

## 1 **Supplementary Material**

2 **Title:** Tsunamigenic earthquake simulations using experimentally derived friction  
3 laws

4 S. Murphy<sup>1,2\*</sup>, G. Di Toro<sup>3,4</sup>, F. Romano<sup>1</sup>, A. Scala<sup>1</sup>, S. Lorito<sup>1</sup>, E. Spagnuolo<sup>1</sup>, S.  
5 Aretusini<sup>3</sup>, G. Festa<sup>4</sup>, A. Piatanesi<sup>1</sup>, S. Nielsen<sup>5</sup>

6 <sup>1</sup>Istituto Nazionale di Geofisica e Vulcanologia, Rome, Italy

7 <sup>2</sup>Ifremer, Plouzané, France

8 <sup>3</sup>University of Manchester, Manchester, United Kingdom

9 <sup>4</sup>Università degli Studi di Padova, Padua, Italy

10 <sup>5</sup>Università di Napoli Federico II, Naples, Italy

11 <sup>6</sup>Durham University, Durham United Kingdom

12 [\\*shane.murphy@ifremer.fr](mailto:shane.murphy@ifremer.fr)

13

### 14 **A1. Model Setup**

	$\rho$ (kg/m <sup>3</sup> )	$v_p$ (km/s)	$v_s$ (km/s)	Colour in Fig. 1
Oceanic Mantle	3300	8.0	4.6	Purple
Oceanic	3000	7.3	4.4	Yellow
Lower Crust	3000	8.0	4.6	Blue
Middle Crust	2800	7.1	4.1	Red
Wedge	2500	4.7	2.1	Green
Continental / upper crust	2500	6.2	3.6	Gold
Oceanic Surface	2800	7.1	4.4	Brown

15 **Table S1:** Elastic parameters used in numerical model as depicted in Fig. 1 (main  
16 text).

17

18

No. of nodes per element face	9
dt	$1 \cdot 10^{-3}$ s
Average element length along fault	2.47 km
Largest Courant No.	$6 \cdot 10^{-3}$

19 **Table S2:** Numerical model parameters.

20

	Clay-like	Rock-like
$\mu_s$	0.25	0.7
$\mu_d$	0.1	0.2
$\alpha$	3.7	78
$\beta$	1	1

21 **Table S3:** Frictional properties used for clay-like and rock-like materials. Rock-like  
22 frictional parameters are based on Del Gaudio et al.(2009) for peridotite (note that  
23 similar values are valid for gabbro, basalts and serpentinites, the most common rocks  
24 of the oceanic lithosphere). The thermal slip weakening friction law produces a  
25 similar evolution of stress with slip and roughly similar thermal weakening distances  
26 observed in thermal pressurization modelling for the Japanese trench(Hirono et al.,  
27 2016). See Methods for the clay-like materials frictional properties.

28

29

30

31

32

<b>Run</b>	<b><math>V</math></b>	<b><math>\sigma_n</math></b>	<b><math>d_{th}</math></b>	<b>Ref.</b>
	<b>(m/s)</b>	<b>MPa</b>	<b>(m)</b>	
HVR784	1.04	0.28	16.76	(Brantut et al., 2008)
HVR787	1.04	0.48	6.01	(Brantut et al., 2008)
HVR782	1.04	0.59	5.54	(Brantut et al., 2008)
HVR786	1.04	0.82	6.84	(Brantut et al., 2008)
HVR781	1.04	0.99	6.34	(Brantut et al., 2008)
HVR788	1.04	1.15	4.37	(Brantut et al., 2008)
HVR780	1.04	1.32	1.37	(Brantut et al., 2008)
HVR754	1.04	0.6	4.17	(Brantut et al., 2008)
HVR905	1.04	0.62	2.10	(Brantut et al., 2008)
1372	1.31	1	3.00	(Ferri et al., 2011)
1868	1.31	1	2.50	(Ferri et al., 2011)
405	1.3	9	0.06	(Bullock et al., 2015)
395	1.3	9	0.07	(Bullock et al., 2015)
820	1	4	0.07	(Proctor et al., 2014)
821	1	11.8	0.09	(Proctor et al., 2014)
822	1	17.8	0.06	(Proctor et al., 2014)
823	1.1	22.4	0.05	(Proctor et al., 2014)
745	1.2	8.3	0.08	(Proctor et al., 2014)
HVR178	1.03	0.66	7.50	(Mizoguchi et al., 2009)
HVR189	1.03	0.375	7.71	(Mizoguchi et al., 2009)
HVR190	1.03	0.345	11.39	(Mizoguchi et al., 2009)
HVR183	1.03	0.64	12.71	(Mizoguchi et al., 2009)
HVR184	1.03	0.636	8.89	(Mizoguchi et al., 2009)
HVR180	1.03	1.26	1.39	(Mizoguchi et al., 2009)
HVR185	1.03	1.289	2.20	(Mizoguchi et al., 2009)
HVR182	1.03	1.873	2.48	(Mizoguchi et al., 2009)
HVR188	1.03	1.856	1.83	(Mizoguchi et al., 2009)
LHV304	1.4	0.6	8.38	(Yao et al., 2013a)
LHV305	1.4	0.8	4.87	(Yao et al., 2013a)
LHV306	1.4	1	2.56	(Yao et al., 2013a)
LHV308	1.4	1.3	1.73	(Yao et al., 2013a)
LHV307	1.4	1.7	1.35	(Yao et al., 2013a)
LHV309	1.4	2.5	0.78	(Yao et al., 2013a)
LHV242	1.4	0.8	6.04	(Yao et al., 2013b)
LHV241	1.4	0.8	4.14	(Yao et al., 2013b)
LHV243	1.4	0.8	6.64	(Yao et al., 2013b)
LHV244	1.4	0.8	4.61	(Yao et al., 2013b)
LHV248	1.4	0.8	3.81	(Yao et al., 2013b)
LHV246	1.4	0.8	3.47	(Yao et al., 2013b)
LHV256	1.4	0.8	5.84	(Yao et al., 2013b)
LHV251	1.4	0.8	1.97	(Yao et al., 2013b)
LHV253	1.4	0.8	3.34	(Yao et al., 2013b)

