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1	Title:
2 3	Criegee Intermediate–Alcohol Reactions, A Potential Source of Functionalized Hydroperoxides in the Atmosphere
4	Author list:
5 6	Max R. McGillen ^{a,1} , Basile F.E. Curchod ^a , Rabi Chhantyal-Pun ^a , Joseph M. Beames ^b , Nathan Watson ^b , M. Anwar H. Khan ^a , Laura McMahon ^a , Dudley E. Shallcross ^a and Andrew J. Orr-Ewing ^{a,1}
7 8	^a School of Chemistry, University of Bristol, Cantock's Close, Bristol, BS8 1TS, UK; ^b School of Chemistry, Cardiff University, Cardiff, CF10 3AT, UK
9 10	¹ To whom correspondence may be addressed. Email: max.mcgillen@gmail.com, a.orr- ewing@bristol.ac.uk
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28 Abstract

29 Ozonolysis, the mechanism by which alkenes are oxidized by ozone in the atmosphere, produces a

- 30 diverse family of oxidants known as Criegee intermediates (CIs). Using a combination of newly
- 31 acquired laboratory data and global atmospheric chemistry and transport modelling, we find that the
- 32 reaction of CIs with alcohols a reaction that was originally employed to trap these reactive species
- and provide evidence for the ozonolysis mechanism nearly 70 years ago is occurring in Earth's
- 34 atmosphere and may represent a sizeable source of functionalized hydroperoxides therein. Rate
- 35 coefficients are reported for the reactions of CH_2OO and $(CH_3)_2COO$ + methanol and that of CH_2OO
- + ethanol. Substitution about the Criegee intermediate is found to have a strong influence over the
- 37 reaction rate, whereas substitution on the alcohol moiety does not. Although these reactions are not
- 38 especially rapid, both the precursors to CIs and alcohols have large emissions from the terrestrial
- biosphere, leading to a high degree of collocation for this chemistry. We estimate that the products of
- 40 these reactions, the α -alkoxyalkyl hydroperoxides (AAAHs) have a production rate of ~30 Gg yr⁻¹. In
- 41 order to assess the atmospheric lifetime of AAAHs, we used the nuclear ensemble method to
- 42 construct a UV absorption spectrum from the four lowest energy conformers identified for a
- 43 representative AAAH, methoxymethyl hydroperoxide. The computed absorption cross section
- 44 indicates that these compounds will be lost by solar photolysis, although not so rapidly as to exclude
- 45 competition from other sinks such as oxidation, thermal decay and aerosol uptake.

46 1. Introduction

47 Criegee intermediates (CIs), also known as carbonyl oxides, are a family of oxidants produced in Earth's atmosphere through alkene ozonolysis. The identity of a CI is determined by the alkene 48 49 reagent, and as a consequence of its zwitterionic character, may exist in several forms with significant barriers to rotation between conformers.¹ In the ozonolysis of isoprene, for example, nine possible 50 Criegee intermediates are produced.² The corollary of this is that the variety of CIs encountered in the 51 52 atmosphere may exceed that of alkenes. Ozonolysis forms CIs that possess a range of internal 53 energies, a varying proportion of which possess sufficient excitation for prompt decomposition. The 54 remainder may be either collisionally stabilized or formed in a stabilized state. These stabilized CIs 55 will be sufficiently long-lived with respect to unimolecular processes that they may also engage in

- 56 bimolecular reactions.
- 57 Laboratory studies have identified a large diversity in the bimolecular reactivity among CIs. In the
- 58 case of water dimer, (H₂O)₂, a rapid reaction ($k_{298} = 7.4 \times 10^{-12} \text{ cm}^3$ molecule⁻¹ s⁻¹) is observed with
- formaldehyde oxide, CH₂OO,³ yet no significant reactivity ($k_{298} = <1.5 \times 10^{-16} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$) is
- 60 observed for acetone oxide, (CH₃)₂COO.⁴ Conversely, both CIs react rapidly with SO₂, with
- 61 (CH₃)₂COO exhibiting a faster reaction rate.⁴⁻⁵ It is therefore apparent that the reactivity of a given CI
- 62 depends strongly upon both its structure and the identity of its reaction partner.

