1	Lithosphere thickness controls the continental basalt
2	compositions: An illustration using the Cenozoic
3	basalts from eastern China
4	Pengyuan Guo ^{a, b, c,} *, Yaoling Niu ^{a,b, d, e} , Pu Sun ^{a, b, c} , Hongmei Gong ^{a, b, c} ,
5	Xiaohong Wang ^{a, b, c}
6	
7	^{a.} Institute of Oceanology, Chinese Academy of Sciences, Qingdao 266071, China
8	^{b.} Qingdao National Laboratory for Marine Science and Technology, Qingdao 266061, China
9	^{c.} Center for Ocean Mega-Science, Chinese Academy of Sciences, Qingdao 266071, China
10	^{d.} Department of Earth Sciences, Durham University, Durham DH1 3LE, UK
11	e. School of Earth Science and Resources, China University of Geosciences, Beijing 100083, China
12	
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14	
15	*Corresponding authors:
16	Dr. P.Y. Guo (guopy@qdio.ac.cn)
17	Current address:
18	Institute of Oceanology
19	Chinese Academy of Sciences
20	Nanhai Road 7
21	Qingdao, Shandong 266071
22	China
23	

24 ABSTRACT

25 Recent studies demonstrate that the lithosphere thickness variation exerts the primary 26 control on the global seafloor basalt compositions. If the mechanism of such control, i.e., the lid effect, is indeed at work, the lithosphere thickness variation must also influence the basaltic 27 compositions in continental settings. To test this hypothesis, we choose to study the Cenozoic 28 29 basalts in eastern continental China over a spatial distance of ~260 km along a NW-SE traverse with a steep topographic gradient (~1500 to ~500 m above sea level) corresponding to a steep 30 lithospheric thickness gradient (~120 to ~90 km). The basalts erupted on the thickened 31 32 lithosphere to the west are characterized by high pressure (e.g., low Si₇₂, high Mg₇₂, Fe₇₂ and [Sm/Yb]_N) and lower extent (e.g., Ti₇₂, P₇₂, K₇₂, Rb, Ba, Th and higher more-to-less 33 34 incompatible element ratios like [La/Sm]_N, Ba/Zr and Zr/Yb) of melting, whereas the basalts 35 erupted on the thinned lithosphere to the east show the inverse. Importantly, these geochemical parameters all show significant correlations with both the lithosphere thickness and topographic 36 elevation. These first order observations are straightforward manifestation of the lid effect. 37 38 Lithospheric contamination and mantle source compositional variation can indeed contribute 39 to the compositional variability of these continental basalts, but these latter effects are averaged 40 out and are overshadowed by the lid effect. This finding emphasizes the importance of 41 evaluating the lid effect before interpreting the petrogenesis of continental basalts and mantle 42 dynamics. Our result also indicates that the continental surface elevation is isostatically 43 balanced above a mantle depth that is deeper that the lithosphere-asthenosphere boundary.

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45 **INTRODUCTION**

Basaltic magmas produced in continental settings have large compositional variations, 46 47 petrologically from tholeiites to varying alkali-rich varieties (e.g., Dupuy & Dostal, 1984; Bell & Peterson, 1991; Guo et al., 2016). While factors such as source compositional variation (e.g., 48 Lum et al., 1989), fractional crystallization (e.g., Peterson, 1989) and crustal contamination 49 50 (Dupuy & Dostal, 1984; Ingle et al., 2004) can all affect the erupted basalt compositions, the lithosphere thickness effect on the compositional variation of the continental basalts has been 51 52 largely overlooked despite the speculation in discussing the abundances and patterns of rare 53 earth elements in oceanic basalts (e.g., Ellam, 1992) and implications in the experimental 54 petrology (e.g., Green & Ringwood, 1967).

