529	Behaviour, temperature and terrain slope impact estimates of energy
530	expenditure using oxygen and dynamic body acceleration
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545	
546	Abstract

The energy used by animals is influenced by intrinsic (e.g. physiological) and extrinsic (e.g.
environmental) factors. Accelerometers within biologging devices have proven useful for
assessing energy expenditures and their behavioural context in free-ranging animals. However,

certain assumptions are frequently made when acceleration is used as a proxy for energy
expenditure, with factors, such as environmental variation (e.g. ambient temperature or slope
of terrain), seldom accounted for.

To determine the possible interactions between behaviour, energy expenditure and the 553 environment (ambient temperature and terrain slope), the rate of oxygen consumption ( $\dot{V}O_2$ ) 554 was measured in pygmy goats (*Capra hircus aegarus*) using open-flow indirect calorimetry. 555 The effect of temperature (9.7 to 31.5°C) on resting energy expenditure was measured. The 556 relationship between VO<sub>2</sub> and dynamic body acceleration (DBA) was measured at different 557 walking speeds (0.8 to 3.0 km.h<sup>-1</sup>) and on different inclines (0,  $+15^{\circ}$ ,  $-15^{\circ}$ ). The daily behaviour 558 of individuals was measured in two enclosures: enclosure A (level terrain during summer) and 559 enclosure B (sloped terrain during winter) and per diem energy expenditures of behaviours 560 estimated using behaviour, DBA, temperature, terrain slope and VO<sub>2</sub>. 561

562 During rest, energy expenditure increased below 22°C and above 30.5°C.  $\dot{V}O_2$  (ml.min<sup>-1</sup>) 563 increased with DBA when walking on the level. Walking uphill (+15°) increased energetic 564 costs three-fold, whereas walking downhill (-15°) increased energetic costs by one third. Based 565 on these results, although activity levels were higher in animals in enclosure A during summer, 566 energy expenditure was found to be significantly higher in the sloped enclosure B in winter 567 (means of enclosures A and B: 485.3 ± 103.6 kJ.day<sup>-1</sup> and 744.5 ± 132.4 kJ.day<sup>-1</sup>).

568 We show that it is essential to account for extrinsic factors when calculating animal energy 569 budgets. Our estimates of the impacts of extrinsic factors should be applicable to other free 570 ranging ungulates.

571

# 573 Introduction

574 At the core of understanding an animal's survival and reproductive fitness is calculating the energetic costs of the ecological processes involved [1,2]. Variation in energy expenditure 575 associated with the extents and intensities of different behaviours impact the fitness and 576 577 survival of individuals. Part of this relates to efficient foraging [3-5]. Multiple intrinsic (i.e. physiological, such as body mass) and extrinsic (e.g. environmental, such as temperature and 578 579 terrain) factors influence behaviour and therefore energy expenditure [6-8]. Extrinsic factors 580 are variable, and may be exacerbated climate change [9] which in turn will bring about changes in behaviour, movement, and associated energy expenditure [10,11]. Indeed, it is becoming 581 increasingly clear to what extent environmental variation per se affects animal power-use and 582 this is immensely important in understanding the consequences of this variation in a changing 583 world [12-14] A rigorous examination of the methods used to determine energy expenditure 584 585 within this context is necessary for future work.

Extrinsic factors that influence metabolic rate in animals are associated with variation 586 in environmental conditions, such as ambient temperature (T<sub>a</sub>) that changes with latitude, 587 season, elevation as well as the time of day [1,15,16]. The majority of mammals are 588 homeotherms and as a consequence must invest energy into maintaining core body temperature 589  $(T_b)$  when the  $T_a$  is on either side of the thermoneutral zone [8,17]. Mammals occurring in 590 seasonal environments may need to invest excess energy into thermoregulation [10,18], or they 591 may evolve physiological, morphological or behavioural traits to moderate the energetic costs 592 593 of thermoregulation [16,19,20].

594 Movement is a fundamental part of the ecology of many animals and it necessitates 595 travel through a heterogenous landscape which determines the energetic costs of movement 596 according to the physical characteristics of the 'energy landscape' [21,22]. Specifically, the

physical characteristics of the environment, such as aspect, the substrate (e.g. rock vs sand) and 597 the 'superstrate' (e.g. grass or snow), affect the energy cost of locomotion [22-24]. Generally, 598 the cost of transport increases when animals travel on sloped terrain; however, the amplitude 599 of this effect varies both within and between species [25]. Energy expenditure during 600 locomotion is also be influenced by limb length [26], gait (i.e. walking, running) [27], and 601 travel speed [28]. Energy expended in locomotion, for resource acquisition or other reasons 602 603 (e.g. reproduction; Betts et al., 2008), contributes to a large proportion of an animal's energy requirements or daily energy expenditure (DEE) [29,30]. For example, locomotion in North 604 605 American pumas (*Puma concolor*) was estimated to contribute 14% of the DEE (MJ day<sup>-1</sup>) despite individuals being active for only 4.7% of the time [13]. 606

Tri-axial accelerometers in animal-attached tags have been deployed extensively on 607 individuals to measure behaviour and movement [31-33], and can be used to determine the 608 relative cost of energy expended during activity [5,34,35]. Specifically, measures of 609 610 acceleration such as 'overall dynamic body acceleration' (ODBA) or 'vectorial dynamic body 611 acceleration' (VeDBA), generally referred to as DBA [5,36], have been corroborated with simultaneous measurements of the rate of oxygen consumption (VO<sub>2</sub>) using indirect 612 calorimetry [35,37] or the doubly labelled water technique [38,39]. The particular utility is that 613 calibrations of energy expenditure, for example from measured VO2 against DBA, allows for 614 an estimate of a free-roaming animal's behaviour-specific power (J s<sup>-1</sup>) and DEE (kJ day<sup>-1</sup>) 615 (e.g. [13,40]), and furthermore how these tie in with variation in the environment. 616

