The functional roles of the unstructured N- and C-terminal regions in α Bcrystallin and other mammalian small heat-shock proteins

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Abstract

Small heat-shock proteins (sHsps), such as α B-crystallin, are one of the major classes of molecular chaperone proteins. In vivo, under conditions of cellular stress, sHsps are the principal defence proteins that prevent large-scale protein aggregation. Progress in determining the structure of sHsps has been significant recently, particularly in relation to the conserved, central and β -sheet structured α -crystallin domain (ACD). However, an understanding of the structure and functional roles of the N- and C-terminal flanking regions has proved elusive mainly because of their unstructured and dynamic nature. In this paper, we propose functional roles for both flanking regions, based around three properties: (i) they act in a localised crowding manner to regulate interactions with target proteins during chaperone action, (ii) they protect the ACD from deleterious amyloid fibril formation and (iii) the flexibility of these regions, particularly at the extreme C-terminus in mammalian sHsps, provides solubility for sHsps under chaperone and non-chaperone conditions. In the eye lens, these properties are highly relevant as the crystallin proteins, in particular the two sHsps α A-and α B-crystallin, are present at very high concentrations.

Abbreviations

ACD: α -crystallin domain; α Ac: α A-crystallin; α Bc: α B-crystallin; IF: intermediate filament; NAC: non-amyloid- β component; sHsps: small heat-shock proteins

Introduction

The intimate relationship between a protein's structure and its function is a basic tenet of biology (Bagowski et al. 2010; Worth et al. 2009). Major advances in structural biology techniques over the past twenty or so years have led to the determination of the higher-order structures of a wide range of globular proteins. However, amongst the most elusive of proteins whose structures have yet to be fully elucidated are the mammalian small heat-shock proteins (sHsps). Recent progress in this quest has, however, been significant and is summarised in a variety of review articles (Bakthisaran et al. 2015; Basha et al. 2012; Hochberg and Benesch 2014; Treweek et al. 2015). X-ray crystallographic studies have determined the atomic-level structure of the excised α -crystallin domain (ACD) of sHsps which encompasses approximately the central 80 amino acids of the protein in a highly βsheet conformation. Solid state NMR studies have also provided such information about the ACD, in addition to some detail about the structural arrangement of the N-terminal region (Jehle et al. 2009; Jehle et al. 2011). However, the atomic-level structure of the N- and Cterminal regions, and their relationship to the ACD in determining the overall quaternary arrangement, have proved refractory to accurate determination. Mass spectrometry, X-ray solution scattering, cryo-electron microscopy and molecular modelling have also provided important structural information that, when combined, has enabled the construction of a variety of models for the oligomeric structure of α B-crystallin (α Bc, HspB5), the principal sHsp (Baldwin et al. 2011b; Jehle et al. 2010). However, a detailed understanding of the structural and functional roles of the N- and C-terminal flanking regions of mammalian sHsps represents a significant gap in our knowledge.

sHsps are ubiquitous and numerous intracellular proteins and are one of the major classes of molecular chaperone proteins. Under conditions of stress (elevated temperature, infection, oxidation etc.), they selectively interact, in an ATP-independent manner, with target proteins that are destabilised, for example to form intermediate states that are prone to association and subsequent large-scale aggregation. Accordingly, sHsps exhibit specificity for target proteins that enter off-folding pathways towards either an amorphous or amyloid fibrillar aggregated form (Figure 1) (Kulig and Ecroyd 2012; Treweek et al. 2015). As a result, sHsp levels are up-regulated markedly under cellular stress conditions, although significant constitutive expression can occur in some cell types presumably to regulate the correct conformation and levels of target proteins. Accordingly, sHsps play an important role in the maintenance of cellular protein homeostasis or proteostasis. Indeed, in a recent study of the proteome of aged nematodes (*C. elegans*), sHsp levels (and not those of other molecular chaperones) were elevated markedly to compensate for enhanced general protein aggregation that occurs with ageing, highlighting the crucial role of sHsps in maintaining cellular proteostasis (Walther et al. 2015).

In humans there are ten sHsps. The most populous and widespread (in terms of tissue distribution) of the sHsps is α Bc which is also found at high levels, along with its closely related partner α A-crystallin (α Ac, HspB4), in the eye lens. Both sHsps naturally co-associate and function in a chaperone manner to maintain lens transparency via inhibition of crystallin protein aggregation. Most of the recent sHsp structural work has been undertaken on α Bc and it will be the focus of much of the discussion in this paper.

sHsps, in particular the mammalian ones, are difficult to characterise structurally because of their heterogeneous and dynamic nature. Such behaviour is not conducive to their crystallisation and hence structural characterisation by X-ray crystallography. For example, αBc has a subunit mass of around 20 kDa but exists as an ensemble of oligomers (Aquilina et al. 2003) with a mass distribution of 420 to 980 kDa and an average mass of 650 kDa under physiological conditions (Haley et al. 1998). The conserved central ACD in sHsps, of around 80 amino acids in length, is highly β-sheet in character with the β-strands arranged in an immunoglobulin-like fold (Bagneris et al. 2009; Laganowsky et al. 2010). The ACD is flanked by N- and C-terminal regions that are variable in length and lack sequence similarity. Apart from short sections of transient helical and β-sheet structure in the N-terminal region (Jehle et al. 2011), the flanking regions adopt little ordered secondary structure and exhibit significant dynamism. Indeed, the last 12 amino acids of αBc (along with similar regions in other mammalian sHsps) have been recognised for over 20 years as a C-terminal extension that is unstructured and has great flexibility, comparable to isolated peptides of the same length (Carver 1999; Carver et al. 1992; Esposito et al. 1998). Figure 2 provides a schematic of the various structural regions in α Bc.

