On the cause of continental breakup: A simple analysis in terms of driving mechanisms of plate tectonics and mantle plumes Yaoling Niu^{1,2} ¹China University of Geosciences, Beijing 100083, China ^{2,*}Department of Earth Sciences, Durham University, Durham DH1 3LE, UK Submitted to special issue Journal of Asian Earth Sciences in commemoration of late Professor Shu Sun *Correspondence: Yaoling Niu (yaoling.niu@durham.ac.uk) Abstract. Earth's continents can come together to form supercontinents and the

supercontinents can break apart into fragments of varying size scattering around the globe through a hypothetical process called continental drift. The continental drift hypothesis had survived after ~ 60 years debate and evolved into the powerful theory of plate tectonics with unquestionable and irrefutable lines of evidence. This narrative statement is familiar and acceptable to everyone in the scientific community, but scientists differ when talking about the cause of continental breakup. Some advocate mantle plumes, especially superplumes, as the cause ("bottom up"), whereas others emphasize plate tectonics to be the cause ("top down") and still some believe both are needed. In this short paper, I do not wish to enter the debate, but offer a readily understandable geological analysis on the likely driving mechanisms of plate tectonics and mantle plumes, which leads to the conclusion that continental breakup is a straightforward consequence of plate tectonics without requiring mantle plumes. Mantle plumes, if needed, may be of help at the early rifting stage, but cannot lead to complete breakup, let

36	alone to drive long distance dispersal of broken continents. Superplumes invoked by many do
37	not exist. The debate may continue, but I encourage enthusiastic debaters to consider these
38	straightforward concepts and principles of geology and physics given in this analysis.

Keywords: Continental breakup, Plate tectonics, Mantle plumes, Trench retreat, No

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44 **1. Introduction**

superplumes

Formation of supercontinents and their breakup seem to have been cyclic through Earth's 46 history (e.g., Nance et al., 1988, 2014; Rogers & Santosh, 2022; Zhao et al., 2004; Oriolo et al., 47 2017; Li et al., 2019). Among the several supercontinents recognized, Pangea (~ 250 Ma) and 48 Rodinia (~ 800 Ma) are best studied and understood to have included almost all the landmasses 49 on Earth (Li et al., 2009; Torsvik, 2003; Zhao et al., 2018; Fig. 1). The word Pangea, meaning 50 all land, was used by Wegner (1912) to illustrate his hypothesis of continental drift (Wegner, 51 1929), which has by now become self-evident, but was highly controversial in his time and 52 subsequent years. In this hypothesis, Wegener argued that all the continents had once formed a 53 single land mass, Pangea, before breaking apart and drifting to their present-day locations, and 54 55 that mountains were the results of continents colliding and crumpling, insightfully citing the example of India colliding into Asia to uplift the Himalayas (see Powell, 2015). The continental 56 drift hypothesis had survived after ~ 60 years debate and evolved into the powerful theory of 57 plate tectonics with unquestionable and irrefutable evidence (see Frankel, 2011). That is, the 58 plate tectonics theory not only confirms continental drift but has been discovered to offer an 59 effective mechanism on why and how continents move in subsequent studies (see Forsyth & 60 61 Uyeda, 1975; Davies & Richards, 1992; Niu, 2014). This story is familiar and acceptable to everyone in the scientific community, yet scientists differ when talking about the cause of 62 continental breakup and dispersal (e.g., Storey et al., 1992; Storey, 1995). Some advocate 63 mantle plumes, especially superplumes, as the cause ("bottom up"; e.g., Richards et al., 1989; 64

Hill, 1991; Hill et al., 1992; Li et al., 1999, 2003; Condie, 2004; Zhong et al., 2007; Buiter &
Torsvik, 2014; Zhang et al., 2018), whereas others emphasize plate tectonics to be the cause
("top down"; e.g., Coltice et al., 2007; Gutierres-Alonso et al., 2008; Cawood et al., 2016; Wan
et al., 2019) and still some believe both are needed (e.g., Murphy & Nance, 2013; Buiter &
Torsvik, 2014; Wolstencroft & Davies, 2017;). All these are commendable efforts based on
geological observations, petrological and geochronological data, rigorous analysis and
quantitative modeling, but the debate remains. A consensus is needed if possible.

In this contribution, I do not wish to enter the debate but offer an objective and readily 72 understandable analysis on the likely driving mechanisms of plate tectonics and mantle plumes. 73 74 This analysis leads to the conclusion that continental breakup is a straightforward consequence 75 of plate tectonics without requiring mantle plumes. Mantle plumes, if needed, may be of help at the early rifting stage, but cannot lead to complete breakup, let alone to drive long distance 76 dispersal of broken continents. In order to help readers to share my analysis, whether agree or 77 disagree, I begin by illustrating the well-understood but not necessarily well perceived 78 geological concepts and physical principles. The debate may continue, but I encourage 79 enthusiastic debaters to consider the basic concepts and principles given in this analysis. 80

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2. Heat loss drives Earth processes

We often say that Earth's internal heat powers most geological processes, but precisely speaking the powering mechanism is Earth's *heat loss to surface*. This can be understood because relative to Earth's deep interiors, the shallow mantle loses heat readily, making the shallow mantle material cool, dense, and tend to sink due to gravity, while displacing the warm deep mantle material to rise also due to gravity, forming the classic mantle convection current circuit proposed by Arthur Holmes (Holmes, 1931). Although this convection current picture is too simplistic and is likely incorrect as we understand today, it nevertheless correctly depicts 91 the concept of thermal convection as the result of Earth's cooling.

Convection is a phenomenon of mass in motion driven by pressure difference. In the 92 grand gravitational field in the Earth, the pressure difference is dominated by buoyancy contrast 93 due to density contrast at any given depth. Both compositional difference and temperature 94 difference can create density difference and thus buoyancy contrast, responsible for mantle 95 convection on various scales. Thermal convection requires thermal boundary layers (TBLs) 96 across which large temperature contrast exists. Our current understanding is that there are two 97 thermal boundary layers in the Earth (Fig. 2). The top cold thermal boundary layer (TCTBL) is 98 the lithospheric plates, which cools the mantle and drives plate tectonics. The basal hot thermal 99 100 boundary layer (BHTBL) is at the core-mantle boundary, which cools the core and is responsible for mantle plumes (Davies & Richards, 1992; Davies, 1993, 1999; Bercovici et al., 101 2000; Niu, 2005a, 2014, 2018). Some may consider the 660-km seismic discontinuity (i.e., 600-102 D), which is the lower-upper mantle boundary, as a TBL, but this is unlikely because heat 103 transfer (or thermal "homogenization") across the 660-D is effectively accomplished through 104 "convective" processes as globally evidenced by penetration of many subducting slabs into the 105 lower mantle. Likewise, mass-balance requires the same amount of lower mantle material rising 106 107 into the upper mantle (see Niu, 2018). Hence, the 660-D is not a TBL. Stagnation of slabs in 108 mantle transition zone above the 660-D in some places may prevent localized mass and heat exchange across these slabs, but they are not permanent features and they are certainly not heat 109 source, but heat sink (Fig. 2; see Niu et al., 2017). Hence, the 660-D is not a TBL to generate 110 111 anomalously hot plumes.

