

1                   **Calculation and controlled factors of hydrocarbon**  
2 **expulsion efficiency using corrected pyrolysis parameters: A**  
3                   **Songliao case study**

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29           **ABSTRACT**

30           In recent years, rock pyrolysis parameters combined with a mass balance method  
31           have been used to calculate the hydrocarbon expulsion efficiency. However, due to the  
32           experimental procedure of rock pyrolysis, the residual hydrocarbon amount ( $S_1$ ) is  
33           underestimated, and the hydrocarbon-generation potential of kerogen ( $S_2$ ) is  
34           overestimated, so that the hydrocarbon expulsion efficiency calculated from the  
35           pyrolysis parameters before correction is higher than the actual value. In this paper, the  
36           pyrolysis parameters were corrected by performing pyrolysis before and after the  
37           extraction of source rocks, and the hydrocarbon expulsion efficiency of the mudstone  
38           of the Qing 1 member of the Ha14 well in Songliao basin was calculated using the  
39           chemical kinetics method. The hydrocarbon expulsion efficiencies before and after the  
40           correction were considerably different, the hydrocarbon expulsion efficiency of the  
41           Ha14 well after correction was 17.5% lower than that before correction. The study show  
42           that the higher the abundance and maturity of source rock are, the higher the  
43           hydrocarbon expulsion efficiency is; the oil-type organic matter has a higher  
44           hydrocarbon-expulsion efficiency than the gas-type organic matter; the interbedded  
45           sand and mud type source-reservoir configuration relationship is beneficial to  
46           hydrocarbon expulsion; the underwater diversion channel phase has the highest  
47           hydrocarbon expulsion efficiency.

48       **Keywords:** hydrocarbon expulsion efficiency; recovery of original hydrocarbon-  
49 generation potential; pyrolysis parameters correction; chemical kinetics

## 50    **1 INTRODUCTION**

51       Oil and gas can only contribute to the accumulation in a conventional reservoir  
52 after being expelled from the source rock. The process of hydrocarbon movement out  
53 of the source rock is the hydrocarbon expulsion. While exploring hydrocarbon,  
54 numerous questions come in the mind of petroleum geologists, viz. When are oil and  
55 gas expelled from the source rock? What amount is expelled, and in what phase? What  
56 geological regularity is observed in the process of transport? Compared with numerous  
57 methods used to study hydrocarbon generation, the evaluation of hydrocarbon  
58 expulsion remains limited, and hydrocarbon expulsion efficiency can only be obtained  
59 after the above questions are properly addressed. The analysis and calculation of  
60 hydrocarbon expulsion efficiency in each period of hydrocarbon migration has essential  
61 theoretical and practical significance viz. quantitative evaluation of hydrocarbon source  
62 rocks, relatively accurate calculation of oil and gas resources, and the subsequent  
63 prediction of the pattern of oil and gas distribution ([Liu et al., 2017](#); [Liu et al., 2019](#)).

64       Nowadays, some progress has been made in understanding the mechanism driving  
65 force of primary migration, the time and depth of hydrocarbon expulsion, the mode and  
66 phases of transport, hydrocarbon expulsion efficiency, and hydrocarbon expulsion

67 amount (Li et al., 2020; Ma et al., 2020; Pandey et al., 2018; Singh et al., 2016a; Singh  
68 P.K., 2012; Singh et al., 2016b; Singh et al., 2017a; Singh et al., 2017b; Wang et al.,  
69 2020), but a weak link remains in petroleum geology research in understanding the  
70 relationship between the latter.

71 The hydrocarbon expulsion efficiency (HEE) of source rock is the proportion of  
72 the hydrocarbons that have been expelled relative to generated (Dickey, 1975), and is  
73 controlled by the amount of hydrocarbons generated from the source rock and the  
74 source rock residual hydrocarbon capacity. The amount of generated hydrocarbons in a  
75 source rock is mainly affected by the abundance, type, and maturity of the organic  
76 matter, the temperature, and the type of minerals present that contribute to the organic  
77 matter conversion catalysis (Li et al., 2015). The residual hydrocarbon amount of the  
78 source rock itself is influenced not only by the above three factors but also by  
79 temperature (Lafargue et al., 1990), formation pressure (Wu et al., 2016), rock structure  
80 (porosity and permeability) (Zeng et al., 2021), lithology, and the formation fluid's  
81 physical properties (Milliken et al., 2020).

82 For evaluating the hydrocarbon expulsion efficiency, numerous research methods  
83 have been developed, including the residual hydrocarbon method (Leythaeuser et al.,  
84 1984), multi-phase flow theory (Ungerer et al., 1990), hydrocarbon saturation method  
85 (Sandvik et al., 1992), geological analogy (White and Gehman, 1979), thermal  
86 simulation experiments of hydrocarbon generation, and expulsion (Behar et al., 1992;

87 Behar et al., 1995; Blanc and Connan, 1992; Landais et al., 1994; Lewan, 1997; Lewan  
88 et al., 2014; Li et al., 2008; Rullkötter et al., 1988; Sweeney et al., 1995), original  
89 hydrocarbon generation potential recovery method, evolution trend surface subtraction,  
90 hydrocarbon generation potential method (Lafargue et al., 1990; Lafargue et al., 1994;  
91 Li et al., 2008; Ungerer et al., 1990; Varma et al., 2015), and the mass balance method  
92 (Leythaeuser et al., 1987). Each method has disadvantages (Li et al., 2015). For  
93 example, the geological temperatures of hydrocarbon expulsion process generally  
94 lower than 70°C. However, thermal simulation experimental methods need high  
95 temperature condition (generally higher than 300°C) to study hydrocarbon expulsion.  
96 Hence, the experimental results are not convincing for some scholars.

97 Hydrocarbon expulsion models, such as the compaction model and multiple phase  
98 flow model (Dickey, 1975; Leythaeuser et al., 1984) , can be built to simulate the  
99 hydrocarbon generation and expulsion of source rocks in the laboratory (Behar et al.,  
100 1992; Behar et al., 1995; Blanc and Connan, 1992; Lafargue et al., 1990; Lafargue et  
101 al., 1994; Landais et al., 1994; Lewan, 1997; Lewan et al., 2014; Li et al., 2008;  
102 Rullkötter et al., 1988; Sandvik et al., 1992; Sweeney et al., 1995; Ungerer et al., 1990;  
103 White and Gehman, 1979) or to analyze and calculate the hydrocarbon expulsion  
104 efficiency using actual geological and geochemical data (Dembicki Jr et al., 1983;  
105 Huang et al., 2017; Jiang, et al., 2010; Xie et al., 2014). Currently, there are many  
106 uncertainties and disagreements in the understanding of the dynamics, phases, channels,

107 and modes of hydrocarbon expulsion. There are significant differences between  
108 hydrocarbon expulsion measured in the laboratory environment and hydrocarbon  
109 expulsion under geological conditions (Chen et al., 2015). Different experimental  
110 conditions (Li et al., 2018) will result in differences in the hydrocarbon expulsion  
111 efficiency calculated using a model and the value obtained from experimental  
112 simulations.

113 In recent years, the generation and expulsion mechanism of source rocks has been  
114 combined with the principle of mass balance, and routinely obtained geochemical data,  
115 such as TOC (Total Organic Carbon),  $S_1$  (Hydrocarbon generation in unit mass oil rock  
116 at 300°C),  $S_2$  (Hydrocarbon generation in unit mass oil rock at 300°C-600°C),  $R_o$   
117 (Vitrinite Reflectance Ratio), have been used to calculate the hydrocarbon expulsion  
118 efficiency (Li et al., 2015). However, since  $S_1$  and  $S_2$  in the pyrolysis data pertaining to  
119 geochemical information do not fully represent the residual hydrocarbons in the source  
120 rock and the residual hydrocarbon-generation potential of kerogen, the calculated  
121 amount of generated hydrocarbon and the residual hydrocarbon amount are inaccurate,  
122 which has a significant impact on the calculation of the hydrocarbon expulsion  
123 efficiency.

124 This paper seek to correct the pyrolysis parameters ( $S_1$ ,  $S_2$ ) of source rocks to  
125 calculate hydrocarbon expulsion efficiency and analysis its controlled factors. In  
126 section 2.1, the geological background and sample information of the study area were

127 given in detail. In section 2.2-2.3, the incorrect use of the pyrolysis parameters in the  
128 calculation of the hydrocarbon expulsion efficiency was analyzed, and then, the  
129 pyrolysis parameters of source rocks was corrected by comparing the pyrolysis  
130 parameters before and after the extraction. In Section 2.4, the model for calculating  
131 the hydrocarbon expulsion efficiency was developed, moreover, the key parameters F  
132 (hydrocarbon generation conversion rate) was recovered by hydrocarbon generation  
133 kinetics. In Section 2.5, the improved  $\Delta\log R$  technique was used for modeling with  
134 hydrocarbon expulsion efficiency. In Section 3, the influence of source rock organic  
135 abundance, type, maturity, source rock thickness, source-reservoir configuration  
136 relationship, and sedimentation relative hydrocarbon expulsion efficiency was  
137 analyzed.

