# Einasto profiles and the dark matter power spectrum

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Accepted 2016 October 17. Received 2016 October 14; in original form 2016 September 5

# ABSTRACT

We study the mass accretion histories (MAHs) and density profiles of dark matter haloes using *N*-body simulations of self-similar gravitational clustering from scale-free power spectra,  $P(k) \propto k^n$ . We pay particular attention to the density profile curvature, which we characterize using the shape parameter,  $\alpha$ , of an Einasto profile. In agreement with previous findings, our results suggest that, despite vast differences in their MAHs, the density profiles of virialized haloes are remarkably alike. Nonetheless, clear departures from self-similarity are evident: For a given spectral index,  $\alpha$  increases slightly but systematically with 'peak height',  $\nu \equiv \delta_{sc}/\sigma(M, z)$ , regardless of mass or redshift. More importantly, however, the ' $\alpha$ - $\nu$ ' relation depends on *n*: The steeper the initial power spectrum, the more gradual the curvature of both the mean MAHs and mean density profiles. These results are consistent with previous findings connecting the shapes of halo mass profiles and MAHs, and imply that dark matter haloes are not structurally self-similar but, through the merger history, retain a memory of the linear density field from which they form.

**Key words:** methods: numerical – galaxies: haloes – dark matter.

## **1 INTRODUCTION**

The density profiles of dark matter (DM) haloes are well described by the Einasto (1965) profile:

$$\ln\left(\rho_{\rm E}(r)/\rho_{-2}\right) = -2/\alpha \left[\left(r/r_{-2}\right)^{\alpha} - 1\right].$$
 (1)

Here,  $\rho_{-2}$  and  $r_{-2}$  are characteristic values of density and radius, and  $\alpha$  is a 'shape' parameter which governs the profile's curvature. The scaling parameters – commonly cast in terms of virial mass,<sup>1</sup>  $M_{200}$ , and concentration,  $c \equiv r_{200}/r_{-2}$  – are not independent but correlate in a way that encodes the formation history dependence of halo structure: Haloes collapsing early, when the universe was dense, inherit higher characteristic densities (or concentrations) than those collapsing later (e.g. Navarro, Frenk & White 1997). This idea led to the development of a number of analytic and empirical models which successfully describe the mass, redshift, cosmology and power spectrum dependence of halo concentrations (e.g. Macciò, Dutton & van den Bosch 2008; Diemer & Kravtsov 2015; Ludlow et al. 2016).

Compared to concentration, the shape parameter,  $\alpha$ , has received little theoretical attention. Its weak but systematic dependence on halo mass and redshift reported by Gao et al. (2008) disclosed a sim-

pler underlying relation when expressed in terms of dimensionless peak height,<sup>2</sup>  $\nu$ . On average,  $\alpha \approx 0.16$  for all  $\nu \lesssim 1$  but increases to ~0.3 for the rarest haloes in their simulations. This result has been supported by a number of subsequent studies (e.g. Dutton & Macciò 2014), but the physical origin of the relation has not been pinned down.

Nevertheless, the need for a third parameter is clear and plausible interpretations for its origin have been put forth. Ludlow et al. (2013) suggested that, when expressed in appropriate units, the shape of the average halo mass profile is the same as that of the average mass accretion histories (MAHs): Both are approximately universal and well described by an Einasto profile with the same shape parameter  $[\alpha \approx 0.18]$ ; see Ludlow et al. (2016)]. Intriguingly, haloes whose MAHs deviate in a particular way from the mean have mass profiles which deviate from the mean in a similar way, suggesting that, at a fixed mass, haloes which assemble more rapidly than average exhibit more 'curved' mass profiles, and vice versa. The correlation is weak; however, substantial deviations from the mean MAH are required to leave a noticeable imprint on  $\alpha$ .