The above concepts are illustrated in Fig. 2. In this context, we should note that the Earth has been cooling over its history and will continue to cool for some long time. Hence, plate tectonics will continue to operate. The total heat loss of the Earth to the surface is estimated to be ~ 47 TW (Davies and Davies, 2010), coming from primordial heat associated with Earth's

assembly and radiogenic heat resulting from radioactive decay (⁴⁰K-²³⁵U-²³⁸U-²³²Th) although 116 large uncertainty exists on relative importance of each of the two sources and especially 117 radiogenic heat contribution because of not-yet-fully-constrained Th/U in the deep mantle 118 (McDonough and Sun, 1995; Sramek et al., 2013; Wipperfurth et al., 2018). The ~ 47 TW heat 119 loss of the Earth is rather small, $\sim 0.03\%$ of Earth's total energy budget at the surface, which is 120 dominated by the 173,000 TW of incoming solar radiation (e.g., Archer, 2011). The latter is, 121 on average and over a long term, balanced out at the top of the atmosphere through reflection 122 of sunlight and emission of infrared radiation (e.g., Loeb et al., 2009), but its significance on 123 Earth's surface geology has been known to be important. This will not be discussed here. 124

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- 126 **3.** Plate tectonics and mantle cooling
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The ocean crust forms at ocean ridges as the underlying asthenosphere rises in response 128 to plate separation and undergoes decompression melting (e.g., McKenzie & Bickle, 1988). The 129 basaltic melts so produced, when extracted, build the ocean crust with the peridotitic residues 130 left in the mantle, accreting new growth to oceanic lithospheric plates (e.g., Niu, 1997). The 131 132 movement of these plates, their subsidence and thickening with time by thermal contraction, and their eventual recycling into the Earth's deep interior through subduction zones provide an 133 efficient mechanism to cool the mantle, which is how plate tectonics works, and is also 134 understood as the primary driving force for thermal convection of the mantle (e.g., Forsyth & 135 Uyeda, 1975; Parsons & McKenzie, 1978; Davies & Richards, 1992; Stein & Stein, 1996; Niu 136 & Green, 2018). While this is well understood, different views still exist when discussing actual 137 138 forces that drive seafloor spreading and plate tectonics largely influenced by old textbooks as discussed in Niu (2014). In fact, the observational data by Forsyth and Uyeda (1975) are 139 adequately informative although these data have not been fully appreciated. I plot these data in 140 Fig. 3 to illustrate the significance of these data in terms of the probable major/primary forces 141

that may drive plate motion and plate tectonics, i.e., the slab pull (F_{SP}), ridge push (F_{RP}) and plate basal drag (F_{BD}). The significance of Fig. 3a is better appreciated, but the significance of Fig. 3b-c is not as discussed below.

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146 **3.1** *Slab pull* (Fsp) into subduction zones drives seafloor spreading and plate tectonics

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Fig. 3a shows that the average absolute velocity of the then recognized 12 plates correlates 148 well with the trench portion of a plate circumference. This correlation has been used to argue 149 that *Slab Pull* (F_{SP}) due to gravity into subduction zone is the primary driving force for plate 150 motion, which has been verified subsequently by many observations (e.g., Zoback et al. 1989; 151 Zoback 1992), quantitative modeling (e.g., Davies and Richards, 1992; Bercovici et al., 2000) 152 and the demonstration that ocean ridges are passive features (e.g., McKenzie and Bickle, 1988) 153 in response to seafloor spreading ultimately caused by slab pull as shown schematically on the 154 155 right of Fig. 3a.

Despite the consensus above, we also note different views on what may drive plate 156 motions, including the ideas of "eclogite engine" (Anderson, 2007) developed on the basis of 157 158 eclogite sinking as a potential driving force (Holmes, 1931), "magma engine" (Sun, 2019), "six geospheric poles" (Li et al., 2019) and perhaps many others, but the physical and geological 159 validity and rigor of these ideas need comprehensive testing. At the time of this writing, I accept 160 161 the theory of plate tectonics as we understand today including the driving mechanisms because this theory has undergone hot debate and scrutiny of over a century, including the \sim 60-year 162 "continental drift" debate and over 50-year improvements since its acceptance (e.g., Wilson, 163 164 1963a,b, 1965,1966; McKenzie & Parker, 1967; Sykes, 1967; Morgan, 1968; Isacks & Oliver, 1968; Le Pichon, 1968). Some raise doubt about slab-pull being the primary driving force 165 because, for example, the India-Asia convergence has continued since the collision some ~ 55 166 million years ago without slab-pull, but this apparent "puzzle" in fact supports the 167

understanding that subducting slab-pull is indeed the primary driving force for plate motion and
plate tectonics. The continued India-Asia convergence since the collision has been actually
driven by the subducting slab pull of the giant Indo-Australia plate at the Sumatra-Java trench.
The convergence will cease to continue once the Indo-Australia plate disintegrates into several
smaller plates in the future (Niu, 2014, 2019).

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174 **3.2** *Ridge push* (F_{RP}) is not a primary force driving seafloor spreading

As illustrated in the cartoon on the right of Fig. 3b, ridge push (F_{RP}) is essentially the "land slide" of the young (< 70 Ma) lithosphere along the lithosphere-asthenosphere boundary (LAB). F_{RP} has been considered by many to be primary force driving seafloor spreading and plate motion. If so, the plate velocity should be proportional to ridge length, but this is not observed (Fig. 3b). Hence, F_{RP} push is not the primary driving force for plate motion although it is not negligible (about one order of magnitude less than F_{SP} (Turcotte & Schubert, 2002; Niu, 2014).

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184 **3.3 Plate** *basal drag* (F_{BD}) contributes little to plate motion

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Shown in the cartoon on the right of Fig. 3c is the idea of plate basal drag force (F_{BD}), 186 which concerns the nature of coupling at the LAB (see Niu & Hékinian, 2004). The traditional 187 view is that the plate motion is passively dragged by the "convection current" in the 188 asthenosphere (e.g., the Arthur Homes "convection current circuit"; see above), which has been 189 proven to be incorrect yet remains misguiding in many textbooks. If plate motion is driven by 190 F_{SP} (see above), then there would be shear resistance at the LAB, and this resistance would 191 increase with increasing the size of the plate (the size of the basal contact area of the plate at 192 the LAB). If this is true, the plate velocity should decrease with increasing plate size, but this 193

is not observed as there is no inverse correlation (Fig. 3c). In fact, the resistance at the LAB is
minimal because the LAB is a petrological phase boundary (Niu & Green, 2018) and there is a
melt rich layer close beneath the LAB atop the asthenosphere (Niu & O'Hara, 2009; Kawakatsu
et al., 2009).

