



25 **Abstract**

26 **Background:** Animal-attached devices can be used on cryptic species to measure their movement and  
27 behaviour, enabling unprecedented insights into fundamental aspects of animal ecology and behaviour.  
28 However, direct observations of subjects are often still necessary to translate biologging data accurately  
29 into meaningful behaviours. As many elusive species cannot easily be observed in the wild, captive or  
30 domestic surrogates are typically used to calibrate data from devices. However, the utility of this  
31 approach remains equivocal.

32 **Methods:** Here, we assess the validity of using captive conspecifics, and phylogenetically-similar  
33 domesticated counterparts (surrogate species) for calibrating behaviour classification. Tri-axial  
34 accelerometers and tri-axial magnetometers were used with behavioural observations to build random  
35 forest models to predict the behaviours. We applied these methods using captive Alpine ibex (*Capra*  
36 *ibex*) and a domestic counterpart, pygmy goats (*Capra aegagrus hircus*), to predict the behaviour  
37 including terrain slope for locomotion behaviours of captive Alpine ibex.

38 **Results:** Behavioural classification of captive Alpine ibex and domestic pygmy goats was highly  
39 accurate (> 98%). Model performance was reduced when using data split per individual, i.e., classifying  
40 behaviour of individuals not used to train models (mean  $\pm$  sd= 56.1  $\pm$  11%). Behavioural classifications  
41 using domestic counterparts, i.e., pygmy goat observations to predict ibex behaviour, however, were  
42 not sufficient to predict all behaviours of a phylogenetically similar species accurately (> 55%).

43 **Conclusions:** We demonstrate methods to refine the use of random forest models to classify behaviours  
44 of both captive and free-living animal species. We suggest there are two main reasons for reduced  
45 accuracy when using a domestic counterpart to predict the behaviour of a wild species in captivity;  
46 domestication leading to morphological differences and the terrain of the environment in which the  
47 animals were observed. We also identify limitations when behaviour is predicted in individuals that are  
48 not used to train models. Our results demonstrate that biologging device calibration needs to be  
49 conducted using: (i) with similar conspecifics, and (ii) in an area where they can perform behaviours on  
50 terrain that reflects that of species in the wild.

## 51 **Introduction**

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3 52 Biologging has transformed what we know about wild animal behaviour [1–3], with particular value  
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5 53 attributed to tri-axial body acceleration [4–6]. Biologging devices enable researchers to gain detailed  
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7 54 insights into the movement and behaviour of animals [7,8]. Specifically, where data are limited by direct  
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9 55 observations [9] or telemetry is constrained (e.g. sampling intervals are low [10], location is inaccurate  
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11 56 [11,12]), these devices record body movement of animals at high frequencies. They can thus provide  
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13 57 detailed information on the study subjects, representing a powerful opportunity to study enigmatic  
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15 58 species [6].

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19 59 Accelerometry data are generally collected at high frequencies (typically tens of hertz), generating large  
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21 60 datasets. However, the ease with which these data can be collected is in stark contrast to the difficulties  
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23 61 in analysing and interpreting such large data sets (e.g. 40 Hz sampling frequency gives nearly 3.5  
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25 62 million data points per day for a single channel) [13,14]. Various computational approaches can be used  
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27 63 to analyse these data for behavioural identification, including machine-learning algorithms such as k-  
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29 64 nearest neighbour [15], random forest models [5], gradient-boosting machines [16], support vectors  
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31 65 machines and artificial neural networks [4,17]. Random forest models are a commonly used approach  
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33 66 for classification of behaviours from accelerometry data and provide high accuracy [4,18].

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38 67 Whilst the high recording frequencies of the devices are key to identifying behaviours accurately, the  
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40 68 use of lower recording frequencies can extend deployment time and reduce associated computational  
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42 69 time [18,19]. The optimisation of sampling frequencies, which will vary with study subject and aims,  
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44 70 is therefore an important issue. This is amplified for devices recording parameters other than just  
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46 71 acceleration, such as tri-axial magnetometry and barometric pressure [1], which may also be important  
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48 72 keys to identifying behaviours [20,21]. Even when using accelerometry alone, a large number of  
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50 73 variables can be computed to include in models for behaviour classification (e.g. 25 variables [5] ).  
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52 74 Thus, it is important to consider the biological and mechanistic relevance of all variables included in  
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54 75 behavioural classification.  
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76 Despite the potential of computational approaches to help automate behavioural classification, direct  
77 visual observation of the study individuals remains important for the development of accurate  
78 algorithms [5]. To overcome the difficulties of observing elusive wild animals, it has been suggested  
79 that captive conspecifics can be used to identify behaviours [17]. Indeed, this technique has been shown  
80 to have value for measuring behaviour in a range of species [5,22-24], and where captive individuals  
81 are not available, domestic counterparts have been suggested as a viable proxy [25]. However,  
82 individual variation [26], including differences in morphology and body-size [25] and the effect of  
83 variation in free-living animal habitat compared to domestic and captive settings [22,27], may be critical  
84 when applying such methods. Importantly, it is particularly problematic to test the value of domestic  
85 surrogates for wild animals if those wild animals cannot be observed for verification. For example,  
86 applying the common method for splitting data into training and validation data sets overestimates the  
87 accuracy of models when tested on new individuals because the models are validated on individuals  
88 also used to train the model [28].

89 While it is well acknowledged that differential environment use is an important part of the behavioural  
90 ecology of free-living animals [29], it is less appreciated that terrain substrate, superstrate (defined as  
91 any material an animal must push against to move [30]), and gradient, affect accelerometer signals and,  
92 thereby, the ability to derive behaviours from accelerometry data [27]. For example, the gradient of a  
93 terrain should be identifiable in tetrapods because the static acceleration, indicating animal orientation,  
94 will change accordingly [31] and animals may, in any event, change gait, stride length and speed  
95 according to terrain slope [32,33], all of which can be manifest in a tri-axial accelerometer signal.

96 The Alpine ibex (*Capra ibex*) is a Caprid that lives at high altitudes in the central European Alps [34]  
97 in populations that are highly fragmented due to pressure from land-use change, agriculture, human  
98 disturbance and climate change [35]. Climate change is considered to be particularly important since  
99 this species is sensitive to heat and avoids heat stress, which reduces the quality of the food resources  
100 they can access [36,37]. Given on-going global warming, there is concern that physiological and  
101 behavioural constraints on the Alpine ibex will lead to severe declines of the species following rapid  
102 truncation of suitable habitat [37]. Research is needed to understand the species capacity to adapt to

103 changing environmental conditions, and animal-attached logging systems are ideal for this purpose.  
104 However, the high-altitude habitat of the ibex makes it implausible to observe the species in the wild to  
105 validate accelerometer signals for behaviour, so it is appropriate to consider using captive surrogates  
106 for this. Captive populations of the Alpine ibex are few and access is limited, so a pragmatic approach  
107 would be to attempt to calibrate behavioural data using a similar but tractable and accessible species  
108 such as the domestic pygmy goat (*Capra aegagrus hircus*), which is phylogenetically similar and  
109 readily available in domestic settings [38].

110 In this study, we tested the validity of this approach by using loggers that measure tri-axial acceleration  
111 and magnetic compass heading, on both captive pygmy goats and captive Alpine ibex to examine  
112 behaviours of both species using a random forest model approach. We hypothesized that observations  
113 of pygmy goat behaviours could be used to predict the behaviours of captive Alpine ibex thereby  
114 demonstrating that domestic surrogates can serve as suitable proxies for helping resolve behaviour  
115 based on acceleration in rare or difficult-to-handle wild species of conservation concern. We  
116 additionally provide a widely applicable template for refining the use of random forest models to predict  
117 behaviours including; feature selection approaches, the addition of tri-axial magnetometry variables,  
118 selecting the optimum sampling frequency, handling unbalanced observations and data splitting method  
119 (random vs individual). With these models, we then aimed to provide behavioural templates for both  
120 Alpine ibex and pygmy goats, including predicting the terrain slope for locomotion behaviours. Finally,  
121 we examine the ability of our models from one species to predict behaviour in the other in order to  
122 assess the value of using surrogate species when captive populations of the focal species are not  
123 available for study.