Cen (2014) argued that profiles similar to equation (1) may be a natural outcome of gravitational clustering in models seeded by Gaussian density fluctuations. He conjectured that centrally

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<sup>&</sup>lt;sup>1</sup> We define the virial mass,  $M_{200}$ , as that enclosed by a sphere of mean density  $200 \times \rho_{\text{crit}}$  surrounding the halo particle with the minimum potential energy. This implicitly defines the virial radius as  $M_{200} = (800/3)\pi\rho_{\text{crit}}r_{200}^3$ .

<sup>&</sup>lt;sup>2</sup> The 'peak height' is a dimensionless mass variable defined by  $\nu(M, z) = \delta_{sc}/\sigma(M, z)$ , where  $\delta_{sc}$  is the spherical top-hat collapse threshold and  $\sigma(M, z)$  is the rms mass fluctuation in spheres of mass *M*. Note that  $\nu(M_{NL}, z) = 1$  defines the non-linear mass scale,  $M_{NL}$ .

concentrated haloes with extended outer envelopes (corresponding to small values of  $\alpha$ ) form primarily through mergers of many small, dense clumps, the expected outcome for power spectra dominated by short-wavelength modes. Conversely, smooth and coherent collapse occurs when the fluctuation field is dominated by long-wavelength modes due to the lack of significant substructure. In this case, diffuse accretion plays a vital role in halo growth, and the resulting profile is shallow in the centre and steep in the outskirts. This hypothesis is backed up by numerical experiments (Nipoti 2015).

Finally, Angulo et al. (2016) showed that a rapid succession of major mergers leads to a remnant whose density profile is more curved than that of its progenitors, supporting the idea that halo profiles are sensitive to the precise details of how their mass was assembled.

What determines the shapes of halo mass profiles? The answer will illuminate the processes which establish the structural properties of DM haloes, and may lead to improvements to future models for halo structure. We address this issue here using a suite of selfsimilar simulations of gravitational clustering. Our simulations and their analysis are described in Section 2; the MAHs and density profiles of haloes in each are presented in Sections 3 and 4, respectively. Section 5 summarizes our findings and provides some concluding remarks.

## 2 SIMULATIONS AND ANALYSIS

### 2.1 Scale-free models

We consider a suite of Einstein–de Sitter models [matter density  $\Omega_{\rm M}(a) \equiv \rho_{\rm M}(a)/\rho_{\rm crit}(a) = 1$ ] with self-similar power spectra,  $P(k) \propto k^n$ , and a scalefactor which is a power law of time,  $a(t) \propto t^{2/3}$ . The only physical scale in such a model is the one at which fluctuations become non-linear at a particular time, which is defined by  $\delta_{\rm sc} = \sigma(M_{\rm NL}, a)$ , where  $M_{\rm NL} \propto a^{6/(3+n)}$  is the non-linear mass. All of our simulations adopt such a model but change the balance of power between large and small scales by varying the spectral index, *n*. For larger values of *n*, the density field is increasingly dominated by short-wavelength modes, and the characteristic mass grows very slowly. As we will see in Section 3, some control over the growth histories of haloes can therefore be attained by varying *n* appropriately.

We simulated four scale-free models (n = 0, -1, -2 and -2.5) using 1024<sup>3</sup> particles to evolve the DM. Gravitational forces were softened at a fraction f = 0.05 of the mean interparticle separation. Although arbitrary, we set the box size to be  $L = 100 h^{-1}$  Mpc and normalize the power spectrum so that  $\sigma_8 = 1$  when linearly extrapolated to a = 1 ( $\sigma_8$  is the rms mass fluctuation in 8  $h^{-1}$  Mpc spheres). Starting redshifts were chosen to ensure that particlescale fluctuations were safely in the linear regime, at which point positions and velocities were generated according to second-order Lagrangian perturbation theory (Jenkins 2013) using a different white noise field for each *n*. Our simulations were carried out with a lean version of the GADGET code (Springel 2005).