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3.4 Trench retreat drives the Atlantic-type seafloor spreading and continental drift

The above analysis demonstrates our current understanding that subducting slab pull is 201 the primary force driving seafloor spreading and plate tectonics. This is straightforward for the 202 Pacific-type seafloor spreading because of the active seafloor subduction and slab pull in the 203 western, northern and eastern Pacific, but it is not obvious what may cause the seafloor 204 205 spreading in ocean basis with passive continental margins without subduction zones like the Atlantic. The answer is straightforward because of trench retreat in the Pacific (Niu, 2014). Fig. 206 4 shows the subduction of the Nazca plate towards beneath the South American continent. 207 Under gravity, the subducting slab will necessarily roll back (slab getting steeper from T1 to 208 T3), but what is far more important is the trench retreat (Fig. 4; Niu, 2014). 209

The trench retreat causes the overriding South American continental plate to follow 210 passively and drift towards west (Fig. 4). That is, the very mechanism that drives continental 211 212 drift is its passive response to trench retreat in ocean basins with subduction zones like the Pacific (Fig. 4). The eastern Eurasian continent has drifted towards east for over 2000 km since 213 the Cenozoic, which is also a consequence of western Pacific trench retreat towards east (Niu, 214 2014; Niu et al., 2015). We should also note that the South American plate is a composite 215 "continent + ocean" plate, and the South American continental drift in response to the trench 216 retreat is in fact the very mechanism that drives the Atlantic-type seafloor spreading (Niu, 2014). 217 We can thus conclude that (1) slab pull drives the Pacific-type seafloor spreading; (2) 218 continental drift and Atlantic-type seafloor spreading are passive response to trench retreat, as 219

reiterated in Fig. 5 using the plate tectonic map of the southern oceans and continental masses.

Fig. 5 shows, in an absolute plate motion framework, the Antarctic plate essentially stands still because it has passive continental margins all around and is surrounded by ridges with all other plates beyond these ridges moving away from it directly and indirectly towards distal subduction zones. This map demonstrates in simple clarity that seafloor spreading, and continental drift/dispersal all require subduction zones. That is, *continental breakup and dispersal cannot happen without plate tectonics that is driven by seafloor subduction*.

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8 4. Mantle plumes and core cooling (?)

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The mantle plume hypothesis arose from the need to explain magmatism occurring in 230 plate interiors such as the Hawaii volcanism that cannot be explained by the plate tectonics 231 theory. Wilson (1963) first called the intraplate volcanoes like Hawaii as "hotspots" with a 232 relatively fixed deep source, deeper than and thus unaffected by the moving Pacific plate. 233 Morgan (1971) proposed further that the hotspots are surface expressions of thermal mantle 234 plumes coming from the core mantle boundary (CMB). The mantle plume hypothesis has since 235 been widely accepted to explain intraplate magmatism, in particular since the experimental (e.g., 236 Campbell & Griffiths, 1990) and numerical (Griffiths & Campbell, 1990) simulations, and the 237 recognition of large igneous provinces (LIPs) as the products of giant plume heads initiated at 238 the CMB and accreted during ascent (see Figs. 2,6; Duncan & Richards, 1991; Coffin & 239 Eldholm, 1994). The plume-produced LIPs are oceanic plateaus in ocean basins and flood 240 basalts on land. We should note, however, that a great debate continues on whether mantle 241 242 plumes indeed exist as a result of Earth's cooling or whether their existence is purely required for convenience in explaining certain Earth phenomena (Anderson, 1994, 2004; Foulger & 243 Natland, 2003; Niu, 2005a; Davies, 2005; Foulger, 2005, 2010; Campbell, 2005; Campbell & 244 Davies, 2006). With the hope of settling this debate, Niu et al. (2017) proposed that the effective 245

way to test the mantle plume hypothesis is to find out the makeup of the Kamchatka-Okhotsk 246 Sea basement by drilling at ideal sites. This is because if a mantle plume is indeed originated 247 from the CMB as proposed, a giant plume head is required to carry the material from the deep 248 mantle to the surface (Fig. 6). However, the classic Hawaiian mantle plume does not seem to 249 have a genetically associated plume head product such as a LIP. There is the high probability 250 that the Kamchatka-Okhotsk Sea basement may prove to be the Hawaiian plume head product 251 (Niu et al., 2003). It is worth to mention this debate here, but we focus below on the basic 252 assumptions and physical foundation of the mantle plume hypothesis so as to informatively 253 assess whether mantle plumes may be essential in causing supercontinent breakup and dispersal. 254 255

4.1 Mantle plume hypothesis and its predictive efficacies

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Campbell (2005, 2007) summarized the key elements of the mantle plume hypothesis. 258 Mantle plumes are columns of hot, solid material that originate from the CMB (Figs. 2, 6a-c). 259 Laboratory and numerical models (Fig. 6d-e) replicating conditions appropriate to the mantle 260 show that mantle plumes have a regular and predictable shape that allows predictions: new 261 mantle plumes consist of a large head, 1000 km in diameter, followed by a narrower tail (Fig. 262 6). Initial eruption of basalt from a plume head should be preceded by ~ 1000 m of domal uplift 263 (Fig. 6f). High-temperature magmas are expected to dominate the first eruptive products of a 264 new plume and should be concentrated near the center of the volcanic province (Fig. 7). 265 Decompression melting of the plume heads produces LIPs with thick basaltic crust and 266 thickened lithospheric mantle residues (Fig. 6a-b; Niu et al., 2003, 2017), and the sustained 267 material supply and decompression melting produces and leaves volcanic chains such as the 268 Hawaiian-Emperor seamounts chains in the Pacific if the plate moving fast relative to the more 269 fixed plume source (Fig. 6a-c). 270

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Fig. 7 shows three representative scenarios perceived by the mantle plume community to

take place when the rising plume head impinges the continental lithosphere. In addition to the 272 flattening and decompression melting of the plume head and complexities of magmatism (e.g., 273 CMB derived plume melts, plume melts of entrained mantle materials, crustal extension, crustal 274 melting and assimilation etc.), Fig. 7c (Campbell, 2007) emphasizes crustal doming and uplift, 275 continental breakup, opening of a new ocean basin and volumetrically significant flood basalts 276 (e.g., SWDRs) well exposed on both sides of the North Atlantic passive margins (e.g., East 277 Greenland and British Tertiary basaltic province) interpreted to be associated with the ancestral 278 Iceland plume and the opening of the North Atlantic (White & McKenzie, 1989; Saunders et 279 al., 1998; Larsen et al., 1999). Whether the Iceland plume triggered opening of the North 280 Atlantic or the latter allowed the Iceland plume to surface has been debated (e.g., Saunders et 281 282 al., 1998; Storey et al., 1992; White, 1992). This debate, together with some earlier views (Dewy & Burke, 1974; Nance et al., 1988), has led to the strong view on a global scale that 283 continental breakup could indeed be caused by mantle plume heads and associated magmatism 284 although further research is needed (Hill, 1991; Storey, 1995; Li et al., 1999, 2008; Condie, 285 2004, 2005). Superplumes derived from the CMB have been particularly favored as the ultimate 286 cause of continental breakup (e.g., Condie, 2004, 2005; Zhong et al., 2007; Li & Zhong, 2009; 287 Santosh, 2010; Nance et al., 2014; Zhang et al., 2018) because there appears to be a seismic 288 low-velocity channel connecting the East African Rift with one of the large low shear wave 289 velocity provinces (LLSVPs) at the base of the mantle (Romanowicz & Gung, 2002). 290

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292 **4.2 Can mantle plumes breakup supercontinents?**

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This is contentious as discussed above and is the very focus of this paper. The logical approach to addressing this issue is to examine well preserved and better studied LIPs in continental settings to assess their possible influence on continental breakup at the time of their emplacement regardless of whether their hotspot tails remain active or not. Examining the long list of hotspots and LIPs (e.g., Courtillot et al., 2003; Foulger, 2010; Ernst, 2014), one can find
the following well preserved and better studied LIPs:

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(1) The ~ 260 Ma LIP Emeishan is estimated to have an areal extent of ~ 0.25 million km²
 (Ernst, 2014), which recorded a prominent pre-eruption doming uplift (He et al., 2003),
 but did not cause continental breakup.

