## Supplementary material 1 : Standards for Reporting Qualitative Research (SRQR)\* checklist

http://www.equator-network.org/reporting-guidelines/srqr/

Page/line no(s).

#### Title and abstract

Title - Concise description of the nature and topic of the study Identifying	Page 1
the study as qualitative or indicating the approach (e.g., ethnography,	
grounded theory) or data collection methods (e.g., interview, focus group) is	
recommended	
Abstract - Summary of key elements of the study using the abstract format	Page 2 and 3
of the intended publication; typically includes background, purpose,	(Line 1-29)
methods, results, and conclusions	

#### Introduction

Problem formulation - Description and significance of the	Page 4 and 5
problem/phenomenon studied; review of relevant theory and empirical work;	(Line 53-62)
problem statement	
Purpose or research question - Purpose of the study and specific	Page 5 (Line
objectives or questions	60-62)

#### Methods

Qualitative approach and research paradigm - Qualitative approach	Page 5
(e.g., ethnography, grounded theory, case study, phenomenology, narrative	(Line 67-69
research) and guiding theory if appropriate; identifying the research	Line 80-82)
paradigm (e.g., postpositivist, constructivist/ interpretivist) is also	
recommended; rationale**	

Researcher characteristics and reflexivity - Researchers' characteristics	Page 7
that may influence the research, including personal attributes,	(Line 116-121)
qualifications/experience, relationship with participants, assumptions, and/or	
presuppositions; potential or actual interaction between researchers'	
characteristics and the research questions, approach, methods, results,	
and/or transferability	
	Page 5(Line
Context - Setting/site and salient contextual factors; rationale**	70-71)
Sampling strategy - How and why research participants, documents, or	Page
events were selected; criteria for deciding when no further sampling was	5(Line71-79)
necessary (e.g., sampling saturation); rationale**	
Ethical issues pertaining to human subjects - Documentation of approval	Page 7 (Line
by an appropriate ethics review board and participant consent, or	124-126)
explanation for lack thereof; other confidentiality and data security issues	
Data collection methods - Types of data collected; details of data	Page
collection procedures including (as appropriate) start and stop dates of data	6(Line90-98)
collection and analysis, iterative process, triangulation of sources/methods,	
and modification of procedures in response to evolving study findings;	
rationale**	
Data collection instruments and technologies - Description of	Page 6 (Line
instruments (e.g., interview guides, questionnaires) and devices (e.g., audio	82-98)
recorders) used for data collection; if/how the instrument(s) changed over	
the course of the study	
Units of study - Number and relevant characteristics of participants,	Page 7 (Line
documents, or events included in the study; level of participation (could be	131-136)
reported in results)	

Data processing - Methods for processing data prior to and during	Page 6 and
analysis, including transcription, data entry, data management and security,	7(Line 101-
verification of data integrity, data coding, and anonymization/de-	106)
identification of excerpts	
Data analysis - Process by which inferences, themes, etc., were identified	Page 6 and
and developed, including the researchers involved in data analysis; usually	7(Line 99-112)
references a specific paradigm or approach; rationale**	
Techniques to enhance trustworthiness - Techniques to enhance	Page 6 and
trustworthiness and credibility of data analysis (e.g., member checking,	7(Line 113-
audit trail, triangulation); rationale**	121)

#### Results/findings

Synthesis and interpretation - Main findings (e.g., interpretations,	Page 7 and 8
inferences, and themes); might include development of a theory or model,	(Line 128-143)
or integration with prior research or theory	
	Page 8, 9,10
Links to empirical data - Evidence (e.g., quotes, field notes, text excerpts,	and 11 (Line
photographs) to substantiate analytic findings	145-244)

#### **Discussion**

Integration with prior work, implications, transferability, and	Pages 12, 13,
contribution(s) to the field - Short summary of main findings; explanation	14, and
of how findings and conclusions connect to, support, elaborate on, or	15( Line 245-
challenge conclusions of earlier scholarship; discussion of scope of	330)
application/generalizability; identification of unique contribution(s) to	
scholarship in a discipline or field	
	Page 15 (Line
Limitations - Trustworthiness and limitations of findings	327-330)

#### Other

Conflicts of interest - Potential sources of influence or perceived influence	Page 1
on study conduct and conclusions; how these were managed	
Funding - Sources of funding and other support; role of funders in data	Page 1
collection, interpretation, and reporting	