The simulations were evolved for a range of expansion factors ending either at  $a_f = 1$ , or the most recent time at which  $\sigma(M_{\text{box}}, a_f) \lesssim 0.2 \ (M_{\text{box}}$  is the box mass). This ensures that fluctuations on the box scale remain close to linear at the final time, limiting the impact of missing large-scale modes. Our simulations probe a very different range of expansion factors:  $a_f/a_i \approx 10^4$ ,  $10^3$ , 128 and 46.9 for n = 0, -1, -2 and -2.5, respectively. For each run, 65 snapshots were stored in equally spaced steps of log a, with the first output corresponding to the time at which  $M_{\text{NL}}$  was equivalent



**Figure 1.** DM distribution in the final output of each of our scale-free simulations. All models adopt a scale-invariant linear power spectrum,  $P(k) \propto k^n$ , with spectral indices n = 0, -1, -2 and -2.5. Note that short-wavelength modes dominate structure formation for the n = 0 model (upper left-hand panel), with large-scale modes becoming increasingly important as n decreases.

to that of ~20 particles. Our output sequence ensures that  $vM_{\rm NL}$  grows by a constant factor  $\Delta \log M = 6/(n + 3)\Delta \log a$  between snapshots.

Fig. 1 provides a visual impression of the DM distribution in the final output of each simulation. The n = 0 run is characterized by a large number of dense clumps whose large-scale distribution is close to uniform across the box. As *n* decreases, large-scale modes have a more noticeable impact on the flow of DM, and prominent features of large-scale structure emerge, such as voids, filaments and rare clusters.

#### 2.2 Analysis

Friends-of-friends haloes and their associated substructure were identified using SUBFIND (Springel et al. 2001) in all simulation outputs. The halo catalogues were combined into merger trees using the method described in Jiang et al. (2014), which were then used to build MAHs by tracking each halo's main progenitor back through previous simulation outputs. We also compute the 'collapsed mass history' (CMH), defined as the *total* mass of progenitors larger than  $f = 10^{-3}$  times the present-day mass,  $M_0$ . In addition to MAHs and CMHs, we compute two equilibrium diagnostics: (1) the centre-of-mass offset,  $d_{\text{off}} = |\mathbf{r}_p - \mathbf{r}_{\text{CM}}|/r_{200}$ , defined as the distance between the halo's centre of mass and most bound particle, and (2) the substructure mass fraction,  ${}^3f_{\text{sub}} = M_{\text{sub}}(< r_{200})/M_{200}$ . In the remainder of this Letter, we will consider only 'relaxed' haloes, defined as those that satisfy both  $d_{\text{off}} < 0.1$  and  $f_{\text{sub}} < 0.1$ , and impose a

<sup>&</sup>lt;sup>3</sup> When computing  $f_{sub}$ , we consider only subhaloes whose masses are at least 1 per cent of their host's virial mass. Our limit of  $N_{200} \ge 5 \times 10^4$  particles thus ensures that the lowest mass subhaloes contributing to  $f_{sub}$  are resolved with  $\gtrsim 500$  particles.



**Figure 2.** Formation of a massive cluster in each of our scale-free simulations. From the left- to right-hand side, the columns show results for different power-law power spectra, ranging from n = 0 (leftmost) to n = -2.5 (rightmost). Different rows correspond to different times during the halo's evolution. Top panels show the final distribution of DM in the halo vicinity; the middle and bottom panels show, respectively, the DM in a region surrounding the halo's main progenitor at the time its virial mass was ~10 and ~1 per cent of  $M_0$ . In each case, the box length is fixed to  $8 \times r_{200}(a_f)$  in comoving units, where  $r_{200}(a_f)$  is the virial radius of the halo at the final time. The thick orange circle in the upper panels marks  $r_{200}$ . Orange points in other panels highlight the subset of particles which, by  $a_f$ , end up within  $r_{200}$  of the halo's descendant.

