

1 Article

2 Understanding cationic polymer adsorption on 3 mineral surfaces: kaolinite in cement aggregates

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14 **Abstract:** We present a joint experimental and theoretical investigation into the adsorption of
15 polycationic quaternary ammonium polymers on the clay mineral kaolinite. Within the cement and
16 concrete manufacturing industries such polymers are used to improve the final product by inerting
17 the adsorption capacity of the clay minerals for more expensive additives. The adsorption of the
18 presently used polymer (FL22) was compared with both a similar variant, but without a hydroxyl
19 group (FL22mod) and uncharged polyvinyl alcohol (PVA). Experimental results show that
20 adsorption of FL22 is higher than that of FL22mod at both pH 6 and at pH > 10 and that the
21 adsorption of PVA is the highest. Theoretical density functional theory (DFT) results and simplified
22 models consisting of the basal surfaces of kaolinite, with monomers of FL22, FL22mod and PVA
23 gave monomer coverage per unit surface area of kaolinite, a comparison of the configurations of the
24 relaxed models, formation energies and Mulliken charges. These results show that the polycationic
25 polymers interact with the basal surfaces of kaolinite electrostatically, explaining the high affinity
26 of these polymers for kaolinite surfaces in the experimental results. The hydroxyl groups of FL22
27 and PVA form hydrogen bonds with the basal surfaces of kaolinite in conditions of pH 6. The joint
28 experimental and theoretical results suggest that, due to the presence of the hydroxyl group, the
29 conformation of FL22 changes under pH, where at neutral pH it lies relatively flat to the kaolinite
30 surfaces, but at higher pH, conformational changes of the polymer occur, thereby increasing the
31 adsorbed quantity of FL22.

32 **Keywords:** clay mineral; kaolinite; cement; polymer; simulation; density functional theory.

33

34 1. Introduction

35 Cementitious materials have provided a robust construction material for hundreds, if not thousands
36 of years. Modern cements are highly technical materials, with the mixtures containing many
37 additives to improve final properties, allow more control of mixing properties and to allow the setting
38 time to be offset as desired. However, such complex systems are very susceptible to the quality of
39 the materials used, and the base materials of cements are natural materials, for example sand, which
40 are heterogeneous and may contain impurity phases. In sand deposits clay minerals are near
41 ubiquitous, usually making up less than 10% by mass fraction. Despite this, owing to their small
42 size, clay minerals fill much of the pore space in sand deposits and also form coatings on quartz
43 grains [1]. Increasingly, as higher purity (i.e. low clay mineral content) sands are exhausted, the
44 cement industry is driven to use sand which has a higher clay mineral fraction than previously
45 encountered. The main clay minerals in sand deposits are kaolinite and montmorillonite. These

46 clay minerals present a problem owing to their propensity to disperse (kaolinite) or swell and
47 disperse (montmorillonite) [2].

48 Clay minerals are aluminosilicate minerals, comprised of stacks of two dimensional layers, with
49 each layer comprised of stacking sequences of tetrahedral (T) silicate sheets and octahedral (O)
50 aluminum sheets. Kaolinite, used in this study, is an OT type 1:1 clay mineral. The wide class of
51 smectite clay minerals are TOT type 2:1 clay minerals. The hydration enthalpy of the face of the
52 hydroxylated octahedral sheet on kaolinite leads to the clay mineral, which has high surface area
53 relative to quartz, taking up water and/or expensive polymeric additives from the cement
54 formulation. Upon dispersion, the high surface area kaolinite adds considerably to the rheology of
55 the cement phase, thereby changing key cement parameters such as workability and setting time,
56 which impacts upon the cements performance in terms of strength and stability, potentially having
57 significant longer term impacts [1]. Similar clay mineral hydration issues are also found within the
58 oil and gas sector, where swelling and dispersing clay minerals cause wellbore stability problems [2],
59 and in the preparation of clay-polymer composite materials where the clay minerals need to be made
60 compatible with organic polymers [3].

61 In order to prevent the clay minerals hydrating, a cationic polymer may be applied that serves a
62 dual role of adsorbing to the net negative charge of kaolinite and then rendering the surface inert to
63 adsorbing other polymers within the cement mixture, such as plasticizer, or hydrating with water,
64 the latter of which is also important in oil and gas operations. A wide variety of water soluble
65 polymers have been used to treat clay minerals including, for example, acrylamide [4], quaternary
66 ammonium functionalized biopolymers such as starches [5], celluloses [6], or oligomeric water
67 soluble amines [7].

68 Owing to the lack of long-range order in clay minerals, especially when hydrated or intercalated
69 with organic molecules, and the dynamic nature of the water at room temperature, there are few
70 experimental techniques that are capable of directly determining structural information about clay
71 minerals. As such, computational chemical methods have been applied extensively to understand
72 how organic matter and water interact with clay mineral surfaces. The size and complexity of clay
73 minerals and polymers means that in many cases large-scale classical molecular dynamics (MD)
74 simulations are employed, where by the intramolecular interactions are captured using simple
75 harmonic-type functions and long-range and short-range intermolecular interactions captures with
76 Coulombic and van de Waals functions. The collection of parameters used to describe these
77 interactions is known as a forcefield [see Leech [8] for an introduction to such methods]. Coupled
78 to very efficient algorithms that can partition simulations over many CPUs, large-scale classical MD
79 is able to run simulations of the order of 10^6 atoms over many ns of simulated time, sufficient to
80 ensure equilibration of even quite large polymers and mineral interfaces, and also sufficient to
81 capture the structural/compositional complexity of clay minerals [9]. Large-scale MD are able to
82 yield data comparable with a range of experimental analytical methods such as infrared (IR) and
83 nuclear magnetic resonance (NMR) spectroscopy, X-ray diffraction (XRD), *inter alia*. However, in
84 order to ensure that the classical MD captures the interactions correctly, the forcefield must be
85 carefully selected and optimized, and though most forcefields are designed for either organic or
86 inorganic materials, relatively few exist for both [10,11]. Typically, smaller ($< 10^3$ atoms), but more
87 accurate electronic structure calculations based on quantum mechanics are used to validate the
88 forcefields and also to derive key parameters such as charges and key polymer-clay interactions [12].
89 Combined electronic structure/large-scale classical MD approaches have been used to study a range
90 of cationic oligomers at clay mineral interfaces [13] and recent work has looked at closely coupled
91 multi-scale modelling to go from accurate quantum simulations all the way through to macroscopic
92 coarse-grained simulations [14]. Kaolinite has been studied using both quantum mechanical [12, 15-
93 17], and classical molecular dynamics simulations [18-20], but not thus far in the context of its role in
94 cement aggregates to the authors knowledge

95 To enable cleaner, more efficient chemistries to be designed for use in the aggregate sector,
96 understanding of the role of polymer functional groups on the performance of inerting polymer
97 mineral treatments needs to be gained at a molecular level. In this present work we undertake an

98 experimental investigation to understand the effect of charged cationic and alcohol groups through
 99 contrasting a quarternary ammonium polymer with and without an alcohol group, and comparing it
 100 with poly-vinyl alcohol. Quantum mechanical simulations are also undertaken to give insight into
 101 the binding modes of the different groups and to generate parameters such as charges for future
 102 large-scale classical MD simulations. The study gives insight that will help optimize clay inerting
 103 polymers for use in cement aggregate materials, ensuring cement standards continue to be met, and
 104 will aid other applications of cationic polymers and deliver improved understanding of mineral
 105 interface interactions.

106 2. Materials and Methods

107 *2.1. Materials Used.* The kaolinite clay mineral used in this study was type KGa-1b, a low defect
 108 kaolinite, from Washington County, Georgia, USA (The Clay Minerals Society) [21-23]. X-ray
 109 diffraction (XRD) analysis showed no impurity phases accompanying the raw clay, conforming the
 110 high purity of this kaolinite and the moisture content of the raw material of around 0.5% was
 111 eliminated by drying at 40 °C. The cation exchange capacity (CEC) was measured (at ERM, Poitiers)
 112 using ammonium acetate exchange [24], and found to be 0.07 meq/g. Quantitative chemical analysis
 113 of exchangeable cations (Na⁺ and Ca²⁺) was carried out using atomic absorption spectroscopy (AAS,
 114 spectrometer Varian, AA240FS) on the solution obtained after exchange with a solution of
 115 ammonium acetate. The reference solution was calibrated solution with a cation concentration of
 116 1g/L. The main exchangeable species was Na⁺ at 0.06 meq/g; no Ca²⁺ ions were released by the
 117 kaolinite after exchange. Specific surface area was measured by N₂ adsorption at 77 K to be 11.9
 118 m²/g after out-gassing under vacuum at 45 °C. The water soluble polymers used in the investigation
 119 were the following:

121 FL22, a quaternary polyammonium cation (Me₂N⁺Cl-CH₂CHOHCH₂)_n used in water clarification
 122 processes and mining applications as an organic coagulant (SNF). Its molecular weight is around
 123 25000 g/mol with a polymerization degree close to 180.