- 63 Despite having a low tropospheric concentration, estimated to be $5 \times 10^{4\pm 1}$ molecule cm^{-3,6} CIs have
- been identified as important oxidants of both SO_2^{7-8} and organic acids.⁹⁻¹⁰ Furthermore, among
- atmospheric oxidants, CIs are unusual in their capacity to add both carbon and oxygen mass to the co-
- $^{11-12}$ reactant through 1,3-dipolar cycloaddition¹¹⁻¹² and insertion mechanisms,¹³⁻¹⁴ and therefore have the
- 67 potential to produce low volatility products in comparatively few reaction steps, which may facilitate
- 68 secondary organic aerosol (SOA) formation.
- 69 Alcohols are a prevalent class of volatile organic compounds in the atmosphere and have terrestrial
- ⁷⁰biogenic sources,¹⁵⁻¹⁶ of which tropical rainforests are among the largest. Since the highest flux of CIs
- 71 is predicted to occur in the equatorial regions,^{9, 17} collocation is expected between CIs and alcohols in
- 72 the troposphere, and the reactions between these species therefore warrant investigation. Such
- reactions are well known to synthetic chemists and have found utility in the preparation of α -
- 74 alkoxyalkyl hydroperoxides (AAAHs).¹⁸ Similarly in the gas phase, AAAHs have been identified in
- 75 the reaction of CIs (CH₂OO, *syn-/anti*-tridecanal oxide) with alcohols (methanol, 2-propanol), all of
- 76 which were studied using a static reaction chamber.¹⁹⁻²⁰ Tobias and Ziemann²⁰ reported a relative rate
- 77 coefficient for these reactions, where heptanoic acid was used as a reference compound. However,
- 78 few direct kinetic data are available and in order to address this knowledge gap, a systematic study of
- 79 the following reactions is conducted:
- 80 $CH_2OO + CH_3OH \rightarrow products (1)$
- 81 $CH_2OO + C_2H_5OH \rightarrow products (2)$
- 82 $(CH_3)_2COO + CH_3OH \rightarrow products (3)$
- 83 Reaction 1 is an insertion reaction and the product has been identified as methoxymethyl
- 84 hydroperoxide (MMHP).¹⁹ A calculated UV absorption spectrum of MMHP is presented, which
- allows its atmospheric photolysis lifetime to be assessed. This spectrum is found to closely resemble
- the experimentally measured spectra of analogous hydroperoxide species, and given the apparently
- 87 generic similarity between these hydroperoxides, MMHP is used to represent the panoply of AAAHs
- that are expected to form from the reactions of larger, naturally occurring CIs with methanol. The
- 89 atmospheric implications of these reactions are investigated through global chemistry transport
- 90 modelling.

91 **2. Methods**

- 92 2.1. Experimental measurements. Rate coefficients for Reactions 1–3 were determined at
- temperatures from 254–329 K and total pressures from 10–100 Torr using cavity ring-down
- 94 spectroscopy (CRDS) to obtain temporal decay profiles of a flowing mixture of CH₂OO or
- 95 (CH₃)₂COO in the presence of a known excess of either methanol or ethanol. All rate coefficients

- 96 were measured under *pseudo*-first-order conditions, where [alcohol] >> [CI]. The temperature of the
- 97 reactor was controlled by circulating a heated or cooled fluid through an outer jacket, with a second
- 98 insulating jacket employed to reduce temperature gradients across the reaction volume. A brief
- 99 description of the apparatus and experimental technique is given below, with a fuller account provided

100 by Chhantyal-Pun et al.^{5, 10}

101 Criegee intermediates, CH₂OO and (CH₃)₂COO were generated through the photolysis ($\lambda = 355$ nm) 102 of alkyl *gem*-diiodide precursors in the presence of excess O₂ according to the methodology of Welz 103 et al.:²¹

- 104 $CR_2I_2 + hv \rightarrow CR_2I + I(4)$
- $105 \qquad CR_2I + O_2 \rightarrow CR_2OO + I (5)$
- 106 where R = H or CH_3 .

107 Signals of CH₂OO or (CH₃)₂COO were probed using CRDS, the optical cavity of which was

- 108 positioned at an angle of 3.8° with respect to the photolysis beam, providing a 7.6 cm long interaction
- 109 region. The probe beam wavelength of 355 nm overlapped the broad and intense $\tilde{B}^{-1}A' \leftarrow \tilde{X}^{-1}A'$
- 110 electronic absorption bands of these CIs. Temporal decay profiles of CIs were generated by varying
- 111 the delay time (i.e. reaction time) between the photolysis and probe beams. Under our experimental
- 112 conditions, typical ring-down times ($<6 \mu$ s) were much shorter than the timescales over which kinetic

113 measurements were performed (1–14 ms).