- (2) The ~ 250 Ma LIP Siberian Traps is the largest known continental flood basalt
 province on Earth (Saunders & Reichow, 2009) with an areal extent in excess of ~ 7
 million km² and an erupted volume of ~ 4 million km³ (Ernst, 2014). If this LIP has
 indeed resulted from a rather large mantle plume head and its melting, we can conclude
 that this plume head had not caused breakup of the Eurasian continent.
- (3) The ~ 200 Ma LIP CAMP (Central Atlantic Magmatic Province) has been recognized 309 by contemporaneous dyke swarms widespread in the eastern North America, western 310 Africa, northern South America and Europe, predicted to be coeval with the splitting 311 of these continents (Hill, 1991; White & McKenzie, 1989). The magmatic output 312 volume is difficult to estimate, but the areal distribution appears to be vast, ~ 10 million 313 km^2 (Ernst, 2014). This would be a good case for a possible link between a mantle 314 plume and continental breakup although the cause-and-effect relationship needs 315 understanding. 316
- (4) The ~ 130 Ma LIP Parana-Etendeka on both sides of the South Atlantic with a
 combined areal extent of ~ 2.0 million km² (Ernst, 2014) is thought to be the plume
 head product of the present-day active Tristan hotspot near the South mid-Atlantic
 Ridge (Storey, 1995). This would be another case for a possible link between a mantle
 plume and continental breakup, but the cause-and-effect relationship again needs
 understanding.

(5) The ~ 65 Ma LIP Deccan Trap (Fig. 7b) with an areal extent of ~ 1.8 million km²
(Ernst, 2014) is thought to be the plume head product of the present-day active Reunion
hotspot (White & McKenzie, 1989). At ~ 65 Ma, the sub-Indian continent was drifting
on its way to collide with Eurasia in the next ~ 10 Myrs, so the stress condition may
not permit continental breakup, although it is possible that Reunion plume head may
have separated the Seychelles from India (Storey, 1995).

- (6) The ~ 60 Ma LIP NAIP (North Atlantic Igneous Province) is remarkable with
 volumetrically significant basalts cropping out on both sides of the North Atlantic
 (Greenland, northern Canada, and Scotland) with strong SWDRs at subsurface widely
 believed to be plume head product of the Iceland hotspot uniquely centered on the
 North Mid-Atlantic Ridge at present (e.g., White & McKenzie, 1989; Larsen et al.,
 1999; Storey et al., 2007). The coeval of the NAIP and the opening of the North
 Atlantic indeed points to a genetic link between the two.
- (7) The ~ 30 Ma LIP Ethiopian Flood Basalt distributes over an areal extent of ~ 2 million
 km² and is thought to be the plume head product of the presently active Afar hotspot
 (Ernst, 2014). The Afar hotspot/plume may have indeed caused the continental breakup
 and the formation of the triple junction shared by the Red Sea, Gulf of Aden and East
 African Rift (Schilling, 1973; Ebinger & Casey, 2001; Furman et al., 2003; Bastow et
 al., 2018).
- (8) The ~ 16 Ma LIP Columbia River Basalt with an areal extent of ~ 0.24 million km²
 is considered as the plume head product of the presently active Yellowstone hotspot
 (Courtillot et al., 2003; Ernst, 2014). There is no indication that this plume would cause
 continental breakup.
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- 347 The above analysis indicates explicitly that the inferred giant (e.g., represented by the

Siberian LIP), large (e.g., the Deccan LIP), and small (e.g., the Emeishan and Columbia River
LIPs) mantle plumes and plume heads did not cause continental breakup.

The Afar plume offers a good case in causing continental breakup such as the opening of 350 the Red Sea, Gulf of Aden and East African Rift. The opening of the Red Sea and the fast 351 motion of the Arabia plate towards northeast at present may be driven by its subduction beneath 352 and collision with the Eurasian continent, rather than driven by the Afar plume. A continental 353 rift, such as the East African Rift, represents the earliest stage of an ocean basin formation as 354 per the Wilson Cycle concept, yet there seems to be no prospect that this rift will evolve into 355 an ocean basin because the African plate is surrounded by ocean ridges and the central Indian 356 Ridge will likely prevent the rifting from extending further apart to the east. 357

358 The coincidental happenings of LIP emplacement and opening of the central (~ 200 Ma CAMP), south (~ 130 Ma Parana-Etendeka) and north (~ 60 Ma NAIP) Atlantic do indicate a 359 genetic link between the two. The question is thus to correctly understand the cause-and-effect 360 relationship, as analyzed in the context of Gondwana breakup by Storey (1995). The locations 361 of St Helena, Tristan, and Iceland plumes may contribute to approximately where and even 362 when continental breakup may begin locally (Morgan, 1983; White & McKenzie, 1989; Hill, 363 1991; Storey, 1995), but complete breakup requires continental scale tension forces most likely 364 driven by plate tectonics. For example, in an absolute plate motion framework, both Eurasian 365 and African plates move very slowly ($< \sim 5 \text{ mm/yr}$), but much of the growth of the Atlantic 366 ocean and plate separation along the mid-Atlantic Ridge largely results from westward drift (~ 367 20-30 mm/yr) of Greenland, North American and South American plates because of trench 368 retreat in the eastern Pacific (see Fig. 1 and discussion of Niu, 2014). This means that the full-369 scale opening of the Atlantic must have resulted from continental drift of American plates 370 towards west. In response to the opening is the rifting, lithosphere thinning, decompression 371 melting and the formation of volcanic passive margins over much of both sides of the Atlantic, 372

around the African continent, and significant portions of the both eastern and western Australian
continent and portion of the Antarctic.

All these, together with the fact that the giant mantle plume head inferred from the vast Siberian Traps did not cause breakup of the Eurasian continent, suggest that mantle plumes mostly do not cause continental breakup. That is, mantle plumes alone cannot cause complete continental breakup, let alone to drive long distant dispersal of fragmented continental masses, which has been the very heart of continental drift hypothesis debate, and this very debate has been finally settled because of the plate tectonics theory.

