

Automatic Responses to Acoustically Rough Musical Intervals

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1 The aim of the present study is to determine which acoustic components of harmonic
2 consonance and dissonance influence automatic responses in a simple cognitive task.

3 In a series of experiments, ten musical interval pairs were used to measure the influ-
4 ence of acoustic roughness and harmonicity on response times in an affective priming
5 task conducted online. There was a significant correlation between the difference of
6 roughness for each pair of intervals and a response time index. Harmonicity did not
7 influence response times on the cognitive task. More detailed analysis suggests that
8 the presence of priming in intervals is binary: in the negative primes that create con-
9 gruency effects the intervals' fundamentals and overtones coincide within the same
10 equivalent rectangular bandwidth (i.e. the minor and major seconds). Intervals that
11 fall outside this equivalent rectangular bandwidth do not elicit priming effects, re-
12 gardless of their dissonance or cultural conventions of negative affect. The results are
13 discussed in the context of recent developments in consonance/dissonance research
14 and vocal similarity.

15 **I. INTRODUCTION**

16 The contrast between consonance and dissonance is a vital feature of Western music.
17 Consonance is typically perceived as *agreeable* and *stable* while dissonance, in turn, as *dis-*
18 *agreeable* and *in need of resolution* (Tramo *et al.*, 2001). Consonance/dissonance has both a
19 vertical and a horizontal aspect: single isolated *intervals* (two concurrent pitches) and *chords*
20 (three or more concurrent pitches) represent *vertical consonance/dissonance*, while the se-
21 quential relationships between these in melodies and chord progressions represent *horizontal*
22 *consonance/dissonance* (Parncutt and Hair, 2011). The current research refers exclusively
23 to the vertical aspect.

24 Empirical research concerning consonance and dissonance frequently relies on self-report
25 methods, which come with a well-documented set of limitations (see e.g. Fazio and Olson,
26 2003, for review). Priming on the other hand captures participants' automatic responses to
27 the stimuli, avoiding demand characteristics and tapping a construct that is underpinned
28 by both physiology and culture (see e.g. Herring *et al.*, 2013, for review). Previous studies
29 using an affective priming paradigm have shown that valenced chords (e.g. consonant-
30 positive, dissonant-negative) facilitate the evaluation of similarly valenced target words (see
31 e.g. Steinbeis and Koelsch, 2011). Recent research (Lahdelma *et al.*, 2020) has found that
32 this congruency effect is not present when intervals, as opposed to chords, are used as primes.
33 This suggests that the findings are related to acoustic components such as *roughness* and
34 *harmonicity*. Roughness is often seen as prevalent in the perception of dissonance but
35 not in the perception of consonance (see e.g. Hutchinson and Knopoff, 1979), as dissonant

36 intervals contain less overall roughness than dissonant chords. The study by [Lahdelma et al.](#)
37 (2020), however, tested only four distinct intervals and excluded some of the most culturally
38 loaded ones in terms of positive and negative affect (e.g. the major/minor thirds and the
39 tritone), which poses a possible limitation in disentangling the acoustic variable from the
40 cultural. Another major acoustic factor related to dissonance is *harmonicity*, which has been
41 demonstrated to contribute to perception of consonance in a variety of settings ([McDermott](#)
42 *et al.*, 2010). Finally, support for a culturally-based argument is perhaps provided by a
43 study conducted by Steinbeis and Koelsch ([Steinbeis and Koelsch, 2011](#)) which found that
44 congruency effects could be primed by major and minor triads which lack clear differences
45 in roughness.

46 The present study aims to disentangle the role of specific acoustic properties of the
47 intervals, namely *roughness* and *harmonicity* and consider contrasts that stem from *affective*
48 *conventions*, such as the contrasts between major and minor thirds and sixths, rather than
49 acoustics.

50 A. Consonance and Dissonance

51 1. Acoustic Roughness

52 Historically, the acoustic and perceptual characteristics of consonance and dissonance
53 were placed on a sound theoretical and empirical footing by von [Helmholtz \(1885\)](#) who
54 proposed acoustic roughness as an explanation for why some musical intervals are considered
55 dissonant and disagreeable. There is consensus that the sensation of roughness is caused

56 by interference patterns between wave components of similar frequency that gives rise to
57 beating (see e.g. [Hutchinson and Knopoff, 1978](#)), which in turn creates the sound qualitys
58 of that listeners typically perceive as unpleasant. Based on his findings of the relationship
59 between roughness and dissonance, von Helmholtz derived that consonance in turn is the
60 absence of roughness, and concluded that roughness is the cause of both consonance and
61 dissonance in music. Coming to a similar conclusion later, [Terhardt \(1984\)](#) proposed that
62 the evaluation of consonance in isolated intervals and chords is mostly governed by *sensory*
63 *consonance*, i.e. a lack of unpleasant features of a sound such as sharpness (the presence of
64 spectral energy at high frequencies) and roughness.

