movement of the Arabian Plate at a rate of ~16 mm/yr. (Vernant et  
78 al. 2004) although GPS studies has focused on neighboring territory in Turkey and Iran rather  
79 than Iraq itself.

80 Based on its geomorphology, seismicity, and exposed geology, the Zagros belt has been  
81 divided into sub-parallel tectonic units in a number of different ways by different authors.  
82 Berberian (1995) used a five-fold division from the northeast to the southwest: the High Zagros  
83 Thrust Belt, the Simple Fold Belt, the Zagros Foredeep, the Zagros Coastal Plain, and the  
84 Mesopotamian Plain. A simple division is to treat everything southwest of the High Zagros as a  
85 part of the Simple Folded Belt, as the boundaries between the lower elevation areas are hard to  
86 define consistently along the entire length of the fold-and-thrust belt (Allen et al. 2013).

87           There are variations in the level of exhumation, relief and surface elevation along the  
88 strike of the Zagros from northwest to southeast, which divide the range along tectonic strike into  
89 a number of zones, commonly referred to as embayments and salients. The terms are misleading,  
90 given that the deformation front of the Zagros is roughly linear in the north where the Kirkuk and  
91 Dezful embayments occur, and does not step back from the margins of the intervening Pusht-e  
92 Kuh arc (salient). The Fars region forms the remainder of the Zagros to the southeast, and has a  
93 curved deformation front, convex to the south (Berberian 1995).

94           The origins of the two embayments are of interest for hydrocarbon geology in the region,  
95 as they contain numerous oil and gas fields in both Kirkuk (Iraq) and Dezful (Iran). These  
96 divisions of the Zagros indicate a different distribution of strain along the strike of the range,  
97 despite an apparently smooth and continuous convergence pattern of Arabia with Eurasia at the  
98 regional scale (McQuarrie et al. 2003; Vernant et al. 2004). Low strains within the embayments  
99 are probably complemented in each case by high strain zones to the northeast (McQuarrie 2004;  
100 Allen and Talebian 2011); this is consistent with the observed geology of the Bakhtiari  
101 Culmination to the north of the Dezful Embayment, but there are not yet equivalent studies in  
102 Iraq, north of the Kirkuk Embayment. The Dezful Embayment has been related to the pre-  
103 continental collision of the Arabian plate margin, and the irregular distribution of Cretaceous  
104 ophiolites upon it (Allen and Talebian 2011). It is not clear that this model applies to the Kirkuk  
105 Embayment, however.

106           The Iraqi sector of the Zagros is commonly mapped as having northeast-southwest  
107 trending basement faults (e.g. Jassim and Goff 2006 and references therein). However, it is  
108 unclear to what extent these faults are active (Kent 2010; Burberry 2015), or even exist as  
109 mapped. As they do not appear to offset the prominent northwest-southeast anticlines that are the  
110 focus of this paper, we do not consider them further.

## 111 *2.2 Stratigraphy*

112           Many formations are exposed in the Kirkuk Embayment (Fig. 3), and many wells have been  
113 drilled which help in understanding the depositional environments and ages of geological  
114 formations. The Eocene to Pliocene units can be summarized as follows (Bellen et al. 1956;  
115 Jassim and Goff 2006), with outcrop photographs in the supplementary file from recent

116 fieldwork near the eastern margin of the Embayment. See also Koshnaw et al. (2017) for a recent  
117 description of the Iraqi Zagros stratigraphy.

118           Avanah Formation (M. Eocene): This unit crops out locally on the southwest flank of the  
119 south QaraChauq anticline. It consists primarily of limestone deposited during a highstand.

120           Kirkuk Group: This unit consists of several formations; Tarjil, Sheikh Alas, and Shurau  
121 (Early Oligocene), Baba (Mid. Oligocene), Bajawan, Azkand, and Anah (Late Oligocene). These  
122 formations consist of fossiliferous and/or dolomitized, recrystallized limestone.

123           Euphrates Formation (Early Miocene): This unit is equivalent to part of the Asmari  
124 Limestone in Iran. It consists mainly of oolitic to chalky limestone.

125           Jeribe Formation (Langhian): This formation consists mainly of marly limestone. In  
126 many hydrocarbon fields, the whole of the Kirkuk Group, together with the Euphrates and Jeribe  
127 formations, represents the main reservoir unit.

128           Fat`ha Formation (Middle Miocene): The formation is equivalent to the Gachsaran  
129 Formation in Iran. It forms the regional topseal for Cenozoic hydrocarbon reservoirs in the  
130 Arabia. The lithology is mainly anhydrite, alternating with marl and limestone, with halite  
131 northeast of the Kirkuk Embayment ([Aqrawi et al. 2010](#)).

132           Injana Formation (Late Miocene): This unit is the equivalent to the Aghajari (Upper Fars)  
133 strata in Iran. South of the Himreen Anticline (the subsidiary type section), the formation  
134 consists of 600 m thin-bedded calcareous sandstones, marls, red mudstone, siltstone, and rare  
135 fresh water limestone.

136           Late Miocene Mukdadiyah and Pliocene Bai Hassan formations: The Mukdadiyah  
137 Formation is equivalent to the Lower part of the Bakhtiari Formation in Iran (Late Miocene-  
138 Early Pliocene). It consists of gavels, sandstones, and red mudstones. The formation reaches its  
139 maximum thickness in the Kirkuk Embayment, at ~2000 m. The formation was deposited during  
140 anticline growth ([Dunnington 1958](#)). This formation is not reported in the northeast of the  
141 Zagros, which may mean that it is represented by the Bai Hassan Formation (see below) – with  
142 the implication that both the Mukdadiyah and Bai Hassan formations are strongly diachronous.

143 The Pliocene Bai Hassan Formation (Upper Bakhtiari) consists of sandstone, conglomerate with  
144 siltstone and mudstone, and is generally coarser than the Mukdadiyah Formation. The  
145 conglomerate layers increase in thickness and frequency towards the northeast.

### 146 *2.3 Seismotectonics*

147 We have compiled the focal mechanisms available from the instrumental seismicity record (Fig.  
148 2). These data are from the Global CMT catalogue (<http://www.globalcmt.org>); body-wave  
149 modelling studies for the Zagros have not included events from the Iraqi sector of the range  
150 (Talebian and Jackson, 2004; Nissen et al., 2011). The earthquake dataset shows that active  
151 deformation occurs across the width of the Kirkuk Embayment, similar to the rest of the Zagros,  
152 with the caveat that major thrust seismicity is located in the lower elevation portions of the  
153 range, below the regional 1250 m elevation contour (Nissen et al. 2011). Kirkuk Embayment  
154 earthquakes are a combination of thrusts and strike-slip faults. Thrust earthquake depths, where  
155 constrained, are in the upper 20 km of the crust in the rest of the Zagros range to the southeast  
156 (Talebian and Jackson 2004). There is a typical uncertainty of 5 km in the depths of events, even  
157 where well-constrained. There is no reason to suppose the depth distribution is markedly  
158 different for the Iraqi sector of the range, despite the lack of accurate estimates for individual  
159 earthquakes. There are two implications of this regional depth distribution. First, seismogenic  
160 thrusting is an upper crustal phenomenon, and does not indicate any subduction of the Arabian  
161 Plate. Second, crystalline basement must be involved in at least some of the deformation, as the  
162 deeper hypocentres are too deep to be nucleated within the sedimentary cover, even allowing for  
163 the depth uncertainties noted above.

164 None of these earthquakes is associated with surface ruptures, so that the identification of  
165 the correct nodal plane cannot be done directly. Uncertainties in teleseismically-located  
166 epicenters are up to 20 km, making it impractical to link individual events to specific faults in the  
167 sub-surface. Either choice of nodal plane in the thrust events is steep ( $>30^\circ$ ). We assume that  
168 northwest-southeast striking plane represents the real fault orientation of a strike-slip event near  
169 the Kirkuk anticline (Fig. 2), as the event would be the right-lateral component of partitioned  
170 strain, acting with the thrusts to achieve the overall north-south plate convergence (Talebian and  
171 Jackson 2002).

172 A similar event occurred just to the southeast of Figure 2. It is notable that strike-slip  
173 faulting occurs across the Kirkuk Embayment, and is not restricted to the Main Recent Fault at  
174 the northeast margin of the Zagros, which is the case in the northwestern Iranian sector of the  
175 range. Two strike-slip focal mechanisms near the Himreen Anticline (Fig. 2) are not consistent  
176 with such right-lateral faulting, parallel to the fold trace. These earthquakes could relate to  
177 oblique cross faults on the anticline, not exposed at surface levels, as depicted by [Kent \(2010\)](#) for  
178 the Kirkuk Anticline.

179 The southwestern margin of the Kirkuk Embayment (Fig. 2) is the southwestern limit of  
180 the active deformation in the Zagros fold-and-thrust belt, which seems to be located at the  
181 transition between the pre-collisional stable and unstable shelf of the northern part of the Arabian  
182 Plate ([Jassim and Goff 2006](#)). It seems that the pre-collisional structure of the Arabian Plate  
183 exerts an influence on the extent of collisional deformation. In the Zagros fold-and-thrust belt  
184 several studies have interpreted unconformities as an indication of tectonic pulses ([Farahpour  
185 and Hessami 2012](#); [Hessami et al. 2001](#); [Karim et al. 2011](#); [Lawa et al. 2013](#)) resulting in the  
186 step-wise progression of deformation towards the foreland. Given the diachronous nature of  
187 Cenozoic sedimentation in the region (e.g. [Fakhari et al., 2008](#)), and the possibility of  
188 unconformities being a response to base-level change and/or drainage re-organization, we  
189 consider that it is timely to re-evaluate these models for the Zagros tectonic evolution.

190

### 191 **3. Methods and Data**

#### 192 *3.1. Data*

193 We have used the 90m DEM of Shuttle Radar Topography Mission (SRTM). The data have a  
194 specified vertical absolute accuracy of  $\leq 16$  m, and a vertical relative accuracy quoted as  $\leq 10$  m  
195 ([Rodriguez et al. 2006 and references therein](#)). These DEM data have been used in conjunction  
196 with satellite imagery, in particular Quickbird images. Geological maps ([Sissakian 1993](#);  
197 [Sissakian 1995](#); [Zwaid 1993](#)) were used for comparison with the exposed geology in each region.  
198 The MATLAB software toolkit TecDEM ([Shahzad and Gloaguen 2011a,b](#)) was used in  
199 combination with ArcGIS10.3.1 for data processing and the extraction of geomorphic indices.  
200 Part of the analysis is a simple qualitative investigation of the patterns of topography and  
201 drainage across the study area, including longitudinal river profiles. This inspection was

202 performed to identify anomalous patterns, including topographic highs that might correspond to  
203 previously-unidentified folds and drainage diversion patterns and knickpoints that might  
204 correspond to zones of active deformation.

