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9 RH: Chirichella et al. • Alpine chamois population recruitment

10 **Contrasting Effects of Climate Change on Alpine chamois**

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20 **ABSTRACT** Global climate change can affect animal ecology in numerous ways, but current
21 researchers usually emphasize undesirable consequences. Temperature increases, for instance,
22 can induce direct physiological costs and indirect effects via mismatches in resource needs and
23 availability. Species living in mountainous regions, however, could experience beneficial effects
24 as winters might become less severe. Here, we examined the potentially opposing effects of
25 climate change during spring, summer, and winter on recruitment in Alpine chamois (*Rupicapra*
26 *rupicapra*). Using indices of offspring production and survival derived from block count

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27 censuses performed across a 1,500-km² study area in the Italian Alps during summer from 2001
28 to 2015, we examined initial recruitment (i.e., the ratio of kids to adult females), and first winter
29 survival and net recruitment (i.e., the ratio of yearlings to adult females) of Alpine chamois
30 through the use of linear mixed effects models. Initial recruitment was relatively resistant to the
31 effects of climate change, declining slightly over the study period. We suggest that the effects of
32 increased forage availability and lower snow cover in winter may benefit the reproductive output
33 of adult females, compensating for any negative effects of trophic mismatch and higher
34 temperatures during summer. By contrast, net recruitment strongly declined throughout the study
35 period, consistent with the slight decline of initial recruitment and the negative effects of
36 increasing summer temperatures on the survival of kids during their first winter. These negative
37 effects seemed to outweigh positive effects of climate change, even in a species strongly
38 challenged by winter conditions. These findings provide important information for hunted
39 populations, setting more appropriate hunting bags for yearling chamois. The ecological
40 plasticity of the chamois, however, which also inhabits low altitude, may allow a possible
41 evolutionary escape for the species.

42 **KEY WORDS** climate change, ecological plasticity, forage availability, population recruitment,
43 *Rupicapra rupicapra*, winter harshness.

44 Contemporary climate change affects species in a variety of ways, from altering their spatial
45 distributions (Parmesan and Yohe 2003, Chen et al. 2011, Lenoir and Svenning 2015, Pecl et al.
46 2017) to changing the timing of events in their annual cycles (Menzel et al. 2006, Fu et al. 2016,
47 Wang et al. 2016, Vitasse et al. 2018). Recently, focus has shifted to looking at the effects of
48 climate change on body condition and the influence of that on population dynamics (Ozgul et al.
49 2009). The mechanistic processes underlying these responses remain largely unknown, owing to

50 a paucity of long-term data and the lack of an appropriate analytical framework to consider non-
51 stationary distributions in time series (Stenseth and Mysterud 2002, Ozgul et al. 2010). Several
52 researchers have identified temporal trends in body size due to climate change, generally
53 resulting indirectly from changes in resource availability and quality, which affect resource
54 acquisition and growth (Gardner et al. 2011, Sheridan and Bickford 2011).

55 Such processes could affect population dynamics, and it is important to identify whether
56 and how these factors affect population growth. It is increasingly recognised that the effects of
57 climate changes are not necessarily negative for all species and populations (Pateman et al. 2012,
58 Gullett et al. 2014). In particular, species living in seasonal environments could benefit if winters
59 become less severe (Gonzalez and Crampe 2001, Grotan et al. 2008, Willisch et al. 2013). It is,
60 however, unclear how this may trade-off with changes in spring and summer conditions if high
61 temperatures influence the availability and quality of resources, or the ability of animals to
62 access resources (Weladji et al. 2002). This is especially true for herbivorous vertebrates, which
63 display seasonal reproduction timed to coincide with a highly pulsed peak in resource
64 availability (Post 2003). Ungulates, for instance, exhibit highly synchronous parturition that
65 coincides with the onset of plant growth (Post and Klein 1999, Post et al. 2003). This yields the
66 potential for trophic mismatch between the timing of births and the timing of peak resource
67 availability. Trophic mismatches could have negative consequences for offspring survival,
68 because the energetic demands of lactation, which are typically met by intake of newly emergent
69 plant tissue at peak nutritional value, are the highest of the annual reproductive cycle (Robbins
70 1983, Clutton-Brock 1991, Post and Forchhammer 2008).

71 Mountainous areas are hot-spots of very rapid climate change (Diaz and Bradley 1997,
72 Turco et al. 2015). They are, thus, particularly sensitive areas in which to examine the potentially

73 opposing effects of changes in winter, spring, and summer climate on ungulate population
74 recruitment. In these areas, warmer winters are expected to change the rain and snow ratio and
75 elevation boundary, and to increase the frequency of extreme rain-snow events (Beniston and
76 Fox 1996, Inouye et al. 2000, Mysterud et al. 2001, Lapp et al. 2005, Pettorelli et al. 2005a).
77 Moreover, the timing of snowmelt should determine the timing of spring vegetation onset and, as
78 the influencing force in habitat use by large herbivores (Fryxell 1991, Albon and Langvatn 1992,
79 Pettorelli et al. 2007), thereby have a pronounced effect on population dynamics of ungulates
80 (Rutberg 1987, Kudo 1991).

81 The effects of climate change on mountain-dwelling ungulates have been studied, due to
82 the sensitivity of those systems to climate change, and the economic and cultural importance of
83 the ungulates (Apollonio et al. 2010). As yet, however, there is little consensus about whether
84 climate change is likely to have positive or negative effects on mountain ungulates. In this
85 context, evidence for a positive effect of earlier springs comes from studies of chamois
86 (*Rupicapra rupicapra*) in France (Garel et al. 2011), red deer (*Cervus elaphus*) in Norway
87 (Pettorelli et al. 2005a), and reindeer (*Rangifer tarandus*; Pettorelli et al. 2005b, Helle and
88 Kojola 2008, Tveraa et al. 2013) in Scandinavia. By contrast, it has been suggested that the rate
89 at which new high-quality forage emerges is important for ungulates (Garel et al. 2011, Helle
90 and Kojola 2008). Thus, rapid vegetation green-up affects juvenile growth negatively in bighorn
91 sheep (*Ovis canadensis*) and mountain goats (*Oreamnos americanus*), and causes a reduction in
92 juvenile survival of Alpine ibex (*Capra ibex*; Pettorelli et al. 2007). Indeed, the rapid vegetation
93 green-up leads to a shorter period of availability of high-quality forage, decreasing the
94 opportunity for mountain ungulates to exploit it. Moreover, lower reproductive success was
95 revealed in caribou and reindeer due to mismatch between optimal forage conditions and the

96 timing of reproduction (Post et al. 2008, Post and Forchhammer 2008). High temperatures can
97 also reduce foraging activity and can force ungulates to higher elevations (Aublet et al. 2009,
98 Mason et al. 2014, Brivio et al. 2016). As a rule, higher elevations are associated with lower
99 productivity, potentially leading to reduced food intake (Mason et al. 2017).