65 The perception of roughness has a biological substrate, as beating occurs at the level of
66 the basilar membrane in the inner ear when the frequency components are too close together
67 to separate (see e.g. [Peretz, 2010](#)). This range is known as the *critical bandwidth* ([Fletcher](#)
68 [and Munson, 1933](#)). According to [Smith and Abel \(1999, p. 21\)](#) "a critical band is 100 Hz
69 wide for center frequencies below 500 Hz, and 20% of the center frequency above 500 Hz".
70 A more recent formulation of this concept, the equivalent rectangular bandwidth (ERB,
71 see [Patterson, 1976](#)) approximates the basilar membrane as being made up of rectangular
72 band-pass filters. Fundamental frequencies processed within the same band-pass filter are
73 perceived as acoustically rough. Compared to the critical bandwidth, the ERB typically
74 encompasses a narrower range of frequencies ([Smith and Abel](#)).

75 The sensitivity to roughness seems to be present cross-culturally (see [McDermott et al.,](#)
76 [2016](#)), but its appraisal differs significantly across musical styles and cultures: while a typical
77 Western listener hears roughness as disagreeable, it is deliberately harnessed in the vocal

78 practice of *beat diaphony* (known as *Schwebungsdiaphonie* in German literature) in for ex-
79 ample the Baltic and Balkan regions of Europe (see e.g. [Ambrazevičius, 2017](#)) and in Papua
80 New Guinea ([Messner, 1981](#)). Several models of roughness exist such as those by [Hutchinson](#)
81 [and Knopoff \(1978\)](#), [Vassilakis \(2001\)](#), and [Wang et al. \(2013\)](#). These models, which based
82 on emulation human auditory system in sensation of roughness, largely agree on the amount
83 of roughness in different intervals. Figure 1 shows how roughness varies for intervals over
84 the octave from C_4 to C_5 as the distance between the two fundamentals increases by one
85 cent – equal to $1/1200$ of an octave.

86 While roughness was long accepted as a sufficient explanation for consonance and disso-
87 nance (see [Helmholtz, 1885](#); [Terhardt, 1984](#)), later counterarguments were made for why it
88 is not all-encompassing in explaining its underlying cause. First, perceptions of consonance
89 and dissonance seem to remain when the tones of a chord are presented independently to the
90 ears (i.e. dichotically), precluding physical interaction at the input stage and thus greatly
91 reducing the perception of roughness ([Bowling and Purves, 2015](#); [McDermott et al., 2010](#)).
92 However, it has been pointed out that beats could also occur centrally, within a binaural crit-
93 ical band rather than being based on cochlear interactions ([Carcagno et al., 2019](#)). Second,
94 the perceived consonance of a chord does not seem to increase when roughness is artificially
95 removed ([Nordmark and Fahlén, 1988](#)). Third, it has been shown that participants with
96 congenital amusia (i.e. a neurogenetic disorder characterised by an inability to recognise
97 or reproduce musical tones) exhibit abnormal consonance perception but normal roughness
98 perception ([Cousineau et al., 2012](#)).

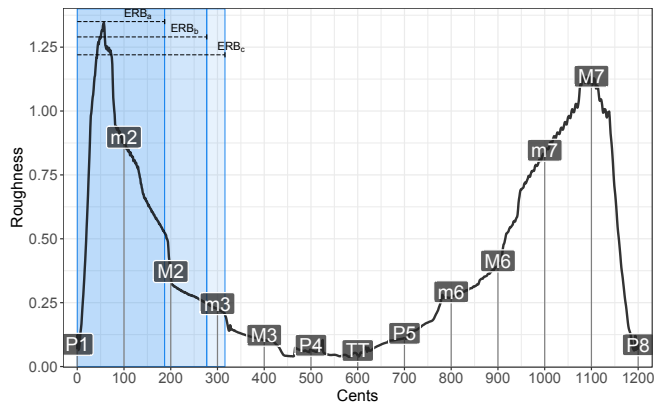


FIG. 1. Roughness using the model by Wang *et al.* (2013) for intervals from unison to octave divided into 1200 cents. Frequency-dependent critical bandwidth boundaries are shown for the first three intervals ($ERB_a = m2$, $ERB_b = M2$, $ERB_c = m3$) reflecting the different mean frequencies of the intervals in our design (see Stimuli) using the ERB bandwidths (Moore and Glasberg, 1983).