These profiles were fitted with a simultaneous first- and second-order decay fit function shown in Eq.
I:⁵

116
$$\Delta \kappa(t) = \frac{k_{\rm p}}{\frac{k_{\rm p}}{\Delta \kappa(t_0)} e^{k_{\rm p}t} - k' \left(\frac{2L}{cd}\right) + k' \left(\frac{2L}{cd}\right) e^{k_{\rm p}t}} \left({\rm I} \right)$$

117 where $\Delta \kappa(t)$ is the change in ring-down decay rate constant at delay time *t* caused by the photolysis

- 118 laser, L is the cavity length (100 cm), d is the photolysis-probe laser overlap length (7.6 cm), c is the
- speed of light, k_p is the *pseudo*-first-order rate coefficient with respect to the alcohol reagent, $k' = k_{obs}/k_{obs}$
- 120 $\sigma_{355 nm}$ is the second-order decay rate coefficient for the self-reaction of the CI scaled by its absorption

121 cross section at 355 nm. Because k' is temperature dependent, a series of decay profiles were

- 122 measured in the absence of alcohol over a range of temperatures, and these data were used to define a
- 123 temperature-dependent function of the self-reaction, k'(T), for use in this equation.





Fig. 1. A series of kinetic decay traces acquired at 254 K showing progressively faster decays of CH₂OO as methanol concentration is increased. Solid lines are fits according to Eq. I, from which the *pseudo*-first-order rate coefficients, k_p , are obtained. These values are plotted as a function of [CH₃OH] in the inset, the slope of which yields the bimolecular rate coefficient. Error bars in the inset represent the 2σ statistical uncertainty of the fits.

- 131 Alcohols were introduced into the reaction volume from a dilute bulb using a calibrated flow
- 132 controller (MKS) over a range of concentrations, typically spanning a factor of ≥ 20 . Concentrations
- 133 were determined from the ideal gas law using the known mass flow rates from manometrically
- 134 prepared bulbs, reactor temperature and pressure. Bimolecular rate coefficients for Reactions 1–3
- 135 were obtained from the slope of an error-weighted linear least-squares fit of k_p vs [alcohol]. In
- 136 experiments with CH₃OD and CD₃OD, since they possess the labile O–D moiety, D₂O was bubbled
- through the gas lines, mass flow controller and reactor for 24 hours prior to data acquisition.
- 138 **2.2. Materials.** Methanol (≥99.9%), ethanol (≥99.5%) CD₃OD (≥99.8 atom % D), CD₃OH (99.8 atom
- 139 % D), CH₃OD (99.5 atom % D), diiodomethane (99%) (Sigma-Aldrich), 2,2-diiodopropane (a high-
- 140 purity sample was synthesized at the University of Edinburgh, see SI for proton and carbon NMR
- 141 spectra) were subjected to several freeze-pump-thaw cycles prior to their introduction into darkened
- 142 10 L Pyrex bulbs. D₂O (99.9 atom % D) (Sigma-Aldrich) was degassed but otherwise used as
- supplied. High purity compressed gases N_2 (diluent and bath gas) and O_2 (reagent in Reaction 5) (Air
- 144 Liquide) were used as supplied.
- 145 **2.3. Computational calculations.** For energy calculations, stationary points on the reaction pathways
- 146 were optimized using DFT//B3LYP/aug-cc-pVTZ, all of which were verified through vibrational
- 147 frequency calculations, and all transition states were linked to their respective minima through

- 148 intrinsic reaction coordinate calculations. All geometries were generated using the Gaussian09
- 149 package.²² Single point energy calculations were performed at each stationary point using DF-
- 150 HF//DF-LCCSD(T)-F12a/aug-cc-pVTZ. Both density fitting integral approximations, and local
- 151 correlation methods offer significant advantages in reducing computational cost when scaling to
- 152 larger molecular systems, and were used here to provide high accuracy molecular energies. These
- 153 calculations also include explicit electron correlation through use of the F12 ansatz, where F12a was
- 154 chosen as the most appropriate treatment for this basis set. All energy calculations were performed
- using MOLPRO.²³ Recent calculations suggest that the CI moiety transitions from predominantly
- 156 zwitterionic to biradical character during the course of a bimolecular reaction,²⁴ which represents a
- 157 major challenge for most computational electronic structure techniques. Multireference computational
- 158 methods could be used in future for more detailed reaction pathway characterisation.
- 159 For calculation of absorption cross sections, geometry optimizations of MMHP were conducted at the
- 160 MP2 level of theory²⁵⁻²⁸ using an aug-cc-pVTZ basis set²⁹ with Gaussian09²² for four conformers (see
- 161 SI for details about the conformers and the level of theory employed). Frequency calculations
- 162 confirmed that all localized extrema are actual minima of the ground state potential energy surface. A
- 163 photoabsorption cross section was then constructed for each conformer using the semiclassical³⁰⁻³²
- 164 nuclear ensemble method as implemented in Newton-X version 1.4.³³⁻³⁴ The nuclear ensemble
- 165 technique samples a set of N_n nuclear geometries (200 in this case) from an approximate quantum
- 166 distribution in the ground-electronic state. Excitation energies (ΔE_{0n}) and oscillator strengths (f_{0n}) are
- 167 then computed for each sampled geometry \mathbf{R}_l and a photoabsorption cross section $\sigma(E)$ is constructed
- 168 by summing all the contributions using the equation:

169
$$\sigma(E) = \frac{\pi e^2 \hbar \gamma}{2m \varepsilon \varepsilon_0} \sum_{n=1}^{N_s} \frac{1}{N_n} \sum_{l=1}^{N_n} f_{0n}(\boldsymbol{R}_l) g(E - E_{0n}(\boldsymbol{R}_l), \delta)$$
(II)

- 170 N_s is the total number of excited electronic states considered (1 in the present case) and
- 171 $g(E E_{0n}(\mathbf{R}_l), \delta)$ corresponds to a Lorentzian function with a width $\delta = 0.05 \ eV$. The nuclear
- 172 ensemble technique provides band shapes and heights, but it is important to note that it does not
- 173 reproduce vibronic progressions.
- 174 The excitation energies and oscillator strengths for the transition to the first electronic state (S_1) were
- 175 computed for all sampled geometries with the spin-component scaling second-order approximate
- 176 coupled cluster (SCS-CC2) method,³⁵⁻³⁷ using an aug-cc-pVDZ basis set, with the program
- 177 Turbomole v6.4.³⁸ This level of theory was benchmarked against equation-of-motion coupled cluster
- singles and doubles (EOM-CCSD),³⁹ and a detailed comparison is presented in the supporting
 information.
- **2.4. Atmospheric chemistry and transport modelling.** The atmospheric sources of AAAHs were
 quantified by incorporating the kinetic measurements of this study into the STOCHEM-CRI global

- 182 atmospheric chemistry and transport model.⁴⁰ In the model, CIs are generated from 6 representative
- alkenes (ethene, propene, (Z)-2-butene, isoprene, α -pinene and β -pinene). Since many more alkenes
- are emitted to the atmosphere than are present in the model, this limited selection is weighted to
- reflect the alkene functionality of the total biogenic alkene flux into the atmosphere,⁴¹ which allows
- 186 for a realistic distribution of CIs at a reduced computational cost. Both the model and the
- 187 methodology for generating CI fields have been used previously.^{9,42} However, based on the
- 188 availability of new experimental measurements and quantum calculations, these CI fields have been
- updated.¹⁰ A full account of the stabilized CI yield from ozonolysis, the branching ratio between CIs,
- 190 the unimolecular loss rate and rate coefficients for reactions with H_2O_1 , $(H_2O)_2$ and methanol for each
- 191 of the CIs contained within the model is provided in Table S4. By considering the production through
- the reactions of CIs with alcohols and the losses by photolysis and reaction with OH (by analogy to
- 193 other hydroperoxides), the concentration of AAAH can be estimated in the model.

3. Experimental results

- 195 Experiments were conducted to measure the rates of reaction of CIs with methanol and ethanol. All
- 196 kinetic data were fitted using a combined 1^{st} and 2^{nd} -order expression, Eq. I (see Section 2.1) and
- 197 temporal profiles of CIs were well described by these fits in all cases (Fig. 1 shows a representative
- 198 dataset). In the experiments in which $(CH_3)_2COO$ was a reagent, a more rapid unimolecular decay
- 199 occurred (~300 s⁻¹)⁴³, which manifested itself as an intercept in plots of k_p vs [methanol]. Rate
- $\label{eq:coefficients} \text{ coefficients were observed to be pressure independent from 10-100 Torr (N_2). Rate coefficient data$
- are plotted as a function of temperature in Figs. 2–3, and show that Reactions 1–3 each exhibit a
- 202 negative temperature dependence, together with curvature, which is quite pronounced in the case of
- 203 Reaction 3. Kinetic data obtained in this study are presented in Tables S1–3, together with the
- experimental conditions that were employed; errors in rate coefficients are expressed as 2σ statistical uncertainty, other errors are provided at 1σ .