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4.3 A widely overlooked difficulty of plume head melting in the context of continental breakup 384

Seismology has long informed us that the Erath's entire mantle is solid with no magmas 385 detectable at any depth and on any scale. The volcanism occurs along global ocean ridges, 386 above subduction zones and in isolated localities away from these plate boundaries in ocean 387 basins and on land are all shallow phenomena where the physical conditions allow the solid 388 mantle to partially melt. Apart from subduction settings where magmas form as the result of 389 slab-dehydration induced mantle wedge melting, mantle melting elsewhere results from 390 391 decompression when the adiabatically ([dT/dP]_{ADIABA}) upwelling asthenospheric mantle intersects the solidus (P_0 ; $[dT/dP]_{SOLIDUS} > [dT/dP]_{ADIABA}$) as shown in Fig. 8a (Niu, 2005b). 392 Mantle compositional variation may slightly alter the solidus in this pressure-temperature space, 393 394 but the solidus shown in Fig. 8a based on many experimental studies (McKenzie & Bickle, 1988) is adequately correct for the discussion here. The normal mantle beneath ocean ridges is 395 considered relatively cool and has a potential temperature of $T_P = 1350^{\circ}C$ (McKenzie et al., 396 2005; Niu et al., 2001), but mantle potential temperatures can be variably and significantly 397 hotter with $T_P = 1550^{\circ}C$ (Herzberg & O'Hara, 2002) for mantle plumes. For the sake of 398

conservative discussion, we can assume a very hot mantle plume head with $T_P = 1600^{\circ}C$ (Fig. 8a). As we understand, the hotter the mantle is, the deeper the upwelling mantle intersects the solidus to begin melting (P_o). The extent of melting (F) is proportional to the decompression interval between P_o (~ 140 km) and the depth of melting cessation P_f, which is the LAB (i.e., F $\propto P_o - P_f$; Fig. 8b).

Fig. 8b shows three scenarios of flattening mantle plume head impinging the continental lithosphere of varying thickness. For a spherical plume head 1000 km across (R = 500 km; Fig. 6f) that flattens to a disk of 2000 km (r = 1000 km), it would have a thickness of 167 km. To be conservative for discussion, Fig. 8b only shows half of this thickness of ~ 84 km as shown in Fig. 7b (Richards et al., 2015). Analysis of Fig. 8 correctly tells us the following:

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Scenario [I]: For a thin continental lithosphere of 120 km thick (i.e., LAB depth of 120 km), 410 there would be about ~ 20 km ($P_0 - P_f$) decompression and up to 5% melting. 411 There are three important implications. (1) With such low extent of melting, 412 incompatible elements and volatiles such as water would have entered the melt. 413 Consequently, the "dry" melting residue becomes too viscous to flow, making 414 the lithosphere thickened, at least 120 + 20 = ~ 140 km (If not up to 200 km), 415 rather than thinned. It follows that mantle plume head melting will not weaken 416 and break the continental lithosphere, but rather will make the lithosphere 417 thicker and stronger. (2) Note that $T_P = 1600^{\circ}C$ is likely exaggerated for the 418 sake of conservative discussion. If $T_P = 1550^{\circ}C$ or less, there would be little or 419 no melting because $P_0 = \sim 120$ km, which is the depth of the LAB. Therefore, 420 there would be no melting products as LIPs. (3) The \sim 1000 m surface uplift, 421 if any, will have negligible effect on mantle melting processes. 422

423 Scenario [II]: For a slightly thicker continental lithosphere of ~ 140 km with the LAB $\approx P_0$,

there will be no decompression melting, and thus no LIP basaltic magmatism.
The lithosphere will not be thinned, if not thickened. Without the presence of
melt, thermal erosion would have limited effect (Lavecchia et al., 2017) on the
lithosphere through thermal conduction unless the lithospheric mantle had been
previously metasomatized with materials of lower solidus (McKenzie &
O'Nions, 1995; Niu & O'Hara, 2003; Niu, 2018).

430 Scenario [III]: For a thick cratonic lithosphere of ~ 200 km (if not thicker) with the LAB 431 about 60 km deeper than the solidus $P_0 = 140$ km, there is absolutely no plume 432 head decompression melting at all, and thus no LIP basaltic magmatism. Hence, 433 no matter how big and how hot the plume head may be, the cratonic lithosphere 434 will be largely intact.

435

The above analysis with illustrations (Fig. 8) tells us in simple clarity that decompression melting of mantle plume heads upon impinging the continental lithosphere is highly restricted, depending on the thickness and, of course, cohesion of the continental lithosphere. This can be elaborated below:

- 440 (1) No matter how hot a mantle plume head may be, melting cannot happen beneath 441 typical continental lithosphere with thickness >140 km if $T_P = 1600^{\circ}C$ or > 130 km T_P 442 = 1550°C (Fig. 8).
- (2) Thermal erosion of the existing lithosphere by hot mantle plume head is possible, but
 likely highly restricted, depending on the abundances and distribution of the prior
 enriched metasomatic dykes and veins with lower solidus in the lithosphere, whose
 melt so produced may or may not surface, depending on the quantity, but cannot
 develop into a LIP.
- 448 (3) A plume head may produce volumetrically tiny CO_2 -H₂O-rich melt, which, if extracted,

can metasomatize the overlaying lithosphere to form metasomatic dykes or veins orabsorbed.

- (4) It is possible, in any of the three scenarios above (Fig. 8b: I, II and III), that a plume
 head may indeed cause surface uplift and localized rifting and extension, but the latter
 cannot develop into an ocean basin without horizontal forces such as seafloor
 spreading and trench retreat that pull apart and move away the rifted continental mass
 fragments (Figs. 4,5).
- (5) Because decompression melting of mantle plume heads cannot happen beneath thick
 lithosphere (> 130 km), let alone beneath thickened cratons (Fig. 8) as demonstrated
 (e.g., Watson & McKenzie, 1991; White & McKenzie, 1995). We can thus reason that
 all the known LIPs, if they are indeed decompression melting products of mantle
 plume heads, must indicate thin or thinned continental lithosphere at the time of the
 LIP magmatism.
- (6) It follows that if mantle plumes do contribute to continental breakup, the loci of 462 continental breakup must be existing zones of weakness of prior continental suture 463 zones, which seems to be the case as shown in recent studies (McKenzie et al., 2015; 464 Whalen et al., 2015; Petersen et al., 2016). The fact that many cratonic cores 465 considered to be major constituents of the ~ 1600 Ma supercontinent Columbia (e.g., 466 Amazonia, East Antarctica, West Africa, West Australia, Baltica, North China, South 467 China, India, Greenland, Kalahari, Siberia etc.; see Rogers & Santosh, 2002; Zhao et 468 al., 2004, 2018) have been identified in the ~ 800 Ma supercontinent Rodinia, in the ~ 469 250 Ma Pangea, and still have their identities at present confirms this reasoning. 470
- (7) Hence, volumetrically significant basalts over much of both sides of the Atlantic
 margins, around the African continent, around the Greenland, India, west and east
 Australia all result from rifting and significant extension induced decompression

474

melting beneath the thinned continental lithosphere.