LHV365	1.4	0.8	3.14	(Yao et al., 2013b)
HVR1489	1.3	1.01	0.23	(Togo et al., 2011)
HVR1490	1.3	0.8	3.25	(Togo et al., 2011)
HVR1491	1.3	0.61	4.14	(Togo et al., 2011)
HVR1494	1.3	1.21	1.51	(Togo et al., 2011)
HVR1496	1.3	1.64	1.63	(Togo et al., 2011)
HVR1497	1.3	2.05	1.22	(Togo et al., 2011)
HVR1502	1.3	3.04	0.63	(Togo et al., 2011)
s1168	1.3	5	0.18	(Aretusini et al., 2017)
s1167	1.3	5	0.10	(Aretusini et al., 2017)
s1166	1.3	5	0.64	(Aretusini et al., 2017)

33 **Table S4:** Source of experimental data plotted in Fig. 3.

34

35

36

37

Case Study Colour in Fig. 3	Max. shear stress depth (km)	Nucleation depth (km)
Deep	39	34
Intermediate	20	23
Shallow	17	14.5

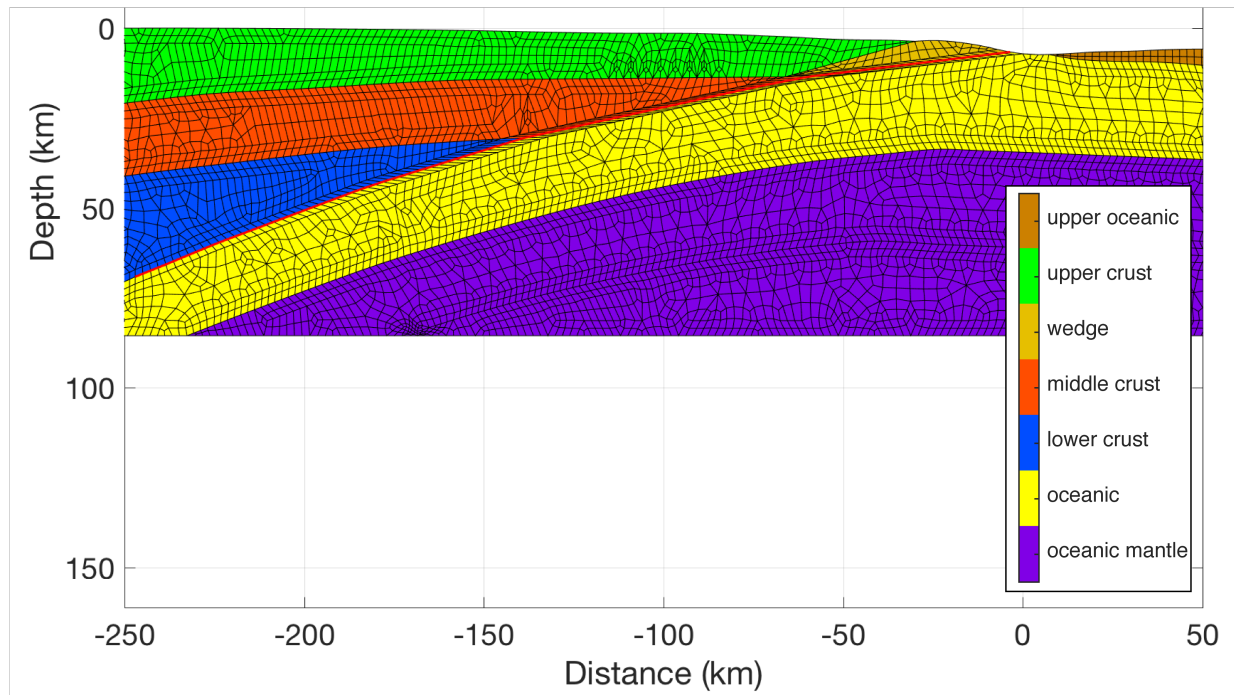
38 **Table S5:** Model name and corresponding depth of maximum initial stress and

39 nucleation.

