

# A study of non-linearity in rainfall-runoff response using 120 UK catchments

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## Abstract

This study presents a catchment characteristic sensitivity analysis concerning the non-linearity of rainfall-runoff response in 120 UK catchments. Two approaches were adopted. The first approach involved, for each catchment, regression of a power-law to flow rate gradient data for recession events only. This approach was referred to as the recession analysis (RA). The second approach involved calibrating a rainfall-runoff model to the full data set (both recession and non-recession events). The rainfall-runoff model was developed by combining a power-law stream-flow routing function with a one parameter probability distributed model (PDM) for soil moisture accounting. This approach was referred to as the rainfall-runoff model (RM). Step-wise linear regression was used to derive regionalization equations for the three parameters. An advantage of the RM approach is that it utilizes much more of the observed data. Results from the RM approach suggest that catchments with high base-flow and low annual precipitation tend to exhibit greater non-linearity in rainfall-runoff response. In contrast, the results from the RA approach suggest that non-linearity is linked to low evaporative demand. The difference in results is attributed to the aggregation of storm-flow and base-flow into a single system giving rise to a seemingly more non-linear response when applying the RM approach to catchments that exhibit a strongly dual

23 storm-flow base-flow response. The study also highlights the value and limitations in a regionl-  
24 ization context of aggregating storm-flow and base-flow pathways into a single non-linear routing  
25 function.

26 *Keywords:* Regionalization, Recession-slope curve, Ungauged catchments

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## 27 **1. Introduction**

28 Rainfall-runoff modeling has long been recognized as an important methodology for improv-  
29 ing our hydrological understanding of river catchments. Rainfall-runoff models are typically used  
30 to forecast river flow data for a given set of precipitation and potential evapotranspiration data  
31 (Wagener et al., 2001). Such models often have unknown model parameters that can be obtained  
32 by calibrating the models to observed river flow data (Wagener et al., 2001). For ungauged catch-  
33 ments (where no record of flow observations exist), model parameters can be estimated using  
34 regionalization relationships (Young, 2006).

35 Regionalization relationships are typically obtained by calibrating a rainfall-runoff model to  
36 multiple catchments and developing statistical relationships between the model parameters and  
37 non-flow data dependent parameters, often referred to as catchment characteristics (Young, 2006).  
38 Commonly used catchment characteristics include a range of different variables such as catchment  
39 area, soil-type, drainage path length, altitude and aridity (McIntyre et al., 2005; Young, 2006; Ye  
40 et al., 2014).

41 The efficacy of regionalization relationships is often compromised by inter-dependence be-  
42 tween the model parameters themselves. This is because the inter-dependence increases the vari-

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43 ance in the model parameter estimates. Furthermore it is difficult to develop a statistical relation-  
44 ship with catchment characteristics that maintains the complexity of the inter-dependence (McIn-  
45 tyre et al., 2005). These issues become worse with increasing number of model parameters. Vari-  
46 ous strategies have been proposed to manage these issues, including regionalization schemes that  
47 encompass the parameter inter-dependencies, or remove them, or screening of candidate rainfall-  
48 runoff model structures that achieve an acceptable balance between simplicity and capability (Lee  
49 et al., 2005).

50 Most rainfall-runoff models comprise at least two components (Wagener et al., 2001): (1) a soil  
51 moisture accounting process, used to calculate actual evapotranspiration and runoff generation; (2)  
52 a routing function, which transforms the runoff data into an estimate of flow rate at the catchment  
53 outlet. The soil moisture accounting process typically requires at least two model parameters, one  
54 for the capacity of the soil moisture store and another to help describe how actual evapotranspira-  
55 tion and runoff generation change as the catchment becomes progressively dryer (Lee et al., 2005).  
56 The routing function is commonly based on a network of stores each with a defined relationship  
57 between storage and outflow. Most commonly, at least when using daily rainfall-runoff data, the  
58 network comprises of two linear stores in parallel, conceptually representing the storm-flow and  
59 base-flow responses. This routing model requires three parameters: two residence times (one for  
60 each store) and a weighting factor defining the proportion of the runoff generation going to each  
61 store (Lee et al., 2005).

62 The perceived requirement of two residence times is often attributed to the existence of two  
63 modes of behavior: base-flow and storm-flow (Shaw et al., 2010; Beven, 2012). Base-flow is  
64 considered to be due to a slower acting set of hydrological pathways associated with subsurface

65 flow. Conversely, storm-flow is considered to be a faster component associated with flow through  
66 surface channel networks. From a calibration perspective, base-flow is required to satisfy the low  
67 flow rates observed during dry periods whereas storm-flow is required to simulate the high flow  
68 episodes that follow specific storm events.

69 Although conceptually simple, using a soil moisture store combined with two linear routing  
70 stores has had mixed success in terms of well-identified regionalization relationships. Challenges  
71 that have been encountered include the co-dependence of the weighting factor and the storm-flow  
72 residence time, and the high uncertainty in the base-flow residence time (Lee, 2006). Only using  
73 one non-linear routing store, with two parameters rather than three, is one approach to seeking a  
74 more identifiable regionalization relationship. A number of studies have demonstrated that a single  
75 non-linear store can match the performance of more complex routing functions in some types of  
76 gauged catchment (McIntyre, 2013).

77 The most commonly used non-linear routing store equation (e.g. Wittenberg, 1999; McIntyre  
78 et al., 2011; McIntyre, 2013; Ye et al., 2014) takes the form of a well established concept, that  
79 river flow can be approximated as a power law of the volume of water stored in the catchment, i.e.  
80 (Horton, 1945; Brutsaert and Nieber, 1977)

$$q = aV^b \quad (1)$$

81 where  $q$  [ $LT^{-1}$ ] is the river flow rate per unit area of catchment,  $V$  [L] is the volume of water stored  
82 per unit area of catchment and  $a$  [ $L^{1-b}T^{-1}$ ] and  $b$  [-] are empirical coefficients.

83 Considering overland sheet flow, Horton (1945) shows that under laminar conditions (using

84 Poiseuille's law)  $b = 3$  and under turbulent conditions (using the Manning formula),  $b = 5/3$ . Al-  
85 ternatively, assuming that flow occurs through an unconfined aquifer, Brutsaert and Nieber (1977)  
86 show (using Darcy's law in conjunction with the Dupuit assumption, i.e., the Boussinesq equation)  
87 that  $b = 2$ .

88 The power law equation is commonly substituted into a mass conservation statement for the  
89 catchment. During recession periods (i.e., periods of negligible runoff generation), application of  
90 the chain-rule leads to a direct relationship between flow rate and the rate in change of flow rate

$$\frac{dq}{dt} = -\alpha q^\beta \quad (2)$$

91 where  $t$  [T] is time and  $\alpha$  [ $L^{1-\beta}T^{\beta-2}$ ] and  $\beta$  [-] can be found from:

$$\alpha = a^{1/b} b \quad \text{and} \quad \beta = \frac{2b-1}{b} \quad (3)$$

92 and the following inverse relationships apply:

$$a = [\alpha(2-\beta)]^{1/(2-\beta)} \quad \text{and} \quad b = \frac{1}{2-\beta} \quad (4)$$

93 Note, from Eq. (3), it can be seen that  $\lim_{b \rightarrow \infty} \beta = 2$ .

94 For a given set of discrete flow measurements,  $q_n$  [ $LT^{-1}$ ], the coefficients  $\alpha$  and  $\beta$  can be  
95 obtained by linear regression of an approximate form of Eq. (2) (Brutsaert and Nieber, 1977):

$$\ln\left(\frac{q_{n-1} - q_n}{t_n - t_{n-1}}\right) = \ln \alpha + \beta \ln\left(\frac{q_n + q_{n-1}}{2}\right) \quad (5)$$

96 The potential for reducing uncertainty in regionalization relationships makes the single non-  
97 linear store model a potentially attractive replacement for more complex routing models. How-  
98 ever, there have been few empirical studies to explore how catchment characteristics control non-  
99 linearity in flow routing and whether the strength of these relationships permits a regional model  
100 to be proposed.

101 Ali et al. (2014) constructed a physically based hill-slope model to explore relationships be-  
102 tween  $\alpha$ ,  $\beta$  and their physically based model parameters, by fitting Eq. (5) to results from multiple  
103 realizations of the physically based model. Step-wise linear regression analysis suggested that  $\alpha$   
104 and  $\beta$  were most sensitive to topographic slope, surface hydraulic conductivity, and the vertical  
105 exponential rate of decay for saturated hydraulic conductivity.

106 Ye et al. (2014) fitted Eq. (5) to recessions from daily flow data series from 50 river catch-  
107 ments from the eastern United States. They then provided a sensitivity analysis for  $\alpha$  and  $\beta$  with  
108 respect to a range of different catchment characteristics including aridity index, drainage area,  
109 topographic slope, drainage density, soil water storage capacity, mean and standard deviation of  
110 surface saturated hydraulic conductivity and vertical exponential rate of decay for saturated hy-  
111 draulic conductivity. It was found that  $\alpha$  showed a strong sensitivity to a number of catchment  
112 characteristics including soil water storage capacity and surface saturated hydraulic conductivity.  
113 In contrast,  $\beta$  showed sensitivity only to aridity index and the rate of decay for saturated hydraulic  
114 conductivity.

115 The developed regression relationships of Ye et al. (2014) suggest that the non-linearity of  
116 catchment recession response increases with decreasing aridity and increasing soil hydraulic con-  
117 ductivity decline with depth. The highlighted importance of aridity here is cited as representing

118 an important inconsistency with the results obtained by studying the hill-slope model in the Ali et  
119 al. (2014) study.

120 A difficulty with the approach used by the Ye et al. (2014) study is that the application of Eq.  
121 (5) requires that much of the data set is ignored so as to ensure that all flow data used can be  
122 solely attributed to recession. Furthermore, there are many different methods available within the  
123 literature for excluding flow data in this way (e.g. Brutsaert and Nieber, 1977; Rupp and Selker,  
124 2006; Kirchner, 2009), and these can lead to variations in  $\alpha$  and  $\beta$  on the order of those expected  
125 by varying catchment characteristics (Stoelzle et al., 2013).