Recent studies on global seafloor basalts demonstrate that the lithosphere thickness 55 56 variation exerts the primary control on the compositions of these basalts especially those erupted on intraplate ocean islands with varying lithosphere thickness at the time of eruption 57 (Humphreys & Niu, 2009; Niu et al., 2011; Niu, 2016; Niu & Green, 2018). The basalts erupted 58 59 on the thicker lithosphere have geochemical characteristics of lower extent (F) and higher pressure (P) of melting, whereas the basalts erupted on the thinner lithosphere have 60 61 geochemical signatures of higher F and lower P. This is because $F \propto P_o - P_f$, where P_o is the 62 initial depth of melting when the adiabatically upwelling asthenospheric mantle intersects the 63 solidus and P_f is the depth of melting cessation and melt extraction when the decompression melting mantle encounters the lithosphere, which is the very depth of the lithosphere-64 65 asthenosphere boundary (LAB). This is the concept of the "lid effect" (see Niu et al., 2011), and its mechanism is simply to cap the decompression melting at the LAB (Niu & Green, 2018). 66

67 If this understanding is of general significance, then the "lid effect" must also be important in 68 affecting basaltic magmatism in continental settings with the erupted basalts recording the lid 69 effect as the result of varying lithosphere thickness.

70 To test the "lid effect" hypothesis for continental basalts and to evaluate the extent of 71 this effect on the compositional variation of continental basalts, we choose to study the Cenozoic basalts in eastern continental China over a spatial distance of ~260 km in the Chifeng-72 73 Xilin Hot area along a NW-SE traverse with a steep topographic gradient (~1500 to ~500 m 74 above sea level) corresponding to a steep lithospheric thickness gradient (~120 to ~90 km). The 75 result is fully consistent with the lid effect. We note that source compositional variation and lithospheric contamination can contribute to the compositional variability of continental basalts, 76 but these are secondary, and are averaged out with the mean compositions markedly reflecting 77 78 the lid effect.

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GEOLOGICAL BACKGROUND

80 The Cenozoic basaltic volcanism is widespread in eastern continental China, spatially 81 from Wudalianchi in the northeast to the Hainan Island in the south (Fan & Hopper, 1991). 82 Most of these volcanic rocks are alkali-rich varieties (e.g., Guo et al., 2016; Sun et al., 2017) with tholeiites also present in several locations (Zhi et al., 1998; Xu et al., 2005; Zou et al., 83 2000). Studies on these within-continent basalts reveal that these basalts are isotopically 84 85 depleted relative to the bulk silicate earth with $\varepsilon_{Nd}(t) > 0$, $\varepsilon_{Hf}(t) > 0$, but highly enriched in incompatible elements and enriched in the progressively more incompatible elements (e.g., Guo 86 et al., 2016, Sun et al., 2017), resembling the present-day ocean island basalts (OIBs). Based 87 on the observation that the Pacific plate is subducting underneath the eastern Eurasian continent 88

(Huang & Zhao, 2009), and also the obvious lithosphere thickness contrasts between East and
West continental China (Niu, 2005; Li et al., 2013), the western Pacific wedge suction induced
eastward asthenosphere flow may be the ultimate cause of the asthenospheric mantle upwelling
and decompression melting feeding for the Cenozoic basaltic volcanism in eastern China (e.g.,
Niu, 2005, 2014).

The Cenozoic basaltic volcanism in the Chifeng-Xilin Hot area is a type example of the 94 95 Cenozoic volcanism in the region, with their eruption age ranging from ~ 23.8 Ma to ~ 0.19 Ma (Ho et al., 2008; Wang et al., 2015). These basalts spread over a spatial distance of ~260 km 96 97 across the Great Gradient Line (GGL; Niu, 2005), a steep gradient in gravity, elevation, topography, crustal thickness, lithosphere thickness and heat flow between the high plateaus to 98 99 the west and the hilly lowland plains in the east (Fig. 1A). As shown in Fig. 1B & C, Chifeng 100 is to the east of the GGL and Xilin Hot is to the west of the GGL. Regionally, the high-resolution seismic tomography reveals significant changes in the depth of the lithosphere-asthenosphere 101 102 boundary (LAB) beneath the Chifeng-Xilin Hot area, ranging from ~80 km beneath the Chifeng 103 area to ~120 km beneath the Xilin Hot area (Fig. 1C). This LAB depth also correlates well with 104 the surface elevation (Fig. 1C), reflecting the first-order isostatic equilibrium. The Chifeng-105 Xilin Hot Cenozoic basalts, thus, offer a prime opportunity to test the lid effect hypothesis in a 106 continental setting.