- (8) This analysis leads to the suggestion that the opening of the Atlantic may have allowed
 the existing mantle plumes to surface.
- 477

478 4.4 Can a superplume cause continental breakup? What is a superplume, what is its 479 origin? Does it exist? 480

Larson (1991a,b) was the first to invoke superplume or superplumes to explain the 481 globally significant volcanic output, long period of geomagnetic quiescence, increased surface 482 temperature, deposition of black shales, oil generation and eustatic sea level in the mid-483 Cretaceous (124-83 Ma). He continued that these superplumes originated just above the core-484 485 mantle boundary, significantly increased convection in the outer core, and stopped the magnetic field reversal process for 41 Myrs. There have been at least 101 papers published since then 486 with superplume/s appearing in the titles to discuss the origins of superplumes and their 487 geological consequences, including supercontinent breakup (Condie, 2000, 2004; Li et al., 2003, 488 2006; Maruyama et al., 2007; Li & Zhong, 2009; Yukio, 2009). 489

By accepting that mantle plumes are initiated at the CMB, it is logical to reason that 490 superplumes must also originate at the CMB as originally proposed (Larson, 1991b). The 491 492 evidence for superplumes come from the two large low shear-wave velocity provinces (LLSVPs) at the base of the mantle beneath the Pacific and Africa (see Fig. 9; e.g., Romanowicz 493 & Gung, 2002; Courtillot et al., 2003; McNamra & Zhong, 2004; Condie, 2004; Thorne et al., 494 495 2004; Schmerr et al., 2010; Torsvik et al., 2014), corresponding to the Pacific superswell and the higher-than-expected elevation of the African continent (e.g., McNutt, 1998; Burke, 2011). 496 The slow shear wave velocity at the base of the mantle above the core would be consistent with 497 the LLSVPs being hot thermal anomalies and they should also have low viscosity because low 498 Shear wave speed means low shear modulus (μ ; Vs = (μ/ρ)^{1/2}) and low μ means low viscosity 499

(Niu & Hékinian, 2004). So, it is logical to suspect the LLSVPs to be sources of superplumes. 500 However, seismic velocity and waveform analysis indicate that the LLSVPs have sharp 501 boundaries with, and higher density (~2-5%) than, the surrounding mantle (Becker & Boschi, 502 2002; Ni et al., 2002; Ni & Helmberger, 2003; Wang & Wen, 2004; To et al., 2005; Ford et al., 503 2006; Garnero et al., 2007; Sun et al., 2007). The recent tidal tomography study (Lau et al., 504 2017) confirms the results of seismic tomography that the LLSVPs are chemical anomalies 505 denser than the ambient mantle, but argues for only 0.5% denser, which is less than previous 506 estimates, suggesting large uncertainties exist for further improvements. The sharp boundaries 507 mean that the LLSVPs are unlikely to be simple thermal anomalies because thermal 508 conduction/diffusion would make the boundaries gradual, not sharp. Also, the higher densities 509 510 mean that the LLSVPs are compositionally different from the ambient mantle. Hence, the LLSVPs are compositional anomalies, whose origin remain to be understood. Some studies 511 suggest the possibility that the LLSVPs could be Fe-rich materials from the core or residues of 512 the core separation in Earth's early history because of the high density (e.g., Garnero et al., 513 2007; Hirose & Lay, 2008; Garnero et al., 2016; Lau et al., 2017), but how to test this hypothesis 514 may be forever challenging (Niu, 2018). Based on studies of global seafloor petrogenesis, Niu 515 and co-authors (Niu et al., 2012; Niu, 2018) argue that the LLSVPs are most consistent with 516 piles of subducted ocean crust accumulated over Earth's history, which explains why the 517 LLSVPs have sharp boundaries with, and greater densities than, the surrounding mantle under 518 lower mantle conditions. The LLSVPs of subducted ocean crust origin act as thermal insulators 519 520 only to allow the core heating concentrated at edges of the LLSVPs, which explains why most LIPs over the last 300 Myrs were associated with edges of the LLSVPs (Burke et al., 2008). 521 Furthermore, the antipodal positioning of the two LLSVPs represents the optimal moment of 522 inertia, which explains why the LLSVPs are stable in the spinning Earth (Niu, 2018; 523 Dziewonski et al., 2010). 524

525	Т	The most important point here is that the LLVPS are not simple thermal anomalies, but
526	compo	sitional anomalies. It is possible that they could be warmer or hotter than the ambience
527	becaus	e subducted ocean crust (OC; Niu & O'Hara, 2003) has higher heat-producing element
528	abunda	ances than the primitive mantle (PM; Sun & McDonough, 1989) with $K_{OC/PM} = \sim 3.29$,
529	Thoc/PI	$M_{\rm M} = \sim 1.18$ and $U_{\rm OC/PM} = \sim 2.43$. Nevertheless, because they have significantly higher
530	densiti	es than the ambient mantle, the LLSVPs cannot rise and cannot be the widely perceived
531	plume	materials. Hence, LLSVPs are not superplumes, which do not exist in Earth.
532		
533 534	5. Su	mmary
535	(1)	Continental breakup and dispersal (drift) are straightforward consequence of plate
536		tectonics without needing mantle plumes.
537	(2)	Mantle plumes could facilitate continental rifting as many believe, but tectonic evolution
538		from rifting to complete breakup requires that the rifted/broken continental fragments
539		be pulled away or moved far apart. The latter is the conceptually familiar phenomenon
540		or process of "continental drift", which can only be driven by plate tectonics.
541	(3)	The way in which plate tectonics drives continental drift is the passive movement of
542		continents in response to trench retreat (i.e., seaward migration of subduction zones
543		under gravity). This is well illustrated in simple clarity by the present-day shrinking of
544		the Pacific Ocean basin because of the trench retreat in eastern, western and northern
545		Pacific. The eastward trench retreat of the western Pacific subduction zones has induced
546		eastern Eurasian continent to drift eastward for over 2000 km since the Cenozoic. The
547		westward trench retreat of the eastern Pacific subduction of the Explorer, Juan de Fuca
548		and Gorda plates (remnants of the larger Farallon plate) in the north and of the Nazca
549		plate in the south has caused the North and South American continents to drift westward.
550	(4)	The westward drift of the North and South American continents has in fact been the

very driving mechanism for the growth of the Atlantic Ocean basin although its timing
of opening seems to be coeval with the recognized mantle plumes, i.e., the opening of
the central Atlantic at ~ 200 Ma represented by the LIP CAMP, the South Atlantic at ~
130 Ma represented by the LIP Parana-Etendeka and the North Atlantic at ~ 60 Ma
represented by the LIP NAIP.

- (5) The aforementioned three plumes could be argued to have caused the continental rifting,
 but we cannot avoid the conclusion that the continued growth of the Atlantic would not
 happen without westward drift of American continents as a passive response to
 westward trench retreat in the eastern Pacific as elaborated above.
- (6) The coincidence of the Atlantic opening and the three plume activities is consistent with
 the lithosphere thinning that allows potential plumes to rise and "surface". This is
 because our understanding of these mantle plumes is entirely based on LIPs that are
 thought to decompression melting products of mantle plume heads, which cannot melt
 to produce LIPs without thin or thinned overlying continental lithosphere.
- (7) Hence, mantle plumes, no matter how hot and how big the plume heads may be, cannot
 melt by decompression to produce LIPs beneath thickened cratonic lithosphere, i.e.,
 continental rifting and breakup cannot take place within thickened and physically
 coherent cartons by any process including mantle plumes.
- (8) Thus, LIPs genuinely reflect the prior thin or thinned continental lithosphere. It follows
 that if there are/were many more mantle plumes and plume heads beneath continents at
 present and probably also in Earth's history, only those arriving beneath thin or thinned
 lithosphere could be recognized through LIPs. This further means that it is the size,
 thickness and strength of the continental lithosphere that determines whether a mantle
 plume can surface and whether a mantle plume can break up the continents, not the other
 way around.