99 2. Harmonicity

100 Another possible explanation that has been put forward to explain consonance and dis-
 101 sonance in addition to roughness is the acoustic property of *harmonicity* which denotes how
 102 closely the spectral frequencies of a sound correspond to a harmonic series. A single musical
 103 pitch is the combination of the fundamental frequency plus its overtones, which typically
 104 follow the harmonic series. If an interval is made of two tones which share a large proportion
 105 of their overtones (e.g. the perfect fifth) then the interval is high in harmonicity. Conversely,
 106 intervals whose overtones do not significantly overlap (e.g. the major seventh) are considered
 107 low in harmonicity. In other words, harmonicity posits that in consonant pitch combinations
 108 the component frequencies produce an aggregate spectrum that is typically harmonic i.e.

109 it resembles the spectrum of a single sound. In contrast, dissonant intervals and chords
110 produce an inharmonic spectrum (Cousineau *et al.*, 2012).

111 Harmonicity offers a conception of consonance that is defined constructively rather than
112 by the absence of roughness. Like roughness, harmonicity may have a biological underpin-
113 ning, albeit at the neuronal rather than auditory level (Tramo *et al.*, 2001), even if this is
114 debated (Carcagno *et al.*, 2019). Preference for high levels of harmonicity has been found
115 to correlate with preference for consonance (McDermott *et al.*, 2010), and it has been sug-
116 gested that this link might be related to the advantages of recognising human vocalisation
117 (Bowling and Purves, 2015). Another possibility is that, unless humans are born with a
118 preference for harmonicity, it is acquired simply through exposure to natural sounds or to
119 music (McDermott *et al.*, 2010).

120 3. *Affective Conventions*

121 Apart from consonance and dissonance *per se*, certain musical intervals also bear strong
122 affective conventions in Western culture. The minor third is typically associated with sadness
123 while the major third with positive affect (Curtis and Bharucha, 2010). This is mirrored
124 also in speech cues where the spectra of major intervals are more similar to spectra found in
125 excited speech, and the spectra of minor intervals, in turn, are more similar to the spectra
126 of subdued speech (Bowling *et al.*, 2010). The tritone carries conventionally a negative
127 connotation in Western music (see e.g. Costa *et al.*, 2000) and has historically been described
128 as 'diabolic' (Partch, 1974). In an empirical setting, minor intervals have been found to be
129 perceived as more 'gloomy' and 'sinister' compared to their major counterparts (Costa *et al.*,

130 2000), and the major third have been demonstrated to be associated with adjectives like
131 'calm', 'pleasing', and 'happy' (Oelmann and Laeng, 2009). Also, the major sixth has been
132 found to convey 'joy' to listeners while the major seventh, in turn, 'sadness' (Krantz *et al.*,
133 2004).

134 B. The Present Study

135 The extent to which acoustical aspects (roughness, harmonicity) contribute to the per-
136 ception of consonance/dissonance in intervals has remained contentious. The present study
137 seeks to explore the extent to which harmonicity and roughness influence automatic re-
138 sponses on a simple cognitive task. As affective priming is an indirect measure, it is expected
139 to yield valuable information on the importance of these individual factors' contributions to
140 the perception of consonance and dissonance. The present study considers whether intervals
141 can influence behaviour on a word evaluation task. An important question that has remained
142 unclear in previous research is what property of the prime is responsible for activating the
143 nodes in the semantic-affective network that lead to affective priming – perceived valence
144 or consonance/dissonance (teasing apart the components roughness and harmonicity). The
145 present study uses ten interval pairs which vary in terms of valence (e.g. major and minor
146 thirds) and consonance/dissonance (e.g. minor second and perfect fifth).

147 In a similar previous experiment (Lahdelma *et al.*, 2020), it was found that when valenced
148 words were preceded by tetrachords (four concurrent pitches), there were significant congru-
149 ency effects – i.e. positive words were classified more quickly when preceded by a consonant
150 rather than a dissonant chord, and vice versa for negative words. Notably, these congruency

151 effects were absent for intervals. To address the uncertainty around context discussed in
152 [Lahdelma et al.](#), the present experiments set out to examine whether diatonic intervals can
153 drive priming effects without the confound of exposure to more complex chords.