## 125 **Methods**

### 126 *Study subjects and enclosure*

127 The study was conducted using collar-attached ‘Daily Diary’ tags (Wildbyte Technologies Ltd,  
128 Swansea, UK; [1]) deployed on African pygmy goats at Belfast Zoo (Northern Ireland, UK) in

129 November 2017 and May 2018, and captive Alpine ibex at Kolmården Wildlife Park (Norrrköping,  
130 Sweden) in November 2018 and November 2019 (Additional file 1 Table S1). At Belfast Zoo, ‘Daily  
131 Diary’ tags were deployed on nine female pygmy goats (mean body weight = 25.9 kg, age range = 3-  
132 10 years) for periods of five days over one month within each of two enclosures. Keepers were able to  
133 handle the goats to deploy collars. The first enclosure consisted of a sloping grass paddock (slope  
134 gradient = 18%, area = 2210 m<sup>2</sup> [50.1 x 35.3 m]) surrounded by hedges, and the second enclosure was  
135 a flat smaller concrete yard with an area of wood mulch (area = 163 m<sup>2</sup> [16.6 x 7.3 m]).

136 At Kolmården Wildlife Park, in November 2018, collar-attached devices were deployed on two male  
137 Alpine ibex (weight not known, age = 9 years) following a protocol in which the animals were trained  
138 though positive reinforcement (using feed pellets as a reward) to wear collars without the need for  
139 anaesthesia. Stations to protect the zoo personnel were constructed from wood and both individuals  
140 were trained incrementally, over a period of two months (Additional file 1 Table S2, *pers comm* Pieter  
141 Giljam, Zoospenseful and Kolmården Wildlife Park). Collars were deployed on male Alpine ibex for  
142 two periods of five days over a month.

143 In November 2019, collar-attached devices were also deployed on four female Alpine ibex (mean body  
144 weight = 45.6 kg, age range = 5 – 13 years) for a period of 15 days. Female ibex were not compliant to  
145 training. Therefore, each individual was sedated using an intramuscular injection of butorphanol (0.009  
146 mg/kg), Etorphine (0.009 mg/kg) and Xylazine (0.674 mg/kg). The collar was deployed, and subject  
147 body mass, limb length and horn length recorded. To reverse the anaesthesia, individuals were given an  
148 intramuscular injection of naltrexone (0.674 mg/kg) and atipamezole (0.112 mg/kg). Sedation was  
149 repeated at the end of the data collection period (after 15 days) to remove the collars. Procedures were  
150 conducted by the Kolmården veterinarians. The enclosure was a large area (18342 m<sup>2</sup> [202.4 x 80.4 m])  
151 consisting of a mixture of grass and rock surfaces with multiple slopes (range of slopes = 1.7 – 87%).

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153 *Acceleration data*

154 Tri-axial acceleration was recorded at a frequency of 40 Hz as well as tri-axial magnetometry,  
155 temperature, pressure, time and date. Devices were encased in a plastic housing with a 3.6 V battery  
156 (LS 14250, Saft, France; 147 mm x 25mm; 9 g) and sealed with tesa tape (Tesa® tape 4651, Tesa,  
157 Germany). Devices were then attached to the collar using tesa tape and collars were weighted either  
158 side of the device to ensure it remained in position on the ventral side of the animal (weight = 135 –  
159 235 g; dependant on the collar size). Collar weight was within 0.8% of individual body weight and  
160 collars were fitted to have a circumference that was 5 cm larger than that of the neck [39]. All devices  
161 were oriented so the z-axis corresponded to ‘heave’ (up-down motion), x-axis to ‘surge’ (forward-back  
162 motion) and y-axis to ‘sway’ (left-right motion) (Figure 1). Before deployment, each device was  
163 calibrated to the exact time, orientation of the axes and to correct accelerometer and magnetometer  
164 offsets.

166 **Figure 1:** Captive Alpine ibex with a collar-attached ‘Daily Diary’ tag, with a tri-axial accelerometer  
167 and magnetometer, depicting the three orthogonal axes (X, Y, Z) recorded at 40 Hz. Pitch and Roll,  
168 which are derived from the static acceleration of the X and Y axes [1], respectively, are shown (Photo:  
169 Dickinson, E.R.).

#### 171 *Observation and processing of data*

172 To classify behaviour, observations were conducted using a video camera (Canon PowerShot SX720  
173 HS; Canon Inc, Japan). Nine behaviours were distinguished for each species (Table 1) and were  
174 recorded for an average of 125.9 minutes (range: Pygmy goats = 1 – 221.6 min, Alpine ibex = 2.7 –  
175 145.2 min). The slope of terrain for locomotion behaviour was also recorded as flat (-2.5° to 2.5°), uphill  
176 (> 2.5°) or downhill (< -2.5°: Table 1). Individuals were observed from outside their enclosure. Pygmy  
177 goats were recorded for a total of 654 min (mean ± sd = 73.5 ± 25.3 min per individual) and Alpine  
178 ibex were observed for a total of 516 min (mean ± sd = 87.0 ± 14.4 min per individual) (see Additional  
179 file 1 Table S3). Acceleration data were manually labelled according to the observed behaviour for the

180 duration of the observation period using ‘Daily Diary Multiple Trace’ software (Wildbyte Technologies  
181 Ltd, Swansea, UK). Only data with labelled behaviour observations were included in the analysis.

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### 183 *Accelerometry and magnetometry variables*

184 To classify specific behaviours, 39 variables that are commonly used to detect behaviours from data  
185 [1,5,21,26] were extracted or derived from the raw tri-axial acceleration and magnetometry data  
186 (Additional file 2 Table S3). From tri-axial acceleration, these variables were either based on static  
187 acceleration (cf. Shepard et al. [40]), which describes the orientation of the device relative to gravity  
188 and thus the posture of the animal, or dynamic acceleration, which describes the body movement of the  
189 animal [41]. From the tri-axial magnetometry, five variables were included, calculated using each of  
190 the three orthogonal axes independently or by combining all three axes to provide a measurement of  
191 full body motion [20,21] (Additional file 2 Table S3).

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### 193 *Building random forest models*

194 Random forest models, which are an extension of classification (decision) trees and are robust and  
195 powerful for this type of analysis [42], were built to predict behaviour for both the pygmy goat and  
196 Alpine ibex data separately, using accelerometry and magnetometry variables (see above). All analyses  
197 were conducted in R version 3.9 [43] using the package *randomForest* [44]. Random forest models use  
198 classification trees to classify the observations into different behaviours by building a hierarchy of  
199 decision rules based on the variables selected [5,42]. Our random forest model used 500 iterations (the  
200 number of classification trees sampled), and a random subset of data was used to build each tree  
201 (bootstrapping) to enable a robust model which limits overfitting and problems associated with  
202 unbalanced datasets, which may be common in observations of animals that are likely to spend more  
203 time resting than active [5,26], although unbalanced observations may lead to bias towards dominant  
204 observations classes [22]. If an observation is randomly selected, the Gini index measured the  
205 probability of it being classified incorrectly. At each classification node, observations were



206 continuously subdivided until the Gini index did not decrease [5,26]. The mean Gini decrease gave the  
207 importance of each variable in classifying the behaviours, with higher values indicating higher  
208 importance. The proportionate error of each model (number of misclassifications/number of  
209 observations according to the number of trees) was checked for each behaviour and the ‘out-of-bag’  
210 error estimates (observations not included in the bootstrapped sample or tree) examined for each model  
211 to evaluate model performance (Additional file 2 Figure S4).

212 Models were built with data subsampled at different sampling frequencies to check the effect on  
213 classification accuracy of behaviours; 40, 20, 10, 5 and 1 Hz [24]. Random forest models need variables  
214 that are not correlated and contribute to the power of the model [45,46]. To remove correlated features,  
215 accelerometry and magnetometry variables were tested for correlation using the Caret package [47].  
216 Correlated variables (Pearson’s  $r \geq 0.70$ ) that were the least important according the mean Gini decrease  
217 were excluded. Although a consensus does not yet exist on the best methods for random forest model  
218 simplification or variable reduction in ecology [48], we removed redundant features using recursive  
219 feature elimination (RFE) which fits the random forest models using cross-validation and selects the  
220 features to be retained in the model. Variable reduction was conducted consistently for both species  
221 models to ensure models used the same variables. The importance of including magnetometry variables  
222 was tested separately by removing them from the model and comparing the output for each model using  
223 model performance metrics. A general linear model was used to test the effect of sampling frequency  
224 and magnetometry variable inclusion on classification accuracy. Model accuracy was included as the  
225 response variable and sampling frequency, species and data (accelerometry or accelerometry and  
226 magnetometry) included as explanatory variables.