(9) Even if the pre-existing lithosphere is thin enough to allow decompression melting of 576 the arriving mantle plume heads to produce LIPs, because the extent of melting is no 577 more than ~ 5% with essentially all the volatiles extracted, the ~ 95% mass of melting 578 residues would become too viscus to flow, and thus resulting in thickened and accreted 579 new continental lithosphere. That is, the effect of mantle plumes and mantle plume heads 580 is not to thin and break, but rather to thicken and strengthen the continental lithosphere, 581 contrary to the general perception. 582

- (10) The fact that many cratonic cores considered to be major constituents of the ~ 1600 583 Ma supercontinent Columbia (e.g., Amazonia, East Antarctica, West Africa, West 584 Australia, Baltica, North China, South China, India, Greenland, Kalahari, Siberia etc.) 585 have been identified in the ~ 800 Ma supercontinent Rodinia, in the ~ 200 Pangea, and 586 still have their identities at present means that continental breakup takes place along the 587 prior zones of weakness such as sutures over Earth's history. 588
- (11) It is worth to stress that the widely perceived superplumes initiated from the two 589 LLSVPs at the base of the mantle beneath the Pacific and Africa do not exist. This is 590 because they are compositional anomalies with sharp edges and greater density than the 591 ambience. They are too dense to rise. 592
- (12) The debate on the cause of continental breakup may continue, but I encourage 593 enthusiastic debaters to consider the rigorous analysis given here based on 594 straightforward concepts and principles of geology and physics. 595
- 596
- 6. 597
- 598

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a modern geochemistry laboratory in the Institute of Oceanology in Qingdao. It is my pleasure 603 to record in printed form a brief communication from him in response to my two papers 604 concerning the continental rift origin of the Yellow Sea (Niu & Tang, 2016) and the exotic 605 origin of the Chinese continental shelf (Niu et al., 2015): "Yaoling, The similarity of the 606 coastlines on both sides of the Yellow Sea once aroused my imagination that the Yellow Sea 607 could be pulled apart, but I had to give up on this because I could not find evidence from my 608 own specialty. Sanya, Hainan Island, has Middle Cambrian strata, which contain phosphorites 609 and fossils similar to those in Australia and completely different from those in mainland China, 610 which we paid attention to in the past but have never resolved it. Sun Shu" (Email 611 communication from Professor Shu Sun to Yaoling Niu on July 14, 2016). 612 613 I thank Professor Wenjiao Xiao for invitation and handling this manuscript and Professor

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621 **7. References**

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- 938 Figure captions:
- 939
- 940 Fig. 1. Schematic illustration of the two supercontinents Rodinia (a) and Pangea (b) in the
- geological history with relative timing given in c, simplified from Torsvik et al. (2003),
- 942 Wikipedia (Pangaea, <u>https://en.wikipedia.org/wiki/Pangaea</u>) and Wikimedia commons
- 943 (<u>https://commons.wikimedia.org/wiki/User:Hgrobe/platetectonics</u>)
- 944
- 945 Fig. 2. *a* An across-earth cartoon simplified from various forms in the literature to illustrate
- 946 the state-of-the-art conceptual understanding of plate tectonics theory and mantle
- 947 plume hypothesis. The solid earth dynamics is driven by the two thermal boundary
- 948 layers as illustrated in **b**. The top cold thermal boundary layer (TCTBL), which is the

lithospheric plates, cools the mantle and drives plate tectonics. The basal hot thermal
boundary layer (BHTBL), which is at the core-mantle boundary, cools the core and is
responsible for mantle plumes (Davies & Richards, 1992; Davies, 1993, 1999; Niu,
2005a, 2014).

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Fig. 3. a-c plots of the data by Forsyth & Uyeda (1975) to show that the average absolute 954 velocity of the then recognized 12 plates (from left to right: Eurasia, North America, 955 South America, Antarctica, Africa, Caribbean, Arabia, India-Australia, Philippines, 956 Nazca, Pacific and Cocos) correlates well with the trench portion of a plate 957 circumference (a), but is independent of ridge length (b) and plate size (c). a is used to 958 argue that slab pull due to gravity is the primary force driving plate motion (F_{SP}). The 959 plate velocity data have been revised since then (e.g., DeMets et al., 1990), but the co-960 variation plots *a-c* remain essentially unchanged. Cartoons on the right are my 961 interpretations to show that ridge push (F_{RP}) and basal drag (F_{BD}), which are considered 962 two other important forces, are in fact unimportant or less important for plate motion. 963

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Fig. 4. Left Cartoon illustrating that trench retreat is the very cause of continental drift 965 (modified from Niu, 2014). Under gravity, the subducting slab not only rolls back, but 966 its bending hinge necessarily moves seawards, which is described as "trench retreat", 967 hence the subduction-zone retreat. The dashed line indicates the newer position of the 968 trench/subduction zone with time: $T1 \rightarrow T2 \rightarrow T3$. Consequently, the overriding 969 continental plate passively follows the retreating trench, which is the action of 970 continental drift towards left. This concept is demonstrated, on the *right*, by the 971 eastward subduction of the Nazca Plate beneath the South American continent, 972 westward trench retreat, westward continental drift of South America, and hence the 973 seafloor spreading and the growth of the Atlantic Ocean (using the absolute plate 974

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motion reference: http://jules.unavco.org/Voyager/GEM GSRM).

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Fig. 5. Plate tectonics map of the southern oceans viewed from Antarctica (using the absolute plate motion reference: http://jules.unavco.org/Voyager/GEM_GSRM) to show that the Antarctic continental plate essentially stands still because it has passive continental margins all around and is surrounded by ridges with all other plates beyond these ridges moving away towards distal subduction zones.