154 In ten separate sub-experiments conducted online, one for each pair of intervals (see Table
155 [II](#)), participants were asked to classify a sequence of emotional words as either positive
156 or negative. Each word was preceded by the brief sounding of a musical interval. To
157 test whether intervals carrying cultural conventions of positive/negative affect can elicit
158 congruency effects with similarly valenced words, the major and minor thirds and the major
159 and minor sixths were tested, as well as the tritone. Additionally, we evaluated the extent to
160 which manipulating the amount of roughness can influence results of the behavioural task.
161 To this end we tested two interval pairs involving artificially detuned minor seconds (played
162 with both the piano timbre and with the Shepard tone, see [Materials & Stimuli](#) for details)
163 in order to maximise roughness. Preferential response to acoustic roughness is thought to
164 confer an evolutionary advantage; for instance alarm signals, whether in nature or man-
165 made, are frequently high in roughness ([Arnal et al., 2015](#)). Indeed, specifically in the case
166 of human vocalisation, roughness has been linked to perceived anger ([Bänziger et al., 2015](#)),
167 screams ([Schwartz et al., 2019](#)), and infant cries ([Koutseff et al., 2018](#)). Consequently, it was
168 predicted that intervals which are high in roughness will prime responses to negative stimuli
169 more effectively than those which are typically perceived as negative in valence, such as the
170 minor third ([Curtis and Bharucha, 2010](#)), but that are low in roughness. More specifically,
171 we tested the hypothesis that priming effects are influenced by differences in the acoustic

172 variables roughness and harmonicity associated with the intervals in each pair of primes:
173 details of the differences are provided in Table II in the [Materials & Stimuli](#) section.

174 II. METHODS

175 A. Participants

176 Participants were recruited via Prolific Academic (<https://prolific.ac>), a crowdsourc-
177 ing platform targeted specifically for academic research. Following deletions (17 participants;
178 see [Data Analysis](#)), 387 participants (197 female, 181 male, 3 other, mean age = 36.2, SD
179 = 12.4) completed the study. All participants reported corrected to normal or normal
180 vision and were right-handed native speakers of English. 295 participants identified as
181 non-musicians. Ethical approval was granted by the Music Department Ethics Committee,
182 University of anonymous for review. Informed consent was provided via an online checkbox.

183 B. Materials & Stimuli

184 Ten prime stimuli were generated in total, eight diatonic intervals (equal-tempered) plus
185 two intervals manipulated in tuning to maximise roughness. The diatonic intervals were
186 created in accordance with the procedure employed by Bowling et al. ([Bowling et al., 2018](#))
187 where the fundamental frequencies (f_0) of the pitches in each interval were adjusted so that
188 the mean f_0 of all pitches was C₄ (261.63 Hz). Descriptors of roughness and harmonicity
189 are given in Table I. Roughness calculations were carried out using the model developed by
190 [Wang et al. \(2013\)](#). The analyses were duplicated using the [Vassilakis \(2001\)](#) and [Hutchinson](#)

191 and Knopoff (1978) models, and with a composite model (mean roughness value of all
 192 three models). The choice of roughness model did not alter the pattern of significance of
 193 results. Harmonicity was calculated using the model by Harrison and Pearce (2018) which
 194 simulates the way listeners search the auditory spectrum for occurrences of harmonic spectra;
 195 harmonicity values under alternative harmonicity models and a composite model are detailed
 196 in the supplementary material.

197 As an additional diagnostic measure of dissonance, the first thirteen partials (fundamental
 198 plus twelve overtones) were extracted from each single tone from the intervals presented
 199 below. For each interval, we calculated the ERB about the mean of each pair of partials,
 200 generating 169 (i.e. 13×13) ERBs and checked whether the frequencies fell within this
 201 band. To determine which partials fell within the ERBs, we used the formula derived by
 202 Moore and Glasberg (1983) to calculate the width of an ERB centered on the mean frequency
 203 of each partial pair:

$$ERB = 6.23f^2 + 93.3f + 28.52$$

204 Table I details how many partial pairs for each interval fell within ERBs. The ERB
 205 was defined as the frequency band between the boundary suggested by Moore and Glasberg
 206 (Moore and Glasberg, 1983) and 10 Hz (beating effects due to frequency differences of less
 207 than 10 Hz would be perceived as amplitude modulation or 'beats' rather than roughness
 208 (see e.g. Roederer, 2008, p. 38); a further caveat is that very close alignments of overtones
 209 would contribute to a sense of harmonicity, for instance in the case of P5).

TABLE I. Intervals, Notation, and Key Descriptors.

