

1 Characterization of kerogenous films and taphonomic
2 modes of the Sirius Passet Lagerstätte, **Greenland**

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11 **ABSTRACT**

12 The Sirius Passet Lagerstätte (**northern Greenland**) is an exceptionally well-
13 preserved early Cambrian faunal community containing a diverse array of stem-group
14 euarthropods, lobopodians, worms, sponges, and the iconic *Halkieria*. Material collected
15 *in situ* during recent expeditions has yielded a range of fossil specimens that are
16 preserved as two-dimensional, reflective films. Here we document in detail, for the first
17 time from the Sirius Passet, compressed, kerogenous fossil films characteristic of Burgess
18 Shale-type (BST) preservation. The carbon structure and the taphonomic mode
19 associated with these films were investigated using Raman spectroscopy. Our analysis
20 confirms that these reflective films are kerogenous, showing a higher D1 (**disordered**)
21 **band** and G (**graphite**) band intensity and area, indicating a greater concentration of
22 disordered carbon compared to the surrounding matrix. The spectral characteristics of the

23 fossils denote moderately ordered kerogenous matter, indicating that the transitional
24 Buen Formation that hosts the Sirius Passet was thermally altered at a peak temperature
25 of 409 ± 50 °C. Phyllosilicate minerals are associated with the films, but they are not
26 anatomical or taxon-specific, suggesting that the higher thermal maturation of the
27 kerogen in the Sirius Passet produced a uniform distribution of minerals. This is unlike
28 the kerogenous films in the Burgess Shale Lagerstätte (British Columbia, Canada) that
29 have been metamorphosed at a lower temperature of 335 ± 50 °C and typically show an
30 anatomically specific phyllosilicate distribution. Preservation as kerogenous films,
31 however, is not continuous, and the presence of other taphonomic modes not indicative of
32 BST preservation suggests that the Sirius Passet represents a unique and complex deposit.

33 INTRODUCTION

34 The extraordinary snapshot of communities preserved in Konservat-Lagerstätten
35 has significantly influenced our understanding of the early evolution of animal life in the
36 Cambrian Period. Taphonomic investigations have identified several preservational
37 modes in Lagerstätten (Briggs, 2003). In the Cambrian, the most celebrated deposits are
38 those that exhibit Burgess Shale–type (BST) preservation (Gaines, 2014), defined as the
39 exceptional preservation of non-mineralizing organisms as two-dimensional
40 carbonaceous compressions (Butterfield, 1995). This preservational style occurs in fine-
41 grained marine sediments and is characteristic of a number of early Paleozoic (Gaines,
42 2014) and Proterozoic deposits (Anderson et al., 2011). Despite nearly three decades of
43 intensive research into the topic, the taphonomic processes that led to BST preservation is
44 still a matter of debate. Several hypotheses have been proposed, ranging from the simple
45 absence of bioturbation and dysoxic or anoxic conditions, to the role of clays in inhibiting

46 autolytic decay, early aluminosilicate diagenesis, pyrite mineralization involving sulfate-
47 and iron-reducing bacteria, Fe²⁺ ions adsorbing on organic tissues to delay degradation,
48 and the sealing of sediments by pervasive carbonate cements (see the review by Gaines,
49 2014). However, the presence of clay minerals (or rather their greenschist facies
50 metamorphic equivalents, such as illite and chlorite) is likely a result of
51 diagenesis/metamorphism (Powell, 2003; Butterfield et al., 2007; Page et al. 2008), and
52 the association of iron-bearing minerals in unweathered fossil material may be too
53 sporadic to have been considered a key factor in BST preservation (Gaines, 2014),
54 although resulting from related processes and microbial decomposition (Berner, 1984;
55 Schiffbauer et al., 2014).

56 The Sirius Passet in Greenland, is one of the most important and potentially the
57 oldest Cambrian Lagerstätten (Budd, 2011). The majority of documented fossils from
58 Sirius Passet were collected as talus material, and preservation is variable (Peel and
59 Ineson, 2011). Trilobites are preserved as complete molds showing a veneer of authigenic
60 silica (Strang et al., 2016a), whereas other less-mineralized taxa are preserved in slight
61 relief, replicated by silica and clay minerals, with some specimens displaying three-
62 dimensional phosphatized digestive tracts, and a single taxon (*Campanamuta mantoniae*
63 Budd, 2011) preserving three-dimensional muscle fibers (Budd, 2011; Peel, 2016).
64 Remains of organic matter, on the other hand, were thought to have been lost, if
65 originally present at all, as a result of the relatively high grade of metamorphism
66 (greenschist facies) that the Sirius Passet has experienced (Budd, 2011). Some fossils
67 however, collected both *in situ* and as talus during more recent expeditions (2009 and
68 2011), are seemingly preserved as thin, reflective films and resemble, at least