40

41

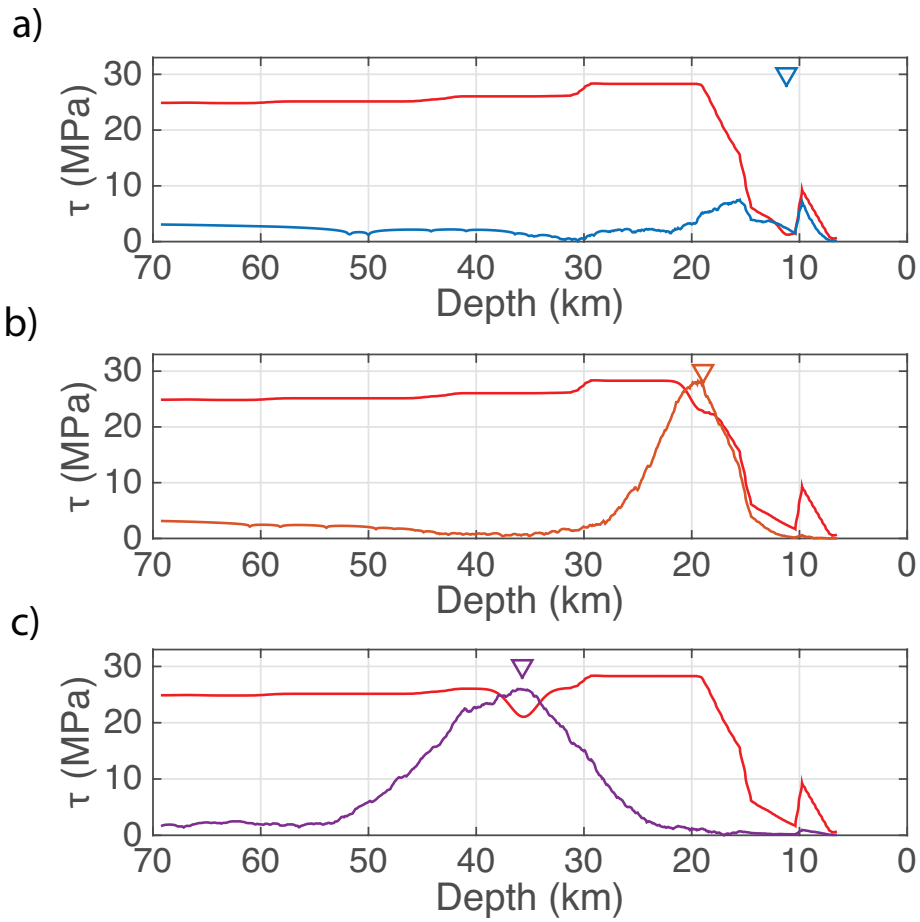
42



43

44 **Figure S1:** mesh used in simulations where boundary of cell is demarked by solid  
 45 black line. Solid red line represents the fault. Colour coding of layers is the same as  
 46 that used in Fig. 2 in main text.

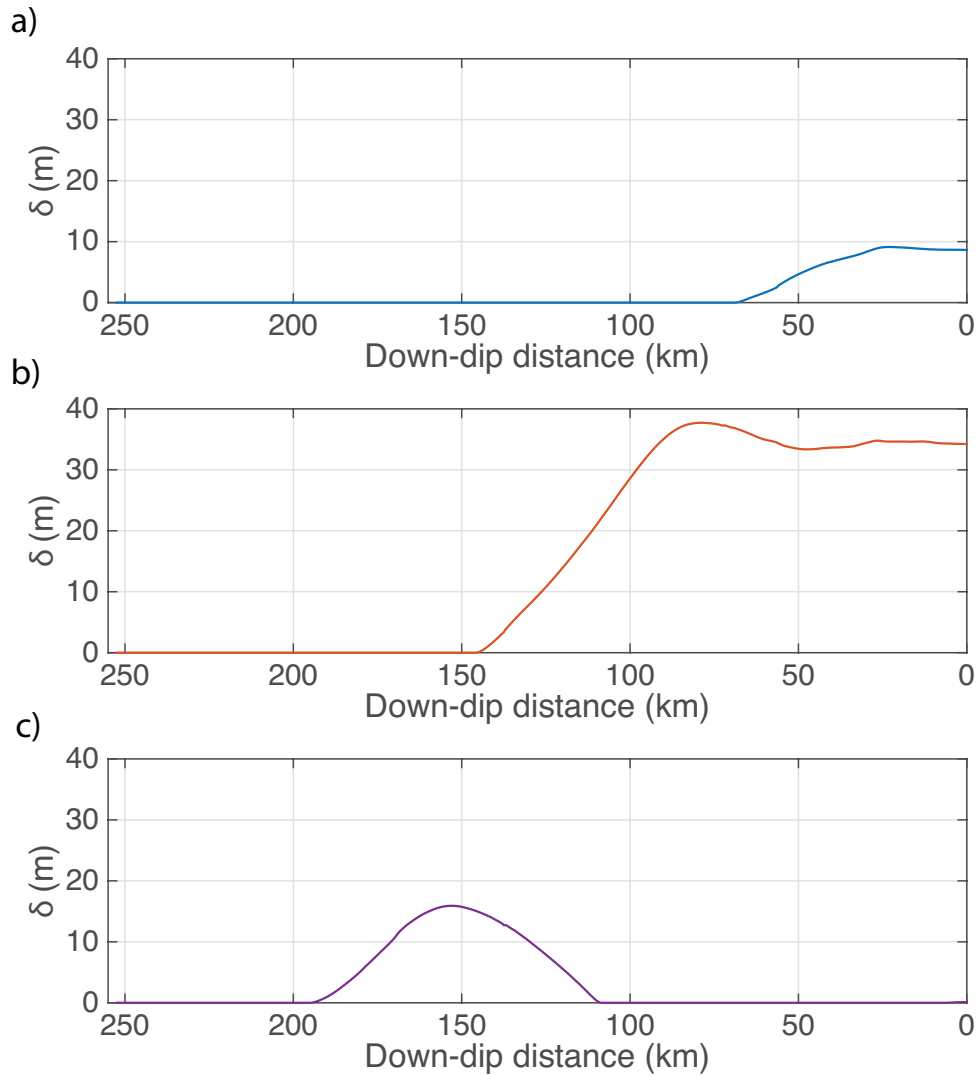
47



48

49 **Figure S2:** Initial stress (blue, orange, and purple lines) and yield stress (red line)  
 50 containing nucleation depth (denoted by triangles). **a)** shallow, **b)** intermediate and **c)**  
 51 deep case study simulations.