## 138 **2 METHODOLOGY**

### 139 **2.1 Geological Background and Sample Information**

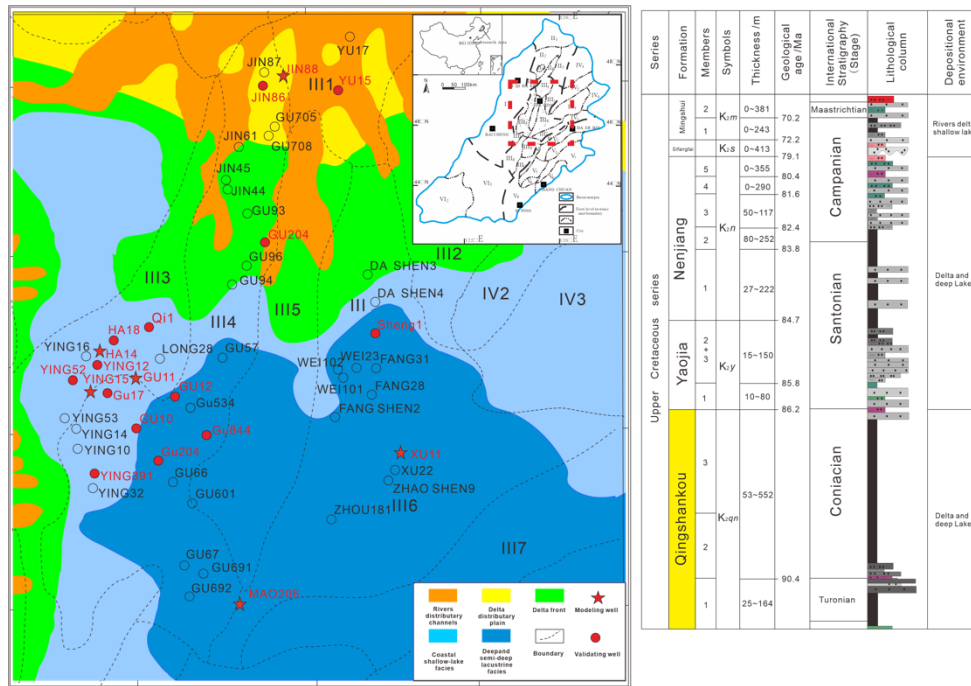
140 The target horizons are the Qingshankou Formation ( $K_2qn_1$  and  $K_2qn_{2-3}$ ) in the  
141 northern Songliao Basin. The Late Cretaceous Qingshankou is a deep and semi-deep  
142 lacustrine facies formation developed in the depressional stage of the basin, which is  
143 the main source rock and an important shale oil layer in the northern Songliao Basin.  
144 During a period of  $K_2qn_1$ , the lake level expanded rapidly, covering an area of 87,000  
145  $km^2$ . And the lake was deep, forming organic-rich shales with thicknesses between 60

146 and 100 m. In the K<sub>2</sub>qn<sub>2-3</sub> stages, the lake level was lowered and the coverage area  
147 decreased to 41,000 km<sup>2</sup>. Large deltaic sedimentary phases were developed on the edge  
148 of the sag (Liu et al., 2019).

149 Across the whole basin, the lithology and facies varied substantially; deep-  
150 lacustrine black mudstones, semi-deep lacustrine black mudstones, and shales were  
151 found in Wangfu sag, Sanzhao sag, and Gulong sag, respectively; interlayers of shallow  
152 lacustrine sandstones with unequal thickness were found on the edge of the sag (Liu et  
153 al., 2019). The distribution pattern of the facies belt from the edge of the basin to the  
154 depocenter was fluvial facies, delta facies, semi-deep lacustrine facies, underwater  
155 distributary channel facies, and deep lacustrine facies (Figure 1).

156 Source rock samples are taken for correction of pyrolysis parameters (Table 1),  
157 calibration of hydrocarbon generation kinetic parameters (Table 2) and calculation of  
158 hydrocarbon expulsion efficiency.





159

160 Fig. 1 The sedimentary facies of the Qingshankou Formation (left) and synthetic  
 161 histogram of the northern Songliao Basin (right). The asterisk symbol well is sampled  
 162 intensively and was used as a modeling well to calculate HEE for other wells. Red dot  
 163 symbol wells are sparsely sampled and was used to validate the reliability of the model.

164

Table 1 Samples for correction of Pyrolysis Parameters

Well number	Depth (m)	TOC (%)	S <sub>1</sub> (mg/g)	S <sub>2</sub> (mg/g)	"A" (%)	HC (mg/g)
Gu10	2464.00	2.36	1.90	3.22	0.44	3.27
Gu10	2464.50	2.04	1.87	3.68	0.55	3.89
Gu10	2465.00	2.63	1.99	3.31	0.48	3.27
Gu10	2466.00	1.97	2.12	3.24	0.53	3.69
Gu10	2472.15	2.61	2.28	3.60	0.57	4.13
Gu11	2431.00	1.96	2.22	4.03	0.63	4.51
Gu11	2433.00	1.98	1.56	3.86	0.54	3.78
Gu12	2371.05	1.66	0.92	1.76	0.24	1.73
Gu12	2372.05	2.13	1.19	2.68	0.33	2.40
Gu12	2373.05	2.47	1.10	2.45	0.29	2.30
Gu17	2365.00	1.91	2.56	6.26	0.58	4.67
Gu17	2366.00	1.86	2.18	4.74	0.79	5.61
Gu17	2367.00	1.61	1.99	4.32	0.69	5.53

Gu17	2368.54	1.39	1.78	4.44	0.61	5.05
Gu17	2369.54	2.02	2.35	5.63	0.77	6.67
Gu204	2376.00	1.47	2.12	5.78	0.58	4.91
Gu204	2380.00	2.84	1.89	11.91	0.57	4.51
Gu204	2384.00	1.54	1.56	6.18	0.55	4.12
Gu204	2388.00	2.31	2.73	10.06	0.84	6.17
Gu204	2392.15	2.92	2.72	14.93	0.96	7.63
Gu844	2579.00	1.60	1.23	1.45	0.23	1.71
Ha14	2050.00	2.89	2.73	11.80	0.85	6.96
Ha14	2054.30	1.08	1.93	4.71	0.60	4.94
Ha14	2060.00	1.70	1.54	6.95	0.64	5.79
Q1	1929.00	1.85	1.06	8.43	0.48	3.02
Q1	1949.00	2.68	1.39	11.49	0.47	2.86
Q1	1991.90	1.13	2.24	4.22	0.44	4.10
Q1	2007.50	2.03	1.67	7.45	0.47	4.09
Q1	2023.50	1.87	1.67	6.98	0.58	5.21
Q1	2046.10	2.43	1.39	10.03	0.44	3.80
Q1	2076.80	2.97	1.19	9.83	0.29	2.57
Q1	2100.30	2.54	1.48	7.25	0.30	2.70
Ying52	2187.30	1.56	2.94	6.27	0.78	6.11
Ying52	2189.00	3.76	2.98	14.32	0.92	7.09
Ying52	2190.35	2.67	2.50	10.76	0.77	5.75
Ying52	2190.60	1.46	1.15	5.27	0.37	2.64

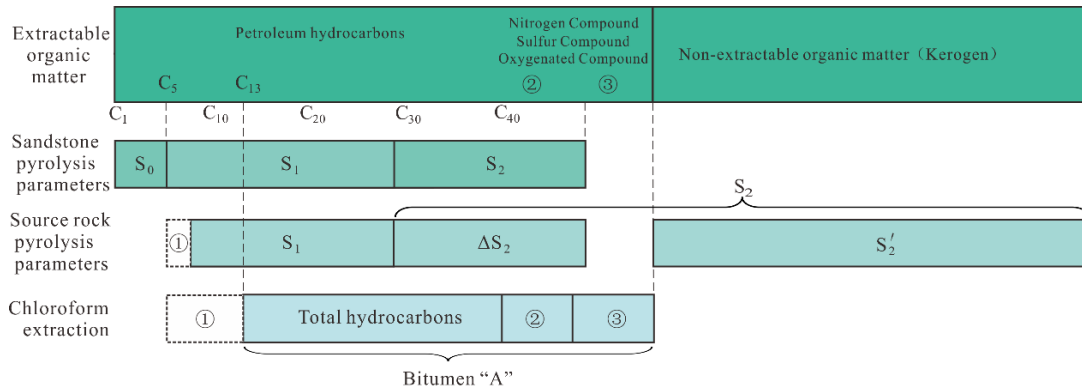
## 165 2.2 Error Analysis of Pyrolysis Parameters

166 Since Tissot et al. ([Tissot and Welte, 2013](#)) proposed the theory of "late generation  
167 of hydrocarbons from the thermal decomposition of kerogen", researchers have tried to  
168 establish an effective experimental method to evaluate the amount of hydrocarbons  
169 generated from kerogen. Consequently, the rock pyrolysis technique was developed.  
170 The most effective and widely used method of petroleum bearing rock pyrolysis has  
171 been applied to Rock-Eval II ([Espitalié et al., 1985](#)) and VI ([Lafargue et al., 1998](#))  
172 pyrolysis analyzers developed by the French Petroleum Institute (i.e., source rock

173 evaluation system). After the crushed rock samples are pyrolyzed in the instrument, the  
174 parameters—free S<sub>1</sub> hydrocarbons (hydrocarbons that are thermally evaporated at  
175 temperatures less than 300 °C during the heating process), S<sub>2</sub> hydrocarbons released  
176 from pyrolysis (hydrocarbons detected when the rock samples are heated by a  
177 temperature program ranging from 300°C to 600°C (or 850°C)), and T<sub>max</sub> (*pyrolysis*  
178 *temperature* at which the *maximum* amount of hydrocarbon is generated)—are obtained.