100 The Alpine chamois, in the east-central Alps, South-West Trento Province, Italy is a
101 widely distributed Alpine subspecies of chamois currently classified as least concern by the
102 International Union for Conservation of Nature (IUCN) and noted by Corlatti et al. (2011) as the
103 most abundant mountain dwelling ungulate of Europe and the Eastern Asia. This species is
104 experiencing a decrease in some countries in the Alps (Supplemental Material Fig. S1) and is
105 sensitive to temperature (Brivio et al. 2016). Previously, for the same area, Mason et al. (2014)
106 reported a decrease in the body size of yearlings from 1979 to 2010, which was related to
107 increases in mean temperatures and population density.

108 We take advantage of a long-term dataset to conduct a descriptive study, examining the
109 influence of climatic factors on population recruitment in a mountain ungulate. Our objective
110 was to examine the potentially opposing effects of changes in winter, spring, and summer
111 climate on Alpine chamois population recruitment. We hypothesize these indices of population
112 recruitment can be influenced by environmental and climatic conditions. In particular, we expect
113 a relationship between these indices and forage availability during the birth period and the
114 overall vegetation growing season according to the changing rate at which new forage emerges
115 and the total productivity of meadows during the period of growth for kids; the increasing
116 temperature during the hottest month (i.e., Jul) and the vegetation growing season that can
117 reduce foraging activity and can force ungulates to higher elevations (i.e., areas with lower
118 productivity); and the harshness of the previous winter that can influence the survival rate and

119 have a pronounced effect on population dynamics of mountain dwelling species. We examine
120 evidence for an effect of changing climate on population recruitment, also considering the
121 influence of local population density.

122 The results from this research can assist with the management of Alpine chamois and
123 provide important information for mountain dwelling species that have very similar ecological
124 and biological characteristics including Chartreuse chamois (*Rupicapra rupicapra cartusiana*),
125 Tatra chamois (*Rupicapra rupicapra tatica*), Balkan chamois (*Rupicapra rupicapra balcanica*)
126 and Anatolian chamois (*Rupicapra rupicapra asiatica*; Corlatti et al. 2011).

127 **STUDY AREA**

128 The study site (1,432.31 km²) covered the southwest part of the Province of Trento, East-central
129 Alps, Northern Italy (lat. 46°10'N, long. 10°45'E) and included 7 Alpine chamois management
130 areas (Fig. 1). The climate was typical of Alpine region and could be defined as a transition
131 between the semi-continental and the Alpine climate. Temperature and rain conditions were
132 influenced by the Mediterranean climate in the southern part, while the northern part had a more
133 continental climate. The average winter temperatures were between -5 and -10°C in January and
134 averages of 20-25°C and more in the summer season. Average annual rainfall was 815 mm.
135 Typical evolutions due to current climate changes were documented in the Province of Trento.
136 The average annual temperature increased of $0.6 \pm 0.16^\circ\text{C}$ in the last century. In addition,
137 recordings of extreme temperatures were increasingly frequent, with a greater occurrence of
138 summers with temperatures above the average (data from Forecasts and Organization Office -
139 Civil Protection Infrastructures Department of the Province of Trento - www.meteotrentino.it,
140 accessed 15 Jun 2020).

141 According to snow cover trends, albeit with an extremely irregular pattern (with snowy
142 and dry years), the entire Province of Trento showed a decrease in snow depth of about 5 cm/
143 year, starting from the late 1980s. As for the number of days with snow on the ground, the trends
144 showed a significant drop at all altitudes, and in particular elevations below 1,200 m, varied
145 between 1-5 days/season. This decrease was more evident in the southern part of this study area
146 and could have high variations based on the exposure (data from Forecasts and Organization
147 Office - Civil Protection Infrastructures Department of the Province of Trento -
148 www.meteotrentino.it, accessed 15 Jun 2020).

149 Elevations ranged from 65 m above sea level (asl) at the southern border around Lake
150 Garda to 3,558 m asl in the Presanella Massif. The area was forested up to the tree-line at about
151 2,000 m asl, above which it consisted of Alpine meadows, rocky outcrops, scree fields and open
152 rock faces. Typically, meadows in siliceous areas were dominated by scabrous culm fescue
153 (*Festuca scabriculmis*) and Alpine sedge (*Carex curvula*), whilst those in calcareous areas were
154 composed of blue moor-grass (*Sesleria albicans*) and carnation grass (*Carex firma*). In these
155 areas the mountainous terrain achieves a rugged nature.

156 Among the chamois management areas, Adamello, Destra Chiese and Presanella were
157 characterised by nutrient-poor siliceous vegetation, and Brenta, Cadria Altissimo, Misone-Casale
158 and Ledro were characterised by nutrient-rich calcareous vegetation (Chirichella et al. 2012).
159 According to a genetic investigation made in the same hunting districts (Azzu 2019), chamois
160 living in different management areas showed individual genetic signatures, suggesting that they
161 were independent units (M. Scandura, Department of Veterinary Medicine - University of
162 Sassari - Italy, unpublished data). In these areas chamois were hunted with rifles every year
163 between mid-September and late-December. Hunting was strictly regulated through licenses

164 issued by local wildlife boards. Area-wide hunting quotas were set for specific age classes in
165 each sex (Mason et al. 2011, Chirichella et al. 2012, Mason et al. 2014). In our study site,
166 harvesting plans consisted of 3 age classes for males (i.e., yearlings, 2-5, ≥ 6 years of age) and
167 females (i.e., yearlings, 2-10, ≥ 11 years of age). Hunting of kids did not occur in the hunting
168 districts.

169 In addition to Alpine chamois, large herbivores included red deer (*Cervus elaphus*), roe
170 deer (*Capreolus capreolus*), European mouflon (*Ovis gmelini musimon*) and Alpine ibex (*Capra*
171 *ibex*). Potential terrestrial predators included a small and yet stable population of brown bears
172 (*Ursus arctos*), a small number of lynx (*Lynx lynx*), and occasional wolves (*Canis lupus*; Groff et
173 al. 2016). The golden eagle (*Aquila chrysaetos*, Pedrini et al. 2005) was the only avian predator,
174 potentially preying upon chamois kids in their first weeks of life. Eagle presence was stable
175 throughout the study period (Adamello Brenta Nature Park 2011) but the influence of eagle
176 predation on Alpine chamois populations was negligible (Haller 1996).

177 **METHODS**

178 **Data Collection**

179 We derived 2 indices of Alpine chamois population recruitment: initial recruitment (i.e., the ratio
180 of kids to adult females [NK:NF]) and net recruitment (i.e., ratio of yearlings to adult females
181 [NY:NF]). When modelling net recruitment, we also used the ratio of kids to adult females in the
182 previous year as a potential predictor. These indices were derived from block count censuses in
183 2001-2015, carried out during July – mid-August (i.e., after the birth period) in all municipal
184 reserves (92 management units; area [$\bar{x} \pm SE$]: 15.55 ± 1.72 km²; Fig. 1) of the 7 hunting districts
185 in the South-West part of Trento province (Fig. 2). The block count census method (Corlatti et
186 al. 2015) entailed a coordinated and simultaneous count of all individuals across the different

187 blocks of each hunting district during their peak of activity (i.e., the first 4 hrs after sunrise;
188 Cederlund 1989, Green and Bear 1990, Aublet et al. 2009). Within each block of our study area,
189 pairs of rangers with prior experience with Alpine chamois in the area to scan performed the
190 counts (452 blocks in the study site) in open habitats when the weather was favorable (i.e., good
191 visibility, lack of strong wind, rain, and snow).