107 SYSTEMATIC COMPOSITIONAL VARIATIONS OF THE CHIFENG-XILIN 108 HOT BASALTS

We selected 19 new fresh samples from the three locations (solid symbols in Fig. 1B)
for bulk-rock major element, trace element and Sr-Nd-Hf isotope compositional analysis. The

analytical methods and results are given in the supplementary files. We also used the recently published data on 41 basaltic samples from the Chifeng-Xilin Hot area (half-filled symbols in Fig. 1B; Wang et al., 2015; Guo et al., 2016; Pang et al., 2019). In order to remove the effects of fractional crystallization, we corrected major element compositions of all these samples to $Mg^{\#} = 0.72$, the minimum value to be in equilibrium with the mantle olivine, following Humphreys & Niu (2009) (see supplementary files).

Spatially, from southeast to northwest, these basalts change gradually from tholeiite 117 (quartz normative) to transitional basalts (hypersthene normative) and to alkali basalts 118 (nepheline normative) (Fig. S1). Fig. 2 plots major element compositions corrected to Mg[#] = 119 0.72 as a function of distance relative to the most southeast sample (CF14-02) location 120 calculated using the great circle distance (e.g., Niu & Batiza, 1993), showing Si₇₂ decreases, 121 122 while Mg₇₂, Fe₇₂, Ti₇₂, P₇₂, K₇₂ increase towards northwest. Such consistent spatial trends are also obvious for incompatible elements (Fig. S2), for ratios of highly-to-moderately 123 incompatible elements (e.g., [La/Sm]_N, Rb/Hf, Ba/Zr) and for ratios of moderately-to-slightly 124 125 incompatible elements (e.g., [Sm/Yb]_N, Hf/Lu, Zr/Yb) (Fig. 3). Despite the large incompatible element compositional variability (Fig. 2,3,S2), the Chifeng-Xilin Hot basalts generally display 126 similarly depleted Sr-Nd-Hf isotope compositions relative to the bulk silicate earth, with 127 ${}^{87}\text{Sr}/{}^{86}\text{Sr} = 0.70369-0.70443$, ${}^{143}\text{Nd}/{}^{144}\text{Nd} = 0.512750-0.512931$ and ${}^{176}\text{Hf}/{}^{177}\text{Hf} = 0.282926-0.512931$ 128 129 0.283081 (Figs. S3, S4), implying their similar but still heterogeneous mantle source.

130 EVALUATION OF CRUSTAL MATERIAL CONTAMINATION

Continental crustal contamination during magma ascent is inevitable, but crustal
 contamination proxies, such as SiO₂/MgO, Ce/Pb, Nb/Th, Ta/U and ⁸⁷Sr/⁸⁶Sr, ¹⁴³Nd/¹⁴⁴Nd,

¹⁷⁶Hf/¹⁷⁷Hf without coherent correlations, suggest that this effect is negligible (see Fig. S5).
Furthermore, the occurrence of mantle xenoliths in Xilin Hot alkaline basalts (Fig. S6) indicates
that they ascended rapidly, with limited interaction with the crust, which is supported by recent
studies (Wang et al., 2015; Guo et al., 2016; Sun et al., 2018; Pang et al., 2019). Therefore, the
observed major element and trace element compositional systematics in these basalts (Figs. 2,
3,4) largely reflect those of primary magmas parental to the basalts as the result of varying
source composition or varying extent and pressure of mantle melting.