The polydisperse nature of α Bc (and some other mammalian sHsps) is intimately related to the exchange of individual α Bc subunits, a process that is highly temperature dependent with subunit exchange rate increasing significantly at higher temperatures (Baldwin et al. 2011c; Bova et al. 1997; Hilton et al. 2013; Sobott et al. 2002). Much insight into the mechanism of subunit exchange has come from recent combined structural investigations of α Bc using Xray crystallography, NMR spectroscopy and mass spectrometry (Baldwin et al. 2011a; Baldwin et al. 2011c; Hochberg and Benesch 2014). The important role of the conserved IXI sequence in the C-terminal region (I159-P160-I161 in α Bc) in interacting with an adjacent subunit is now well recognised. Subunit exchange may play an important role in oligomeric sHsp chaperone action by facilitating dissociation from the oligomer and interaction with the target protein.

The role of the various sHsp regions in chaperone action is unclear, more so of late with the observation that the isolated ACD of α Bc has significant chaperone ability to prevent the aggregation of target proteins (Hochberg et al. 2014), implying that the chaperone activity (and hence target protein interaction site(s)) are encompassed within this central domain. However, other studies have implicated the N- and C-terminal regions of sHsps in interaction and binding with amorphously aggregating target proteins during chaperone action (Mainz et al. 2015; McDonald et al. 2012; Rajagopal et al. 2015). The obvious question to ask, therefore, is what are the structural and functional roles of the N- and C-terminal flanking regions in sHsps? In this paper, we address this question in a general context in terms of the role of unstructured regions in proteins and specifically in relation to flanking regions in sHsps. The role of these regions has been discussed previously in a review of existing structural data (V Sudnitsyna et al. 2012).

Do the flanking regions in sHsps facilitate initial target protein interaction and act as localised crowding agents to regulate interactions with target proteins?

Earlier work by Hall (Hall 2006; Hall and Dobson 2006) examined the effect of conformational changes inherent to an inert biopolymer, through either association/dissociation or undergoing a shape transition from an expanded to a compact

form, in regulating macromolecular crowding by altering the excluded volume component of the solution. It was concluded that folding of destabilised proteins was promoted under the conditions that maximised molecular crowding, i.e. when greater excluded volume of the solution occurred. In agreement with this, random polymer chains undergo significant compaction under conditions of macromolecular crowding (Le Coeur et al. 2009).

Conceivably, sHsps could utilise such means to regulate the excluded volume within the crowded environment of the cell, i.e. the highly malleable nature of their unstructured flanking regions would lead to conversion between structural compaction and expansion whilst the extensive subunit exchange would oscillate the proteins between smaller (e.g. dimer) and larger oligomeric species. Subunit exchange in sHsps may simply be a way of facilitating the initial interaction with the target protein by enabling enhanced malleability in the terminal regions, since the dynamic nature of these regions would be no longer relatively constrained within the oligomer. Within the lens fibre cells, where αAc and αBc are present at high concentrations and are by far the predominant species, these properties would be exacerbated. Phosphorylation of large oligometric sHsps such as Hsp27 (HspB1) and α Bc (HspB5), may enable this to occur as well, as has been investigated via the use of phosphomimics of these two sHsps. In these two cases, the phosphomimics have altered oligomeric size and/or mass distribution (Ecroyd et al., 2007; Peschek et al. 2013; Hayes et al. 2009) and, depending on the particular target protein and type of aggregation (amorphous or fibrillar), they exhibit enhanced sHsp chaperone ability (Ecroyd et al. 2007; Jovcevski et al. 2015).

The unfolded flanking regions, particularly when they are associated to form large heterogeneous oligomers as in the mammalian sHsps, would increase molecular crowding in the vicinity of, and when interacting with, intermediately-folded (I) target proteins (Figure 1). In doing so, the sHsps could stabilise these target proteins, stop their unfolding and thereby facilitate their refolding back to the native state via transient interactions. One could conceive of this as a localised molecular crowding phenomenon arising from the close proximity of the two proteins. Hall's work has shown that increasing the concentration of a partially folded crowding agent (e.g. a protein) leads to greater structure in the crowding agent, a process that could be applicable to how sHsps function in their initial interaction to stabilise aggregationprone target proteins. Thus, the unstructured terminal regions of sHsps initially act as akin to a 'lasso' to capture the unfolding target protein. The subsequent step of compaction of the sHsp and interaction of the target protein with the structured ACD, leads to more intimate association of the two proteins and stabilisation of the intermediately folded target protein. The rationale above provides an explanation for the observation that the ACD is all that is required for the chaperone action of α Bc in vitro (Hochberg et al. 2014). Of course, this is an artificial and simple system compared to the crowded nature of the cell where numerous competing interactions are possible with a diversity of cellular components. Finally, interaction and binding of the intermediately folded target protein with the sHsp during chaperone action, and its subsequent refolding, would couple folding to binding (Ganguly and Chen 2011; Shammas et al. 2016). Thereby sHsps, either as individuals or in partnership with each other and other molecular chaperones (the latter potentially also utilising ATP hydrolysis), would contribute to the maintenance of cellular proteostasis (Jeng et al. 2015).