227 The following steps were conducted with data at the lowest sampling frequency that resulted in a high  
228 classification accuracy, bearing in mind that unbalanced datasets may bias the predictive ability of  
229 classification methods toward the most dominant data classes [22] and that standing, eating, browsing,  
230 walking and resting had a higher number of observations than other behaviours (see Table 1). We used  
231 a down-sampling strategy to handle imbalanced data classes for relevant behaviours to remove instances  
232 in the majority classes. Specifically, behaviour classes that were observed for longer than the median

233 (560.4 secs) were down-sampled randomly using the Caret package [47]. Another strategy that may  
234 improve model performance is reducing the number of behaviour categories. The initial models  
235 included all behaviours observed in each species, and the effect of reducing the number of behaviours  
236 was tested by removing those assumed to be less relevant to ethological studies: aggression, grooming,  
237 and shaking.

238 Authors using random forest models to predict behaviour from accelerometry generally split data  
239 randomly into 60% training and 40% validation sets (e.g. [5,26]). However, the value of using data split  
240 per individual datasets has been highlighted when validating the ability of models to predict behaviour  
241 of unobserved individuals [28]. In this study, we built two model sets, the first splitting the data 60/40  
242 randomly, with data from each individual present in both the training and the validation models, and  
243 the other approximately split 60/40 at the individual level, with individuals only in either the training  
244 or validation sets. The individual-split models were repeated for all combinations of individuals in the  
245 training or validation data sets using a k-fold cross-validation strategy to give average model  
246 performance [28] (Table 1). The effect of balancing observations, and reduced number of behaviour  
247 classes on the model performance metrics was tested for both the random and individual-split models  
248 using one-way ANOVAS and Tukey pairwise-comparisons for each species.

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#### 250 *Random forest model validation*

251 To estimate model performance for each random forest model used in this study, confusion matrices  
252 were produced for the model on the validation dataset, highlighting true positives, false positives and  
253 false negatives [5,27]. From these, the model accuracy, precision and recall were calculated using the  
254 number of true positives (TP, correctly classified positive behaviours), false positives (FP, incorrectly  
255 classified positive behaviours), true negatives (TN, correctly classified negative behaviours), false  
256 negatives (FN, incorrectly classified negative behaviours). Model accuracy was calculated as the  
257 percentage of true positives and true negatives [28]:

$$258 \quad Accuracy = \frac{TP}{TP+FP+TN+FN} \quad (1)$$

259 Precision was defined as the proportion of positive classifications that were true compared to false  
positives:

$$261 \quad \textit{Precision} = \frac{TP}{TP+FP} \quad (2)$$

263 Recall was defined as the proportion of positive classifications that were true compared to the false  
negatives [15]:

$$265 \quad \textit{Recall} = \frac{TP}{TP+FN} \quad (3)$$

266 The F1 statistic was then calculated as the harmonic mean of Precision and Recall used as a metric of  
the overall performance for classification of each behaviour [26]:

$$268 \quad F1 = \frac{2}{\frac{1}{\textit{Precision}} + \frac{1}{\textit{Recall}}} \quad (4)$$

### 270 *Predicting across species*

271 To determine whether pygmy goats could be used as a surrogate species to predict Alpine ibex  
272 behaviour, the model using the pygmy goat dataset was used to predict Alpine ibex behaviour from the  
273 Alpine ibex dataset. Behaviours that were not observed across both species (specifically, climbing and  
274 browsing) were excluded. Models with data at the lowest acceptable sampling frequency were used to  
275 predict behaviour and, for locomotory behaviours, behaviour subdivided by slope of terrain (flat, uphill  
276 or downhill; see Table 1). Model performance was compared with the full initial model to when data  
277 observations classes were balanced and the number of predicted behaviours was reduced. A sex-specific  
278 model was tested that excluded the male ibex from the cross-species model. To check model  
279 performance compared to a random model, observed behaviours were randomly generated onto the  
280 acceleration data using the same proportion of actual observations for each behaviour and used to build  
281 a random forest model.

## 283 Results

### 284 *Refining random forest models*

285 Random forest models were built for the different sampling frequencies using either accelerometry  
286 variables only or both accelerometry and magnetometry variables. Seven variables were removed due  
287 to them being highly correlated and a further 13 variables were removed in RFE, with 17 variables  
288 included in the final model (Figure 2; Additional file 2 Figure S4). Model accuracy was not significantly  
289 different between the 40 Hz and the 20 Hz model ( $t_{4,5} = -0.003$ ,  $p = 0.71$ ) or the 10 Hz model ( $t_{4,5} = -$   
290  $0.013$ ,  $p = 0.21$ ). However, it was significantly lower at 5 Hz ( $t_{4,5} = -0.030$ ,  $p = 0.025$ ), and 1 Hz ( $t_{4,5} = -$   
291  $0.095$ ,  $p < 0.001$ ) (Figure 3). Thus, a sampling frequency of 10 Hz was selected as the best model as a  
292 compromise between model performance and ability to process. Overall, model accuracy was  
293 significantly different for Alpine ibex and pygmy goats ( $t_{6,13} = -0.13$ ,  $p = 0.001$ ).

294 Comparing models with a sampling frequency of 10 Hz and higher, model accuracy was higher when  
295 magnetometry variables were included ( $t_{2,9} = 0.008$ ,  $p = 0.03$ ). Model accuracy of the final selected  
296 models using randomly split data was 98.6% for Alpine ibex with a mean  $\pm$  SD F1 statistic of  $0.96 \pm$   
297  $0.011$  and 97.8% for pygmy goats with a mean  $\pm$  SD F1 statistic of  $0.96 \pm 0.016$  (Table 2). Although  
298 model accuracy was lower using balanced data classes ( $F_{1,2} = 0.079$ ,  $p = 0.80$ ), the precision for separate  
299 behaviours was significantly higher ( $F_{1,2} = 72.9$ ,  $p = 0.013$ ). Prediction of behaviours using fewer  
300 behaviours enhanced model accuracy ( $F_{1,2} = 0.17$ ,  $p = 0.72$ ) and the mean F1 statistic ( $F_{1,2} = 12.45$ ,  $p =$   
301  $0.07$ ). Using data split per individual, the mean model accuracy was  $56.7 \pm 0.06\%$  for Alpine ibex with  
302 a mean  $\pm$  SD F1 statistic of  $0.37 \pm 0.02$  and  $57.9 \pm 0.05\%$  for pygmy goats with a mean  $\pm$  SD F1 statistic  
303 of  $0.34 \pm 0.03$  (Table 2; Figure 4). Model accuracy was significantly lower in balanced data classes  
304 ( $F_{1,28} = 46.6$ ,  $p < 0.001$ ) and was improved when the number of behaviour classes was reduced ( $F_{1,28} =$   
305  $0.70$ ,  $p = 0.41$ ). Using F1 statistic as a measure of model performance, model performance was higher  
306 when using balanced observations ( $F_{1,28} = 3.71$ ,  $p = 0.06$ ) and when the number of behaviours was  
307 reduced ( $F_{1,28} = 25.3$ ,  $p < 0.001$ ).