140 EVALUATION OF MANTLE SOURCE COMPOSITIONAL VARIATIONS

Generally, all these basalts have OIB-like incompatible element compositions with 141 high [La/Sm]_N (1.2-3.5) and [Sm/Yb]_N (2.4-9.2), and are more enriched in the progressively 142 143 more incompatible elements (Fig. S7), indicating (1) their derivation from varying low-degree melting of prior metasomatically enriched sources; and (2) the partial melting occurring in the 144 sub-lithospheric mantle garnet stability field with garnet as a residual phase. These basalts also 145 146 show OIB-like depleted Sr-Nd-Hf isotope compositions (Fig. S2) and elevated $[Nb/Th]_N$, $[Ta/U]_N$ (Fig. S8), which differ distinctively from those of the >110Ma alkali basalts in eastern 147 148 China (Fig. S2 & S8), which are derived from melting of the continental lithospheric mantle. Therefore, these basalts originated from partial melting of metasomatized asthenospheric (vs. 149 lithospheric) mantle. Furthermore, the lack of temporal and spatial variation of Sr-Nd-Hf 150 isotopes of these basalts (Fig. S4) indicate that these basalts share similarly heterogenous 151 asthenospheric mantle source in the Cenozoic. 152

153 LITHOSPHERE THICKNESS EFFECT ON BASALT COMPOSITIONS

In the Chifeng-Xilin Hot area, the lithosphere gradually thickens northwestward as

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indicated by the dotted line labeled LAB (Fig. 1C). This is well mirrored by the increasing surface elevation as the result of isostatic equilibrium. That is, the surface elevation positively correlates with the LAB depth. If the lid effect hypothesis is valid and applies here, we should see systematic variation in the compositions of these basalts as a function of the lithosphere thickness and surface elevation. This is indeed the case.

Figure 2 shows that the Chifeng-Xilin Hot basalts display decreasing Si₇₂, but 160 161 increasing Mg_{72} , Fe_{72} and $[Sm/Yb]_N$ with increasing lithosphere thickness towards northwest, which are consistent with increasing pressure (depth) of melt extraction, P_f (Fig. 1D). The latter 162 163 corresponds to the thickened lithosphere and is physically the very LAB depth (Niu and Green, 164 2018). Also, towards northwest, the basalts show increasing [La/Sm]_N, Rb/Hf, Hf/Lu, Ba/Zr and Zr/Yb, Ti₇₂, P₇₂, K₇₂ and other incompatible elements (Figs. 2 & 3 and Fig. S2), which are 165 166 consistent with the decreasing extent of melting. This is also consistent with the northwestward thickening lithosphere with deepening LAB that caps decompression melting and makes melt 167 extraction at greater depths (Fig. 1D). Figure 4 shows the significant correlations of basalt 168 169 compositions with surface elevation, which further illustrates the lithosphere thickness control 170 (see above). All these coherent and systematic changes in basalt compositions with lithosphere 171 thickness variation as well as surface elevation variation demonstrate the working of the lid 172 effect on basaltic magmatism in continental settings.

173 Note that lithosphere thickening due to conductive heat loss to the surface is natural 174 (see Niu and Green, 2018). In that case, the lithosphere in the Chifeng-Xilin Hot area would 175 thicken with time in the Cenozoic. If so, we should expect basalt compositional variation as a 176 function of eruption age, but this is not observed (Fig. S4), which is in fact understood because