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Fig. 6. Illustrations of the concept of mantle plumes, their initiation, growth and consequences. 983 *a-c* illustrate the mantle plume hypothesis: plume initiation at the core mantle boundary, 984 rise of the plume with the head being fed by the plume tail, decompression melting of 985 986 the head producing a large igneous province (LIP; flood basalts on land and oceanic plateaus in ocean basins), and an age-progression volcanic trail (a seamount chain) left 987 on the LIP-carrying moving plate (adapted from Tasa Graphic Arts, Inc). d shows 988 theoretical simulation of thermal mantle plume development at the CMB, its 989 rise/growth and the timeframe of ~ 100 Myrs required to reach the lithosphere (after 990 Davies, 2005). e shows tank-syrup simulation of thermal plume development (after 991 Campbell & Griffiths, 1990; Campbell, 2007). f is the quantification of e, showing the 992 evolution of plume head during ascent from a sphere to a flattened disk towards the 993 upper mantle while causing surface uplift of up to 600 m in its last ~ 25 Myrs (taken 994 from Campbell, 2007). 995

Fig. 7. Examples of three schematic variations of mantle plume heads upon impinging the continental lithosphere and the varying consequences. *a* Flattening and decompression melting (Saunders et al., 1992). *b* Reunion plume head impacting the north-drifting Indian continent and forming the Deccan LIP (Richards et al., 2015). *c* An advanced scenario (Campbell, 2007), where a plume head of ~ 1000 km diameter rises "beneath

continental crust", flattens and melts by decompression to form a flood basalt province. 1002 "Arrival of the plume head also leads to uplift, which places the lithosphere under 1003 tension, as shown by the arrows." The final diameter of the flattened plume head is 1004 claimed to reach 2000-2500 km. "Tension introduced by the plume head can lead to 1005 run-away extension and the formation of a new ocean basin", drawing the hot plume 1006 head into the spreading center leading to the formation of thickened oceanic crust 1007 represented by the seaward dipping (seismic) reflectors (SWDRs) seen on both sides 1008 of the North Atlantic ocean genetically associated with the Iceland plume (White & 1009 McKenzie, 1989; Saunders et al., 1998; Larsen et al., 1999) and many other passive 1010 1011 continental margins (Storey et al., 1992; Coffin & Eldholm, 1994; Ernst, 2014).

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Fig. 8. *a* Showing in P-T space mantle solidus and adiabat (McKenzie & Bickle, 1988) for 1013 two scenarios with mantle potential temperature of $T_P = 1600^{\circ}C$ probably excessively 1014 hot and hotter than most assumed mantle plumes of dynamic upwelling and $T_P =$ 1015 1350°C appropriate for passive upwelling beneath ocean ridges for comparison. b1016 Showing three scenarios of varying thickness of continental lithosphere when 1017 1018 impacted by a rising mantle plume head. The key concepts are: (1) mantle melting takes place by decompression when adiabatically rising mantle plume head intersects 1019 1020 the solidus at $P_o \approx 140$ km; (2) melting begins in the asthenosphere at P_o , ceases to continue at P_f, the LAB (lithosphere-asthenosphere boundary) and cannot happen in 1021 the lithosphere; (3) a spherical plume head 1000 km across (R = 500 km) that flattens 1022 to a disk 2000 km across (r = 1000 km) when reaching the lithosphere (Fig. 6f; 1023 Campbell, 2007) will have a thickness of ~ 167 km (h = $4/3*R^3/r^2$); (4) To be 1024 conservative, the flattened lithosphere in \boldsymbol{b} is only half of the thickness of ~ 84 km by 1025 using the scale in Fig. 7b (Richards et al., 2015); (5) whether melting actually occurs 1026 or not and to what extent strictly depends on the lithospheric thickness (Niu et al., 2011) 1027

as rigorously quantified (Watson & McKenzie, 1991; White & McKenzie, 1995); (6) 1028 No matter how hot the mantle plume head may be, melting cannot happen beneath the 1029 thickened lithosphere like scenarios [II] and [III], but can take place beneath the thin 1030 lithosphere like scenario [I]; (7) the extent of melting beneath the thin lithosphere 1031 (scenario [I]) is likely very low (no more than \sim 5%), and with H₂O-dominated 1032 volatiles entering the melt, the viscosity of the residual plume head becomes 1033 significantly elevated and becomes accreted new lithosphere, which thickens (vs. thins) 1034 the lithosphere from the prior ~ 120 km to ~ 200 km. Despite the uncertainties, this 1035 simple and objective analysis is informative and indicates that the effect of plume head 1036 1037 arrival will make the lithosphere thickened, not thinned, against common perception 1038 let along to cause lithosphere breakup. (8) Because melting cannot take place beneath thickened lithosphere (scenarios [II] and [III]), the physical effect of plume heads on 1039 the existing lithosphere is limited, thus unlikely causing lithosphere breakup. Because 1040 of the hot plume head, H₂O-CO₂-rich low-degree (~ 1 % or lower) melt may be 1041 produced, but such minute melt can metasomatize the overlying lithosphere, yet 1042 inadequate to produce LIPs. (9) Lithosphere uplift or doming (Fig. 6f) can take place, 1043 but this will not change the LAB depth without surface erosion (or exhumation). A 1044 1045 maximum uplift and erosion of 600 m is rather small and even 2000 m is still too small to affect the LAB at great depths (~ 120 km, ~ 150 km, and ~ 200 km scenarios [I], [II] 1046 and [III]). (10) Hence, mantle plume heads would have very limited impact on the 1047 1048 mature and thickened lithosphere without melting but can have large impact on thin or thinned lithosphere by melting with the extent of impact increasing with decreasing 1049 1050 lithosphere thickness. (11) This suggests that the mantle plume head effect is best observed beneath thin or thinned lithosphere such as beneath prior between-craton 1051 sutures, especially extensional settings like continental rifts and spreading centers in 1052

ocean basins (Niu & Hékinian, 2004).

1054 1055	Fig. 9.	Cartoon reconstructed from the literature (Courtillot et al., 2003; Torsvik et al., 2014;
1056		Romanowicz, 2017) to show the common perception of superplumes genetically
1057		associated with the two large low shear-wave velocity provinces (LLSVPs) at the base
1058		of the mantle beneath the Pacific and Africa (e.g., McNutt, 1998; Romanowicz and
1059		Gung, 2002; Courtillot et al., 2003; McNamra & Zhong, 2004; Condie, 2004; Thorne
1060		et al., 2004; Schmerr et al., 2010; Torsvik et al., 2014) although Burke and co-authors
1061		show that LIPs and mantle plume sites over the last ~ 300 Myrs are associated with
1062		edges of the LLSVPs (Burke & Torsvik, 2004; Burke et al., 2008; Torsvik et al., 2010,
1063		2014). However, the LLSVPs are not simple thermal anomalies because they have
1064		sharp boundaries with, and greater density (~ 2-5%) than, the ambient mantle (Becker
1065		& Boschi, 2002; Ni et al., 2002; Ni & Helmberger, 2003; Wang & Wen, 2004; To et
1066		al., 2005; Ford et al., 2006; Garnero et al., 2007; Lau et al., 2017), indicating that they
1067		are too dense to rise as hot plume sources, but are more consistent with the subducted
1068		and stored ocean crust accumulated over earth's history (Niu, 2012, 2018) or ancient
1069		residual materials associated with core separation (Garnero et al., 2007; Hirose & Lay,
1070		2008; Garnero et al., 2016). Hence, superplume sources do not exist and superplumes
1071		are a hypothetical concept with no evidence. To emphasize the stable nature of the two
1072		LLSVPs, Burke (2011) named the LLSVP beneath Africa as Tuzo (abbreviated from
1073		The Unmoved Zone Of Earth's deep mantle) in honor of Tuzo Wilson and the LLVSP
1074		beneath the Pacific as Jason (abbreviated from Just As Stable ON the opposite
1075		meridian) in honor of Jason Morgan.









(1) cause of continental drift (e.g., South America)(2) cause of seafloor spreading in oceans with passive margins (e.g., the Atlantic)















Figure 9 (Niu, 2019)