In this study, we aimed to describe the interaction between temperature and terrain slope using a caprid (the African pygmy goat, *Capra hircus aegarus*) as a model species, by simultaneous measurements of  $\dot{V}O_2$  using indirect calorimetry and body movement with triaxial accelerometers. We aimed to examine how behaviour and energy expenditure measured using biologging tools (tri-axial accelerometers) are predicted to vary in free-ranging individuals depending on the aspect of their environment (i.e.,  $T_a$  and terrain slope). Pygmy goats are small ruminants (mean  $\pm$  SD = 25.9  $\pm$  6.3 kg) able to adapt to a range of climates and can endure particularly arid conditions [41,42]. We suggest that, since this species can be exposed to a range of conditions in a captive setting, it would be s a good model to use in order to relate to other wild caprids of conservation or management concern, including bezoar goats (*Capra aegagrus*), Alpine ibex (*Capra ibex*) and Siberian ibex (*Capra sibirica*).

The aims of the study were to: 1) measure the resting energy expenditure (REE) of 628 individuals at different temperatures (9.7 to 31.5 °C); 2) measure the relationship between DBA 629 and  $\dot{V}O_2$  when individuals are resting and walking at different speeds (0.8 to 3.0 km/h; 630 increments of 0.1 km.h<sup>-1</sup> at temperatures between 11 and 28 °C) and to test how this relationship 631 varies with terrain slope (level = 0, positive =  $+15^\circ$ , negative =  $-15^\circ$ ); 3) classify behaviours 632 from accelerometry data; 4) and using the measured energy expenditure and daily tri-axial 633 634 acceleration data to estimate the daily behaviour and DEE of individuals allowed to roam freely in two different enclosures; enclosure A (level terrain) during summer and enclosure B (sloped 635 terrain) during winter. For logistical reasons it was not possible to study the goats in both 636 enclosures during multiple seasons. General linear models (GLM) or generalized linear mixed 637 effects models (GLMM) were used to test the relationship and models were ranked using An 638 Akaike's Information Criterion (AIC). Behaviour was classified using random forest models. 639 Outcomes from these models were then used to estimate daily behaviour and DEE from daily 640 acceleration data, which was then compared between the two enclosures. 641

642

### 643 **Results**

The mean ( $\pm$  SD)  $\dot{V}O_2$  at rest or REE, measured when goats were standing on the treadmill 645 (n=7), was 69.38 ( $\pm$  23.02) ml.min<sup>-1</sup>. Measured  $\dot{V}O_2$  at rest (ml min<sup>-1</sup>) significantly increased 646 with individual body mass (Table 1). Body mass and age were highly correlated (Pearson's r = 647 7.58, p < 0.001). There was a significant effect of temperature on measured  $\dot{V}O_2$  tested using 648 a quadratic function (values derived from predicted values; Figure 1a; Table 1). VO<sub>2</sub> at rest 649 was highest at the lowest temperature (97.13  $\pm$  18.36 ml.min<sup>-1</sup> at 9.72°C) and decreased with 650 increasing temperature. Between 22°C and 30°C, VO<sub>2</sub> at rest did not change with temperature 651 but increased by 0.03 ml min<sup>-1</sup> from 30.5°C (the upper critical limit) to the maximum recorded 652 temperature, 31.5°C.  $\dot{VO}_2$  at rest did not change with humidity (F<sub>7.8</sub>= 0.13, p = 0.069). 653

During measurements, food was provided to individuals while standing in the chamber; therefore, some movement was expected during measurements.  $\dot{V}O_2$  at rest increased with body movement (DBA) measured by tri-axial accelerometers (Table 1; Figure 1b).

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**Figure 1:** (a) Measured  $\dot{V}O_2$  at rest (ml.min<sup>-1</sup>) of standing pygmy goats at different ambient temperatures (°C) using a quadratic model and (b) dynamic body acceleration (DBA, *g*) using a linear regression. The lines show the predicted relationships, the shaded areas show the 95% confidence interval.

662

## 663 Walking on level, positive and negative slopes of terrain

The relationship between  $\dot{VO}_2$  and DBA was measured on the level (0°, n = 4), and a positive slope (+15°, n = 3) and negative slope (-15°, n = 3) while walking at different speeds on a treadmill (Additional file 2). When walking on the level *g*- specific  $\dot{VO}_2$  (i.e.  $\dot{VO}_2$  at a specific acceleration value) was 76.4 ± 14.05 ml.min<sup>-1</sup> at 0.093 g DBA. As DBA increased,  $\dot{VO}_2$ increased by 6.42 ± 8.70 ml.min<sup>-1</sup> per 0.01 g acceleration (Table 1; Figure 2a). The relationship

669	between DBA and VO <sub>2</sub> was significantly affected by slope (Table 1; Figure 2a). When waking
670	on a positive slope g- specific $\dot{V}O_2$ was higher (183.4 ± 17.85 ml.min <sup>-1</sup> at 0.14 g) and the
671	relationship between $\dot{V}O_2$ and DBA was steeper; $\dot{V}O_2$ increased by 17.93 $\pm$ 17.04 ml.min <sup>-1</sup> per
672	0.01 g acceleration. On a negative slope, by contrast, $\dot{V}O_2$ was also higher than on the level
673	terrain when considered as g- specific $\dot{V}O_2$ (110.8 ± 18.98 ml.min <sup>-1</sup> at 0.14 g acceleration) but
674	the relationship between $\dot{V}O_2$ and DBA did not differ significantly (5.71 ± 15.85 ml.min <sup>-1</sup> per
675	0.01 g acceleration; Figure 2a). DBA increased linearly with speed (Pearson's r = 0.904, p <
676	0.0001) while goats were walking on the treadmill at different speeds and slopes (Figure 2b).
677	Walking measurements obtained over a range of temperatures (11.7 to 27.8 °C) which was
678	accounted for in the model.

**Table 1:** Top model set explaining energy expenditure associated with; (a) standing at different temperatures, (b) walking at different speeds on the level and (c) walking at different speeds for each slope, with all simpler nested models within  $\Delta AIC_c = 6$  retained for inference.