69 superficially, fossil films seen in BST deposits (Vinther et al., 2011). The composition
70 and mode of preservation of these films though have been given no attention. Based on
71 14 specimens, we have employed Raman spectroscopy and scanning electron microscopy
72 with energy dispersive spectroscopy (SEM-EDS) elemental mapping to investigate the
73 composition and the taphonomic modes of fossils from the Sirius Passet Lagerstätte
74 preserved as two-dimensional reflective films. Raman spectra from three Burgess Shale
75 (British Columbia, Canada) specimens (all from the Walcott Quarry Member) were also
76 analyzed for comparison.

77 **RESULTS**

78 In hand specimen, organisms preserved as films from the Sirius Passet are
79 notoriously difficult to recognize, and are best observed underwater with high-angle
80 reflected white light, where they appear as reflective films and stand out conspicuously
81 from the non-reflective sedimentary matrix (Fig. 1). Unlike the Burgess Shale
82 (Butterfield et al., 2007), there appears to be no further distinction of individual
83 morphological features in terms of reflectivity; rather, entire fossils are uniformly
84 reflective and polarized light appears to have little or no effect. The majority of fossil
85 films are covered to a varying degree by iron oxide and oxyhydroxide coatings (Fig. 1).

86 Raman analysis demonstrates that there was a degree of homogeneity across the
87 host rock and the 14 Sirius Passet fossil specimens, with all spectra exhibiting a strong
88 carbon signal (with the exception of some point spectra directed at the iron oxide and
89 oxyhydroxide coatings). The majority of point spectra also exhibit vibrational modes at
90 200 cm^{-1} and 464 cm^{-1} attributed to quartz, and a vibrational mode at 262 cm^{-1} attributed
91 to muscovite, suggesting that all fossils analyzed were closely associated with

92 phyllosilicates. The vibrations of quartz and muscovite, however, were not fossil-specific
93 and appear to be consistently present across both the fossil films and the surrounding
94 matrix. Iron oxides are commonly observed here in association with kerogenous fossil
95 films (Fig. 1). The mineralogy of the iron coatings is variable. The coatings contained a
96 range of vibrational modes, although all were indicative of iron oxides and/or
97 oxyhydroxides. For example, the coating on *Isoxys* (Fig. 1I) exhibits diagnostic
98 vibrational modes at 220 cm^{-1} , 296 cm^{-1} , 410 cm^{-1} and 610 cm^{-1} indicating hematite (α -
99 Fe_2O_3) while the veneer on *Siriocaris* (Fig. 1E) exhibits hematite in part, but also
100 vibrations at 299 cm^{-1} and 385 cm^{-1} diagnostic of goethite (α - FeOOH). The pervasive
101 presence of iron oxides and/or oxyhydroxides most likely reflects modern oxidative
102 weathering of the samples.

103 After baseline correction and deconvolution, carbonaceous material (CM) from
104 the fossil films can be resolved into five bands, D1, G, D2, D3 and D4 (**D—disordered,**
105 **G—graphite;** Figs. 2A–2C). All Raman spectra from the fossil films display D3 and D4
106 bands and have a well-developed D1 band that is typically more intense (mean **intensity**
107 **ratio of D and G bands**, I_{D1}/I_G , of 1.07) **than the G band**, and with a larger area (mean
108 A_{D1}/A_G ratio of 1.26) than the G band. The mean $R_2 [A_{D1}/(A_{D1}+A_{D2}+A_G)]$ ratio of the
109 reflective films is 0.527 ± 0.0106 (Table DR1 in the GSA Data Repository¹). The CM in
110 the sedimentary matrix can also be deconvoluted into five bands; however, the D3 band,
111 which in amorphous CM emerges with increased disorder, is not identifiable in some
112 matrix spectra (Table DR1). The D1 band is generally equal to the G band in intensity
113 (mean I_{D1}/I_G ratio of 1.00); however, the D1 band generally exhibits a larger area (mean
114 A_{D1}/A_G ratio of 1.18). The mean R_2 ratio of the reflective films is 0.513 ± 0.015 . In