### 205 3.2. Geomorphic indices

206 This section describes the geomorphic indices used in the landscape analysis in this study (Fig.  
207 4). The numerical ranges presented in Figures 5-7 are relative values, rather than absolute values  
208 that could be directly compared with other areas.

209 The Hypsometric Integral (HI) refers to the amount of surface above a given area and it  
210 highlights the elevated surfaces. When the HI is linked with the degree of dissection, it is  
211 considered to be a suitable parameter for detecting landscape evolution stages in the erosion  
212 cycle (Keller and Pinter 2002; Ohmori 1993; Strahler 1952). Low HI values are associated with  
213 dissected or more mature areas, while high values represent relatively youthful topography and  
214 less eroded areas (Keller and Pinter 2002; Pérez-Peña et al. 2009). For a given area, HI can be  
215 calculated using Equation 1 (Pike and Wilson 1971).

$$216 \quad HI = \frac{H_{mean} - H_{min}}{H_{max} - H_{min}} \quad (1)$$

217 Where  $H_{max}$ ,  $H_{min}$ , and  $H_{mean}$  are the maximum, minimum, and mean elevations respectively (Fig.  
218 4).

219 The surface roughness (SR), also known as vertical dissection, has been defined as the  
220 ratio between the analyzed topographic surface of an area and the area of flat topography, when  
221 the analyses are performed to the same geographic extent and constant elevation (Berti et al.  
222 2013; Grohmann 2004; Hani et al. 2012). SR can be calculated using Equation 2. SR values  
223 close to 1.0 represent flat areas and the value increases as the surface becomes irregular.

$$224 \quad SR = \frac{TS}{FS} \quad (2)$$

225 Where SR is the surface roughness, TS is the analyzed topographic surface area, and FS is the  
226 corresponding horizontal area (Fig. 4).

227 Surface Index (SI) is the combination of both HI and SR characteristics which enables SI  
228 to be used to consider tectonic and erosion evolution, thereby determining the relative age of the  
229 landforms and the degree of dissection associated with them (Andreani et al. 2014; González et



230 al. 2015). Uplifted surfaces become more irregular because of erosion as a result of rivers  
231 progressing toward their equilibrium state (Keller and Pinter 2002). Less mature landforms are  
232 therefore associated with high HI values and low SR values, and consequently, positive SI value.  
233 While more mature or more irregular surfaces associated with high SR values and low HI values  
234 and, therefore, negative SI values (Andreani et al. 2014; González et al. 2015). SI has been  
235 calculated as in Equation 3 (Andreani et al. 2014).

$$236 \quad SI = \left( \frac{HI - HI_{min}}{HI_{max} - HI_{min}} \right) * \left( \frac{H - H_{min}}{H_{max} - H_{min}} \right) - \left( \frac{SR - SR_{min}}{SR_{max} - SR_{min}} \right) \quad (3)$$

237 Where HI, H, and, SR are the hypsometric integral, elevation, and surface roughness  
238 respectively. These parameters are converted automatically to a ratio using the minimum and  
239 maximum values for each raster datum (Andreani et al. 2014). SI has also been used in rock  
240 differentiation, by exploiting different erosion characteristics of different rocks (Othman and  
241 Gloaguen 2014). These indices are used in this paper to investigate landscape maturity and to  
242 explore whether the deformation has simply propagated from the northeast to the southwest,  
243 which would result in a consistent progression in the value of indices between anticlines in this  
244 direction if other factors are equivalent (e.g. climate, lithology), or if the situation is more  
245 complex.

246 For meaningful results of geomorphic indices the moving window should cover the  
247 whole width of the feature under study. The approximate width (half wavelength) of the  
248 anticlines in the Kirkuk Embayment is ~4000-5000 m. To address these features, we selected a  
249 50 by 50 pixel moving window for the SRTM 90 m dataset, and a 150 by 150 pixel moving  
250 window for the SRTM 30 m dataset as optimal. These configurations are equally effective in  
251 picking out variations between the major structures in the study area (compare Fig. 7 and  
252 Supplementary Fig. 2I for example). A sensitivity analysis has been conducted, to investigate the  
253 robustness of the DEM analysis, and is presented in section 4.2.

254

## 255 **4. Results**

### 256 *4.1 Morphotectonic Indices*

257 Figure 5 and supplementary Figure 2C show the change in the HI value across the major  
258 structures in the Kirkuk Embayment. Low HI values are distributed across the QaraChauq  
259 anticline: in the range 0.14-0.17 for the SRTM 90 m dataset and 0.11-0.25 for the SRTM 30 m  
260 dataset. The HI values increase towards the south (0.35-0.5 for the SRTM 90 m and 0.34-0.55  
261 for the SRTM 30 m), across an undulating area between the QaraChauq and Makhool anticlines.  
262 Further south, the HI value begins to decrease again (0.18-0.27 for the SRTM 90 m and 0.2-0.3  
263 for the SRTM 30 m) along the Khanooqa, Makhool, and Himreen anticlines. To the north of  
264 QaraChauq Anticline, the HI values increase (0.29-0.41 for the SRTM 90 m and 0.3-0.41 for the  
265 SRTM 30 m) along the crest of the Kirkuk Anticline. As the HI values of the QaraChauq  
266 Anticline represent a relative low in the mapped area, it can be therefore identified as an area of  
267 higher landscape maturity if not an area of earlier uplift. In contrast, the HI values of the Kirkuk  
268 Anticline represent a relative high, identifying it as a less mature area. There is also a variation in  
269 SR values between the different folds (Fig. 6 and supplementary Fig. 2F). The QaraChauq  
270 Anticline shows the highest SR values (1.032-1.059 for the SRTM 90 m and 1.033-1.059 for the  
271 SRTM 30 m), and the Kirkuk Anticline shows the lowest values (1.0026-1.009 for the SRTM 90  
272 m and 1.005-1.018 for the SRTM 30 m).

273 Building on these HI, and SR values, the SI index reveals different levels of landscape  
274 maturity and probably different times and /or rates of surface uplift (Fig. 7 and supplementary  
275 Fig. 2I). Negative SI values (-0.08 to -0.17 for the SRTM 90 m and -0.07 to -0.17 for the SRTM  
276 30 m) occur across the QaraChauq Anticline, representing more mature and relatively highly  
277 dissected areas. Positive SI values (0.05-0.16 for the SRTM 90 m and 0.04 to 0.14 for the SRTM  
278 30 m) are distributed across the surface of the Kirkuk Anticline representing relatively less  
279 mature and less dissected areas (Fig. 7 and supplementary).

280 An important question may be raised as to what differences across the study area lead to  
281 differences in the landscape maturity indices. To answer this question, three parameters need to  
282 be taken into consideration: climate, tectonic activity, and the variation in rock type (Burbank  
283 and Anderson 2002). First, it can be argued that the effect of the climate can be neglected as no  
284 noticeable regional climate variation is present on the scale of the study area in figures 5-7. Nor  
285 is it expected that differences in elevation between the anticlines could be the reason for the  
286 differences in the indices used: the differences in elevation do not exceed a few tens of meters

287 between the studied anticlines (Fig. 2). Second, closer scrutiny of the geology of the anticlines  
288 shows that their outcrops consist of the same sequences of rock units (Kirkuk Group, Fat`ha,  
289 Injana, Mukdadiyah, and Bai Hassan formations). Whilst there are likely to be differences  
290 between the lithologies of these units, e.g. between resistant limestone of the Kirkuk Group and  
291 erodible siltstones of the Injana Formation, it is not recorded that any individual unit is highly  
292 variable on the scale of the study area. According to the independent study of Bretis et al (2011)  
293 these formations all have a similar resistance to erosion, except for the resistant limestones of the  
294 Kirkuk Group, which is only locally exposed on the crest of the QaraChauq Anticline (Fig. 3). If  
295 we exclude the exposures of the Kirkuk Group from our analysis it does not affect the overall  
296 results, as the area involved is <1% of the total study area.

297 Figure 3 shows the major exposure of the Injana Formation (Late Miocene) and minor  
298 exposure of the Fat`ha Formation (Middle Miocene) on the crest of the Kirkuk Anticline, while  
299 the majority of these formations have been removed from the crest of the QaraChauq Anticline  
300 and are exposed only on the flanks of the south and north QaraChauq and in the saddle between  
301 them. Kirkuk Group strata (Oligocene) are exposed on the south and north QaraChauq crests. In  
302 addition to the Kirkuk Group, the Euphrates Formation (Early Miocene) appears on the north  
303 QaraChauq crest, but is not found on the Kirkuk Anticline crest. The Fat`ha Formation is the  
304 major formation to be exposed on the Makhool and Himreen anticline crests. The Fat`ha  
305 Formation is older than the units exposed on the Kirkuk Anticline and younger than the  
306 formations exposed on the QaraChauq Anticline.

307 From the above discussion it can be inferred that the climate and rock type have no effect  
308 on the variation in the calculated geomorphic indices across the area of Fig. 3 as a whole.  
309 Tectonic effects should therefore be the controlling factor on the geomorphic indices, but this  
310 includes both the age of the structures and their rock uplift rates.

311 Using the variation in SI values (Fig. 7), and the age of the exposed geology on the crests  
312 of anticlines in the Kirkuk Embayment, it can be concluded that the main anticlines formed in  
313 the order of S. QaraChauq, N. QaraChauq, Makhool, Himreen, and lastly Kirkuk, which is the  
314 order of increasing SI value. According to the thinning over anticline crests recorded in the  
315 Mukdadiyah Formation, which reflects syn-tectonic deposition, folding in the Kirkuk  
316 Embayment started in a short period in the Late Miocene (Fig. 15b in [Kent 2010](#)). This means

317 that the deformation of the prominent structures in the Kirkuk Embayment is a late Cenozoic  
318 phenomenon.

#### 319 *4.2 Sensitivity analysis*

320 Errors and uncertainties related to the original DEM quality and resolution are inevitable, and  
321 analytical methods attempt to reduce these errors (Kirby and Whipple 2012). In this section we  
322 explore the effects of using different moving windows for the three indices, using both the 90 m  
323 and 30 m SRTM data.

324 If the moving window covers too small an area, it highlights local features related to  
325 drainage patterns, which obscure the signals from the main anticlines. If the moving window is  
326 too large, the anticlines themselves are not successfully resolved. Supplementary Figure 2 gives  
327 examples of both of these phenomena.