The importance of the N-terminal region of α Bc in capturing amorphously aggregating lysozyme was demonstrated by Mainz et al. (2015). They used a combination of truncation

mutants and chaperone assays to show that truncation of the N-terminal region leads to a marked loss of chaperone activity. Furthermore, specific interactions of the N-terminal region in intact αBc were inferred by solid-state NMR through chemical shift changes and alterations in dynamics of resonances in the N-terminal region (Mainz et al. 2015). Similarly, the N-terminal region of Hsp20 (HspB6) has multiple sites of interaction with a target protein as well as a role in regulating chaperone activity (Heirbaut et al. 2014) while also being important in the formation of a hetero-oligomer with Hsp27 (Heirbaut et al. 2016). There is evidence from interactome studies that the N-terminal region of plant sHsps is involved in interacting with amorphously aggregating target proteins (Jaya et al. 2009). Another example of the unstructured N-terminal region of sHsps' involvement in interacting with other proteins comes from the recent work of Sluchanko et al. (2017) who determined the crystal structure of a complex between a phosphorylated form (at Ser16) of the dimeric sHsp, Hsp20 (van de Klundert et al. 1998; Weeks et al. 2014), and the 14-3-3 σ dimer, i.e. the two proteins form a 2:2 complex. Phosphoserine 16 in Hsp20 interacts with the binding grove of $14-3-3\sigma$ via a long loop containing the N-terminal region of the former protein. The dimeric ACD region of Hsp20, in an immunoglobulin fold conformation, binds in an asymmetric manner to one of the 14-3-3 σ monomers.

One key target for sHsps is the intermediate filament (IF) cytoskeleton as evidenced by the range of diseases (cataract, myopathies, neuropathies), caused by mutations in Hsp27, HspB3, α Ac, α Bc and Hsp22 (HspB8) (Perng and Quinlan 2015), which in all cases cause characteristic histopathological aggregates into which IFs are also concentrated. The mutations span the primary sequence of the sHsps involved, including the N- and C-terminal regions, but there does not seem to be any clustering. Suffice to say that when the C-terminal region is completely removed, as with the cardiomyopathy-causing mutation Q151X in α Bc, it is as, if not more, efficient in binding to desmin filaments and also in modulating their assembly. Indeed, data from pin array studies show that IF proteins are bound by multiple sequences throughout αBc (Ghosh et al. 2007). There also appears to be no particular requirement for phosphorylation of sHsps for them to associate with IFs (Nicholl and Quinlan 1994). IF proteins all possess intrinsically disordered domains located on the filament surface (Herrmann and Aebi 2016) and the possibility of synergy (Landsbury et al. 2010) with similarly structured N- and C-terminal regions in sHsps when bound to the filaments has not been explored. Germane to this discussion is that IFs also provide binding sites for other molecular chaperones such as Hsp70 (Perng et al. 1999) as well as the proteasome (Olink-Coux et al. 1994), so the proteostatic machinery is appropriately partitioned on IFs, structures that are integral to the cellular stress response.

The sHsps interact with all elements of the cytoskeleton (Landsbury et al. 2012; Quinlan 2002). Microfilaments, microtubules and IFs are all dependent upon sHsps for their competence (Quinlan 2002), and all are modulated by them. There appear to be multiple binding sequences across the primary sequences of all the sHsps, both in the ACD as well as the N- and C-terminal regions (Ghosh et al. 2007). Indeed, deletion of the N-terminal region does not prevent sHsps from binding to actin (Guo and Cooper 2000) or to tubulin and from chaperoning microtubules (Ohto-Fujita et al. 2007). While there is evidence that sequences from the N-terminal region and the ACD of both Hsp27 and α Bc are effective inhibitors of actin assembly in vitro (Wieske et al. 2001), the topic is contentious since Hsp27 mutants can stimulate actin polymerisation (Butt et al. 2001) whereas another study using wild type

Hsp27 reported little to no significant change (Graceffa 2011). A common theme emerges from these studies, though: the assembly and dynamics of all three major cytoskeletal elements in the cell are modulated by sHsps, including Hsp27, α Bc, HspB7 and Hsp22. Whilst studies sometimes focus on one specific element of the cytoskeleton (Almeida-Souza et al. 2011; Shimizu et al. 2016), it is obvious that both the competence and the integration of the different elements of the cytoskeleton rely on sHsps.

Do the unstructured flanking (terminal) regions prevent deleterious aggregation of the structured, central α -crystallin domain?

Hall (Hall and Hirota 2009; Hall et al. 2005) and Abeln and Frenkel (Abeln and Frenkel 2008; Abeln and Frenkel 2011) have examined the effect of unstructured flanking polypeptide regions on the aggregation propensity (to form both amyloid fibrillar and amorphous aggregates) of central regions. They conclude that the flanking regions have a marked propensity to prevent the central regions from aggregating; they do so by 'frustrating the encounter event' that, of course, is the crucial event in the aggregation process. Furthermore, the presence of flanking regions on both the N- and C-terminal ends prevents aggregation to an enhanced degree, i.e. the location of the aggregating region in the middle of an unstructured polypeptide chain is most advantageous for the suppression of aggregation.