115 sample DH074, where acquisition times and accumulations of spectra were kept constant,
116 the CM in the fossil films displayed a higher mean D1 and G band intensity and area
117 compared with the CM in the sedimentary matrix (Figs. 2D and 2E). Statistical tests show
118 that there is a significant difference in the intensity and area of the D1 and G bands in the
119 fossil films and the sedimentary matrix (both Mann-Whitney tests with a p value <0.05).
120 Using Equation 2 in the Data Repository [$T = -445 * R_2 + 641 (\pm 50 \text{ }^\circ\text{C})$; Beysaac et al.,
121 2002], the peak thermal paleotemperature calculated for the Sirius Passet deposit is $409 \pm$
122 $50 \text{ }^\circ\text{C}$. The kerogen in the Burgess Shale is less ordered than the Sirius Passet, with a
123 higher mean R_2 ratio of 0.686 ± 0.012 , a higher mean I_{D1}/I_G ratio of 1.52, and a greater
124 mean A_{D1}/A_G ratio of 3.20, all indicative of less-ordered material (Sforna et al., 2014).
125 The peak thermal paleotemperature calculated for the Walcott Quarry Member of the
126 Burgess Shale Lagerstätte is $335 \pm 50 \text{ }^\circ\text{C}$ (see the Data Repository).

127 Raman spectra results were supported by elemental mapping analyses of taxa
128 using energy-dispersive X-ray spectroscopy (see the Data Repository). In many of the
129 analyzed specimens, carbon of the fossil films is indistinguishable from the matrix, which
130 is perhaps not surprising given the host rock also contains CM. Indeed, many elements
131 (e.g., Si, Al, K) in the fossils were also indistinguishable from the surrounding matrix,
132 supporting the relative uniform mineralogy suggested by Raman spectra. Some
133 specimens, for example *Isoxys* (Fig. 1I; Fig. DR4A), and an element from an undescribed
134 priapulid (Fig. 1H; Fig. DR4B), do show subtle elevated levels of carbon (Fig. DR4) in
135 comparison to the surrounding matrix. Some films also show an increase in silica as well
136 as carbon, although this is not so obvious for all specimens (Fig. DR4). Elemental
137 mapping of a coating on a specimen of *Siriocaris* and *Isoxys* (Fig. DR4) shows elevated

138 levels of iron and sulfur that most likely indicate the presence of pyrite or secondary
139 jarosite, now largely altered to Fe-bearing oxides.

140 **DISCUSSION**

141 The preservation of fossils as carbonaceous remains in Cambrian Lagerstätten has
142 been of considerable interest since organic remains were first liberated from the Burgess
143 Shale nearly 30 years ago (Butterfield, 1990). Mechanisms that delay the degradation or
144 destruction of organisms are viewed as one of the most important taphonomic factors that
145 lead to the exquisite preservation seen in Lagerstätten (Gaines, 2014). However, perhaps
146 of equal importance yet less frequently explored, is the subsequent metamorphic history
147 and peak thermal paleotemperatures experienced by Lagerstätten. Organic matter is
148 structurally susceptible to heating during burial and metamorphism, and an increase in
149 temperature results in the irreversible maturation of organic matter into kerogens and
150 eventually graphite in a process that potentially impairs the morphology of organic
151 remains (Beyssac et al., 2002; Schopf et al., 2005; Schiffbauer et al., 2012). It has been
152 suggested that the Sirius Passet experienced a metamorphic grade high enough to
153 eradicate all signs of carbonaceous remains (Budd, 2011), but this has not been
154 previously tested. Our results indicate that there is CM in the Sirius Passet and that the
155 primary mode of preservation of these films was as carbonaceous compressions. The
156 large majority of spectra acquired display a well-developed D1 band (full width at half
157 maximum [FWHM] $< 70 \text{ cm}^{-1}$) that is slightly more intense than the G band. These
158 spectral characteristics are indicative of moderately ordered CM (Sforna et al., 2014)
159 bearing a metamorphic signature corresponding to a peak temperature of $\sim 400 \text{ }^\circ\text{C}$. The

160 low RD3 and RD4 ratios (0.015 and 0.02, respectively) calculated from the deposit are
161 also consistent with a relatively high peak temperature (Sforna et al., 2014, Fig. DR3).