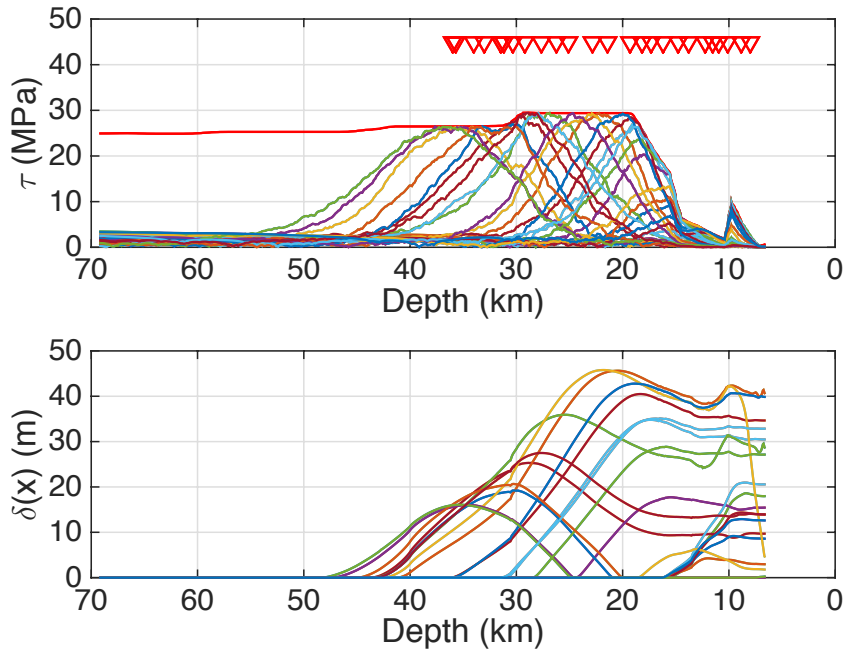
52



53

54 **Figure S3:** Final slip as a function of fault down-dip distance from the free surface  
 55 (i.e., seafloor). **a)** shallow, **b)** intermediate and **c)** deep case study simulations (main  
 56 text Fig. 2).

57



58

59 **Figure S4:** Initial stress (top subplot) and resulting slip distributions (bottom subplot)

60 from the simulations plotted in Fig. 3 in the main text.

61

62

63

64

## 65 **A2. Scaling between principal stresses**

66 The shear and normal stress ( $\tau$  and  $\sigma_n$  respectively) can be defined in terms of the

67 principal stresses  $\sigma_1$  and  $\sigma_2$  where  $\sigma_1 > \sigma_2$  as (Jaeger et al., 2007):

68

$$69 \quad \tau = \frac{-(\sigma_1 + \sigma_2)}{2} \sin 2\theta \quad (\text{Eqn. S1})$$

70

$$71 \quad \sigma_n = \frac{(\sigma_1 + \sigma_2)}{2} + \frac{(\sigma_1 - \sigma_2)}{2} \cos 2\theta \quad (\text{Eqn. S2})$$

72

73



74  $\theta$  is the anti-clockwise rotation from the direction of maximum principal stress to the  
75 normal of the fault plane which in the case of subduction zones is horizontal, the fault  
76 dip  $\phi$  is therefore defined as  $\phi = \theta - \pi/2$ . Substituting Byleree's Law ( $\tau = \mu \sigma_n$   
77 where  $\mu$  is the coefficient of friction) into Eqn S1 to replace  $\tau$ , this new equation can  
78 be combined with Eqn S2 to give:

79

$$80 \quad \sigma_1 = \left\{ \frac{\sin(2\theta) + \mu \cos(2\theta) - \mu}{\mu + \mu \cos(2\theta) + \sin(2\theta)} \right\} \sigma_2 \quad (\text{Eqn. S3})$$

81

82 This equation provides a scaling relationship between the principal stress components  
83 based on the coefficient of friction and (indirectly) the fault dip. In our numerical  
84 model the static coefficient of friction varies from 0.25 at the surface to 0.7 at depth,  
85 similarly the fault geometry varies by a large amount. In the literature, Ma et al.  
86 (2012) (referenced in the manuscript) applied a value  $\sigma_1 = 4.7545 \sigma_2$  for subduction  
87 zone fault while Brace and Kohlstedt (1980) used a ratio of  $\sigma_1 = 5 \sigma_2$  in order to  
88 estimate a general estimate of lithospheric stress. We have taken a ratio of 4.05 which  
89 corresponds to fault dipping at  $37^\circ$  with a coefficient of friction of 0.7. It is also valid  
90 for a  $10.6^\circ$  fault dip with a coefficient of friction of 0.5.