192 Each pair of observers was in radio contact with the observers of the nearby monitoring
193 areas and had binoculars, spotting scopes, and appropriate maps. The monitoring blocks and the
194 applied techniques remained constant throughout the study period. Despite the good visibility
195 offered by open areas and the use of census monitoring in favourable weather conditions, the
196 rugged nature of the mountainous terrain, due to the presence of rocks, cliffs, ridges and valleys,
197 may restrict the ability to detect animals (Gaillard et al. 2003, Loison et al. 2006, Corlatti et al.
198 2015). Data were reported for each municipal reserve and aggregated into 50 units where the
199 species showed a stable and constant presence throughout the summer and autumn season
200 (natural barriers such as the top and bottom of the valleys, roads, and other artificial components
201 which either prevent or strongly limit movement of animals between one area and another). Our
202 estimates of kids, yearlings, and adult females were based on sufficient counts in all units to
203 allow unbiased estimates of recruitment (Garin and Herrero 1997, Albon et al. 2000, Herrero et
204 al. 2001, Antonucci et al. 2011). Counts of kids, yearlings, and adult females ranged from 94-320
205 among these 50 units. We followed all applicable institutional or national guidelines for the care
206 and use of animals (guidelines for block count censuses: decision n. 137 of Trento Province
207 Government / 4 Feb 2011; decree of the Director of Forest and Wildlife Service of Trento
208 Province n. 276 / 4 Jul 2011).

209 A range of climatic and non-climatic factors are expected to influence chamois
210 population recruitment indices. To investigate potential effects of population density, site-
211 specific population density estimates were used from summer censuses conducted throughout the
212 study. We assumed that density estimates from this time of year (typically Jul) would reflect the
213 population density over the late spring and summer period.

214 To characterise variation in the productivity and phenology of Alpine meadows during
215 the vegetation growing season (Table 1, Fig. 2) and the birth period, we used normalised
216 difference vegetation index (NDVI) data from MODIS (MOD13Q1; <https://LPDAAC.usgs.gov>,
217 accessed 8 May 2020). These data are available at 16-day intervals at a 250 m resolution. We
218 derived NDVI only for cells completely included in meadows land use class of the Corine Land
219 Cover map (294.69 km², corresponding to the 20.57% of the entire study site;
220 <http://land.copernicus.eu/pan-european/corine-land-cover/clc-2012>, accessed 8 May 2020).
221 Remote sensing NDVI time series are highly correlated with single point ground measurements
222 and therefore accurately represented growth dynamics of alpine grassland (e.g., Fontana et al.
223 2008).

224 To investigate a possible direct thermoregulatory link between climate and population
225 recruitment, we calculated yearly, site-specific estimates of mean daily maximum temperature
226 during the hottest month (i.e., Jul) and the vegetation growing season and mean daily minimum
227 temperature during snow cover period between 2000 and 2015 from 5 weather stations
228 (Forecasts and Organization Office - Civil Protection Infrastructures Department of the Province
229 of Trento - www.meteotrentino.it, accessed 15 Jun 2020). Mean daily maximum and minimum
230 temperature was derived through co-interpolation with digital elevation model (DEM) methods

231 and zonal statistics (ArcGIS 10 Geostatistical Analyst Tools and Spatial Analyst Tool;
232 Supplemental Material Fig. S2) for each of the hunting management units.

233 We used extent and duration of snow cover to investigate the effects of winter harshness
234 on female productivity (initial recruitment) and kid survival (net recruitment) during their first
235 winter. Snow cover extent was the average of maximum snow cover in each hunting unit (i.e.,
236 the mean area covered by snow between Nov and early May) while snow cover duration was the
237 number of days between November and early May on which maximum snow cover extent was \geq
238 50%, based on the index of snow cover presence available at 8 day intervals (i.e., duration was
239 reported to the nearest 8 days) at 500 m resolution (MOD10A2; Hall et al. 2006; [http://modis-
240 snow-ice.gsfc.nasa.gov](http://modis-snow-ice.gsfc.nasa.gov), accessed 8 May 2020; Table 1).

241 Previous researchers in the study area demonstrated contrasting life histories and horn
242 growth among neighbouring chamois populations located in areas of different geological
243 substrate (Mason et al. 2011, Chirichella et al. 2012). Consequently, we also tested for the
244 influence of substrate (calcareous vs. siliceous) on population recruitment.

245 We defined the bounds of the vegetation growing season as the period between the snow-
246 melt in spring, when snow cover was reduced to the minimum value (which generally occurs
247 between late Mar and early May), and the first significant snowfall in winter that results in new
248 snow settling on the ground (which generally occurs between early Nov and late Dec; Mason et
249 al. 2014, Fig. 2).

250 **Data Analysis**

251 We described the trend of both indices of recruitment throughout the study period across the
252 whole study area by means of regression lines and we used t-tests to compare the β coefficients
253 of initial and net recruitment. We used linear mixed effects models (LMM) to identify the major

254 influences of recruitment, implemented with the lme function of the nlme package in R and fitted
255 using maximum likelihood (Pinheiro et al. 2016). We used hunting district ($n = 7$) as a random
256 factor and explored responses among the 50 different management units (Machlis et al. 1985).
257 We z -transformed continuous independent variables to compare the relative effects of predictors
258 on population recruitment.

259 We fitted models testing both initial recruitment, with the NK:NF ratio as the dependent
260 variable, and net recruitment, with the NY:NF ratio as the dependent variable. We fitted models
261 with all possible biologically meaningful combinations of independent variables. We assessed
262 collinearity using variance inflation factors (VIFs), dropping any models with VIFs > 3 (Zuur et
263 al. 2010). Through the examination of residual plots we accounted for temporal autocorrelation
264 in our data and we added a first order autocorrelation structure (corAR1) to remove it (i.e., the
265 residuals randomly scattered about zero).

266 We used Akaike's information criterion (AIC; Burnham and Anderson 2002) to select the
267 best fitting sets of models. We selected all models within 6 AIC units of the best fitting model
268 (i.e., $\Delta\text{AIC} \leq 6$, indicating substantial and moderate evidence to support the candidate model;
269 Burnham and Anderson 2002, Richards 2008, Burnham et al. 2011). The final sets of models
270 obtained were then refitted using restricted maximum likelihood estimation, to have a better
271 estimate of variance components in models with random effects (Bolker et al. 2009). We
272 checked assumptions of normality, homoscedascity, and independence by inspecting
273 standardised residual plots (Zuur et al. 2009). We assessed model goodness-of-fit using marginal
274 R^2 (R^2_m , the variance explained by fixed factors) and conditional R^2 (R^2_c , the variance explained
275 by both fixed and random factors) for mixed effect models (Nakagawa and Schielzeth 2013,
276 Johnson 2014). Statistical analyses were performed in R version 3.4.4 (R Core team 2018).