minimum particle number of  $N_{200} \ge 5 \times 10^4$ . We construct the spherically averaged density profiles for these haloes in 50 equally spaced steps in  $\log r/r_{200}$  spanning the range of -2.5 to 0.176  $(r_{\text{max}} \approx 1.5 \times r_{200})$ . These profiles are then fitted with equation (1) in order to determine the best-fitting values of  $r_{-2}$  and  $\alpha$ . Fits are restricted to the radial range  $(r_{\text{min}}, r_{200})$ , where  $r_{\text{min}}$  is the larger of  $0.02 \times r_{200}$  or  $2 \times \epsilon$ . Best-fitting models are obtained by simultaneously adjusting the three parameters of equation (1) in order to minimize a figure-of-merit function, defined as

$$\psi^2 = \frac{1}{N_{\text{bin}}} \sum_{i=1}^{N_{\text{bin}}} [\ln \rho_i - \ln \rho_{\text{E}}(\rho_{-2}; r_{-2}; \alpha)]^2.$$
(2)

We obtain best-fitting parameters for individual haloes, as well as for *median* profiles in logarithmically spaced bins of v.

## **3 MASS ACCRETION HISTORIES**

As mentioned above, the rate of clustering in self-similar models depends sensitively on *n*. This can be readily seen in Fig. 2, where we plot the growth history of a massive cluster in each model. From the left-hand to right-hand side, columns correspond to n = 0, -1, -2 and -2.5, respectively; rows correspond to the final simulation output (top), and to those at which the halo's main progenitor first reached ~10 per cent (middle) and ~1 per cent (bottom) of its final mass. In all cases, the halo is resolved at the final time by ~10<sup>6</sup> particles within  $r_{200}$ , which is marked with an orange circle in the upper panels; all particles within  $r_{200}$  at z = 0 are highlighted using orange points in other panels.

Haloes in different models form differently, and occupy distinct large-scale environments at the simulation's end point. For n = 0, large quantities of DM have assembled into high-density clumps at very early times, and structures form leisurely, through the slow merging of many lower mass haloes. As *n* decreases, the well-structured pattern of progenitors loosens and the main clump forms rapidly by aggregating a number of lower mass progenitors and diffuse material.

Fig. 3 shows these results quantitatively. Here we plot the *median* MAHs and CMHs of relaxed haloes which lie in a narrow range of peak heights (log  $v = 0.3 \pm 0.05$ ), separating models of different spectral indices into different panels. Note that we have used the critical density,  $\rho_{crit} \propto a^3$ , as the time variable rather than expansion factor or redshift, and have normalized masses and densities to their present-day values,  $M_0$  and  $\rho_0$ , respectively. For clarity, results at specific redshifts are shown as thin lines, and their average as a thick curve. Whether judged by the MAH or CMH, the haloes in cosmologies with larger *n* collapse earlier, explaining why, for example, Knollmann, Power & Knebe (2008) report systematically higher concentrations for such systems.

The CMHs betray the fact that, as *n* decreases, diffuse accretion plays a more prominent role in halo growth. On average, when each halo's main progenitor first reached just ~1 per cent of its final mass, the *total* collapsed mass fractions were ~50 per cent, 36 per cent, 16 per cent and 10 per cent for n = 0, -1, -2 and -2.5, respectively. Although a smaller *n* implies larger fractions of diffuse accretion, merging remains significant in all models, though more so for larger *n*. The shaded contours in Fig. 2, for example, show the full progenitor mass functions of these haloes (different levels enclose 1, 2, 5, 10 and 25 progenitors).



**Figure 3.** Growth histories of  $\nu \approx 2$  haloes in our scale-free simulations. Thin solid lines show the main progenitor MAHs measured at separate redshifts; the thick solid line shows the median of these curves. Dotted lines correspond the total collapsed mass history (CMH) in *all* progenitors larger than a fraction  $f = 10^{-3}$  of the present-day halo mass. Shaded contours show the average progenitor mass functions and enclose, respectively, 1, 2, 5, 10 and 25 progenitors. For comparison, the dashed black line is an  $\alpha = 0.18$  Einasto profile (the 'predicted' MAH for  $\Lambda$ CDM haloes with the same formation time,  $z_{-2}$ ). In all cases, masses are normalized by the present-day halo mass,  $M/M_0$ , and time is expressed as critical density in present-day units,  $\rho_c(a)/\rho_0 = (a/a_0)^3$ .