125 FL22mod, corresponding to a modified version of FL22. The new polyammonium cation
 126 (Me₂N⁺Br-CH₂CH₂CH₂)_n does not contain the OH group. The laboratory synthesis of this type of
 127 polymer proved to be easier with Br⁻ than Cl⁻. The molecular weight of the polymer is around
 128 25000 g/mol with a polymerization degree close to 150.

- 130 • PVA, polyvinyl alcohol, [(CH₂CHOH)_n], an uncharged polymer, Mowiol 4-88, (KURARAY)
 131 which contains 10% residual polyvinyl acetate groups. Its molecular weight is around 31000
 132 g/mol with a polymerization degree close to 640.

134 The total water solubility of the polymers was verified under experimental conditions. Table 1
 135 contains further information about these polymers. The other reactants used (KOH, HCl, NaCl) were
 136 all analytical grade.

137 **Table 1.** Polymer description: cationic charge, ideal formulae, and the carbon, chloride/bromide
 138 content are % by weight.

Polymer	Formulae	Charge (meq)	Carbon Content (%)	Anion Content (%)
FL22	(Me ₂ N ⁺ Cl-CH ₂ CHOHCH ₂) _n	7.27	43.6	25.8
FL22mod	(Me ₂ N ⁺ Br-CH ₂ CH ₂ CH ₂) _n	6.02	36.2	48.1
PVA	(CH ₂ CHOH) _n	0	54.8	0

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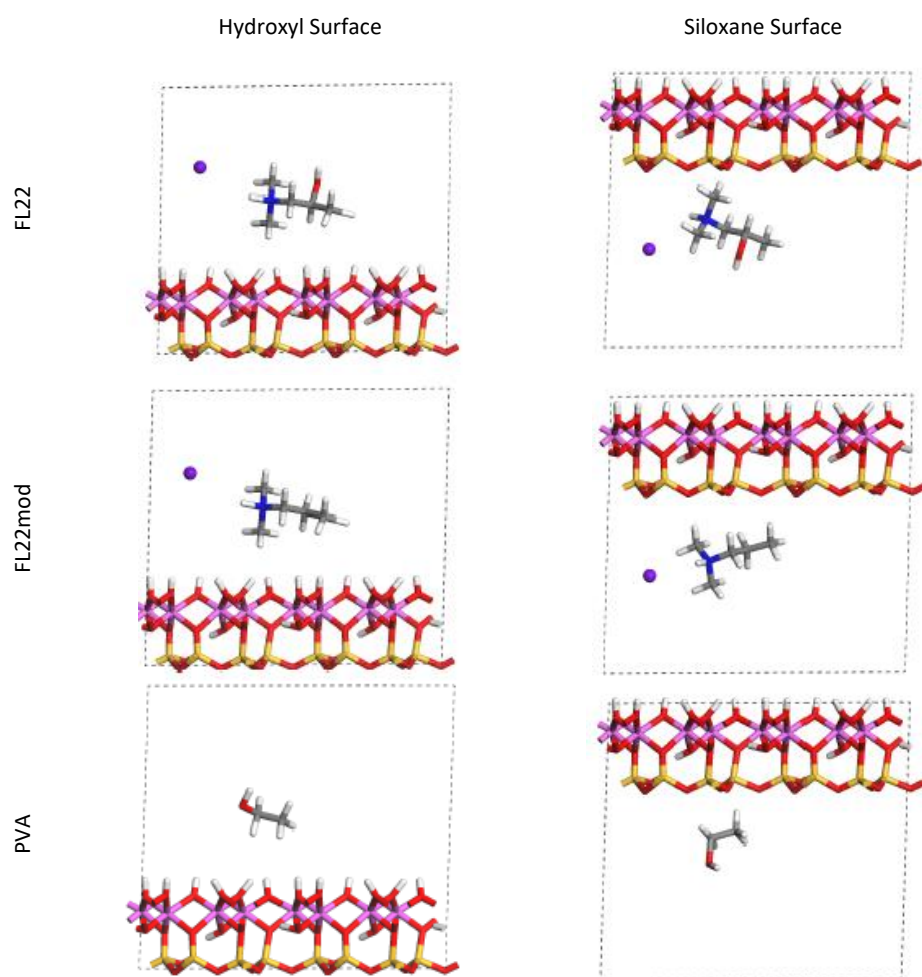
140 2.2. *Determining charge on polymers.* Two different methods were used to determine the cationic charge
141 of FL22. The first method was derived from a two-phase titration technique originally applied to
142 anionic surfactants [25-27], consisting of measuring the conductivity of the cationic polymer charges
143 on neutralisation, which occurs on addition of sodium dodecylsulphate (SDS), a tensioactive
144 molecule consisting of a long, hydrophobic carbon chain and an associated sulphate group. The
145 formation of a water insoluble complex indicates the neutralisation of all the charges, and this
146 neutralisation is marked by the discontinuity in the slope of the associated titration curve. In the case
147 of FL22mod, only this method was employed. For FL22, the second method involved dosing the
148 chloride of the cationic polymer with a solution of silver nitrate. As the synthesis of the polymers
149 liberates one anion per cationic charge created, the dosage of the chloride ions of FL22 on
150 neutralisation therefore represents the charge on the cationic polymers. The conductivity dosage and
151 the point of equivalence as shown by the discontinuity in the slope of the titration curve, enable the
152 calculation of the overall charge of the polymer. The cationic charge values obtained by these two
153 methods for FL22 lead to very similar results.

154
155 2.3. *Adsorption studies.* Adsorption tests were carried out in a batch process by varying the
156 concentration of polymers with a constant amount of clay, 50 g/L. Each experiment consisted of
157 placing 2 g of kaolinite in a 100 ml hermetically closed, borosilicate flask (SILAX) containing 40 ml of
158 solution. The solution was composed of de-ionized water (with/without a KOH (0.1 M)) and a known
159 quantity of polymer solution. Five solutions of polymer were used: FL22 at 19.9 %w (pH = 7.4); FL22
160 at 13.9 %w (pH = 10.5 adjusted with KOH); FL22mod at 21.9 %w (pH = 9.6); FL22mod at 21.1 %w (pH
161 = 10.5 adjusted with KOH); PVA at 9.1 %w (pH = 5.6). Each suspension was mixed for 24 h at around
162 25 °C. Preliminary trials showed that adsorption equilibrium was reached within a few h with these
163 polymers. After twenty-four hours and following suspension-stability observations, 30 ml of each
164 suspension were put in a Nalgene® centrifuge bottle (volume around 40 ml; diameter 28 mm) and
165 the mixture centrifuged at 75600 relative centrifugal force (rcf), corresponding to 25000 rpm for 1 h
166 at 20 °C (Centrifuge-BECKMAN COULTER J-20XP). The supernatant was filtered using a 10 ml Luer
167 Lock syringe and a syringe filter (Titan2; nylon membrane; pore diameter: 0.2 µm). The filtered
168 supernatant was then ready for pH measurements; evaluation of ionic concentration and total organic
169 carbon (TOC) analysis. The powder was dried at 40 °C for analysis. The polymer equilibrium
170 concentration in the supernatants was determined by TOC analysis. The adsorbed polymer amount
171 (Q_{ads}) was obtained by calculating the difference between the initial (C_i) and equilibrium (C_e)
172 concentrations.

173
174 2.4. *Flocculation test.* During the adsorption tests, the mixing of the suspension was stopped after 24 h
175 and the stability of the suspensions observed over *ca* 20 min. In this way general trends regarding the
176 particle dispersion (no sedimentation front), flocculation (sedimented particles with low density),
177 particles in the supernatant, and very clear supernatant were observed.

178
179 2.5. *Zeta potential measurements.* Clay suspensions were prepared with variable pH. For each
180 experiment, 2 g of kaolinite was placed in 40 ml of solution, composed of NaCl (0.001 M) and either
181 HCl (1 M) or KOH (0.1 M). Each suspension was mixed for 24 h on a stirrer mixing plate at around
182 25 °C. Following suspension-stability observations, 30 ml of each suspension were placed in a
183 Nalgene® centrifuge bottle and the mixture centrifuged at 75600 rcf (25000 rpm) for 1 h at 20 °C. The
184 pH of the supernatant was measured and then 20 µl of the initial clay suspension were added to 2 ml
185 of the corresponding supernatant. After the dilution, the particle concentration of each suspension
186 was approximately 0.5 g/L. The particle mobility was then tested.