Interval (Abbr.)	Roughness	Harmonicity	Partials/ERB
Minor second (m2)	10.00	1.00	23
Major second (M2)	4.79	5.41	25
Minor third (m3)	3.66	2.80	18
Major third (M3)	4.66	4.72	15
Perfect fourth (P4)	3.64	10.00	14
Tritone (TT)	2.70	1.03	15
Perfect fifth (P5)	1.00	10.00	13
Minor sixth (m6)	4.75	4.72	14
Major sixth (M6)	5.98	2.80	15
Minor seventh (m7)	5.70	5.41	14
Major seventh (M7)	2.58	1.00	12

²¹⁰ For the primes, eight intervals were combined into ten different pairings: m3/M3, m6/M6,
²¹¹ TT/P5, m2/P5, and M7/P5, m2/TT, M2/P5, m2/M3, d2/P5 (detuned minor second) and
²¹² s2/S5 (Shepard tones). The first eight interval pairings were generated with *Ableton Live*
²¹³ *9* (a music sequencer software), using the *Synthogy Ivory Grand Pianos II* plug-in. For
²¹⁴ the piano interval pairs, the applied sound font was *Steinway D Concert Grand*. No reverb

215 was used, and the intervals had a fixed velocity (65) in order to have a neutral and even
 216 sound. In the d2/P5 interval pairing the minor second interval was created by taking a
 217 unison and detuning one pitch down by -90 cents; this procedure created a notably high
 218 amount of roughness when measured with the models by [Vassilakis \(2001\)](#) and [Wang et al.](#)
 219 [\(2013\)](#). The pairing s2/S5 was created using Shepard tones that have octave-spaced partials
 220 from 16Hz to 20kHz with cosine curve shaped spectral envelope. The detuning of the minor
 221 second interval using the Shepard tone was created by shifting the odd partials above the
 222 fundamental upward and partials below downward by a detuning constant d . The constant
 223 was determined to yield a maximal roughness at $d = 0.024$ using the roughness models
 224 by [Vassilakis](#) and [Wang et al.](#). Table II shows the pairs of primes and the differences
 225 in their acoustic parameters. The classification as High or Low Δ Roughness and High
 226 or Low Δ Harmonicity remained unchanged when we calculated composite Roughness and
 227 Harmonicity measures (see Supplementary Material).

228 The loudness of the stimuli was equalised by setting them to the same peak sone level.
 229 The sound files were converted to stereo (same signal in both channels) as 44.1 kHz, 32 bits
 230 per sample waveform audio files. The length of each interval was exactly 800 ms including
 231 a 10 ms fadeout.

232 The target words were chosen from the database compiled by Warriner et al. ([Warriner](#)
 233 [et al., 2013](#)): *Flaccid, Hijack, Climax, Gentle, Lively, Rest, Excite, Payday, Rabid, Coma,*
 234 *Saggy, Dismal, Relax, Comfy, Arrest, Morgue*. The target words were presented in white
 235 size 40 Arial font on a black background. The priming task was coded using PsyToolkit
 236 ([Stoet, 2017](#)). Reaction time distributions collected via PsyToolkit and Prolific Academic

TABLE II. Interval Pairs Tested for Priming Index.

Intervals (Abbr.)	Δ Roughness	Δ Harmonicity
m3/M3	Low	Low
m6/M6	Low	Low
TT/P5	Low	High
M7/P5	Low	High
m2/P5	High	High
m2/TT	High	Low
M2/P5	High	High
m2/M3	High	Low

237 have been found to be comparable to RT distributions collected in a controlled laboratory
 238 environment ([Armitage and Eerola, 2020](#)).

239 C. Procedure

240 The experiment consisted of a standard word classification task with affective priming.
 241 Each item consisted of the prime (interval) presented simultaneously with a fixation cross
 242 for 250 ms. At 250 ms, the fixation cross was replaced with the target word. Participants
 243 were instructed to press the ‘z’ key if the target was negative and the ‘m’ key if the target
 244 word was positive. The target word remained onscreen for 1500 ms; key presses greater

245 than 2000 ms after the onset of the target word were classed as timeouts. Participants
246 initially completed a 10-item familiarisation block, which was followed by the experimental
247 block of 32 items. During the practice block, participants were informed whether or not
248 their response was correct immediately after each item. No indication of accuracy was given
249 during the experimental block.

250 **III. RESULTS**

251 **A. Data Analysis**

252 Data pre-treatment mirrored the treatment employed in (Armitage and Eerola, 2020).
253 RTs were fitted to an exponentially-modified (ExGaussian) distribution. RTs shorter than
254 250ms or slower than the 95th percentile of each participant’s ExGaussian distribution were
255 deleted prior to analysis. Similarly, incorrect answers and timeouts (i.e. RTs greater than
256 2000 ms) were also deleted prior to analysis. Data from participants who failed to reach a
257 75% accuracy rate was deleted from the analysis. Standardised effect sizes are not reported
258 for GLM models.

