

1 **Active normal faulting during the 1997 seismic sequence in Colfiorito,**  
2 **Umbria: Did slip propagate to the surface?**

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15 surface slip

## 16 **Abstract**

17 In order to determine whether slip during an earthquake on the 26<sup>th</sup> September 1997  
18 propagated to the surface, structural data have been collected along a bedrock fault  
19 scarp in Umbria, Italy. These collected data are used to investigate the relationship  
20 between the throw associated with a debated surface rupture (observed as a pale  
21 unweathered stripe at the base of the bedrock fault scarp) and the strike, dip and slip-  
22 vector. Previous studies have suggested the surface rupture was produced either by  
23 primary surface slip or secondary compaction of hangingwall sediments. Some authors  
24 favour the latter because sparse surface fault dip measurements do not match nodal  
25 plane dips at depth. It is demonstrated herein that the strike, dip and height of the  
26 surface rupture, represented by a pale unweathered stripe at the base of the bedrock  
27 scarp, shows a systematic relationship with respect to the geometry and kinematics of  
28 faulting in the bedrock. The strike and dip co-vary and the throw is greatest where the  
29 strike is oblique to the slip-vector azimuth where the highest dip values are recorded.  
30 This implies that the throw values vary to accommodate spatial variation in the strike  
31 and dip of the fault across fault plane corrugations, a feature that is predicted by theory  
32 describing conservation of strain along faults, but not by compaction. Furthermore,  
33 published earthquake locations and reported fault dips are consistent with the analysed  
34 surface scarps when natural variation for surface dips and uncertainty for nodal plane  
35 dips at depth are taken into account. This implies that the fresh stripe is indeed a  
36 primary coseismic surface rupture whose slip is connected to the seismogenic fault at  
37 depth. We discuss how this knowledge of the locations and geometry of the active faults  
38 can be used as an input for seismic hazard assessment.

39

## 40 **1. Introduction**

41 For seismic hazard assessment it is important to know the locations and geometries of  
42 active faults, as the proximity of a location to an active fault is a key factor that  
43 determines the predicted degree of shaking (e.g. *Roberts et al., 2004*). There are some  
44 examples of seismic hazard assessment in different tectonic settings that use active fault  
45 traces as an input for probabilistic seismic hazard assessment (PSHA), notably the  
46 Uniform California Earthquake Rupture Forecast (UCERF, *Field et al., 2009*), as well as  
47 PSHA for Taiwan (*Cheng et al., 2007*) and New Zealand (*Stirling et al., 2002*). In the  
48 central Italian Apennines an ongoing debate concerns the locations of active faults with  
49 one key issue being whether earthquake slip at depth propagates to the surface  
50 producing bedrock (carbonate) scarps preserved through the Holocene (*Roberts and*  
51 *Michetti, 2004*). Bedrock fault scarps are well exposed throughout this region, yet it is  
52 debated in the literature whether they should be considered active and forming due to  
53 coseismic slip (*Blumetti et al., 1993; Michetti et al., 2000; Schlagenhauf et al., 2010;*  
54 *Vittori et al., 2011*) or inactive and forming through geomorphic processes such as  
55 landslides (*Anzidei et al., 1999; Cinti et al., 1999; Chiaraluce et al., 2003*). For example,  
56 the Database of Individual Seismogenic Sources (DISS; *Basili et al., 2008*) does not use  
57 the surface traces of faults offsetting bedrock geology and/or Holocene slopes to  
58 delineate the locations and geometries of active faults. Instead fault traces are simplified  
59 into rectangles or boxes that encompass the locations of fault slip from historical  
60 earthquakes or those defined by palaeoseismology (see Figure 1). In contrast, other  
61 fault databases define fault locations and geometries using observations of offset  
62 bedrock geology and Holocene slopes (e.g. *Piccardi et al., 1999; Galadini and Galli, 2000;*  
63 *Vittori et al., 2000; Boncio et al., 2004; Roberts and Michetti, 2004; Faure Walker et al.,*  
64 *2010*). In this paper, we investigate a well-exposed bedrock scarp in Umbria associated

65 with an earthquake in 1997 that shows evidence of surface ruptures to help resolve this  
66 controversy.

67

68 From September 1997 until April 1998 there was a prolonged seismic sequence in the  
69 Umbria region, centred on the town of Colfiorito (the mainshocks are shown in Figure  
70 1). Earthquakes occurred over a north-west to south-east elongated zone approximately  
71 40km long (*Amato et al.*, 1998; *Deschamps et al.*, 2000; *Ripepe et al.*, 2000). There were  
72 three mainshocks during the sequence with  $M_w > 5.5$ ; Event 1 at 00:33 on the 26<sup>th</sup>  
73 September 1997 ( $M_w = 5.7$ ), Event 2 at 09:40 on the 26<sup>th</sup> September 1997 ( $M_w = 6.0$ ) and  
74 Event 3 at 15:23 on the 14<sup>th</sup> October ( $M_w = 5.6$ ). Surface effects of the three mainshocks  
75 were widely recorded in the epicentral region immediately after the earthquakes  
76 occurred (*Basili et al.*, 1998; *Cello et al.*, 1998; *Vittori et al.*, 2000). Such effects included  
77 cracking of road and the ground surface, open fissures, alluvial scarps, landslides, and  
78 the appearance of a brown, soil-covered, stripe at the base of the bedrock scarp. This  
79 stripe is now visible as a pale unweathered stripe at the base of the MLS fault (Figure 1  
80 and 2). A similar pale stripe can be observed along the north-west section of the CSM  
81 fault (Figure 1). There is some controversy regarding joining the surface observations  
82 to the first two main shocks. *Chiaraluce et al.* (2005) hypothesise that Event 1 occurred  
83 on the MLS fault. However according to the observations of the CSM fault before and  
84 after Event 2 (*Cello et al.*, 1998) the surface effects were the same, hence they associate  
85 Event 1 with the CSM fault. However when the earthquake hypocentre are plotted in  
86 relation to the surface expressions of the faults, Event 1 occurred almost directly  
87 beneath the CSM fault (Figure 1). This does not support Event 1 located on the CSM  
88 fault. One possibility is that Event 1 was a composite rupture of the MLS and CSM faults.  
89 Event 2 is also likely to be located on the MLS based on the hypocentre locations. Some

90 authors argue that the stripe at the base of the fault scarp results from coseismic slip  
91 from a mainshock event (*Cello et al., 1998, 2000; Vittori et al., 2000*). In contrast, other  
92 authors argue that all surface effects are secondary (i.e. non-tectonic). *Cinti et al., 2000*  
93 argue for broad NW-SE zones of deformation, comprising of surface breaks and  
94 landslides, these zones partially coincide with the active fault traces in Figure 1. *Basili et*  
95 *al. (1998)* argue that the stripe described formed due to compaction of debris and lower  
96 slope deposits, because their observations suggested that the direction of movement  
97 was parallel to the maximum slope direction.

98

99 This paper tests whether the fresh stripe is produced by compaction or primary  
100 tectonic slip using theory that describes the geometry and kinematics of faulting and  
101 how this controls magnitudes of surface slip (*Faure Walker et al., 2009*). Active faults in  
102 the Italian Apennines and central Greece show variability in their structure on a range  
103 of scales, including metre to kilometre scale corrugations and variations in the Holocene  
104 throw and slip direction along faults (*Roberts and Michetti, 2004; Roberts, 2007; Faure*  
105 *Walker et al., 2009; Wilkinson et al., 2015*). *Faure Walker et al. (2009)* demonstrated a  
106 quantitative relationship showing that strike, dip, Holocene throw and the slip vector of  
107 a fault are interrelated. Assuming that the principal strain rate is constant across a fault,  
108 if the strike becomes more oblique to the regional principal strain, for example around a  
109 bend in the fault plane, the throw rate of the fault will vary in order to preserve the  
110 principal strain rate (*Faure Walker et al., 2009*). This theory is summarised in Figure 2  
111 as a block diagram of a simple fault, more detail can be found in *Faure Walker et al.*  
112 *(2009)*. This highlights the importance for knowing the local fault geometry if the total  
113 throw of a fault is used as a proxy for the activity of a fault. This relationship has the  
114 potential to differentiate between compaction and tectonic slip as the cause of the fresh

115 stripe of rock along the bottom of the fault scarp. For the former, slip will show no  
116 relationship with the geometry and kinematics of bedrock faulting but may correlate  
117 with the hillside geomorphology, whilst for the latter we expect coseismic throw to  
118 increase where the strike and dip of the fault plane change relative to the slip-vector but  
119 should not show a correlation with the hillslope.