179       The organic matter in the source rock contains extractable residual oil and non-  
180 extractable kerogen, and the residual oil is composed of hydrocarbons and non-  
181 hydrocarbon compounds (Figure 2). S<sub>1</sub> usually includes low-carbon saturated and  
182 aromatic hydrocarbons. Conversely, S<sub>2</sub> contains three components: high-carbon  
183 hydrocarbons, hydrocarbons produced from the pyrolysis of *resins and asphaltenes*,  
184 and hydrocarbons released from the pyrolysis of kerogen (Banerjee et al., 1998; Copard  
185 et al., 2002; Jin and Sonnenberg, 2013; Langford and Blanc-Valleron, 1990; Lehne and  
186 Dieckmann, 2007; Rahman et al., 2000). Generally, S<sub>1</sub> and S<sub>2</sub> are regarded as residual  
187 hydrocarbons and residual hydrocarbon generation potential. However, due to the  
188 experimental procedure of rock pyrolysis, some heavy hydrocarbons with a boiling  
189 point higher than 300 °C and some hydrocarbons produced from *the thermal*  
190 *decomposition of resins and asphaltenes* enter the hydrocarbons released from cracking  
191 (these two components are commonly denoted as ΔS<sub>2</sub> in source rock analysis).  
192 Therefore, the residual hydrocarbon amount (S<sub>1</sub>) is underestimated, and the

193 hydrocarbon-generation potential of kerogen ( $S_2$ ) is overestimated, so that the  
 194 hydrocarbon expulsion efficiency calculated from the pyrolysis parameters before  
 195 correction is higher than the actual value.



196 Comment: ①. Light hydrocarbon loss ②. Non-hydrocarbon ③. Asphaltene

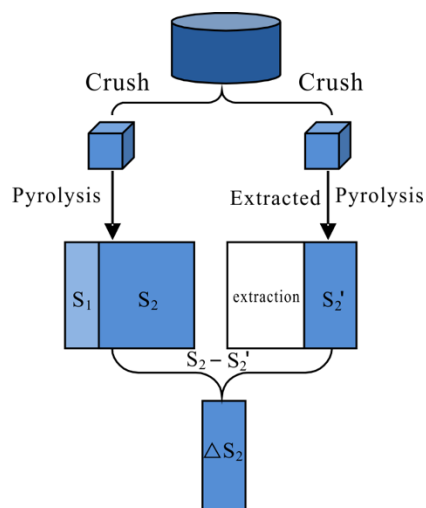
197 Fig. 2 Distribution of pyrolysis parameters in hydrocarbon components( The figure is  
 198 taken from (Bordenave, 1993))

### 199 2.3 Correction of pyrolysis parameters

200 In this paper, an improved experimental method was adopted to correct this  
 201 effect(Figure 3). *Sample Treatment.* Samples from different burial depths were  
 202 selected(Table 1), crushed, and mixed evenly. The sample was divided into two parts,  
 203 and one part was directly pyrolyzed to determine  $S_2$ . For the other part, chloroform  
 204 extraction was performed first followed by pyrolysis to determine  $S_2'$ . Then, the source  
 205 rock's residual hydrocarbon is  $S_1'$  (see formula 1-2), and the residual hydrocarbon  
 206 generation potential of the source rock is  $S_2'$ .

207 
$$S_1' = S_1 + \Delta S_2 \quad (1)$$

208 
$$\Delta S_2 = S_2 - S_2' \quad (2)$$



209

210

Fig. 3 Experimental scheme for the correction of pyrolysis parameters

211

The correction coefficient is the ratio of the pyrolysis parameters after correction

212

to that before correction. The results show significant differences before and after

213

correction of  $S_1$  and  $S_2$  (Figure 4); the overall correction coefficients of  $S_1$  and  $S_2$  are

214

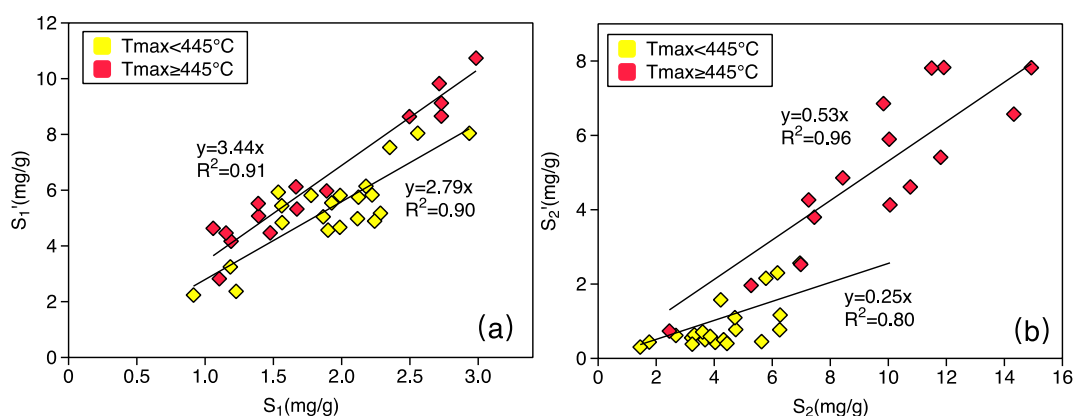
3.04 and 0.41, respectively. The correction coefficient of the pyrolysis parameter  $S_2$  is

215

related to the maturity of the sample itself, samples with high maturity ( $T_{max} > 445^\circ\text{C}$ ) is

216

lower than that with low maturity ( $T_{max} < 445^\circ\text{C}$ ), respectively 0.53 and 0.25.



217

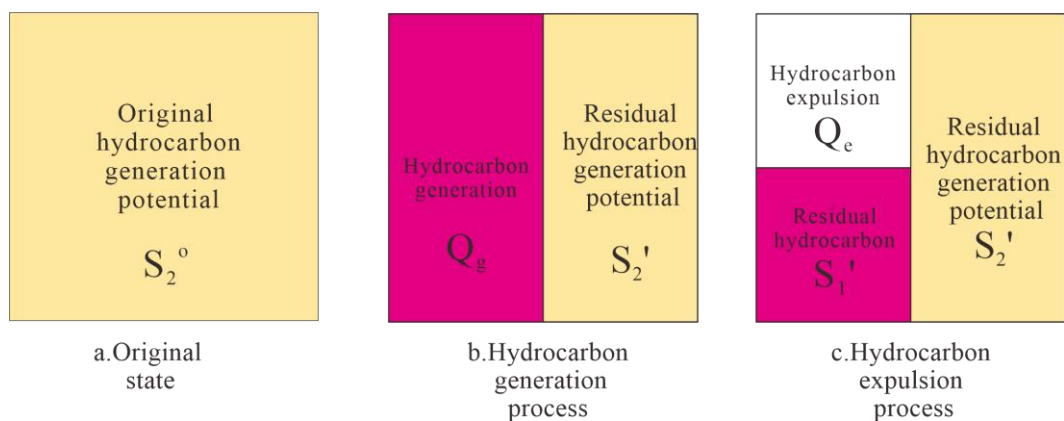
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Fig. 4 Analysis of 38 samples showing the correlation diagram of Pyrolysis parameters

219 before and after extraction (a:  $S_1$ ; b:  $S_2$ )

## 220 **2.4 Calculation of hydrocarbon expulsion efficiency (K)**

221 The schematic diagram for calculating the hydrocarbon expulsion efficiency based  
222 on the principle of mass balance method is as follows (Figure 5). In the original state,  
223 the hydrocarbon generation potential of the source rock is  $S_2^0$ . As the depth of the  
224 formation increases, kerogen begins to generate hydrocarbons, and part of the  
225 hydrocarbon generation potential is converted into hydrocarbon generation  $Q_g$ , the  
226 residual hydrocarbon generation potential is  $S_2'$ . When hydrocarbon generation  
227 accumulates to a certain extent, the source rock begins the process of hydrocarbon  
228 expulsion, the amount of hydrocarbon expulsion is  $Q_e$ , and the residual hydrocarbon is  
229  $S_1'$ . The principle of conservation of hydrocarbon generation and expulsion can be  
230 expressed as: at any time, the sum of the source rock's hydrocarbon generation ( $Q_g$ ) and  
231 the residual hydrocarbon generation potential ( $S_1'$ ) is the original hydrocarbon  
232 generation potential ( $S_2^0$ ), and the sum of the hydrocarbon expulsion ( $Q_e$ ) and residual  
233 hydrocarbon ( $S_1'$ ) is hydrocarbon generation ( $Q_g$ ).