259 **B. Relationship Between Priming and Stimulus Features**

260 Stimuli were grouped according to their acoustic properties - High vs Low differ-
261 ence in acoustic roughness (Δ Roughness), and High vs Low difference in harmonicity
262 (Δ Harmonicity). Response times data were analysed using a generalised linear model. Re-
263 sponse times in the High vs Low Δ Roughness difference conditions and the High vs Low

264 Δ harmonicity conditions were compared alongside the overall congruency effect (Congru-
265 ent vs Incongruent conditions). As predicted, congruency effects were present in the High
266 Δ Roughness condition. The key interaction Δ Roughness \times Congruence proved significant,
267 $\chi^2(1) = 4.85, p = .028$. Considering the High Δ Roughness group alone, planned contrasts
268 revealed that targets in Congruent conditions (mean RT = 667 ms, SD = 162 ms) were
269 evaluated significantly more quickly than in Incongruent conditions (mean RT = 677 ms, SD
270 = 162 ms), $z(inf) = 2.79, p = .005$, (standardised effect sizes not reported for GLM model)
271 revealing the presence of congruency effects in this condition; contrasts between response
272 times in the Congruent (mean RT 684 = ms, SD = 177) and Incongruent (mean RT = 682
273 ms, SD = 164) conditions in the Low Δ Roughness condition fell well short of statistical
274 significance, $p > .05$. Contrary to expectations, the interaction Δ Harmonicity \times Congruence
275 was non-significant, suggesting the absence of congruency effects. Curiously, planned con-
276 trasts suggested that, in the Low Δ Harmonicity condition, there was a significant contrast in
277 RTs between congruent (mean RT = 674 ms, SD = 161) and Incongruent (mean RT = 680
278 ms, SD= 157) conditions. However, when this is controlled for Δ Roughness, the contrast
279 holds in the presence of High Δ Roughness (mean RTs = 669 vs 681 ms for Congruent vs
280 Incongruent conditions; SD = 151 vs 151) but not Low Δ Roughness (mean RTs = 679 vs
281 680 ms for Congruent vs Incongruent conditions; SD = 170 vs 173), suggesting that the
282 contrast is a consequence of the difference in roughness rather than harmonicity. Finally,
283 the main effects of Δ Roughness, Δ Harmonicity and Congruence were all non-significant,
284 $p > .05$. Mean response times per Congruency, Δ Roughness and Δ Harmonicity are shown

285 in Figure 2. Within-subjects t-tests on the congruency effects for individual prime pairs are
 286 reported as supplementary material.

287 C. Roughness Manipulation

288 To probe the role of roughness further, we introduced two artificial intervals which were
 289 designed to test the influence of more extreme differences in roughness, d2 and S2 (detuned
 290 minor seconds played with piano and Shepard tone timbres). Two further sub-experiments
 291 were carried out employing the same procedure but using these artificial stimuli as primes,
 292 with the expectation that the increase in Δ Roughness would, on average, increase the dif-
 293 ference in response times between the congruent and incongruent conditions. As the key
 294 measure of congruency effects is the difference in response times, we carried out a simple
 295 linear correlation test on difference in roughness versus difference in response time between
 296 the Congruent and Incongruent conditions (referred to from hereon as *priming index* for
 297 brevity) for the expanded data set of ten interval pairs. The correlation was statistically
 298 significant, $r = .13, t(380) = 2.53, p = .01$. However, the low r value and visual inspection of
 299 Figure 3 suggested that, rather than a linear relationship, there is a step-like relationship –
 300 i.e. the increase in Δ Roughness is *not* associated with an additional increase in the priming
 301 index. To test this, the interval pairs were split into three categories by difference in rough-
 302 ness: Low (m3/M3, m6/M6, M7/P5, TT/P5), High (m2/P5, m2/TT, m2/M3, M2/P5),
 303 and Extreme (made up of the manipulated intervals d2/P5 and s2/S5). Owing to the clear
 304 a priori competing hypotheses (linear vs step-like dependence on Δ Roughness), we used
 305 a planned contrasts approach, where the dependent variable was the priming index. The

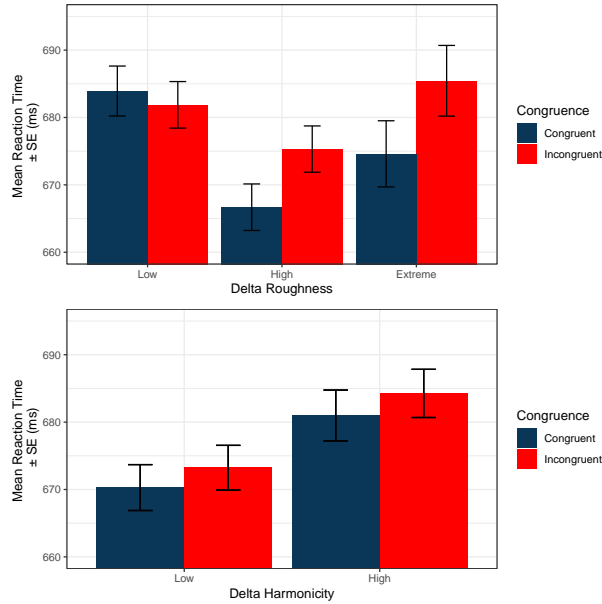


FIG. 2. Mean Reaction Times for Low, High and Extreme levels of Δ Roughness and Low and High levels of Δ Harmonicity.