277

278 **RESULTS**

279 Both indices of recruitment declined throughout the study period across the whole study area,
280 showing a reduction of 5% (from 75% to 70% of females with kids) for initial recruitment and of
281 17% (from 56% to 39% of females with yearlings) for net recruitment (NK:NF, regression line
282 [$\beta = -0.004$]: $F_{1,746} = 18.01$, $P < 0.01$; $R^2 = 0.02$; NY:NF, regression line [$\beta = -0.012$]: $F_{1,746} =$
283 127.90 , $P < 0.01$; $R^2 = 0.15$; Fig. 3). Net recruitment was significantly more affected than initial
284 recruitment ($t = 3.795$, $P < 0.001$). The average top models ($\Delta AIC \leq 6$; $R^2_m = 0.44$; $R^2_c = 0.57$)
285 explaining the variation in initial recruitment included 7 variables, 4 of which with significant
286 effects (Table 2). Initial recruitment was associated most strongly with environmental and
287 climatic conditions experienced during the gestation and lactation periods. Forage availability
288 (i.e., NDVI) across the whole growing season (3% for each 0.1 value of NDVI) was positively
289 associated with NK:NF. In particular, forage availability during May and June (during the year
290 of birth and the year prior to birth, 0.05% and 4% respectively for each 0.1 value of NDVI)
291 resulted in an increase of NK:NF.

292 In contrast, we detected a negative association between mean daily maximum
293 temperature experienced by mothers during the growing season and initial recruitment (-1% for
294 an increase of 5°C; Table 2, Fig. 4A). Despite mean forage availability during the growing season
295 remaining stable over the study period (linear regression: $\beta = 2.11$, $F_{1,746} = 1.75$, $P = 0.15$; $R^2 <$
296 0.001), forage availability at the birth peak has declined over the study period (regression line [β
297 $= -5.75$]: $F_{1,746} = 47.13$, $P < 0.01$; $R^2 = 0.06$; Supplemental Material Fig. S3), showing an earlier
298 spring green-up of the land surface (anticipation of the 16 days period in which there was the
299 major frequency of the highest maximum NDVI; Supplemental Material Fig. S4).

300 According to the net recruitment, despite the absence of a clear best model in the model selection
301 procedure (Supplemental Material Table S1), the average top models ($\Delta AIC \leq 6$; $R^2_m = 0.44$;
302 $R^2_c = 0.55$) included 9 variables, 5 of which with significant effects (Table 3). This index was,
303 unsurprisingly, positively correlated with initial recruitment (Table 3, Fig. 4). Net recruitment
304 was also positively associated with the forage availability experienced over the entire vegetation
305 growing season (4.5% for each 0.1 value of NDVI), and negatively associated with the
306 temperature during summer (i.e., Jul; -1% for an increase of 4°C), winter harshness (i.e., snow
307 cover extent; 4.5% for a snow cover extent reduction of 10%) and local chamois density (-5% for
308 a local density increase of 5 individuals/100 ha; Table 3, Fig. 4B). Population density aside
309 (linear regression: $\beta = 0.08$, $F_{1,746} = 7.35$, $P = 0.01$, $R^2 = 0.01$), only the mean of daily maximum
310 temperature during July steadily increased during the study period (linear regression: $\beta = 0.34$,
311 $F_{1,746} = 40.82$, $P < 0.01$; $R^2 = 0.05$; Supplemental Material Fig. S3).

312

313 **DISCUSSION**

314 Animals occupying seasonal environments often face contrasting effects of global change (Albon
315 et al. 2017). Rising temperatures are likely to lead to milder winters but also to reduced forage
316 availability during the season of offspring production and rearing. It means that the lack of
317 synchronization between the timing of peak resource availability and the timing of peak birth
318 dates could affect individual fitness and have consequences for population demography, reducing
319 cohort-specific survival (e.g., a roe deer population in eastern France showed a lack of response
320 in parturition date to the increasingly early availability of high-quality resources, Plard et al.
321 2014). Increases in temperature can also present problems for thermoregulation, impacting
322 activity budgets and altitudinal distribution (Brivio et al. 2016, Mason et al. 2017). Seasonal

323 differences in the directions of these effects make it difficult to predict the cumulative effects of
324 climate change on the population dynamics of species in seasonal environments.

325 In mountainous regions such as the European Alps, climate change is expected to
326 accelerate during the 21st century, leading to seasonal shifts in cycles of precipitation, global
327 radiation, relative humidity, changes in temperature and precipitation extremes, strong decreases
328 in winter snow cover, and increases in winter temperature (Gobiet et al. 2014). These changes
329 are typical for the Alpine region and are also documented for the Province of Trento. For Alpine
330 chamois, variation in initial recruitment was associated most strongly with environmental and
331 climatic conditions experienced during the gestation and lactation periods. In particular, initial
332 recruitment depended on forage availability during May and June in the year of birth and the
333 forage availability experienced by mothers during the entire growing season in the year before
334 births. Net recruitment was similarly affected by forage availability during the growing season.
335 While winter harshness negatively influenced net recruitment, winters have not reduced in
336 severity sufficiently to offset the negative effects on kid survival at higher temperatures.

337 **Contrasting Effects of Climate Change**

338 We demonstrated the effects of environmental factors acting at 3 different times of the life cycle:
339 the availability of forage during and immediately after the birth period, summer temperatures,
340 and the harshness of the first winter experienced by new recruits. Consistent with much of
341 Europe (including the boreal region; Garonna et al. 2014), the peak in NDVI no longer coincides
342 with the period of births, which could be negatively affecting kid survival (Supplemental
343 Material Fig. S3, S4). If chamois are unable to adapt phenologically to these changes, the timing
344 of peak resource availability may fail to match the timing of peak energy expenditure. This point
345 has been examined in large herbivores (Post and Forchhammer 2008); where reproduction is

346 triggered by day length rather than resource availability, adaptive responses in the timing of
347 births are unlikely (Plard et al. 2014).

348 Higher daily temperatures during summer may lead to chamois kids spending more time
349 resting and less time foraging, which could affect their ability to store energy reserves and invest
350 in growth. Indeed, chamois, like many ungulates, reduce their feeding activity during the hottest
351 period of the day (Ruttimann et al. 2008, Mason et al. 2014, Mason et al. 2017). Furthermore,
352 chamois spend less time foraging when it is hotter, independent of time of day (Mason et al.
353 2014). Our results suggest that increasing temperatures could limit the ability of kids to acquire
354 resources, limiting their ability to store the body reserves required to survive the following
355 winter (Mason et al. 2014).

356 Given the clear increasing temperature trend currently underway in the Alpine region,
357 (Supplemental Material Fig. S3), the negative effect of higher temperatures on net recruitment
358 could become a limiting factor in the population dynamics of this species in the future.
359 High levels of snowfall, resulting in long lasting snow cover in winter, also negatively affected
360 the survival of young. Our data showed that this species is very sensitive to large swings in
361 winter snow conditions. In line with previous studies on Alpine chamois (Loison et al. 1999,
362 Jonas et al. 2008, Willish et al. 2013) and other mountain ungulates (Crampe et al. 2002,
363 Gonzalez and Crampe 2001, Grotan et al. 2008, Jacobson et al. 2004), we revealed that snow
364 conditions during the winter are important for population recruitment. Yearling survival can
365 fluctuate in response to variation in the duration of snow cover, which affects foraging
366 conditions in the lead up to winter (Gaillard et al. 1998, van de Kerk et al. 2018). In contrast to
367 summer temperature, there was no clear temporal trend in snow cover, which might have
368 compensated for negative effects of climate change on this species (Supplemental Material Fig.