126 In this article 120 UK catchments, previously studied by McIntyre et al. (2005) and Young  
127 (2006), are revisited to further explore the role of catchment characteristics on non-linearity in  
128 rainfall-runoff response. This study builds on the existing work of Ye et al. (2014) by considering  
129 a broader range of catchment characteristics, commonly associated with the UK flood estimation  
130 handbook (Robson and Reed, 1999). A particular question arising with the UK data set is whether  
131 the lumping of storm- and base-flow responses into one conceptual store, as opposed to the con-  
132 ventional parallel stores used in the UK, can lead to meaningful relationships between parameters  
133  $\alpha$  and  $\beta$  and the CCs. While intuitively the answer is 'no', the lack of nationally available CCs  
134 describing hydrogeology means that regionalization of a base-flow residence time parameter is  
135 problematic anyway (Lee et al., 2005; Lee, 2006), and whether the recession and non-recession  
136 response can be modelled more holistically and parsimoniously on a national scale using the sin-  
137 gular non-linear store is therefore a valid question. Furthermore, to explore the impact of excluding  
138 non-recession data, two modelling approaches are adopted:

139 (1) Recession analysis. The first approach involves fitting Eq. (5) to recession data extracted

140 from each of the 120 UK catchments, analogous to Ye et al. (2014).

141 (2) Rainfall-runoff modelling. The second approach involves obtaining values of  $\alpha$  and  $\beta$   
142 by calibrating a rainfall-runoff model using Eq. (1) in conjunction with the so-called PDM soil  
143 moisture accounting procedure (Moore, 2007). The advantage of this second approach is that both  
144 recession and non-recession data are incorporated into estimates of  $\alpha$  and  $\beta$  and it avoids arbitrary  
145 assumptions about when precipitation and evaporation can be neglected.

146 The structure of this article proceeds as follows. The sources of data for the 120 UK catch-  
147 ments are discussed. The relevant governing equations and methodologies associated with the two  
148 modelling approaches above are described in detail. Calibration, validation and regression results  
149 are presented for four selected catchments. Results from step-wise linear regression analysis for  $\alpha$   
150 and  $\beta$  with respect to the aforementioned catchment characteristics for all 120 catchments and for  
151 both modeling approaches are then presented and discussed.

## 152 **2. Data and methodology**

### 153 *2.1. Data*

154 The data used in this study represents 120 of the catchments previously presented by Young  
155 (2006). Each catchment contains a full set of daily precipitation,  $q_r$  [ $LT^{-1}$ ], monthly Penman Mon-  
156 teith reference crop potential evaporation,  $E_p$  [ $LT^{-1}$ ], and daily river flow data,  $q$  [ $LT^{-1}$ ], for the  
157 period from 01/01/1979 to 31/12/1996. Statistical information regarding catchment characteris-  
158 tics of the catchments studied are presented in Table 1. Selected catchments represent a uniform  
159 coverage across the UK (consider Fig. 1 of Young (2006)), do not include any highly urbanised  
160 catchments, and represent (in a UK context) a broad range of altitudes and size.



161 River flow data were obtained from the UK National River Flow Archive maintained by the  
162 Centre for Ecology and Hydrology. Daily precipitation data were previously derived by Young  
163 (2006) for each catchment using the UK Meteorological Office daily precipitation library and a  
164 modified version of the Triangular Planes interpolation methodology (Young, 2006). Monthly  
165 averaged Penman Monteith reference crop potential evaporation was derived for each catchment  
166 from monthly averaged daily minimum and daily maximum temperature data from 1979 to 1996,  
167 also available from the UK Meteorological Office, using the method described in Example 20  
168 of Allen et al. (1998). See Young (2006) for detail with regards to the derivation of the various  
169 catchment characteristics.

## 170 2.2. Recession analysis

171 Considering the various recession analysis methods discussed in the literature, including those  
172 of Brutsaert and Nieber (1977), Rupp and Selker (2006), Kirchner (2009) and Stoelzle et al.  
173 (2013), the following method was adopted and applied.

174 A set of flow rate gradient decline,  $J_m$  [ $LT^{-2}$ ], corresponding flow rate,  $Q_m$  [ $LT^{-1}$ ], and potential  
175 net precipitation (an estimate of the minimum possible net precipitation assuming actual evapo-  
176 ration = potential evaporation),  $Q_{net,m}$ , are obtained using the expressions (Brutsaert and Nieber,  
177 1977):

$$J_m = \frac{q_{n-1} - q_n}{t_n - t_{n-1}} \quad (6)$$

$$Q_m = \frac{q_{n-1} + q_n}{2} \quad (7)$$

$$Q_{net,m} = \left( \frac{q_{r,n-1} + q_{r,n}}{2} \right) - \left( \frac{E_{p,n-1} + E_{p,n}}{2} \right) \quad (8)$$

178 where  $n$  denotes the  $n$ -th day in the time series of data.

179 Observations where  $J_m < \omega$  (Rupp and Selker, 2006) and  $Q_m < 10 \times Q_{net,m}$  (Kirchner, 2009) are  
 180 excluded so as to ensure that only recession data are incorporated into the subsequent regression  
 181 study, where  $\omega$  is a threshold associated with numerical precision. Following the ideas presented  
 182 by Rupp and Selker (2006),  $\omega$  is set to five times the precision of the flow data for each catchment.  
 183 In this study, the precision of each catchment data set is taken to be the minimum absolute non-zero  
 184 value of  $J_m$  for each catchment. Values of  $\alpha$  and  $\beta$  are then obtained by applying linear regression  
 185 with Eq. (5). Regression is only applied to data from the period 1981 to 1991 to be consistent with  
 186 the calibration period used in the rainfall-runoff modeling described below.

### 187 2.3. *Rainfall-runoff modelling*

188 A disadvantage of the above approach is that much of the high flow rate data is excluded due  
 189 to its association with high net precipitation events. As discussed by Stoelzle et al. (2013), the  
 190 data exclusion method adopted can strongly affect the derived values of  $\alpha$  and  $\beta$ . To explore this  
 191 further,  $\alpha$  and  $\beta$  are re-estimated by calibrating a rainfall-runoff model to the entire set of flow  
 192 data.

193 Following the work of McIntyre (2013), the non-linear routing function associated with Eq.  
 194 (1) is coupled with a one parameter PDM soil moisture accounting procedure (Moore, 2007). The  
 195 governing equations, solution procedures and calibration procedures are described below.

196 2.3.1. Soil moisture accounting

197 Let  $S$  [L] represent the total volume of water stored in soil across the catchment per unit area.

198 A mass conservation statement for  $S$  takes the form

$$\frac{dS}{dt} = q_r - E_a - q_{ro} - q_{in} - q_{vp} \quad (9)$$

199 where  $q_r$  [ $\text{LT}^{-1}$ ],  $E_a$  [ $\text{LT}^{-1}$ ],  $q_{ro}$  [ $\text{LT}^{-1}$ ],  $q_{in}$  [ $\text{LT}^{-1}$ ] and  $q_{vp}$  [ $\text{LT}^{-1}$ ] are the rates of precipitation,  
200 actual evapotranspiration, surface runoff, canopy interception and vertical percolation per unit  
201 area, respectively.

202 The simplest possible model for  $E_a$  is to assume

$$E_a = \begin{cases} 0, & S = 0 \\ E_p, & S > 0 \end{cases} \quad (10)$$

203 In the past, many researchers have assumed that  $E_a/E_p$  increases linearly with  $S$  instead of Eq.  
204 (10) (e.g. Chiew et al., 1993; Lamb and Kay, 2004; McIntyre et al., 2005; Lee et al., 2005; Moore,  
205 2007). However, in this study it was found that Eq. (10) generally led to better model performance  
206 (in terms of  $\Lambda$ , as calculated using Eq. (13)).

207 To determine how much runoff occurs, the so-call probability distributed model (PDM) of  
208 Moore (2007) is imposed using a one parameter exponential distribution function. From the  
209 derivation provided in Appendix A it is shown that

$$q_{ro} = \begin{cases} (S/S_{max})(q_r - q_{in}), & 0 \leq S < S_{max} \\ q_r - E_a - q_{in} - q_{vp}, & S = S_{max} \end{cases} \quad (11)$$

210 where  $S_{max}$  [L] is a calibration parameter, which represents both a maximum possible value of  $S$   
 211 and the mean local storage capacity within the catchment (assuming that local storage capacity is  
 212 exponentially distributed across the catchment, see Appendix A).

213 Interception for woodland canopies is calculated using the interception model described by  
 214 Gash et al. (1995), parameterized using the leafed and leafless canopy parameters obtained from  
 215 Table 5 of Herbst et al. (2008). The proportion of woodland cover for each catchment is obtained  
 216 from data provided by NRFA (2016). Following Sorensen et al. (2014), interception losses from  
 217 non-woodland regions are ignored.

218 For simplicity,  $q_{vp}$  is assumed to be implicitly included in  $q_r$ . Furthermore, the time-lag asso-  
 219 ciated with snow melt is assumed negligible (McIntyre et al., 2005; Young, 2006).

### 220 2.3.2. *Runoff routing*

221 The surface runoff,  $q_{ro}$ , is routed to the catchment outlet using the mass conservation statement

$$\frac{dV}{dt} = q_{ro} - q \quad (12)$$

222 where  $V$  [L] is the volume of water stored per unit area of catchment and  $q$  [ $LT^{-1}$ ] is the river flow  
 223 rate per unit area of catchment found from Eq. (1).

224 *2.3.3. Parameter estimation*

225 The above set of equations is solved using an Euler explicit time-stepping scheme as described  
 226 in Appendix B. The resulting model has just three unknown parameters to be determined for each  
 227 catchment including:  $\alpha$ ,  $b$ ,  $S_{max}$ . It results in a more efficient optimisation of the parameters to  
 228 find  $b$  as opposed to  $\beta$  because  $\beta$  has to be  $< 2$  (recall Eq. (3)), whereas  $b$  is unconstrained.

229 Optimal parameter values are found by minimizing an objective function,  $\Lambda$  [-], found from

$$\Lambda = \left[ \sum_{n=0}^N (\ln q_{o,n} - \ln q_{m,n})^2 \right] \left[ \sum_{n=0}^N (\ln q_{o,n} - \ln \bar{q}_{o,n})^2 \right]^{-1} \quad (13)$$

230 where  $N$  [-] are the number of data points in the calibration period,  $q_{o,n}$  [ $LT^{-1}$ ] is the observed flow  
 231 data,  $\bar{q}_{o,n}$  is the mean observed river flow rate for the calibration period and  $\bar{q}_{m,n}$  is the simulated  
 232 river flow rate data, using the rainfall-runoff model described above. Note that  $(1 - \Lambda)$  represents  
 233 the so-called Nash and Sutcliffe (1970) efficiency criterion for natural logs of discharge (here-  
 234 after referred to as NSE). It is appropriate to use logs here because of the special interest in river  
 235 recession behavior.