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309 **Figure 2:** The mean Gini decrease of the variables used to predict behaviour, ordered by importance to  
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 2 310 the model: (A) Alpine ibex and (B) pygmy goat, with the reduced variables included in the final model.  
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 8 **Table 2:** The overall model accuracy and mean F1 statistic (harmonic mean of the precision  
 9 and recall) for each 10 Hz model using different strategies to build the random forest model.  
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 11 \*SD not available  
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Model	Pygmy goat		Alpine ibex	
	Accuracy ± SD (%)	F1 statistic ± SD	Accuracy ± SD (%)	F1 statistic ± SD
Random split train and test data	97.8	0.96 ± 0.02	98.6	0.96 ± 0.01
with balanced observations	97.6	0.98 ± 0.02	98.6	0.99 ± 0.01
with reduced behaviours	98.2	0.97 ± 0.02	98.7	0.97 ± 0.01
Data split per individual train and test data	57.8 ± 5.4	0.34 ± 0.03	65.5 ± 5.2	0.40 ± 0.02
with balanced observations	42.1 ± 6.0	0.38 ± 0.05	47.7 ± 7.2	0.43 ± 0.05
with reduced behaviours	59.2 ± 5.7	0.43 ± 0.05	68.6 ± 5.1	0.51 ± 0.02

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 38 313 **Figure 3:** Model accuracy of Random Forest models to predict the behaviour of Alpine ibex and pygmy  
 39 goats, using either accelerometry variables or accelerometry and magnetometry variables at different  
 40 314 sampling frequencies (1, 5, 10, 20 and 40 Hz).  
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 48 317 *Behavioural templates for Alpine ibex and pygmy goats*  
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51 318 Random forest models, at a sampling frequency of 10 Hz, were built to predict the slope of the terrain  
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 53 319 for locomotion behaviours; flat, uphill or downhill. Overall model accuracy when slope was included  
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 55 320 was 98.6% for Alpine ibex with a mean ± SD F1 statistic of 0.96 ± 0.016 and 98.0% for pygmy goats  
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 57 321 with a mean ± SD F1 statistic of 0.96 ± 0.016 (Figure 4; Table 2; Additional file 3 Figure S6). Pitch  
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 59 322 was the most important variable for pygmy goats, and smoothed VeDBA was the most important  
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323 variable for Alpine ibex predicting behaviours. Static X axis acceleration was the most important  
324 variable when the model predicted Alpine ibex behaviour including terrain slope.

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326 **Figure 4:** Precision and Recall of each behaviour categorised in the models for Alpine ibex and pygmy  
327 goats. Terrain slope is predicted for various locomotion behaviours in the bottom panel.

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329 Three variables were in the top 5 most important variables, ranked by mean Gini decrease, for both the  
330 Alpine ibex and pygmy goats. These were posture, given by the surge axis (static X), angle of surge  
331 posture (pitch) and smoothed VeDBA (smVeDBA) (Figure 5; Additional file 3 Figure S6 Table S5).

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333 **Figure 5:** The three variables that were in the top 5 most important variables for differentiating Alpine  
334 ibex and pygmy goat behaviour: A) static X, B) pitch and C) maximum amplitude of oscillations of the  
335 sway axis over 2 seconds (PSDIY).

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337 *Applying pygmy goat behavioural template to Alpine ibex*

338 In the investigation examining the extent to which the model conditioned on the pygmy goat training  
339 dataset could be used to predict behaviours observed in the Alpine ibex training dataset, model accuracy  
340 was 54.3% for predicting behaviours. The model reached a mean  $\pm$  SD precision of  $0.54 \pm 0.38$ , recall  
341 of  $0.61 \pm 0.11$  and F1 statistic of  $0.47 \pm 0.29$  (Table 3). The largest errors in the model were produced  
342 from misclassifying resting as standing, and trotting as either walking or running (Additional file 3  
343 Table S6). Standing, walking, eating and running had the highest recall and precision in this model  
344 (Figure 6). A model using randomly generated ‘observed’ behaviours had a classification accuracy of  
345 15.4% (Table 3).

346 Model accuracy for predicting behaviours and slope of terrain for locomotion behaviour was 60.5%.  
347 The model reached a mean  $\pm$  SD precision of  $0.28 \pm 0.41$ , recall of  $0.26 \pm 0.30$  and F1 statistic of  $0.24$   
348  $\pm 0.34$  (Table 3). Locomotion behaviours on a slope had very low precision and recall (Figure 6;  
349 Additional file 3 Table S7). A model using randomly generated ‘observed’ behaviours including slope  
350 for locomotion behaviours had a classification accuracy of 26.4% (Table 3). For both models, model  
351 accuracy improved when using a sex-specific model (predicting only female Alpine ibex behaviour),  
352 however other model performance metrics did not change.

354 **Figure 6:** Precision and recall for each behaviour for the model trained with pygmy goat behaviour to  
355 predict Alpine ibex behaviour (cf. Fig. 4, noting scale differences).

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368 **Table 2:** The mean precision, recall and F1 statistic ( $\pm$  SD) for each random forest model predicting  
 369 behaviour or behaviour including slope of terrain for Alpine ibex and pygmy goats.

Model	Classification accuracy	Mean precision	Mean recall	Mean F1 statistic
Random split behaviour	98.3%	0.95 $\pm$ 0.05	0.98 $\pm$ 0.018	0.96 $\pm$ 0.030
Random split behaviour including slope of terrain	98.2%	0.95 $\pm$ 0.042	0.98 $\pm$ 0.018	0.96 $\pm$ 0.024
Data split per individual behaviour	63.0%	0.48 $\pm$ 0.32	0.55 $\pm$ 0.27	0.46 $\pm$ 0.28
Data split per individual behaviour including slope of terrain	68.1%	0.36 $\pm$ 0.028	0.42 $\pm$ 0.082	0.034 $\pm$ 0.046
Pygmy goat predicting Alpine ibex behaviour	55.5%	0.55 $\pm$ 0.39	0.62 $\pm$ 0.10	0.48 $\pm$ 0.30
Pygmy goat predicting female Alpine ibex behaviour	60.2%	0.55 $\pm$ 0.39	0.50 $\pm$ 0.26	0.49 $\pm$ 0.32
Pygmy goat predicting Alpine ibex behaviour including slope of terrain	59.8%	0.29 $\pm$ 0.38	0.30 $\pm$ 0.29	0.27 $\pm$ 0.32
Pygmy goat predicting female Alpine ibex behaviour including slope of terrain	67.8%	0.28 $\pm$ 0.42	0.25 $\pm$ 0.34	0.26 $\pm$ 0.37
Randomly generated behaviours	15.4%	0.010 $\pm$ 0.27	0.058 $\pm$ 0.09	0.038 $\pm$ 0.08
Randomly generated behaviours with slope of terrain	26.4%	0.068 $\pm$ 0.24	0.040 $\pm$ 0.11	0.041 $\pm$ 0.12

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## 372 Discussion

373 Accurately identifying animal behaviour is key to the validity of using accelerometers to address  
 374 important ecological questions in free-ranging animals. However, there remains limited information on  
 375 best practice, especially when captive or domestic individuals are used to inform workers on the putative  
 376 behaviour of wild species. In this study, behavioural classification was achieved with high accuracy for