206

207



Fig. 2. The bimolecular rate coefficients for the reactions of methanol and ethanol with CH₂OO

- exhibit a similar negative temperature dependence. Solid lines represent modified Arrhenius fits to data (see Eqs. III and IV). Error bars represent 2σ statistical uncertainty for rate coefficients and 1σ for
- temperature.

214



215

Fig. 3. The bimolecular rate coefficient for the reaction of methanol with $(CH_3)_2COO$ exhibits both positive and negative temperature dependent regimes. The solid line represents a sum of two AT^n -type expressions (see Eq. V). Error bars represent 2σ statistical uncertainty for rate coefficients and 1σ for temperature.

220

222 **3.1. Reactions of CH₂OO + CH₃OH and C₂H₅OH**

223 Reaction 1, $CH_2OO + CH_3OH$, is the simplest of the reactions studied, with both reactants possessing

- one carbon atom. This reaction is expected to proceed through an insertion mechanism which
 produces CH₃OCH₂OOH (MMHP).¹⁹
- Fig. 2 shows the bimolecular rate coefficient, k_1 , plotted as a function of temperature. These data are
- tabulated together with the experimental conditions in Table S1. From Fig. 2, it is apparent that there
- is a negative temperature dependence, no apparent dependence on pressure and that individual
- determinations of k_1 are highly reproducible. The high precision of the data allows a weak curvature
- to be observed, which was fitted using a modified Arrhenius expression, yielding the following
- 231 expression for the temperature dependence of k_1 :
- 232 $k_1(T) = 3.7 \pm 1.4 \times 10^{-21} T^2 \exp(1710 \pm 103/T)$ (III)
- 233 The overall negative temperature dependence suggests that Reaction 1 proceeds through a pre-
- reactive complex in the entrance channel.⁴⁴
- Reaction 2, $CH_2OO + C_2H_5OH$, was found to possess a similar rate coefficient to Reaction 1. Fig. 2
- also shows k_2 vs temperature, with kinetic data and experimental conditions tabulated in Table S2.
- 237 The precisions of the rate coefficient measurements approach those of Reaction 1, allowing
- 238 observation of some curvature in the temperature dependence, which was fitted with the following
- 239 modified Arrhenius expression:
- 240 $k_2(T) = 4.2 \pm 2.2 \times 10^{-21} T^2 \exp(1717 \pm 145/T)$ (IV)
- Both the A-factor and the activation energy (E_a/R) are similar to those obtained for Reaction 1,
- indicating that the carbon chain length on the alcohol does not have a strong influence over the
- reaction rate. Again, the formation of a pre-reactive complex in the initial reaction step is expected to
- be responsible for the negative temperature dependence observed.

245 **3.2. Reaction of (CH₃)₂COO + CH₃OH**

- Fig. 3 shows a plot of k_3 vs temperature for Reaction (3) (CH₃)₂COO + CH₃OH, with kinetic data and
- experimental conditions provided in Table S3. In contrast to Reactions 1 and 2, Reaction 3 shows an
- 248 overall slower reaction rate with a strongly curved temperature dependence. In this instance, the
- curvature is such that the data are best described with a more complicated function, and a sum of two
- expressions was used to describe both the positive and negative temperature-dependent components to
- the overall rate coefficient:

252
$$k_3(T) = 6.07 \times 10^{-33} T^{3.87} \exp(5852/T) + 5.82 \times 10^{-16} T^{2.92} \exp(-3741/T)$$
 (V)

253 Because of the complexity of the above expression, these optimized values should not be considered 254 to form a unique solution, and A-factors and activation energies should be treated with caution. 255 However, taken at face value, the temperature dependencies therein are both highly positive and negative respectively. One interpretation of the positive temperature dependent component of this 256 257 reaction is the agency of a hydrogen abstraction mechanism that operates parallel to the generally accepted insertion mechanism that forms AAAHs in these reactions.^{18-20, 45} To investigate this 258 possibility further, rate coefficients were determined for a suite of deuterated isotopologues of 259 260 methanol. As can be seen from Fig. 3, no significant differences were observed between the 261 isotopologues. This result indicates that hydrogen abstraction does not make a significant 262 contribution to the overall rate coefficient under these conditions, and implies that the differences are likely to result solely from dissimilarities on the potential energy surfaces for the insertion reactions 263 264 (see the following section for further discussion). In a pre-reactive complex-forming reaction such as 265 this, there remains the potential for interfering absorption by the complex at the probe wavelength to affect the retrieved decay profiles. A kinetic analysis is presented in the SI, from which we conclude 266 267 that such an outcome is unlikely.