236 Because there are only three unknown parameters, it is reasonable to use a local minimiza-  
 237 tion algorithm. For this study, the local minimization routine FMINSEARCH, available in MAT-  
 238 LAB, is used. Seed values adopted for all catchments when starting FMINSEARCH were 0.1  
 239  $mm^{1-\beta} day^{2-\beta}$ , 1.0 and 10 mm for  $\alpha$ ,  $\beta$  and  $S_{max}$ , respectively.

240 The rainfall-runoff model is initialized with  $S = S_{max}/2$  and  $V = V_{max}/2$ . Data used for  
 241 calibration is taken from the period of 1981 to 1991. There is then a two year warm-up period,  
 242 from 1979 to 1981. The remaining data, from 1991 to 1997, is used for validation purposes.

## 243 3. Results

### 244 3.1. Recession Analysis

245 Plots of observed flow rate gradient,  $J_m$ , against discharge rate,  $Q_m$ , are shown for four different  
246 catchments as green dots in Fig. 1. These example catchments are chosen to represent a range of  
247 catchment types in terms of the expected base-flow index, BFIHOST, and average annual rainfall,  
248 SAAR. Subplots a) and d) show results for catchments with low and high BFIHOST, respectively  
249 (see Table 2 for actual values of BFIHOST). Subplots b) and c) show catchments with intermediate  
250 values of BFIHOST. Subplots a) and c) show results for catchments with high SAAR. Subplots b)  
251 and d) show results for catchments with relatively low SAAR. While these four examples provide  
252 a limited sample of the range of hydrological responses over all 120 catchments, they provide a  
253 useful representation of the type of results obtained from the wider analysis.

254 Large values of BFIHOST indicate catchments with a large groundwater component. Ground-  
255 water catchments tend to have relatively larger summer flows and are less responsive to individual  
256 precipitation events, and hence have lower maximum flows, as compared to surface water domi-  
257 nated catchments. This is clearly indicated by comparing Figs. 1 a) and d).

258 The red dots shown in Fig. 1 represent those events that have been classified as recession  
259 events (i.e.,  $J_m \geq \omega$  and  $Q_m \geq 10 \times Q_{net,m}$ ). It is clear that these rules eliminate the majority of  
260 the data. Furthermore, the selected recession data do not contain the higher  $Q_m$  ranges. The red  
261 solid straight lines result from fitting Eq. (5) to the recession data using linear regression, hereafter  
262 referred to as the recession analysis (RA).

263 As a first attempt to understand how the fitting parameters are controlled by catchment char-

264 acteristics (CC), the following study was conducted using the cumulative distribution functions  
265 (CDF) of  $\alpha$  and  $\beta$  resulting from RA for each of the 120 catchments.

266 Note that SPRHOST was excluded from the analysis because it was found to be strongly cor-  
267 related with BFIHOST (i.e., had a correlation coefficient,  $|R| > 0.9$ ). Similarly, DPLBAR and LDP  
268 were excluded because they were found to be strongly correlated with AREA (i.e., had correlation  
269 coefficients,  $|R| > 0.9$ ). Furthermore, ALTBAR and DPSBAR were excluded because they were  
270 found to be strongly correlated with SAAR (i.e., had correlation coefficients,  $|R| > 0.7$ ). Although  
271 this step avoided highly correlated pairs of CCs, a number of significant correlations between  
272 CCs remain that will be considered when interpreting the physical controls on non-linearity. The  
273 correlation coefficients between the CCs discussed above are presented for reference in Table 3.

274 Each of the retained CCs in Table 1 was ranked (from lowest to highest CC value) for the  
275 120 catchments and separated out into lower, middle and higher third sub-samples. CDFs for  $\alpha$   
276 and  $\beta$  were then constructed using the catchments corresponding to each of the three thirds for  
277 each of the CCs. The Kolmogorov–Smirnov (KS) statistic (Ang and Tang, 1975, p. 277–280)  
278 was assessed for each of the CCs by measuring the maximum difference between the CDFs of the  
279 lower and upper third sub-samples. The CCs were then ranked in terms of KS for both the  $\alpha$  and  $\beta$   
280 CDFs. Those CCs that exhibit high KS values can be viewed as having a greater control over the  
281 distribution of values of  $\alpha$  and/or  $\beta$ .

282 Figs. 2a, b and c show the CDFs for the three most sensitive CCs in terms of  $\alpha$  from the RA.  
283 Figs. 2d, e and f show the CDFs for the three most sensitive CCs in terms of  $\beta$  from the RA. The  
284 associated KS values are provided in brackets alongside the x-axis labels.

285 The results suggest that  $\alpha$  is most sensitive to BFIHOST, URBEXT and FARL. Most of the

286 sensitivity appears to be due to BFIHOST. The high BFIHOST catchments correspond to low  $\alpha$   
287 values. When  $\beta = 1$ ,  $\alpha$  can be thought of as the reciprocal of a residence time for a catchment.  
288 The results therefore suggest that high BFIHOST corresponds to higher residence times, which  
289 one would expect.

290 The dependence on FARL can be explained in a similar manner: FARL is an index of flood  
291 attenuation due to lakes and reservoirs, where catchments with larger values of FARL have fewer  
292 lakes and reservoirs connected to the stream network. Therefore, higher values of FARL tend to  
293 have lower residence times, equivalent to higher values of  $\alpha$ , as shown in Figure 2c. Figure 2b  
294 shows that more urbanised catchments are associated with higher residence times. This may be  
295 explained by the fact that urbanised catchments tend to have lower FARL values due to artificial  
296 storage (the URBEXT-FARL correlation in Table 3 is -0.4) leading to longer residence times.  
297 Furthermore, highly urbanised catchments have been excluded from the dataset, so the strong  
298 independent effect of urbanisation on flow residence time, which would tend to reduce residence  
299 times, is not seen in this analysis.

300 For  $\beta$ , the largest values correspond to low PEANN, low URBEXT and high AREA. The idea  
301 that low evaporation and high precipitation leads to greater non-linearity is consistent with the  
302 finding of Ye et al. (2014) that  $\beta$  decreases with increasing aridity. The dependence of URBEXT  
303 mirrors the dependence on PEANN, which is likely to be due to the correlation between these  
304 two CCs (Table 1) rather than any independent effect of URBEXT. For catchments with large  
305 areas, there is a greater likelihood of a storm-flow recession being superimposed on a base-flow  
306 recession. This would cause periods of steeper recessions to be included, and so may explain the  
307 increasing  $\beta$  values with increasing AREA.



308 It is also interesting to note from Fig. 2 that the regression analysis of recession data has led  
309 to the estimation of  $\beta$  values greater than 2 for several catchments, leading to negative values of  
310  $b$  (recall Eq. (4)), which is physically unrealistic. Also, Fig. 2 shows that the higher range of  
311 PEANN catchments do not lead to linear responses, but to  $\beta$  values less than 1.0. This is not  
312 consistent with the values of  $\beta$  applicable to idealised hydrological systems, and is likely to be due  
313 to flood plain storage in low slope catchments (PEANN is negatively correlated with DPSBAR,  
314  $R = -0.44$ ).

315 Regionalization equations were also constructed using step-wise linear regression. Following  
316 one of the approaches adopted by Ye et al. (2014), additional parameters were added until the  
317 so-called Bayesian Information Criterion (BIC) (i.e., Eq. (12) of Ye et al. (2014)) was minimized.  
318 Catchments with  $\beta \geq 2$  were excluded from this process.

319 The step-wise linear regression procedure used can be described in more details as follows:  
320 (1) determine the correlation coefficients of each CC with the parameter of concern ( $\alpha$ ,  $\beta$  etc.);  
321 (2) select the CC with the highest absolute correlation coefficient; (3) develop a linear regression  
322 relationship between this plus any previously selected CC(s) and the parameter of concern; (4) cal-  
323 culate the BIC; (5) determine the correlation coefficients of the remaining CCs with the residuals  
324 between the developing regionalization relationship and the parameter of concern; (6) repeat steps  
325 2 to 4; (7) if the new BIC is less than the previous BIC repeat steps 5 to 7, otherwise consider the  
326 current form of the regionalization relationship to be optimal.

327 The resulting regionalization equations took the form:

$$\alpha = \frac{0.8014 \text{ AREA}^{-0.1788}}{\exp(3.792 \text{ BFIHOST})} \quad (14)$$

$$\beta = \frac{13.53 \text{ AREA}^{0.08887}}{\exp(0.00492 \text{ PEANN} + 0.6063 \text{ BFIHOST})} \quad (15)$$

328 which had correlation coefficients,  $R$ , of 0.78 and 0.62, respectively. The most sensitive CCs  
 329 identified in Fig. 2 (i.e., BFIHOST for  $\alpha$  and PEANN for  $\beta$ ) are present in Eqs. (14) and (15).  
 330 But the regionalization equations also elude to a high dependency of  $\alpha$  on AREA and a high  
 331 dependency of  $\beta$  on BFIHOST. Of particular note is the absence of URBEXT from both Eqs. (14)  
 332 and (15).

333 For comparison, the recession lines resulting from Eqs. (14) and (15) are displayed for each  
 334 of the four example catchments shown in Fig. 1 as red dashed lines. The comparison between the  
 335 regionalization and original recession models is less favorable in Figs. 1b and d.

### 336 3.2. *Rainfall-runoff modeling*

337 Also shown, as black solid straight lines in Fig. 1, are the recession lines derived by calibrating  
 338 the aforementioned rainfall-runoff model to the full set of flow data, during the calibration period  
 339 (1981 to 1991), hereafter referred to as the rainfall-runoff modeling (RM). Recession lines in  
 340 these examples and from RM in general are much steeper than those generated by RA (the red  
 341 solid straight lines, as discussed in the previous section). Steeper gradients imply higher  $\beta$  values  
 342 (recall Eq. (5)). Incorporating the higher discharge rate data, associated with non-recession events,  
 343 generally leads to a more non-linear models. At the same time, using the RM limits the beta

344 values to be physically consistent with the single store model and hence eliminates the previously  
345 mentioned instances where  $\beta \geq 2$ .