91

92

93

94

95

96

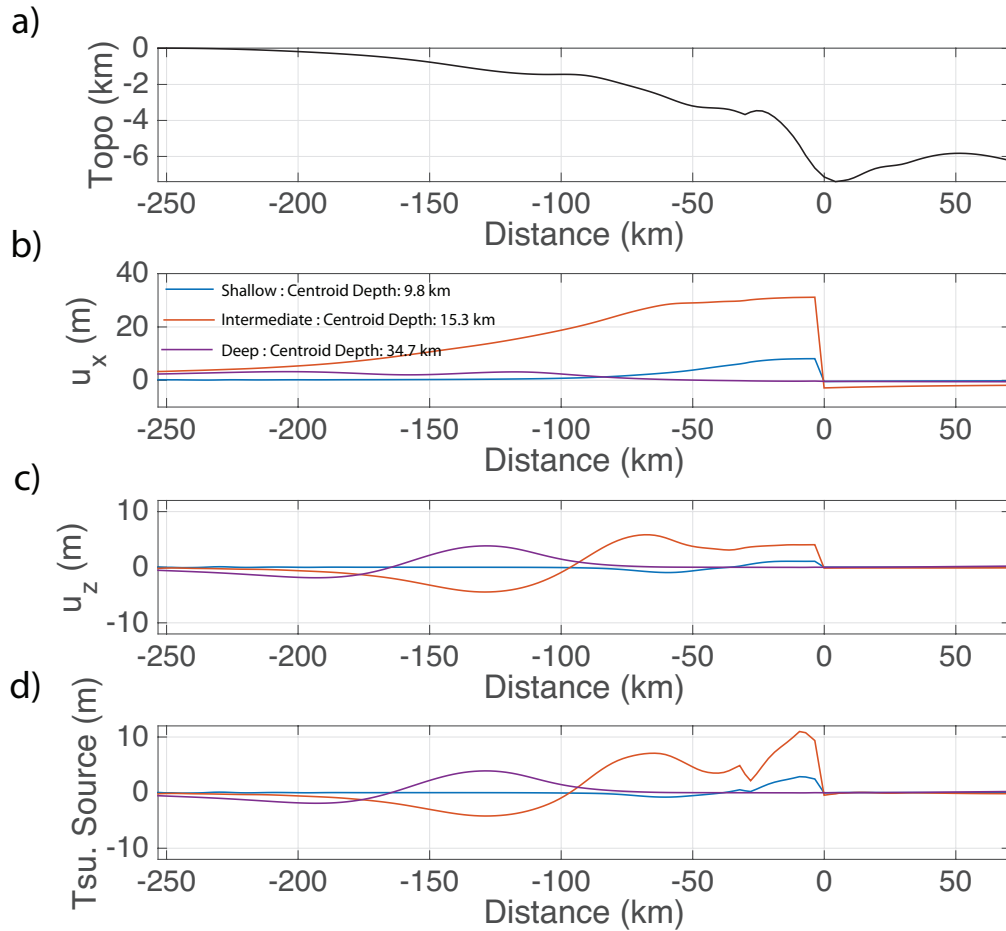
97

98

99

100

101 **A3. Tsunami Source**



102

103 **Figure S5:** The displacement used for a tsunami source is based on the vertical

104 seafloor displacement and the horizontal motion of the trench slope. It is calculated

105 using the formula  $d = u_z + \frac{\partial T}{\partial x} u_x$  where  $u_z$  and  $u_x$  and the vertical and horizontal

106 seafloor displacements respectively and  $\frac{\partial T}{\partial x}$  is the gradient of the water depth (Tanioka

107 and Satake, 1996). **a)** is the seafloor depth in the numerical model, **b)** is the horizontal

108 displacement in the three case study simulations, **c)** is the vertical seafloor

109 displacement, and **d)** is the displacement accounted for in a tsunami source.

110

111

112

113 **A4. Calculation for 1-Dimensional normalised rupture duration and seismic**  
114 **moment**

115 The normalised rupture duration is calculated in a similar manner to previous work  
116 (Houston et al., 1998) however the change from a 2-D fault to a 1-D line fault needs  
117 to be accounted for. In the case of this work the moment  $M_o$  for a 1-D line is defined  
118 as:

119

$$M_o = G W \bar{\delta} \quad (\text{Eqn. S4})$$

120

121

122 where  $G$  the shear modulus,  $W$  the fault rupture width and  $\bar{\delta}$  the average slip (Mitsui  
123 and Yagi, 2013). The average slip can also be defined in terms of the average static  
124 stress drop,  $\Delta\sigma$ :

125

$$\bar{\delta} = C \frac{\Delta\sigma}{G} L \quad (\text{Eqn. S5})$$

126

127

128 where  $C$  is a constant and  $L$  is the rupture length. Setting the  $L$  equal to  $W$ , and  
129 substituting it in terms of rupture duration (i.e.,  $W = T v_r$ , where  $T$  is rupture duration  
130 and  $v_r$  is rupture velocity). Combining Equations S1, S2 and substituting in the  
131 duration in place of the width produces:

132

$$T = \frac{1}{v_r} \sqrt{\frac{M_o}{C \Delta\sigma}} \quad (\text{Eqn. S6})$$

133

134

135 This is different for the 2-D case (i.e.,  $T \propto M_o^{1/3}$ ). Therefore, normalising the 1-D  
136 duration to a given reference moment,  $M_o^{ref}$ , is defined as:

137 
$$T_N^{1D} = T \left( \frac{M_o}{M_o^{ref}} \right)^{1/2} \quad (\text{Eqn. S7})$$
  
138

139

140 For the normalised duration for observations (Bilek and Lay, 1999)  $M_o^{ref}$  was set to a  
141 magnitude 6 event. To make our numerical results comparable to these observations,  
142 we calculated the slip distribution of a circular asperity of M 6 and calculated the  
143 moment along a line through the centre of the asperity. This gave a value of  
144  $3.7 \cdot 10^{14} \text{ Pa m}^2$  which  $M_o^{ref}$  was set equal to in Eqn. S7.