369 S3). According to winter condition we also have to consider that in our study area, collinearity
370 occurred among snow cover duration, snow cover extent, and mean daily minimum temperature
371 during winter season. All of these variables were correlated and thus help explain winter
372 harshness, and we included the variable among them that accounted for most variation in each
373 model prediction.

374 **Different Sensitivity to Changing Conditions in Adults and Young**

375 Despite substantial inter-annual environmental variability, the productivity of adult females
376 (initial recruitment) has remained relatively stable over time, likely indicating an unchanged
377 ability of females to attain high levels of body condition. High forage availability during May
378 and June, and throughout the growing season (i.e., late Mar-Nov), was positively related to initial
379 recruitment, suggesting that mothers benefit from favourable foraging conditions during
380 parturition and nursing. The mean daily maximum temperature to which mothers were exposed
381 during the vegetation growing season preceding the gestation period was negatively associated
382 with initial recruitment, but weakly so. It is accepted that reproductive potential depends on the
383 body condition of females (Garel et al. 2009), which influences their ability to regulate their
384 energetic balance by increasing food intake, catabolizing fat reserves and proteins, or reducing
385 the energy allocated to other activities (Chan-McLeod et al. 1994, Parker et al. 2009, Monteith et
386 al. 2013).

387 Increasing temperature can disrupt activity patterns and habitat selection in mountain
388 ungulates, forcing them to higher elevations where forage is of lower quality or scarcer (Brivio et
389 al. 2016, Mason et al. 2017). Despite decreasing forage condition during the birth peak
390 (Supplemental Material Fig. S3) and documented warming throughout the Alpine region (Gobiet
391 et al. 2014), adult females were mostly able to compensate for these shortcomings, resulting in

392 only slight declines in initial recruitment. By contrast, net recruitment, which reflects the survival
393 of kids during their first year of life, decreased substantially during the study, suggesting lower
394 adaptability of younger animals to ongoing global change. Indeed, as reported by Mason et al.
395 (2014), the body mass of yearlings in the study area have undergone pronounced declines,
396 associated with concurrent increases in temperature and population density, but not forage
397 productivity. Here, we reveal a connection between increasing temperatures and the net
398 recruitment in these populations, showing a clear, negative effect on population trends of a
399 mountain dwelling mammal. Large herbivore population dynamics are typically characterized by
400 constant adult survival and variable recruitment (Gaillard et al. 1998). We show that the primary
401 influence of declines in recruitment in a mountain dwelling ungulate is the (decreasing) survival
402 of young, rather than changes in female productivity.

403 Considering our findings, it appears likely that the decreasing trend of Alpine chamois in
404 most well-established, high-altitude populations will continue and could spread to other areas as
405 climate change progresses. The ecological plasticity of this species, which also inhabits low
406 altitude and wooded areas (Schröder and von der Marlsburg 1982, Schröder 1983), could point to
407 a possible evolutionary escape for the species. The effects of climate change are likely to be
408 quite different in such environments, where chamois may be able to profit from favorable local
409 microclimatic conditions in dense forest. Indeed, low altitude populations of chamois have
410 increased locally and Alpine chamois have spread in low altitude environments (Apollonio et al.

411 2010). Flexibility in habitat use could provide an important means by which mountain dwelling
412 species can buffer the effect of climate change.

413 **MANAGEMENT IMPLICATIONS**

414 Our results indicate that managers need to consider the effects of climate change in hunter
415 harvest designs for Alpine chamois. Reductions in initial and net recruitment from climate
416 change may reduce the number of individuals available for harvest to meet population objectives.
417 This is especially true for yearling harvest that must decrease in line with the decrease of net
418 recruitment. Annual monitoring of recruitment thus provides essential information for
419 understanding and managing population dynamics in relation to a changing climate, and how
420 best to adjust hunter harvest to meet population objectives.

421

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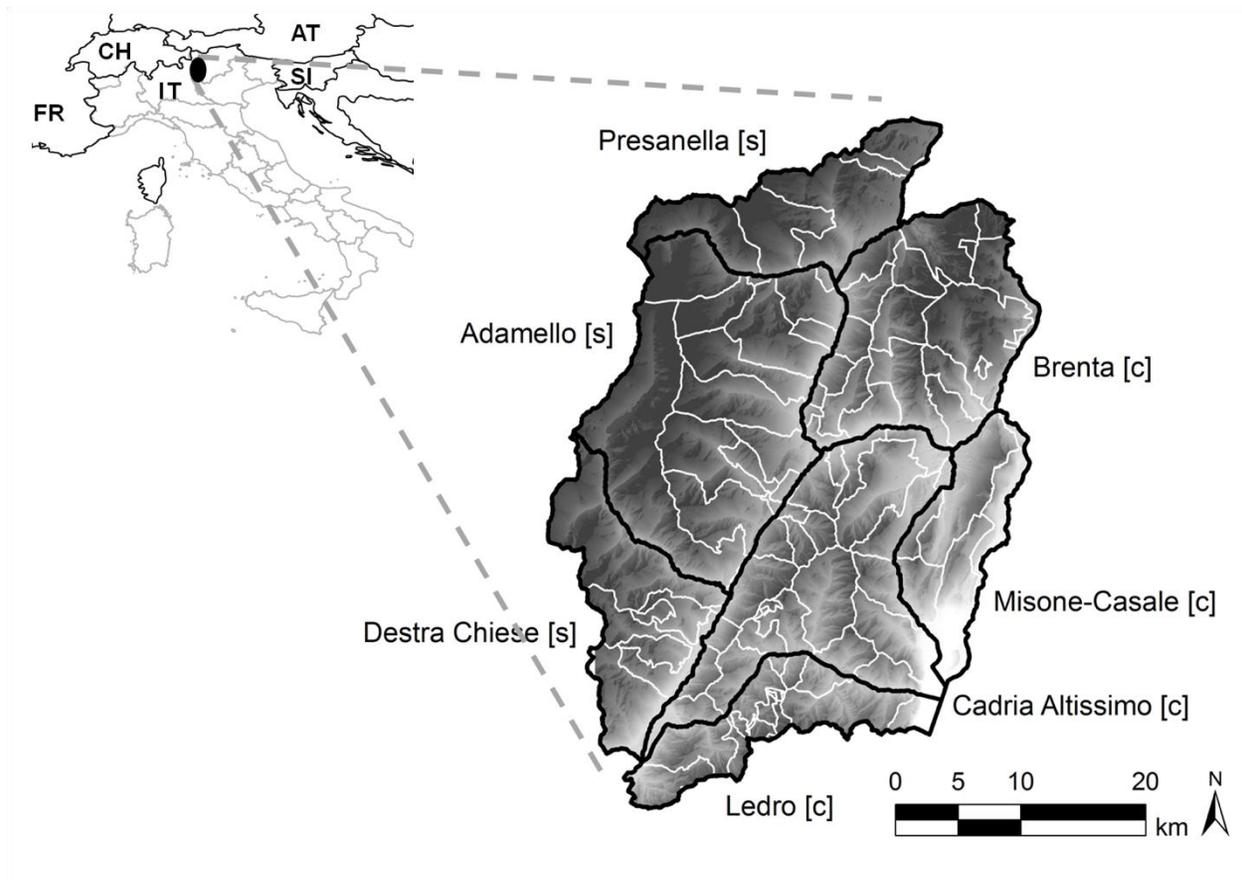
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- 687 *Associate Editor:*
- 688