346 Fig. 3 shows time-series plots of flow for the four catchments previously presented in Fig. 1.  
347 Note that the time-period shown includes only the validation period (1991 to 1997). The observed  
348 data are presented as a green thick line. The results from the calibrated rainfall-runoff models are  
349 presented as blue lines. Relevant parameter values along with NSE values for both calibration and  
350 validation periods are presented in Table 2.

351 The four catchments represent examples of quite different rainfall-runoff response. It is clear  
352 that the three-parameter rainfall-runoff model is able to capture many aspects of the flow dynamics,  
353 beyond just the recession events, for a range different BFIHOST values. However, the model tends  
354 to underestimate the peak flow rates, although this latter point may be more to do with the fact that  
355 we are using daily as opposed to (say) hourly precipitation data (Wang et al., 2009). The model  
356 is also poor at predicting significant flow events during the summer periods for catchment b) (i.e.,  
357 Fig. 3b), which represents a relatively dry catchment with only a moderate fraction of base-flow  
358 (recall Table 2).

359 Figs. 4a, b and c show the CDFs for the top three most sensitive CCs in terms of  $\alpha$  derived  
360 from RM. Figs. 4d, e and f show the CDFs for the top three most sensitive CCs in terms of  $\beta$   
361 derived from RM. Figs. 4g, h and i show the CDFs for the top three most sensitive CCs in terms  
362 of the PDM parameter,  $S_{max}$ , derived from RM. Again, the associated KS values are provided in  
363 brackets alongside the x-axis labels.

364 As with the RA results presented in Fig. 2a, it is clear from Fig. 4a that higher BFIHOST  
365 leads to lower  $\alpha$  values. Something that is uncommon to the RA results presented in Fig. 2

366 however, is that for the RM results,  $\beta$  shows a strong dependence on BFIHOST as well, with low  
367 BFIHOST leading to a more linear response (see Fig. 4d). It is also found that  $\beta$  is smaller for  
368 wetter catchments (i.e., high SAAR). However, it is also clear from Fig. 4 that  $\beta$  becomes largely  
369 insensitive to BFIHOST when  $BFIHOST > 0.433$  and largely insensitive to SAAR when  $SAAR$   
370  $< 1151$  mm.

371 From Figs. 4g, h and i, it can be seen that the lowest  $S_{max}$  values are found in catchments with  
372 high precipitation (high SAAR), low evaporation (low PEANN) and close to zero urban extent  
373 (i.e.,  $URBEXT < 0.0015$ ), which is consistent with other regionalisation studies (Lee et al., 2006;  
374 Kjeldsen et al., 2005).

375 The results in Fig. 4 are difficult to interpret without looking in more detail at the distribution of  
376 the parameter values and their relationships with each other and CCs. Hence, to explore rainfall-  
377 runoff model parameter sensitivity further, a series of univariate plots are presented in Fig. 5.  
378 There are reasonably high levels of correlation between  $\alpha$  and BFIHOST as well as of  $S_{max}$  with  
379 SAAR and PEANN (Figs. 5a,d and e). In contrast, the correlation between  $\beta$  and its two most  
380 sensitive CCs, BFIHOST and SAAR is quite weak (Figs. 5b and c). From Figs. 5g and h it is  
381 clear that there is very little cross-correlation between  $\alpha$  and  $S_{max}$  as well as  $\beta$  and  $S_{max}$ . However,  
382 in Fig. 5i it can be seen that the correlation coefficient between  $\beta$  and  $\alpha$  is relatively high (as  
383 compared to correlation with CCs) at  $-0.532$ . For comparison, the correlation coefficient between  
384  $\beta$  and  $\alpha$  values obtained from the recession analysis in the previous section was just  $-0.0095$ .

385 Fig. 4 shows that many  $\beta$  values are close to the plausible maximum of 2.0 and considerably  
386 higher than values estimated for idealised hydrological systems. This indicates the widespread  
387 presence of wetness thresholds at which the flow velocities increase markedly, which includes

388 the transition from base-flow dominated to storm-flow dominated flows in catchments where both  
 389 modes exist. This would partially explain why uni-modal catchments, with either very high or  
 390 very low BFIHOST values, tend to have lower  $\beta$  values (Fig. 5b).

391 In the same way as described in the previous sub-section, regionalization equations were also  
 392 derived for  $\alpha$ ,  $b$  ( $\beta$  does not lend itself to regression here because so many values are close to the  
 393 constraint of 2, therefore  $b$  was used instead) and  $S_{max}$  from the RM data. These were as follows:

$$\alpha = \frac{0.4533}{\text{BFIHOST}^{1.758} \text{ SAAR}^{0.4683}} \quad (16)$$

$$\beta = 2 - \frac{4.581 \times 10^{-7} \text{ SAAR}^{1.569}}{\text{BFIHOST}^{0.9324}} \quad (17)$$

$$S_{max} = \frac{\text{PEANN}^{5.519} \exp(13.71 \text{ FARL} + 0.0004602 \text{ SAAR})}{1.377 \times 10^{-11} \text{ SAAR}^{2.617} \exp(4.295 \text{ DPLCV})} \quad (18)$$

394 which have correlation coefficients,  $R$ , of 0.7310, 0.4979 and 0.7930, respectively. Note that the  
 395 regionalization equation for  $b$  is written instead for  $\beta$  by virtue of Eq. (3) (see Eq. (17)).

396 For comparison, the recession lines resulting from Eqs. (16) and (17) are displayed for each of  
 397 the four example catchments shown in Fig. 1 as dashed black lines.

398 Fig. 3 shows as redlines, flow predictions during the validation period using the rainfall-  
 399 runoff model with  $\alpha$ ,  $\beta$  and  $S_{max}$  calculated using Eqs. (16) to (18). From the provided validation  
 400 and regionalization NSE values for the four catchments given in Table 2, it is apparent that the  
 401 regionalization relationships are almost as effective as model calibration in terms of predicting

402 flow data at the four catchments studied. Considering the NSE values for all 120 catchments  
403 shows the generally small loss of performance when moving from using calibrated to regionalised  
404 parameters, for example the respective median NSE values across all 120 catchments are 0.79 and  
405 0.75.

406 Fig. 6 shows how NSE values from the calibration period, the validation period with the  
407 calibration parameters and the validation period using the regionalization equations, Eqs. (16) to  
408 (18), vary with the most sensitive CCs. For all three sets of NSE values, it is found that the best  
409 performing models are in catchments with high precipitation (high SAAR). NSE values are not  
410 found to be that sensitive to other CCs.

### 411 3.3. Comparison of RA and RM methods

412 The plots of BFIHOST against SAAR and PEANN against AREA in Fig. 7 have been con-  
413 structed to further illustrate how  $\beta$  values and model performance vary over the associated parame-  
414 ter space. Figs. 7a and d were constructed as follows. The 120 catchments were ranked according  
415 to  $\beta$  values obtained from the RA method and then split into three groups with equal number of  
416 catchments. The green, blue and red markers in Figs. 7a and d represent those catchments in  
417 groups with the lowest, intermediate and highest values of  $\beta$ , respectively (as indicated by the leg-  
418 end). The plots in Figs. 7b and e were constructed in an identical way except using  $\beta$  values from  
419 the RM method. Figs. 7c and f were also constructed in the same way except using NSE values  
420 from the RM method during the validation period (as opposed to  $\beta$  values).

421 From Figs. 7a and b, it is apparent that both the RA and RM method lead to the highest  $\beta$   
422 values in catchments with relatively low rainfall ( $500 \text{ mm} < \text{SAAR} < 1500 \text{ mm}$ ) and moderate

Table 1: Minimum, mean and maximum values of the catchment characteristics for 120 catchments studied. Definitions are from Robson and Reed (1999) and Young (2006).

Abbreviations	Definitions	min.	mean	max.
ALTBAR	Mean catchment altitude (m above sea level).	38	215	557
AREA	Catchment drainage area (km <sup>2</sup> ).	1.1	271	1700
ASPBAR	Index representing the dominant aspect of catchment slopes (mean aspect, clock wise 0-360°).	0.8	144	359
ASPVAR	Index describing the invariability in aspect of catchment slopes.	0.02	0.192	0.513
BFIHOST	Base-flow index derived using the HOST classification.	0.238	0.496	0.937
DPLBAR	Index describing catchment size and drainage path configuration (km).	1.14	18.6	57.62
DPLCV	Coefficient of variation of the drainage network distances.	0.332	0.435	0.606
DPSBAR	Index of catchment steepness (m/km).	13	97	306
FARL	Index of flood attenuation due to reservoirs and lakes.	0.92	0.99	1.00
LDP	Longest drainage path (km).	2.7	35.0	121
PEANN	1961-1990 standard period average annual potential evaporation (mm).	461	549	654
SAAR	1941-1970 standard period average annual rainfall (mm).	602	1093	2860
SPRHOST	SPR (standard percentage runoff) derived using the HOST classification.	6.9	37.4	58.3
URBEXT	FEH index of fractional urban extent	0	0.010	0.127

423 quantities of base-flow ( $0.3 < \text{BFIHOST} < 0.7$ ). Also shown as black dots are those catchments  
424 that had  $\beta$  values  $\geq 2$  (recall this only occurs using the RA method), which are also mostly located  
425 in this region. From Fig. 7c it can be seen that most of those catchments that scored relatively low  
426 NSE values from the RM method during the validation period are also located in this low SAAR  
427 and medium-range BFIHOST region.

428 A medium-range BFIHOST is indicative of a catchment with both strong base-flow and storm-  
429 flow components (e.g., consider Figs. 3b and c). Arguably, a high  $\beta$  value is likely to arise

Table 2: Some details concerning the catchments used for the results presented in Figs. 1 and 3. Catchments a), b), c) and d) are the catchments used to get the results in Figs. 1a, b, c, d and 3a, b, c, d, respectively. The  $\alpha$ ,  $\beta$ ,  $S_{max}$  are parameters values obtained by calibrating the rainfall-runoff model to the flow data. Calibration NSE, Validation NSE and Regionalization NSE are Nash-Sutcliffe efficiency values obtained during the calibration period, the validation period using the calibrated parameters and the validation period using the regionalization relationships, respectively.