145

146

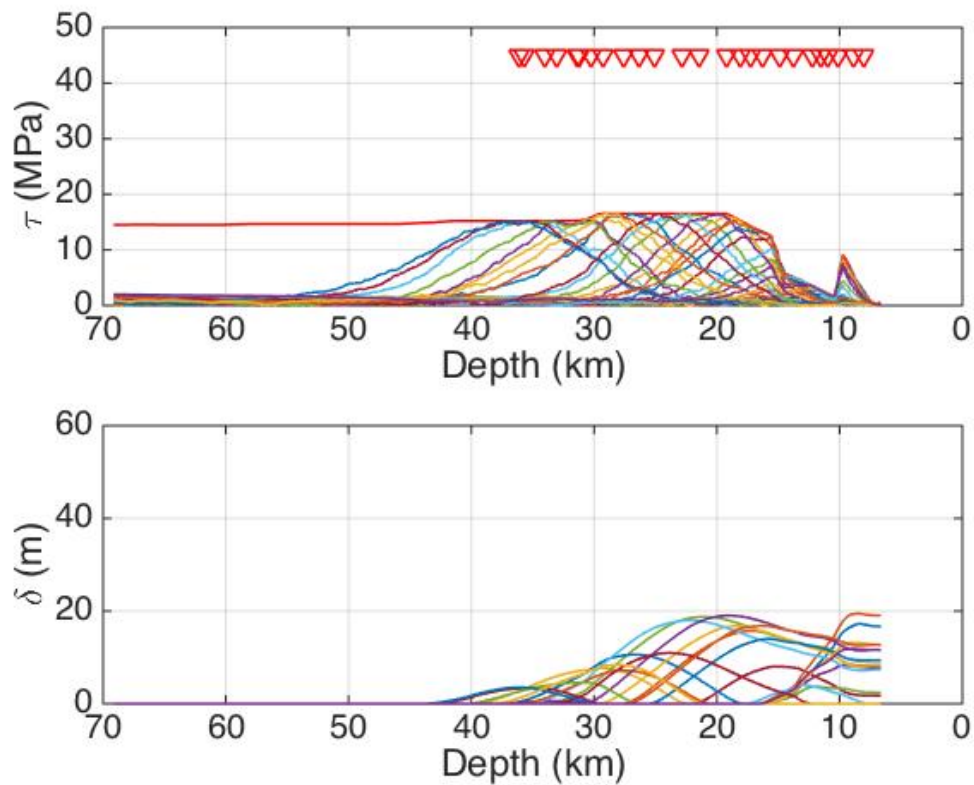
147

#### 148 **A5. Sensitivity Analysis: depth at which fluid retention depth occurs**

149 Two additional ensembles were run where the fluid retention depth,  $z_{FRD}$ , was shifted  
150  $\pm 5\text{km}$  of the original depth, that is depths of 7 km and 17 km. The effect on yield  
151 stress and initial stress distributions, is depicted in Fig. S6 for  $z_{FRD} = 7 \text{ km}$ , and in Fig.  
152 S7 for  $z_{FRD} = 17 \text{ km}$ . In both cases 15 simulations were performed. Fig. S8 shows the  
153 comparison between the three ensembles in terms of moment, average static stress  
154 drop, normalised rupture duration, average breakdown energy, average slip-rate per  
155 earthquake and average rupture velocity. Fig. S8 shows that the earthquakes in the  
156 ensemble with  $z_{FRD} = 17 \text{ km}$  are similar to case in the main text (i.e.,  $z_{FRD} = 12 \text{ km}$ ),  
157 however the depth dependent trends are different in the case with  $z_{FRD} = 7 \text{ km}$ .

158

159



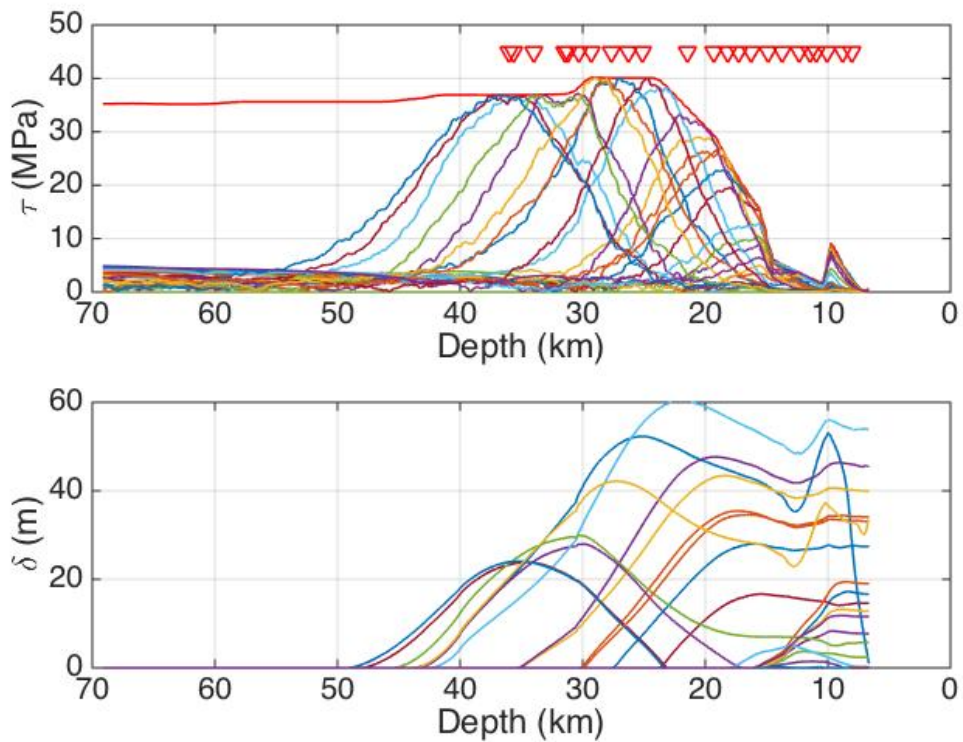
160

161 **Figure S6:** Initial stress (top subplot) and resulting slip distributions (bottom subplot)  
 162 in the  $z_{\text{FRD}} = 7$  km case. In the top subplot the red line is the yield stress and each  
 163 coloured line is an initial stress distribution used in an individual simulation. The  
 164 green triangles denote the location of seismic rupture nucleation. In the bottom  
 165 subplot the colour code of the slip distributions match colour code used for the initial  
 166 stress distributions used in the subplot above.