We have undertaken a survey of the regions of the ten human sHsps (HspB1 to HspB10) with a propensity to form amyloid fibrils via the algorithm ZipperDB, which determines the presence of so-called amyloid zipper sequences within the amino acid sequence of a particular protein (Goldschmidt et al. 2010). Figure 3 (along with Figure S1 and Supplementary Table 1) summarise the results of these analyses for the sHsps. It is readily apparent that all sHsps contain significant regions of fibril-forming propensity that are mainly found in their ACD. In general, in silico analysis with other fibril prediction algorithms (TANGO and Zyggregator) (Fernandez-Escamilla et al. 2004; Tartaglia et al. 2008) give similar results to the ZipperDB analysis (Figure 3 and Figure S1) in implying a significant tendency for the ACD to have more fibril-prone residues than the two other (terminal) regions (Supplementary Table 1).

Specifically, the ACD of α Ac and α Bc have large portions of their sequences that are predicted to form amyloid fibrils (18.1 and 20.2% respectively via ZipperDB analysis, Figure 3, Supplementary Table 1). There is experimental evidence to support this. The isolated peptide encompassing K70 to K88 in α Ac (and the corresponding region in α Bc, D73 to K92) has marked chaperone ability to prevent amorphous target protein aggregation (Sharma et al. 2000). The peptide has been named 'mini-chaperone' by Sharma and co-workers. In addition, F71-K88 α Ac forms amyloid fibrils (Raju et al. 2016; Tanaka et al. 2008). However, addition of the last ten amino acids of α Ac, i.e. the flexible C-terminal extension, to the C-terminus of the α Ac 'mini-chaperone' prevents the peptide from forming amyloid fibrils but retains its chaperone ability (Raju et al. 2014). Furthermore, Laganowsky et al. found that the 11-amino acid fragment K90-V100 in α Bc (encompassing a loop region between two strands of anti-parallel β -sheet) was highly amyloidogenic based on ZipperDB and experimental analyses (Laganowsky et al. 2012). Indeed, K90-V100, readily formed classic amyloid fibrils, in addition to a β -sheet oligomer whose structure was determined by X-ray crystallography. Furthermore, the oligomer was cytotoxic.

Our work with α Ac and α Bc has shown that they form amyloid fibrils under slightly destabilising conditions, for example in the presence of low concentrations of denaturant and elevated temperature (Meehan et al. 2004; Meehan et al. 2007). The *E. coli* sHsp IbpA forms amyloid fibrils under physiological conditions in vitro, which is prevented by the presence of its co-chaperone IbpB (Ratajczak et al. 2010). IbpB, the other *E. coli* sHsp, shares 48% sequence identity with IbpA, but does not form fibrils under physiological conditions. The ACD of IbpA is slightly more aggregation-prone than the ACD of IbpB by ZipperDB analysis (Supplementary Table 1).

Furthermore, as stated above, the ACD of sHsps adopts an immunoglobulin-like fold, a motif that is prone to amyloid fibril formation, possibly because of its highly β -sheet character which is primed for conversion into the amyloid fold. Thus, immunoglobulin light chains, or its fragments, form amyloid firbils in amyloid light chain amyloidosis. Likewise, superoxide dismutase 1 and β 2-microglobulin both adopt the immunoglobulin fold and are the principal components of the amyloid fibrillar deposits associated with amyotrophic lateral sclerosis and haemodialysis-related amyloidosis (Knowles et al. 2014).

Thus, the ACD of α Bc (or at least part(s) of it) is prone to form fibrils which, if were also true for the intact protein, would be highly deleterious to the protein's functionality in vivo. As α Bc (and other sHsps) do not form fibrils under normal physiological conditions, the implication is that the flanking regions have a modulating effect on the amyloidogenicity of the ACD.

The dynamic nature of the flanking regions, particularly the polar, flexible C-terminal extension in mammalian sHsps, acts as a solubilising agent for the protein under chaperone and non-chaperone conditions.

The unstructured and dynamic nature of the N- and C-terminal regions is well recognised, particularly so for the C-terminal extension of mammalian sHsps, located at the extreme Cterminal end of the protein, that has flexibility comparable to small peptides of comparable length and hence is amenable to observation in solution by NMR spectroscopy (Carver, 1999; Carver and Lindner, 1998; Treweek et al., 2010). Figures 2b and 3 provide a comparison of the length of the C-terminal extension observed by solution phase NMR spectroscopy for Hsp27 (HspB1) through to Hsp20 (HspB6). It is of note that the remaining four mammalian sHsps (HspB7 to HspB10) have not been studied by NMR spectroscopy to ascertain whether they also possess a flexible C-terminal extension. The structure and function of this region have been well characterised, as summarised in various reviews (Carver 1999; Carver and Lindner 1998). It is suffice to state that removal of the C-terminal extension leads to destabilisation of the protein and reduces its chaperone effectiveness (Lindner et al. 2000) whilst replacement of charged amino acids with uncharged alanine in this region leads to similar effects (Morris et al. 2008; Treweek et al. 2007). It is concluded that the C-terminal extension has an important solubilising role for at least some mammalian sHsps, and is required to offset the inherent exposed hydrophobicity, a factor that is probably of importance for the proteins' chaperone function. The same role for this extension is utilised under chaperone conditions to solubilise the complex that sHsps form with amorphously aggregating target proteins.