328 The extra processing time required for the SRTM 30 m data is a reason not to use this  
329 dataset. Again, we stress that the intention of our study is to investigate variations in landscape  
330 maturity on the scale of the major anticlines. In this context, the extra resolution of the 30 m data  
331 is of no advantage.

332 For all the three indices (HI, SR and SI) the 90 m and the 30 m SRTM datasets have  
333 ranges which are different from each other by  $<0.08$ , across the major anticlines in the Kirkuk  
334 Embayment. The subtlest variations in the studied landscape which seem to have a real tectonic  
335 significance are on the order of 0.02 units in the SI diagram (Fig. 7); see section 4.4.

#### 336 *4.3 Lesser Zab interactions with folds*

337 The Lesser Zab is the main river in the study area (Figs. 2 and 3). It runs northeast-southwest  
338 across the anticlines discussed above. The river maintains a distinctly straight course across the  
339 Kirkuk, Bai Hassan and QaraButak anticlines (Fig. 3) without showing clear knickpoints (Fig.  
340 8). Nor is there a knickpoint associated with either of the newly-identified folds which are  
341 described in the next section. None of these anticlines is appreciably offset in either horizontal or  
342 vertical dimensions; there is no evidence that a reactivated basement structure runs along the  
343 length of the Lesser Zab, as has been indicated in some previous tectonic maps of the region (e.g.  
344 Burberry, 2015), cross-cutting anticlines such as Kirkuk. The Lesser Zab joins the Tigris, ~10

345 km north of the Makhool Anticline, and the combined river flows another ~20 km to the SE  
346 before crossing the Makhool Anticline at its termination, which is also the relay zone with the tip  
347 of the Himreen Anticline. The junction of the Makhool and Himreen anticlines is an example of  
348 the kind of en echelon fold linkage described by Bretis et al (2011) northeast of the Kirkuk  
349 Embayment in the Iraqi Zagros, in this case exploited by the Tigris River. Figure 9 shows that  
350 the Tharthar trough, south of the Makhool Anticline, could be the original continuation of the  
351 course of the Tigris River, if the Makhool Anticline had not developed. As rivers tend in nature  
352 to gravitate towards the zones of subsidence when there is no topographic barrier (Holbrook and  
353 Schumm, 1999), the Tharthar trough would represent a pathway for the Tigris, rather than  
354 diverting eastwards across the nose of Makhool Anticline as it does now. The modern drainage  
355 in the Tharthar trough seems distinctly misfit, as though at an earlier time the trough was  
356 occupied by a much larger river (see the cross-valley profiles in Figure 9).

357 According to the discharge data (Saleh, 2010) the stream power of the Tigris River (Fig.  
358 8) before approaching the Makhool anticline is  $27,400 \text{ kg.m/s}^3$  (and a near-constant gradient of  
359 0.004). The Lesser Zab River crosses the Kirkuk, Bai-Hassan, and QaraButak anticlines with a  
360 straight flow path, near-constant gradient (0.007) and stream power of  $16,900 \text{ kg.m/s}^3$ . The two  
361 rivers combine north of the Makhool Anticline, but, unlike the behaviour of the Lesser Zab to the  
362 north, even the combined rivers are diverted eastwards by the growth of the Makhool Anticline,  
363 before flowing across the nose of the fold.

364 The cross-cutting relationships between the Lesser Zab River and the anticlines in the  
365 Kirkuk Embayment can be interpreted to give a scenario about the sequence of anticlinal growth  
366 in this area. The Lesser Zab River crosses the Kirkuk and Bai Hassan anticlines (which are  
367 relatively less mature according to the surface index), while it diverts around the nose of the  
368 Makhool anticline (which is relatively more mature). There is no noticeable change in gradient  
369 along Lesser Zab River profile (Fig. 8). Thus, the Lesser Zab River overcomes the growth of the  
370 Kirkuk and Bai Hassan anticlines, and the river crosses these anticlines without deflections, wind  
371 gaps or knickpoints. However, it appears that the Makhool Anticline exceeded the ability of the  
372 combined Lesser Zab and Tigris River to cut through the growing fold, and consequently the  
373 river was diverted by the fold; this relationship could mean faster growth of Makhool than the  
374 folds to the north, but may mean that the palaeo-gradient was lower before the uplift of structures

375 to the north. Note that Koshnaw et al. (2017) put the initiation of the Kirkuk Anticline during the  
376 deposition of the Mukdadiyah Formation in the Late Miocene, based on syn-fold strata of this  
377 age on the south side of the anticline; their data come from an area ~100 km southeast of ours, so  
378 there is the potential for different sectors of the same fold to be of different ages due to lateral  
379 fold growth.

#### 380 *4.4 Identification of incipient folding*

381 The broad synclinal area between the Kirkuk and Himreen anticlines is covered by Holocene  
382 sediments (Jassim and Goff 2006). Published structural maps typically show a gap between the  
383 QaraChauq Anticline and the Himreen Anticline with no fold structures in this region (e.g. Fouad  
384 2015; Burberry et al. 2015; Koshnaw et al., 2017; Fig. 3). Figure 10 shows two subdued  
385 topographic rises within this area, both trending northwest-southeast, in parallel with the regional  
386 fold trend. The spacing between these rises is ~20 km, which is similar to the spacing between at  
387 least some of the well-developed folds in the Iraqi Zagros, such as QaraChauq and Kirkuk (Fig.  
388 3). Four topographic profiles have been drawn perpendicular to the hinge lines of the Khderat  
389 Anticline (on the flank of the adjacent Makhool Anticline), and the two newly-identified rises.  
390 These profiles show the similarity in half wavelength and amplitude of the three structures (Fig.  
391 10). It can be seen that the northeastern rise is similar in relative elevation to the Khderat  
392 Anticline (Fig. 10). The SI values show isolated areas of positive values that could represent  
393 youthful topography and uplifted surfaces, with a difference of ~0.02 in the SI value between the  
394 rises and adjacent areas. These areas between the QaraChauq and Makhool anticlines are  
395 represented by the orange color in the SI map (Fig. 7).

396 Drainage patterns were also analysed as an indication of uplift, and show a radial pattern  
397 that reflects a dome-shaped topography (Fig. 11). Tracing the drainage network configuration  
398 shows clearly the occurrence of elongated and low relief structures in a consistent pattern with  
399 the youthful topography highlighted by SI contours (Fig. 7). The notable advantage of the  
400 drainage network analysis is that it shows both crests to the east of the Lesser Zab River, with a  
401 clarity that is not present in the morphotectonic indices or the raw topographic data. These  
402 features are similar in their linkage and orientation with QaraChauq/QaraButak anticlines. In  
403 addition, seismicity data shows a thrust earthquake (Fig. 2 and Fig. 10) with a depth of ~20 km  
404 occurred in 2013 in the vicinity of this uplifted topography.

405 Based on the topography, drainage patterns, and HI and SI values, these two rises  
406 between the QaraChauq and Himreen anticlines are interpreted to represent low relief anticlines  
407 that are growing due to the activity of thrust faults at depth, evidenced by the seismicity in the  
408 region. We informally named the two rises according to the names of the nearest villages as i)  
409 Halwah, which the northern rise towards QaraChauq (Fig. 12), and ii) Shariyah, which is the  
410 southern rise, closer to Makhool. These structures have not previously been mapped in the Iraqi  
411 geological survey publications (e.g. Fouad 2015; Sissakian 2000). The Halwah and Shariyah  
412 structures might be of importance as 4-way closure anticlines that trap oil and/or gas, like the  
413 existing oil and gas fields distributed in the area.

414 Further southwest of the Zagros belt, in the Baghdad Oil Field (central Iraq), seismic  
415 interpretation has demonstrated the presence of subsurface large scale block-faulted structures  
416 with lengths over 120 km and 20-30 km width. These are present from Baghdad (central Iraq),  
417 Balad, Samarra, and Tikrit towards the northwest (Aqrawi et al. 2010 and reference therein).  
418 These structures were deformed by wrench faulting in Late Cretaceous (Cenomanian) and they  
419 have internal horst and graben and flower structures, as described by Kent (2010) for the  
420 Makhool/Khanooqa, Kirkuk/Bai Hassan, and Mansuriya structures. This Cretaceous deformation  
421 took place in the foreland to the present Zagros, i.e. the deformation front related to the  
422 continental collision has not reached as far southwest as the deformation related to the  
423 Cretaceous obduction of ophiolites onto the Arabian margin. In addition, Aqrawi et al. (2010) i.e.  
424 their Figs. 5 and 6, and Mohammed (2006) i.e. his Fig. 8, show that steeply dipping basement  
425 faults control the deformation of Paleozoic, Mesozoic, and Cenozoic sequences for the whole  
426 area of the northwestern Zagros. It is probable that differential rates of movement of these  
427 basement faults might control the uplift of anticlines towards the foreland, but the onset of late  
428 Cenozoic activity did not occur in sequence towards the foreland.

#### 429 *4.5 Balanced cross-section*

430 We have used previously published data for the region in conjunction with our own analysis to  
431 construct a new cross-section across the Kirkuk Embayment (Fig. 13). Outcrop constraints,  
432 including dip and strike data, are extrapolated from fieldwork observations at the east of the  
433 Kirkuk Embayment, which will be reported in detail elsewhere. In particular, this section utilizes  
434 the detailed sub-surface data shown by Kent (2010) in a cross-section for the Mansuriya



435 Anticline, which is located to the southeast of our study area, along strike from the Himreen  
436 Anticline (Fig. 2). Relevant aspects of the Mansuriya Anticline structure include: localized  
437 detachment within the Fat'ha Formation (consistent with the small-scale deformation features  
438 seen in field photographs in the supplementary file); underlying thrust faults that penetrate below  
439 the Mesozoic section towards the basement (consistent with seismicity evidence for the Zagros,  
440 [Nissen et al., 2011](#)); stratigraphic evidence for Cretaceous extensional faulting and subsequent  
441 inversion; divergent thrust faults underlying fold crests and possible flower structure geometries.

442 We use the regional seismicity record as a further guide to the general structural style,  
443 without over-reliance on any single event. In particular, the nodal planes for the earthquakes in  
444 the Iraqi Zagros are relatively steep ( $>30^\circ$ ), regardless of which is the real and which is the  
445 auxiliary plane; this observation is consistent across the great majority of  $M > 5$  earthquakes in  
446 the Zagros ([Nissen et al., 2011](#)). We depict the thrust faults on Figure 13 in keeping with this  
447 observation. If there is a low-angle detachment thrust beneath the Zagros, it is aseismic.  
448 Alternatively, no such thrust exists.