167

168

169



170

171 **Figure S7:** Initial stress (top subplot) and resulting slip distributions (bottom subplot)

172 in the  $z_{FRD} = 17$  km case. In the top subplot the red line is the yield stress and each

173 coloured line is an initial stress distribution used in an individual simulation. The

174 green triangles denote the location of the seismic rupture nucleation. In the bottom

175 subplot the colour code of the slip distributions match colour code used for the initial

176 stress distributions used in the subplot above.

177

178

179

180

181

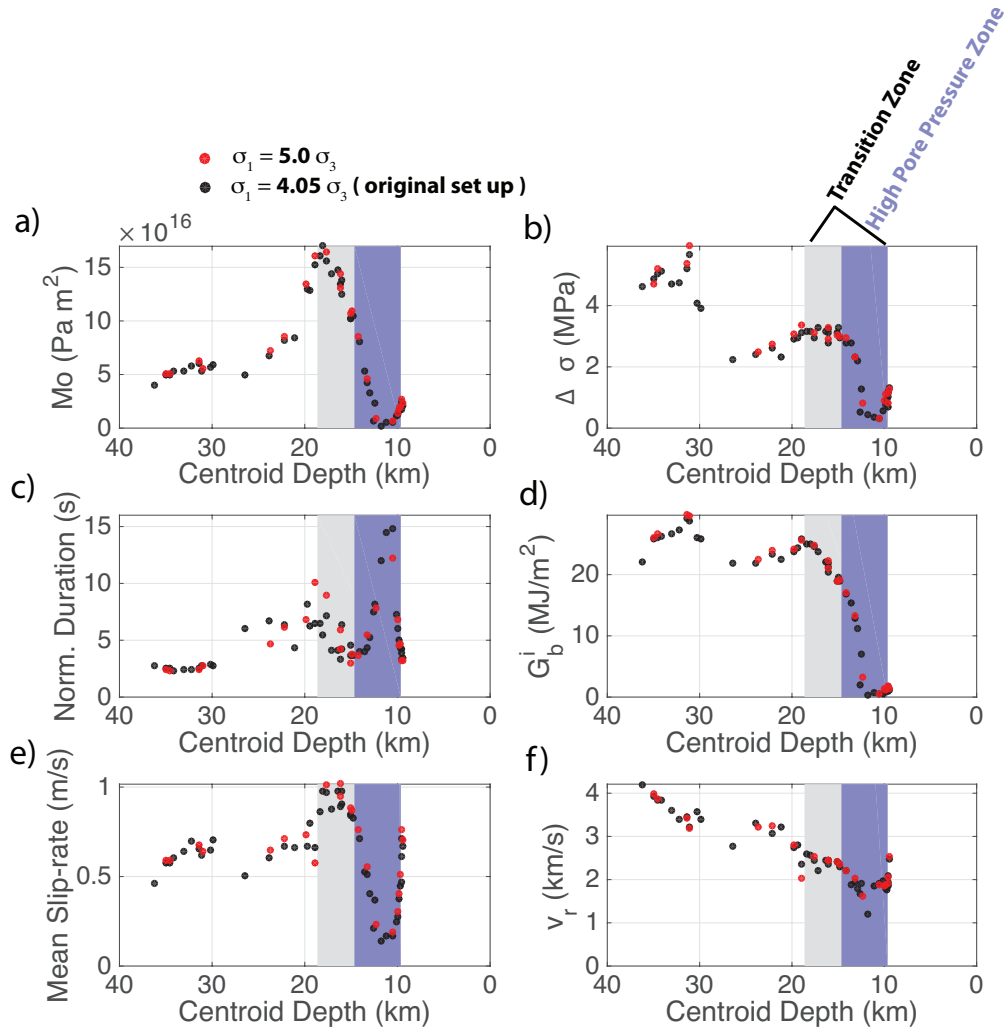
182

183

184

185

186



187

188 **Figure S8:** Testing the effect of changing the relationship between the principal  
189 components of stress. Black dots are for the simulations as described in the main text,  
190 that is  $\sigma_1 = 4.05 \sigma_3$ , while red dots are for  $\sigma_1 = 5.0 \sigma_3$ . **a)** Seismic moment of the 1-  
191 D line earthquake; a constant  $G = 30$  GPa rather than a depth dependent shear  
192 modulus was used in the calculation as is commonly used in observational  
193 seismology. **b)** Average static stress drop; **c)** normalised rupture duration; **d)** average  
194 breakdown energy; **e)** average slip-rate per earthquake and **f)** average rupture  
195 velocity.

196 **A6. Averaged rupture features for 3 case studies.**

	Shallow	Intermediate	Deep
$G_b^i$ (MJ/m <sup>2</sup> )	1.15	19.6	25.8
$\Delta\sigma$ (MPa)	0.96	3.0	4.76
$v_r$ (km/s)	1.7	2.5	3.9
$T_r$ (s)	22.6	31.6	18.6
$\dot{\delta}$ (m/s)	0.24	0.65	0.41

197

198 **Table S6:** Earthquake source parameters for four simulated ruptures reported in Fig. 2  
199 of the main text. Average breakdown energy  $G_b^i$  based on the assumed slip weakening  
200 friction law (Eq. S1), the numerical integration of stress (Eq. S2) and the average  
201 static stress drop. In all cases only points where coseismic slip occurs are considered  
202 when calculating the mean breakdown energy and static stress drop.  $v_r$  is the average  
203 rupture velocity,  $T_r$  is the average rise time and  $\dot{\delta}$  is the average slip-rate on the fault.  
204 All averaged values are based on section of the fault that slips.