1 377 both captive Alpine ibex and domestic pygmy goats, using observations of each species respectively  
2 378 and taking steps to refine the application of random forest models. All behaviours and the slope of  
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4 379 terrain for locomotion behaviours could be predicted with high accuracy. However, limitations were  
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6 380 identified when the models were used to predict the behaviour of individuals not used in model training,  
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8 381 whether they were the same species or not. Domestic or captive surrogates may be useful to predict the  
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10 382 broad behaviours of a captive wild species but locomotion on terrain with different slope characteristics  
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12 383 remains problematic. Thus, while captive surrogates may be useful for classifying behaviour in some  
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14 384 free-ranging animals, the selection of appropriate counterparts or surrogates must be carefully  
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16 385 considered for accurately classifying behaviours.  
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21 386         Despite decreased model performance when Alpine ibex behaviour was predicted from  
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23 387 domestic pygmy goats, the biggest decrease in model performance occurred when individually split  
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25 388 data was used instead of randomly split data. This suggests that the limitations of predicting the  
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27 389 behaviours of individuals that cannot be observed lies within intraspecific individual differences rather  
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29 390 than inter-specific variation [26]. Behaviours such as resting were not well identified, which is typically  
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31 391 considered to be an easy behaviour to identify, and a definitive explanation for this remains elusive.  
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33 392 Despite this, broad behaviours were identifiable although some behaviours remained problematic in the  
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35 393 cross-species model, particularly as regards the effect of terrain slope for locomotion- and resting  
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37 394 behaviours.  
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41 395         Domestic surrogates, or even captive surrogates of a different species, have been suggested to  
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43 396 have value for informing behavioural classification and the concept is certainly logical [22,25]. Against  
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45 397 this though, we observed low classification accuracy, and were unable to identify the full suite of  
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47 398 behaviours observed in the captive counterparts, using our domestic surrogate. Critically, the value of  
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49 399 using captive or domestic individuals as surrogates to predict the behaviour of free-living individuals  
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51 400 requires that the surrogates and wild animals to move and behave in a similar way. However, the extent  
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53 401 to which this is true depends critically on the size and morphology differences between the species  
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55 402 dyads. For example, domestication may change bone structure [49], thus leading to changes in gait and  
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57 403 movement and body size, which can have a marked effect on stride length and stride frequency [50],  
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404 and with it the acceleration values recorded by animal-attached devices. Pygmy goats are known for  
405 their characteristically short legs (height = 31 and 45 cm; [51]) associated with their adaptation to humid  
406 environments [52], whereas the longer legs of Alpine ibex facilitate locomotion through their  
407 mountainous habitat (female height = 73 to 84 cm, male height = 90 to 101 cm [34]). The high degree  
408 of sexual dimorphism in Alpine ibex [34], means that males are more different than females to female  
409 pygmy goats. This disparity may explain the reduced accuracy of models using pygmy goat  
410 observations to predict Alpine ibex behaviour. Indeed, model performance was higher when pygmy  
411 goat observations were used to predict the behaviour of female ibex, indicating that it is the increased  
412 difference between male Alpine ibex and female pygmy goats that reduces the ability of the model to  
413 predict behaviour between them. This suggests that there is value in using sex specific models when  
414 classifying behaviours sexually dimorphic species.

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415 The environment in which the surrogate individuals live must replicate, as far as possible, that  
416 of their wild counterparts for them to exhibit the same behavioural profiles. Our captive Alpine ibex  
417 were observed to display a wider range of behaviours and terrain slopes because they were kept in a  
418 large and varied enclosure with rocks and small cliffs. So, simplistically, climbing in ibex could not be  
419 predicted using our pygmy goat surrogate because, although the goats had slopes within their enclosure,  
420 none were comparable to the rocks that ibex used. This limitation may be especially important for  
421 measuring behaviour of individuals that may access food or water in a manner different to that observed  
422 in captivity, a clear case being predators that cannot hunt in captivity [24,28]. In fact, animal home  
423 ranges can cover large areas which display habitat and topographical heterogeneity, which will  
424 presumably produce corresponding heterogeneity in accelerometer signals, particularly during  
425 movement, so it is important to be able to interpret and account for the gradient, substrate and superstrate  
426 of the terrain during locomotion [1]. Using surrogates that are in a varied enclosure that mimics the  
427 species natural environment would reduce the issues linked to environment that arise from using captive  
428 or domestic surrogates.

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429 Orientation on slopes is expected to alter the static surge acceleration signal as the collar-  
430 attached device abuts the animal's neck, particularly if the animal is facing, or moving, up an

1 431 appreciable slope. Indeed, the extent to which the device on the collar can swing should prove an  
2 432 important issue in defining behaviours; the more it can swing, the more it will act like a gimble and be  
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4 433 less likely to be constrained to a particular angle by abutting the neck. Against this, loose collars may  
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6 434 introduce unwanted variability during movement [39]. Terrain will also affect the acceleration profiles  
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8 435 measured for different behaviours because animals often respond to terrain by changing gait, stride  
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10 436 length and speed [53], so enclosures used for captive calibration of behaviours from logging devices  
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12 437 should display the entire range of topographies available to the free-ranging animals of interest.  
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16 438 A perennial issue for biologgers is the trade-off between high resolution data (both in terms of  
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18 439 time and bits) and required battery power [19,54]. Lower frequencies can extend deployment time and  
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20 440 reduce battery power, memory on internal storage devices and required processing power. In this study,  
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22 441 we found that highest classification accuracy was achieved using a sampling rate of 10 Hz or above  
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24 442 and, even when sampling rate was reduced to 1 Hz, it still resulted in 87.4% correctly classified  
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26 443 behaviours, which is deemed acceptable by other studies [18,24,45].  
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30 444 The ease with which bilogger data can be analysed to highlight behaviour using random  
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32 445 forests [5] belies a few important considerations. Firstly, there is a tendency to include a large number  
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34 446 of variables from tri-axial accelerometers for random forest models even though many have not been  
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36 447 tested for the benefit of their inclusion. Although random forest models can handle noisy variables  
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38 448 and can be robust to overfitting [48], 20 variables were not included in the dataset, either due to being  
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40 449 correlated or deemed redundant using recursive feature selection. This suggests that there is value in  
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42 450 selecting variables that are biologically and mechanistically important in describing the behaviours  
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44 451 and therefore important to the model. This, in turn, necessitates proper understanding of what the  
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46 452 various acceleration metrics mean and how they are changed by both the different behaviours and the  
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48 453 environment (topography etc.). Other steps that have been suggested to improve random forest model  
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50 454 performance were also taken. Although using balanced observation classes did not significantly  
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52 455 improve model performance, steps to reduce the number of behaviours predicted (removing less  
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54 456 relevant behaviours) did improve model performance. The behaviours included when classifying  
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56 457 behaviours should be carefully selected, as including behaviours that are not relevant for the study  
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2 459 may reduce the accuracy of relevant behaviours. Furthermore, when applying behaviour templates to  
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4 460 unobserved data, steps to reduce the chance of predicting the wrong behaviour should be taken such  
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6 as setting a threshold accuracy (see Ferdinandy *et al.*, [28]).

7 461 Finally, many biologists have accelerometers within inertial measurement units (IMUs),  
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9 462 which also have tri-axial magnetometers built in although few studies have included tri-axial  
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11 463 magnetometry in behavioural classification despite the potential for it to be useful [20,21]. Our work  
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13 464 showed that by including (limited) variables derived from tri-axial magnetometry, classification  
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15 465 accuracy was significantly improved. This may prove particularly valuable in the future, since  
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17 466 magnetometers may be able to elucidate patterns of movement in a manner different to  
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19 467 accelerometers, thus potentially providing important additional information for behavioural  
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21 468 classification [17].  
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## 27 28 470 **Conclusions**