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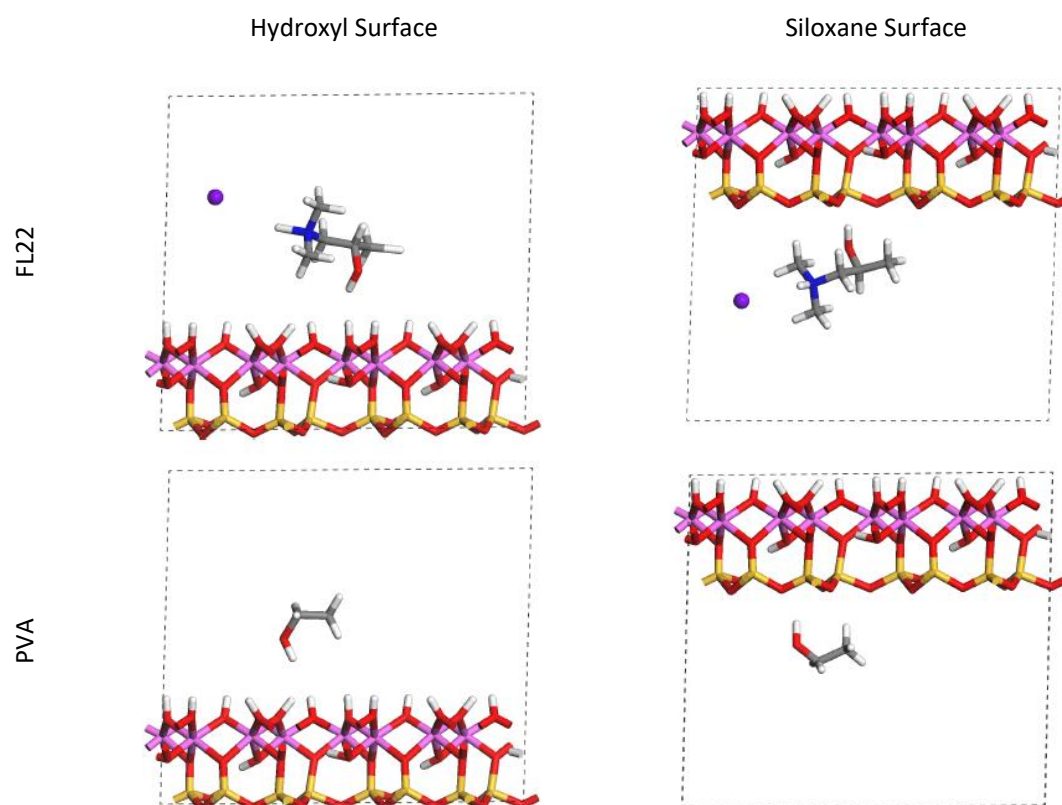
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191 **Figure 1.** Orientation 1 - Initial configurations of the monomer/surface models where the OH-group
192 of the monomer points away from the surface. Colour scheme (used for all figures): white - hydrogen;
193 red - oxygen; pink - aluminium; yellow - silicon; grey - carbon; blue - nitrogen; purple - chloride.
194

195 *2.6 Characterization techniques.* Electrophoretic mobility measurements were performed using an
196 automated electrophoresis instrument, Zetasizer Nano ZS (a combination of laser Doppler
197 velocimetry and phase analysis light scattering) supplied by Malvern. The electrophoretic mobility
198 of the particles was measured and the ζ -potential data was calculated using the Henry equation.
199 Polymer concentrations were measured using a TOC analyser (Shimadzu TOC 5000). The carbon
200 amount of each polymer was determined by calibration. Table 2 summarises the results obtained.

201 **Table 2.** Measured polymer carbon content.

Polymer	Carbon content (% by weight)
FL22	42.3
FL22mod	36.9
PVA	57.2

202
203 If the inaccuracies of the measurement are accounted for, these experimental values lie within 5% of
204 the theoretical carbon values (see Table 1), which themselves have been calculated from the chemical
205 formulae of the products. It is interesting to note that the least close match is with PVA.
206

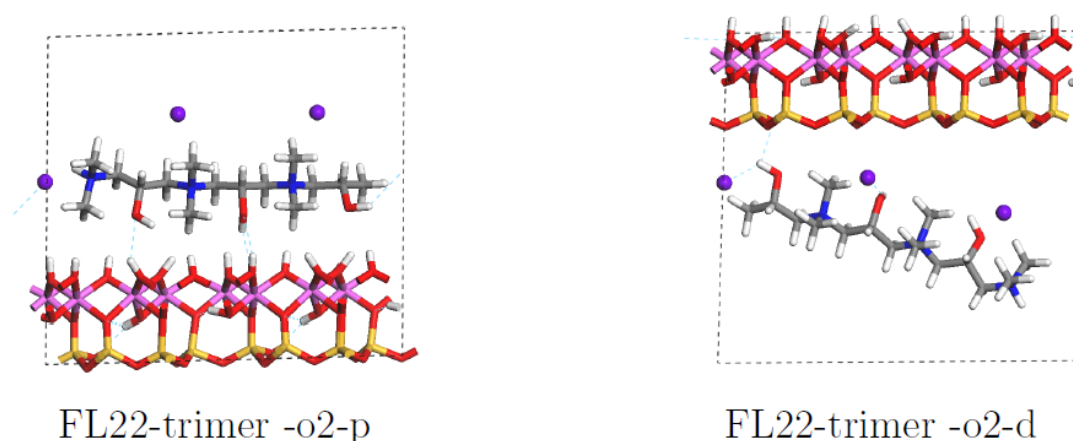


207 **Figure 2.** Orientation 2 - Initial configurations of the monomer/surface models where the OH-group
 208 of the monomer points towards the surface.
 209

210 *2.7. Computer Simulation Method.* All calculations were processed with the CASTEP code [28], using a
 211 planewave basis set within the DFT formalism [29-31]. Convergence testing showed a kinetic energy
 212 cut-off of 650 eV gave a difference in total energies of less than 1.3 meV per unit cell for higher cut-
 213 offs. The Brillouin zone integrations were performed on a grid containing 2 k-points giving an energy
 214 difference between 1, 2, 4 and 5 k-points within the error bound above. We used the generalized
 215 gradient approximation (GGA) functional, specifically Perdew, Burke and Ernzerhof (PBE), [32] as
 216 this describes molecular bonding to a greater accuracy than the local density approximation (LDA).
 217 PBE norm-conserving pseudopotentials were used as these are consistent with the PBE exchange
 218 functional, (see Table SM1 in the Supplementary Materials) and enable the calculation of
 219 spectroscopic data should further studies require this. The (geometry) optimizer was Broyden-
 220 Fletcher-Goldfarb-Shanno (BFGS) [33], and the electronic method was ensemble density functional
 221 theory (EDFT) [34]. Further convergence details per BFGS iteration are as follows: energy change
 222 per ion: $dE/ion\ 2 \times 10^{-5}$ eV; electronic energy tolerance: 10^{-6} eV; maximum force: $|F|_{max}\ 0.05$ eV/Å;
 223 change in displacement: $|dR|\ 0.002$ Å. All calculations were non-spin polarised. As the c lattice
 224 parameter was held at a fixed value appropriate for the volume between the layers to accommodate
 225 the molecules of interest, rather than use and have to optimise for essentially empirical dispersion
 226 functionals, physical judgement was used based on prior work, as in other works [12].
 227

228 *2.8. Computer Simulation Models.* A double unit cell (along the b crystal axis) of kaolinite
 229 ($Al_2Si_2O_5(OH)_4$) was made [35], and after initial relaxation, the c -cell parameter was expanded to 17.00
 230 Å, shown in Figure 1 to accommodate (separately) three organic monomers of FL22, FL22mod and
 231 PVA (see later in this section), and to ensure no interlayer space was created as this does not occur in
 232 kaolinite. Cell parameters of the relaxed structure agree well with experimental data [36]. The
 233 siloxane surface of kaolinite has a relative positive charge compared to the relatively negative charge
 234 of the hydroxyl surface at most pH. This charge difference creates an artefactual electrostatic field
 235 between periodic images, which is addressed by fixing all lattice parameters and allowing only the

236 atomic positions to subsequently relax. Monomers FL22 and FL22mod contain quaternary nitrogen
 237 atoms, the corresponding polymers of which are produced using a chloride or bromide salt. In
 238 periodic models a charged ion (in this study Cl^-) could self-interact with its periodic image, an artefact
 239 of the methods. Here, these electrostatic effects were tested by replacing the Cl^- with a positive
 240 background charge [37], which represents the removal of an electron from the clay/monomer system,
 241 compared to the chloride ion accepting an electron from the monomer. The models with a charge
 242 rather than a Cl^- ion are referred to as 'no chloride' or 'charge-only' from this point onwards. The
 243 significance, if any, of the anions on the interaction of the polymers with kaolinite is not known and
 244 is difficult to probe experimentally, hence the theoretical investigation of both Cl^- and charge-only
 245 scenarios allows the investigation of modelling artefacts and providing further insight on the role of
 246 anions on quaternary polymer/kaolinite adsorption.
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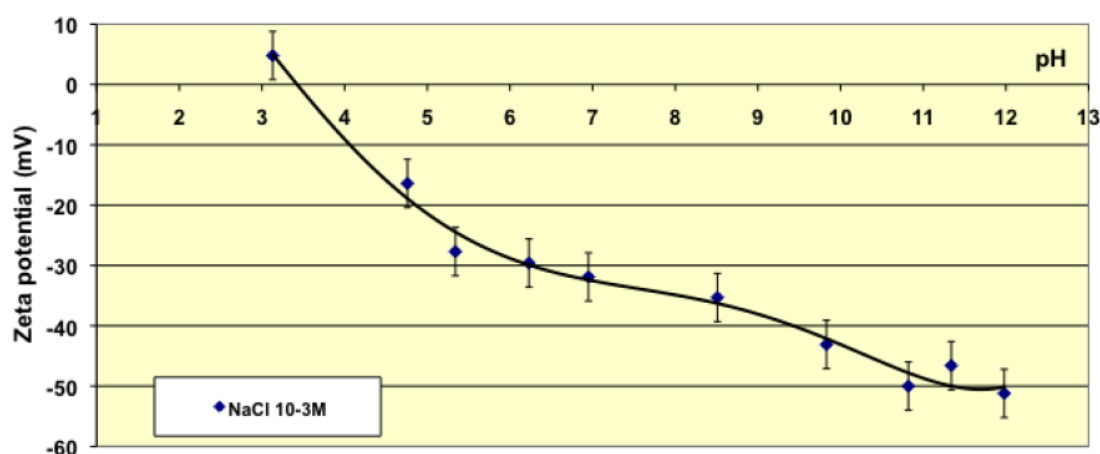
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 249 **Figure 3.** Examples of a trimer of FL22 in Orientation 2 - i.e. where the OH-groups point toward the
 250 surface (o2) and are either parallel (p), or diagonal (d) to the surface.
 251
 252