Model	DBA	Temp	Temp <sup>2</sup>	Weight	Sl:DBA	df	<b>R</b> <sup>2</sup>	ΔAICc	Weight
(a) <i>Resting</i>	186.3	-7.96	0.15	3.11	NA	7	0.758	0.00	0.985
energy									
expenditure									
(REE)									
(b) Effect of	455.5		-0.11	7.92	NA	5	0.806	0.00	0.509
activity:	455.8	-4.32		7.87	NA	5	0.800	1.63	0.226
walking									
(c) Effect of	431.4		-0.11	8.90	+	9	0.874	0.00	0.491
terrain:	432.6	-4.42		8.88	+	9	0.875	0.84	0.323
Walking on									
different									
slopes									

SI = terrain slope. The degrees of freedom (df), amount of variation explained ( $R^2$ ), AICc's and Akaike model weights are shown for each model.

**Figure 2:** (a) Predicted relationship between  $\dot{VO}_2$  (ml.min<sup>-1</sup>) and DBA (*g*) while pygmy goats were walking on a treadmill at three different slopes; level (0°), negative slope (-15°), and positive slope (+15°). The shaded area shows the 95% confidence interval. (b) The correlation between DBA (*g*) and walking speed (km.s<sup>-1</sup>), while goats are walking on a treadmill at different speeds and different slopes.

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## 686 Behavioural classification

To classify behaviour, random forest models were built for data collected in each enclosure using a subset of data with behavioural observations (Additional file 1). Classification accuracy to identify five behaviours in enclosure A (level) was 93.2% and to identify five behaviours including the slope of terrain in enclosure B (sloped) for two locomotion behaviours was 80.4% (for further information see Additional file 2).

692

# 693 Estimated daily behaviour and energy expenditure of animals in outdoor enclosures

Overall, standing accounted for the largest proportion of time  $(0.45 \pm 0.30)$ , followed by resting (0.38 ± 0.34) and eating (0.21 ± 0.17; Table 2). Locomotory behaviours made up the least amount of time (walking = 0.019 ± 0.03, running = 0.0011 ± 0.002). A total of 867 seconds were marked as unknown behaviour, which accounted for 0.028% of total time. Using predictions from measured  $\dot{V}O_2$ , energy expenditure for each behaviour was estimated using models shown in Table 3 (Table 2; Figure 3; Additional file 1).

The proportion of time spent active (eating, walking or running) was higher in the level enclosure during summer (enclosure A) than the sloped enclosure during winter (enclosure B) and was not explained by mean daily temperature (Table 3; Figure 4a). There was no interaction between enclosure and temperature. Mean estimated daily energy expenditure was  $620.3 \pm 119$ kJ.day<sup>-1</sup>. Daily energy expenditure was higher in the sloped terrain during winter than the level enclosure during summer and with lower mean daily temperatures (Table 3; Figure 4b). The effect of temperature was stronger than the effect of enclosure, which relates to the slope of enclosure B. Temperature and daily energy expenditure were not correlated (Pearson's r = 1.02, p = 0.31).

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**Table 2:** Description of the energetic costs for each behaviour, the acceleration range observed for each behaviour and the line equations used to calculate the energetic costs of each behaviour.

Description of energetic	Acceleration	Equation		
cost	range (g)	-		
VO2 at rest measured in	0 - 1.22	<i>y</i> = 66.95 + 0.15T <sub>a</sub> <sup>2</sup> + -7.97T <sub>a</sub> + W + A		
this study at different				
temperatures and				
accounting for DBA.				
Lying down has been	0 - 0.434	$y = (66.95 + 0.15T_a^2 + -7.97T_a + W +$		
measured to use 29%		A) *0.29		
less energy than standing				
for goats (Dailey and				
Hobbs, 1989).				
$VO_2$ at rest measured in	0.218 - 1.01	$y = 66.95 + 0.15T_a^2 + -7.97T_a + W + A$		
this study at equivalent				
DBA.	0.405 0.706			
Walking $VO_2$ measured	0.105 - 0.786	$y = -75.622 + \alpha_j + (642.345 + \gamma_i)^* A + T + 1000$		
in this study at different		$I_a + VV$		
speeds and terrain slopes				
at equivalent DBA.	0 796 1 67			
this goit was actimated as	0.780 - 1.07	$y = -75.022 + \alpha_j + (042.345 + \gamma_i)^{-1}A + T_{-1} + M_{1} + 2 E_{1}$		
2.5 time the operactic		$T_a + VV = 2.5$		
2.5 time the energence		$\alpha_1 = 0, \nu_1 = 0$		
Robbins and Hanley		$\alpha_{\rm p} = -88.393$ , $\nu_{\rm p} = 2072.780$		
108 <i>4</i> )		$\alpha_{\rm p} = 11.853, \nu_{\rm p} = 227.434$		
Energetic cost not				
included				
	Description of energetic cost VO <sub>2</sub> at rest measured in this study at different temperatures and accounting for DBA. Lying down has been measured to use 29% less energy than standing for goats (Dailey and Hobbs, 1989). VO <sub>2</sub> at rest measured in this study at equivalent DBA. Walking VO <sub>2</sub> measured in this study at different speeds and terrain slopes at equivalent DBA. The additional cost of this gait was estimated as 2.5 time the energetic cost of walking (Parker, Robbins and Hanley, 1984). Energetic cost not included.	Description of energetic costAcceleration range $(g)$ VO2 at rest measured in this study at different temperatures and accounting for DBA. Lying down has been measured to use 29% less energy than standing for goats (Dailey and Hobbs, 1989). $0 - 0.434$ VO2 at rest measured in this study at equivalent DBA. Walking VO2 measured in this study at different speeds and terrain slopes at equivalent DBA. The additional cost of this gait was estimated as $2.5$ time the energetic cost of walking (Parker, Robbins and Hanley, $1984$ ). $0.218 - 1.67$		

 $T_a$  = Ambient temperature, A = DBA, W = body weight,  $\alpha_j$  = terrain slope ( $\alpha_l$  = level,  $\alpha_p$  = positive,  $\alpha_n$  = negative),  $\gamma_i$  = terrain slope\*DBA ( $\gamma_l$  = level,  $\gamma_p$  = positive,  $\gamma_n$  = negative)

**Table 3:** Top model set explaining the (a) proportion of time spent active and (b) daily energy expenditure, with all models within  $\Delta AIC_c = 6$  and lower than all simpler nested models.