120

121 To study the above, high-spatial-resolution structural data has been collected,  
122 supported by TLS (Terrestrial Laser Scanning) of the geomorphology and slopes, along  
123 the Mt Le Scalette fault, situated on the Umbria-Marche regional border, near the town  
124 of Colfiorito. This fault (Figure 1) ruptured during at least one of the mainshocks of  
125 1997 (Event 1 and/or Event 2), and is suggested by some to have ruptured at the  
126 surface (*Cello et al., 1998; Vittori et al., 2000*). We first review the structural setting of  
127 the earthquake before proceeding to an analysis of structural measurements on the  
128 bedrock faults and discussion of their link to the nodal plane dips for the earthquakes.

129

## 130 **2. Geological background**

131 The Italian Apennines are undergoing continental extension, located between the  
132 converging African and Eurasian plates (*Anderson and Jackson, 1987*). There is a narrow  
133 zone of convergence and thrusting along the Adriatic coast at the present day, whereas  
134 inland the thrusting ceased during the Pliocene (*Patacca et al., 1990*). Present day  
135 extension began 2.5 Ma, evident by sediment and fossils infilling extensional basins  
136 (*Cavinato et al., 2002; Roberts and Michetti, 2004*), defined by a series of normal faults  
137 striking north-west to south-east. The Italian peninsula has undergone long wavelength  
138 uplift since the early Pleistocene (*Girotti and Piccardi, 1994; Coltorti and Pieruccini,*  
139 *2000; D'Agostino et al., 2001*), and the distribution and strain-rates associated with the

140 active faults correlates with this long wavelength topography (*Faure Walker et al., 2012;*  
141 *Cowie et al., 2013*).

142

143 Bedrock scarps in Mesozoic limestone are well-exposed in the Italian Apennines. It is  
144 hypothesised that these scarps are formed by tectonic exhumation and preserved since  
145 the end of the Last Glacial Maximum (LGM,  $15\pm 3$  kyr) due to decreasing erosion rates  
146 (*Piccardi et al., 1999; Galadini and Galli, 2000; Roberts and Michetti, 2004, fig.7; Tucker*  
147 *et al., 2011*). This is confirmed by in situ  $^{36}\text{Cl}$  cosmogenic isotope studies of the  
148 exhumation of the fault planes (*Palumbo et al., 2004, Schlagenhauf et al., 2010, 2011*).

149

150 In Umbria, the Mt Le Scalette and the Costa-San Martino faults form bedrock scarps in  
151 Jurassic and Cretaceous limestones, with Oligocene sediments exposed in the hanging  
152 walls. The stratigraphic throws across the Mt Le Scalette and Costa-San Martino faults  
153 are 550-600m (*Mirabella et al., 2005*). The Mt Le Scalette fault borders the internally  
154 draining Colfiorito basin (*Calamita et al., 2000; D'Agostino et al., 2009*). The last known  
155 earthquake prior to 1997 in the area surrounding these faults was in 1279 A.D. (*Boschi,*  
156 *2000; Guidoboni et al., 2007*), but it is not possible to assign this earthquake  
157 conclusively to either fault due to sparse shaking records (*Guidoboni et al., 2007*) and a  
158 lack of paleoseismic trenching on either fault. Instrumentally recorded earthquakes  
159 (1979 Norcia fault  $M_w=5.8$ ; 1984 Gubbio fault  $M_w=5.6$ ; 1997 Colfiorito earthquakes,  
160  $M_w\leq 6.0$ ; *Deschamps et al., 1984; Westaway et al., 1989*) all show normal faulting  
161 mechanisms, which is consistent with the present day tectonic extension (*Anderson and*  
162 *Jackson, 1987; Boncio et al., 2004; D'Agostino et al., 2009*). Here we concentrate on un-  
163 weathered stripes of exposed bedrock that are known to have been exhumed during the

164 earthquake sequence, in an attempt to ascertain whether they have been exhumed by  
165 compaction or primary tectonic slip that can be linked to an earthquake at depth.

166

### 167 **3. Methods**

168 Detailed structural mapping was undertaken on the Mt Le Scalette fault (Figure 1) using  
169 a handheld Garmin GPS to record UTM coordinates of localities along the fault scarp,  
170 with a location accuracy of approximately  $\pm 5\text{m}$ . Structural data were measured on all  
171 exposed fault surfaces. Strike, dip and kinematic measurements were taken using a  
172 compass-clinometer along the fault scarp; these measurements have an accuracy of  $\pm 2^\circ$ .  
173 Slip-vector azimuth measurements were taken from frictional wear striae on the  
174 bedrock scarps and from the geometry of large and small-scale corrugations. Previously  
175 Roberts (2007) has shown that where striae and corrugations are measured at the same  
176 locations on other faults in Italy and Greece, the same azimuth is recovered from each  
177 feature. Note that the fault slip-vector studied must be measured on the bedrock scarp  
178 itself and clearly be part of the structural geology of the fault. Thus, we measure the slip  
179 vector from frictional wear striae and corrugations associated with slickenslides on the  
180 bedrock. In contrast, Basili *et al.* (1998) measure displacements arising from soil sliding  
181 along planes coincident with the fault plane and they observe that the direction of  
182 displacements always seem to be parallel to the direction of maximum slope. We are  
183 aware that slip-vectors measured from deformed soils can converge into incised  
184 hangingwall gullies, suggesting overall down-slope movements (Basili *et al.*, 1998), but  
185 we specifically do not measure from such locations as they do not un-ambiguously  
186 record the tectonic slip vector, but rather record gravitationally-induced shallow mass  
187 wasting. At the base of the Mt Le Scalette scarp, a pale unweathered stripe is observed  
188 (Figure 3). The slip associated with this stripe was measured using a ruler. The upper

189 edge of the stripe is defined where weathering increases over a few millimetres to  
190 centimetres to its top edge and the first occurrence of moss. The base is defined by the  
191 transition to soil in the hangingwall. We define the error in these measurements to be  
192  $\pm 2$  cm (see Fig. 2e and f for detail). The height of the stripe in the plane of the scarp  
193 (slip) was measured in the field and converted to vertical height (throw; using the  
194 measured dip). The structural data were analysed using Stereonet 8 (*Allmendinger et al.*,  
195 2012). A structural map was constructed in the field, noting the location and extent of  
196 fans, gullies and other geomorphic features. Structural data from the bedrock scarp  
197 were added to this map following post-field analysis.

198

### 199 3.1. Terrestrial Laser Scanning

200 TLS data enabled us to interpret the surface geomorphology on a portion of the fault.  
201 The fault surface, footwall and hangingwall topography was captured as x-y-z  
202 coordinate point clouds using a Leica Scanstation C10 instrument. In addition to  
203 background intensity values, an onboard digital camera automatically acquired colour  
204 images that were used to RGB colour-code the point cloud data. Six separate scans were  
205 acquired in three different locations containing c. 30 million individual points in total.  
206 Scans were co-registered to a network of reflectors placed within the point cloud. The  
207 location of each reflector was surveyed using a differential GNSS and during post  
208 processing converted into the Universal Transverse Mercator (UTM) coordinate system  
209 (Zone 33 T). Individual scans were combined in Leica Geosystems HDS Cyclone™  
210 software. To remove vegetation, the point cloud data was processed using a box-filter  
211 with three different box sizes depending on the density of point within the grid-area  
212 sampled. For areas of the point cloud where the number of points per m<sup>2</sup> was less than  
213 2000, the lowest point in a 4 m<sup>2</sup> area was selected. Where the point cloud density was

214 less than 10,000 points per m<sup>2</sup>, the lowest point in every 1m<sup>2</sup> was selected. For a greater  
215 density, the lowest point in every 0.5 m<sup>2</sup> was selected. Manual filtering was then used to  
216 remove any remnants of vegetation from the point cloud. A digital elevation model  
217 (DEM) was created by converting the filtered point cloud to a triangulated irregular  
218 network (TIN) and then to raster format by gridding the data at 1m resolution within a  
219 geographical information system (GIS). Two 10m<sup>2</sup> areas were selected on the  
220 hangingwall and footwall slopes and aspect analysis were performed within the GIS. A  
221 topographic profile was extracted and interpreted from the raw TLS point cloud data.