689 **Figure Captions**

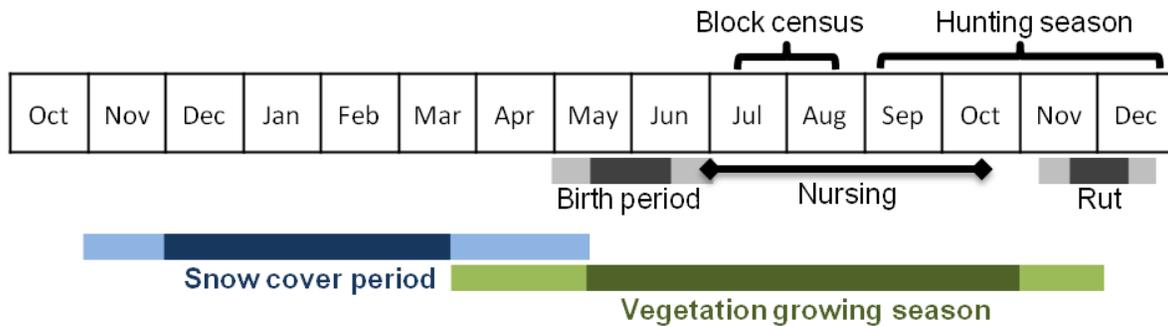
690 Figure 1. The study area (1,432.31 km²) in the Province of Trento, central-eastern Alps, Italy
 691 (AT = Austria; CH = Switzerland; FR = France; IT = Italy; SI = Slovenia). The 7 hunting
 692 districts (black lines; substrate type: [s] = siliceous, [c] = calcareous) and their subdivision into
 693 municipal reserves (white lines), where we conducted summer block count censuses from 2001
 694 to 2015. Darker colours correspond to higher elevation above sea level.



695

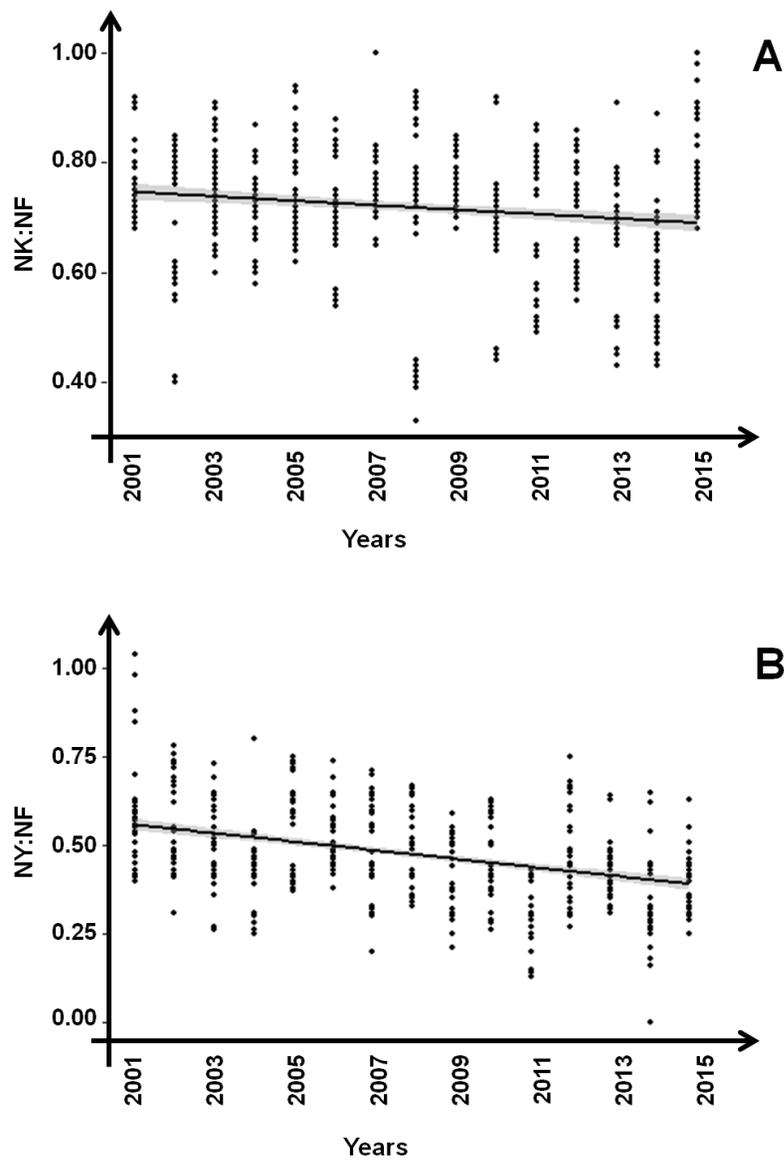
696 Figure 2. Life cycle and periods of data collection for Alpine chamois in the Province of Trento,
 697 central-eastern Alps, Italy. The vegetation growing season (and its complement, the snow cover
 698 period) was defined as the period between the snow-melt in spring (which generally occurs
 699 between late Mar and early May) and the first significant snowfall in winter that results in new

700 snow settling on the ground (which generally occurs between early Nov and late Dec). Dark
 701 green and blue indicate respectively the most intensive growing period for the vegetation and the
 702 most relevant snow cover period in the 2001-2015 interval. Dark grey indicates the period in
 703 which most births and matings occur in the Alps.