## Supplementary material 2: Illustrates complete quotes to give context to the quotes used in results section

Theme	Shortened Quote	Entire Quote
Sources of support:	One senior ODP described	"In theatres, we are [like] a close knit
peers, friends and	the operating room staff	family and we know the pros and cons
family	like "a close knit" (Senior	of our theatre environment and if
	ODP, P45) community and	something happens our colleagues
	how discussing the	exactly know why and how that
	incident with colleagues	incident would have occurred and
	really helped her.	provide support by having general
		discussion. That's what we need!"
		(Senior ODP, P45)
	One Obstetric surgical	"There was very good support given to
	trainee explained how she	me by my senior consultant, we had a
	had "a good chat" with his	good chat and he gave me his
	senior consultant, who	experiences of being involved in a
	described "being involved	similar incident. An action plan was
	in a similar incident."	drawn up for me and we had regular
	(Obstetric Surgical	chats about my progress and well-
	Trainee, P8).	being"
		(Obstetric Surgical trainee, P8)
	However, one operating	"I think if I look back things are not the
	room lead nurse felt that,	same as was before. The organisation
	as an organisation, the	has moved on and they are now taking
	hospital had "moved on	incidents seriously, there is more

and they are [were] now taking incidents seriously" (Lead Operating room Nurse, P44). He was aware of a group of people "called CONTACT who are [were] independent to your [her/his] department and they can offer you support in terms of listening to your concerns and show where [for you need to go support]." (Lead operating room Nurse, P44).

support to also help staff when these kinds of things happen. There are group of people called CONTACT who are independent to your department and they can offer you support in terms of listening to your concerns and show where you need to go. There is also an office I know within the trust dedicated to patient safety run by one of the medical directors. They have been highlighting a lot of safety issues and putting out there to everyone (to learn). So I can say the organisation has moved on really (well). We are at a good place, where everyone is encouraged to report any incidences so that we can learn from them." (Lead Operating room nurse, participant 44).

A junior anaesthetist also recalled how she needed the "emotional support" from her "loved ones" (Anaesthetist; Junior Registrar, P18).

"Following the event in the operating room, my clinical lead did have a meeting with me which was more of a professional reassurance, what I needed immediately at the time was my loved ones next to me to provide emotional support. They (friends and

family), really know you as a person and they have always been with me when needed" (Anaesthetist; Junior Registrar, P18) The timing of the One junior ODP described "I was completely left in isolation, I support feeling completely isolated, didn't know who to speak to, then went not knowing "... who to to see my manager who said "you will speak to" (ODP, P41). get an email" that's it! I was kept waiting for the email, which I have received after a week to fill in a report; I felt completely let down by management" (ODP, P41). An operating room nurse "As soon as an incident happens, the explained how she would first thing the manager says is "go and have appreciated a speak to your union", I rather have a "...one-to-one chat with my one to one chat with my manager and [her] manager and get get some assurances that everything some assurances that will be "OK" ". (Theatre Scrub Nurse, everything will be OK" P30) (Operating room Scrub Nurse, P30) but instead was told by her manager "now go and speak to your union". (Operating room

Scrub Nurse, P30)

One junior ODP recalled how her team "discussed and reflected" (ODP, P9) on the particular incident and felt better afterwards as she was "not the only one who is [was] feeling this way". (ODP, P9)

"In a recent incident, where I was working in a paediatric theatre we forgot to check the expiry date of a surgical product needed for surgery before the child was anaesthetised. The case was cancelled and the child was anaesthetised unnecessarily. I was devastated. We immediately as a team had a debrief session and we discussed and reflected on the events. We all had expressed our own emotional reactions. That debrief soon after the incident made me feel "oh good, I am not the only one who is[was] feeling this way". Even though we all were responsible for the serious incident, it made me feel reassured and consoling" (ODP, P9)

One vascular surgeon
emphasised how the
welfare of those involved in
the surgical incident needs
to "be followed up on
consistent basis" (Vascular

"The support provided [following incident] should not be a "one off", the welfare of those involved should be followed up on consistent basis".

(Vascular Consultant Surgeon, P20)

Consultant Surgeon, P20).

This was echoed by a senior orthopaedic operating room nurse who described the need to "constantly check" whether colleagues involved in the incident were "coping well" (Senior Orthopaedic Nurse, P26).