(1) there is no evidence that the geotherm beneath the region has changed significantly over the 177 past ~ 20 Myrs (Huang & Xu, 2010); (2) if the geotherm had cooled with time, the lithosphere 178 179 beneath the younger volcanism to the southeast (i.e., Chifeng) would be thickened and thicker than the lithosphere beneath the older volcanism at the time of eruption to the northwest (i.e., 180 181 Xilin Hot), but the opposite is true (Fig. 1c); (3) even if the cooling induced thickening had happened in the region due to heat loss in the past ~ 22 Myrs, the lithosphere beneath Chifeng 182 at the time of volcanism would have to be ~ 10 km or more thinner about ~ 22 Myrs ago. In 183 brief, the large compositional variation of the basalts along the NW-to-SE traverse (Figs. 2-3) 184 185 is clearly consistent with the NW-to-SE lithosphere thickness variation across the prominent GGL (Fig. 1c-d). 186

187 CONCLUSIONS

Studies show that global OIB vary significantly in their compositions, but the 188 lithosphere thickness (i.e., the depth of the LAB) at the time of OIB eruption exerts the primary 189 190 control on OIB compositions in terms of the extent and pressure of melting. This is the "lid effect", i.e., the lithosphere lid caps the upwelling and decompression melting mantle, resulting 191 192 in melts erupted on the thin lithosphere having geochemical signatures of high extent and low pressure of melting, whereas melts erupted on the thick lithosphere showing the inverse. If the 193 lid effect is globally significant, it should also be true on land. To test this hypothesis, we 194 195 studied the Cenozoic basalts in eastern continental China (The Chifeng-Xilin Hot basalts) over a spatial distance of ~260 km along a NW-SE traverse across the GGL with a steep topographic 196 gradient (~1500 to ~500 m above sea level) mirrored with a lithospheric thickness gradient 197 (~120 to ~90 km). Our results demonstrate that the lid effect responsible for global OIB 198

199 compositional systematics also operates in continental settings. That is, the continental 200 lithosphere thickness (i.e., the LAB depth) variation exerts the primary control on basaltic 201 compositions in terms of the extent and pressure melting, critically important for understating 202 upper mantle dynamics and continental geology. Our results also provide strong evidence for 203 isostatic equilibrium in the crust-mantle system above a mantle depth deeper than the LAB.

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FIGURE CAPTION

Fig. 1 (A) Topographic map of East Asia (data from Amante & Eakins, 2009). The Great 283 284 Gradient Line is indicated as purple dashed line, which contrasts the high elevation and thickened lithosphere to the west from the low elevation and thinned lithosphere to the east. 285 The study area is indicated with the rectangle with the A-B traverse used in subsequent figures. 286 287 (B) Distribution and sample locations of the Chifeng-Xilin Hot Cenozoic basalts. The solid blue triangles, solid green diamonds and solid red circles represent sample locations in this study, 288 289 and the half-filled diamonds and half-filled circles represent transitional basalt locations in the 290 literature (Wang et al., 2015; Guo et al., 2016; Pang et al., 2019). (C) Top: topographic profile 291 along the A-B section indicated in (a); bottom: vertical section of the shear-wave velocity tomography along the A-B traverse (based on the data in Li et al., 2013). (D) Cartoon 292 293 illustrating the lithosphere thickness control on the geochemistry of erupted basaltic magmas.

Fig. 2 Systematic variation of the major element compositions of the Chifeng-Xilin Hot Cenozoic basalts as a function of distance relative to sample location CF14-02 parallel to the A-B traverse (Fig. 1B). The relative distance is calculated following Niu & Batiza (1993). The subscript 72 refers to the corresponding oxides corrected for fractionation effect to Mg[#]=72 (Humphreys and Niu, 2009) so as to discuss mantle sources and processes. The three bands with different colors indicate the thick, medium and thin lithosphere at the time of basalt eruption. The symbols are as in Fig. 1B.

Fig. 3 Systematic variation of the more-to-less incompatible element ratios of the Chifeng-Xilin
Hot Cenozoic basalts plotted as a function of distance to sample location CF14-02 as in Fig. 2.

- **Fig. 4** Systematic variation of the major elements and more-to-less incompatible element ratios
- 304 of the Chifeng-Xilin Hot Cenozoic basalts with sample (surface) elevation.



Β









Northwestward