162 This carbonaceous signal is not necessarily diagnostic for the organic material in
163 the fossil films, as the surrounding sedimentary matrix also contains CM. Although the
164 spectra from the matrix generally show lower values of I_{D1}/I_G , A_{D1}/A_G , and R_2 , overall
165 the structure and crystallinity of the CM in the matrix and fossil films is very similar
166 (Figs. DR1 and DR2). This is not surprising, given that the structural order of CM at this
167 metamorphic grade is predominantly controlled by temperature (Beysac et al., 2002) and
168 that the carbon in the fossil films and the sedimentary matrix underwent the same thermal
169 alteration. However, in spite of this overall degree of structural homogeneity, some
170 features of the collected spectra can give an indication concerning a differential
171 abundance of CM between the fossil films and the surrounding matrix. The Sirius Passet
172 fossil films consistently show a greater D1 and G band intensity and area in relation to
173 the surrounding sedimentary matrix (Figs. 2D and 2E), suggesting a greater concentration
174 of CM within the fossil.

175 The Sirius Passet has been considered to lie within the spectrum of BST
176 preservation (Budd, 2011), although the lack of previously documented kerogenous
177 remains and the presence of muscle fibers preserved in three dimensions has resulted in
178 Gaines (2014) tentatively excluding the deposit from known BST deposits, awaiting
179 further study. The presence of two-dimensional kerogenous films in the Sirius Passet
180 does satisfy the umbrella definition of BST preservation (Butterfield, 1995), but there are
181 distinct taphonomic differences. For example, the vast majority of Burgess Shale fossils
182 preserve no significant third dimension, indicating a complete loss of cellular tissues

183 (Butterfield et al., 2007). This contrasts sharply with the Sirius Passet where, to date, the
184 majority of documented organisms are preserved in three dimensions (Budd, 2011).
185 Fossils of the Burgess Shale also show tissue-specific variation in the elemental
186 composition of phyllosilicate templates (Orr et al., 1998; Page et al., 2008). This
187 anatomically specific phyllosilicate distribution was interpreted as reflecting differences
188 in maturation pathways between morphologically specific kerogen types (Butterfield et
189 al., 2007; Page et al., 2008). The reflective kerogenous films analyzed here from the
190 Sirius Passet, on the other hand, show no specific tissue-related mineral variation and are
191 instead homogenous (Fig. 1). The distribution of phyllosilicates and CM in fossils of the
192 Burgess Shale creates a contrast that assists with the differentiation of morphological
193 features within the fossil organism. In the case of the Sirius Passet, exposure to
194 temperatures of ~400 °C could have led to complete overprinting of kerogen maturation
195 pathways; such that phyllosilicate minerals that arose during early diagenesis in an
196 anatomically specific fashion were homogenized during progressive metamorphism
197 producing a uniform phyllosilicate distribution and carbonaceous film, impeding the
198 discrimination of specific anatomical features. Muscovite is consistently associated with
199 carbonaceous films (also present in the host rock) in the Sirius Passet and it is a typical
200 end-member mineral in a trend where clay minerals are progressively replaced by chlorite
201 and eventually by the more thermally stable muscovite (Powell, 2003; Butterfield et al.,
202 2007). As such, relative to the Burgess Shale, the reflective films in the Sirius Passet
203 provide an example of organisms preserved as carbonaceous films that have been
204 exposed to progressively higher temperatures during metamorphism.

205 Preservation as kerogenous films, however, is discontinuous and the presence of
206 different preservational modes in the Sirius Passet presumably, to some degree, reflects
207 differences in the general composition of the organisms' original tissues and/or their
208 variable susceptibility to decay. For example, the silica death mask has only been
209 identified in trilobites (Strang et al., 2016a), phosphatization may be restricted to
210 digestive tracts (Peel, 2016; Strang et al., 2016b), replication by silica and phyllosilicate
211 minerals with slight topographic relief appears to be predominantly observed in large
212 euarthropods and lobopods (e.g., Budd, 2011), three-dimensional muscle fibers have only
213 been documented from a single taxon (Budd, 2011), and kerogenization has only been
214 observed in particular faunal elements (e.g., no large euarthropods have been observed
215 preserved as reflective films; Fig. 1). The presence of compressed kerogenized films and
216 phosphatized digestive tracts is similar to other BST deposits (Gaines, 2014). However,
217 the combination of these films and the presence of organisms preserved in three
218 dimensions exhibiting silica veneers and muscle fibers are unique to Cambrian
219 Lagerstätten. Three-dimensional muscles, for example, have only been described from
220 specimens of *Myoscolex* from the Emu Bay Shale in South Australia, where they are
221 preserved by phosphate (Paterson et al. 2016). It is possible that the muscle fibers in
222 *Campanamuta* were also primarily preserved by phosphate and secondarily replaced by
223 silica during diagenesis. This is also evident in the gut of *Campanamuta*, where
224 phosphate and silica are in close association (Strang et al., 2016b), with the former
225 possibly representing residual material. Yet, the taphonomic processes operating in the
226 Emu Bay Shale are not considered to be BST, as kerogenized fossil films are yet to be
227 documented (Paterson et al., 2016). Thin sheets of phyllosilicate minerals have also been