253 For FL22 and FL22mod, four models were created, with each monomer positioned
 254 approximately 4.5 Å from the hydroxyl surface and, separately, the siloxane surface, each of these
 255 with Cl^- and separately, with a background charge. As PVA has no associated charged ion, this
 256 monomer was placed at 4.5 Å from the hydroxyl and siloxane surfaces in the absence of Cl^- and
 257 charge. FL22 and PVA contain hydroxyl groups with the potential to form hydrogen bonds with the
 258 basal surfaces of the clay. This was tested by creating two scenarios labelled orientation 1 (o1) and
 259 orientation 2 (o2), where in o1 the hydroxyl groups point away from the basal surface, and in o2 the
 260 hydroxyl groups point towards the basal surface. The initial configurations for these monomers are
 261 shown in Figures 1 and 2. The atomic positions of these models were then allowed to relax, in
 262 accordance with the convergence criteria described in Section 2.7.

263 To test the effect of polymer size on the results, trimers of all three monomers were constructed,
 264 both with and without Cl^- , and with the trimers positioned both parallel and diagonal to the surfaces,
 265 with the OH-groups pointing towards and away from these surfaces. The size of these models and
 266 hence the computational expense required for full geometry relaxations, necessitated the relaxation
 267 of the electronic structure only. The orientations of the trimers are based on the relaxed configurations
 268 of the monomers, and the range and number of the modelling scenarios was sufficient to extract
 269 useful information. From the relaxed geometries of the monomer/clay models the surface coverage of
 270 the basal surfaces was calculated, and this was repeated for the trimers to give an indication of the
 271 differences that might be seen when using longer chains of polymers. The formation energies of the
 272 monomer/kaolinite models and the relative charges of the monomers and trimers using Mulliken
 273 analysis was also calculated [38-41].

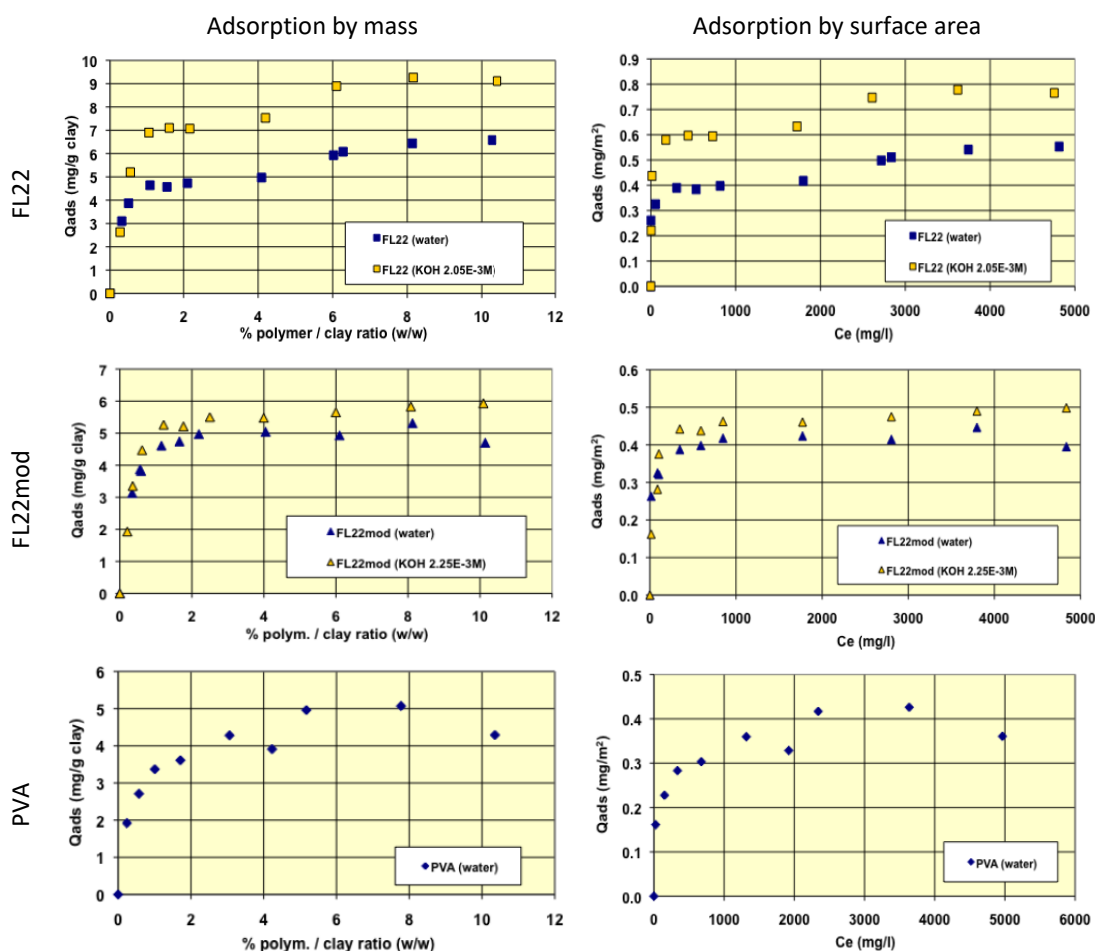
274 3. Results

275 3.1 *Zeta potential/Electrokinetic Properties*. Before undertaking adsorption measurements of the
276 different polymers on kaolinite, it is useful to characterise the electrokinetic properties at different
277 pH. Particle mobility is influenced by ionic force, therefore measurements have been made in
278 solutions of NaCl (0.001 M). Figure 4 shows the zeta potential of the particles as it varies with pH.
279 The electrokinetic properties of this kaolinite are typical for this type of clay mineral [42,43]. The
280 isoelectric point (IEP) is between pH 3 and 4. At this pH the apparent electric charge of the particles
281 is zero; when the pH is increased the zeta potential of the particles becomes increasingly negative.
282 The highest mobility values are achieved for pH values above 10.5. The zeta potential then reaches
283 values around -50 mV, which are sufficiently high enough to allow the formation of very stable
284 kaolinite suspensions i.e. with no settling of particles. A large body of work exists discussing the
285 origin of the surface charges of kaolinite as a function of pH [42,43] and it has been established that
286 the increase of the charge with pH is essentially due to the ionisation of the surface sites of kaolinite.
287 The lateral siloxane surfaces (SiOH) are ionised by losing a proton at pH above the IEP, and the sites
288 on the basal and lateral aluminium (AlOH) surfaces are ionised by capturing protons at acidic pH
289 and losing protons at basic pH, especially above about pH 9. At very high pH, we can therefore
290 consider that all of the ionisable surface groups are in a deprotonated form and therefore anionic.
291



292 **Figure 4.** Zeta potential measurements of the kaolinite clay mineral used in this study, in NaCl
293 (0.001M) as a function of pH.
294
295