234

235 Fig. 5 Mass balance of source rocks in the process of hydrocarbon generation and  
 236 expulsion (a: original state; b: Hydrocarbon generation process; c: Hydrocarbon  
 237 expulsion process. )

238 The formula for calculating the hydrocarbon expulsion efficiency K can be written  
 239 as (Equation 3-5):

$$K = \frac{Q_e}{Q_g} \quad (3)$$

240

$$Q_g = S_2^o - S_2' \quad (4)$$

241

$$Q_e = S_2^o - S_1' - S_2' \quad (5)$$

242

243  $S_1'$  and  $S_2'$  can be obtained from the pyrolysis parameter correction experiment, ,  
 244  $S_2^o$  need be recovered by  $S_2'$  and hydrocarbon generation conversion rate F (Wang et  
 245 al., 2011), according to the principle of hydrocarbon generation kinetics (Equation 6) .

$$S_2^o = \frac{S_2'}{1 - F} \quad (6)$$

246

247 The black mudstone samples of different kerogen types (I, II<sub>1</sub>, II<sub>2</sub>, III) from the  
 248 Qingshankou Formation were subjected to hydrocarbon thermal simulation  
 249 experiments in the study area to determine the hydrocarbon generation conversion rate

250 F (Table 2).

251 Table 2 Samples for calibration of hydrocarbon generation kinetic parameters

Kerogen type	I	II <sub>1</sub>	II <sub>2</sub>	III
Well number	Sheng1	Gu601	Long28	Gu94
R <sub>o</sub>	0.41	0.7	1.19	0.52
TOC (%)	3.77	1.36	0.26	1.38
T <sub>max</sub> (°C)	440	440	451	438
S <sub>1</sub> (mg/g)	1.07	0.64	0.11	0.22
S <sub>2</sub> (mg/g)	11.15	12.53	2.10	0.24
HI (mg/g)	1139	920	794	384

252

253 In the experiment, Rock-Eval-II pyrolysis apparatus was used, and the samples  
 254 were heated from 200°C to 600°C at a heating rate of 10°C/min, 20°C/min, and  
 255 40°C/min. The relationship between the amount of product and the heating time was  
 256 recorded in real time. The curve describing the relationship of the conversion rate of oil  
 257 generation and the gas generation with temperature was obtained. It was used to  
 258 calibrate the hydrocarbon-generation kinetic parameters (Wang et al., 2011); A parallel  
 259 first-order reaction chemical kinetics model was used to perform the fitting, and the  
 260 chemical kinetic parameters of hydrocarbons generated from the organic matter were  
 261 obtained (Table 3 and Table S1-S3).

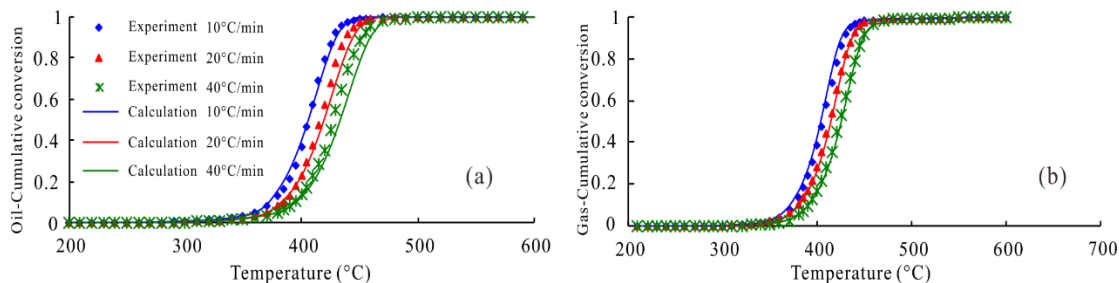
262 Table 3 Kinetic parameters of the gas and oil reaction of organic matter in the Sheng1 well  
 263 sample

Gas Activation Energy (kJ/mol)	Pre-exponential factor (min)	Original reactive potential	Oil Activation Energy (kJ/mol)	Pre-exponential factor (min)	Original reactive potential
160	7.472×10 <sup>15</sup>	4.497×10 <sup>-4</sup>	140	3584.438	5.356×10 <sup>-7</sup>
170	4.995×10 <sup>10</sup>	7.850×10 <sup>-9</sup>	150	1.762×10 <sup>13</sup>	3.426×10 <sup>-3</sup>
180	3.269×10 <sup>12</sup>	9.219×10 <sup>-7</sup>	160	1.570×10 <sup>17</sup>	3.380×10 <sup>-4</sup>
190	9.170×10 <sup>9</sup>	1.443×10 <sup>-7</sup>	170	3.971×10 <sup>12</sup>	3.415×10 <sup>-7</sup>
200	1.819×10 <sup>-2</sup>	3.187×10 <sup>-10</sup>	180	1.143×10 <sup>17</sup>	4.507×10 <sup>-3</sup>
210	5.125×10 <sup>18</sup>	5.480×10 <sup>-3</sup>	190	1.510×10 <sup>14</sup>	0.992
220	4.498×10 <sup>15</sup>	4.398×10 <sup>-2</sup>	200	1205131	9.228×10 <sup>-8</sup>



230	$4.096 \times 10^9$	$2.562 \times 10^{-8}$	210	$2.110 \times 10^{11}$	$1.205 \times 10^{-7}$
240	$1.456 \times 10^{18}$	0.884	220	$5.362 \times 10^{11}$	$3.854 \times 10^{-7}$
250	$1.197 \times 10^{20}$	$5.772 \times 10^{-2}$	230	$8.764 \times 10^{11}$	$2.838 \times 10^{-7}$
260	$9.093 \times 10^{15}$	$6.803 \times 10^{-7}$	240	$9.718 \times 10^{11}$	$1.436 \times 10^{-8}$
270	$6.912 \times 10^{16}$	$7.270 \times 10^{-3}$	250	$9.957 \times 10^{11}$	$4.452 \times 10^{-7}$
280	$1.644 \times 10^{14}$	$6.965 \times 10^{-4}$	260	$9.981 \times 10^{11}$	$2.117 \times 10^{-7}$
290	$1.130 \times 10^{14}$	$5.632 \times 10^{-4}$	270	$1.001 \times 10^{12}$	$4.764 \times 10^{-7}$
300	$1.026 \times 10^{14}$	$2.013 \times 10^{-5}$	280	$1.002 \times 10^{12}$	$4.571 \times 10^{-7}$
310	$1.004 \times 10^{14}$	$1.193 \times 10^{-4}$	290	$1.001 \times 10^{12}$	$3.332 \times 10^{-8}$
320	$1.003 \times 10^{14}$	$1.900 \times 10^{-9}$	300	$1.001 \times 10^{12}$	$2.003 \times 10^{-7}$
330	$1.001 \times 10^{14}$	$9.579 \times 10^{-10}$	310	$1.001 \times 10^{12}$	$2.305 \times 10^{-7}$
340	$1.000 \times 10^{14}$	$1.070 \times 10^{-9}$	320	$1.000 \times 10^{12}$	$1.866 \times 10^{-7}$
Average activation energy: 239.900kJ/mol			Average activation energy: 189.902kJ/mol		

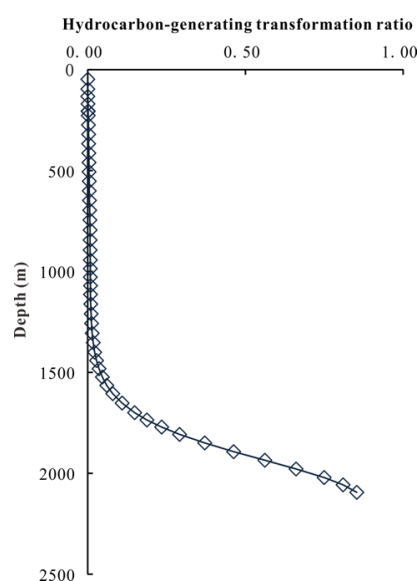
264 As shown in Figure 6, using the above model, the calculated conversion rate of the  
 265 hydrocarbons generated from organic matter under the experimental conditions  
 266 exhibited an excellent fit to the experimental points, demonstrating the feasibility of the  
 267 selected model as the basis for the next geological application.



268  
 269 Fig. 6 Conversion rate curves of hydrocarbons generated from organic matter in  
 270 mudstone from the Sheng 1 well (a: oil generation; b: gas generation)

271 The geological application of the kinetic model of hydrocarbon generation from  
 272 organic matter needs to be combined with the kerogen type, the depositional and burial  
 273 history (Wang et al., 2011). The kerogen type data for this study area were obtained  
 274 from the Daqing Oilfield Geochemical Database. The depositional and burial history  
 275 and the thermal history used in this study were derived from a combination of the  
 276 eroded strata thickness of the Songliao basin since the Mesozoic era and the present

277 stratigraphic data. Based on this, the hydrocarbon-generation potential of the source  
278 rock of the Qingshankou of wells could be recovered (Figure S1). Figure 7 depicts the  
279 hydrocarbon-generation conversion rate profile of the hydrocarbon source rock from  
280 the of the Ha-14 well, and the profile was obtained from a combination of the calibrated  
281 hydrocarbon-generation kinetics model of organic matter, the kerogen type, the  
282 depositional and burial history and the thermal history data of a single well in each  
283 study area for geological application purposes.