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31 471 A template for applying methods to identify the behaviours of wild or captive Caprids using captive  
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33 472 and domestic counterparts using tri-axial accelerometry and magnetometry is provided, highlighting the  
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35 473 need to create standardised methodologies, including data processing steps, especially when selecting  
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37 474 variables and using random forest models. High model performance could be achieved for two caprid  
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39 475 species using video observations with a relatively low sampling frequency (10 Hz), including predicting  
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41 476 the slope of terrain for locomotion behaviours. Tri-axial magnetometry is a useful tool to aid  
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43 477 behavioural classification and slope of terrain for locomotion behaviours could be accurately predicted.  
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45 478 We demonstrate the importance of using sex-split training datasets in sexually dimorphic species. While  
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47 479 we show that model performance is reduced when predicting the behaviours of individuals not included  
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49 480 in the training data, it is comparable when predicting for the same or a similar species. The use of an  
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51 481 individual-split cross-validation approach better demonstrates the application of these methods to  
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53 482 individuals of the same or similar species. For prediction of the behaviours of a different species, all  
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1 483 efforts should be made to maximise the similarities between surrogate and study species, including their  
2 484 respective environments.  
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8 486 **Additional files**  
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10 487 *Additional file 1: Table S1: Details of the individuals and training the male Alpine ibex to have collars*  
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12 488 *put on and taken off Table S2: Description of training protocol Figure S1: A male ibex being rewarded*  
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14 489 *standing in the protective feeding station (step 3) Figure S3: Three target male ibex rewarded in their*  
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16 490 *designated protective stations (step 4, only two were successfully trained beyond this step) Figure S4:*  
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18 491 *Holding the collar around a male ibex neck while he stands in the protective station, one trainer holds*  
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20 492 *the collar while the second provides the reward (step 7). Table S3: Total time observed of each*  
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22 493 *behaviour for each individual pygmy goat (G) or Alpine ibex (IB) in seconds.*  
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27 494 *Additional file 2: Methods for building and refining random forest models to predict the behaviour of*  
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29 495 *Alpine ibex and pygmy goats Table S4: A list of the accelerometry and magnetometry variables that*  
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31 496 *are used or calculated for the random forest model. Including the name, and label, the description of the*  
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33 497 *variable and its calculation. Figure S4: Recursive feature elimination plots showing the cross-validated*  
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35 498 *model accuracy when a different number of acceleration and magnetometry variables are included in*  
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37 499 *the random forest models for classifying the behaviours of (a) Alpine ibex and (b) pygmy goat. Figure*  
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39 500 *S5: Random forest error plots across 500 trees for classifying each of the nine behavioural states*  
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41 501 *(Aggression, Browsing (pygmy goats only), Climbing (Alpine ibex only), Grazing, Grooming, Lying*  
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43 502 *down, Running, Shaking, Standing, Trotting and Walking) and Out-of-bag (OOB) error estimates for*  
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45 503 *each different model at 10Hz for both species (a,b) including the models with: (c,d) balanced*  
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47 504 *observations and (e,f) reduced behaviour classes.. Figure S6: Random forest error plots across 500 trees*  
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49 505 *for classifying each of the nine behavioural states including terrain slope for locomotion behaviours*  
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51 506 *(Aggression, Browsing (pygmy goats only), Climbing (Alpine ibex only), Grazing, Grooming, Lying*  
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53 507 *down, Running, Shaking, Standing, Trotting and Walking) and Out-of-bag (OOB) error estimates, for*  
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2 508 (A) Alpine ibex and (B) pygmy goats. *Table S5*: The variable reduction process to reach the final  
3 selected model  
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5 510 *Additional file 3*: Random forest model results *Figure S6*: The importance of each variable retained in  
6 the models predicting behaviour and behaviours including terrain slope *Table S5*: The median and 1<sup>st</sup>  
7 511 and 3<sup>rd</sup> quantile of acceleration, for each behaviour and species, for three variables. *Table S6*: Confusion  
8 matrix showing the observed behaviours and predicted behaviours (in seconds) when training the  
9 512 random forest model built using the pygmy goat training dataset. *Table S7*: Confusion matrix showing  
10 the observed behaviours and predicted behaviours (in seconds) when using a random forest model built  
11 513 using pygmy goat training dataset and tested on the Alpine ibex training data set. *Table S8*: Confusion  
12 matrix showing the observed behaviours and predicted behaviours, including the gradient of terrain for  
13 514 locomotion behaviours, when training the random forest model built using the pygmy goat training  
14 dataset. *Table S9*: Confusion matrix showing the observed behaviours and predicted behaviours,  
15 including the gradient of terrain for locomotion behaviours, when using a random forest model built  
16 515 using pygmy goat training dataset and tested on the Alpine ibex training data set.  
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## 33 34 35 523 **Declarations**

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38 524 *Ethics approval and consent to participate*

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41 525 This study was approved by the Queens University Belfast ethics committee (QUB-BS-AREC-19-004)  
42 and internal ethical approval from Belfast Zoo and Kolmården Wildlife Park.  
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46 527 *Consent for publication*

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49 528 Not applicable

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52 529 *Availability of data and materials*

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55 530 The datasets for this study and the code used for analysis will be made available online.

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58 531 *Competing interests*

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3 532 The authors declare that they have no competing interests.

4  
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11 536 *Authors' contributions*

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14 537 ED, DMS, PS and NM conceived the study. JW facilitated data collection. ED collected the data with  
15  
16 538 help from JT. ED analysed the data and produced the first manuscript draft. JT and RW contributed  
17  
18 539 critically to the manuscript. All authors provided comments and approved the final manuscript.

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36 547 goats.

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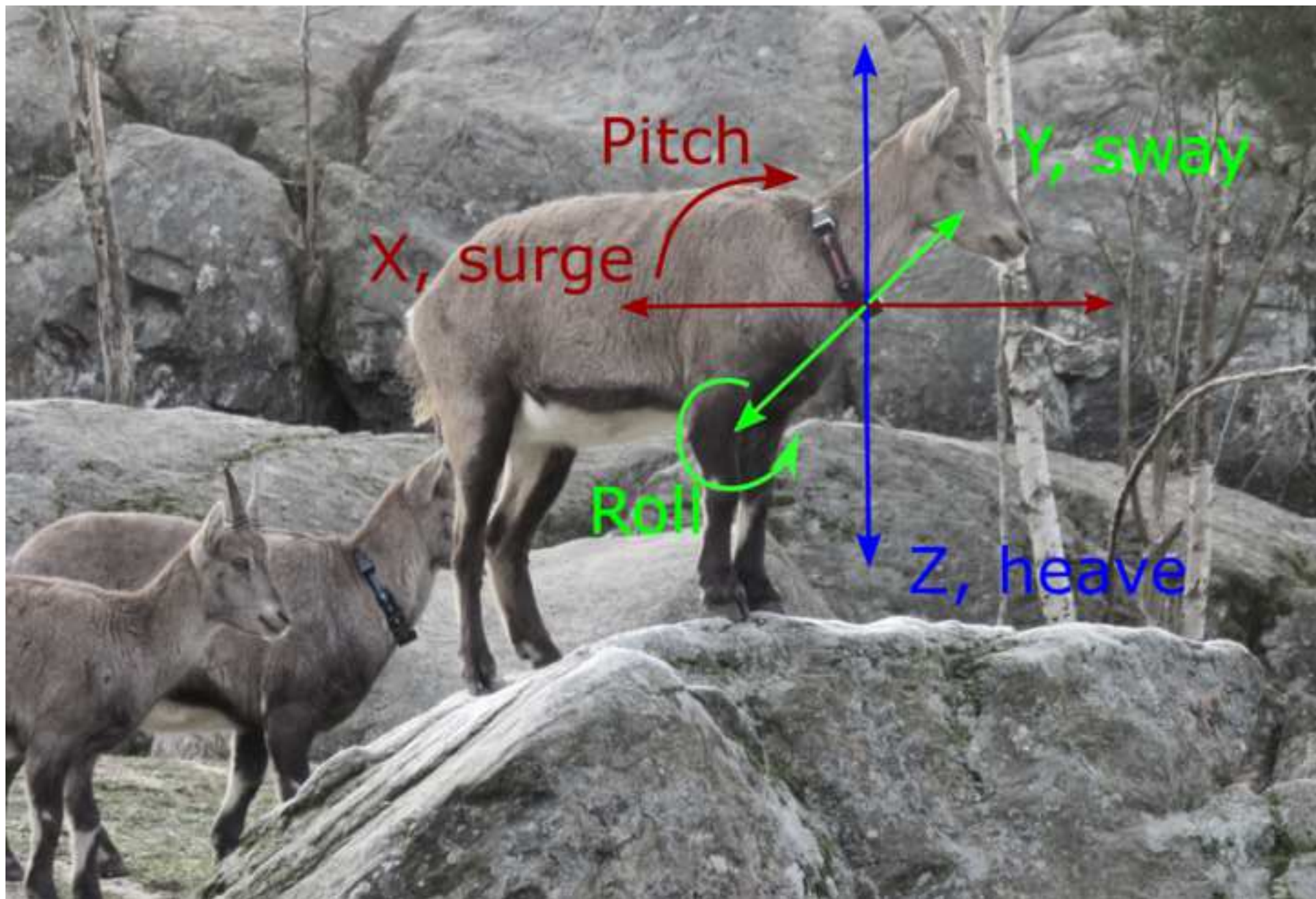
**Table 1:** Ethogram of recorded behaviours, including descriptions, for both Alpine ibex and pygmy goats, including the total time, mean time and standard deviation (SD) in seconds observed for each species. Locomotion behaviours were subdivided depending on the slope of terrain. Alpine ibex were not recorded browsing as all their food available was on the floor e.g. grass, hay or pellets. Pygmy goats were not observed climbing due to the lack of a climbing aspect in their enclosures.