296 3.2. *Adsorption Studies and Polymer Cationic Nature*. The adsorption isotherms of the three polymers
297 were obtained in suspensions of kaolinite in water. The pH of the mixtures was therefore the natural
298 pH (the pH each polymer solution formed), changing as a function of the ionic exchanges between
299 the medium and the kaolinite surfaces. Subsequently, new isotherms were measured at a basic pH
300 with a known and set quantity of KOH (0.1 M) to study the adsorption at pH values higher than pH
301 10. As illustrated in Figure 5, the quantities of adsorbed polymers on this kaolinite, having a relatively
302 low specific surface area (11.9 m²/g), were not very high. The maximum adsorbed quantities were
303 indeed lower than 1% by mass of the clay. This finding made it necessary to reproduce certain points
304 of the isotherms several times to confirm the obtained experimental values.
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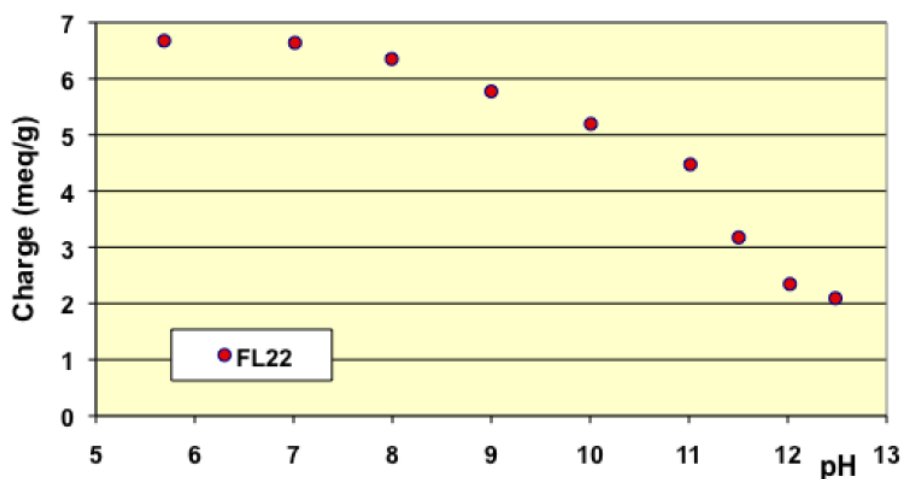
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308 **Figure 5.** Adsorption isotherms for the polymers, FL22, FL22mod and PVA on kaolinite. The left hand
309 column shows adsorption by mass, while the right hand shows adsorption as a function of surface
310 area. For the ammonium based polymers the effect of pH is also tested with natural and high pH
311 conditions shown.
312

313 Comparisons of isotherms obtained with different polymers at a natural pH (initial pH of the
314 suspension close to 6) showed that the adsorbed quantities (given by mass) were not very different
315 and did not greatly depend on the nature of the polymer. The obtained quantities were close to 5
316 mg/g of clay i.e. 0.4 to 0.5 mg/m² of clay. It should nevertheless be noted that the affinity of the PVA
317 for kaolinite appeared to be lower than that of the polycationic polymers because the adsorption
318 plateau was reached for higher residual polymer concentrations in solution. Furthermore, it should
319 also be noted that the isotherm of the FL22 showed a second adsorption plateau at 7 mg/g of clay, not
320 present in the other polymers. The obtained value does not correspond to the formation of a second
321 layer of polymer on top of the surface of the first and it is therefore difficult to explain this
322 phenomenon unless interactions between polymeric molecules occurred for the highest dosages of
323 FL22. When considering the impact of the pH on the adsorption isotherms, it was evident that the
324 increase of pH had little impact on the adsorption of the polycationic polymer without an OH group
325 (FL22mod), which reached approximately 5.5 mg/g of clay. However, in the case of the FL22 polymer,
326 adsorption greatly increased at a higher pH to approximately 7 mg/g of clay for the first plateau. A
327 second plateau was always visible for this polymer (9 mg/g).

328 The stability of the OH group of the FL22 polymer at high pH explains these adsorption
329 differences, hence the sensitivity to pH. Cationic charge measurements were carried out on the
330 polymers according to the pH using the method described in Section 2.2. The results showed that
331 while the cationic charge of FL22mod was not influenced by the pH, this was not the case for the
332 FL22 containing the OH group (see Figure 6). It appeared that FL22 lost approximately 30% of cationic

333 charge between pH 6 (6.7 meq/g clay) and pH 10.5 (4.8 meq/g clay). This polymer therefore had a
 334 fraction of negative sites on its chain at high pH. It would then be possible to infer that the
 335 electrostatic interactions created on this zwitterionic polymer induced an increased difficulty for this
 336 polymer to adopt certain configurations in solution, and hence also on the solid surface with which
 337 it would interact. When comparing the increase in adsorption of the FL22 with the pH and the
 338 increase of ionisation, it can be noted that the two were similar: 30% less charges correlating with
 339 some 40% more adsorption. The major part of this adsorption increase at high pH could therefore be
 340 explained by the variation of charges of the polymer; this variation would result in poorer adsorption
 341 efficiency on the clay mineral and aggregate surfaces.
 342



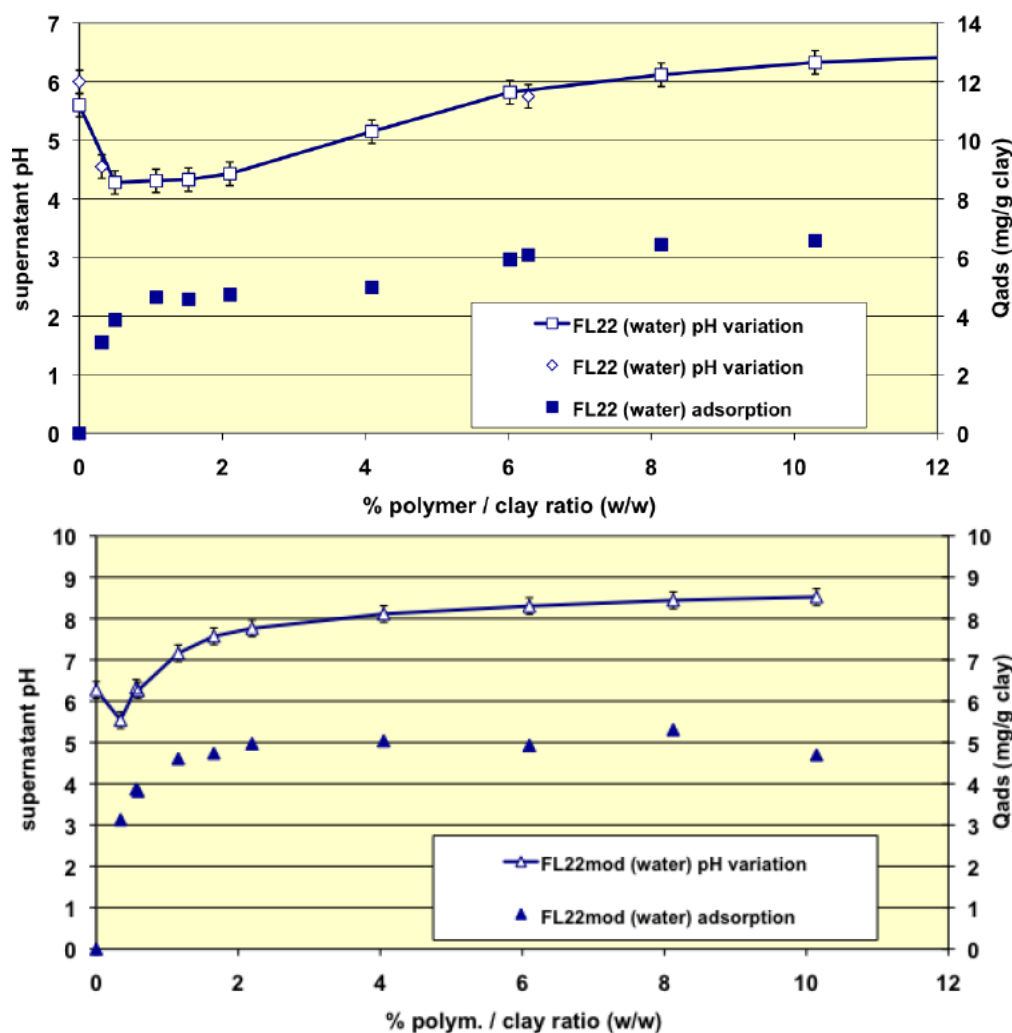
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 344 **Figure 6.** Variation of cationic charge on FL22 polymer as a function of the pH.
 345

346 The additional residual adsorption difference of 10%, which cannot be explained by the variation
 347 of the cationic charge, could probably be related to variation of the ionisation on the surface of the
 348 kaolinite when the pH increased. It is known, for example, that between pH 6 and pH 10.5, sites of
 349 the basal aluminous surface (AlOH) can ionize to form AlO⁻. When the adsorption variation of the
 350 FL22mod polymer was considered, which did not contain an OH group, and where the cationic
 351 charge was not related to the pH, it was found that between pH 6 and pH 10.5, the increase was equal
 352 to circa 10%.

353 Finally, the adsorption increase of the FL22 on kaolinite when the pH increased from pH 6 to
 354 pH 10.5 was 75% accounted for by the variation of the polymers cationic charge and the remaining
 355 25% accounted for by an increase of ionization on the surface of the clay at high pH. The fact that the
 356 adsorption of FL22 or FL22mod on kaolinite induced an increase of surface ionization was
 357 furthermore confirmed by measurement of the pH during adsorption (see Figure 7). In the case of the
 358 FL22, for example, the addition of a solution of polymer at 19.9% of active matter and at pH 7.4 in a
 359 suspension of kaolinite at pH 6 was accompanied during the first additions (complete adsorption of
 360 the polymer on the material) by a drop in pH (varying from pH 6 to pH 4.3). Subsequently, and when
 361 the supplementary adsorption greatly decreased, further additions of FL22 induced a rise of pH. The
 362 FL22mod behaved similarly (see Figure 7). The pH of the solution of polymer at 21.9% was equal to
 363 pH 9.6. Its addition in a suspension of kaolinite at pH 6.3 induced a drop of pH which reached pH
 364 5.5 before increasing when the additions of polymer were more frequent. We conclude from these
 365 results that the affinity of these polycationic molecules for kaolinite is extremely high.