284

285 Fig. 7 Conversion rate profile of the hydrocarbon generation of the Ha-14 well

## 286 2.5 Evaluation of source rocks using well logging analysis

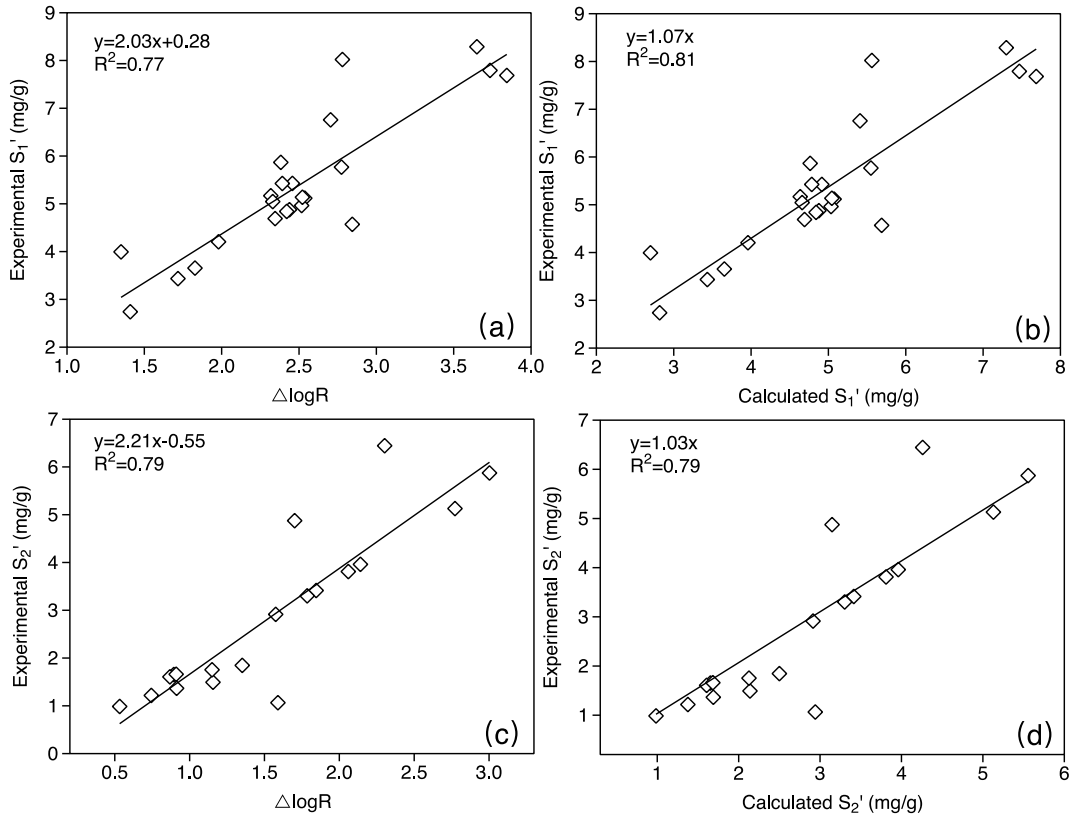
287 In the study of hydrocarbon expulsion efficiency, due to the limitation of sample  
288 source and the funds for analysis, the analytical data available to a laboratory are limited.  
289 It is challenging to meet the needs of fair evaluation and exploration, thus leading to  
290 inaccurate results. However, the logging curve has played an essential role in more and  
291 more studies since continuity is its advantage, and the organic heterogeneity has a  
292 corresponding excellent relationship with the logging curve. In this study, the improved

293  $\Delta$  logR technique (Kamali and Mirshady, 2004) was used for modeling with  
 294 geochemical parameters, including S<sub>1</sub>' and S<sub>2</sub>' of the modeling well ( Table S4). An  
 295 excellent correlation was found between the measured (Table 4) and calculated values  
 296 of Ha14 (modeling well); the comprehensive effect is shown in Figure 8.

297

Table 4 Geochemical parameters of Ha 14 sample

Sample number	Depth (m)	S1' (mg/g)	S2' (mg/g)	"A" (%)	HC (%)
1	1952.7	1.69	1.81	0.14	1.15
2	1957.7	2.04	1.63	0.14	1.55
3	1963.7	2.57	1.77	0.26	2.04
4	1967.7	2.95	2.78	0.28	2.12
5	1971.9	2.96	3.31	0.27	1.96
6	1975.4	2.76	2.18	0.26	2.10
7	1982.4	3.65	1.85	0.46	3.10
8	1987.4	5.43	1.65	0.68	4.93
9	1991.9	3.99	1.61	0.51	3.51
10	2005.9	7.79	1.22	0.99	7.43
11	2014	5.12	1.49	0.58	4.67
12	2025	5.87	1.75	0.70	5.34
13	2029.7	5.43	1.06	0.64	5.11
14	2033.5	4.20	1.37	0.87	3.80
15	2035.4	3.43	0.99	0.62	3.14
16	2038	2.74	1.66	0.31	2.24
17	2041	4.88	3.41	0.47	3.85
18	2045.2	5.77	3.30	0.60	4.78
19	2048.15	6.76	8.49	0.19	4.21
20	2049.1	5.17	3.81	0.54	4.03
21	2053.2	4.96	1.35	0.56	4.56
22	2055.7	4.69	5.13	0.38	3.15
23	2059.3	5.05	2.91	0.50	4.17
24	2062.3	5.14	5.87	0.40	3.38
25	2068.3	4.84	4.88	0.56	3.38
26	2073.8	4.57	3.96	0.40	3.38
27	2076.3	8.29	11.01	0.37	4.98
28	2077.5	7.69	6.44	0.33	5.75
29	2080.3	8.02	6.11	0.67	6.19



298

299 Fig. 8 Correlation of measured  $S_1'$  and  $S_2'$  of the Ha-14 well between the modeled and  
 300 calculated values. The applicability effect of other modeling wells can be seen in Figure  
 301 S2-S5.

302 The calculation process of the application well hydrocarbon expulsion efficiency  
 303 is as follows: first, the  $\Delta\log R$  model is used to calculate  $S_1'$  and  $S_2'$  for non-modeling  
 304 wells, then  $S_2'$  is combined with the hydrocarbon generation conversion rate profile F  
 305 to calculate  $S_2^0$ , and finally, the hydrocarbon expulsion efficiency is calculated  
 306 according to formula (3-6).

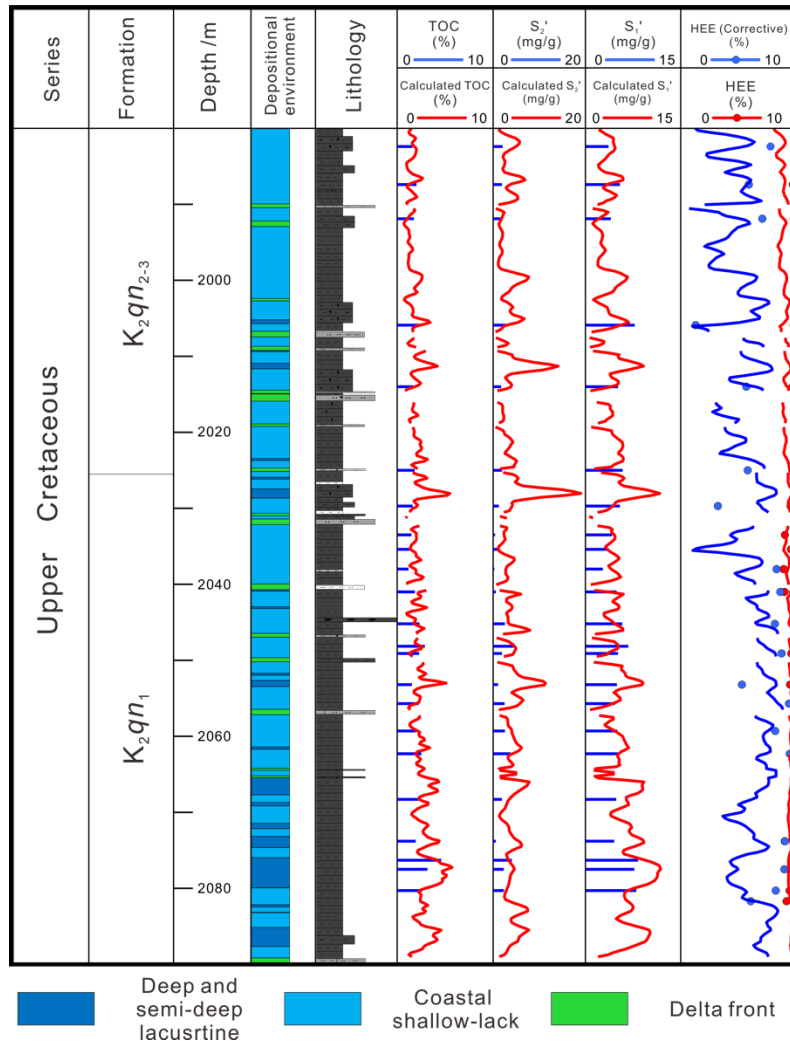
307 **3 RESULTS AND DISCUSSION**

308 **3.1 Hydrocarbon expulsion efficiency calculation using corrected pyrolysis**  
309 **parameters**

310 It can be seen from the calculation results of Ha14 in Table 5 and Figure 9 that the  
311 average hydrocarbon generation amount, the hydrocarbon expulsion amount, and the  
312 hydrocarbon expulsion efficiency calculated using the pyrolysis parameters before  
313 correction were 14.5 mg/g, 13.7 mg/g, and 94.2%, respectively. The corresponding  
314 values using the corrected pyrolysis parameters were 12.9 mg/g, 9.9 mg/g, and 76.7%.  
315 It can be seen that it is necessary to use the corrected pyrolysis parameters to calculate  
316 the hydrocarbon expulsion efficiency.