Catchment	a)	b)	c)	d)
Gauge number	80001	54018	55014	43005
AREA (km <sup>2</sup> )	197	170	203	326
BFIHOST	0.376	0.504	0.593	0.903
SAAR (mm)	1352	780	1062	768
PEANN (mm)	507	543	549	592
URBEXT	0.00040	0.00490	0.00230	0.01540
$\alpha$ (mm <sup>1-<math>\beta</math></sup> day <sup><math>\beta</math>-2</sup> )	0.114	0.091	0.032	0.016
$\beta$	1.681	1.976	1.985	1.799
$S_{max}$ (mm)	35.39	83.97	48.89	62.38
Calibration NSE	0.905	0.855	0.902	0.905
Validation NSE	0.898	0.845	0.923	0.921
Regionalization NSE	0.767	0.794	0.867	0.886

430 from such a catchment due to the forcing of this strongly dual-modal hydrological response to be  
 431 represented by a single non-linear store. The results presented in Figs. 7 a and b suggest that this  
 432 is particularly the case for dryer catchments (i.e., low SAAR). Furthermore, the low NSE values,  
 433 associated with low SAAR and medium-range BFIHOST, in Fig. 7 c provides strong evidence  
 434 that a single non-linear store is not suitable for regionalization in this subset of catchments.

435 In Fig. 7d (and also Fig. 2d), it is apparent that high evaporative demand (i.e., high PEANN)  
 436 leads to lower  $\beta$  values when considering the RA method. Consistent with this, Ye et al. (2014)  
 437 found lower values of  $\beta$  to occur in flatter catchments with high aridity index. As discussed  
 438 earlier, there is a moderately negative correlation between evaporative demand and steepness of a  
 439 catchment.

440 Fig. 7e and previous results show that many of the  $\beta$  values estimated using the RM method



Table 3: Correlation coefficients,  $R$ , for the catchment characteristics. See Table 1 for catchment characteristic definitions.

	ALTBAR	AREA	ASPBAR	ASPVAR	BFIHOST	DPLBAR	DPLCV	DPSBAR	FARL	LDP	PEANN	SAAR	SPRHOST	URBEXT
ALTBAR	1.0	0.1	-0.1	0.2	-0.5	0.1	0.2	<b>0.7</b>	0.2	0.1	-0.6	<b>0.7</b>	0.5	-0.4
AREA	0.1	1.0	-0.2	-0.4	0.1	<b>0.9</b>	0.1	0.1	-0.1	<b>0.9</b>	-0.3	-0.1	0.0	-0.1
ASPBAR	-0.1	-0.2	1.0	-0.1	0.0	-0.2	0.1	-0.1	0.1	-0.2	0.2	0.0	0.1	-0.1
ASPVAR	0.2	-0.4	-0.1	1.0	-0.2	-0.5	0.1	0.1	0.1	-0.5	0.1	0.2	0.1	0.1
BFIHOST	-0.5	0.1	0.0	-0.2	1.0	0.1	-0.2	-0.2	-0.1	0.1	0.4	-0.4	<b>-0.9</b>	0.1
DPLBAR	0.1	<b>0.9</b>	-0.2	-0.5	0.1	1.0	0.1	0.0	-0.2	<b>1.0</b>	-0.3	-0.2	-0.1	-0.1
DPLCV	0.2	0.1	0.1	0.1	-0.2	0.1	1.0	0.1	0.2	0.2	-0.1	0.2	0.2	-0.2
DPSBAR	<b>0.7</b>	0.1	-0.1	0.1	-0.2	0.0	0.1	1.0	0.2	0.0	-0.4	<b>0.8</b>	0.3	-0.4
FARL	0.2	-0.1	0.1	0.1	-0.1	-0.2	0.2	0.2	1.0	-0.2	0.1	0.1	0.1	-0.4
LDP	0.1	<b>0.9</b>	-0.2	-0.5	0.1	<b>1.0</b>	0.2	0.0	-0.2	1.0	-0.3	-0.1	-0.1	-0.1
PEANN	-0.6	-0.3	0.2	0.1	0.4	-0.3	-0.1	-0.4	0.1	-0.3	1.0	-0.3	-0.5	0.3
SAAR	<b>0.7</b>	-0.1	0.0	0.2	-0.4	-0.2	0.2	<b>0.8</b>	0.1	-0.1	-0.3	1.0	0.5	-0.2
SPRHOST	0.5	0.0	0.1	0.1	<b>-0.9</b>	-0.1	0.2	0.3	0.1	-0.1	-0.5	0.5	1.0	-0.2
URBEXT	-0.4	-0.1	-0.1	0.1	0.1	-0.1	-0.2	-0.4	-0.4	-0.1	0.3	-0.2	-0.2	1.0

441 are close to the physically plausible upper bound value of 2.0. This result is likely to be due  
442 to the  $\beta$  parameter's role in fitting the rising limb and peak of the hydrograph as well as the  
443 recessions, rather than strong non-linearity in either of these parts of the hydrograph. The highest  
444  $\beta$  values tend to be in catchments with medium to high evaporative demand, which tend to have  
445 medium to high BFIHOST values, and also catchments with lower areas. We speculate that this  
446 is due to the presence of high flow peaks as well as strong base-flow responses in these types of  
447 catchment; while in catchments with very high or low values of BFIHOST and/or with larger areas,  
448 there are simpler responses and/or more potential for smoothing and spatial integration of flow  
449 signals upstream of gauging stations. Therefore, while the use of the parsimonious, 3-parameter

450 rainfall-runoff method may be valuable for regionalisation across some types of catchments and  
451 utilises much more of the rainfall-runoff data, interpretation of the  $\beta$  parameter in terms of physical  
452 processes is arguably better approached using the RA method.

#### 453 **4. Summary and conclusions**

454 The objective of this study was to explore the role of catchment characteristics on non-linearity  
455 in rainfall-runoff response using daily precipitation, potential evapotranspiration and river flow  
456 data from 120 UK river catchments. Two approaches were taken for estimating the power-law  
457 parameters  $\alpha$  and  $\beta$  describing the degree of apparent non-linearity in the catchments: The first  
458 approach involved regression of a power-law to flow rate gradient data for recession events only.  
459 Recession events were identified as those where the flow rate was greater than ten times the precip-  
460 itation minus the potential evapotranspiration. Recession events with flow rate gradients less than  
461 five times the precision of the flow data were excluded. This approach was referred to as the re-  
462 cession analysis (RA). The second approach involved calibrating a rainfall-runoff model to the full  
463 data set (both recession and non-recession events). The rainfall-runoff model was developed by  
464 combining a power-law streamflow routing function with a one parameter probability distributed  
465 model (PDM) for soil moisture accounting. This approach was referred to as the rainfall-runoff  
466 model (RM). The dependency of the estimated parameters on CCs was evaluated by looking at  
467 how strongly the parameter values changed between three ranges of each CC, and also by apply-  
468 ing step-wise linear regression.

469 The RA approach suggests that  $\beta$  values are most sensitive to evaporative demand, with lower  
470 potential evaporation causing higher  $\beta$  values and thus greater non-linearity. This result is similar

471 to that found by Ye et al. (2014) following their application of RA to 50 catchments in the USA.  
472 Specifically, Ye et al. (2014) found that lower aridity index led to higher values of  $\beta$  (see their Eq.  
473 14b). Catchments (from the current study) with high potential evaporation often had  $\beta$  values less  
474 than one, signifying that recession rates become faster as these catchments become drier, which  
475 may be related to flood plain activation in wetter conditions.

476 The RM approach led to contrasting results, with generally much higher  $\beta$  values, and with  
477 high base-flow, low rainfall, high potential evaporation catchments tending to cause the highest  $\beta$   
478 values. The higher  $\beta$  values are likely to be because  $\beta$  has a role in enabling the rainfall-runoff  
479 model to match the high flows as well as the base-flows, especially in catchments where base-  
480 flow is significant but the model still struggles to match peak flows (e.g. Fig. 3d). Despite using  
481 a relatively parsimonious rainfall-runoff model, with only three parameters, the RM approach  
482 suffered more than the RA approach in terms of covariance between the  $\alpha$  and  $\beta$  values. Its  
483 general performance on test catchments in terms of the NSE value is comparable to those achieved  
484 by regionalisation of less parsimonious models (McIntyre et al., 2005; Lee et al., 2006) (noting  
485 that the comparison is not direct because these other studies did not log-transform the flows prior  
486 to calculating the NSE).

487 In conclusion, while there may be value in refining the 3-parameter rainfall-runoff model and  
488 exploring applicability further, the 2-parameter recession analysis gave values of  $\beta$  that have lower  
489 covariance, are more physically plausible and interpretable in terms of the CCs, and are explained  
490 better by the CCs in terms of regression correlation coefficient. The recession analysis found  
491 that catchments with low evaporative demand exhibit greater non-linearity, with values of  $\beta$  more  
492 consistent with theoretical values for idealized catchments, while dryer catchments have  $\beta$  values

493 close to one on average, but with wide variation around this value. This new knowledge of controls  
494 on non-linear recession behavior has potential value in improving regionalization of base-flow  
495 responses, which has consistently been a problem across UK catchments (Lee et al., 2006).

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## 499 **6. References**

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## 560 **Appendix A. The probability distributed model (PDM)**

561 Building on work presented by Moore (2007), below is an explanation of the probability dis-  
562 tributed model (PDM) for relating the rate of runoff,  $q_{ro}$  [ $LT^{-1}$ ], with the volume of water stored  
563 in soil across the catchment per unit area,  $S$  [ $L$ ].

564 Let  $A$  [ $L^2$ ] be the area of the catchment. At any given time, a portion of this area,  $A_c$  [ $L^2$ ],  
565 contains water-logged land surface such that additional precipitation leads to the generation of

566 runoff. Moore (2007) considers the soil storage capacity at any point within the catchment,  $c$  [L],  
 567 to be a random variable defined by a probability density function,  $f(c)$  [L<sup>-1</sup>]. Let  $C$  [L] be the  
 568 maximum value of  $c$  observed within the area  $A_c$ . It can then be stated that  $A_c = F(C)A$  where  
 569  $F(C)$  [-] is the probability of  $c$  not exceeding  $C$ , defined as

$$F(C) = \int_0^C f(c)dc \quad (\text{A.1})$$

570 Moore (2007) further argues that the rate of runoff,  $q_{ro}$  [LT<sup>-1</sup>], can therefore be estimated from

$$q_{ro} = F(C)(q_r - q_{in}) \quad (\text{A.2})$$

571 where  $q_r$  [LT<sup>-1</sup>] and  $q_{in}$  [LT<sup>-1</sup>] are the rates of precipitation and canopy interception, respectively.