205

206

207

208

209

210

211

212

213

214

215

216

217



219 **References**

- 220 Bilek, S.L., Lay, T., 1999. Rigidity variations with depth along interplate megathrust  
221 faults in subduction zones. *Nature* 400, 443–446. doi:10.1038/22739
- 222 Brace, W.F., Kohlstedt, D.L., 1980. Limits on lithospheric stress imposed by  
223 laboratory experiments. *Journal of Geophysical Research: Solid Earth* (1978–  
224 2012) 85, 6248–6252. doi:10.1029/JB085iB11p06248
- 225 Brantut, N., Schubnel, A., Rouzaud, J.N., Brunet, F., Shimamoto, T., 2008. High-  
226 velocity frictional properties of a clay-bearing fault gouge and implications for  
227 earthquake mechanics. *Journal of Geophysical Research: Solid Earth* (1978–  
228 2012) 113, B10401. doi:10.1029/2007JB005551
- 229 Bullock, R.J., De Paola, N., Holdsworth, R.E., 2015. An experimental investigation  
230 into the role of phyllosilicate content on earthquake propagation during seismic  
231 slip in carbonate faults. *J Geophys Res-Sol Ea* 120, 3187–3207.  
232 doi:10.1002/2015JB011914
- 233 Del Gaudio, P., Di Toro, G., Han, R., Hirose, T., Nielsen, S., Shimamoto, T., Cavallo,  
234 A., 2009. Frictional melting of peridotite and seismic slip. *Journal of Geophysical*  
235 *Research* 114, B06306–19. doi:10.1029/2008JB005990
- 236 Ferri, F., Di Toro, G., Hirose, T., Han, R., Noda, H., Shimamoto, T., Quaresimin, M.,  
237 de Rossi, N., 2011. Low- to high-velocity frictional properties of the clay-rich  
238 gouges from the slipping zone of the 1963 Vaiont slide, northern Italy. *Journal of*  
239 *Geophysical Research: Solid Earth* (1978–2012) 116, B09208.  
240 doi:10.1029/2011JB008338
- 241 Hirono, T., Tsuda, K., Tanikawa, W., Ampuero, J.-P., Shibazaki, B., Kinoshita, M.,  
242 Mori, J.J., 2016. Near-trench slip potential of megaquakes evaluated from fault  
243 properties and conditions. *Sci. Rep.* 1–13. doi:10.1038/srep28184
- 244 Houston, H., Benz, H.M., Vidale, J.E., 1998. Time functions of deep earthquakes  
245 from broadband and short-period stacks. *J Geophys Res-Sol Ea* 103, 29895–  
246 29913. doi:10.1038/ncomms3606
- 247 Jaeger, J.C., Cook, N., Zimmerman, R., 2007. *Fundamentals of rock mechanics.*
- 248 Ma, S., 2012. A self-consistent mechanism for slow dynamic deformation and  
249 tsunami generation for earthquakes in the shallow subduction zone. *Geophys.*  
250 *Res. Lett* 39, n/a–n/a. doi:10.1029/2012GL051854
- 251 Mitsui, Y., Yagi, Y., 2013. An interpretation of tsunami earthquake based on a simple  
252 dynamic model: Failure of shallow megathrust earthquake. *Geophys. Res. Lett*  
253 40, 1523–1527. doi:10.1002/grl.50266
- 254 Mizoguchi, K., Hirose, T., Shimamoto, T., Fukuyama, E., 2009. High-velocity  
255 frictional behavior and microstructure evolution of fault gouge obtained from  
256 Nojima fault, southwest Japan. *Tectonophysics* 471, 285–296.  
257 doi:10.1016/j.tecto.2009.02.033
- 258 Proctor, B.P., Mitchell, T.M., Hirth, G., Goldsby, D., Zorzi, F., Platt, J.D., Di Toro,  
259 G., 2014. Dynamic weakening of serpentinite gouges and bare surfaces at seismic  
260 slip rates. *J Geophys Res-Sol Ea* 119, 8107–8131. doi:10.1002/2014JB011057
- 261 Tanioka, Y., Satake, K., 1996. Tsunami generation by horizontal displacement of  
262 ocean bottom. *Geophys. Res. Lett* 23, 861–864. doi:10.1029/96GL00736
- 263 Togo, T., Shimamoto, T., Ma, S., Hirose, T., 2011. High-velocity frictional behavior  
264 of Longmenshan fault gouge from Hongkou outcrop and its implications for  
265 dynamic weakening of fault during the 2008 Wenchuan earthquake. *Earthq Sci*

266 24, 267–281. doi:10.1007/s11589-011-0790-6  
267 Yao, L., Ma, S., Shimamoto, T., Togo, T., 2013a. Structures and high-velocity  
268 frictional properties of the Pingxi fault zone in the Longmenshan fault system,  
269 Sichuan, China, activated during the 2008 Wenchuan earthquake. *Tectonophysics*  
270 599, 135–156. doi:10.1016/j.tecto.2013.04.011  
271 Yao, L., Shimamoto, T., Ma, S., Han, R., Mizoguchi, K., 2013b. Rapid postseismic  
272 strength recovery of Pingxi fault gouge from the Longmenshan fault system:  
273 Experiments and implications for the mechanisms of high-velocity weakening of  
274 faults. *J Geophys Res-Sol Ea* 118, 4547–4563. doi:10.1002/jgrb.50308  
275