317 Table 5 Calculation results of hydrocarbon expulsion efficiency before and after correction  
318 of pyrolysis parameters of Well Ha14

Sample type	Q <sub>g</sub> (mg/g)	Q <sub>e</sub> (mg/g)	K (%)
Before correction	14.5	13.7	94.2
After correction	12.9	9.9	76.7



319

320 Fig. 9 Profiles of calculated hydrocarbon expulsion efficiency and geochemical  
 321 parameters of the Ha14 well

### 322 3.2 Factors affecting the hydrocarbon expulsion efficiency

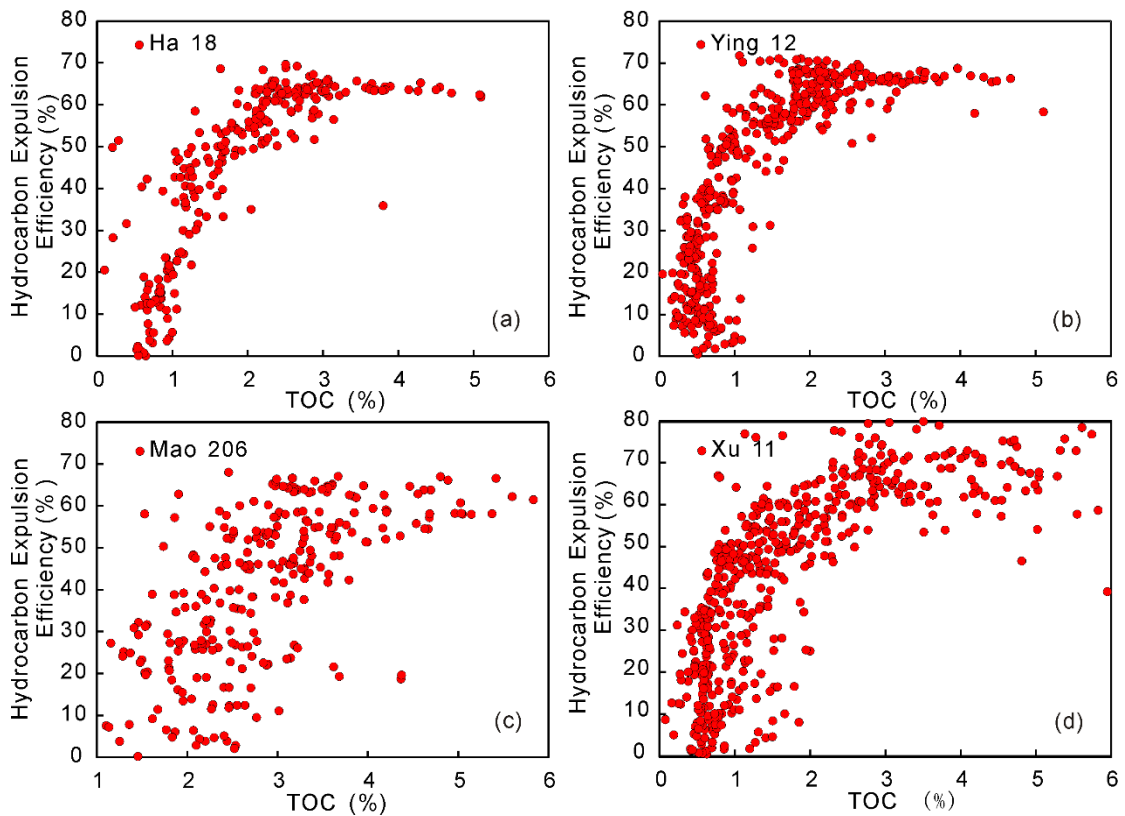
323 The hydrocarbon expulsion efficiency is used to study the efficiency of primary  
 324 migration, and there are numerous common factors shared by the factors that affect the  
 325 hydrocarbon expulsion efficiency and those affecting primary migration. Temperature,  
 326 pressure, stress, fluid potential, compaction and under compaction, pores of  
 327 sedimentary rocks, porosity and pore structure, absolute permeability, relative

328 permeability, critical migration saturation, specific surface, adsorption and wettability,  
329 interfacial tension, capillary pressure and displacement pressure, critical temperature,  
330 critical pressure, and diffusion all have a strong influence on the hydrocarbon expulsion  
331 efficiency (Singh and Singh, 1994; Singh, 2011).

332 By summarizing these abovementioned factors, this paper studied the effects of  
333 related parameters on the hydrocarbon expulsion efficiency of source rocks from six  
334 aspects: (i) abundance of organic matter, (ii) type of organic matter, (iii) maturity of  
335 organic matter, (iv) source-reservoir collocation relation, (v) sedimentary facies.

### 336 **1) Abundance of organic matter**

337 Organic matter abundance refers to the amount of organic matter per unit mass of  
338 rock. When other conditions are similar, a higher content (abundance) of organic matter  
339 in the rock indicates a higher hydrocarbon generation capacity and higher hydrocarbon  
340 expulsion efficiency. The abundance of organic matter is usually expressed as total  
341 organic carbon (TOC, %). As can be seen from the scatter plots of TOC and the  
342 hydrocarbon expulsion efficiency for the Ha 18 well, Ying 12 well, Mao 206 well and  
343 Xu 11 well, when TOC was less than 2–3%, the hydrocarbon expulsion efficiency  
344 increased with increasing TOC; when TOC was higher than 2–3%, the change was not  
345 that significant (as shown in Figure 10). Mineral and organic pore surfaces have a  
346 retention effect on hydrocarbons. When TOC exceeds a certain threshold (2-3% in this  
347 study), the retention capacity of minerals for hydrocarbons is saturated. In this case, as  
348 TOC increases, the amount of hydrocarbon generation from organic matter increases in  
349 proportion to the amount of retained hydrocarbons, which results in no significant  
350 change in hydrocarbon expulsion efficiency.



351

352 Fig. 10 Scatter plot of the hydrocarbon expulsion efficiency (The TOC of the four wells

353 Ha18, Ying12, Mao206, and Xu11 vary significantly along the vertical direction,

354 suitable for studying the influence of organic matter abundance on the efficiency of

355 hydrocarbon expulsion.)

## 356 2) Type of organic matter

357 SY/T 5125-1996 method (Li et al., 2016; Pan et al., 2015; Shi et al., 2018) was

358 used to determine maceral group composition of kerogen and its classification in

359 transmitted light and fluorescent light microscopy. According to TI index (Equation 7),

360 the kerogen is divided into Type I (oil-type,  $80 < TI < 100$ ), Type II<sub>1</sub> (oil-type,  $40 < TI <$

361  $80$ ), Type II<sub>2</sub> (gas-type,  $0 < TI < 40$ ), and Type III (gas-type,  $TI < 0$ ). a, b, c, d represent

362 the percentage of the sapropelinite, exinite, vitrinite, intertinite.



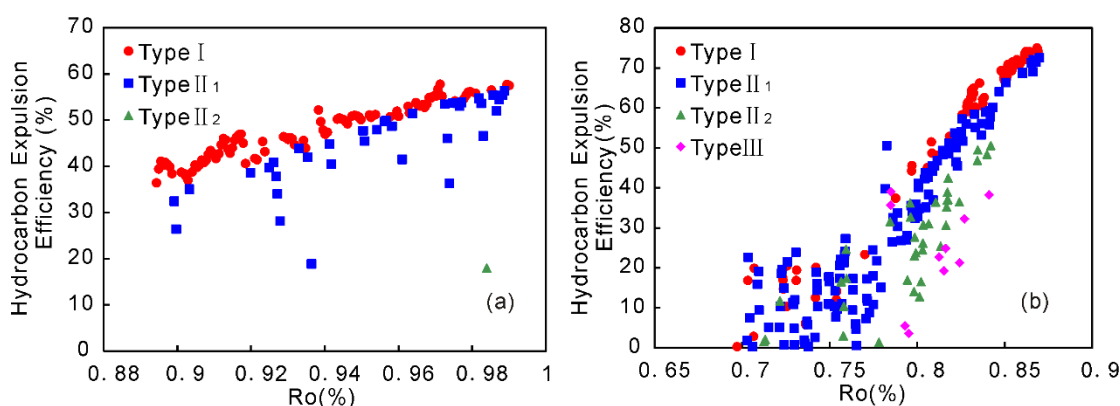
363

$$TI = \frac{a \cdot (+100) + b \cdot (+50) + c \cdot (-75) + d \cdot (-100)}{100} \quad (7)$$

364

As shown in Figure 11, the Ying 16 well and Yu 15 well exhibited a corresponding excellent relationship between the hydrocarbon expulsion efficiency and the type of organic matter. With a similar degree of maturity, the better the type of organic matter, the higher the hydrocarbon expulsion efficiency (Type I > Type II<sub>1</sub> > Type II<sub>2</sub> > Type III).