Like the CMHs, the median MAHs are approximately selfsimilar, but their *shapes* depend strongly on the spectral index, *n*. As expected from Fig. 2, haloes in the n = -2.5 model grow rapidly, increasing their virial mass by a factor of ~1000 over just a factor of ~4 in expansion history. This is roughly an order of magnitude less than what is required for the haloes n = 0 model to grow by the same amount.

Intriguingly, the halo MAHs in the n = -2 and -2.5 models are similar to those of  $\Lambda$  cold dark matter ( $\Lambda$ CDM) haloes. This is not unexpected: The local slope of the CDM power spectrum roughly spans  $\sim -1.8$  to  $\sim -2.5$  for halo masses ranging from dwarfs to rich clusters, the mass scale over which MAHs are well studied in ACDM models. The dashed black lines in each panel, for example, show an  $\alpha = 0.18$  Einasto profile (expressed here as mass-versusenclosed density) with the same characteristic 'formation time',  $z_{-2}$ , as the scale-free MAHs. This time-scale marks the point at which the main progneitor's virial mass was first equal to the mass enclosed by its present-day scale radius,  $r_{-2}$  (highlighted as an outsized point in each panel). This single point can be used to accurately predict the MAHs of ACDM (see Ludlow et al. 2013, 2016, for a full discussion). Note, however, that the slow growth of haloes in the n = 0 and -1 models yield quite distinct MAHs from those expected for ACDM. In particular, they are substantially less curved. Do the diversity of MAHs in scale-free models leave a residual imprint on their density profiles?

# **4 DENSITY PROFILES**

The left-hand panel of Fig. 4 shows the median spherically averaged density profiles for the same haloes whose MAHs were plotted in Fig. 3. To aid the comparison, all profiles are normalized by their characteristic values of density,  $\rho_{-2}$ , and radius,  $r_{-2}$ , and weighted by a factor of  $(r/r_{-2})^2$  to enhance the dynamic range. As above, results from our four simulations are shown in separate panels, using different colours. Within each panel, thin lines (barely distinguishable here) correspond to different redshifts. Their median is shown using symbols.

For comparison, we also plot an NFW profile in each panel using a thick grey line. This curve matches the simulated profiles reasonably well, even for the white noise n = 0 model. Nonetheless, important differences are also clear. Dashed lines, for example, show an Einasto profile whose  $\alpha$  was chosen to match that of the simulated haloes. For a given n (and  $\nu$ ), the density profiles are clearly self-similar, regardless of z. The residuals (lower subpanels), for example, have been computed with respect to these Einasto profiles and are not deviations from individual best-fitting models. The deviations are not systematic and, at most radii, remain smaller than  $\sim$ 5 per cent.

More importantly, the halo density profiles *are not* self-similar across different simulations, even when  $\nu$  is held fixed. Instead,  $\alpha$  varies from  $\approx 0.15$  for n = 0 to  $\approx 0.22$  for n = -2.5. These differences are emphasized in the upper right-hand panel of Fig. 4, where we plot the maximum asymptotic power-law slope,  $\gamma_{\text{max}}$ , compatible with the inferred mass profiles (for clarity, we have only included the n = 0 and -2.5 runs in this panel). Clearly, an Einasto profile with a single value of  $\alpha$  cannot fit  $\gamma_{\text{max}}(r)$  for all models simultaneously.

In the lower right-hand panel, we show that the power spectrum dependence of  $\alpha$  extends to *all* values of  $\nu$ . Here, we plot the best-fitting  $\alpha - \nu$  relation obtained for individual haloes after combining all redshifts (shaded regions indicate the error on the median values). As with other panels, different colours and symbols correspond to the different simulations. *At all overlapping*  $\nu$ , *the shapes of CDM halo density profiles depend systematically on the power spectral index*, n.