449 Our section in essence repeats these structural styles across the Kirkuk Embayment  
450 anticlines (for which there are limited subsurface data), and furthermore incorporates the Halwah  
451 and Shariyah structures between the QaraChauq and Makhool/Himreen anticlines. These folds,  
452 albeit modest in size, occupy what would otherwise be a gap in the Embayment, and mean that  
453 the typical spacing between folds (and their underlying thrusts) is  $\sim 20$  km (Figure 13).

454

## 455 **5. Discussion**

456 Three possible hypotheses might be envisaged in relation to fold growth, based on the variation  
457 in SI values and other geomorphic indices (Figs. 5-7). First, it may be that the folds grew out of  
458 sequence (in the order of initiation: QaraChauq, Makhool, Himreen, Kirkuk, and finally Halwah  
459 and Shariyah), and at different times (Fig. 12). Second, the folds grew all at the same time, but  
460 with different rates of exhumation. Both of these scenarios mean that the progression of  
461 deformation was not simply from the northeast to the southwest. Third, the folds grew in  
462 sequence towards the foreland, but with different rates of exhumation, to produce the observed  
463 variation in geomorphic indices. This seems the most complicated arrangement, and therefore the



464 least likely. On the basis of all the above-discussed evidence, we conclude that the deformation  
465 in the Kirkuk Embayment does not follow simple foreland progression of deformation from the  
466 northeast to the southwest. Possibly, the arrangement and the apparent order of activity (Fig. 12)  
467 might be attributed to the activity of basement faults, available for reactivation during the late  
468 Cenozoic compressional deformation.

469 Koshnaw et al (2017) also interpreted out-of-sequence thrusting and fold growth in  
470 another area of the Iraqi Zagros, southeast of our study area, based on the different ages of syn-  
471 fold growth strata within the fold-and-thrust belt; their conclusion is entirely consistent with our  
472 independent observations of the same phenomenon, based on the landscape maturity indices.  
473 Where we differ from the interpretation of Koshnaw et al. (2017) is that we do not regard the  
474 later structures as the only ones to be basement-involved, but interpret all of the main thrusts to  
475 be thick-skinned.

476 Flower structures are present in the Mansuriya oil field (MOF) to the east of the Kirkuk  
477 Embayment (Fig. 2), and the Jebissa/Sinjar anticlines and Butmah/Ain Zalah anticlines to the  
478 northwest of the Kirkuk Embayment. These structures were interpreted as reactivated graben  
479 structures which is also a good interpretation for the occurrence of pairs of folds such as  
480 Kirkuk/Bai Hassan, and Makhool/Khanooqa (Kent 2010). It can be inferred that Halwah and  
481 Shariyah anticlines are other examples of flower structures in the region. The distributed strike-  
482 slip earthquakes across the Kirkuk Embayment (Fig. 2) are another suggestion of flower  
483 structure development across the region, i.e. many of the folds expressed at the surface as linear  
484 anticlinal ridges may have a strike-slip component, not obvious in the shallow geology. An  
485 implication of this result is that the strain partitioning of north-south Arabia-Eurasia plate  
486 convergence does not occur via a single strike-slip fault, as is the case further to the southeast  
487 (Talebian and Jackson, 2004), but is instead partly distributed across a family of structures within  
488 the Kirkuk Embayment.

489 The spacing between anticline crests is ~20 km (Fig. 13), identified through the discovery  
490 of the Halwah and Shariyah anticlines by analysis of the drainage patterns and geomorphic  
491 indices. The length of many fold segments is similar (Fig. 3), although there are also long, linear  
492 sections of folds such as the Kirkuk Anticline where no such segmentation has yet been  
493 identified; see Koshnaw et al (2017), Figure 3. This 20 km figure is notable for being similar to

494 the length and separation of Zagros fold segments identified to the southeast, in the Iranian sector  
495 of the range (Ramsey et al. 2008). Both the length and across-strike spacing of the folds (and  
496 inferred underlying faults) may relate to the distribution of pre-Cenozoic normal faults within the  
497 Arabian Plate, reactivated as thrusts by the Cenozoic collision (Ramsey et al. 2008).

498 The amount of late Cenozoic shortening across the new cross-section is relatively small,  
499 in the order of 5%, which is roughly 5 km across the 100 km section line (Fig. 13). It is  
500 consistent with the pattern of narrow anticlines, separated by wide regions of essentially  
501 undeformed strata and levels of exhumation typically no deeper than the Neogene. This result is  
502 therefore no surprise, but emphasizes the likelihood that strain is not evenly distributed across  
503 the Zagros, in the embayments and the adjacent regions to their northeast. In this respect, the  
504 pattern of deformation in the Kirkuk Embayment is similar to the Dezful Embayment to the  
505 southeast. It is very important to use high resolution seismic data to investigate the distribution of  
506 basement faults and to understand the deformation in the Cenozoic strata. This will serve the oil  
507 exploration and also help in understanding the structural evolution of the northwest Zagros.

508

## 509 **6. Conclusions**

510 In this paper geomorphic surface indices provide new insights on the nature of anticline growth  
511 in the Kirkuk Embayment, by revealing different degrees of landscape maturity. It can be  
512 inferred that the Zagros fold and thrust belt does not follow a simple progression from the  
513 northeast towards the foreland over time (Fig. 12). More mature anticlines are located in the  
514 southwest, and the less mature ones in the northeast, such as the Makhool and Kirkuk anticlines  
515 respectively.

516 There are broader implications of this result for the evolution of the Zagros, and fold-and-  
517 thrust belts in general, which is that simple models for the foreland propagation of deformation,  
518 possibly in a critical wedge framework, may not be correct. The departure from such models in  
519 the Kirkuk Embayment may relate to northwest-southeast trending basement faults in the region;  
520 these faults promote out-of-sequence reactivation, dependent on the ease with which fault slip  
521 occurs on each individual structure, rather than regional stresses arising from a critically tapered  
522 wedge.

523 Late Cenozoic shortening across the Kirkuk Embayment is small, in the order of 5%, or  
524 ~5 km in the section line from the Kirkuk Anticline to the deformation front to the southwest  
525 (Fig. 13). Topographic analysis, drainage patterns and the Surface Index parameter reveal two  
526 previously-unrecorded anticlines, which might be possible traps of oil and gas in the region.  
527 Acquisition and analysis of more seismic data is recommended, to investigate the sub-surface  
528 structure.