Discussion

In this paper, we have proposed a variety of functional roles for the N- and C-terminal regions of sHsps:

- 1. They regulate the interaction and stabilisation of target proteins during chaperone action via localised molecular crowding action.
- 2. They effectively shield the central ACD from potential aggregation to form amyloid fibrils.
- 3. Their dynamic nature, particularly from the C-terminal extension, acts as solubilising agents for the protein under normal physiological conditions and during chaperone action.

The N- and C-terminal flanking regions in sHsps

The role and importance of structural disorder in molecular chaperone action have been considered by others (Bardwell and Jakob 2012; Tompa and Csermely 2004; Tompa et al. 2015), along with the realisation that many proteins are unstructured in their native state, or have large regions of their polypeptide chain that are disordered (Tompa 2012). Unstructured proteins are classified as intrinsically disordered proteins (Dunker et al. 2008). Mammalian sHsps have many properties of intrinsically disordered proteins because of their mainly unstructured flanking terminal regions.

The flanking regions in mammalian sHsps share very little sequence similarity, are highly variable in length (Figure 3) and are present in all sHsps (Kappé et al. 2003). The proposed roles of these regions are consistent with the absence of conserved sequence. Thus, all that is required are regions of polypeptide that lack structure and are flexible, malleable and are predominantly hydrophilic in character. Many sequences of amino acids can satisfy these requirements.

Our data regarding the unfolding of α Ac and α Bc in the presence of urea showed that the ACD is more exposed to solution than the N-terminal region (Carver et al. 1993). Likewise, the highly mobile C-terminal extension is very exposed to solution (Treweek et al. 2010). Thus, for the sHsp oligomer, the unstructured C-terminal region most likely undergoes the initial interaction with target proteins, prior to more intimate association with the ACD and/or the N-terminal region, which may be coupled with subunit dissociation.

The mammalian sHsps, Hsp20 and Hsp22 (HspB8), do not form large oligomeric assemblies but exist as smaller species, for example dimers in the case of Hsp20 (van de Klundert et al. 1998; Weeks et al. 2014; Shemetov et al. 2008). Because of their dissociated nature, it is conceivable that these sHsps largely have their N- and C-terminal regions exposed and as such, may have some basal level of activity that supports the proteostasis network.

The well-defined oligomeric sHsps, e.g. wheat Hsp16.9 and *Methanoccocus* Hsp16.5, have no flexible C-terminal extension, nor does yeast Hsp26, yet they undergo subunit exchange (Benesch et al. 2010). However, they all have the conserved IXI sequence which facilitates subunit exchange. Hence, they can still potentially act as a 'lasso' via their N- or C-terminal regions, as per mammalian sHsps, during chaperone action.

The arguments relating to unstructured regions acting as localised crowding agents could be applied to other molecular chaperones. For example, Hsp70 has large regions of disorder which could function in a similar manner to the terminal regions in sHsps in the initial interaction with an unstructured target protein, prior to the instigation of protein folding along with ATP hydrolysis. For Hsp60 (GroEL), encapsulation of the target protein within the protein cage leads to crowding of the target protein and hence facilitates folding, via an ATP dependent mechanisms. The concept of 'molecular shields' has been proposed to account for the chaperone action of unstructured Late Embryogenesis Abundant (LEA) proteins (Chakrabortee et al. 2012). Their mode of action is via transient interactions that shield the hydrophobic regions of target proteins from association to prevent aggregation. This behaviour is comparable with that of localised molecular crowding proposed for the flanking terminal regions in sHsps. In sHsps, these transient interactions encourage the structurally destabilised target proteins, e.g. potentially amyloid fibril-forming α -synuclein, to return to its natively unfolded (intrinsically disordered) state (Cox et al. 2014; Treweek et al. 2015). From our other studies, it is apparent that the unrelated molecular chaperones, clusterin, caseins and 14-3-3ζ, all exhibit a very similar mechanism of ATP-independent sHsp-like chaperone action (Carver et al. 2003; Holt et al. 2013; Thorn et al. 2015; Williams et al. 2011). In E. coli, curli proteins (e.g. CsgA) form functional amyloid extracellularly. Intracellularly, specific molecular chaperones (e.g. CsgC) prevent inappropriate curli fibril formation via a sHsp-like mechanism (Taylor et al. 2016).

Under stress conditions in vivo, e.g. heat shock, large-scale protein unfolding and potential aggregation occurs. sHsps, such as αBc , are activated (which may involve structural change and/or dissociation from the oligomer to form the dimer species) to interact with and bind to destabilised target proteins to form a high molecular weight complex (Lindner et al. 1998; Stamler et al. 2005). By contrast, under non-heat shock (i.e. constitutive) conditions, transient interaction of destabilised target proteins with non-activated sHsps occurs which does not lead to complex formation (Cox et al. 2014; Kulig and Ecroyd 2012; Treweek et al. 2015). The interaction of α Bc with amyloid fibril-forming proteins, e.g. α -synuclein, ataxin-3, apolipoprotein C-II, kappa-casein and β 2-microgobulin, is such a situation (Cox et al. 2016; Esposito et al. 2013; Hatters et al. 2001; Rekas et al. 2004; Rekas et al. 2007; Robertson et al. 2010). The variation in sHsp chaperone mechanism depending on conditions and the degree of unfolding of the target protein is consistent with various studies. We have shown that under mild stress conditions, i.e. slightly elevated temperature, target proteins such as malate dehydrogenase and α -lactalbumin interact with the α -crystallin oligomer via complex formation that is consistent with intercalation into the porous surface of the oligomer. The target proteins are readily accessible to interaction with molecular chaperones (e.g. Hsp70) that are capable of refolding target proteins, coupled to ATP hydrolysis (Regini et al. 2010). However, under conditions of significant stress, i.e. high temperature, the target protein (in this case γ E-crystallin) is inserted into the central cavity of the α -crystallin oligomer (Clarke et al. 2010). Mchaourab's work on the chaperone action of sHsps with T4 lysozyme mutants of varying stability also imply sHsp activation during chaperone action that is directly related to the degree of unfolding, and hence binding affinity, of the particular T4 lysozyme mutant (Mchaourab et al. 2002; Shashidharamurthy et al. 2005).