222

## 223 **4. Results**

### 224 4.1 Structural Mapping

225 The structural map constructed (Figure 4) shows the fault scarp trace for a 600 m long  
226 section of the fault, with structural, kinematic and geomorphological data along the  
227 section. The constructed map shows that there is variability in the strike of the fault  
228 scarp and that corrugations/bends can be seen at many different scales. For example, a  
229 large-scale corrugation that is convex to the SW exists over a distance of ~350 m along  
230 strike between points B' and B on Figure 4 and is also evident on the strike versus  
231 distance graph in Figure 5a. Note this variability in the trace of the fault is a real  
232 corrugation (i.e. not just a change in map trace due to topography), confirmed by  
233 topographic contours (Figure 4) and the field measurements taken along the fault scarp  
234 (Figure 5a).

235

236 To test whether the slip-vector changes across the corrugation, the slip vector was  
237 measured at four locations across the corrugation between B' and B from frictional  
238 wear striae on the fault plane. The mean slip vector plunge and azimuth is 61° towards

239 211°, with little variation between the 4 sites (< 13° variation defined by 202°, 206°,  
240 212° and 215° for the azimuth values), despite the fact the strike changes by ~40°  
241 across the same corrugation. Thus, it appears that the mean slip-vector is in accord with  
242 the resolved shear strain from the larger-scale strain tensors on the fault plane (e.g.  
243 *Roberts, 1996a, 1996b, 2007*) and is unaffected by small-scale variations in fault  
244 geometry.

245

246 In order to test whether the slip-vector is related to the larger-scale strain tensors or  
247 local surface slopes as suggested by *Basili et al. (1998)*, we have also measured the  
248 aspect (downslope direction) of the slopes around the fault scarp (Figure 4b and c). Our  
249 TLS results show that the downslope direction for the lower slope shows a strong peak  
250 at ~260° whereas measurements of striae show that the mean slip-vector azimuth is  
251 211° (Figure 4c). Thus, it is clear that the slip vector azimuth defined by faulting in the  
252 bedrock is not perpendicular to the slope defined by the TLS data and hence  
253 inconsistent with local gravity-driven compaction as the cause of the slip.

254

#### 255 *4.2 Interpretation of structural measurements*

256 From the data presented in Figure 5, a systematic relationship between the strike, dip  
257 and coseismic throw is seen across the large-scale corrugation between B' and B  
258 (Figures 5a and b). When the strike is perpendicular to the slip vector azimuth (around  
259 150m along the section in Figure 5), the dip and coseismic throw are at a minimum of  
260 55-65° and 3-6cm respectively. Close to the lateral extremities of the corrugation (at 0-  
261 50m and 250-400m along the section in Figure 5), strikes are oblique to the tectonic slip  
262 vector azimuth of 211° and the measured dips are 60-80° and coseismic throw values  
263 are 7-12cm. Figure 5d confirms that the strike and dip are varying in tandem because a

264 positive correlation is found across this corrugation. Again this suggests that the small-  
265 scale fault geometry and slip interact in response to the larger-scale strain tensors.  
266 These results and the systematic relationship observed in Figure 5 agrees with the  
267 theory presented in Faure Walker *et al.* (2009) and shown in Figure 2 in this paper.

268

269 Thus, we have a physical explanation for the structural variation we have measured that  
270 is rooted in an established theoretical framework. This is consistent with the hypothesis  
271 that surface slip evidenced by the fresh stripe is associated with tectonic slip on the  
272 bedrock scarp and inconsistent with the hypothesis of gravity-driven slip associated  
273 with compaction. The height of the stripe at the base of the Mt Le Scalette fault is  
274 assumed to be solely due to coseismic slip, as the free faces were observed for months  
275 following the mainshocks and no additional (post-seismic) slip was detected (*Vittori et*  
276 *al.*, 2000).

277

278 Our interpretation of tectonic slip across the scarp, rather than slip driven by  
279 compaction, suggests that we are able to extract a value for the cumulative tectonic slip  
280 and hence the tectonic slip-rate across the fault averaged within a time period given  
281 regional constraints on the age of the offset slopes. Using an age of  $15 \pm 3$  ka for the  
282 offset paleosurface and a value of 10.11 m for the offset derived from a scarp profile  
283 from our TLS dataset (see Figure 4a), we derive a throw-rate of  $0.67 \pm 0.13$  mmyr<sup>-1</sup>  
284 since the demise of the LGM.

285

286 Similar bedrock scarps have been shown to be exhumed in the Holocene in central Italy  
287 using <sup>36</sup>Cl in situ cosmogenic exposure dating (e.g. *Palumbo et al.*, 2004; *Schlagenhauf et*  
288 *al.*, 2010, 2011; *Benedetti et al.*, 2013). This is consistent with tephrochronology and

289 dated climate driven erosion rate changes that suggest the paleosurfaces date from the  
290 time of the demise of the last glacial maximum at  $15 \pm 3$  ka (see Roberts and Michetti  
291 (2004) for a review).

292

#### 293 *4.3. Reassessment of nodal plane dips*

294

295 Cinti *et al.* (1999) suggested that the CMT dip projected from the hypocentre to the  
296 surface does not align with the observed fault scarps at surface (see Cinti *et al.*, 1999,  
297 fig.4). Also, Chiaraluce *et al.* (2005) proposed that there is a difference between the dips  
298 measured at the surface and at depth. These two observations have been used to  
299 suggest that the surface faults were not reactivated by primary tectonic slip during the  
300 earthquakes. However, as shown above in Section 4.1, up to  $\sim 25^\circ$  variation in fault dip  
301 has been measured on the bedrock fault scarp and uncertainties exist for the  
302 hypocentral locations and nodal plane dips from the seismological data. Hence the  
303 ranges of surface and seismological dip data may overlap. Below we investigate  
304 whether we can reconcile the seismological data from depth with those measured at the  
305 surface to see if it is possible to exclude slip at depth propagating to the bedrock fault  
306 scarps at the surface.

307

308 Published hypocentres and dips for the three mainshocks of 1997 are shown in Figures  
309 6 and 7. There is a large range in the depth and location for the same earthquake, as  
310 reported by different authors (*Amato et al.*, 1998; *Cello et al.*, 1998; *Boncio and*  
311 *Lavecchia*, 2000b; *Cattaneo et al.*, 2000; *Cocco et al.*, 2000; *Castello et al.*, 2006;  
312 *Chiarabba et al.*, 2009); this is due to different location methods, velocity models and  
313 station arrays being used. One set of hypocentres presented are preliminary locations

314 (*Cello et al.*, 1998) and hence are less reliable than later location studies. Another set of  
315 hypocentres are based on worldwide teleseismic arrivals (*ISC*, 2012) and are likely to  
316 have poorer location constraints. Certain locations are more precise than others, due to  
317 the use of a double difference location method (*Waldhauser and Ellsworth*, 2000) as  
318 used by *Chiaraluce et al.* (2003) and *Chiarabba et al.* (2009), or due to using a local  
319 network (*Cattaneo et al.*, 2000), or a 3D velocity model (*Chiarabba et al.*, 2009).  
320 Furthermore, for nodal plane dips, studies have concentrated on results computed by  
321 different moment tensor inversions (e.g. *Ekström et al.*, 1998; *Weston et al.*, 2011),  
322 fitting focal planes to arrival polarities (*Cattaneo et al.*, 2000), and from the aftershocks  
323 (*Chiaraluce et al.*, 2005). The range of dip values published in the literature is up to 23°  
324 for a single event. Dip values obtained from aftershock alignment are reported in  
325 *Chiaraluce et al.* (2005). The aftershocks form a diffuse but planar alignment in the  
326 upper 7-8km of the crust. (see fig. 7, in *Chiaraluce et al.*, 2005). Hence the range in dips  
327 from aftershock alignment can be up to 30°.