529

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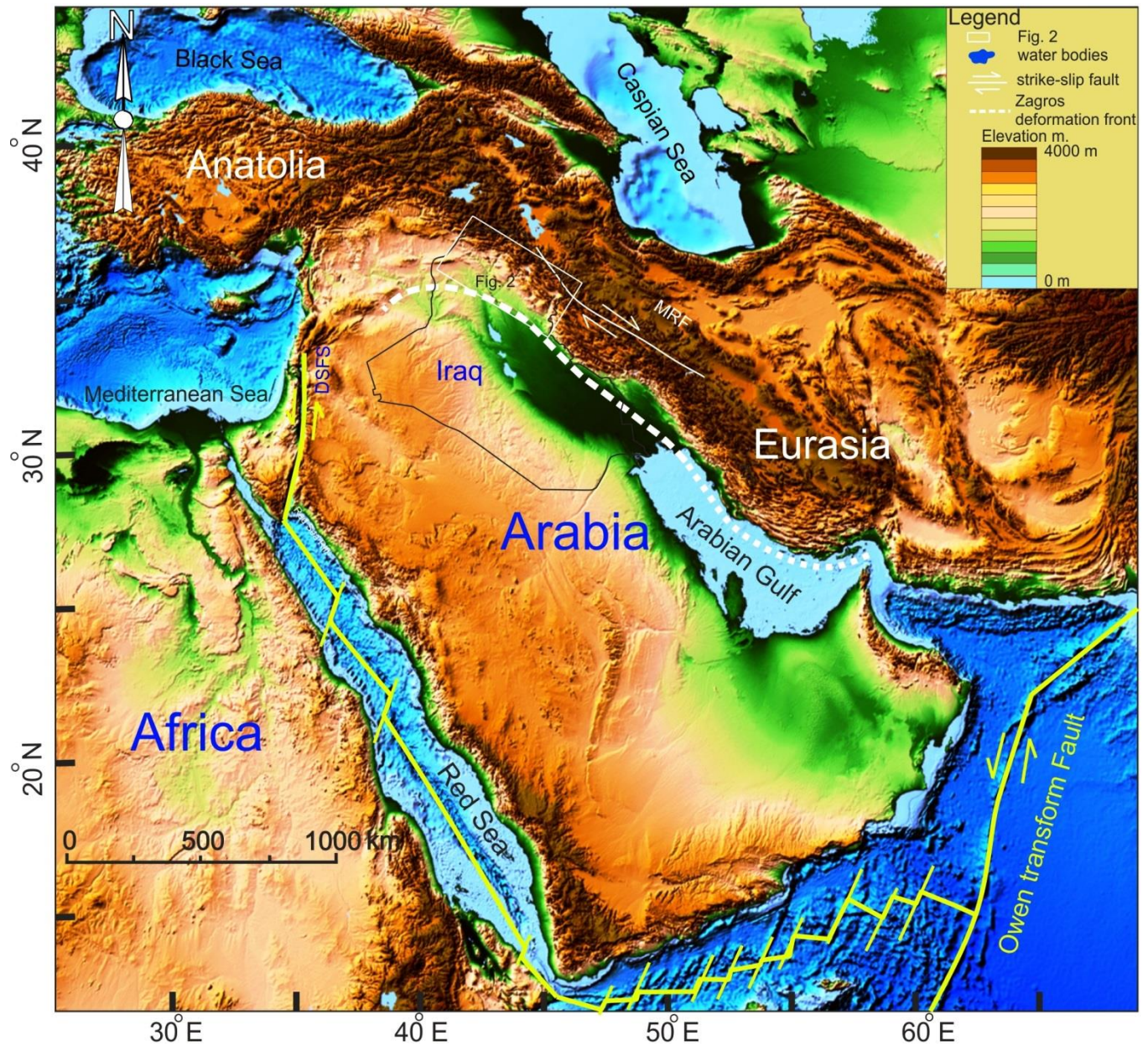
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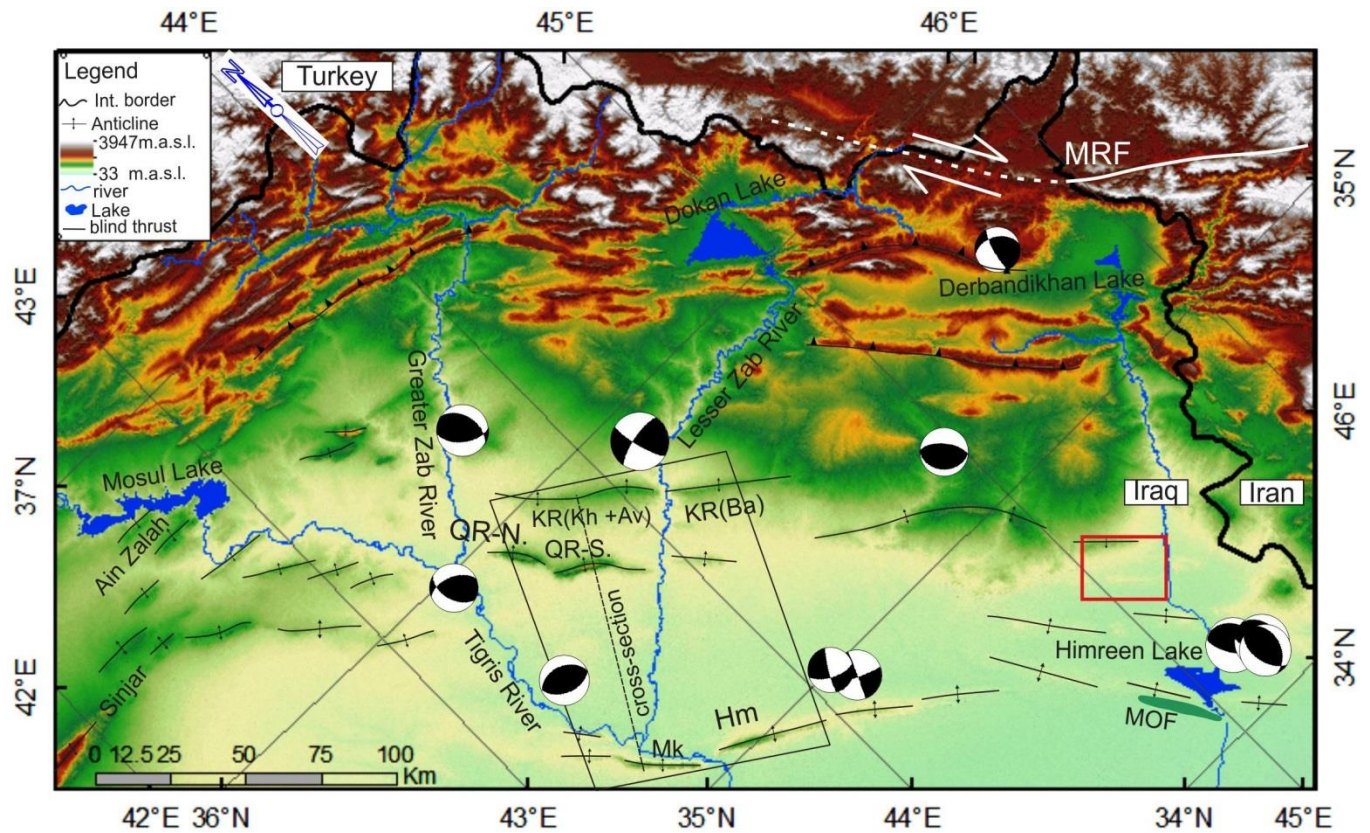
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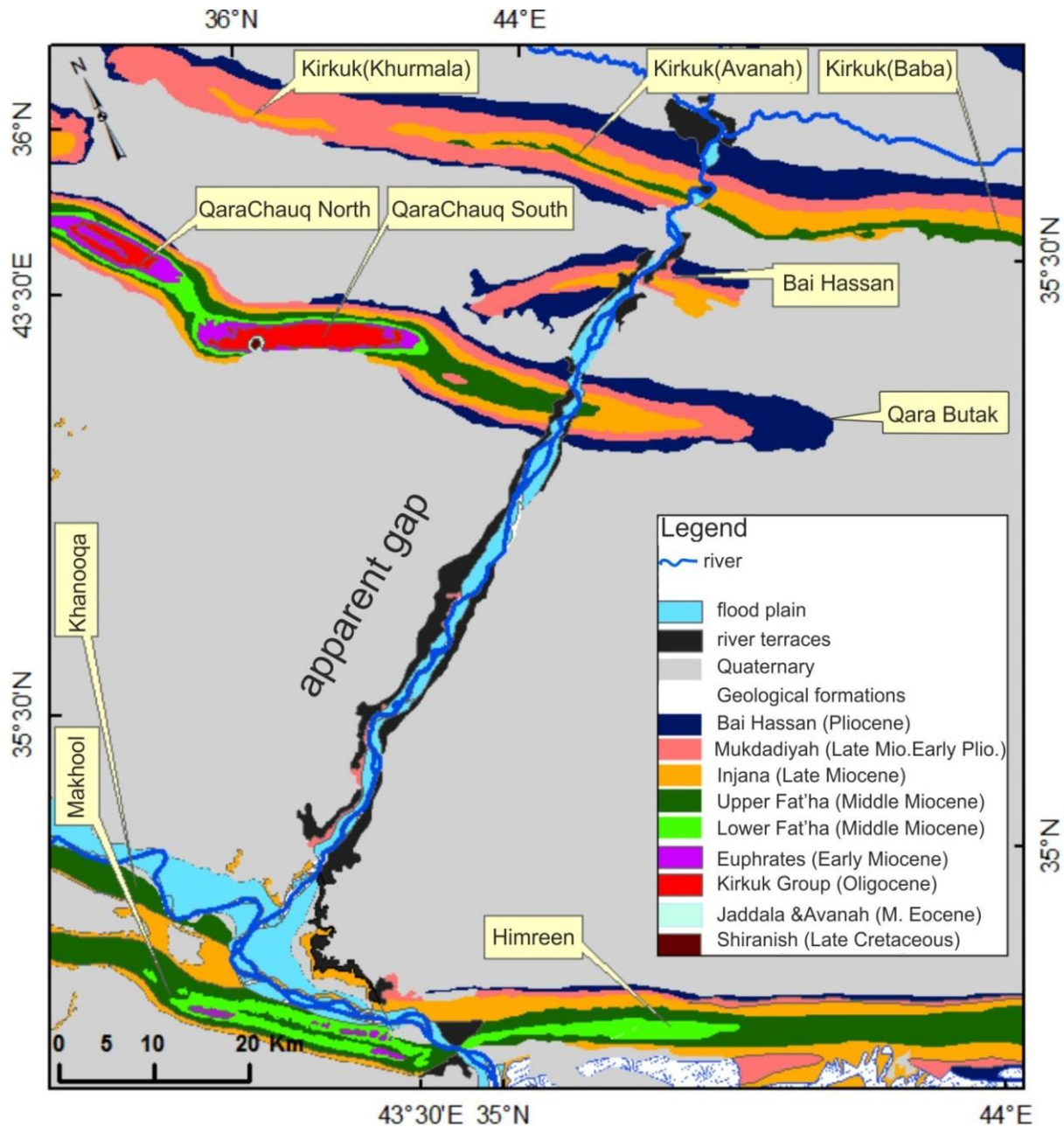
771 **Fig. 1.** ETOPO1 Global Relief Model (National Geophysical Data Centre), showing the regional  
 772 tectonics of the Arabia-Eurasia collision. The dashed white line is the approximate position of  
 773 the deformation front of the Arabia-Eurasia collision. Abbreviation: MRF, Main Recent Fault;  
 774 DSFS, Dead Sea Fault System.





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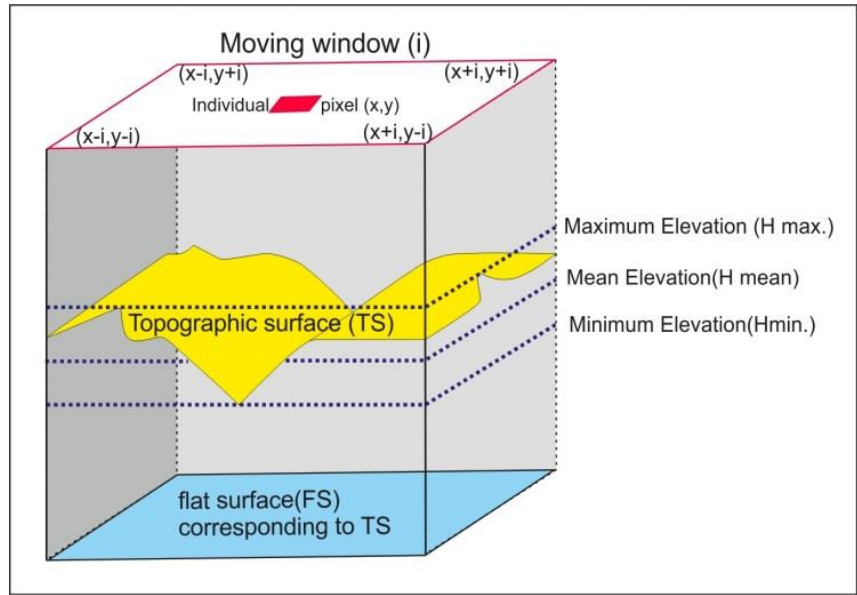
776 **Fig. 2.** Structural map of the northwest part of the Zagros fold and thrust belt. QR-N, QaraChauq  
 777 North; QR-S, QaraChauq South; KR ( Kh.+Av.), Kirkuk (Khurmala and Avana); KR (Ba),  
 778 Kirkuk (Baba); Mk, Makhool; Hm, Himreen; MOF, Mansuriya Oil Field. Focal mechanisms are  
 779 adapted from the Global CMT catalogue, and show the widespread distribution of thrust faulting.  
 780 The black box shows the location of Figs. 3, 5-7 and 11. The red box shows the location of the  
 781 field photographs in the supplementary figure 1.



782

783 **Fig. 3.** Geological map of the NW part of the Kirkuk Embayment (Sissakian 1993; Sissakian  
 784 1995; Zwaid 1993). The Kirkuk Group is the main hydrocarbon reservoir in the region, which is  
 785 mostly limestone. The Fat'ha Formation is mainly anhydrite, with prominent marls and clay  
 786 layers in its upper part. The Injana Formation consists of a variety of non-marine clastic  
 787 sediments. The Mukdadiyah Formation consists dominantly of sandstone. The Bai Hassan  
 788 Formation consists of very coarse-grained conglomerate, with sandstone and clays dominantly in  
 789 the lower part of the formation.