572 The water storage level within the catchment is equal to  $c$  in the water-logged regions and  
 573 assumed to be equal to  $C$  outside of these regions. It follows that  $S$  can be calculated from (Moore,  
 574 2007)

$$S = \int_0^C cf(c)dc + C \int_C^\infty f(c)dc = \int_0^C (1 - F(c))dc \quad (\text{A.3})$$

575 If  $c$  conforms to a single parameter exponential distribution, the  $F(c)$  function takes the form  
 576 (Moore, 2007)

$$F(c) = 1 - \exp\left(-\frac{c}{\bar{c}}\right) \quad (\text{A.4})$$

577 where  $\bar{c}$  [L] represents the mean local storage capacity within the catchment.

578 Substituting Eq. (A.4) into Eq. (A.3) leads to

$$S = \bar{c} \left[ 1 - \exp\left(-\frac{C}{\bar{c}}\right) \right] = \bar{c}F(C) \quad (\text{A.5})$$

579 from which it is noted that the maximum possible value of  $S$ ,  $S_{max}$ , is found from

$$S_{max} = \bar{c} \quad (\text{A.6})$$

580 and from Eq. (A.2), that

$$q_{ro} = \frac{S}{S_{max}}(q_r - q_{in}), \quad 0 \leq S < S_{max} \quad (\text{A.7})$$

## 581 **Appendix B. Details of the Euler explicit time-stepping scheme**

582 The set of equations described in Section 2.3 are solved using an Euler explicit time-stepping  
583 scheme. In this way, it can be said from Eqs. (9) and (12) that

$$S_{n+1} = S_n + \Delta t(q_{r,n} - E_{a,n} - q_{ro,n} - q_{in,n} - q_{vp,n}) \quad (\text{B.1})$$

$$V_{n+1} = V_n + \Delta t(q_{ro,n} - q_n) \quad (\text{B.2})$$

584 From Appendix C below it can be seen that stability of the scheme is ensured providing

$$\frac{\partial}{\partial S} (-q_r + E_a + q_{ro} + q_{in} + q_{vp}) < \frac{1}{\Delta t} \quad (\text{B.3})$$



$$\frac{\partial}{\partial V} (-q_{ro} + q) < \frac{1}{\Delta t} \quad (\text{B.4})$$

585 Substituting Eq. (11) into Eq. (B.3) and only considering  $0 \leq S \leq S_{max}$ , Eq. (B.3) can be seen  
 586 to reduce to

$$\frac{\partial q_{ro}}{\partial S} = \frac{q_r - q_{in}}{S_{max}} < \frac{1}{\Delta t} \quad (\text{B.5})$$

587 which, from further consideration of Eq. (11), shows that Eq. (B.1) will remain stable providing  
 588 that when  $0 \leq S \leq S_{max}$ , it is imposed that

$$q_{ro} < \frac{S}{\Delta t} \quad (\text{B.6})$$

589 For the routing function, substituting Eq. (1) into Eq. (B.3), Eq. (B.4) can be seen to reduce to

$$abV^{b-1} < \frac{1}{\Delta t} \quad (\text{B.7})$$

590 Stability for the routing function requires more careful consideration as compared to the soil  
 591 moisture accounting scheme because there is no natural upper limit for  $V$  (note that  $S_{max}$  is the  
 592 upper limit of  $S$ ) and therefore  $V$  is unconstrained. However, to force the stability criterion in Eq.  
 593 (B.7) we can impose that  $V < V_{max}$  where  $V_{max} = (ab\Delta t)^{1/(1-b)}$ , which is achieved as follows.

594 Consider the auxiliary variables,  $q_{trial}$  [L] and  $V_{trial}$  [L], found from:

$$q_{trial} = aV_n^b \quad (\text{B.8})$$

$$V_{trial} = V_n + \Delta t(q_{ro,n} - q_{trial}) \quad (\text{B.9})$$

595 The  $V_{max}$  constraint can be applied by calculating  $q_n$  and  $V_{n+1}$  from:

$$q_n = \begin{cases} q_{trial}, & V_{trial} < V_{max} \\ q_{ro,n} - \frac{(V_{max} - V_n)}{\Delta t}, & V_{trial} \geq V_{max} \end{cases} \quad (\text{B.10})$$

$$V_{n+1} = \begin{cases} V_{trial}, & V_{trial} < V_{max} \\ V_{max}, & V_{trial} \geq V_{max} \end{cases} \quad (\text{B.11})$$

596 In this way, stability is ensured by routing excess runoff direct to the catchment outlet during  
597 exceptionally wet periods.

### 598 **Appendix C. Stability analysis for Euler explicit time-stepping schemes**

599 Consider a differential equation of the form

$$\frac{df}{dt} = -g \quad (\text{C.1})$$

600 Applying an Euler explicit time-stepping scheme leads to a discrete solution of the form

$$f_{n+1} = f_n - \Delta t g_n \quad (\text{C.2})$$

601 where  $\Delta t = t_{n+1} - t_n$ .

602 The approximate solution,  $f(t_n) = f_n$ , is related to the exact solution,  $f_0$ , by

$$f = f_0 + \epsilon \quad (\text{C.3})$$

603 where  $\epsilon$  is the error associated with the approximation.

604 Substituting Eq. (C.3) into Eq. (C.2) leads to

$$\frac{df_0}{dt} + \frac{d\epsilon}{dt} = -g(f_0 + \epsilon) \quad (\text{C.4})$$

605 Applying a Taylor series expansion to  $g(f_0 + \epsilon)$  then leads to

$$\frac{df_0}{dt} + \frac{d\epsilon}{dt} = -g(f_0) - \epsilon \frac{\partial g}{\partial f_0} + O(\epsilon^2) \quad (\text{C.5})$$

606 Recalling that  $f_0$  satisfies Eq. (C.1) exactly, Eq. (C.5) reduces to

$$\frac{d\epsilon}{dt} = -\epsilon \frac{\partial g}{\partial f_0} + O(\epsilon^2) \quad (\text{C.6})$$

607 Applying the Euler explicit time-stepping scheme and rearranging then leads to

$$\frac{\epsilon_{n+1}}{\epsilon_n} = 1 - \Delta t \left[ \frac{\partial g}{\partial f_0} \right]_n + O(\epsilon_n^2) \quad (\text{C.7})$$

608 from which it can be understood that Eq. (C.2) will remain stable providing

$$\frac{\partial g}{\partial f} < \frac{1}{\Delta t} \quad (\text{C.8})$$

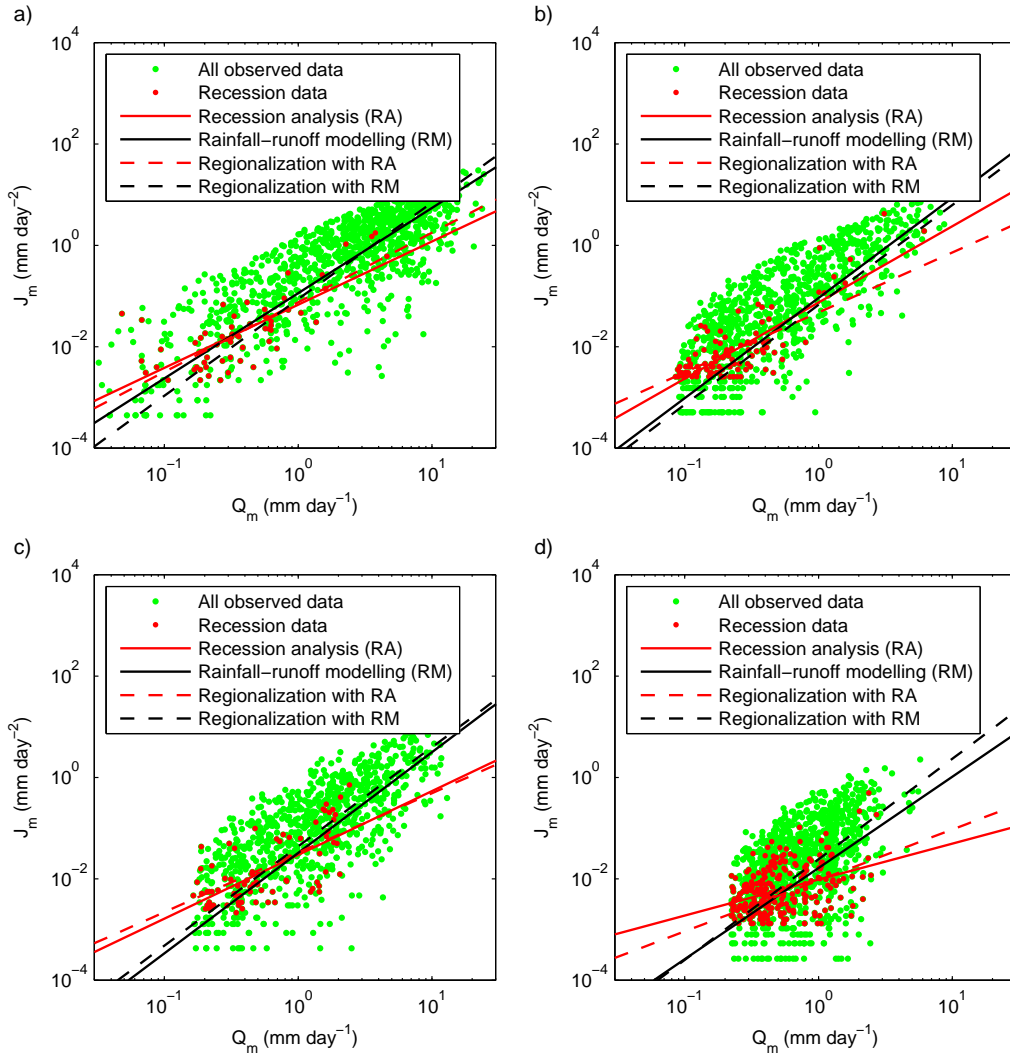


Figure 1: Plots of discharge rate gradient,  $J_m$ , against discharge rate,  $Q_m$ , for four selected catchments. The recession data represents a subset of the observed data where discharge rate is at least ten times larger than the precipitation minus the potential evapotranspiration. The red solid lines were obtained by regression analysis with the recession data, i.e., the recession analysis (RA). The red dashed lines were obtained by using regionalization equations (Eqs. (14) and (15)) derived from  $\alpha$  and  $\beta$  parameters obtained by RA. The black solid lines were obtained by calibrating a rainfall-runoff model (RM). The black dashed lines were obtained by using regionalization equations (Eqs. (16) and (17)) derived from  $\alpha$  and  $\beta$  parameters obtained from the RM calibration.

