Article 1: Lancet Theme Series on ONE-HEALTH and GLOBAL HEALTH SECURITY 1 2 Title: 3 Advancing One Human-Environmental-Animal Health for Global Health Security: What does the 4 evidence say? 5 6 **Authors:** 7 Jakob Zinsstag^{1,2}, Andrea Kaiser-Grolimund^{1,2}, Kathrin Heitz-Tokpa⁷, Rajesh Sreedharan³, 8 Juan Lubroth⁴, François Caya⁵, Matthew Stone⁵, Hannah Brown⁶, Bassirou Bonfoh⁷, Emily 9 Dobell⁸, Dilys Morgan⁸, Nusrat Homaira⁹, Richard Kock¹⁰, Jan Hattendorf^{1,2}, Lisa Crump^{1,2}, 10 Stephanie Mauti^{1,2}, Victor del Rio Vilas¹², Sohel Saikat³, Alimuddin Zumla¹¹, David Heymann^{,12,13}, 11 Osman Dar¹² Stephane de la Rocque³ 12 13 **Institutional Affiliations**: 14 ¹Swiss Tropical and Public Health Institute, PO Box, CH-4002 Basel, Switzerland. 15 ²University of Basel, Petersplatz 1, CH-4003 Basel, Switzerland 16 ³World Health Organization, Geneva, Switzerland 17 ⁴Lubroth One Health Consultancies, Vía Dei Colli 36, Casaprota, Rieti, 02030 Italy 18 ⁵World Organisation for Animal Health (OIE), Rue de Prony, Paris, France 19 ⁶Durham University, Durham, United Kingdom 20 ⁷Centre Suisse de Recherches Scientifiques en Côte d'Ivoire, Abidjan, Côte d'Ivoire 21 ⁸Public Health England, London, United Kingdom 22 ⁹University of New South Wales, Sydney, Australia 23 ¹⁰Royal Veterinary College, University of London, United Kingdom 24 ¹¹ Department of Infection, Division of Infection and Immunity, University College London and The 25 NIHR Biomedical Research Centre, UCL Hospitals NHS Foundation Trust, London, United 26 Kingdom. 27 ¹²Chatham House, London, United Kingdom 28 ¹³Infectious Disease Epidemiology, London School of Hygiene & Tropical Medicine, London WC1E 29 7HT, UK. Electronic address: david.heymann@lshtm.ac.uk. 30 Displays: Table: 1 Boxes: 4 Figures: 3 31 **Supplemental Web Appendix: 1** 32 Word count: 5341

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The ongoing COVID-19 pandemic vividly illustrates that the emergence of a new lethal pathogen of probable animal origin in one part of the world affects public health everywhere. In this article, we review the contributions of human-animal-environmental (ONE-HEALTH [OH]) approaches to improving global health security (GHS) across a range of health hazards and summarise contemporary evidence of incremental benefits of an OH approach and impact on reporting to FAO, OIE and WHO. Using IHR (2005) Monitoring and Evaluation Framework and OIE Performance of Veterinary Services Pathway (PVS) reports, case studies and a narrative literature review, we assess progress of intersectoral OH approaches to build human capacity, bridges between stakeholders and institutional adaptation at national and international levels to contribute to global health security (GHS) across a range of health hazards. Examples from joint health services and infrastructure, surveillance-response, antimicrobial resistance (AMR) surveillance, food safety and food security, environmental hazards, water and sanitation, and zoonoses control clearly show incremental benefits of OH approaches. OH approaches appear to be most effective and sustainable in the prevention, preparedness and early detection of evolving risks/hazards and the evidence base for their application is strongest in the control of endemic and neglected tropical diseases. Significant gaps remain at the OH interface to rapidly detect and reduce the risk of widespread community transmission of new and re-emerging infections. For benefits to be maximised and extended, improved One Health Operationalisation (OHO) is needed with strengthening of multisectoral coordination mechanisms, for example by fostering a closer interaction between the IHR (2005) and OIE PVS Pathways. Case studies show evidence for OHO at the institutional and community level. The FAO, OIE and WHO currently play pivotal roles in stimulating OHO at the national and regional levels but will need increased support and allies to both strengthen current activities as well as address a wider set of health hazards across the Socio Ecological System. Progress in sustained OHO should be urgently prioritised at global, regional and national levels by building on, and inclusively broadening existing institutional collaborations at the wildlife-domestic animal-environmental-human interface to better reflect evolving risks and hazards across the Socio-Ecological System.