704

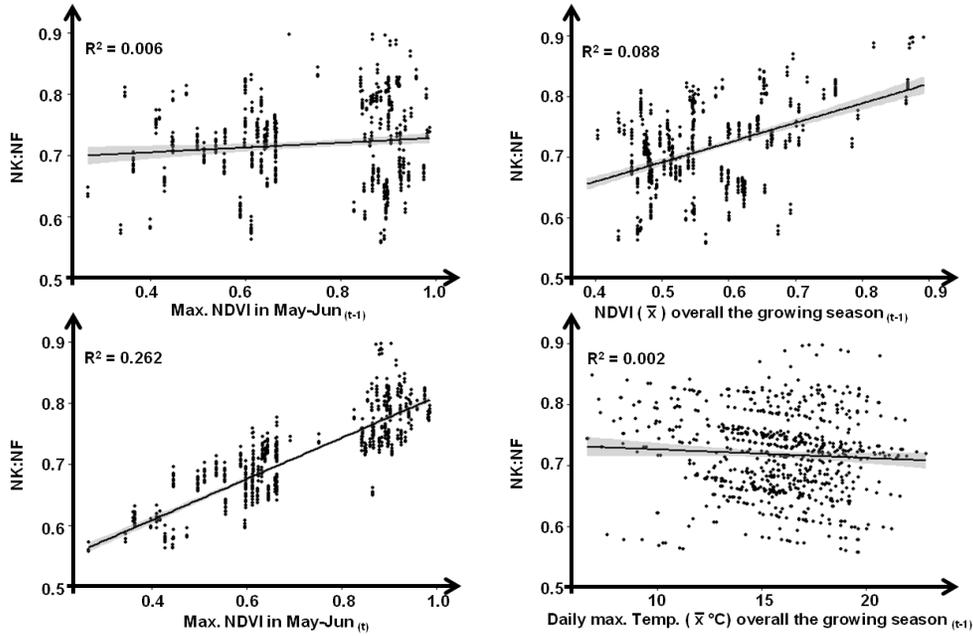
705 Figure 3. Mean Alpine chamois population recruitment in the Province of Trento, central-eastern
 706 Alps, Italy, 2001-2015, expressed as the kids to adult females ratio (NK:NF; panel A; regression
 707 line [$\beta = -0.004$]: $F_{1,746} = 18.01$, $P < 0.01$; $R^2 = 0.02$) and the yearlings to adult females ratio
 708 (NY:NF; panel B; regression line [$\beta = -0.012$]: $F_{1,746} = 127.90$, $P < 0.01$; $R^2 = 0.15$). Each point
 709 represents one of the 50 aggregated hunting units used in analysis. Gray-shaded areas show
 710 standard error intervals.



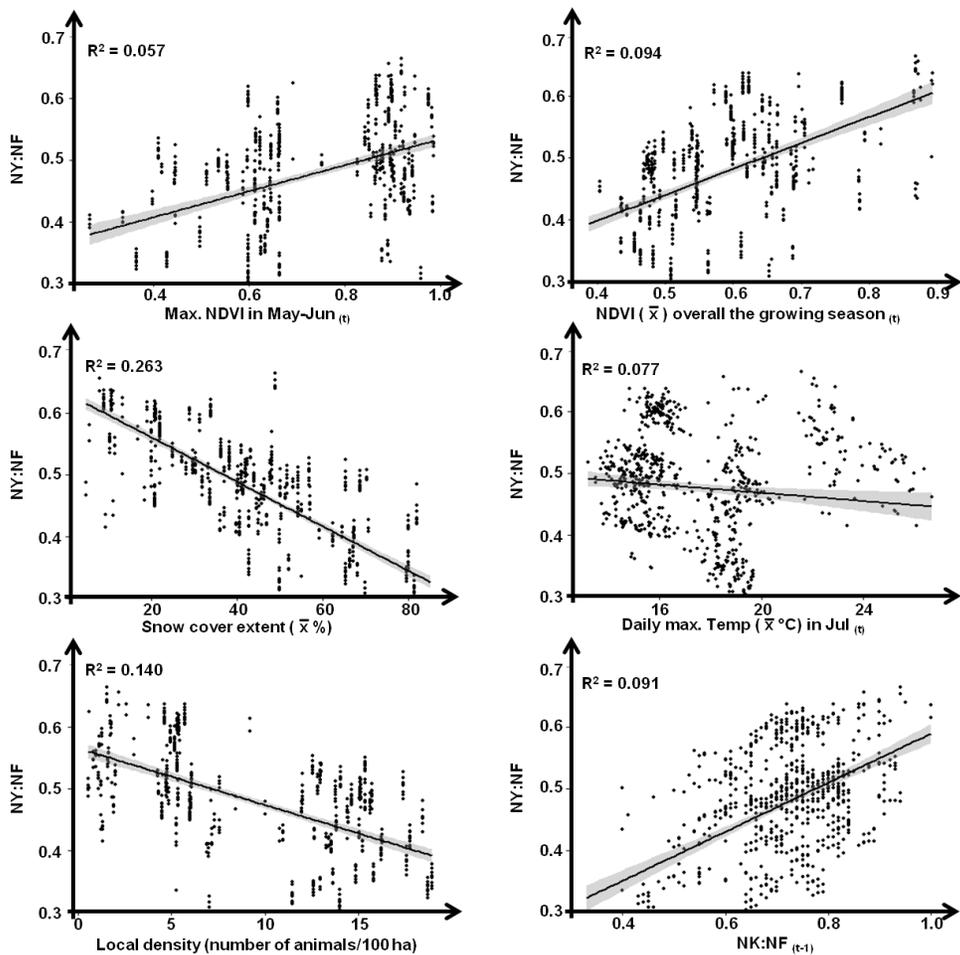
711

712 Figure 4. Predicted effects of key independent variables on NK:NF (kids/adult females, panel A)
 713 and NY:NF (yearlings/adult females, panel B) from the final set of linear mixed effect models in
 714 the Province of Trento, central-eastern Alps, Italy (1,432.31 km²), 2001 and 2015. Solid lines are
 715 predictions of the most parsimonious model for each ratio, with other predictors set to mean
 716 values. Gray-shaded areas show standard error intervals. NDVI = normalised difference
 717 vegetation index.

A - Predicted ratio NK:NF



B - Predicted ratio NY:NF



719 Table 1. Potential predictors of Alpine chamois population recruitment the 1,432.31 km² Province of Trento, central-eastern Alps, Italy,
 720 2001 and 2015. NK:NF is the ratio of kids to adult females (i.e., initial recruitment); NY:NF is the ratio of yearlings to adult females (i.e.,
 721 net recruitment).

Independent variable	Description	Period of data collection	Dependent variable	
			NK:NF ^a	NY:NF ^{b,c}
BIRTH_MAX_NDVI_t ^d	Max. NDVI (normalised difference vegetation index) value for Alpine meadows during birth period (16 day intervals at 250 m resolution)	May-Jun - season t	x	x
BIRTH_MAX_NDVI_t-1 ^d	Max. NDVI value for Alpine meadows during birth period (16 day intervals at 250 m resolution)	May-Jun - season t-1	x	
M_NDVI_t ^d	NDVI value (\bar{x}) for Alpine meadows overall the vegetation growing season (16 day intervals at 250 m resolution)	Late Mar-Nov - season t		x
M_NDVI_t-1 ^d	NDVI value (\bar{x}) for Alpine meadows overall the vegetation growing season (16 day intervals at 250 m resolution)	Late Mar-Nov - season t-1	x	x

TEMP_JULY_t-1 ^e	Jul daily max.temp. (\bar{x})	Jul – season t-1		x
TEMP_t ^e	Vegetation growing season daily max. temp. (\bar{x})	Late Mar-Nov - season t		x
TEMP_t-1 ^e	Vegetation growing season daily max. temp. (\bar{x})	Late Mar-Nov - season t-1	x	
SNOW COVER EXTENT ^f	Max. snow cover extent (\bar{x}) in percentage derived from a remote index of snow cover presence (8 day intervals data at 500 m resolution were averaged for each hunting unit)	Nov-Early May period	x	x
	Number of days in which max. snow cover extent in percentage derived from a remote index of snow cover presence (8 day intervals at 500 m resolution) was $\geq 50\%$	Nov-Early May period	x	x
TEMP_WIN_t ^e	Winter daily min. temp. (\bar{x})	Nov-Early May period - season t		x
TEMP_WIN_t-1 ^e	Winter daily min. temp. (\bar{x})	Late Mar-Nov - season t-1	x	
DENSITY ^g	Number of animals/100 ha	Mid Jul-mid Aug	x	x
SUBSTRATE	[c] = calcareous; [s] = siliceous	-	x	x

723 a = NK:NF is the ratio of kids to adult females (initial recruitment).