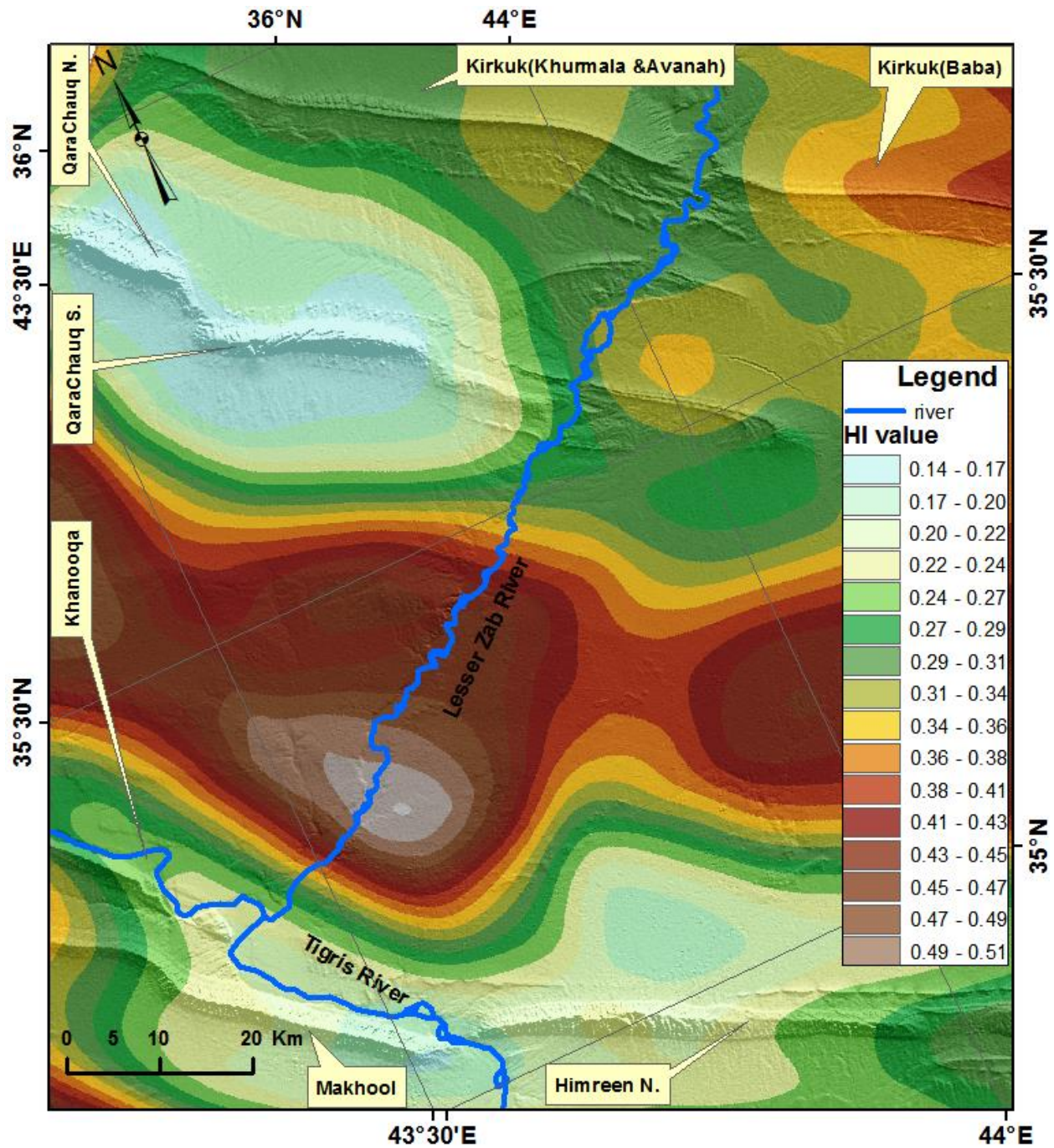
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792 **Fig. 4.** Sketch diagram shows the basic of HI and SR calculation (modified after Andreani et al.  
 793 2014).

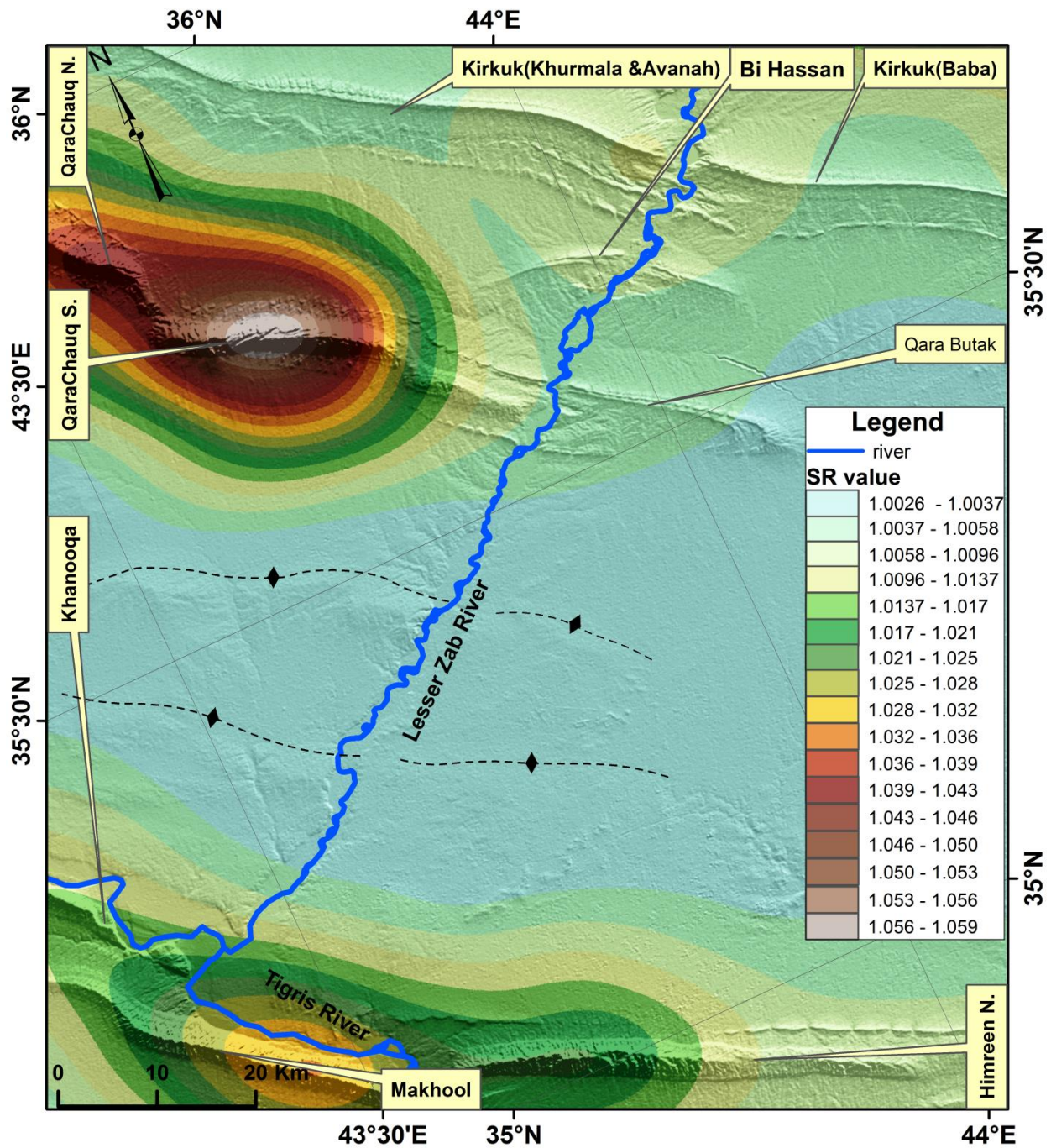




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795 **Fig. 5.** Hypsometric Integral (HI) map for the study area in the Kirkuk Embayment.