Model	Variables retained	K	R <sup>2</sup>	AICc	ΔAICc	Weight
(a) Proportion Time	Enclosure + (ID) +	5	0.64	-430.7	0	0.82
Active	(Date)					
	(ID) + (Date)	4	0.63	-427.6	3.09	0.18
(b) Daily Energy	Enclosure x Temp +	7	0.82	1264.7	0	0.966
Expenditure	(ID) + (Date)					

The degrees of freedom for each model (df), amount of variation explained (R<sup>2</sup>), AICc and Akaike model weights are shown for each model.

## 712

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Figure 3: Predicted energy expenditure for pygmy goats in each enclosure (A or B) for five
behaviours in relation to the strongest predictor for the respective model used: (a) Resting, (b)
Standing, (c) Eating, (d) Walking and (e) Running. Dashed lines represent prediction intervals.

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Figure 4: (a) Proportion of time spent active and (b) mean daily energy expenditure in each enclosure (A or B) for pygmy goats in addition to; (c) mean daily energy expenditure at different temperatures in each enclosure.

721

# 722 **Discussion**

Biologging devices are frequently used to quantify behaviour and estimate the energy
expenditure of free-roaming animals [5,32,33,43]). In fact, the real importance of these devices
is that they seemingly have the potential to examine the intimate details of animal lives

remotely (e.g. [34,44]), including measurements of aspects of the environment that the individuals experience [22]. It is therefore useful to think of these devices as an approach that allows easy access to the relationship between activity and power use. However, given the inherent complexities of measuring energy expenditure [7,5], quantifying behaviour [45,46] and the interactions between these and the environment, including the role of power in incline movement [25], superstrate [22]and thermal substitution [47], investigating and controlling for limitations in the method is clearly essential.

Although the use of acceleration as a proxy for energy expenditure has been shown to 733 be a valuable tool after an association between DBA and energy expenditure was found in 734 cormorants [43], our study indicated that energy expenditure in these pygmy goats was higher 735 in was higher in the sloped terrain during the winter (enclosure B), than on the level terrain 736 during the summer months (enclosure A), despite animals having higher activity in A. This 737 could be explained both by the topography of the enclosures, because enclosure B was sloped, 738 and the temperatures, which were lower in enclosure B. A dramatic illustration is the way in 739 which g- specific energy expenditure varied in our studies with slope (Figure 2) which suggests 740 that when using DBA metrics to estimate power, aspect and gradient of the substrate must at 741 742 least be included.

743 The response of the pygmy goats to temperature (Figure 1a) is typical of that found in 744 mammals [8] and broadly reflect the characteristic U-shape of the power versus temperature 745 curve displaying the thermo-neutral zone at its lowest point [48]. In short, the increase in energy expenditure at colder temperatures was explained by the energy cost of thermoregulation 746 747 [49,50], which requires individuals to spend more energy to maintain their core body temperature to cope with the increasing rate of heat loss to the environment. Energy 748 749 expenditure also increases with higher temperatures [51,52] as was observed (Figure 1a), since animals must engage in energy demanding processes to lose heat when the rate of heat transfer 750

between themselves and the environment is inadequate to maintain body temperature. Pygmy 751 goats were domesticated in Africa where temperatures are generally higher than in this study 752 753 and are able to tolerate high temperatures [41]. In our study, an increase in energy expenditure 754 was observed at the warmest temperatures measured. Thus, data from this study supports a "thermo-neutral zone" between about 22 and 30.5 °C. This is in agreement with a previously 755 measured upper critical temperature measured by Luiting et al., [53], but is higher than the 756 757 lower critical temperature of 9°C found for feral goats [8], although reasons for this are unclear. Acclimation may play a role in observed TNZ, where the TNZ is dependent on the conditions 758 759 experienced by individuals prior to measurement [54].

The relationship between  $\dot{V}O_2$  and temperature that we measured for animals at rest 760 (while standing) would, under normal conditions, also be affected by activity, with higher 761 activity, for example, displacing the characteristic power versus temperature curve to the left. 762 This is because muscular activity generates considerable heat [55] and this can mitigate what 763 would otherwise have to be paid for by inactive thermogenesis [56]. This thermal substitution 764 has been recognised as important in diving animals (e.g. [47]) and obviously complicates 765 estimates of energy expenditure of wild animals and in turn would apply to the pygmy goats in 766 767 this study that were predominantly in the outside enclosures. The details of how the process would affect our overall estimates of energy expenditure in the two environments are 768 769 problematic to assess. The best approach would have been to adopt experimental protocols 770 with varying temperatures and varying degrees of activity to see how exercise-mediated  $\dot{V}O_2$ affects the power versus temperature curve. 771