366 In order to better evaluate the surface coverage of the cationic polymers on kaolinite, the
 367 adsorption results are given in number of monomers per unit surface area (see Figure 8). It clearly
 368 appears that FL22mod is adsorbed a little less than FL22 (with the OH group) at the natural pH of
 369 the suspension. These differences between adsorption by mass and adsorption by number of
 370 monomers can be explained by the fact that FL22 is a polycationic chloride while FL22mod is a
 371 bromide, the molar mass of which is higher (79.9 g/mol for the bromide and 35.5 g/mol for the

372 chloride ions, respectively). At the natural pH of the suspension, the surface coverage of the kaolinite
 373 was equal to 1.5 monomers/nm² for FL22mod and 1.8 monomers/nm² for FL22.
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 377 **Figure 7.** Observed pH as a function of FL22 (upper) and FL22mod (lower) polymer adsorption.
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379 For high pH, the average coating level increased from 1.7 monomers/nm² for FL22mod to 2.6
 380 monomers/nm² for FL22. It should be noted that only the adsorption values obtained on the first
 381 adsorption plateau were taken into account here.

382 In the second part of this study, the aim was to compare the experimental surface coverages
 383 obtained at natural pH with those resulting from molecular modelling. Given the differences between
 384 the adsorbed quantities of the two polycationic polymers at natural pH (20% by number of
 385 monomers), this study was completed by measuring the adsorption isotherm of polyvinyl alcohol
 386 (see Figure 8) wherein the monomer is not charged and is much smaller in size (length is
 387 approximately 3.8 Å for PVA sub-unit; 5.6 Å for FL22). The surface coverage of the PVA was equal
 388 to 5.5 monomers/nm² i.e. approximately two to three times higher than the level obtained for the
 389 polycationic polymers.

390 Given that the length per monomer of PVA is approximately two fold longer than the FL22
 391 system monomers, the lower level of coverage is perhaps not unexpected, however the conformation
 392 space available to each polymer and the polymer specific functional group interactions also need to
 393 be accounted for, and we discuss this further when comparing the computational modelling with
 394 experiments in Section 3.4.1.
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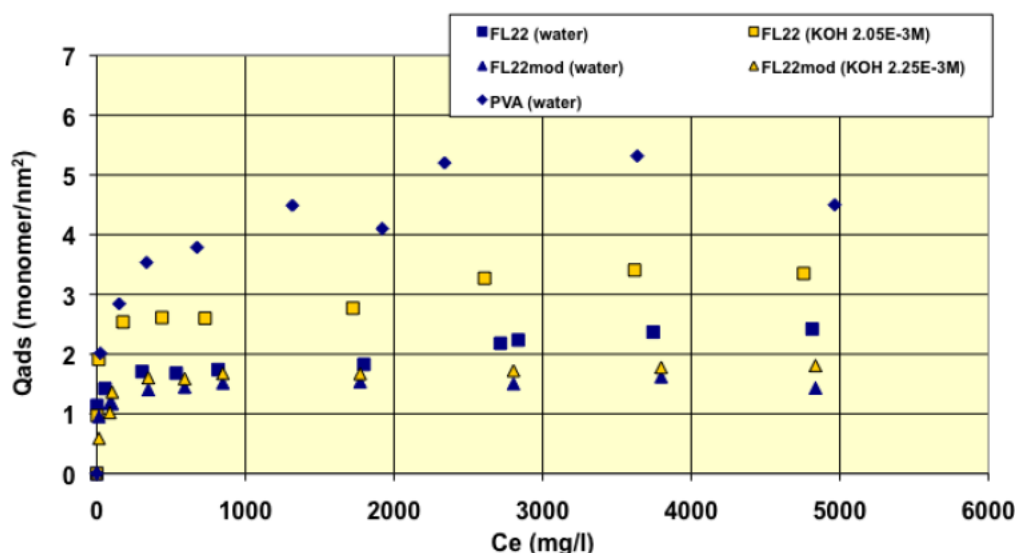


Figure 8. Surface coverage of the polymers tested on kaolinite as a function of equilibrium concentration of polymer in solution.

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3.3. *Flocculation.* Finally, the question was raised whether these differences of affinity between FL22 and FL22mod for kaolinite could have an impact on the stability of the kaolinite suspensions (state of flocculation). Observations were therefore made after 24 h of stirring with and without the polymer (see Section 2.4). These observations are recorded in Table 3. At pH 6, the suspension of kaolinite was not stable and all the particles flocculated. If this observation is compared to values of the zeta potential (Figure 4), this result is coherent because the value obtained is within the range of -25 mV and -30 mV, a value usually considered to be too low to stabilize a colloidal suspension. When adding increasing dosages of the three polymers, differences of behaviour were observed. In the case of the polycationic polymer FL22, several phases of deflocculation/flocculation/deflocculation were observed as increasing dosages of the polymer were added. Fines often tended to be present in the supernatant.

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Table 3. Description of the changes observed on increasing the polymer/clay ratio during flocculation experiments. Fines = fine particles in supernatant observed.

Experimental conditions	Stability	pH	Observations on increased polymer/clay ratio
FL22 in water	Flocculates, no fines in supernatant	6.0	Deflocculates with fines; flocculates, fines clear; deflocculates with fines.
FL22 in KOH (2.05 × 10 ⁻³ mol/L)	Deflocculates	10.3	Flocculates with fines; deflocculates with fines, then without fines, and finally with fines.
FL22mod in water	Flocculates, no fines in supernatant	6.3	Always flocculates - supernatant with fine particles then clears.
FL22mod in KOH (2.25 × 10 ⁻³ mol/L)	Deflocculates	10.2	Flocculation increases, supernatant with fines then clears.
PVA in water	Flocculates, no fines in supernatant	6.3	Always flocculates, no fines in supernatant.

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415 This phenomenon could be explained by an inversion of charges of the particles of kaolinite for
 416 the highest dosages of FL22. This finding was already noted for this type of polymer at natural pH
 417 [44]. In the case of FL22mod, flocculation was maintained after the additions. This polycationic
 418 polymer therefore does not allow for a re-dispersion of the clay at these pH values. In the case of
 419 PVA, however, the suspension did not change very much in relative terms by the increase of
 420 adsorption; flocculation was maintained whatever the dosage. At a high pH (pH of approximately
 421 10.5), and as mentioned in Section 4.1., the apparent charge of the particles was high and the
 422 suspension of kaolinite, when polymer was absent, dispersed. The addition of FL22 induced
 423 flocculation, followed by re-dispersion for the higher dosages of polymer. There is every reason to
 424 consider that, as in the case of the measurements at natural pH, there was an inversion of the negative
 425 charge of the particles of kaolinite. This therefore tends to confirm that surface adsorption is arrested
 426 due to the polymer's N⁺ charge being more than the sum of the negative charges of the kaolinite,
 427 hence the non-optimum surface coverage efficiency. There will then remain residual positive charges
 428 on the polycationic polymer after adsorption. In the case of the additions of FL22mod (without an
 429 OH group) in the suspension at high pH, flocculation of kaolinite was observed. No re-dispersion
 430 was observed and all the particles flocculated at the highest dosages. It is therefore possible to
 431 consider that there was no or very little inversion of charge, making it possible to presume better
 432 adsorption efficiency on the surfaces with better neutralization of the negative charges on the surface.

433 These results, even though they originate from relatively simple observations, are therefore
 434 coherent with the hypotheses already advanced on the role of the OH group during the adsorption
 435 of polycationic polymers on kaolinite.