"We need to make sure that the staff are handling the situation [aftermath of the incident]. We should constantly check whether they are coping well with the incident, it should be soon after the incidents, couple of hours after the incident, a day after the incident or even a month after incident. The incident will have a knock-on effect for the rest of their lives"

(Senior orthopaedic nurse, P26)

# The challenges of the investigation process

One operating room
support worker recounted
how the investigative
process was not explained
to her and that "a little bit
more clarification in [about]

"Just a little bit more clarification in what steps will be taken after [handing in the investigation statements] in terms of what then happens? A little bit of reassurance that I might not lose my job might be quite nice as well".

what steps will be taken"
would have been helpful;
in particular, she looked for
reassurances that she
would not lose her job
(Operating room assistant,
P28).

(Operating room support worker, P28)

An operating room nurse received guidance from his operating room clinical educator, who advised him to stick to the facts when completing the necessary paperwork: "it's not any wishy washy stuff because if you don't put out the truth or you don't put out the facts and it doesn't stand up to scrutiny you're going to be in a big mess" (Operating room scrub Nurse, P30).

"I spoke to the clinical educator and he basically said, you need to put down what you remember, don't implicate yourself, don't write things that you're not sure about. It's facts, it's not any wishy washy stuff because if you don't put out the truth or you don't put out the facts and it doesn't stand up to scrutiny you're going to be in a big mess. So basically whatever you remember that day put it down, there's no sort of "I think that ...." just be precise, go straight to the point and tell us what really happened. So he helped us draft our statements and was the only one who gave us a bit of guidance".

(Operating room scrub nurse, P30)

#### **Table 1: Interview topic guide**

### Question 1 What do you understand by a "surgical incident"? 2 How do think such incidents impacted on the individuals involved / the surgical team as a whole? 3 Could you give me an example of a surgical incident? Prompt: Were you involved in this particular incident? In what way? How long ago did it happen? How did it affect you / the team? Personally / professionally? 4 In your opinion, what might have contributed to this surgical incident occurring (causes)? Prompt: •Might this be similar or different to other incidents that occurred? 5 Did your attitude/behaviour change towards patient safety following the incident? In what way? 6 What support structures were offered to you /staff involved following the incident? Prompt: Support from your peers? Support from your organization?

Other support?

7	How would you describe your institution's culture in response to a surgical
	incident occurring?
8	In what ways can we learn from the occurrence of such incidents going forward?
	Prompt:
	How do you think we can learn as a team?

Table 2 below gives details of participants' in the study.

(ENT: Ear Nose and Throat; ODP: Operating Department Practitioner)

Participant number	Staff job title	
P1	Operating room Scrub Nurse	
P2	ENT Consultant Surgeon	
	LIVI Consultant Surgeon	
P3	Trauma and Emergency Consultant Anaesthetist	
P4	Vascular operating room Nurse	
P5	Senior ODP	
P6	General Surgery Consultant	
P7	Operating room assistant	
P8	Obstetrics Surgical Trainee	
P9	ODP	
P10	Operating room assistant	
P11	Operating room Scrub Nurse	
P12	Anaesthetist, Senior Registrar	
P13	Operating room assistant	
P14	General Surgery Registrar	
P15	Operating room Scrub Nurse	
P16	Orthopaedic Consultant Surgeon	
P17	Operating room assistant	
P18	Anaesthetist, Junior Registrar	
P19	Operating room scrub Nurse	
P20	Vascular Consultant Surgeon	
P21	Operating room scrub nurse	
P22	Operating room assistant	
P23	Trauma and Emergency Anaesthetist, Junior Registrar	
P24	Operating room assistant	
P25	Paediatric Consultant Anaesthetist	
P26	Senior Orthopaedic Nurse	
P27	Vascular Consultant Surgeon	
P28	Operating room assistant	
P29	Paediatric operating room nurse	
P30	Operating room nurse	
P31	Obstetrics Senior Nurse	
P32	ODP	
P33	Paediatric Consultant Anaesthetist	
P34	Orthopaedic operating room nurse	
P35	Consultant Anaesthetist	
P36	Senior ODP and Theatre manager	
P37	ODP	
P38	Operating room assistant	
P39	Senior ODP	

P40	Obstetrics Surgeon, Registrar
P41	ODP
P42	Senior ODP
P43	Consultant Anaesthetist
P44	Lead operating room nurse
P45	Senior ODP