Behaviour	Description	Alpine ibex			Pygmy goat		
		Total time (s)	Mean time (s)	SD (s)	Total time (s)	Mean time (s)	SD (s)
<b>Standing</b>	Stationary in an upright position	8714.1	1452.4	788.9	8665.3	962.8	315.9
<b>Resting</b>	Stationary in a laying down position	6165.9	1027.6	648.9	7863.6	982.9	1015.3
<b>Eating</b>	Grazing or consuming food from the floor	8104.7	1350.8	640.7	13295.9	1477.3	756.4
<b>Browsing</b>	Consuming food and reaching on hind legs	-	-	-	1953.5	217.2	412.3
<b>Aggression</b>	Aggression to or from another individual	590.7	98.5	91.1	296.9	33.0	19.9
<b>Grooming</b>	Scratching own body or against another object	242.7	40.4	43.4	428.5	53.6	61.9
<b>Shaking</b>	Moving body vigorously to shake	164.0	27.3	16.4	57.8	6.4	5.3
<b>Walking (Flat, Uphill, Downhill)</b>	Locomotion in a slow four beat gait	6027.7 (4704.2, 668.4, 655.2)	1004.6 (784.0, 111.4, 109.2)	118.3 (126.9, 49.5, 48.9)	5952.8 (4544.3, 649.0, 759.6)	661.4 (504.9, 81.1, 94.9)	216.1 (158.5, 41.2, 57.0)
<b>Trotting (Flat, Uphill, Downhill)</b>	Locomotion in a two beat gait	327.1 (264.9, 20.7, 41.4)	54.5 (44.2, 6.9, 13.8)	41.5 (28.9, 8.0, 10.6)	530.1 (433.9, 28.2, 68.1)	58.9 (54.2, 7.0, 13.6)	45.0 (32.2, 3.8, 15.5)
<b>Running (Flat, Uphill, Downhill)</b>	Locomotion in a canter or gallop gait	332.9 (259.2, 34.0, 39.7)	55.5 (43.2, 6.8, 13.2)	41.1 (38.1, 38.1, 10.7)	254.8 (240.9, 9.2, 4.7)	28.3 (26.8, 3.1, 2.4)	16.8 (14.8, 1.5, 1.5)
<b>Climbing (Uphill, Downhill)</b>	Travelling on a steep slope with obstacles and steps including jumping up or down steps.	338.4 (160.0, 178.4)	28.2 (26.7, 29.7)	22.55 (21.0, 24.1)	-	-	-

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Figure 1





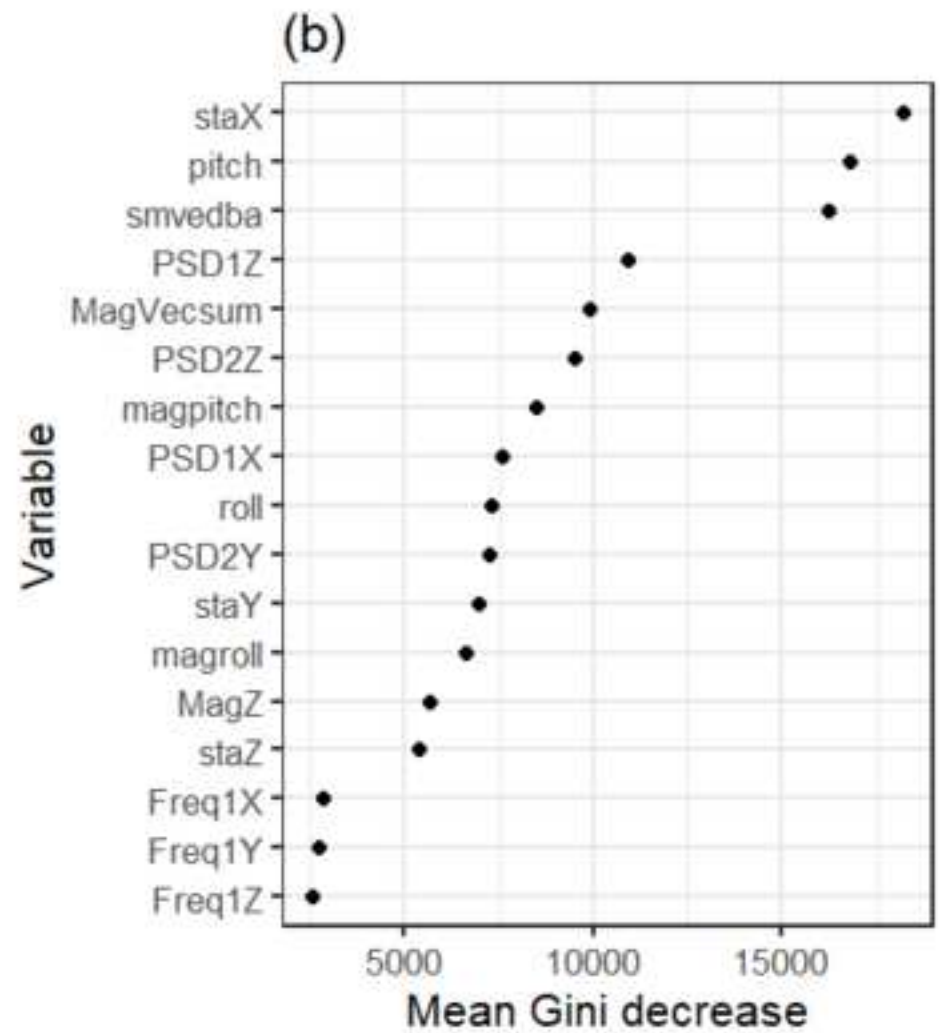
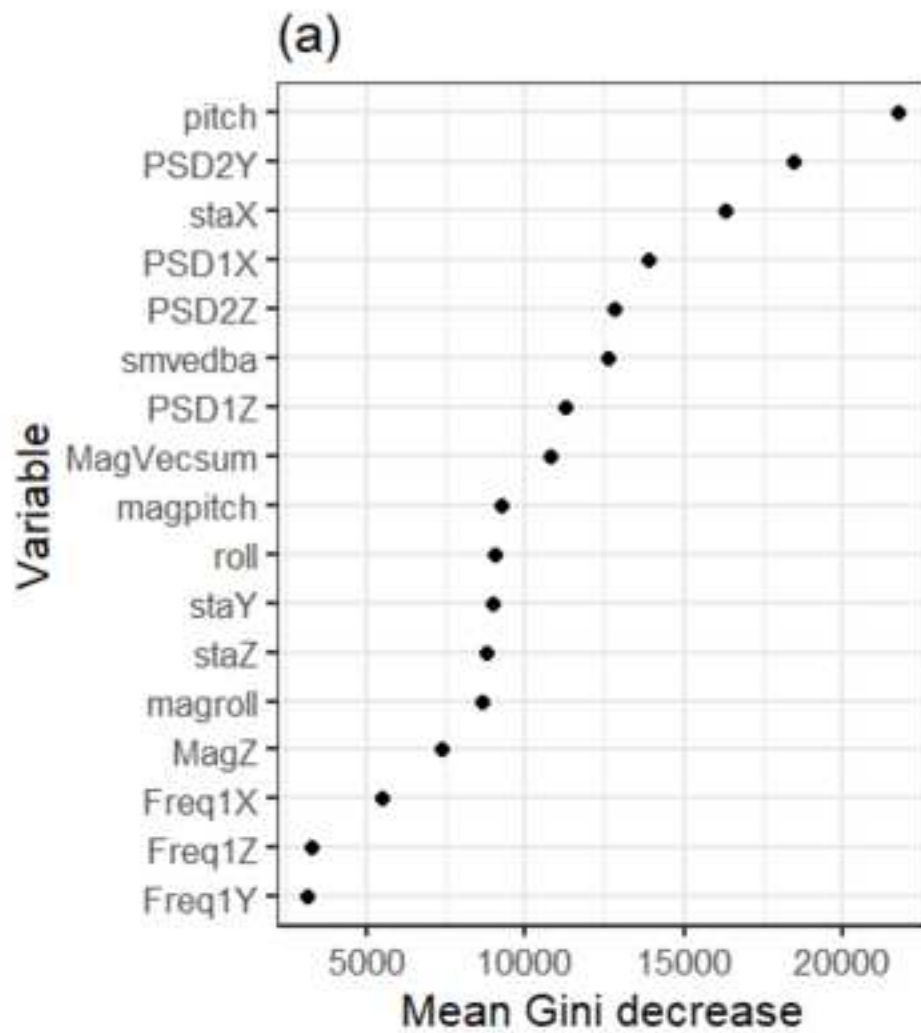
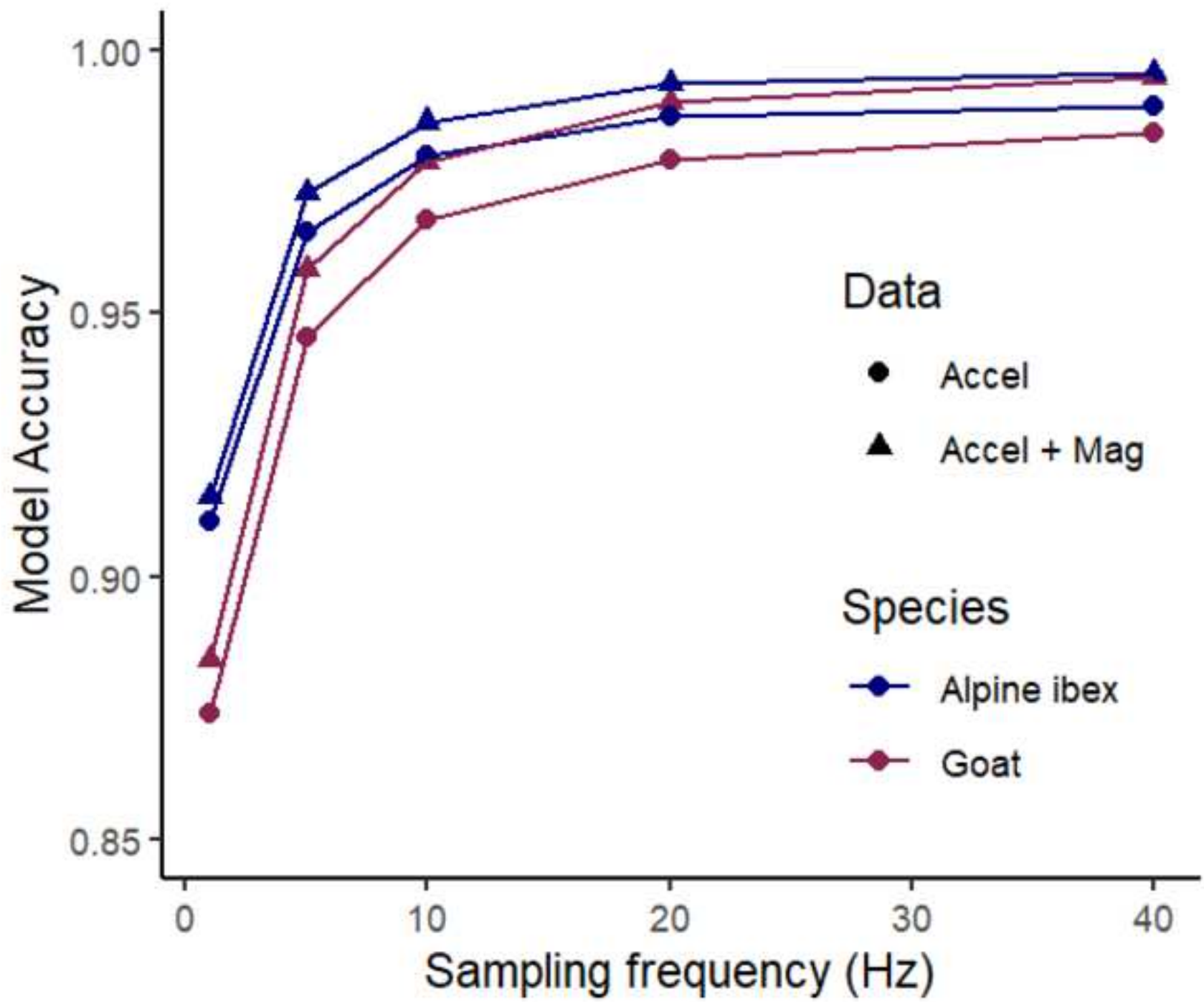