The increase in energy expenditure calculated for pygmy goats in enclosure B can also be attributed to the sloped terrain, which increases the energetic costs of locomotion for animals both ascending and descending the slopes compared to goats on the level terrain (Figure 2a). Indeed, as temperature was accounted for (but see thermal substitution above), the main

difference between the two enclosures was the presence of a slope in enclosure B as well as a 776 seasonal effect. Energy expenditure during locomotion in caprids has been measured in a few 777 778 studies (e.g. [24]). However, the relationship with DBA has not previously been quantified, 779 which has the advantage in being able to enable estimates of energy expenditure for free-780 moving individuals [37]. As with other studies [13], our work using pygmy goats on a treadmill indicated the extent to which energy expenditure was higher on a positive slope, than on level 781 782 substrate (Figure 2a, Table 3). On a downhill slope, the energetic cost was marginally higher, in accordance with Dailey and Hobbs [23], who found energy on downhill slopes overlapped 783 784 with measurements on level aspects, but contradicts Lachica et al. [24], who found lower power use for animals moving down slopes. Power use on a descending slope is more complex than 785 for that of an ascending slope: When animals move uphill they have to provide energy to 786 787 overcome gravity manifest in potential energy change (given by PE = mgh, where m is the mass, g is the gravitational constant and h is the height increment), so the rate at which energy 788 must be provided depends directly on the speed and the extent of the incline (cf. Figure 2). 789 790 However, the power use for descending a slope should theoretically initially decrease at shallow slopes as the cost of locomotion is initially partially subsidized by the gain in energy 791 from the realised potential energy, followed by an increase in power as the animal works to 792 brake descent at steeper slopes [25,57]. This phenomenon is clear when descent slope is varied 793 794 by small increments (e.g. Fancy and White [58]) but is obviously complicated when slope 795 increments are large, as in our case and other animal studies [25]. Overall, it is clear that there are varying relationships between cost of transport and slope of terrain across species [25], 796 presumably as a consequence of the specifics of the animal morphologies [59]. 797

Terrain type affects behaviour and energy expenditure beyond just slope because, for example, whether the environment underfoot is more or less compliant changes the costs of locomotion [23,60] (also demonstrated in humans cf. [61]). We were not able to correct for the

effects of substrate compliancy in our two enclosures, which were tarmac and grass, but we 801 note that Bidder et al. [60] measured an increase in VO<sub>2</sub> of 9.1% on tarmac and 17.7% on grass, 802 compared to exercise on a treadmill in humans. This suggests estimates of energy expenditure 803 using the treadmill might underestimate the true energy expenditure although this likely also 804 depends on the precise elasticity of the treadmill substrate. For these reasons, we appreciate 805 that our own estimates of energy expenditure of the freely roaming goats are unlikely to be 806 807 particularly accurate but they are, we believe, a step towards a more refined approach and will help as part of the overall framework attempting to determine power use by animals operating 808 809 in different energy landscapes [22].

Finally, activity levels, measured by the proportion of time predicted in active 810 behaviours compared to inactivity, were higher in the first enclosure (A). Although activity 811 levels may vary seasonally due to temperature [16,62], temperature did not explain the 812 proportion of time active observed in this study. It was accounted for by the enclosure, which 813 814 may be linked to a seasonal shift in environmental conditions, as enclosure A was measured in summer, and enclosure B in winter. The energetic costs of thermoregulation may be moderated 815 in colder temperatures due to heat produced through activity. The individuals in this study may 816 817 not respond in the same way as free-living mammals, because our study animals had access to shelters which they could utilise resulting in lower energetic costs to thermoregulation. 818 819 Ungulates living in seasonal environments may reduce their T<sub>b</sub> to reduce the energetic costs of thermoregulation [16,62], which is important to consider when estimating energetic costs of 820 free-living animals. Although temperature was measured on the animal-attached devices, other 821 environmental conditions that affect thermoregulation, such as wind speed and precipitation, 822 were not taken into consideration. 823

# 825 **Conclusions**

In this study, the rate of oxygen consumption was measured using indirect calorimetry and 826 827 enabled the prediction of energy expenditure using biologging data that included tri-axial acceleration and temperature. Although measures of tri-axial acceleration have been shown to 828 be a useful proxy for energy expenditure in free-ranging animals, acceleration alone cannot 829 account for environmental variation. Furthermore, additional information required for making 830 predictions about behaviour, energy expenditure and an animals' substrate and aspect in an 831 832 animals' environment will improve what can be interpreted from biologging data. Measuring behaviour and energy expenditure can improve understanding of how species will survive in a 833 changing environment, and provide calibration for estimates of energy expenditure. Future 834 835 research should account for different elevations in terrain, including variation in substrate and as well as slope or aspect such as would be encountered in species' natural habitat (e.g. species 836 that climb rocks such as ibex). 837

838

## 839 Methods

# 840 *Study subjects*

Nine female African pygmy goats (*Capra hircus aegagrus*) housed at Belfast Zoo were used in this study (Table 4). Individuals were aged between 3 and 10 years old and weighed between 13 and 32 kg (mean  $\pm$  SD = 25.9  $\pm$  6.3 kg). They were housed in their normal enclosure comprising a farm building with a level concrete yard and areas of wood mulch (area = 163 m<sup>2</sup>, 16.6 x 7.3 m) (enclosure A). Experiments took place in an adjacent pen within the same building during the spring (April to July) and summer (March to June) of 2018 and 2019 to enable measurement of a range of temperatures (T<sub>a</sub> ranged from 9.7 to 31.6 °C).

848	Daily behaviour was measured using animal-attached tags (biologgers) in both
849	enclosure A in May 2018 and a second enclosure (B) in November 2017. For logistical reasons,
850	it proved impossible to standardize protocols so that animals were studied in both enclosures
851	during one season. Enclosure B comprised a sloping grass paddock (slope = 18%, area = 2210
852	m <sup>2</sup> , 50.1 x 35.3 m) surrounded by hedges, with a small heated building adjacent. Sampling in
853	each enclosure was opportunistic as a result of husbandry, and therefore both seasons could not
854	be measured in each enclosure. It was assumed that seasonal variation in metabolic rate was
855	accounted for in respirometry measurement because they were conducted over multiple
856	seasons.

**Table 4:** Body mass, age and indirect calorimetry measurements of each goat used in the study. Only four individuals could be trained to walk on the treadmill sufficiently well to take measurements of walking energy expenditure (G02, G05, G06 and G07). For more details see Additional file 2.