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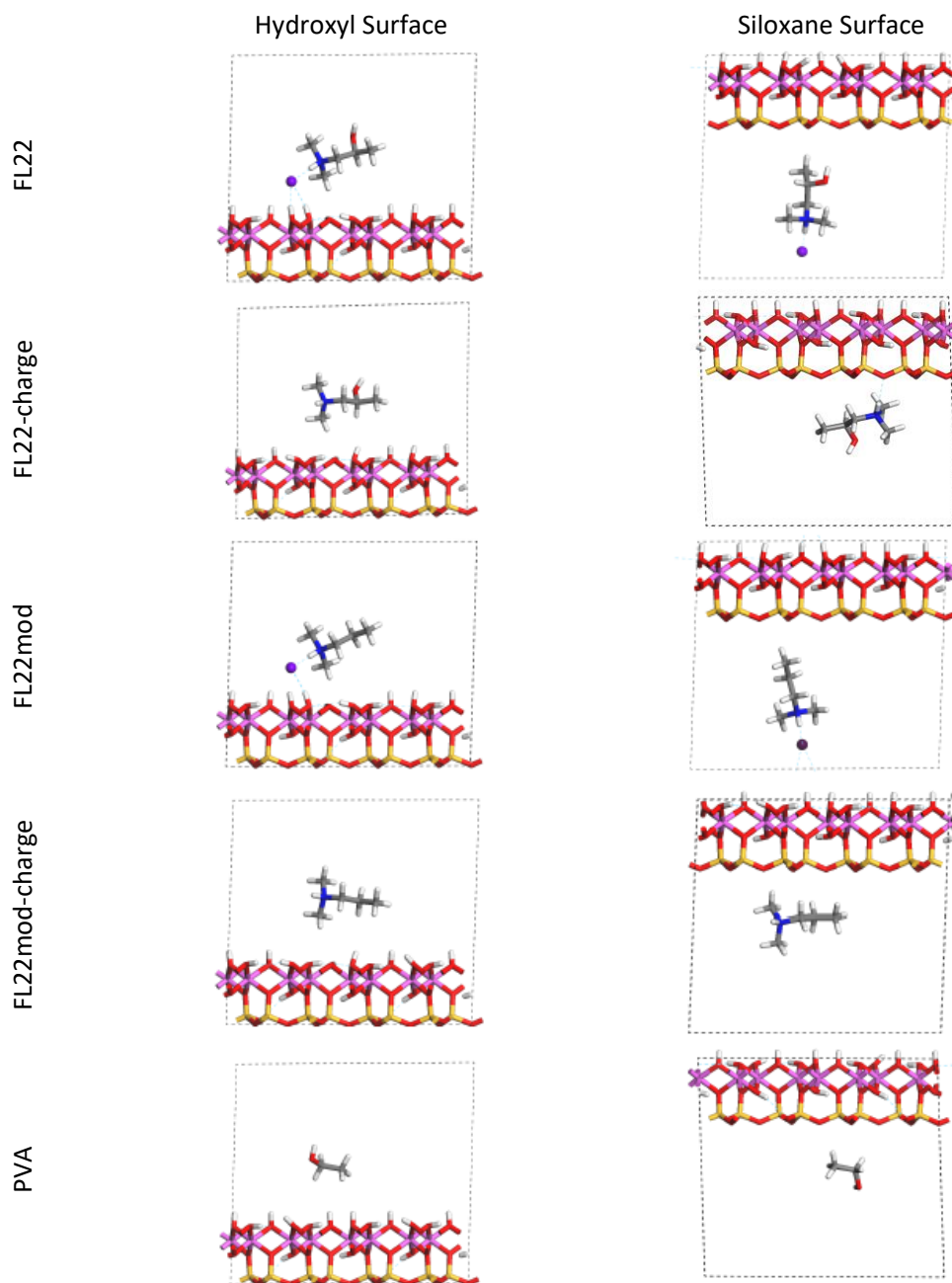
437 *3.4. Geometry and surface coverage from simulations.* The relaxed configurations of the
 438 monomer/kaolinite models can be seen in Figures 9 and 10 and comparing these shows that the initial
 439 orientation of the hydroxyl group of the monomer affects formation of hydrogen bonds with the basal
 440 surfaces. If the OH-group of the monomer is sufficiently close to the hydroxyl surface, the relaxed
 441 configuration exhibits hydrogen bonding between the monomer and this surface. This also occurs at
 442 the siloxane surface for FL22 but not for PVA. There is also some hydrogen-bonding between the H
 443 of the N-H group and the chloride or basal surface, although this is not as significant as the hydroxyl
 444 hydrogen-bonding because there is only one N-H group per polymer, compared to one per monomer,
 445 hence this contribution to the interactions is relatively insignificant. The presence of water in the
 446 system has been studied for a simple ammonium monomer system [12], and given the hygroscopic
 447 nature of the quaternary ammonium group, especially with an adjacent OH group, there may be
 448 further hydrogen bonding. However, the previous study showed that addition of explicit water
 449 molecules has negligible effect on the orientation of the monomer both with and without chloride,
 450 but an analysis of frontier orbitals showed it completely changed the reactivity of the monomer [12].

451 **Table 4.** Surface coverage of kaolinite basal surfaces for the relaxed configurations of the orientations
 452 1 (o1) and 2 (o2) of FL22, FL22mod and PVA, and two examples of surface coverage for two of the
 453 trimers lying parallel (p) and diagonal (d) to the surfaces. Units in monomers/ nm². (Examples of two
 454 of the trimer orientations can be seen in Fig. 3.).

Monomer	Hydroxyl Surface		Silicate Surface	
	Chloride	No Chloride	Chloride	No Chloride
FL22-o1	2.5	2.9	2.7	2.9
FL22-o2	2.2	2.7	3.4	2.6
FL22mod	2.5	2.9	3.4	2.9
PVA-o1	N/A	5.8	N/A	5.1
PVA-o2	N/A	7.6	N/A	5.8
FL22 trimer-o2p	3.0	3.9	N/A	N/A
FL22 trimer-o2d	N/A	N/A	3.3	3.8

455 The presence of chloride compared to charge has the effect of orienting the monomer towards
 456 the hydroxyl surface, mostly due to the formation of hydrogen bonds between the chloride and the

457 monomer and the chloride and the hydroxyl surface. This effect is seen for both orientations 1 and 2
 458 for FL22 and FL22mod and is a consequence of using a monomer [12]. The same degree of orientation
 459 is not expected to occur for a polymer in the presence of chloride. This difference in orientation
 460 created a difference in surface coverage as can be seen in Table 4, where for most models without
 461 chloride, the surface coverage is higher.
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Figure 9. Orientation 1 (o1) - configurations after relaxation of the simulated monomer unit and kaolinite surface in the chloride models.

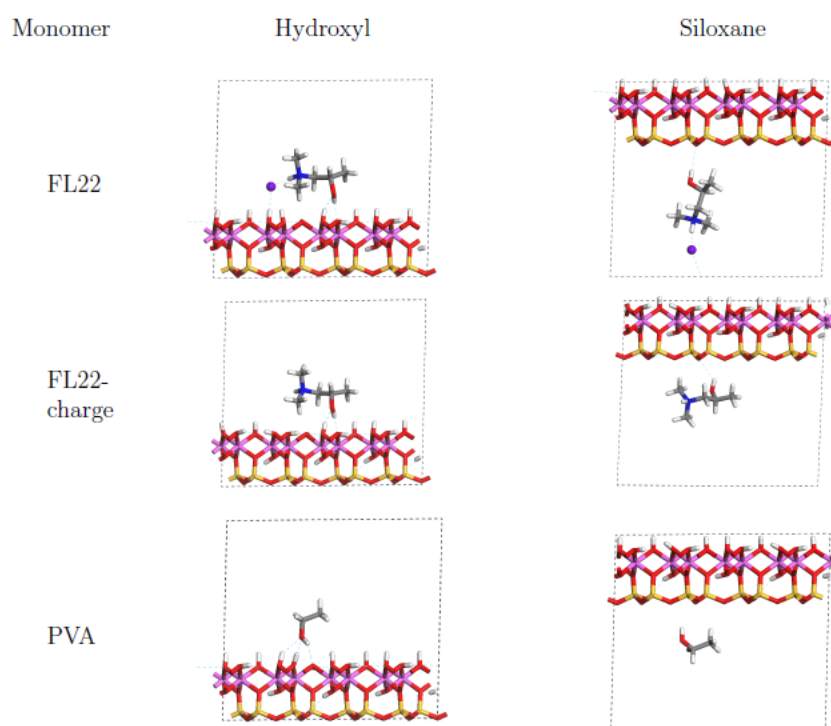
468 Surface coverage was calculated by projecting the aspect of the monomer (and chloride where
 469 appropriate) facing the basal surface, including the van der Waals radii, consequently, where a
 470 chloride ion lies outside the monomer projection, the total surface coverage is lower, and where it
 471 falls within the monomer projection it is higher. This, together with differences in orientation,

472 produces two scenarios where coverage without chloride is lower than with. In the trimer scenarios
 473 the positioning of the chlorides was not obvious, while one might lie outside the monomer/surface
 474 projection, two of them cannot. As the atomic positions of these models were not relaxed these results
 475 serve to indicate what the surface coverage might be, if trimers were oriented as shown in Figure 3.

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477 *3.4.1 Comparison with experiment.* If the computational chloride models are excluded due to their
 478 exaggerated effect on the monomers, then the surface coverage for FL22 and FL22mod lies between
 479 2.6 - 2.9 monomers/nm² and there is no significant difference between the values for the two types of
 480 monomers. The experimental values for FL22 in water are 1.84 and 2.39 monomers/nm² and for
 481 FL22mod in water, 1.52 monomers/nm² (See Figure 8). Not only are these different to each other, they
 482 are also different to the theoretical results. This suggests that the polymers do not lie completely flat
 483 to the basal surfaces. For PVA the computational value lies between 5.1 - 7.6 monomers/nm². This
 484 range is wide due to the small size of the monomer, hence slight differences in orientation have
 485 greater effects on total surface coverage, than in the cases of FL22 and FL22mod. The experimental
 486 value for the adsorption of PVA (in water) is 5.24 monomers/nm² and is within 5% of the lower
 487 theoretical value, suggesting that PVA polymers lie flat to the basal surface, possibly without forming
 488 hydrogen bonds with the surface.

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491 **Figure 10.** Orientation 2 (o2) - configurations after relaxation of the simulated monomer unit and
 492 kaolinite surface in the chloride and charge only models.