368



369

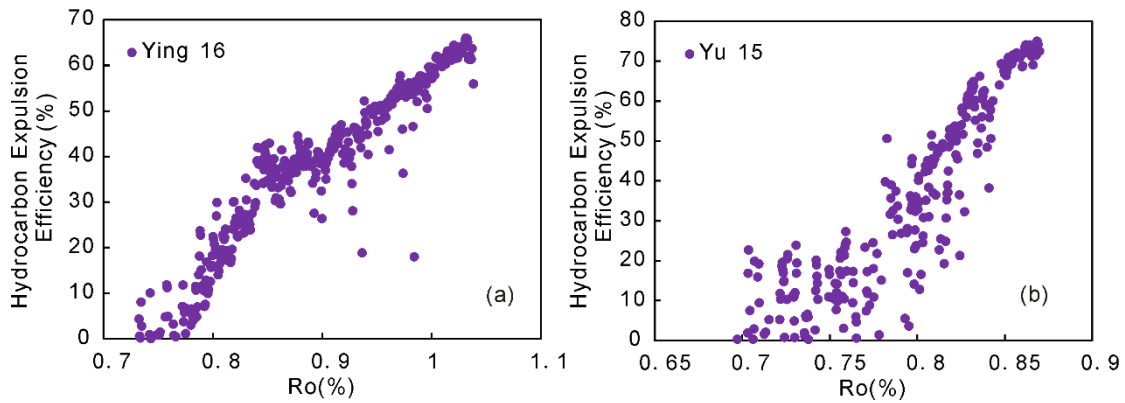
Fig. 11 Relationship between  $R_o$  and the hydrocarbon expulsion efficiency (The organic matter maturity and rock types of Ying16 and Yu15 wells vary significantly in the vertical direction, and it is easy to analyze the controlling factors of hydrocarbon expulsion efficiency.)

### 374 3) Maturity of organic matter

Figure 12 shows the scatter plots of the organic matter maturity and hydrocarbon expulsion efficiency for the Ying 16 well and Yu 15 well. It can be seen from the figure that the hydrocarbon expulsion efficiency increased with increasing maturity. The factors affecting the hydrocarbon expulsion efficiency, including the abundance and type of organic matter that might exist in the adjacent points, but overall, the higher the

379

380 maturity, the higher the hydrocarbon expulsion efficiency.



381  
382 Fig. 12 Scatter plot showing the relationship between organic matter maturity and the  
383 hydrocarbon expulsion efficiency for the Ying 16 well and Yu 15 well

384 **4) Source-reservoir co-location relationship**

385 The source-reservoir co-location relationship refers to the combination pattern of  
386 source rocks and the sandstones distributed in the source rocks. The hydrocarbon  
387 expulsion of source rocks depends on the fact that a thinner single layer of mudstone  
388 results in more intercalations of sandstone and mudstone and a higher hydrocarbon  
389 expulsion efficiency. According to statistical analysis, four main types of source-  
390 reservoir co-location relationships of the source rocks from the Qingshankou Formation  
391 in Songliao Basin exist (Table 6).

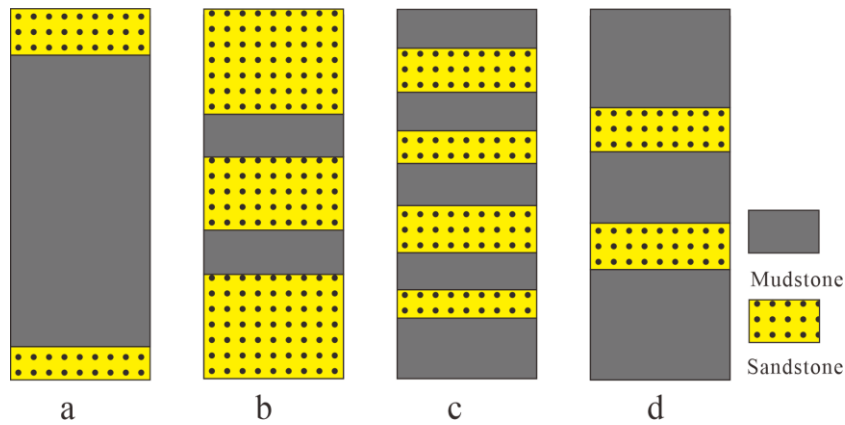
392 Table 6. Source-reservoir co-location relationships of source rocks

Source-reservoir co-location relationship	Feature description	Quantitative description
Thick mudstones	Large sets of pure mudstones without sandstones	$L_m \geq 5m$
Thin sandstone interbedded in thick mudstones	Thin sandstone intercalated into mudstones	$L_m < 5m,$ $L_m / (L_m + L_{s1} + L_{s2}) > 60\%$
Sandstone-mudstone interlayers	Interlayered thin sandstones and thin mudstones	$L_m < 5,$ $40\% \leq L_m / (L_m + L_{s1} + L_{s2}) \leq 60\%$

Thin mudstone interbedded in thick sandstones	Thin mudstone intercalated into sandstones	$L_m < 5$ , $L_m / (L_m + L_{s1} + L_{s2}) < 40\%$
---	--	---

393 Note:  $L_m$ —thickness of a single layer of mudstone, m;  $L_{s1}$ —upper sandstone in contact  
 394 with mudstones, m;  $L_{s2}$ —lower sandstone in contact with mudstones, m;

395 Four cores with different source-reservoir collocation relationships were sampled  
 396 intensely, corresponding geochemical analyses were carried out to determine the  
 397 hydrocarbon expulsion efficiency, and the effects of different source-reservoir  
 398 collocation relationships on the hydrocarbon expulsion efficiency were analyzed (see  
 399 Figure 13).



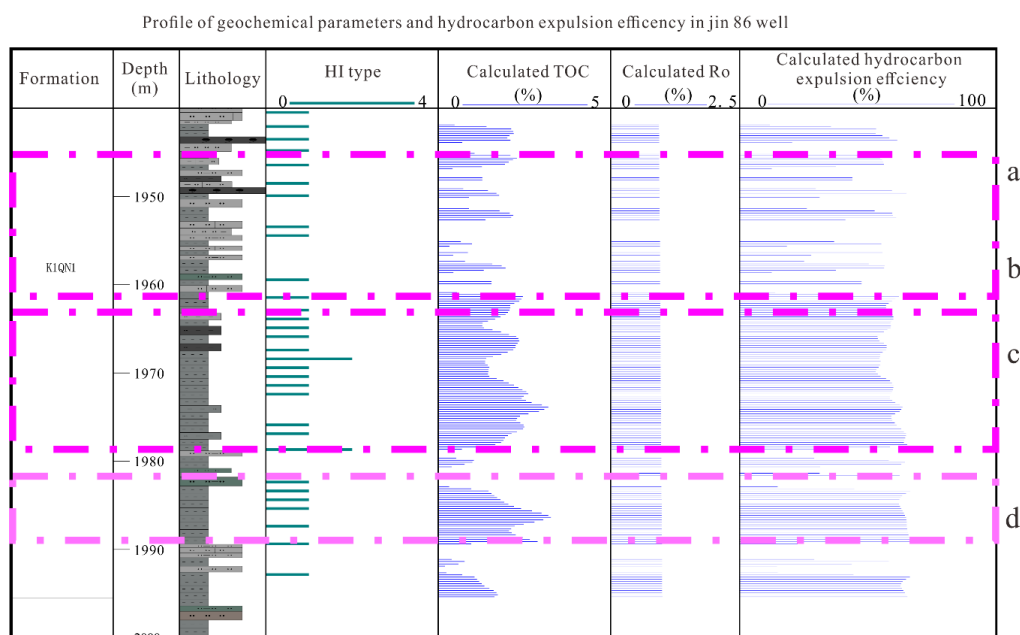
400

401 Fig. 13 Sampling design diagram for the source-reservoir collocation relationship

402 a: Thick mudstone; b: Thin sandstone interbedded in thick mudstones; c: Sandstone-  
 403 mudstone interlayer; d: Thin mudstone interbedded in thick sandstones

404 Jin86 well has a complicated source-storage configuration relationship, as shown  
 405 from the single well profile (Figure 14); the hydrocarbon expulsion efficiency in the  
 406 middle of the thick mudstone was lower than that of the mudstone adjacent to the  
 407 sandstone at the interface. The average hydrocarbon expulsion efficiencies of the 18-  
 408 meter and 8-meter-thick mudstones were 53% and 62%, respectively, and the greater  
 409 the thickness of the mudstone, the lower is the hydrocarbon expulsion efficiency. The

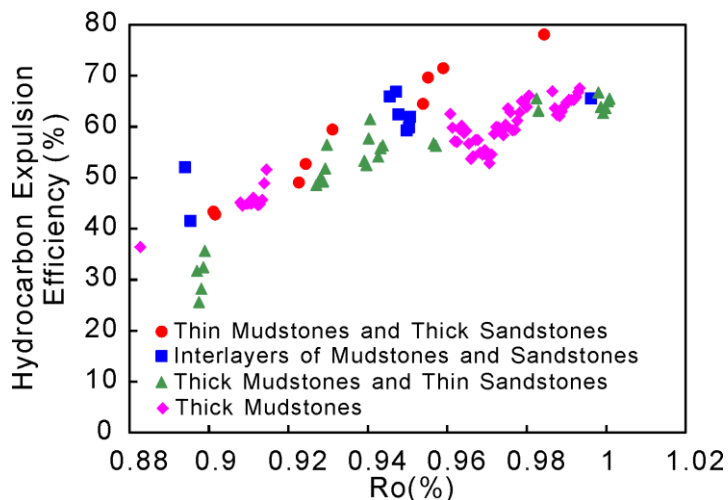
410 hydrocarbon expulsion efficiencies of two members of thin mudstone interbedded in  
 411 thick sandstones between 1945 and 1950 meters were 67.1% and 71.5%, respectively.  
 412 It can be seen from the Jin86 well that the hydrocarbon expulsion efficiencies were  
 413 ranked as thin mudstone interbedded in thick sandstones > thick mudstones. Figure 15  
 414 shows the relationship between organic matter abundance and hydrocarbon expulsion  
 415 efficiency in the mudstones with different source-reservoir collocation relationships. It  
 416 can be seen from the figure that the pattern of the hydrocarbon expulsion efficiencies  
 417 for different collocation relationships was as follows: thin mudstone interbedded in  
 418 thick sandstones > sandstone-mudstone interlayer > thin sandstone interbedded in thick  
 419 mudstones > thick mudstones.