328

329 Some authors have suggested that the normal faults in the Apennines have a listric dip  
330 (*Boncio and Lavecchia*, 2000b; *Barchi and Mirabella*, 2009) based on seismic reflection  
331 profiles from *Bally et al.* (1986). This could reconcile the difference in dips between the  
332 surface measurements and nodal plane dips. However, studies of aftershock locations  
333 from the L'Aquila (*Valoroso et al.*, 2013), Colfiorito (*Chiaraluce et al.*, 2003) and Gualdo  
334 Tadino (*Ciaccio et al.*, 2005) earthquake sequences show that the aftershock alignments  
335 favour a planar fault at depth.

336

337 Taken together, we have compared hypocentral locations and nodal plane dips with  
338 measurements at the surface reported in Section 4.1. The results show that several of

339 the hypocentral locations fall within the range of the down-dip projection of the surface  
340 trace of the fault from our structural measurements (i.e. within the black dashed lines  
341 delineating the range of data in Figure 6) and postulated dips overlap within error  
342 (Figure 7). Thus, the possibility that the earthquakes occurred on the down-dip  
343 projections of the surface faults cannot be excluded. We therefore reject the hypothesis  
344 that the earthquakes did not occur on the down-dip prolongation of the surface faults,  
345 and support the hypothesis that the surface faults were reactivated by primary tectonic  
346 slip during the earthquakes.

347

## 348 **5. Discussion**

349 A key question in seismic hazard is whether slip at depth in an earthquake propagates  
350 to the surface. If it does, the surface trace of the fault defines the exact location and  
351 geometry of a seismic source and hence can be used as an input for seismic hazard  
352 assessment (e.g. *Stirling et al., 2002; Cheng et al., 2007; Field et al., 2009*, for other  
353 tectonically active regions). This informs modelling of slip distributions on the fault  
354 surface at depth for Italian and worldwide examples (e.g. *Stramondo et al., 1999* for the  
355 1997 Colfiorito earthquake, Wald and Heton (1994) for the 1992 Landers earthquake,  
356 *Ozawa et al. (2011)* for the 2011 Tohoku earthquake) and hence expected ground  
357 shaking during an earthquake (e.g. *Barba and Basili, 2000*). Until now uncertainty has  
358 surrounded the question in the case of the 1997 Colfiorito earthquakes. Figure 1 shows  
359 both the “individual seismogenic sources” from DISS 3.2.0 (see *Basili et al. (2008)* for  
360 description of this database), and the surface traces of mapped faults that offset the  
361 surface geology from *Mirabella et al. (2005)*. Clearly the simplified traces from DISS will  
362 yield simplified slip distributions and models of ground shaking if used in modelling  
363 seismological and geodetic data if the actual trace is that of *Mirabella et al. (2005)*. Our

364 findings herein suggest that the surface trace from Mirabella *et al.* (2005) does indeed  
365 mark the location where slip at depth propagated to the surface. We suggest that an  
366 improved understanding of the slip distribution and ground shaking would be achieved  
367 if the detailed surface fault trace were included in calculations.

368

369 Our results are not surprising. Surface faulting has been widely reported for a number  
370 of normal faulting earthquakes in the USA (e.g. DePolo *et al.* (1991) for a summary and  
371 Wallace *et al.* (1984) for the 1915 Pleasant Valley earthquake), Greece (e.g. Jackson *et*  
372 *al.*, 1982), Turkey (e.g. Eyidogan and Jackson, 1985), and Italy (1915 Fucino (Oddone,  
373 1915; Galadini *et al.*, 1997) and 2009 L'Aquila (Wilkinson *et al.*, 2010; Vittori *et al.*,  
374 2011)). It is widely believed that these are the primary surface expression of slip at  
375 depth propagating to the surface (Jackson and White, 1989; Wells and Coppersmith,  
376 1994). Despite this, some examples from Italy of hypothesised primary surface slip have  
377 been rejected by some, usually with reference to the possible effects of ground-shaking  
378 and compaction producing surface rupture (e.g. Basili *et al.* (1998) and Barba and Basili  
379 (2000) for the 1997 Colfiorito earthquakes) or that the faults are sealed by Quaternary  
380 deposits (e.g. Fubelli *et al.*, 2009). If this were correct, it would suggest that there is  
381 something fundamentally different about normal faulting in Italy and this would be very  
382 significant if proven by observations. However, the findings of this paper suggest that  
383 for one of the best constrained examples from Italy, faulting at depth did indeed  
384 propagate to the surface, resembling the cases from tectonic settings listed above, and  
385 suggesting there is nothing fundamentally different about normal faulting in Italy.

386

387 The quantitative relationship presented in Faure Walker *et al.* (2009) demonstrates  
388 how the strike, dip and throw are interrelated along a fault trace while maintaining a

389 constant principal strain rate. Specifically, the geometry-dependent throw-rate theory  
390 hypothesises that the average Holocene throw-rate increases across the fault where the  
391 deviation of the fault strike from the mean direction and the dip of the fault increases if  
392 the slip vector remains constant (*Faure Walker et al., 2009, 2015*). This relationship has  
393 been demonstrated for two faults in the central Apennines that display significant strike  
394 variations: the Parasano Fault (*Faure Walker et al., 2009*) and the Campo Felice Fault  
395 (*Wilkinson et al., 2015*). *Faure Walker et al. (2009)* noted the importance of strain rates  
396 controlling seismic hazard for a particular fault and hence care must be taken if the total  
397 throw is used as a proxy for the level of fault activity because of local geometry. The  
398 data presented in this paper is the first known example of a coseismic slip distribution  
399 broadly agreeing with the relationship and hence improves the reliability of the  
400 relationship.

401

402 We make three points that have wider significance:

403

404 1) This work highlights the difficulty of resolving subjective interpretations of surface  
405 deformation that do not involve structural geological analysis. We outline an approach  
406 where the question of primary surface faulting resulting from propagation of slip from  
407 depth can be assessed within a quantitative structural geology framework derived from  
408 theory that describes the geometric aspects of slip on non-planar, segmented faults in  
409 relation to strain tensors (*Faure Walker et al., 2009*). We suggest that, where possible,  
410 (a) in the future, measurements of the strike, dip and slip-vector of bedrock faults  
411 associated with surface ruptures should be routinely measured before assessing surface  
412 ruptures as the result of primary surface faulting or ruptures resulting from shaking

413 and compaction, and (b) that other examples in the literature, especially in Italy (e.g.  
414 Basili *et al.* (1998) and Barba and Basili (2000)) should be revisited with this in mind.

415

416 2) We note that many examples exist in the literature (see Galli *et al.* (2008) for a  
417 review) where values for coseismic throw have been derived by palaeoseismologists for  
418 ancient earthquakes in the absence of discussion of the fault geometry and kinematics.  
419 This approach used herein suggests that values for coseismic throw measured from  
420 palaeoseismology need to be re-assessed taking into account whether the throw value  
421 comes from a location where the local fault geometry has produced anomalously large  
422 slip such as where the slip vector is not perpendicular to the fault trace, or a location  
423 that is more typical of the earthquake in question (see also *Faure Walker et al.*, 2015).

424

425 3) It is only possible to use observations of offset geomorphology of known age to infer  
426 rates of tectonic deformation if the surface offsets are produced by primary surface slip  
427 rather than gravity-driven compaction. Our observations suggest primary slip  
428 propagates to the surface, consistent with observations of  $^{36}\text{Cl}$  in situ cosmogenic  
429 exposure dating on similar fault scarps in Italy (*Palumbo et al.*, 2004; *Schlagenhauf et al.*,  
430 2010, 2011; *Benedetti et al.*, 2013). Suggestions to the contrary where surface  
431 deformation is hypothesised to result from gravity driven compaction during shaking  
432 invalidate the approach of tectonic geomorphology if correct; thus, such interpretations  
433 must be made with extreme caution. In this example we report that we reject the  
434 hypothesis that surface deformation is produced instead by gravity driven compaction  
435 during shaking. This allows us to derive a throw-rate averaged over many seismic cycles  
436 ( $15 \pm 3$  ka) that would otherwise be dismissed. Our findings suggest that the approach

437 taken by tectonic geomorphologists is valid for this example, and also for other areas  
438 affected by normal faulting in Italy.

439

440 Overall, we suggest that with careful field structural geology, it is possible to gain  
441 insights into the normal faulting earthquakes that would be unavailable without the  
442 detailed structural mapping presented herein.