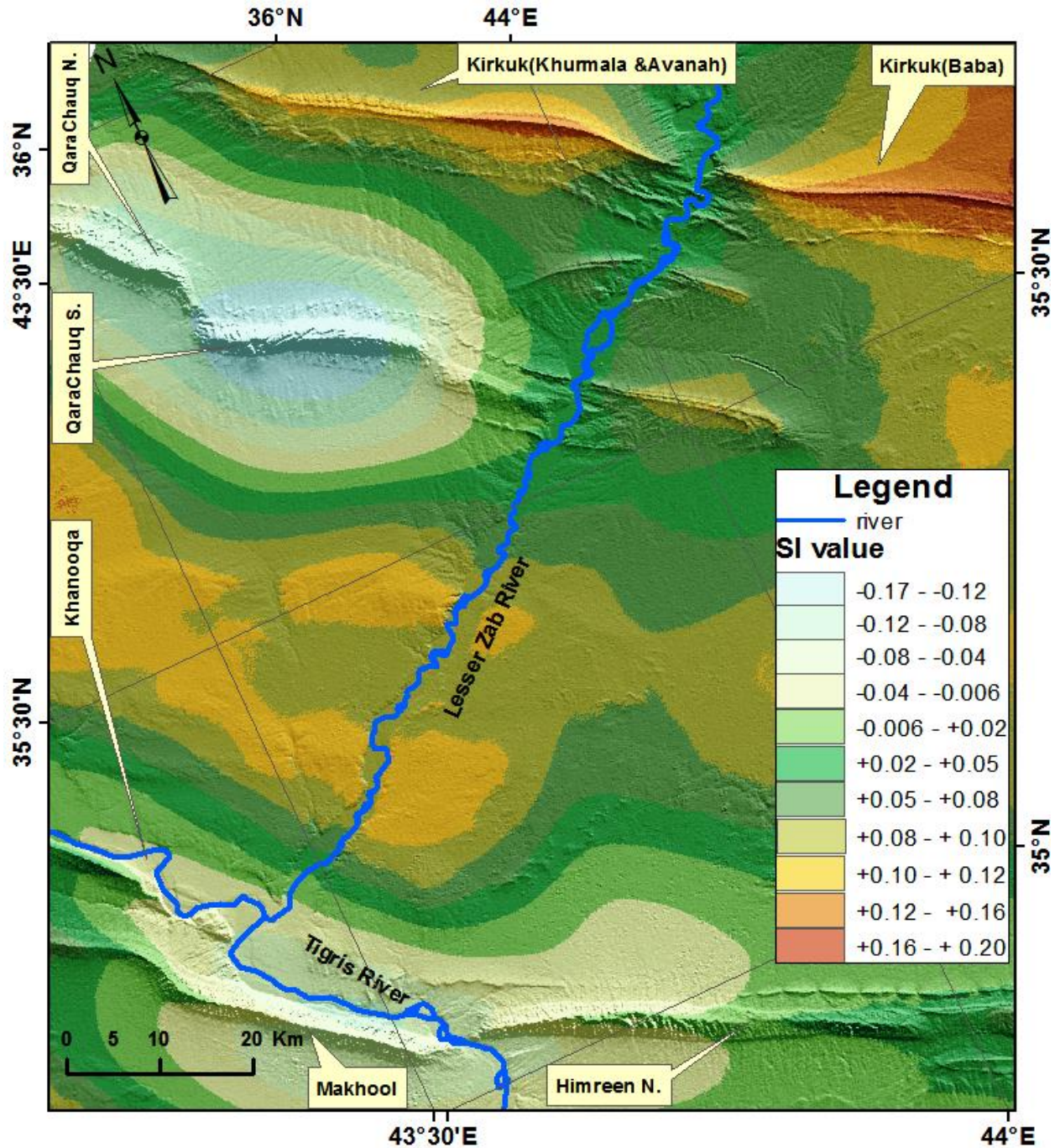




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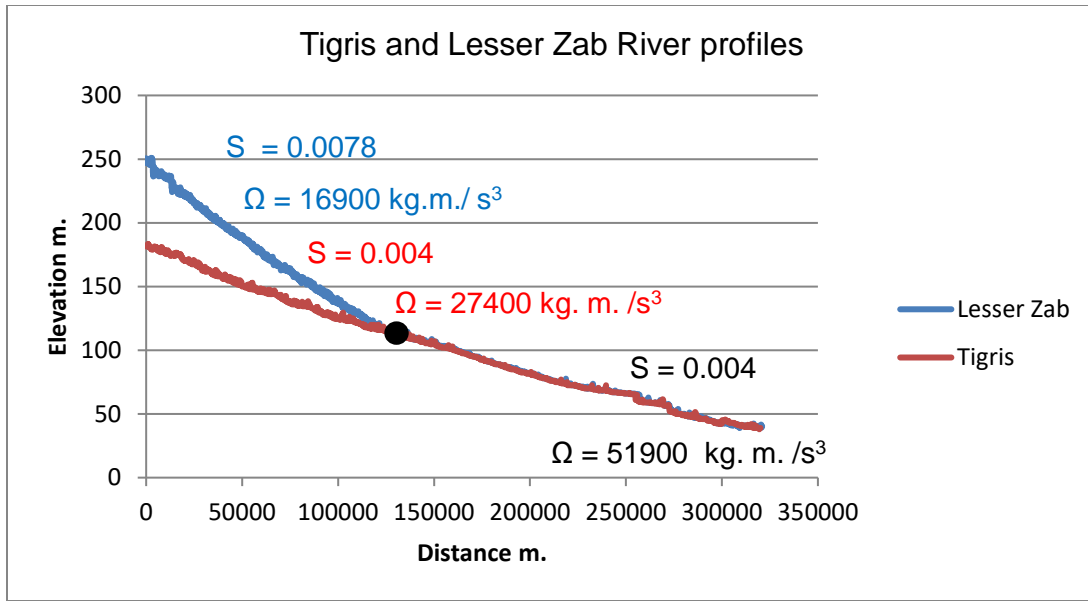
797 **Fig. 6.** Surface Roughness (SR) map, showing different degree of dissection.





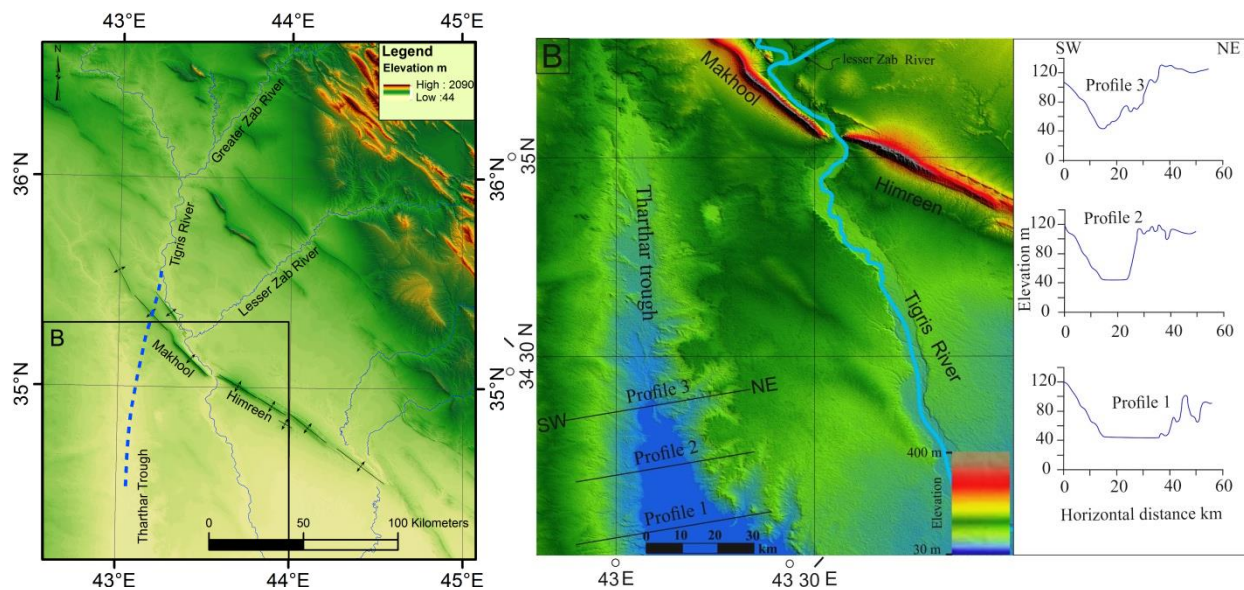
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799 **Fig. 7.** Surface Index (SI) map showing different stage of landscape maturity in the Kirkuk  
 800 Embayment. The positive values represent relatively less mature areas and the negative values  
 801 represent relatively more mature areas. See text for details.



802

803 **Fig. 8.** Longitudinal profile of the Lesser Zab River and Tigris River showing the gradient (S)  
 804 and stream power ( $\Omega$ ) of the two rivers. Also there is no change in the base level of the river as  
 805 knickpoints. The solid black circle represents the confluence point between Lesser Zab River and  
 806 Tigris River.

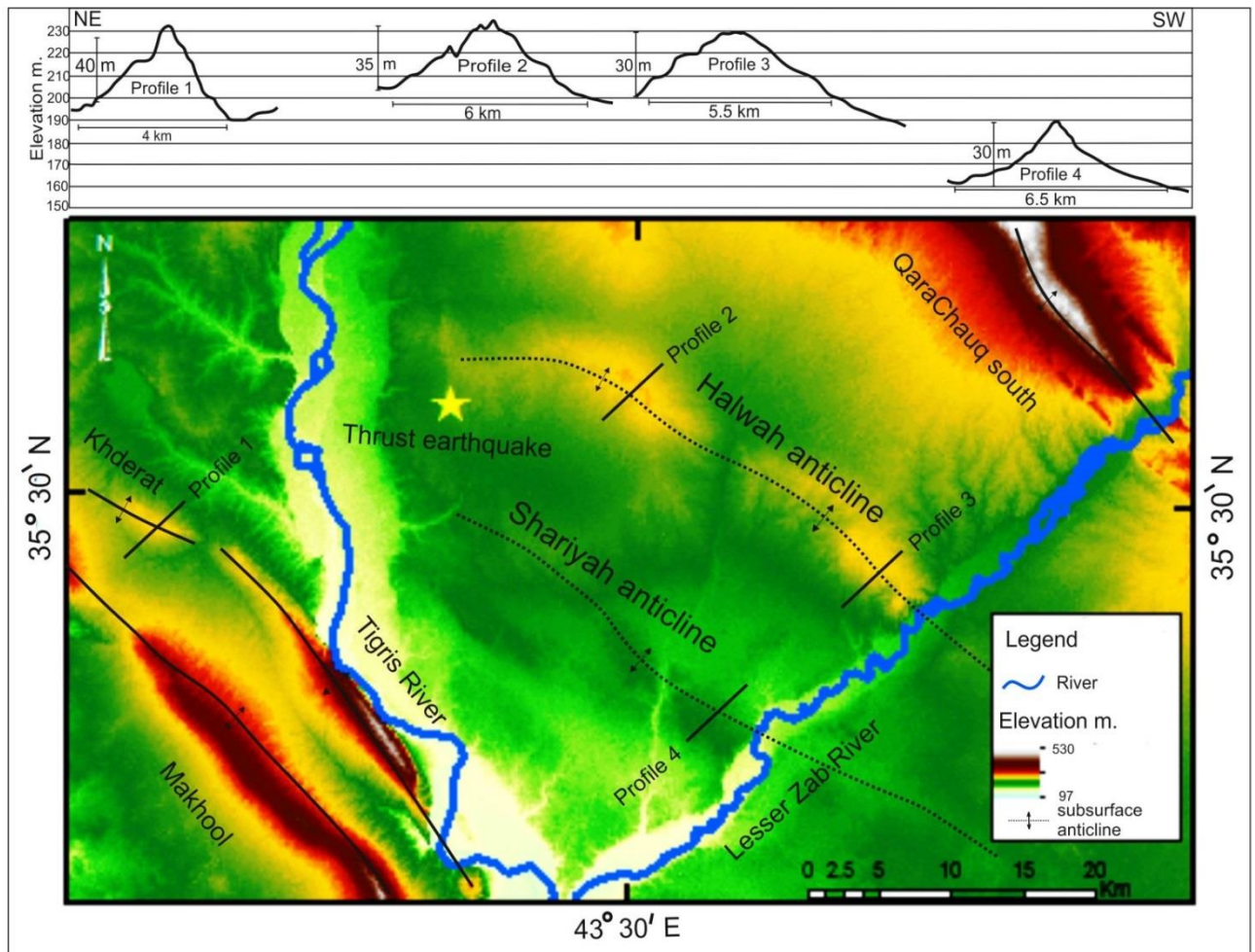


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808 **Fig. 9.** Map showing the location of the Tharthar trough, and the possibility for it being a palaeo-  
 809 channel of Tigris River (inset B). The dashed blue line is the plausible pathway for the Tigris  
 810 River.