228 mentioned as potentially replacing organic matter in euarthropod taxa (Budd, 2011),
229 although this remains to be documented in detail. The topographic relief of the fossils and
230 their close association with abundant trace fossils (Peel and Ineson, 2011) is also unlike
231 typical BST deposits. This combination of preservational styles, displaying similarities to
232 BST and non-BST deposits, highlights the complex set of taphonomic modes present in
233 the Sirius Passet.

234 **CONCLUSIONS**

235 Reflective films in the Sirius Passet Lagerstätte are preserved as kerogenous
236 compressions. They display spectral characteristics that are indicative of moderately
237 ordered kerogenous matter that was heated to a peak temperature of 409 ± 50 °C. The
238 carbon in the Burgess Shale Lagerstätte is less ordered and was thermally matured at a
239 lower peak temperature of 335 ± 50 °C. Phyllosilicate minerals are associated with the
240 films, but are not taxon- or anatomically specific, suggesting that the higher thermal
241 maturation of the kerogen in the Sirius Passet produced a uniform distribution of
242 minerals. While the preservation of organisms as kerogenous films is reminiscent of BST
243 preservation, the Sirius Passet exhibits a range of taphonomic modes, including silica
244 veneers, large organisms with slight topographic relief, and three-dimensional muscle
245 fibers that are not typical of BST preservation.

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336 **FIGURE CAPTIONS**

337 Figure 1. Kerogenous films (reflective light gray areas) of soft-bodied organisms from
338 the Sirius Passet Lagerstätte (northern Greenland). A: *Aaveqaspis insoni*,
339 Geological Museum, University of Copenhagen specimen MGUH32026. B: Overview of
340 specimens preserved as reflective films of kerogenous remains, including MGUH32027.

341 C: indeterminate organism, MGUH32028. D: *Paulotermis spinodorsali*, MGUH32029
342 (scale bar 500 μm). E: *Siriocaris trollae*, MGUH32030. F: *Isoxys volucris*, MGUH32031.
343 G: *Pygocirrus butyricampum?*, MGUH32032. H: Undescribed priapulid?, MGUH32033.
344 I: *Isoxys volucris*, MGUH32034. All specimens were photographed under water and all
345 scale bars are 1 cm unless otherwise stated. Boxes in E, H, and I show location of
346 scanning electron microscopy with energy dispersive spectroscopy (SEM-EDS) maps
347 (Fig. DR1 [see footnote 1]). Light-brown patches are Fe-oxyhydroxide coatings.

348

349 Figure 2. Comparison of Raman spectra from the Sirius Passet (northern Greenland)
350 (A,B,D,E) and Burgess Shale (British Columbia, Canada) lagerstätten (C). A: Mean
351 decomposition of the Raman spectrum of disordered carbonaceous matter of the fossil
352 films. B: Mean decomposition of the Raman spectrum of disordered carbonaceous matter
353 of the sedimentary matrix. C: Mean decomposition of the Raman spectrum of disordered
354 carbonaceous matter of sample WQ971082 from the Walcott Quarry Member. D: Box
355 plot showing the intensity of the D1 (disordered) and G (graphite) bands from the fossil
356 films (red) and matrix (blue). E: Box plot showing the area of the D1 and G bands from
357 the fossil films (red) and matrix (blue). Bars in all box plots indicate 95% confidence
358 intervals. Y-axis for D and E correspond to the number of vibrational events counted by
359 the CCD (charge-coupled device) camera.

360

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362 <http://www.geosociety.org/datarepository/2018/> or on request from
363 editing@geosociety.org.