443

## 444 **6. Conclusions**

445 In this paper we present structural data from a surface bedrock scarp that displays a  
446 systematic relationship between the fault geometry and the coseismic throw. Hence we  
447 conclude that this fault scarp, and others that are exposed in the Apennines, are active  
448 and connected to the seismogenic structure at depth, agreeing with previously  
449 published work (e.g. Blumetti *et al.* (1993); Michetti *et al.* (2000); Vittori *et al.* (2011)).

450 This is an important debate to resolve, as it has implications for utilising active fault  
451 traces for seismic hazard assessment in the region. This conclusion is not surprising  
452 when compared with other active normal fault systems around the world, such as the  
453 Basin and Range, Greece and Turkey. Our structural measurements from the Mt Le  
454 Scalette fault scarp highlight that the fault geometry and (coseismic) throw is  
455 systematically variable from metre to hundreds of metres scale. This is rarely  
456 appreciated or considered in other examples of faults in the Apennines, particularly in  
457 the field of paleoseismology. Coseismic slip is measured in paleoseismic trenches and  
458 can be used to infer the magnitude of past events (e.g. Galli *et al.* (2008)). However,  
459 without knowledge of the fault geometry at the trench location, the magnitude may be  
460 incorrectly estimated. Our conclusion also agrees with  $^{36}\text{Cl}$  cosmogenic dating  
461 performed on similar bedrock scarps in Italy for two reasons. Firstly the exposure ages

462 calculated from  $^{36}\text{Cl}$  concentration indicate exposure throughout the Holocene, hence  
463 the faults are not inactive in the Holocene or sealed as suggested by some authors  
464 (*Fubelli et al.*, 2009). Secondly the exposure results obtained can only be explained by a  
465 coseismic slip history and not by a landslide or compaction history. Hence for any  
466 investigation of the active tectonics and paleo-earthquakes in the Italian Apennines we  
467 conclude that it is important to understand and analyse the local fault geometry so that  
468 results are valid.

469

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474

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## 756 **Figure Captions**

757 Figure 1: Summary map of the studied region. Map shows the location of towns and the  
758 active normal faults (red lines), ATR=Atri, CAS=Casci, CSM= Costa-San Martino,  
759 GUB=Gubbio, GUT= Gualdo Tadino, GU2=Gubbio 2, LAG= Laga, LEO=Leonessa,  
760 MAL= Mt. Alvagnano, MAR= Martana, MLS= Mt. Le Scalette, MSV=Mt. San Vicino,  
761 MVT= Mt. Vettore, NOR= Norcia, TER= Terni, UMV= Umbra Valley. Lower  
762 hemisphere focal mechanisms for the three mainshocks of the 1997 Umbria-  
763 Marche seismic sequence are shown, black mechanisms are from the CMT

764 catalogue (*Dziewonski et al., 2003*), blue mechanism is from *Cattaneo et al., 2000c*.  
765 Purple rectangle shows the extent of Figure 4. Inset shows the location of the main  
766 map as a blue box within Italy.

767 Figure 2- Block diagrams illustrating the relationship between the strike, dip and throw  
768 of a normal fault. (a) Simplified fault where the central section exhibits a bend. The  
769 slip vector azimuth remains constant across the fault (black arrows). Adapted from  
770 Figure 3, Faure Walker et al (2015). (b) The surface trace of the fault, with the  
771 central bend labelled. Adapted from fig. 3, Faure Walker et al (2015). (c) Graphs  
772 showing how the throw rate is expected to vary in the central section relative to  
773 the main fault as the strike or dip are varied independently. The principal strain  
774 rate is assumed to be constant across the fault trace. Adapted from Figure 6c and  
775 7c, Faure Walker et al (2009). It is shown as the orientation of the central section  
776 becomes more oblique to the slip vector or the dip increases, the relative throw  
777 rate is expected to increase for a constant principal strain rate.

778

779 Figure 3: View of a section of the Mt Le Scalette fault scarp, close to the centre of the  
780 large scale corrugation mapped in Figure 3. a.) Views along the bedrock scarp,  
781 looking to the north-west. b.) view onto the bedrock scarp. White dashed box  
782 shows the extent of c.) close up of the base of the bedrock scarp, note that there is a  
783 fresh stripe at the base, which is also marked by the lack of moss growth  
784 (especially to the left hand side of the photograph. The unweathered stripe at the  
785 base of the scarp marked in a dashed red line.

786

787 Figure 4: Structural and geomorphic map of a well-exposed section close to the centre of

788 the Mt Le Scalette fault. Strike and dip data are grouped together over 20m  
789 sections, the mean strike and dip are reported on the map and the corresponding  
790 stereonet are shown. Kinematic indicators were found at four localities along the  
791 section, the mean slip-vector plunge and azimuth are reported on the map and the  
792 corresponding stereonet shown. The mean slip vector is 61 → 211. The  
793 topographic profile created from the TLS data gives the Holocene throw of the fault  
794 and the Holocene throw rate is calculated from this. B – B' indicates the orientation  
795 of the data plotted in Figure 5. The white dashed box around the profile line A-A'  
796 indicates the extent of b.) hillshade DEM with 2m contours from a TLS survey. The  
797 fault scarp and upper slope boundary are marked. The mean slip vector and  
798 orientation of the upper and lower slope are marked. c.) frequency plot of the  
799 aspect of the lower and upper slopes from the TLS data. The mean trend of the slip  
800 vector measured from frictional wear striae on the fault plane does not align with  
801 the direction of maximum slope.

802

803 Figure 5: All structural data collected and plotted against distance along the fault,  
804 indicated by B – B' in Figure 3. a.) strike against distance, uncertainties are smaller  
805 than the symbols, the black line is perpendicular to the mean trend, the grey  
806 shaded region is the 95% confidence interval of the trend (calculated from  
807 Stereonet). b.) dip against distance, uncertainties are smaller than the symbols, the  
808 black line is the mean plunge, the grey shaded region is the 95% confidence  
809 interval of the trend. c.) vertical height of the unweathered stripe against distance.  
810 d.) strike against dip, data points are mean strike and dip values for 20m sections  
811 of the fault (see Figure 4) with 95% confidence interval plotted as the error. A

812 systematic relationship can be seen between the strike, dip and height of the stripe  
813 from these diagrams.