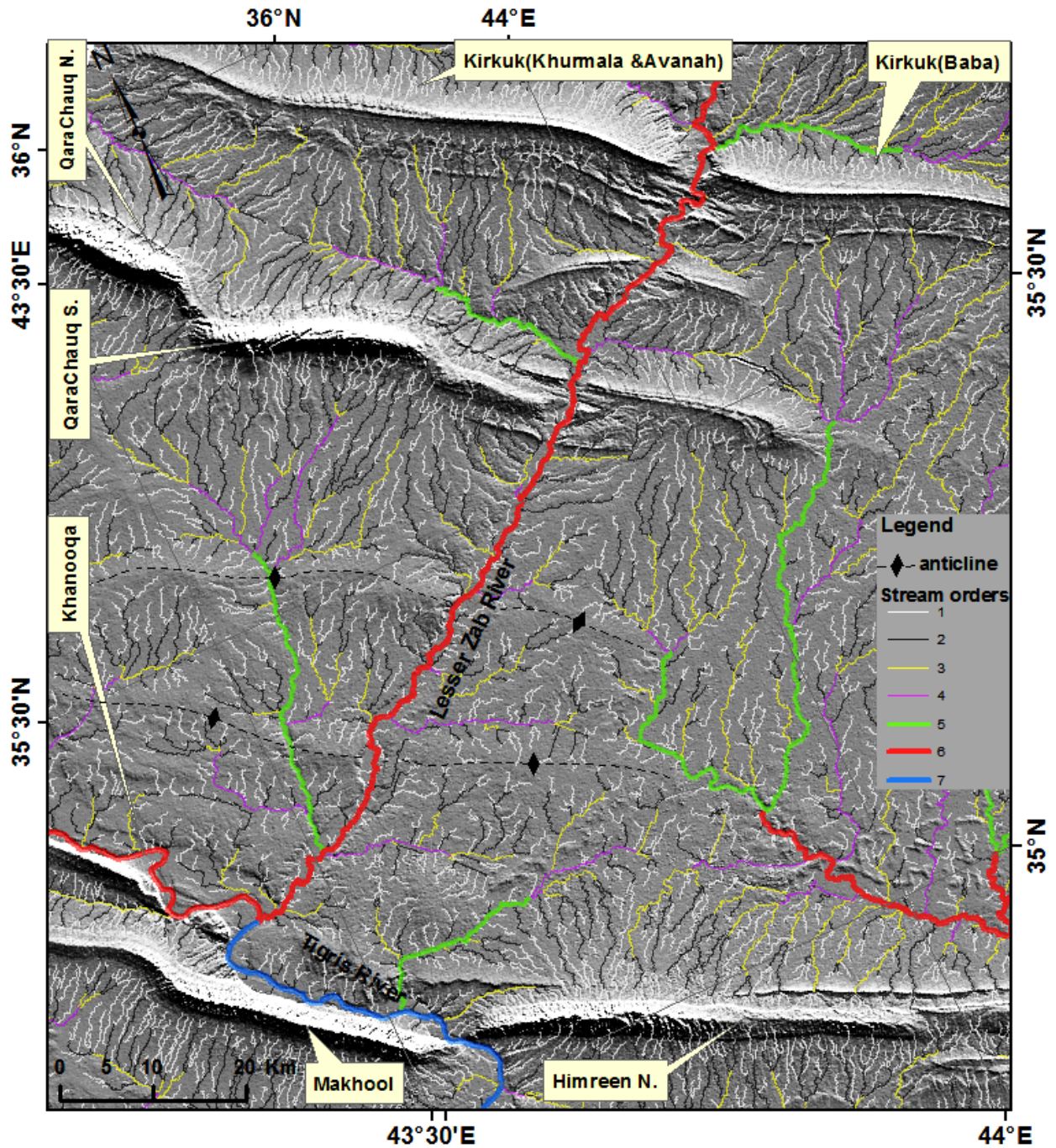


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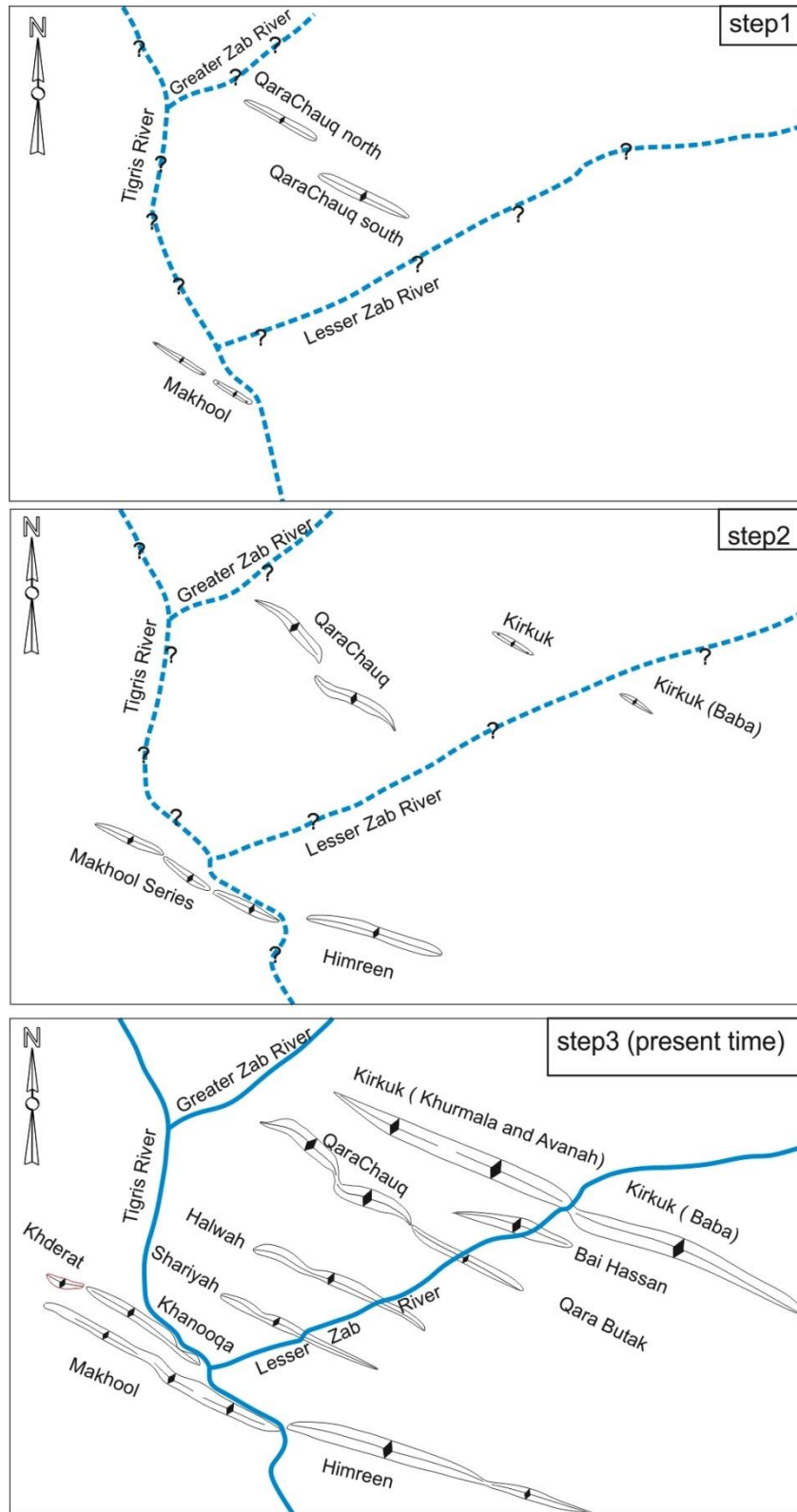
813 **Fig. 10.** Topographic analysis of SRTM data, showing the subdued expressions of the new  
814 anticlines between QaraChauq and Makhool/ Himreen.



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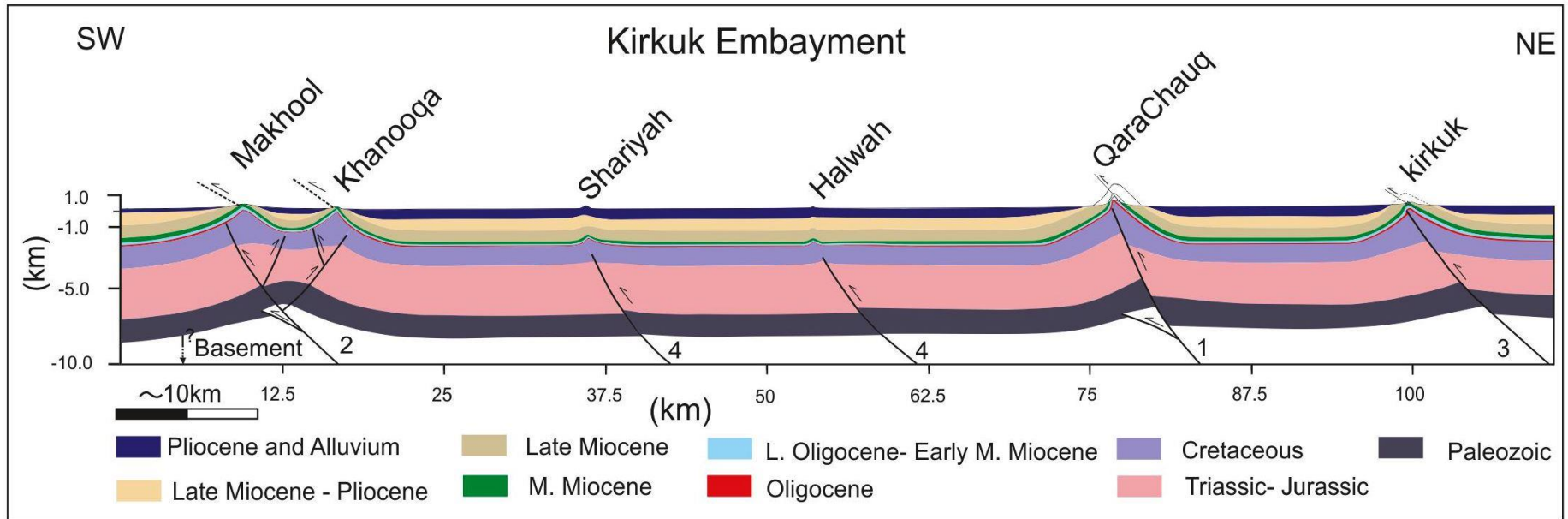
816 **Fig. 11.** Drainage network of the Kirkuk-Himreen area extracted from SRTM 90m according to  
 817 the hierarchical order of Strahler using TecDEM software. Note the radial pattern that reflects  
 818 the subsurface uplift around the new anticlines.





819

820 **Fig. 12.** Conceptual model of anticline growth in the Kirkuk Embayment.



821

822 **Fig. 13.** Balanced cross-section of the Kirkuk Embayment developed using landscape maturity, unpublished surface data (Othman et  
 823 al. 2004) and published subsurface data (Kent 2010), earthquake data (Fig. 2), and field observations from the eastern margin of the  
 824 Kirkuk Embayment. This model shows the local detachment in the Fat'ha Formation and the involvement of basement faults in the  
 825 deformation. Numbers refer to order of faulting: see text.

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