Goldshmidt et al. (2010) noted that fibril-forming regions in globular, structured proteins are buried and therefore not exposed to solution and any subsequent potential interaction with other similar regions. For unstructured peptides and proteins, the presence of fibril-forming regions in the middle of unstructured peptides and proteins is a general phenomenon (Goldschmidt et al. 2010). Thus, the non-amyloid-β component (NAC), fibril-forming region in α -synuclein is embedded in the middle of the unstructured protein. Our results (Rekas et al. 2012) showed that when the first 60 amino acids of α -synuclein were absent, i.e. the region immediately N-terminal to the NAC region, fibril formation occurred rapidly. Others have shown that deletion of portions of the C-terminal region leads to enhanced fibril formation of α -synuclein (Hoyer et al. 2004). Consistent with these data, the fibril-forming region of unstructured κ -case in is in the middle of the protein (Ecroyd et al. 2008). Likewise, the crucial fibril-forming residues of amyloid β (Glu11 to Ala21) are in the middle of the peptide (Serpell 2000). Recently, we have shown that a four amino acid tract in the centre of the sequence of SEVI, a peptide which potentiates HIV infection, is crucial in promoting fibril formation (Elias et al. 2014). Finally, the observation that addition of the C-terminal extension to the α Ac 'mini-chaperone' prevents its fibril formation (Raju et al. 2014) is also consistent with the ability of flexible, unstructured peptide flanking regions to prevent core regions from fibril formation.

Oligomerisation of sHsps

The role of subunit oligomerisation, and the associated subunit exchange, in sHsp chaperone action is an unresolved matter of debate within the literature (Haslbeck et al. 2005). There is evidence that chaperone action is enhanced under conditions of faster subunit exchange, for example at higher temperature (Carver et al. 2002). However, the cross-linked oligomeric form of α -crystallin is chaperone-active (Augusteyn 2004), as is an immobilised form of the protein (Garvey et al. 2011). One explanation for sHsp oligomerisation is that it may protect against fibril formation, for example within the ACD, in addition to the protection provided by the unstructured flanking regions. Other protein oligomers associate for such a reason, as we have shown for the unstructured milk casein proteins in which micelle (oligomer) formation by the four unrelated caseins (either with themselves individually, or with other caseins, or all of them to form the casein micelle in milk) prevents amyloid fibril formation by κ - and α_{s2} -case in via mutual chaperone interaction and also (principally within the case in micelle) by the chaperone action of the β - and α_{s1} -caseins (Holt et al. 2013; Holt and Carver 2012; Thorn et al. 2015). Similarly, transthyretin fibril formation requires initial dissociation from a tetrameric species prior to a conformational change within the monomer which leads to an aggregation-prone intermediate (Colon and Kelly 1992). Furthermore, methionine oxidation of apolipoprotein A1 reduces its oligomerisation and leads to enhanced amyloid fibril formation of the protein (Wong et al. 2010).

Relevance to crystallin proteins in the eye lens

The short, flexible C-terminal extensions in mammalian sHsps impart heterogeneity and enhance solubility to the proteins. Via their chaperone action in the eye lens, the α -crystallin subunits also prevent aggregation and precipitation of the β - and γ -crystallins, and hence lens opacification. The two α -crystallin subunits, α Ac and α Bc (in a 3:1 ratio in the human lens)

are the predominant lens proteins. The unrelated β -crystallin subunits also have highly flexible and unstructured terminal extensions (at both termini in the basic β -crystallins, but only at the N-terminus in the acidic β -crystallins) and are oligomers (dimers to octamers). The γ -crystallins are structurally related to the β -crystallins and form similar two-domain, Greek key motif, highly β -sheet structures, but are monomers. The major γ -crystallin, γ s, has a short, flexible, four amino acid N-terminal extension (Cooper et al. 1994). The other γ -crystallins do not have terminal extensions. In support of the role of unstructured, highly flexible terminal extensions in preventing aggregation of the crystallins, truncation mutants of α - and β -crystallins without terminal extensions (and parts thereof) are prone to aggregation and potential precipitation (Lampi et al. 2002; Treweek et al., unpublished results). In the same vein, deletion of the C-terminal region of α Bc (i.e. removal of residues 151 to 175 inclusive) leads to insolubilisation of the protein and the formation of inclusion bodies (Asomugha et al. 2011), although the protein retains some chaperone activity despite losing much of its secondary structure, and has a reduction in its oligomeric status (Hayes et al. 2008).