814

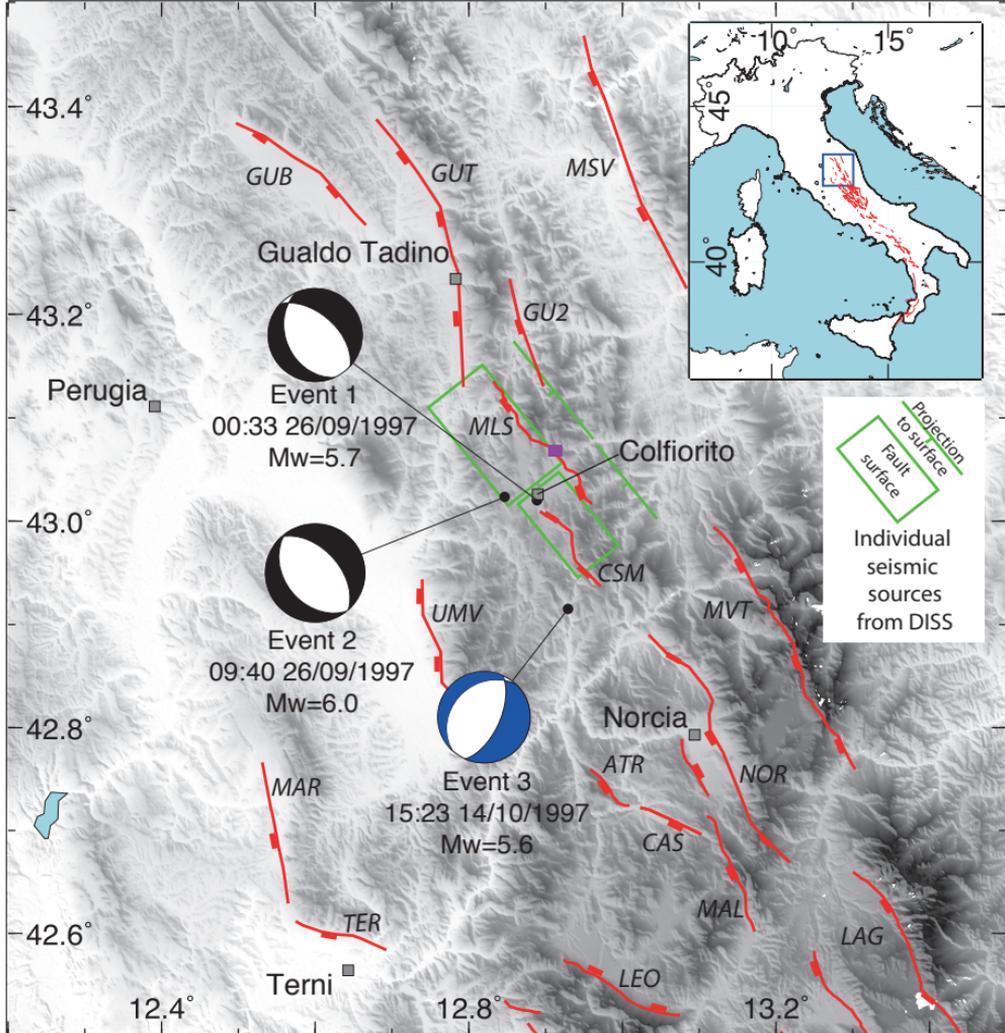
815 Figure 6: Map and cross sections of different published locations for the three  
816 mainshocks of the 1997 seismic sequence. a.) map view of the Colfiorito region  
817 affected by the 1997 seismic sequence. The traces of active normal faults are  
818 marked in red. Coloured circles refer to different published locations; (1) *Cello et*  
819 *al.*, 1998, (2) INGV, *Castello et al.*, 2006, (3) *Boncio and Lavecchia*, 2000a, (4) *ISC*,  
820 2012, (5) *Amato et al.*, 1998, (6) *Cocco et al.*, 2000, (7) *Cattaneo et al.*, 2000, (8)  
821 *Chiarabba et al.*, 2009. Stereonets show the mean strike and dip for the two faults,  
822 MLS=Mt Le Scalette fault, CSM= Costa-San Martino fault. b.) cross-section of Event  
823 1, c.) cross-section of Event 2, d.) cross-section of Event3. Cross-sections show the  
824 reported errors for each location, where published and the different reported dips  
825 for each earthquake. The red line indicates mean measured dip of each fault,  
826 projected to depth, with the 95% and 99% confidence intervals and full range of  
827 measured dips. This demonstrates that when the range of locations and dips are  
828 taken into account, there is overlap between the projected dip from the surface and  
829 the locations of earthquakes at depth and hence the surface fault scarps should be  
830 considered as active.

831

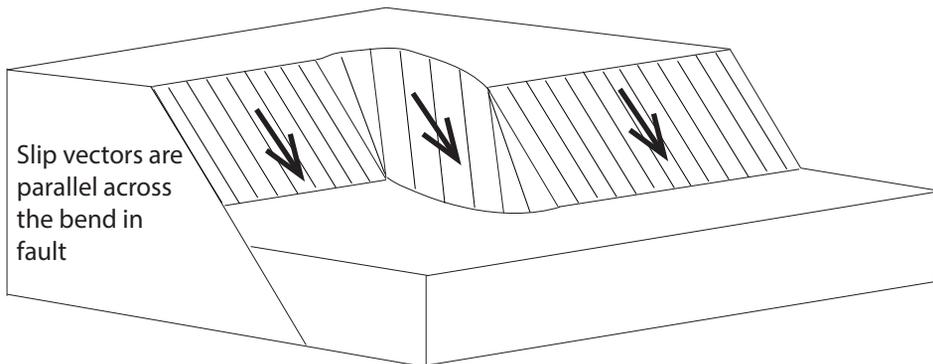
832 Figure 7: Comparing published dips of the three mainshocks to the dip of the surface  
833 fault scarps (from field measurements). Dips at depth are calculated by different  
834 methods and published by (1) *Ekström et al.*, 1998, (2) *Weston et al.*, 2011, (3)  
835 *Cattaneo et al.*, 2000, (4) *Zollo et al.*, 1999, (5) *Chiaraluce et al.*, 2005. Field

836 measurements of the dip were measured from bedrock fault scarp by the authors  
837 along the Mt Le Scalette and Costa-San Martino faults. For each event, there is an  
838 overlap between the dip at depth (from the literature) and the dip of the surface  
839 scarp. Hence, it cannot be argued that the surface scarps are inactive due to a  
840 mismatch between dip at depth and dip at the surface.

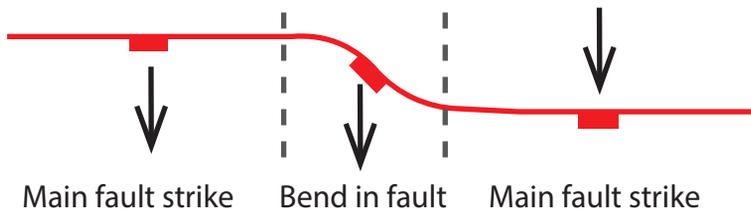
841



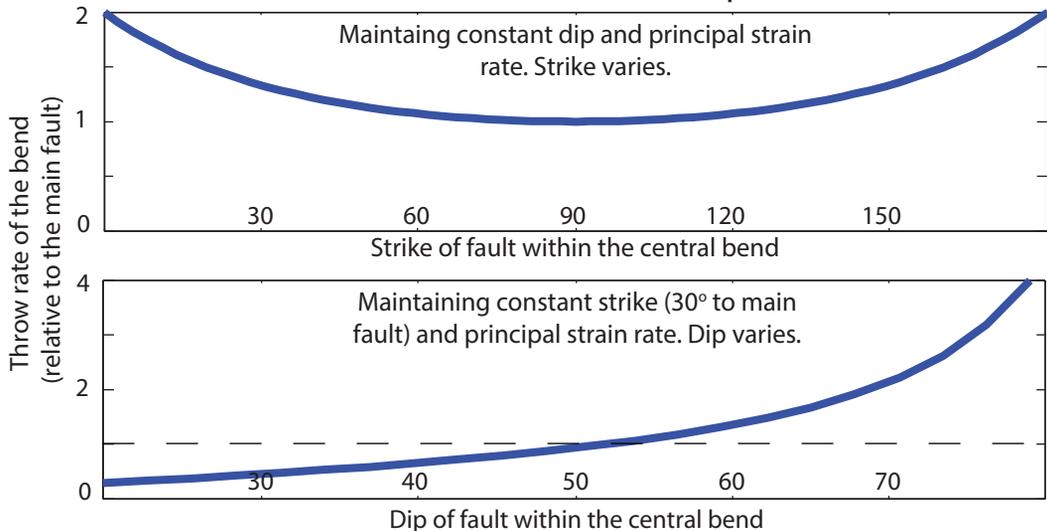
### a. Block diagram of a fault with a central bend