Goat ID	Age	Weight	Type and number of measurements
G01	6	31.4 ± 2.5	REE (n = 14)
G02	4	$23.4 \pm 1.6$	REE (n = 20); Walking (n = 5, speed = $0.8 - 1.3$ km h <sup>-1</sup> , terrain slope = $0^{\circ}$ )
G03	6	$31.5\pm0.1$	-
G04	10	$28.7\pm0.7$	REE (n = 12)
G05	7	23.8 ± 1.8	REE (n = 13); Walking (n = 29, speed = $0.8 - 3.0$ km h <sup>-1</sup> , terrain slope = $-15^{\circ}$ , $0^{\circ}$ , $15^{\circ}$ )
G06	2	15.1 ± 1.7	REE (n = 21); Walking (n = 34, speed = $0.8 - 2.6$ km h <sup>-1</sup> , terrain slope = -15°, 0°, 15°)
G07	2	17.5 ± 2.3	REE (n = 18); Walking (n = 20, speed = $0.8 - 2.2$ km h <sup>-1</sup> , terrain slope = -15°, 0°, 15°)
G08	7	$31.2\pm0.1$	-
G09	5	$30.6\pm0.6$	REE (n = 10)

Indirect calorimetry measurements were not obtained for G03 and G08 because they could not be trained to stand in the chamber.

#### 858 Accelerometry data collection

Individuals were equipped with a collar-mounted 'Daily diary' tag [44] which incorporated a 859 860 tri-axial accelerometer which recorded at a frequency of 40 Hz. Tags also measured magnetic compass heading via a tri-axial magnetometer, as well as temperature and barometric pressure. 861 Each device was powered by a 3.6V lithium battery (LS 14250, Saft, France; 147 mm x 25 862 863 mm; 9 g) encased in a plastic housing (combined mass 15.2 g) and sealed with Tesa tape (No. 4651; Tesa AG, Hamburg, Germany). Devices were attached to the collar on the ventral side 864 using Tesa tape and an additional weight (metal nuts; 15 g) attached to ensure the device 865 remained in position ventrally. Collar-mounted devices weighed between 135 g and 235 g 866 dependant on the collar size (< 1% of body mass) and were fitted to be within +3 cm of neck 867 circumference ensuring accurate measurement of body acceleration [63]. 868

Devices were oriented so that the y-axis corresponded to 'heave' (dorso-ventral motion), x-axis to 'sway' (lateral motion) and z-axis to 'surge' (anterio-posterior motion). Before deployment, each device was calibrated for the exact time, direction of the axis, accelerometer and magnetometer offsets.

873

## 874 *Measuring the rate of oxygen consumption: Indirect calorimetry setup*

An open-circuit indirect calorimetry system was used to measure  $\dot{VO}_2$ , a measure of energy expenditure with the assumption of no anaerobic respiration [37]. A treadmill intended to exercise dogs (Professional Fit Fur Life Treadmill, Surrey, UK; dimension 180x55 cm), with a respirometry chamber built on top made of polycarbonate sheet connected with aluminium panels was used (Figure 5). The chamber size was adjustable: For pygmy goat measurements, a chamber volume of 440 L was used (80 cm high by 55 cm wide by 100 cm long). Eight fans were spaced across one side of the chamber to ensure complete mixing of air within thechamber. The setup was tested for leaks using nitrogen leak tests [64].

883

**Figure 5:** Schematic diagram of the open-circuit indirect calorimetry system used to measure oxygen consumption ( $\dot{V}O_2$ ), showing the air being pumped in by the air pump (1) measured by a flow meter and the air subsample being drawn out, through the drying chamber by the FoxBox Respirometry System. For the highest flow rates, an additional air pump (2) was used which had a built-in flow meter (not shown; Flowkit, Sable Systems, Las Vegas, USA). Temperature, barometric pressure and humidity were also measured within the chamber.

890

891 Fresh air, from outside the building, was pushed through the system using an air pump (Wob-L Piston Series 2660, Rietschle Thomas Sheboygan Inc., Wisconsin, USA) at a range of 892 893 flow rates (20-150 L/min) because the rate that oxygen declines in the chamber depends on the size and activity state of the subject [65]. Flow rate was measured using a variable area 894 flowmeter (Platon NGX Glass Variable Area Flowmeter, Platon, Saint Etienne, France) before 895 896 entering the chamber. Inflow temperature was controlled and measured using a temperature control unit (Sable Systems, Las Vegas, USA), prior to measuring the flow rate, to ensure a 897 consistent temperature within the chamber and to prevent chamber temperature increasing due 898 to heat from the air pump. A subsample of air was drawn from the chamber at a rate of 350 899 ml.min<sup>-1</sup>, passed through a drying column (Drierite, DRIERITE, Ohio, USA) to remove water 900 vapour from the air being sampled, and analysed to determine the relative proportion of oxygen 901 902 and carbon dioxide (FoxBox Respirometry System, Sable Systems, Las Vegas, USA). Flow into the drying column and analyser could be diverted so that it was sourced from fresh air (i.e. 903 from outside), to baseline the measured relative concentration of oxygen. For each 904

measurement, the entire system was turned on and allowed to equilibrate for one hour. Relative 905 oxygen concentration of the chamber gas was monitored until the analyser drift was deemed 906 negligible (<0.001 % O<sub>2</sub> per min; [66]). Data were recorded every 10 s using the FoxBox 907 internal memory and downloaded to a PC after the measurement. Temperature within the 908 chamber was dependant on T<sub>a</sub> and was measured at three points throughout the chamber and 909 once outside the chamber (DTM-307B Dual digital thermometer, TECPEL, Taiwan and TP60S 910 911 Wireless Thermometer Hygrometer, ThermPro, Toronto, Canada). Humidity and pressure were measured once within the chamber (Digital altimeter, Geo-master, Tianjin, China). The 912 913 fraction of carbon dioxide in excurrent gas was monitored to ensure the drying agent, Drierite, was not exhausted. The drying agent was replaced with recharged agent prior to exhaustion. 914