268 4. Discussion and supporting calculations

269 The similarity between k(T) for Reactions 1 and 2 indicates that the size of the alkyl chain of the 270 alcohol has little effect on the rate coefficient for these reactions, and any differences appear to be 271 limited to the A-factor. That deuteration of the alcohol moiety has no discernible effect on Reaction 3 272 further implies that H/D transfer does not have a strong impact on the overall rate coefficient. In 273 contrast, the substitution about the CI has a large influence over the reaction rate. One way of 274 rationalizing this observation is that the barrier to forming the transition state that leads to products is 275 higher in the case of Reaction 3. This hypothesis is supported by potential energy calculations for Reactions 1 and 3, shown in Fig. 4, which suggest that both Reactions 1 and 3 form pre-reactive 276 277 complexes that are stabilized by a single hydrogen bond between the hydrogen of the alcohol moiety 278 and the terminal oxygen of the CI moiety. The barrier to forming AAAH products is found to be 279 submerged in both Reactions 1 and 3, however, in the latter case, the transition state is very close to the energy of reactants. Furthermore, the pre-reactive complex is ~6 kJ mol⁻¹ lower in energy than in 280 281 Reaction 1, demonstrating that the local minimum in which the pre-reactive complex resides is 282 significantly deeper in the case of Reaction 3.



Fig. 4. Potential energy calculations for the reactions of methanol with CH₂OO (black) and
 (CH₃)₂COO (red). For CH₂OO, a lower barrier is observed, whereas for (CH₃)₂COO, the barrier that is
 encountered by reactants coming out of the pre-reactive complex energy well is significantly higher,
 which might explain the complicated temperature dependence observed for this reaction. Optimized
 structures for each of these species and complexes can be found in the SI.

283

290 No absolute kinetic measurements are available in the literature with which Reactions 1–3 can be compared, although the rate of reaction between methanol and the C_{13} CIs produced from 1-291 tetradecene ozonolysis has been determined relative to the reaction with heptanoic acid ²⁰. It is 292 293 necessary to make several assumptions to put this relative rate onto the absolute scale (see Section 6 for a full treatment), and once performed, yields rate coefficients that are a factor of ~2 larger than the 294 295 absolute determinations of this work. Given that at least some of this difference may be physical 296 between the C_{13} CIs and the smaller CIs of this work, and that no major cancellation of errors results 297 from these assumptions, the level of agreement is satisfactory. This finding indicates that larger CIs – 298 more representative of those formed in the atmosphere by ozonolysis and less likely to be consumed rapidly by water – behave in a similar way to the smaller CIs that are presented here. This comparison 299 300 also shows that static chamber-based measurements can provide a suitable alternative to these absolute measurements, which become rapidly limited by vapour pressure as the size of carbon 301 302 backbone of alkyl gem-diiodides increases⁴⁶.

303 5. Atmospheric implications.

Based on the large flux of methanol to the atmosphere, it is clear that Reactions 1 and 3 cannot
represent an important tropospheric methanol sink. Accordingly, this discussion focusses on the
products of these reactions, the AAAHs. Two main loss processes are considered here, the photolytic
loss and the loss by reaction with OH radicals.

- 308 The UV absorption spectra of these peroxides have not been studied previously and in order to assess
- 309 their photolysis lifetimes, a calculated spectrum for MMHP was obtained through the nuclear
- ensemble method described in the Methods section. Fig. 5 compares this calculated spectrum with
- 311 experimentally determined spectra for similar hydroperoxides available in the literature ⁴⁷⁻⁴⁸. MMHP
- 312 was found to possess 4 low energy conformers, and the spectrum in Fig. 5 represents an average that
- 313 is weighted according to the abundance of each of these conformers (for the conformer-specific
- 314 spectra and their free energies, see SI). A striking similarity was observed between the computed
- absorption spectrum of MMHP and the most closely analogous compound, hydroxymethyl
- 316 hydroperoxide, and as with this compound, the photolysis lifetime is therefore expected to be ~4 days
- 317 ⁴⁸.