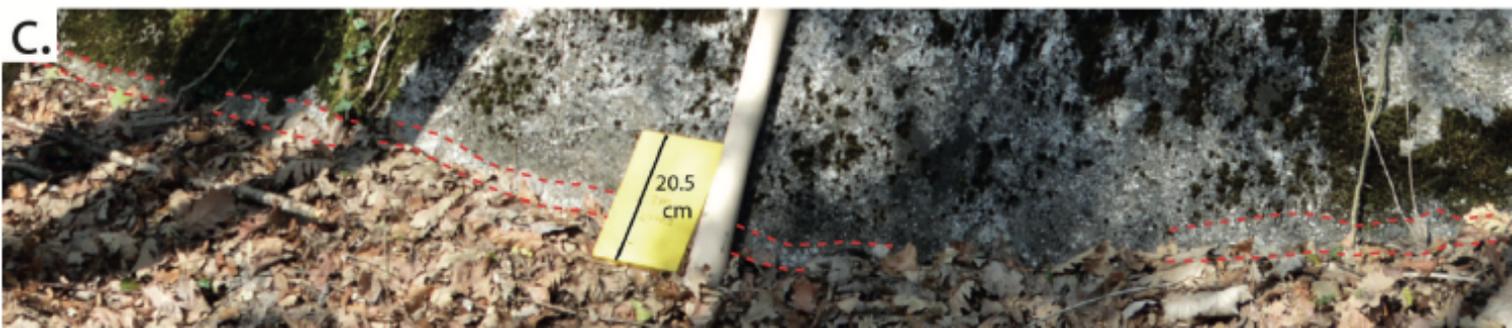


### b. Surface trace of the fault with a central bend

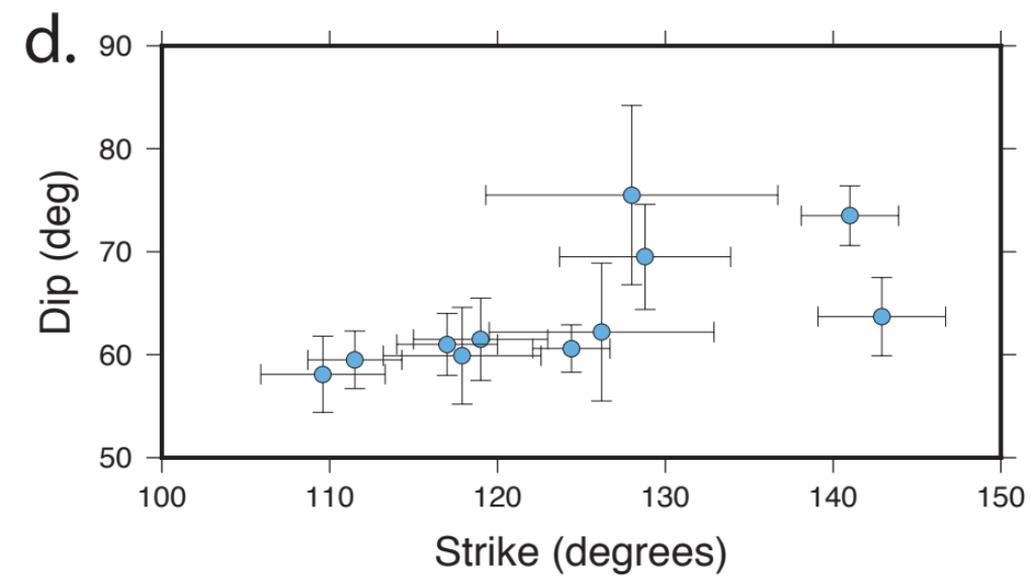
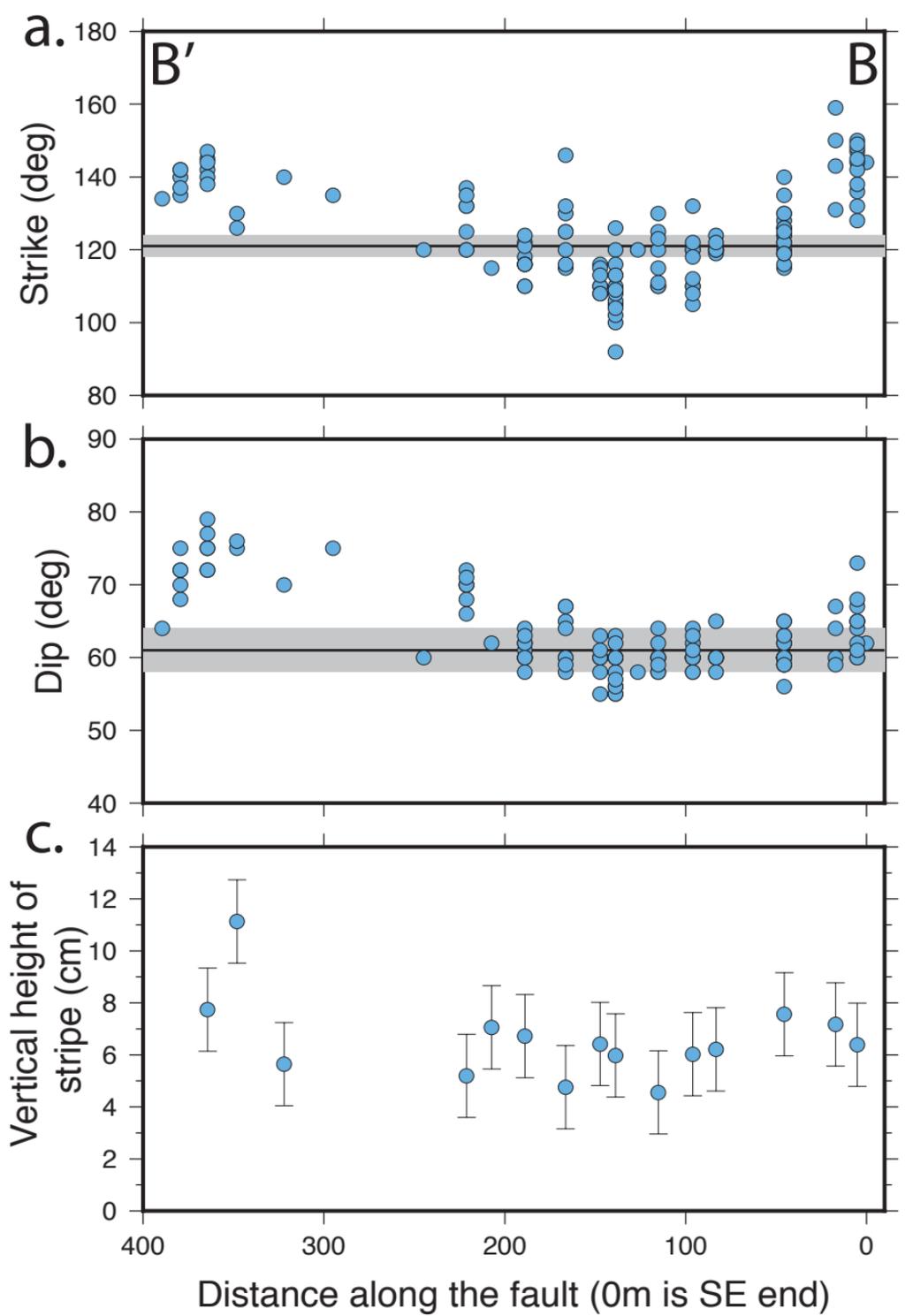


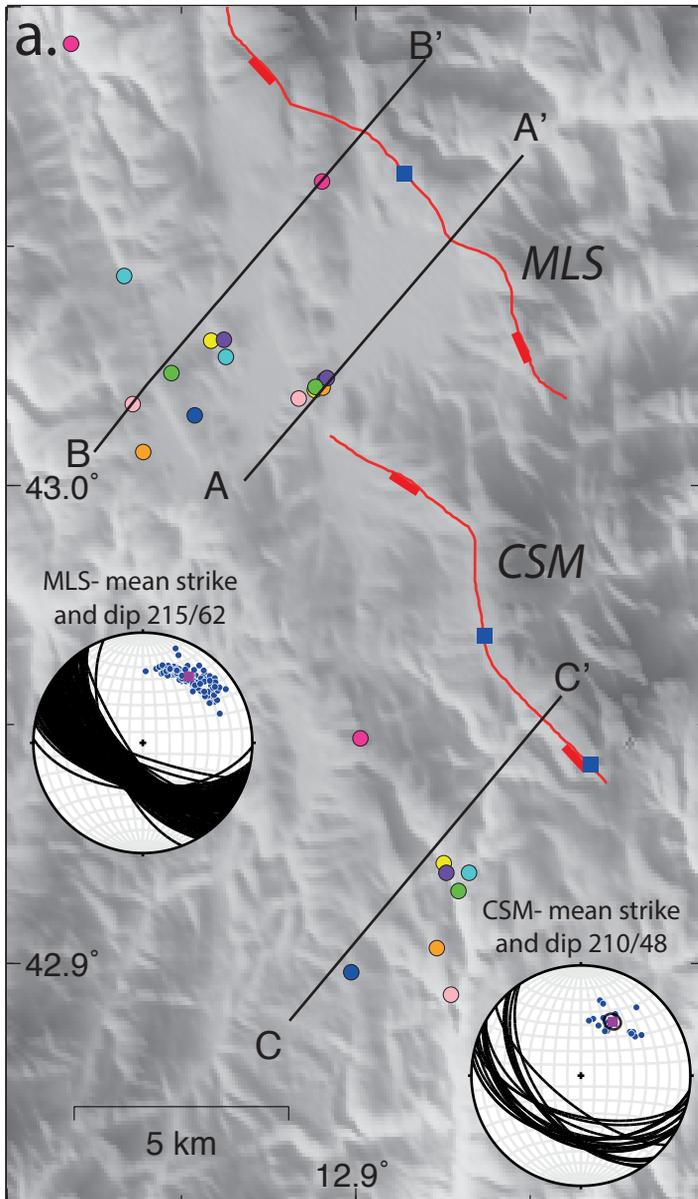
### c. Effect on the throw rate as the strike or dip is varied