For comparison, the heavy black line in Fig. 4 shows the  $\alpha-\nu$  relation obtained by Gao et al. (2008) (see also Dutton & Macciò 2014) from the Millennium simulation (Springel et al. 2005). This curve matches the results for our n = -2 and -2.5 models fairly well but becomes progressively worse as *n* increases. In particular, haloes in our n = 0 and -1 models have, on average, *less curved* mass profiles than those of  $\Lambda$ CDM haloes of similar  $\nu$ , which was precisely the case for their MAHs plotted in Fig. 3.

## **5 DISCUSSION AND CONCLUSIONS**

Overall, our results imply that *the spherically averaged density profiles of DM haloes are not universal* but depend systematically on the shape of the DM power spectrum. Haloes which grow slowly through the merger and accretion of many small, dense clumps have steeper, more centrally concentrated density profiles with extended outer envelopes. Those that form rapidly, through a combination of diffuse accretion and loosely bound mergers, have shallower inner profiles and steep outer ones. These results broadly agree with the qualitative interpretation put forth by Cen (2014, see also Nipoti 2015) for the origin of Einasto-like density profiles.

The results also support the claim of Ludlow et al. (2013), who suggested that the curvature of the MAH is what determines  $\alpha$ .



**Figure 4.** A summary of the spherically averaged density profiles of haloes identified in our scale-free simulations. The plot on the left-hand side, subdivided into four panels, shows the *median* density profiles of  $\nu \approx 2$  haloes at a variety of redshifts (thin lines). The average of these curves is emphasized using symbols. All profiles have been rescaled by their characteristic density and radius, and weighted by  $r^2$ . For comparison, heavy grey lines show an NFW profile (Navarro, Frenk & White 1997); dashed lines show an Einasto profile whose shape parameter,  $\alpha$ , was chosen to match the simulated profiles (it is not a best fit to the data). Residuals in the lower panel are calculated with respect to this profile. The top right-hand panel shows the maximum asymptotic slope,  $\gamma_{max}$ , for n = 0 and -2.5. The median best-fitting  $\alpha - \nu$  relation for *all* haloes resolved with  $\ge 5 \times 10^4$  particles is shown in the lower right-hand panel.

These authors showed that haloes whose MAHs curve more rapidly than average tend to have more sharply curving density profiles, and vice versa. Rapid growth implies rapid merging (see Fig. 3), which has also been shown to enhance the curvature of halo mass profiles (Angulo et al. 2016).

Our results, however, disagree with prior work on halo structure in scale-free cosmologies. Knollmann et al. (2008) found that halo mass profiles are insensitive to differences in the fluctuation power spectrum. Although they reported a positive correlation between the power spectral index, n, and the innermost asymptotic slope  $\beta$ of  $\rho(r)$ , they attributed it to the large range of halo concentrations spanned in models of widely different n, which lead to difficulties in robustly estimating  $\beta$ .

We hope that our results will motivate future studies which seek to build a holistic model for halo structure which connects all relevant structural parameters to the detailed and unique assembly histories of DM haloes. Given the complexities involved, such a model is unlikely to be simple but is within the reach of current simulations of halo formation.

## ACKNOWLEDGEMENTS

We thank Alejandro Benítez-Llambay for visualization software (https://github.com/alejandrobll/py-sphviewer). ADL is supported by a COFUND Junior Research Fellowship; REA by AYA2015-66211-C2-2. This work used the COSMA Data Centric system at Durham University, operated by the Institute for Computational Cosmology on behalf of the STFC DiRAC HPC Facility (www.dirac.ac.uk). This equipment was funded by a BIS National

E-infrastructure capital grant ST/K00042X/1, DiRAC Operations grant ST/K003267/1 and Durham University. DiRAC is part of the National E-Infrastructure.

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