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494 *3.5. Calculated formation energies.* In this study, 'formation energy' is defined as the difference between
 495 the relaxed, full system and the sum of the energies comprising the parts of this relaxed model e.g.
 496 for FL22 with chloride, the 'parts' constitute separate models of the clay layer alone, and a monomer
 497 of FL22 plus chloride. Formation energies indicate the relative strength of the interactions between
 498 the monomers and the surfaces and hence describe one aspect of their relative adsorption (they have
 499 not been calculated for the trimers as the atomic positions of the trimers were not allowed to relax).
 500 The higher formation energies of the chloride models (see Table 5) indicates the strength of the
 501 Coulombic repulsion between periodic images of the chlorides in the chloride/monomer models,
 502 which is ameliorated by the presence of the clay in the clay/monomer/chloride models. The columns
 503 of Table 5 cannot be compared directly, i.e. the results for the chloride and no chloride models cannot
 504 be compared with each other, it is the values within each of the columns that can be compared. The

505 trends within these two chloride and charge scenarios, however, are comparable. The formation
 506 energies of both orientations of FL22 for both surfaces in the chloride models and separately, the
 507 charge models, lie within 10% of each other, suggesting that the hydrogen bonding between FL22
 508 and kaolinite surfaces, which is more prevalent in the o2 configurations, does not contribute
 509 significantly to the strength of the adsorption of the monomers, as the formation energy does not
 510 follow a consistent pattern aligned with the number of hydrogen bonds.

511 **Table 5.** Formation energies of the models, where 'formation energy' is as described in the main text.
 512 Units are kCal/mol. o1: Orientation 1 (OH-group pointing towards surface) and o2: orientation 2 (OH-
 513 group pointing away from surface).

Monomer	Hydroxyl Surface		Silicate Surface	
	Chloride	No Chloride	Chloride	No Chloride
FL22-o1	-23.41	-105.59	-26.94	-130.35
FL22-o2	-25.92	-113.44	-25.46	-122.50
FL22mod	-21.10	-104.50	-22.00	-123.20
PVA-o1	N/A	-1.66	N/A	-1.93
PVA-o2	N/A	-11.37	N/A	-1.90

514 The formation energy of FL22mod is approximately 10% lower than FL22-o1 per comparable
 515 scenario, which is not significant, suggesting that the mode of interaction of these two types of
 516 monomer and the kaolinite basal surfaces is the same. In all cases the formation energy is lower on
 517 the siloxane surface than on the hydroxyl surface. The formation energies of PVA are, in three of the
 518 four scenarios, two orders of magnitude higher than those of FL22 and FL22mod. The more negative
 519 value of -11.37 kCal/mol for PVA-o2 on the hydroxyl surface, is due to the increased number of
 520 hydrogen bonds compared to the numbers in the remaining PVA configurations. Even so, this is still
 521 an order of magnitude higher than the formation energies for FL22 and FL22mod, although it does
 522 suggest that in the absence of other discernible interactions, hydrogen bonding plays a more
 523 significant role. These results say that, in terms of the strength of adsorption, FL22 and FL22mod are
 524 comparable and compared to PVA are strongly bound to the kaolinite surface.
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 527 **3.6. Mulliken charges.** A comparison of the Mulliken charges of the monomers indicates the extent of
 528 interaction of the monomer with its environment. Mulliken population analysis is particularly
 529 suitable for analysing the results of computations performed using well-converged planewave basis
 530 sets, which are the basis set of choice in the CASTEP code [28]. Furthermore, Mulliken charge analysis
 531 is a very quick, post-processing step and, although the resulting charges are not valid as absolute
 532 charges for the determination of, for example, the quantitative magnitude of chemical bonds [45],
 533 they do yield qualitative information when making relative comparisons between like-systems [46].

534 Table 6 shows that the monomers of FL22-o1 and FL22mod in the chloride models have charges
 535 between 0.72 e and 0.76 e and in the models without chloride, this varies from 0.91 e to 0.98 e.
 536 Therefore, we can say that the FL22 and FL22mod are positively charged and are interacting with the
 537 surfaces electrostatically. The configurations of FL22-o2 contain more hydrogen bonds than those of
 538 FL22-o1, which is reflected in the lower charges of FL22-o2 except at the siloxane surface, no chloride
 539 models, where FL22-o1 has the lower charge. This is explained by the dissipation of charge occurring
 540 on formation of hydrogen bonds and perhaps the NH-group hydrogen bond dissipates more electron
 541 density than OH-group hydrogen bonds, although this difference is relatively small (approximately
 542 5% of the total monomer charge).

543 **Table 6.** Mulliken charges (e) of the monomers post relaxation in orientations 1 (o1) and 2 (o2) and
 544 the trimer configurations lying either parallel (p) or diagonal (d) to the surface.

Monomer	Hydroxyl Surface		Silicate Surface	
	Chloride	No Chloride	Chloride	No Chloride
FL22-o1	0.76	0.95	0.75	0.91

FL22-o2	0.65	0.82	0.70	0.95
FL22mod	0.74	0.98	0.72	0.97
PVA-o1	N/A	0.01	N/A	-0.03
PVA-o2	N/A	0.01	N/A	-0.04
FL22 trimer-o1p	1.53	2.42	1.68	2.39
FL22 trimer-o1d	N/A	N/A	2.32	2.98
FL22 trimer-o2p	1.81	2.38	N/A	N/A
FL22 trimer-o2d	N/A	N/A	2.62	2.89
FL22mod-trimer-p	1.96	2.41	2.07	2.75
FL22mod-trimer-d	2.38	2.75	2.03	2.55
PVA-trimer-o1-p	N/A	-0.12	N/A	1.03
PVA-trimer-o1-d	N/A	-0.13	N/A	1.00

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The Mulliken charges of the PVA monomers are approximately 1% of those of the quaternary ammonium monomers, indicating that PVA and kaolinite do not interact electrostatically. These results mirror the initial charges of these monomers where FL22 and FL22mod are both positively charged and PVA is uncharged, hence there has been relatively little change in electron density in the monomers and kaolinite on their combination.

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The relative charges of the trimers show the same trends as for the monomers, i.e. the charges of the FL22 and FL22mod trimers in the no chloride models are positive, ranging from 2.38 e - 2.98 e. It would be reasonable to expect trimer charges to be three times those of the monomers, and would probably be realized if the atomic positions of the trimers were allowed to relax. The differences in relative charges seen in the chloride trimer models are an indication of the difficulty of positioning the chloride ions, especially when their coordinates are constrained, therefore it is probable that the trimer chloride results are not as relevant as those of the no chloride models. The PVA trimers at the hydroxyl surface have relatively negligible charge, as would be expected but those at the siloxane surface have gained a relatively considerable charge of 1 e for both orientations. Further investigation of the Mulliken charges of both surfaces of kaolinite show that in the siloxane surface models, the charges of the hydrogens of the hydroxyl surface are approximately half the values of the hydrogens of the hydroxyl surface in the hydroxyl surface models. It would be interesting to test whether this positive charge on PVA would decrease and dissipate to the hydrogens on the hydroxyl surface if all atomic positions were allowed to relax, though this is here constrained by the nature of the models.

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These results show that, with the exception of PVA trimers at the siloxane surface, the trimer models show the same charge trends as the monomer models, and therefore we can state that the interactions of the polymers with the basal surfaces of kaolinite are primarily electrostatic.

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4. Conclusions

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Natural aggregates continue to be critical to cement production, though high purity sources are increasingly hard to find. In order to prevent clay minerals associated with the aggregates adversely affecting the cement formulation through adsorption of plasticizers with resultant modification of properties, low cost polymers are used to "inert" the aggregate to the cement formulation.

In this study relevant polymer technologies were contacted with a low defect kaolinite clay mineral to understand the effect of polymer functional groups, specifically the presence of alcohol groups in quaternary ammonium polymers, on the adsorption and interactions of the polymer with the clay mineral. Density functional theory simulations were used to further probe surface coverage, functional group – clay mineral interactions and charge distributions. In general, good agreement was found between the simplistic simulations and the experiments, adding insights into the binding modes of the polymers, and the role of the alcohol group in particular. The FL22mod polymer without alcohol groups showed less pH sensitivity in its adsorption isotherm compared to the original FL22 polymer while showing comparable interactions with the kaolinite, aiding refine the design of cement aggregate inerting polymer technologies.

584 The study has wider implications, with clay mineral – cationic polymer interactions being
585 important in the oil and gas drilling industry to stabilise clay minerals in shale formations, within
586 clay-polymer composite materials, and within fracturing fluids, as well as within cement
587 formulations. The simulations run further provide data and parameters useful for ongoing large-
588 scale molecular dynamics simulations.

589

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597 **Supplementary Materials:** A table of the electronic configurations has been uploaded as Table SM 1.

598 **Author Contributions:** All the authors contributed to the design of the experiments and simulations;
599 A.J. performed the experiments; D.L.G. performed the computer simulations. A.J. and D.L.G.
600 analyzed the data; H.C.G. contributed reagents/materials/analysis tools; all the authors contributed
601 in the writing of the paper.

602 **Conflicts of Interest:** A.J. was an employee of Lafarge Group during the period this study was
603 undertaken. The other authors declare no conflict of interest.

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