Figure 3





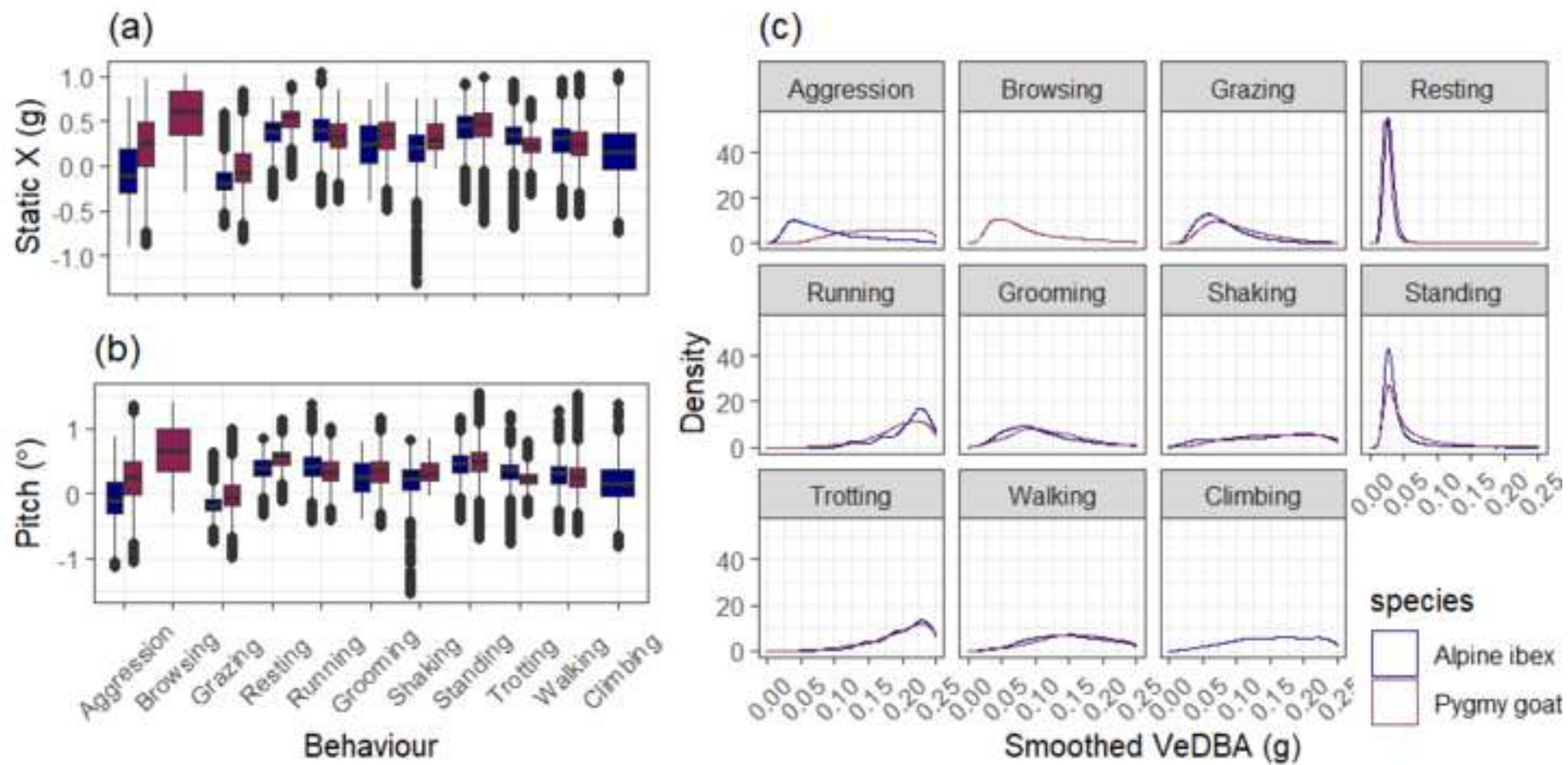


Figure 6