Goto and co-workers have described the amorphous, glassy state of supersaturated protein solutions and compared it to the amyloid fibril state (Yoshimura et al. 2012). Their conclusions have direct relevance to the arrangement of crystallin proteins in the eye lens. The glassy protein state is present in the lens; the very high concentration of crystallin proteins (up to 400 mg/mL in the centre) is highly stable and maintains solubility (and hence transparency) for tens of years without forming crystals or amyloid fibrils. The amorphous, glassy state of crystallin protein arrays or aggregates that are responsible for lens transparency arise because the proteins are "highly flexible and various intermolecular interactions are possible" (Yoshimura et al. 2012). Specifically with respect to the lens, the flexibility of the terminal regions in αAc and αBc , the β -crystallins and the N-terminal extension in ys-crystallin, along with extensive subunit exchange of αAc and αBc , ensure that the lens crystallin protein mixture does not crystallise or form amyloid fibrils, occurrences that would be highly deleterious to lens transparency. It is the crystallin mixture that behaves as such, because individual, isolated β - and γ -crystallin subunits readily form well-ordered crystals whose structures have been determined by X-ray crystallography (Lapatto et al. 1991; Moreau and King 2012; Norledge et al. 1996). Thus, from a simple consideration of the highly dynamic nature of the crystallin proteins, and supersaturation of a concentrated protein (crystallin) solution, the transparency of the lens can be explained. Transparency occurs despite a very high lens crystallin concentration, a situation that normally favours large-scale aggregation, for example to form crystals or amyloid fibrils.

Concluding comments

We have proposed that the largely unstructured N- and C-terminal regions of mammalian sHsps have multi-faceted roles: (i) they perform the initial interaction with target proteins during chaperone action, (ii) they protect the structured and sHsp-defining ACD from the possibility of misfolding into potentially non-functional and toxic amyloid fibrils and (iii) because of their dynamic, polar and unstructured nature, they act as solubilising agents for sHsps under chaperone and non-chaperone conditions. Experimentally, (iii) has been shown, in general, to be correct, at least for the C-terminal extension. However, there is plenty of scope and opportunity to undertake experiments to test (i) and (ii) and thereby determine the

veracity or not of these two hypotheses, and whether they could be expanded to non-mammalian sHsps.

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Figure legends

Figure 1. Schematic of the protein folding/unfolding pathway (*horizontal*) and the offfolding pathways (*vertical*). The folding/unfolding pathway depicts both folded (F) and unfolded (U) states while the intermediate (I) represents the partially folded state(s) between these two extremes which is characterised by having elements of secondary structure and has the potential to enter the off-folding pathways to form amorphous aggregates and/or amyloid fibrils. The oligomeric sHsp α Bc is depicted with small black 'squiggly' protrusions representing the solvent-exposed and flexible C-terminal extension. The various junctures at which α Bc interacts on the folding/unfolding and off-folding pathways (Cox et al. 2014; Treweek et al. 2015) are indicated with black arrows labelled 1, 2, 3 and 4. Briefly, 1. Interaction to prevent conversion from the native to an intermediate state, 2. Interaction with intermediate species to prevent or delay amorphous aggregation, 3. Interaction with intermediate species to prevent or delay the generation of prefibrillar aggregates and also to dissociate prefibrillar/oligomeric species back to an intermediate or native state, 4. Binding to amyloid fibrils in order to stabilise them and prevent further elongation and fragmentation which may lead to secondary nucleation.

Figure 2. Schematic diagram of the three structural regions in α Bc. The colour scheme for (a) and (b) is indicated at the top of the figure. (a) The crystal structure of a truncated α Bc monomer (PDB: 3L1G) incorporating residues 68-162 which is the majority of the structured ACD and part of the unstructured C-terminal region including the conserved IXI sequence (I159-P160-I161). The highly flexible C-terminal extension of 12 amino acids and the N-terminal region were not included in order to facilitate crystallisation (Laganowsky et al. 2010). (b) A linearised α Bc sequence displaying the relative lengths of the ACD and the flanking N- and C-terminal regions as well the location of the conserved IXI motif and the flexible C-terminal extension.

Figure 3. ZipperDB analysis (Goldschmidt et al. 2010) of the amino acid sequences of the ten human sHsps displayed as linearised sequences aligned at the N-terminal end of the ACD. The colour scheme is indicated at the top of the figure. The position of the published IXI sequences (Delbecq et al. 2015) and the C-terminal extensions (Carver 1999) are displayed in the C-terminal region. The red regions are those residues associated with the start of a hexapeptide that has a high propensity to form amyloid fibrils as determined by ZipperDB, i.e. these residues have a Rosetta energy that is less than or equal to the threshold energy of - 23 kcal/mol. *The C-terminal extensions for HspB1/Hsp27, HspB2/MKBP and

HspB6/Hsp20 were identified from ¹H NMR spectroscopic studies of sHsps from other mammals, i.e. mouse, rat and rat respectively.

Figure S1. ZipperDB analysis (Goldschmidt et al. 2010) of the amino acid sequences of the ten human sHsps. The N-terminal, ACD, and C-terminal regions are segmented by the black vertical dashed lines in that sequential order. The blue, green, yellow and orange lines are hexapeptide residues that are of increasing Rosetta energy respectively, while the red lines that cross the threshold of -23 kcal/mol (black horizontal line) are those residues associated with a hexapeptide that has a high propensity of forming fibrils.