306 planned comparisons were between the Low Δ Roughness and the combined High and Ex-
 307 treme Δ Roughness groups, and finally between the separate High and Extreme Δ Roughness
 308 groups.

309 For the first contrast, there was a statistically significant difference in the size of the
 310 priming index between the Low (mean index = -0.9ms, SD = 45.2 ms) and the combined
 311 High and Extreme groups (mean index = 10.1 ms, SD = 45.5), $t(379) = 2.47, p = .04, d =$
 312 0.24. However, there was no significant difference in priming index between the High (mean
 313 = 9.2 ms, SD = 44.3) and Extreme (mean = 11.8 ms, SD = 48.0) Δ Roughness groups,
 314 $p > .05, d = 0.06$, suggesting that increasing the level of roughness does not increase the
 315 strength of the automatic response, supporting the hypothesis that the relationship between
 316 Δ Roughness and priming index is step-like rather than linear.

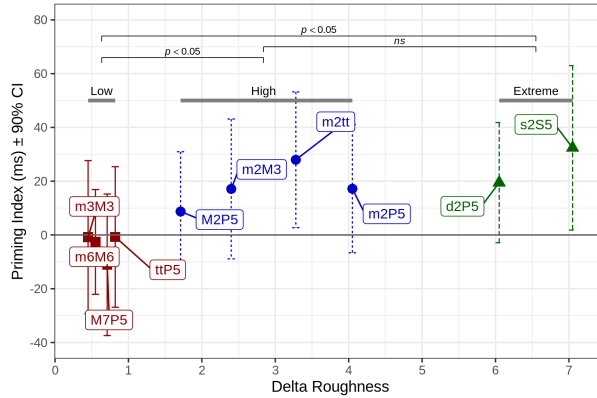


FIG. 3. Difference in Roughness vs Priming Index for Diatonic Intervals. Three groups of pairings (Low, High, and Extreme Δ Roughness) highlighting the contrasts.

317 IV. DISCUSSION

318 We have demonstrated that interval pairs which differ by only a small amount in terms
 319 of acoustic roughness do not influence responses on a word classification task. On the
 320 other hand, intervals which differ more significantly in roughness do influence the responses.
 321 Notably, out of the two acoustic components that are seen as prevalent in the perception of
 322 consonance/dissonance, namely *roughness* and *harmonicity* (see [Harrison and Pearce, 2020](#);
 323 [Parncutt and Hair, 2011](#)), roughness was the only component that influenced the responses.
 324 Harmonicity failed to reach significance, and consequently it seems likely that harmonicity
 325 does not contribute to congruency effects. Rather surprisingly, interval pairs that bear
 326 strong cultural associations with negative and positive valence respectively (i.e. m3/M3,
 327 m6/M6, TT/P5) failed to influence responses to the classification task. This suggests that
 328 these culturally loaded interval pairs are not dissimilar enough in terms of roughness to elicit
 329 priming effects when presented by themselves, which is striking in the light that minor/major

330 triads, in turn, have been shown to be effective primes for negative/positive words in an
331 affective priming setting (Steinbeis and Koelsch, 2011). Also, the culturally negatively
332 loaded interval of the tritone (see e.g. Partch, 1974) was not congruent with negative words,
333 and was in fact an effective prime for positive words when paired with the maximally rough
334 minor second interval due to its relatively low roughness (see Table I).

335 Although several contemporary models of consonance and dissonance attempt to inte-
336 grate the concepts of roughness, harmonicity and other non-acoustic factors (see e.g. Harrison
337 and Pearce, 2020; Parncutt and Hair, 2011), the present study suggests that in this context
338 of looking exclusively at musical intervals in an affective priming setting, roughness is the
339 most important acoustic variable. Critically, both of the intervals that are associated with
340 increased priming index all fall within the equivalent rectangular bandwidth (see Patterson,
341 1976). The comparison of the minor and major thirds provides a particularly interesting
342 case. The minor third falls theoretically just within the ERB. However, the priming index
343 for the m3/M3 pairing did not differ significantly from zero. To probe this further, we con-
344 sidered whether the roughness activation was present in the basilar membrane for different
345 combinations of partials for the two notes of each interval (see Table I). This suggests that
346 although the fundamentals lie within the same ERB for m3, the number of overtones lying
347 within the same ERBs is considerably less than is the case for m2 and M2, creating a much
348 less rough effect overall. It should be noted that this approach does not account for relative
349 amplitudes of the partials. Nevertheless, it does provide a parsimonious explanation of why
350 an automatic response was detected for other intervals in the ERB (m2 and M2) but not
351 for m3.