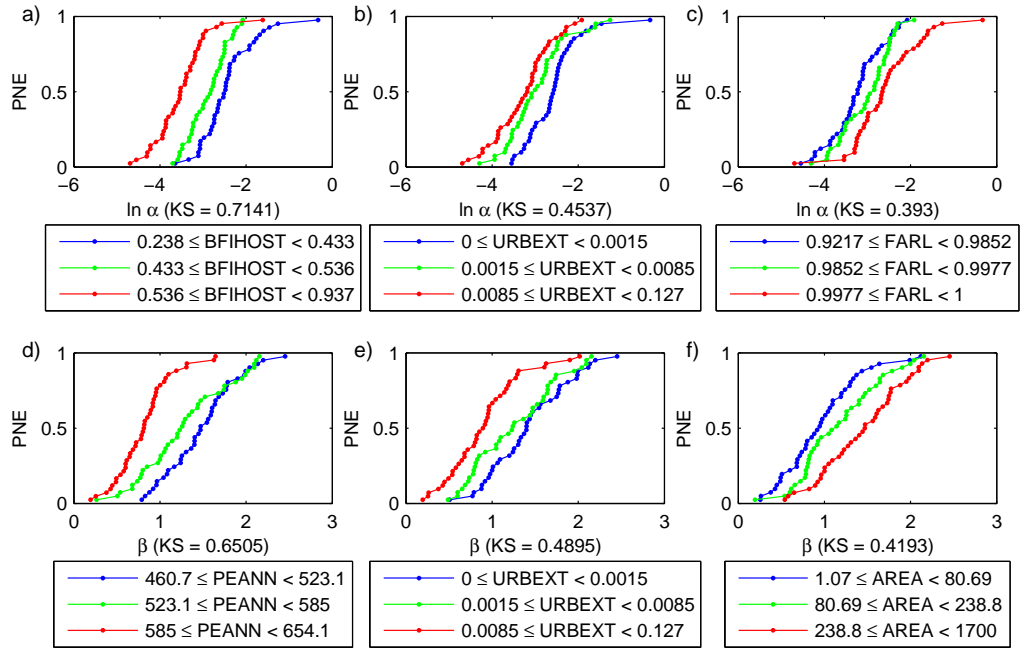


Figure 2: Cumulative distribution functions (CDF) for  $\alpha$  and  $\beta$ , as obtained during the recession analysis, separated out in terms of the lower, middle and upper third ranges of the top three most sensitive catchment characteristics. PNE stands for probability of non-exceedance. The KS values reported alongside the x-axis labels denotes the Kolmogorov-Smirnov statistics between CDFs for the lower and upper thirds.

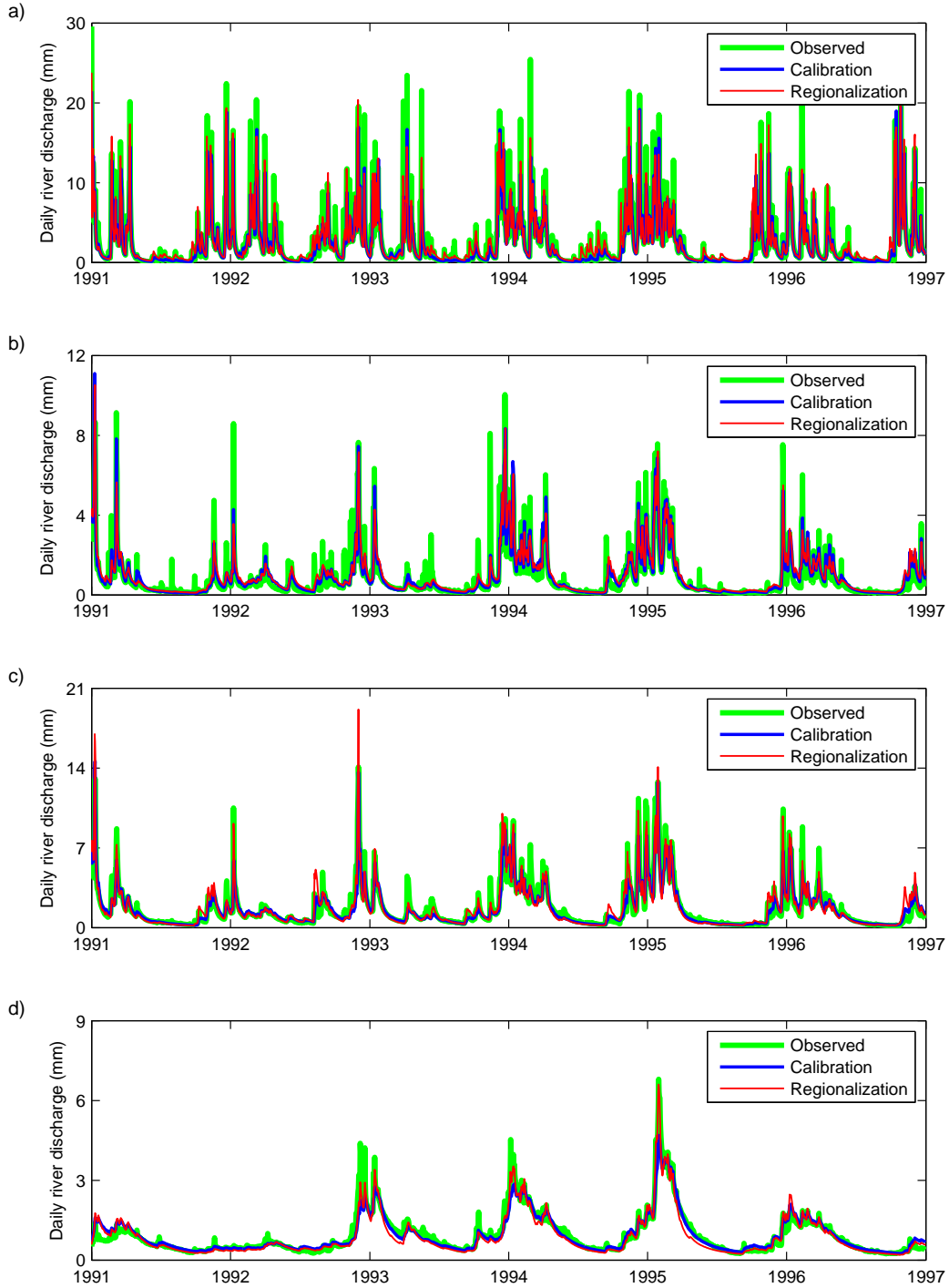


Figure 3: Plots of discharge rate against time during the validation period for the four selected catchments, previously presented in Fig. 1. The green lines are the observed discharge rate. The blue lines were obtained by calibrating the three parameter rainfall-runoff model to data from the calibration period (1981 to 1991). The red lines were obtained using the three parameter rainfall-runoff model in conjunction with the regionalization equations given in Eqs. (16) to (18).

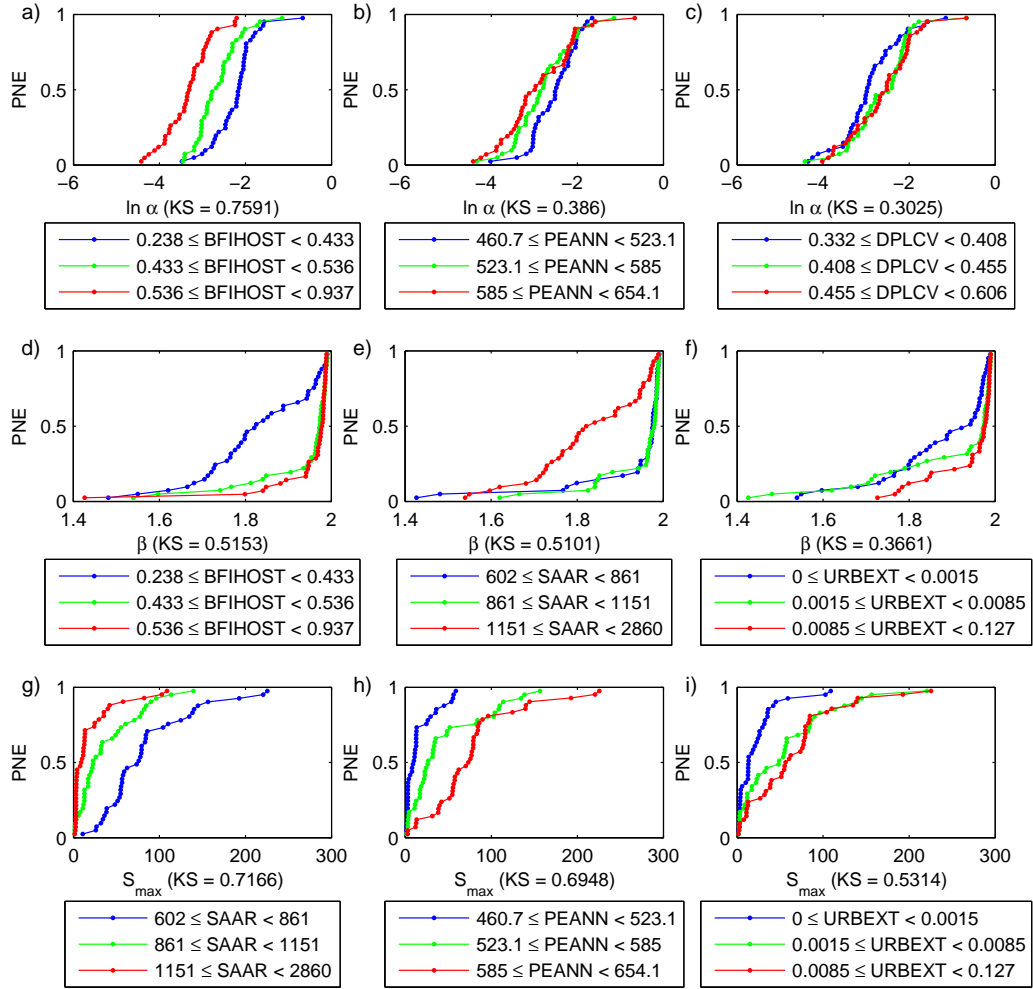


Figure 4: Cumulative distribution functions (CDF) for  $\alpha$ ,  $\beta$  and  $S_{max}$  as obtained during the calibration of the rainfall-runoff model, separated out in terms of the lower, middle and upper third ranges of the top three most sensitive catchment characteristics. PNE stands for probability of non-exceedance. The KS values reported alongside the x-axis labels denotes the Kolmogorov-Smirnov statistics between CDFs for the lower and upper thirds.