Supplementary Table 1. Comparison of the predicted fibril-forming or β -aggregation propensity of all ten human sHsps and two *E. coli* sHsps using three different prediction algorithms, i.e. ZipperDB (Goldschmidt et al. 2010), TANGO (Fernandez-Escamilla et al. 2004) and Zyggregator (Tartaglia et al. 2008). Percentages are given as the number of residues classified as having a 'high propensity' to form fibrils within a specific region over all the residues within that specific region of the protein, e.g. N-terminal, ACD or C-terminal regions.

Figures

Figure 1.







Figure S1.



Name and	Protein Details and	N-terminal	Alpha-	C-terminal
Abbreviation	Program Used	Region	crystallin Domain	Region
Heat shock protein beta-1 (HspB1)/ Heat shock 27 kDa protein (Hsp27)	Amino Acid Range	1-85	86-168	169-205
	No. of residues	85	83	37
	ZipperDB (\leq -23)	2.35%	12.05%	8.11%
	TANGO (> 0)	0.00%	0.00%	0.00%
	Zyggregator (≥ 1)	5.88%	20.48%	2.70%
Heat shock protein beta-2 (HspB2)/ MDPK-binding protein (MKBP)	Amino Acid Range	1-64	65-147	148-182
	No. of residues	64	83	35
	ZipperDB (\leq -23)	1.56%	6.02%	11.43%
	TANGO (> 0)	12.50%	12.05%	20.00%
	Zyggregator (≥ 1)	4.69%	10.84%	0.00%
Heat shock protein beta-3 (HspB3)/ Heat shock 17 kDa protein	Amino Acid Range	1-61	62-144	145-150
	No. of residues	61	83	6
	ZipperDB (\leq -23)	1.64%	20.48%	0.00%
(115p17)	TANGO (> 0)	0.00%	42.17%	0.00%
	Zyggregator (≥ 1)	0.00%	21.69%	0.00%
Heat shock protein	Amino Acid Range	1-61	62-144	145-173
beta-4 (HspB4)/ Alpha-crystallin A chain, α A-crystallin, (α Ac)	No. of residues	61	83	29
	ZipperDB (\leq -23)	8.20%	18.07%	0.00%
	TANGO (> 0)	9.84%	21.69%	0.00%
	Zyggregator (≥ 1)	21.31%	18.07%	10.34%
Heat shock protein beta-5 (HspB5)/ Alpha-crystallin B chain, α B-crystallin, (α Bc)	Amino Acid Range	1-65	66-149	150-175
	No. of residues	65	84	26
	ZipperDB (\leq -23)	4.62%	20.24%	0.00%
	TANGO (> 0)	0.00%	22.62%	0.00%
	Zyggregator (≥ 1)	4.62%	16.67%	0.00%
Heat shock protein beta-6 (HspB6)/ Heat shock 20 kDa protein (Hsp20)	Amino Acid Range	1-64	65-147	148-160
	No. of residues	64	83	13
	ZipperDB (\leq -23)	1.56%	21.69%	0.00%
	TANGO (> 0)	0.00%	32.53%	0.00%
	Zyggregator (≥ 1)	0.00%	2.41%	0.00%
Heat shock protein beta-7 (HspB7)/ Cardiovascular heat shock protein (cvHsp)	Amino Acid Range	1-72	73-151	152-170
	No. of residues	72	79	19
	ZipperDB (\leq -23)	23.61%	11.39%	5.26%
	TANGO (> 0)	13.89%	22.78%	0.00%
	Zyggregator (≥ 1)	6.94%	18.99%	5.26%

Supplementary Table 1.

Heat shock protein beta-8 (HspB8)/ Heat shock 22 kDa protein (Hsp22), (H11)	Amino Acid Range	1-86	87-169	170-196
	No. of residues	86	83	27
	ZipperDB (\leq -23)	0.00%	13.25%	3.70%
	TANGO (> 0)	0.00%	21.69%	0.00%
	Zyggregator (≥ 1)	0.00%	12.05%	18.52%
Heat shock protein beta-9 (HspB9)/ Cancer/testis antigen 51 (CT51)	Amino Acid Range	1-44	45-130	131-159
	No. of residues	44	86	29
	ZipperDB (\leq -23)	2.27%	12.79%	13.79%
	TANGO (> 0)	0.00%	13.95%	17.24%
	Zyggregator (≥ 1)	9.09%	15.12%	10.34%
Heat shock protein beta-10 (HspB10)/ Outer dense fiber protein 1 (ODFP1)	Amino Acid Range	1-115	116-200	201-250
	No. of residues	115	85	50
	ZipperDB (\leq -23)	7.83%	24.71%	0.00%
	TANGO (> 0)	6.09%	22.35%	0.00%
	Zyggregator (≥ 1)	33.91%	35.29%	4.00%
Small heat shock protein IbpA (IbpA) (<i>E. coli</i>)	Amino Acid Range	1-40	41-122	123-137
	No. of residues	40	82	15
	ZipperDB (\leq -23)	2.50%	14.63%	0.00%
	TANGO (> 0)	0.00%	40.24%	0.00%
	Zyggregator (≥ 1)	7.50%	8.54%	13.33%
Small heat shock protein IbpB (IbpB) (<i>E. coli</i>)	Amino Acid Range	1-39	40-121	122-142
	No. of residues	39	82	21
	ZipperDB (\leq -23)	7.69%	13.41%	0.00%
	TANGO (> 0)	25.64%	32.93%	0.00%
	Zyggregator (≥ 1)	10.26%	26.83%	0.00%