420

421 Fig. 14 Profile of the geochemical parameters and hydrocarbon expulsion efficiency  
 422 (corrected) of the Jin86 well (a. Thin mudstone interbedded in thick sandstone: 67.1%)  
 423 (b. Thin mudstone interbedded in thick sandstone: 71.5%) (c. The average  
 424 hydrocarbon expulsion efficiencies of the 18-meter -thick mudstone was lower than

425 that of the mudstone adjacent to the sandstone at the edge, was 53%) (d. The average  
 426 hydrocarbon expulsion efficiencies of the 18-meter -thick mudstone was lower than  
 427 that of the mudstone adjacent to the sandstone at the edge, was 53%)



428

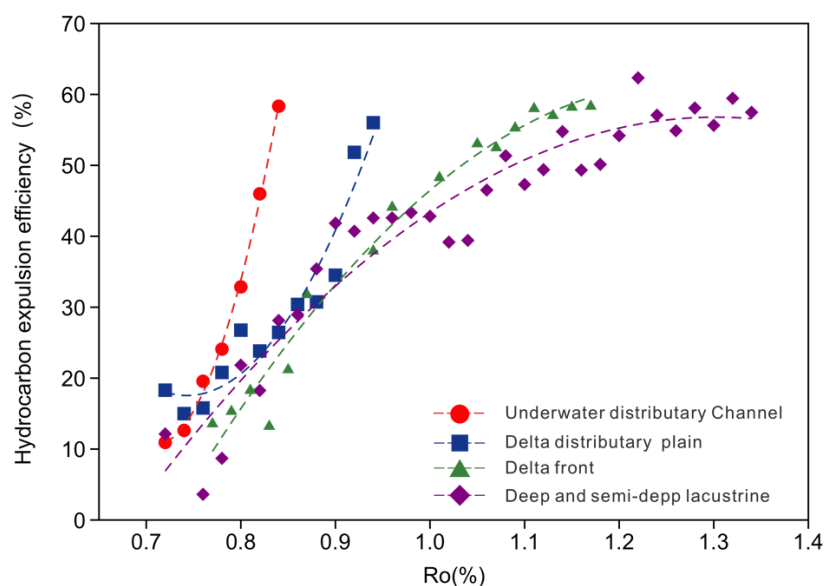
429 Fig. 15 Relationship between the organic matter maturity of the mudstones with  
 430 different source-reservoir collocation relationships and the hydrocarbon expulsion  
 431 efficiency in the strata of the Qingshankou Formation in northern Songliao Basin

432 **5) Sedimentary facies**

433 For different sedimentary facies belts, the type and abundance of organic matter  
 434 in the mudstone are different, the composition and physical properties of the rock  
 435 minerals are different, and the source-reservoir collocation relationship is different.  
 436 Figure 16 shows the sedimentary facies of the Qingshankou Formation of the northern  
 437 Songliao Basin. As can be seen, the Qingshankou Formation was mainly divided into  
 438 five sedimentary facies: underwater distributary channel, delta front, delta distributary  
 439 plain, coastal shallow lacustrine facies, and deep-lacustrine and semi-deep lacustrine  
 440 facies.

441 For different sedimentary facies, Figure 16 shows the relationship between the

442 mean predicted hydrocarbon expulsion efficiency of wells in a small range of  $R_o$  and  
 443 the corresponding  $R_o$ . It can be seen that the hydrocarbon expulsion efficiencies of  
 444 different sedimentary facies within the same maturity range were ranked as follows:  
 445 underwater distributary channel > delta distributary plain > delta front > deep lacustrine  
 446 and semi-deep lacustrine facies. The higher the sand content in sedimentary facies, the  
 447 better the pore permeability of inorganic minerals and the higher the hydrocarbon  
 448 expulsion efficiency.



449

450 Fig. 16 Relationship between  $R_o$  and the average corresponding hydrocarbon expulsion  
 451 efficiency (The underwater channel facies samples are from Well Yu15, the semi-deep  
 452 lake facies samples are from Well Xu11, and the delta plain facies samples are from  
 453 Well Jin86.)

454 Note that the HEE is intrinsically determined by both the residual hydrocarbon  
 455 amount (light hydrocarbon fraction, heavy hydrocarbon fraction) and the  $S_2o$  (original  
 456 hydrocarbon generation potential). In this work, the crude oil of the Qingshankou is  
 457 characterized by generally high density and low proportion of light hydrocarbons in the

458 residual hydrocarbons, so only the correction method for heavy hydrocarbons is  
459 focused on. In fact, for lighter oils, non-confined coring and long core placement can  
460 cause significant light hydrocarbon losses, which can bias the calculation of  
461 hydrocarbon removal efficiency. Future work will focus on the correction of light  
462 hydrocarbon losses.

#### 463 **4 CONCLUSIONS**

464 In the present investigation, the corrected pyrolysis parameters were used to calculate  
465 the hydrocarbon expulsion efficiency of mudstone and to understand the evaluation of  
466 hydrocarbon expulsion efficiency more accurate. The following conclusions are drawn:

467 (1) The average hydrocarbon expulsion efficiencies of the Ha14 well before and after  
468 correction of the pyrolysis parameters were 94.2% and 76.7%, respectively, with a  
469 difference of 17.5%.

470 (2) The effects of the abundance, type, and maturity of organic matters on the amount  
471 of generated hydrocarbons and the residual hydrocarbon amount were analyzed  
472 concerning the calculation of the hydrocarbon expulsion efficiency. The results showed  
473 that: (a.) when  $\text{TOC} < 2\text{--}3\%$ , the hydrocarbon expulsion efficiency increased with  
474 increasing organic matter abundance; when  $\text{TOC} > 2\text{--}3\%$ , the change was not  
475 substantial; (b.) The oil-type organic matter has a higher hydrocarbon-expulsion  
476 efficiency than the gas-type organic matter; (c.) The higher the maturity of organic  
477 matter, the higher the hydrocarbon expulsion efficiency.

478 (3) The impact of the source-reservoir collocation relationship on the hydrocarbon  
479 expulsion efficiency was analyzed. In terms of the average hydrocarbon expulsion  
480 efficiency, the values were ranked as thin mudstone interbedded in thick sandstones >

481 sandstone-mudstone interlayer> thin sandstone interlayer in thick mudstones > thick  
482 mudstones; the closer the thick mudstone to the interface, the higher the hydrocarbon  
483 expulsion efficiency.

484 (4) The impact of sedimentary facies on the hydrocarbon expulsion efficiency was  
485 analyzed, and the hydrocarbon expulsion efficiencies were ranked as underwater  
486 distributary channel > delta distributary plain > delta front> deep lacustrine and semi-  
487 deep lacustrine facies.

488 A clear understanding of source rock analysis assumptions is needed for improved  
489 evaluation of unconventional oil and gas resources. The more accurate the hydrocarbon  
490 expulsion efficiency is, the better evaluated results of both conventional and  
491 unconventional petroleum resources will be. We will continue working on the impact  
492 factors of hydrocarbon expulsion efficiency in the future.

493

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497 *(2016ZX05004-001, 2016ZX05007-003).*

#### 498 **DECLARATIONS**

499 *Conflict of interest: We declare that we have no financial or personal relationships*



500 *with other people or organizations that can inappropriately influence our work, there*  
501 *is no professional or other personal interest of any nature or kind in any product,*  
502 *service and/or company that could be construed as influencing the position presented*  
503 *in, or the review of, the manuscript entitled, "Calculation and affecting factors of*  
504 *hydrocarbon expulsion efficiency using corrected pyrolysis parameters".*

505 *Ethical approval: Not required*

## 506 **AUTHOR CONTRIBUTIONS**

507 *Haitao Xue designed the project and wrote the main manuscript. Shansi Tian help*  
508 *to draw the figures and to draft the manuscript. Shuangfang Lu and Min Wang defined*  
509 *the statement of problem. Hugh Christopher Greenwell help to discuss the problems*  
510 *and revise the manuscript. Peng Luo and Zhentao Dong help to discuss the main idea*  
511 *and help to draft the manuscript. Wenhua Zhang and Shudong Lu help to calculate the*  
512 *data and draw the figures. Ma Wei and Yifeng Wang help to revise the figures. All*  
513 *authors reviewed the manuscript.*

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