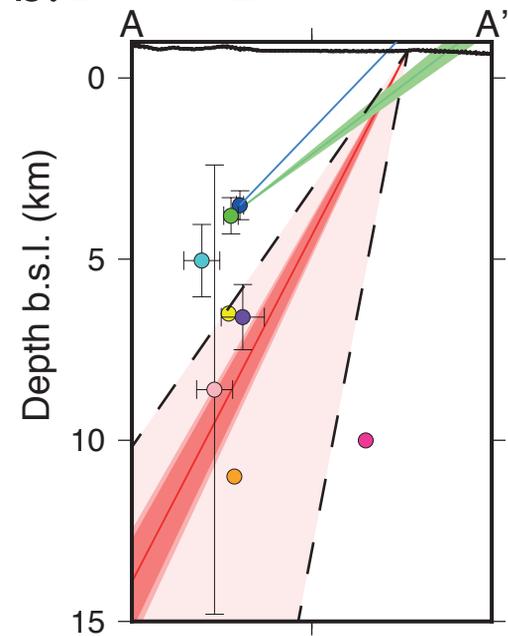




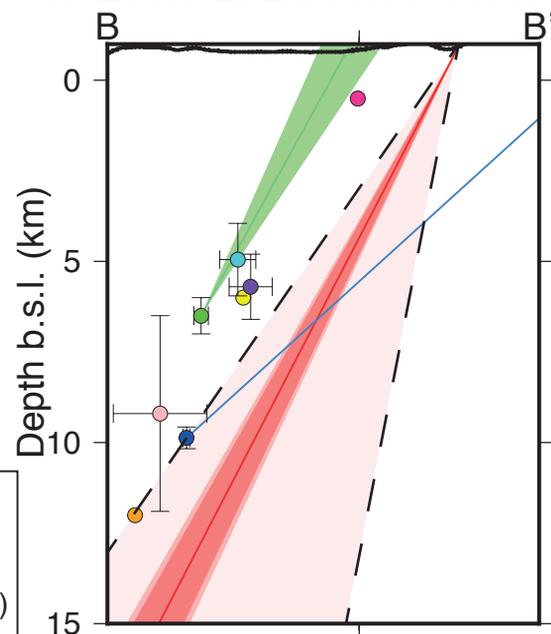




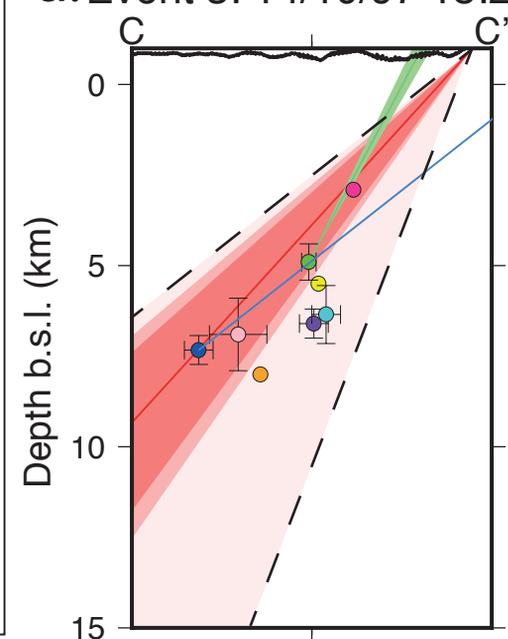
**b. Event 1: 26/09/1997 00:33**



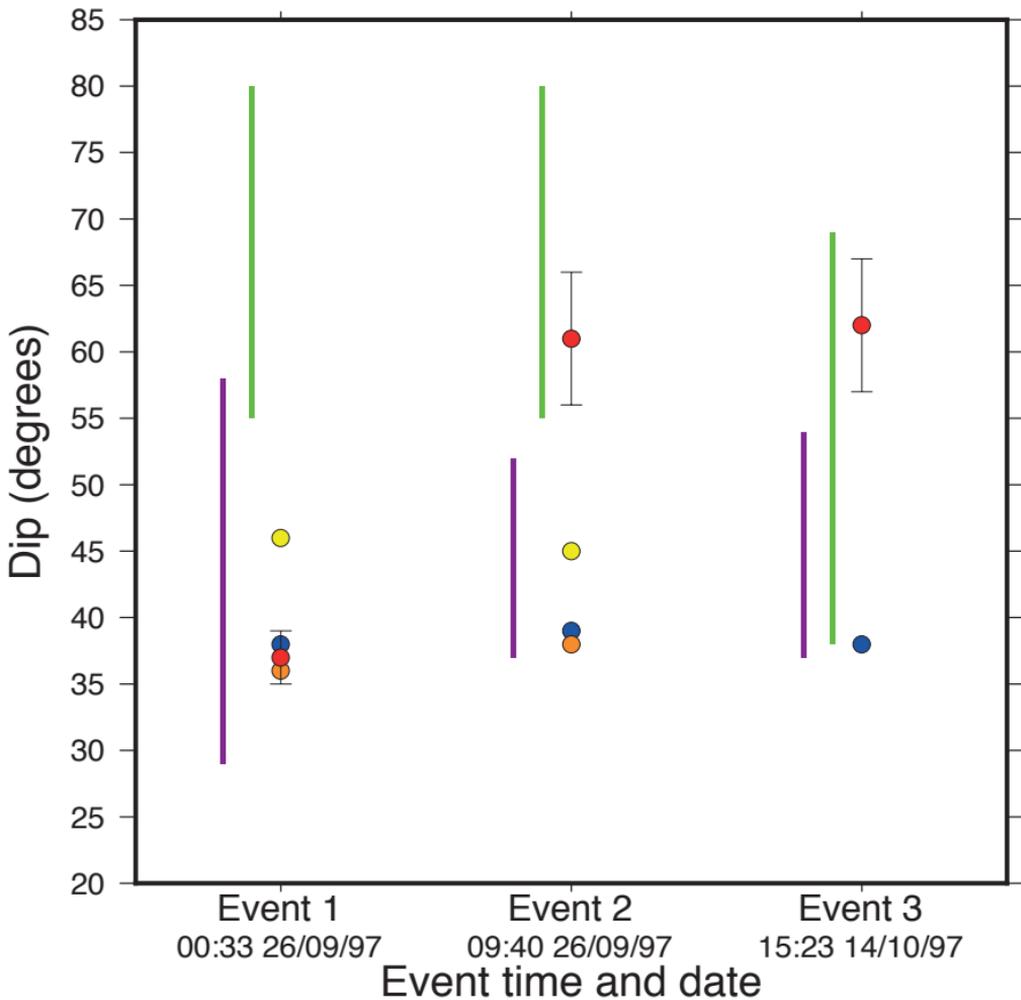
**c. Event 2: 26/09/97 09:40**



**d. Event 3: 14/10/97 15:23**



- Preliminary locations (1)
- National&regional network, 1D velocity model (INGV, 2)
- Temporary local network, 3D velocity model (XGUMS, 3)
- ISC location, teleseismic data (4)
- Local&ING network (5)
- Local network, 2D velocity model, HypoINVERSE (6)
- Local network, 3D velocity model (7)
- Local network, 3D velocity model, HypoDD (8)
- Surface expression of active normal faults
- Cross-section (perp to fault of interest)
- Dip from CMT catalogue (on INGV location)
- Dip from a local network (7)
- Dip error from a local network (7)
- Locations of field measurements
- Mean dip from field measurements
- 95% confidence interval of field measurements
- 99% confidence interval of field measurements
- Range of dip from field measurements



- Moment tensor inversion (1)
- InSAR inversion (2)
- FPFIT, local network (3)
- Modelling S-waves (4)
- Field measurements
- Range from aftershocks (5)