915 Analyser drift was assumed to be linear over time and was accounted for by calculating 916 the percentage drift, which is the change in incurrent %O2 ( $F_iO_2$ ) from the start to the end. The 917 percentage drift per minute was then added to  $\%O_2$  ( $rawF_eO_2$ ). To calculate the  $\%O_2$  corrected 918 for drift ( $F_eO_2$ ), the following equation was used (see 64);

919 
$$F_e O_2 = raw F_e O_2 + \left[ \left( \frac{\Delta F_i O_2}{\Delta \text{time}} \right) x \text{ time elapsed} \right]$$
(1)

920  $\dot{V}O2$  was calculated by the rate of air flow (L/min) into the chamber (FR<sub>i</sub>) by the difference in 921 the incurrent %O<sub>2</sub> (F<sub>i</sub>O<sub>2</sub>) and drift corrected excurrent %O<sub>2</sub> (F<sub>e</sub>O<sub>2</sub>) in the chamber. Flow was 922 corrected to standard temperature and pressure using;

923 
$$VO_2 = FR_i \left[ \frac{Fi \, O_2 - F_e O_2}{100 - F_e O_2} \right]$$
(2)

924

## 925 Indirect calorimetry measurements

For resting measurements (n = 103, see Table 4), each individual was trained to stand within a respirometry chamber for ~50 min following the provision of hay within the chamber. For

walking measurements (n = 88, see Table 4), four individuals were trained to walk on the 928 treadmill within the chamber at different speeds (0.8 - 3.0 km/h) and angle of slope  $(-15^\circ, 0^\circ, 10^\circ)$ 929 +15°) for up to 30 min (Table 4). All training was conducted using positive reinforcement 930 training techniques [66] over a period of two months, and individuals were motivated using 931 positive reinforcement (with a portion of their daily food) during measurements. Initially, 932 individuals were trained to stand in the chamber. Then, they were trained to walk on the 933 934 treadmill with the chamber door open, by gradually starting the treadmill for short periods. These periods were gradually increased until they walked for the desired period. The chamber 935 936 door was closed when individuals were confident walking on the treadmill. Subjects were given ample time, between 5 and 30 minutes, prior to measurements to ensure they were calm. If any 937 sign of stress was exhibited, such as restlessness, vocalisation, or shaking, before or during a 938 measurement, the measurement was abandoned. Individuals were weighed before and after 939 each measurement using an animal weighing scale (Adam Equipment, Milton Keynes, UK). 940

941 For each measurement, the system was turned on and allowed to equilibrate for one hour, during which oxygen concentration within the chamber was monitored until analyser drift 942 was deemed negligible (see above). For resting measurements, subjects were placed in the 943 chamber, and typically the system would take ~20 min to reach a steady state. A measurement 944 was taken for 10 min after this steady state was reached and gas conditions were stable. After 945 946 10 min, the analyser reading was set to the ambient concentration of 20.95% O<sub>2</sub> by drawing fresh outside air into the chamber for ~5 min and then returned to sampling chamber air, to 947 compensate for analyser drift (Lighton, 2008). The system typically took 30 min to return to 948 steady state with the animal inside the chamber, and a second measurement was taken for 10 949 min. The animal was removed, and the measurement finished when a steady state was reached 950 with an empty chamber. 951

For measurements taken whilst subjects were walking, the system would take ~12 min 952 to reach steady state chamber oxygen concentration. Animals are assumed to be in a 953 physiological steady state after 3 min of exercise [37,68]. A measurement was taken for 10 min 954 at steady state, before the animal was allowed to stop and leave the chamber. Chamber air was 955 continually measured and the system typically took 30 min to return to steady state. The animal 956 walked at speeds between 0.8 km.h<sup>-1</sup> and 3.0 km.h<sup>-1</sup> changing at 0.1 km.h<sup>-1</sup> increments on the 957 level (level 0°) and at different slopes (positive 15° and negative -15°) dependant on their 958 ability. 959

960

### 961 Acceleration measurement

For each indirect calorimetry measurement, individuals were equipped with a collar-mounted 'Daily Diary' tags as described above. Static acceleration (see Additional file 1) was calculated as a measure of body acceleration, using the running mean of raw acceleration over 2 seconds, and subtracted from the raw acceleration to give dynamic acceleration. The vectorial sum of the dynamic acceleration for the three axes was calculated giving VeDBA (hereafter referred to as DBA [5,69] ):

968 
$$VeDBA = \sqrt{(A_x^2 + A_y^2 + A_z^2)}$$
 (3)

969

Additionally, each individual was equipped with a collar-attached tag, as described above, for a mean of 4.7 days ( $SD = \pm 1.1$  days) in enclosure A and 5.6 days ( $SD = \pm 3.4$  days) in enclosure B. Daily acceleration data were selected from 6 hours after deployment and 2 hours before removal.

#### 975 Behavioural classification

To create daily behaviour profiles, each individual was video-recorded (Canon PowerShot 976 977 SX720 HS; Canon Inc, Japan), and the start and end time of each behaviour was time-stamped using BORIS software [70]. Tri-axial accelerometry and magnetometry data were time-978 matched with video observations at a resolution of 1 second. Five behaviours were selected 979 980 and individual goats recorded for a total of 10.9 hrs (mean  $\pm$  SD = 38.5  $\pm$  16.7 mins, Table 2). Additional behaviours (e.g. aggression, scratching, shaking) that were observed for less than 1 981 second were grouped as 'Other'. Behaviours were classified for each enclosure; enclosure A 982 was level terrain with no slope whereas, enclosure B was sloped terrain, thus the slope of terrain 983 for locomotion behaviours was included in observations to build the model (Table 2). 984

985 From the raw acceleration and magnetometry axes, 14 variables that describe both the 986 posture and body movement of an individual were calculated (Additional file 1). Random forest models, an extension of classification trees, were used to classify behaviour using the package 987 randomForest [71,72]. To build the model the data were randomly split, 60% of the observed 988 data set was used as a training set and 40% as a validation set. Random forest models use 989 classification trees (500 in this model) by building a hierarchy of decision rules to classify 990 observations [73]. Observations are subdivided at each classification node until the Gini index 991 992 does not decrease, and the mean Gini decrease gives the importance of each predicting variable 993 [71]. The error rate, including the Out-of-bag error estimates, were checked. Confusion matrices were created using the validation dataset to validate the model and the precision and 994 recall of each behaviour were calculated (Additional file 1). 995

996

#### 997 *Daily behaviour and energy expenditure*

998 Using behavioural classification template behaviours were identified for the daily acceleration 999 data to identify the behaviour of goats for each second throughout the day. The proportion of 1000 time spent conducting each behaviour per day was subsequently calculated. To measure 1001 activity levels, behaviours were classed as active (walking, running and eating) or inactive 1002 (standing and resting). The proportion of time spent in active behaviours was then calculated.