Fig. 5. Calculated UV absorption cross section for the simplest α -alkoxyalkyl hydroperoxide (AAAH), methoxymethyl hydroperoxide (MMHP). A close similarity is observed between MMHP and the literature measurement for hydroxymethyl hydroperoxide, suggesting a commonality in the photochemistry of AAAHs.

318

324 This lifetime is long enough for oxidation by OH to become competitive. Although no rate

325 coefficients of AAAHs + OH are available in the literature, measurements of other hydroperoxides

326 indicate that the peroxidic hydrogen will be the dominant reactive site, with overall rate coefficients

that range from $\sim 2-6 \times 10^{-12}$ cm³ molecule⁻¹ s⁻¹ at room temperature^{47, 49-52} and no clear dependence on

- 328 alkyl substitution. With the additional ether functionality of AAAHs, it is expected that these
- hydroperoxides will tend towards the more reactive end, and if 6×10^{-12} cm³ molecule⁻¹ s⁻¹ is
- representative of the AAAHs, this leads to a lifetime of ~2 days assuming an average OH
- 331 concentration of 1×10^6 molecule cm⁻³. Reaction with OH will therefore be the main removal process,
- but photolysis will also be significant.



333

Fig. 6. The global distribution of AAAHs formed in the atmosphere for the month of June. A strong
colocation between AAAHs and the productive forested regions is observed, which is a consequence
of the large terrestrial biospheric source of methanol and alkenes in these areas.

These atmospheric sinks of AAAHs were incorporated into a global chemistry transport modelling 338 simulation, together with the source terms described in the Section 2.4 (details on the rate coefficients 339 340 employed can be found in Table S4). Global mixing ratios of AAAHs are provided in Fig. 6 for the 341 month of June, when the biogenic emissions of methanol and CI precursors from the boreal forests are at a maximum. Fig. 7 shows monthly production rates, indicating that the tropical forests represent a 342 dominant source throughout the year (24 Gg yr⁻¹), with a minimum between July and September, 343 during the dry season in the southern tropics. By contrast the boreal forests produce a maximum 344 345 between June and August, falling off rapidly on either side, with an annual flux of 3 Gg yr⁻¹. Temperate forests are the smallest source of AAAHs considered, with a production rate of 2 Gg yr⁻¹, 346

347 showing a similar seasonality to the boreal forests.



Fig. 7. The production of AAAHs over the course of a year. Whereas tropical forests represent a
 dominant source throughout the year, boreal and temperate forests only contribute significantly during
 the northern hemispheric summer.

352

353 Although the present calculations suggest that AAAHs will constitute a radical source in the

troposphere, the formation of larger, more functionalized AAAHs derived from the reactions of more

355 complicated CIs, alcohols, or the secondary oxidation of primary AAAHs may have a subtler role to

356 play in the formation of SOA.

6. Comparison with literature measurements. Tobias and Ziemann²⁰ reported a room temperature relative rate for the reaction of a C_{13} Criegee intermediate produced from 1-tetradecene ozonolysis:

359
$$1-C_{14}H_{28} + O_3 \rightarrow syn-/anti-C_{13}H_{26}OO + CH_2O$$
 (6a)

 \rightarrow CH₂OO + C₁₃H₂₆O (6b)

361 where heptanoic acid was employed as a reference reagent. Given that Reaction 6 produces both *syn*

362 and *anti* conformers, this relative rate is interpreted as follows:

363
$$\frac{\left(k_{syn-C_{13}H_{26}00 + CH_{3}0H} \cdot x_{syn}\right) + \left(k_{anti-C_{13}H_{26}00 + CH_{3}0H} \cdot (1-x_{syn})\right)}{k_{syn-/anti-C_{13}H_{26}00 + heptanoic acid}} = 0.0013 \text{ (VI)}$$

364 Comparison of the current results with their measurement, necessitates several assumptions. Firstly,

365 $k_{syn-/anti-C_{13}H_{26}OO + heptanoic acid}$ is taken to be a generic rate coefficient of 1×10^{-10} cm³ molecule⁻¹ s⁻¹

366 for all organic acid + CI reactions, with no conformer dependence ascribed ⁹. Secondly, in the absence

367 of experimental data, an assumption must be made regarding the molar branching ratio for formation

of the syn and anti CIs, x_{syn} and $1 - x_{syn}$ in Eq. VI. One way to approach this is to take the OH yield 368

369 from analogous terminal alkenes:



371 Scheme 1

370

378

Scheme 1 shows how OH radicals are produced from long-chain terminal alkene ozonolysis. Terminal 372 373 alkenes such as 1-hexene, 1-heptene and 1-octene have overall OH yields from ozonolysis of ~0.33.53-374 ⁵⁴ These compounds are known to produce CH₂OO in a molar yield (x_{CH_2OO}) of ~0.5 ⁵³. The OH yield of CH₂OO produced through ozonolysis has been determined to be 0.16.⁵³ Rickard et al.⁵⁴ recommend 375 that syn-CIs have a unit OH yield whereas anti-CIs do not yield OH, and the overall OH yield can 376 377 therefore be described as follows:

 $1.0 \cdot x_{svn} + (0.16 \cdot x_{CH_200}) = 0.33 \text{ (VII)}$

379 which gives a value for x_{syn} of 0.25. Since the three possible CIs formed must sum to a yield of one,

- 380 the yield of *anti*-CI (x_{anti}) will be 0.25 also.
- 381 The third assumption is that the ratio of rate coefficients for syn- and anti-C₁₃H₂₆OO is similar to that
- 382 for the k (CH₃)₂COO and CH₂OO + CH₃OH measured in this study (0.42 at 298 K); in the case of
- (CH₃)₂COO, the terminal oxygen atom always faces towards an alkyl substitution and is considered to 383
- 384 be representative of a purely syn-CI, whereas CH₂OO never faces an alkyl substitution and is
- 385 considered to be purely *anti* in character. Since the relative rate coefficient of Tobias and Ziemann²⁰
- only considers the fate of the C₁₃H₂₆OO CIs, the above values of 0.25 are effectively doubled, and by 386
- accounting for the ratio of k_1 and k_3 from this study, Eq. VI is simplified as follows: 387

388
$$\frac{k_{anti} \cdot 0.71}{1 \times 10^{-10}} = 0.0013 \text{ (VIII)}$$

from which individual rate coefficient values of 2×10^{-13} and 8×10^{-14} cm³ molecule⁻¹ s⁻¹ can be 389 obtained for anti- and syn-C₁₃H₂₆OO respectively. These values are a factor of 2 larger than the rate 390 coefficients determined in this work. Given that at least some of these differences may be physical 391 392 between the C_{13} CIs and the smaller CIs that have been studied here, and assuming that no major

- 393 cancellation of errors results from the above assumptions, the level of agreement is satisfactory. This
- 394 comparison suggests that the static chamber ozonolysis experiments, if conducted under the right
- 395 conditions, are a reliable source of kinetic data for the reactions of large CIs that cannot be accessed
- through the direct methodology employed in this work.

397 7. Conclusions

- 398 Direct experimental rate coefficient measurements for the reactions of CH_2OO and $(CH_3)_2COO +$
- methanol and that of $CH_2OO +$ ethanol are presented. Experimental conditions were varied between
- 400 temperatures of 254 and 329 K, and pressures of 10 and 100 Torr (N₂), with no evidence of pressure
- 401 dependence and a strong non-Arrhenius temperature dependence observed in all cases. The rate
- $\label{eq:coefficient} 402 \qquad \text{coefficient of } (CH_3)_2 COO + \text{methanol exhibited both a negative and positive temperature-dependent}$
- 403 component, which may in part be explained by a higher barrier encountered by the pre-reactive
- 404 complex as it proceeds to product formation. It is acknowledged, however, that this alone is
- 405 insufficient to explain the extent of the temperature dependence observed, and a more rigorous
- 406 theoretical treatment is required. The insertion reactions by which CIs react with alcohols produce α -
- 407 alkoxyalkyl hydroperoxides (AAAHs). The calculated UV cross section of a representative of these
- 408 species, methoxymethyl hydroperoxide (MMHP), is found to be similar to other peroxides, indicating
- that whilst tropospheric photolysis is an important sink for these species, oxidation and deposition are
- 410 likely to be competitive. Based on these findings, a global chemistry transport model is used to
- 411 estimate the abundance and flux of AAAHs into the global atmosphere. A total flux of \sim 30 Gg Yr⁻¹ is
- 412 obtained, with most production occurring in the tropical forests, where biogenic methanol and alkene
- 413 emissions are both high.

414 Supporting Information

- 415 Tabulated experimental data and conditions. Computational details for UV cross section and potential
- 416 energy calculations. Kinetic parameters employed in atmospheric chemical modelling. NMR spectra
- 417 for $(CH_3)_2CI_2$ sample. Kinetic analysis of experimental observations.
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