724 b = NY:NF is the ratio of yearlings to adult females (net recruitment).

725 c = As predictor of this independent variable was considered even the NK:NF ratio in the season t-1. When not available from census data, we

726 calculated the same ratio by using standard monitorings (i.e., data collected from vantage points/small transects in the ungulate monitoring project

727 inside the Adamello Brenta Nature Park and fieldwork surveys done by Forest and Wildlife Service of the Province of Trento and the Trento provincial

728 hunting association in the surrounding areas) (see Methods section for major details).

729 d = Data availability: MOD13Q1 - <https://LPDAAC.usgs.gov>, accessed 8 May 2020.

730 e = Data availability: Data from 10 weather station (Forecasts and Organization Office - Civil Protection Infrastructures Department of the Province of

731 Trento - www.meteotrentino.it, accessed 15 Jun 2020) were used to derive a grid (250×250 m) through kriging co-interpolation with Digital Elevation

732 Model and mean values for each hunting unit were calculated through zonal statistics (ArcGIS 10 Spatial Analyst Tool).

733 f = Data availability: MOD10A2 - <http://modis-snow-ice.gsfc.nasa.gov>, accessed 8 May 2020.

734 g = Data availability: Hunting Association of the Province of Trento.

735

736 Table 2. Parameter estimates (β), standard errors (SE), 95% Confidence Intervals (CIs)
 737 and P -value of the average top models (Δ Akaike's information criterion [AIC] ≤ 6 ;
 738 $R^2_m = 0.44$; $R^2_c = 0.57$) explaining the variability of the kids to adult females ratio
 739 (NK:NF, initial recruitment) throughout the southwest part of Trento province (1,432.31
 740 km² study area, Central-Eastern Alps, Italy), 2001 and 2015. Variables in bold showed
 741 significant effects.

Parameter	β	SE	CIs		P
			Lower	Upper	
Intercept	0.7194	0.0205	0.1538	0.2342	<0.0001
BIRTH_MAX_NDVI_t-1^a	0.0106	0.0037	0.0034	0.0179	0.0040
BIRTH_MAX_NDVI_t^b	0.0680	0.0038	0.0608	0.0752	<0.0001
M_NDVI_t-1^c	0.0326	0.0047	0.0238	0.0418	<0.0001
TEMP_t-1^d	-0.0157	0.0045	-0.0246	-0.0069	0.0005
DENSITY ^e	0.0003	0.0050	-0.0001	0.0010	0.5143
SNOW COVER DURATION ^f	-0.0002	0.0042	-0.0008	0.0008	0.5934
SUBSTRATE [c] ^g	-0.0010	0.0191	-0.0384	0.0363	0.4369

742 a = Max. NDVI (normalised difference vegetation index) value for Alpine meadows during

743 birth period (May-Jun - season t-1).

744 b = Max. NDVI value for Alpine meadows during birth period (May-Jun - season t).

745 c = NDVI value (\bar{x}) for Alpine meadows overall the vegetation growing season (Late Mar-Nov -
 746 season t-1).

747 d = Vegetation growing season daily max. temp. (\bar{x} ; Late Mar-Nov - season t-1).

748 e = Alpine chamois local density (Number of animals/100 ha).

749 f = Number of days in which max. snow cover extent in percentage during Nov-Early May
 750 period was $\geq 50\%$.

751 g = Geological substrate ([c] = calcareous; [s] = siliceous)..

752 Table 3. Parameter estimates (β), standard errors (SE), 95% Confidence Intervals (CIs)
 753 and P -value of the best model (Δ Akaike's information criterion [AIC] ≤ 6 ; $R^2_m = 0.44$;
 754 $R^2_c = 0.55$) explaining the variability of yearlings to adult females ratio (NY:NF, net
 755 recruitment) overall the southwest part of Trento province (1,432.31 km² study area,
 756 Central-Eastern Alps, Italy) between 2001 and 2015. Variables in bold showed
 757 significant effects.
 758

Parameter	β	SE	CIs		P
			Lower	Upper	
Intercept	0.4409	0.0314	0.3794	0.5024	<0.0001
BIRTH_MAX_NDVI_t ^a	0.0102	0.0061	-0.0018	0.0222	0.2557
M_NDVI_t^b	0.0238	0.0064	0.0113	0.0363	0.0007
M_NDVI_t-1 ^c	0.0072	0.0068	-0.0061	0.0205	0.1735
TEMP_JULY_t-1^d	-0.0580	0.0080	-0.0737	-0.0423	<0.0001
TEMP_t ^e	0.0062	0.0059	-0.0054	0.0178	0.4229
SNOW COVER EXTENT^f	-0.0298	0.0086	-0.0467	-0.0129	<0.0001
DENSITY^g	-0.0356	0.0167	-0.0683	-0.0029	<0.0001
SUBSTRATE [c] ^h	0.0751	0.0377	0.0012	0.1490	0.1727
NK:NF_t-1ⁱ	0.0331	0.0048	0.3794	0.5024	<0.0001

759 a = Max. NDVI (normalised difference vegetation index) value for Alpine meadows during

760 birth period (May-Jun - season t).

761 b = NDVI value (\bar{x}) for Alpine meadows overall the vegetation growing season (Late Mar-Nov
 762 - season t).

763 c = NDVI value (\bar{x}) for Alpine meadows overall the vegetation growing season (Late Mar-Nov -
 764 season t-1).

765 d = Jul daily max.temp. (\bar{x} ;season t-1).

766 e = Vegetation growing season daily max. temp. (\bar{x} ; Late Mar-Nov - season t).

767 f = Max. snow cover extent (\bar{x}) in percentage during Nov-Early May period.

768 g = Alpine chamois local density (Number of animals/100 ha).

769 h = Geological substrate ([c] = calcareous; [s] = siliceous).

770 i = NK:NF ratio (initial recruitment) in the season $t-1$.

771 .

772 **Summary for the electronic version of the table of contents:**

773 Describing the potentially opposing effects of climate change during spring, summer,
774 and winter on population recruitment in Alpine chamois, we revealed a decrease in
775 survival of kids during their first winter during the study period. Therefore, it is
776 important to set appropriate upper limits on yearling harvest in management plans or in
777 extreme case to exclude them at all, in accordance to their increasing reduction in the
778 population. This research provides for precautions in the management of Alpine
779 chamois and can also provide important information for mountain dwelling species and
780 subspecies with similar ecological and biological characteristics.

781