Using the daily behaviour budget, energy expenditures were assigned to each 1003 behaviour, using both behaviour and DBA. Behaviours were categorised as stationary or 1004 locomotory. A relationship between DBA and VO2was assigned to each behaviour, using 1005 resting measurements for stationary behaviours and walking measurements for locomotory 1006 behaviours. Using model predictions, energy expenditure was assigned to each behaviour using 1007 the models predicting the relationship between VO<sub>2</sub> and DBA, including the effect of body 1008 1009 weight, temperature and slope of terrain for locomotion behaviour. DBA was checked for each behaviour. Where the model predicted DBA outside the range observed for behavioural 1010 1011 classification, behaviour was marked as unknown. For behaviours that were not directly measured in this study, the difference in energy expenditure compared to measured behaviour 1012 was used to estimate energy expenditure of those behaviours. 1013

To calculate DEE (kJ.day<sup>-1</sup>), the time spent in each behaviour was multiplied by the
energetic costs of each behaviour which was then multiplied by the calorific equivalent of 20.51
kJ.L<sup>-1</sup> O<sub>2</sub> consumed, assuming an RQ of 0.90 [74].

1017

1018 Statistical analyses

1019 Indirect calorimetry measurements

1020 All analyses were conducted using R version 3.6.3 [75]. General Linear models (GLM) or

1021 generalised linear mixed models (GLMM) were used to: 1) assess the effect of temperature on

1022 REE; 2) assess the relationship between  $\dot{V}O_2$  and DBA and; 3) test the effect of positive and 1023 negative slopes on the relationship between  $\dot{V}O_2$  and DBA. To investigate the relationship 1024 between temperature and REE, a GLMM was used with  $\dot{V}O_2$  as the response variable. 1025 Temperature, humidity, body mass and DBA were included as fixed effects and the model used 1026 a quadratic term of temperature to test for a non-linear effect. Goat ID was included as a random 1027 effect. The collinearity between body mass and age was quantified using a Pearson's 1028 correlation.

To model the relationship between  $\dot{V}O_2$  and DBA, a GLM was used with  $\dot{V}O_2$  as the 1029 response and temperature, humidity, body mass and DBA as fixed effects. To measure the 1030 effect of terrain slope, a similar model was built which included terrain slope (angle of 1031 treadmill) as a fixed effect and an interaction term between DBA and terrain slope. A random 1032 1033 effect of individual was not included in either model due to the number of goats measured (n=4 1034 and n=3, respectively). The correlation between DBA and speed was checked for walking 1035 measurements on the level terrain and two terrain slopes. The global models were simplified using the *dredge* function in the R package "MuMin" [76] which uses AIC<sub>c</sub> to assess the best 1036 fit model. Models within  $\Delta AIC_c \leq 6$  were retained for inference and the simplest model was 1037 selected [77]. Model residuals were checked for normality. 1038

1039

# 1040 Daily behaviour and energy expenditure analysis

To explain the proportion of time spent active and daily energy expenditure, two separate GLMM's were built. In both models, individual ID and date were included as random factors and enclosure (A or B) and temperature were included as fixed effects. Model residuals were checked for normality and AICc was used to select the best model. The collinearity between enclosure and temperature was quantified using a Pearson's correlation.

#### 1047 Supplementary information

Additional file 1: Information for each individual goat included in the study including their
body weight and age, the respirometry measurements taken in the study, and the values
predicted or measured for each individual in each enclosure. An ethogram of all the behaviours
with additional details.

Additional file 2: Input and output of the random forest models used to classify behaviour:
error rates including Out-of-bag error estimate, mean Gini decrease of each predicting variable,
confusion matrix of predicted and observed behaviours, and precision and recall of each
behaviour.

Additional file 3: Respirometry measurements including individual body weight, temperature,
DBA, walking speed and measurement type.

1058

# 1059 Abbreviations

T<sub>a</sub>: Ambient temperature; T<sub>b</sub>: Body temperature; ODBA: Overall dynamic body acceleration;
VeDBA: Vectorial dynamic body acceleration; DBA: Dynamic body acceleration; VO<sub>2</sub>: Rate
of oxygen consumption; REE: Resting energy expenditure; GLM: General linear model;
GLMM: Generalised linear mixed model

1064

1065 **Declarations** 

1066 *Ethics approval and consent to participate* 

- 1067 This study was approved by the Queens University Belfast ethics committee (QUB-BS-AREC-
- 1068 19-004) and Belfast Zoo.
- 1069 *Consent for publication*
- 1070 Not applicable
- 1071 Availability of data and materials
- 1072 Respirometry measurements are available as an additional file. The daily acceleration data are
- 1073 available from the corresponding author on reasonable request.
- 1074 *Competing interests*
- 1075 The authors declare that they have no competing interests
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- 1077 ED was supported by a studentship from the Department for Education, Northern Ireland.
- 1078 Authors' contributions
- ED, NM and DMS conceived the study design. ED collected and analysed the data. ED drafted
  the manuscript. PS and RPW contributed to writing the manuscript. All authors provided
  comments and approved the final manuscript.
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1090

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