352 Additionally, if we consider only the 'rough' intervals, i.e. m2, M2, and the artificial
353 intervals d2 and S2, there is no difference in the size of the congruency effects between
354 interval pairs where the difference in roughness was high compared to extreme. This suggests
355 that some qualitative difference exists between intervals that fall either within or outside this
356 specific degree of ERB activation, but that beyond this threshold the degree of roughness
357 does not influence the priming index. Indeed, the results of the present experiment provide
358 a new behavioural correlate of the assertion by Scharf: "listeners react one way when the
359 stimuli are wider than the critical band and another way when the stimuli are narrower"
360 (Scharf, 1970, p. 196).

361 An important question is why it is roughness, and not harmonicity that influences re-
362 sponse times. Compared to harmonicity, roughness is uniquely situated in being associated
363 with for example alarm signals and is thought to convey an advantage in enacting automatic
364 responses (Arnal *et al.*, 2015). A possible explanation is that it is not dissonance *per se* that
365 is pre-activating negative concepts. The human auditory system is well attuned to human
366 vocalisations as they carry acoustic information about for example bodily states (see Pouw
367 *et al.*, 2020). Indeed, the harmonicity properties of the human voice have proved the basis
368 for a compelling explanation of consonance (Bowling and Purves, 2015) if consonance and
369 dissonance are treated as two distinct phenomena (i.e. consonance as the perceptual cor-
370 relate of harmonicity, and dissonance as the perceptual correlate of roughness) instead of a
371 continuous scale (see also Harrison and Pearce, 2020; Parncutt and Hair, 2011). As a corol-
372 lary to this, we contend that the capacity to violate norms surrounding harmonicity, both
373 in producing and attending to rough sounds, for instance cries of infants (Koutseff *et al.*,

2018) or angry voices (Bänziger *et al.*, 2015), also confers an evolutionary advantage. This advantage explains why roughness in particular and not harmonicity is associated with an increase in the priming index, i.e. a stronger behavioural response to the valenced stimuli. We argue that the automatic response present in the priming index is driven by biological adaptation to acoustic factors: acoustically rough intervals activate responses associated with acoustically rough human vocalisations such as growls, screams, or cries. Strikingly, in the case of the major second interval this seems to happen quite subconsciously, if we go by the notion of composer/theorist Paul Hindemith who proposes that the major second sounds "almost consonant to our ears" (Hindemith, 1942, p. 85). On an empirical note, the major second interval has indeed been found to be perceived as more consonant than the minor seventh and major seventh intervals (Bowling *et al.*, 2018).

Although the present study provides a novel behavioural method for tapping the roughness construct, it should be noted that at this stage the size of the effect is relatively small. Moreover, it is useful in indicating contrasts in roughness rather than as a direct measure of roughness. Nevertheless, roughness contrast is an objective new method that taps into automatic perception as opposed to aesthetic judgements and bypasses semantic confounds which have notoriously plagued consonance/dissonance research (see Lahdelma and Eerola, 2020) as well as cross-cultural research into the question (see Bowling *et al.*, 2017). Such an objective new method can help to investigate the appreciation of dissonance across musical cultures.

Indeed, the present result provides, to some extent, an explanation of the observation that, in the absence of previous exposure to Western diatonic harmony, there is no preference for

396 consonant intervals over dissonant intervals, although there is a small preference for large
397 over small intervals (McDermott *et al.*, 2016). The results of the present study suggest that
398 the binary division is not whether an interval is categorised as consonant or dissonant, but
399 whether it is large or small – i.e. falling fully within the ERB, including its partials. The
400 present study offers an explanation of automatic responses to acoustically rough intervals
401 based on biological imperatives to respond to alarm signals present in human vocalisation.
402 Future research should consider whether this biological imperative underpins dissonance
403 perception more broadly or whether this sort of priming paradigm presents a special case.
404 The role of culture in this effect also warrants further investigation, in particular whether
405 it can be replicated with participants who have had frequent exposure to, for instance, *beat*
406 *diaphony* in musical cultures that promote roughness for its aesthetic value (Ambrazevičius,
407 2017; Messner, 1981).

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