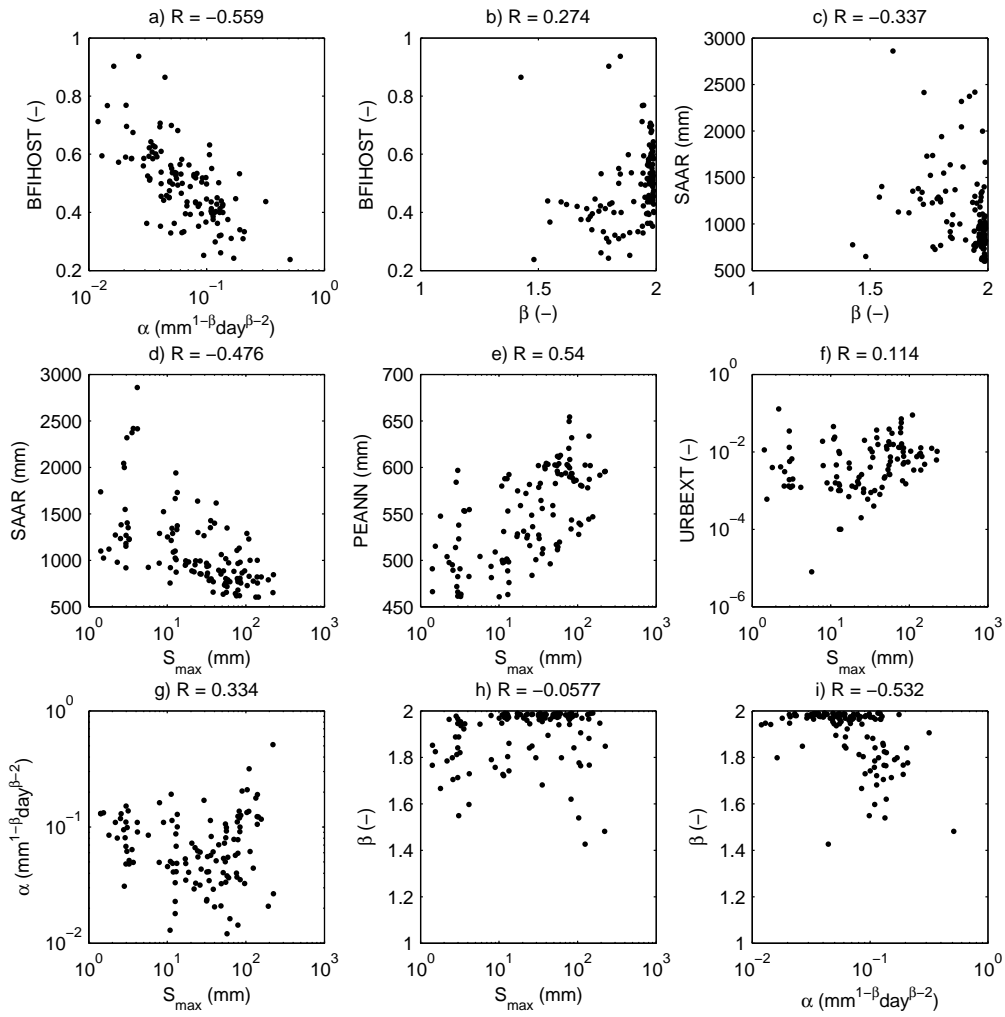


Figure 5: Univariate plots of calibrated rainfall-runoff model parameters plotted against themselves and other sensitive catchment characteristics. The  $R$  values denote the associated correlation coefficients.



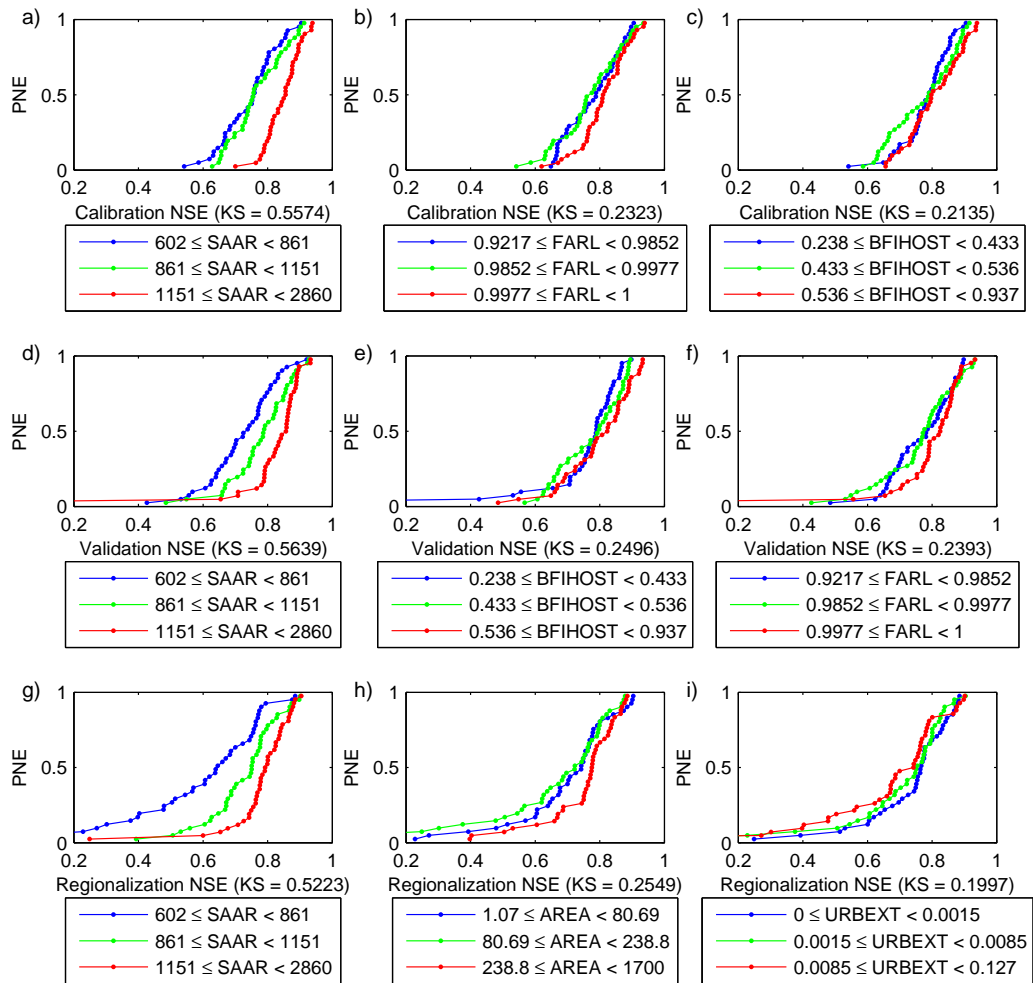


Figure 6: Cumulative distribution functions (CDF) for NSE values for the rainfall-runoff model, for the calibration period, the validation period and the validation period using the regionalization equations, separated out in terms of the lower, middle and upper third ranges of the top three most sensitive catchment characteristics. PNE stands for probability of non-exceedance. The KS values reported alongside the x-axis labels denotes the Kolmogorov-Smirnov statistics between CDFs for the lower and upper thirds.

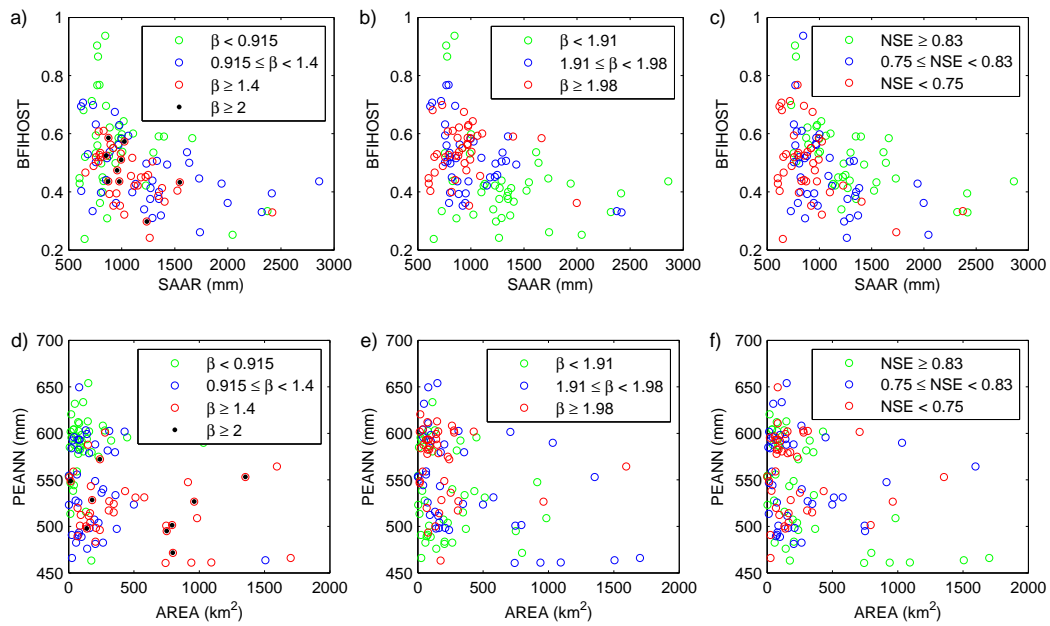


Figure 7: (a, b, c) Plots of BFIHOST against SAAR separated out in terms of the lower, middle and upper third ranges for: a)  $\beta$  as obtained from the recession analysis (RA); b)  $\beta$  as obtained from the rainfall-runoff modelling (RM) calibration; c) NSE values for the rainfall-runoff model during the validation period. (d, e, f) Plots of PEANN against AREA separated out in terms of the lower, middle and upper third ranges for: d)  $\beta$  as obtained from RA; e)  $\beta$  as obtained from the RM calibration; f) NSE values for RM during the validation period.