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Keywords: One Health, Global Health Security, International Health Regulations, Performance of Veterinary Services Pathway

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Key messages:

1. One Health means that cooperation between human, animal, environmental health and related disciplines leads to benefits that could not be achieved if the different sectors work alone. There is clear evidence for benefits in terms of saved lives of humans and animals and financial savings from a closer cooperation between the sectors across a range of hazards and operational functions. Our analysis indicates greater investment

- should be directed towards prevention and preparedness interventions across the SES where the evidence base is most firmly established. This represents a shift of the disease control paradigm upstream, away from an overwhelming focus on surveillance and response in humans which currently predominates, to greater and more pro-active investment in preventive interventions, surveillance in environmental and animal systems and integrated response across all sectors.
- 2. OH has a high potential to sustainably improve GHS for all by first prioritising national and local capacity building across One Health sectors and disciplines. This horizontal approach should first focus on endemic One Health issues across the ecosystem including those with implications for food security, local community health needs and hazards where the evidence base is most strongly established before considering emergent risks of more global concern.
- 3. There is still a daunting gap to fully operationalize One Health for optimal GHS. As the evidence for its effectiveness broadens, current and future OH approaches should more fully integrate environmental and wildlife issues across the Socio Ecological System (SES) to better address contemporary challenges like pandemic risks.
- 4. Many national governments have started operationalizing One Health in their governance and programmes, which are increasingly reflected in reporting to the International Health Regulations (IHR 2005). The IHR have been an effective catalyst to embed cross sectoral, whole system approaches to public health emergency prevention, preparedness and response but an evidence-led acceleration of implementation and expansion across a wider spectrum of SES hazards is now needed.
- 5. The international organizations World Health Organization (WHO), World Organization for Animal Health (OIE) and the Food and Agricultural Organization of the United Nations (FAO) spearhead One Health technical cooperation at the global level. The addition of United Nations Environmental Program (UNEP)to that collaboration represents an opportunity to to more holistically provide technical support to national governments in building their One Health related health security capacities.
- 6. Further primary research and systematic reviews are needed to evaluate the effectiveness of One Health approaches for specific hazards categories across the SES. These should include analyses on cost effectiveness, comparisons of uni-sectoral versus multisectoral approaches and include relevant outcome measures relating to animal and environmental health, in addition to the primary concerns around human health security.

INTRODUCTION

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Human development, expansion of domestic animal populations and transformed landscapes engineered for human populations are having profound effects on the evolution and epidemiology of infectious and non-communicable diseases of all species. Intimate and rapid global interconnections mean that uncontrolled infectious diseases in one part of the world threaten plant, animal (wildlife and domestic) and public health everywhere. Whilst technological advances are making public health services better equipped for detecting, preventing and controlling new infectious diseases and other health hazards, as the current COVID-19 pandemic highlights, major gaps exist in conversion of these advances into effective actions and policies at the animal-human-environment interface¹. National institutions addressing these challenges worldwide are most often not able to adequately address the myriad array of interconnected risks. There have been numerous human-animal-environmental health approaches to improving global health security (GHS) across a range of health hazards. The ongoing COVID-19 pandemic vividly illustrates, that the emergence of a lethal pathogen of probable animal origin in one part of the world affects public health everywhere. The Food and Agriculture Organization (FAO), World Health Organization (WHO) and the World Organisation for Animal Health (OIE), support countries to implement international standards and frameworks, such as the International Health Regulations (IHR, 2005), the Terrestrial and Aquatic Codes and Manuals² and the Codex Alimentarius (food safety law)³. The revised IHR came into force in June 2007 and required all countries to develop core capacities for preventing, detecting and responding to public health emergencies including for infectious agents that can impact the public health of people across countries and adversely affect travel and trade. The IHR promoted building robust public health and animal health systems based on good governance and implementation of internationally accepted standards. In 2010, a Tripartite concept note between WHO, OIE and FAO recognised a shared responsibility in addressing health risks at the human-animal (wildlife and domestic)-environment interface, with avian influenza, rabies and antimicrobial resistance (AMR) as priorities. The shared views of these international organizations contributed strongly to mainstreaming integrative approaches like One Health (OH) (Box 1) that contribute towards global health security (GHS), taking advantage of the legal mandate of the IHR (2005) as a driving force^{4,5}. To support countries in developing regulations, assessing their capacities to prevent, detect and rapidly respond to public health risks, WHO developed the IHR Monitoring and Evaluation Framework (IHR MEF)⁶, which includes inter alia the i) State parties reporting tool for the mandatory annual reporting of level of compliance to the IHR, and ii) the Joint External Evaluation (JEE) for voluntary reviews with peers. The COVID-19 pandemic is an extraordinary reality check for GHS and calls for a review of the effectiveness of these instruments and other tools for assessing national capacities as well as challenging the assumptions around the operational value of integrated approaches like One Health. ⁷.

In this article, we review the contributions of human-animal-environmental (ONE-HEALTH [OH]) approaches to improving global health security (GHS) across a range of health hazards. We summarise contemporary evidence assessing the incremental benefits of an OH approach and how this evidence is reflected in reporting to FAO, OIE and WHO. We identify gaps which remain at the OH interface to rapidly detect and respond to the risk of widespread community transmission of new and re-emerging infections and other health hazards. Through examples from the field we build the case for One Health Operationalisation (OHO) and strengthened multi-sectoral coordination mechanisms. As the IHR adopts an all-hazards approach to GHS, our paper reviews the literature to determine which of the WHO's priority threats to global health⁸ would benefit from an OH approach using the classification of hazards outlined in the WHO Health Emergency and Disaster Risk Management Framework⁹. We performed an analysis of the contributions of OH approaches to GHS using a variety of methods detailed in online supplement 1 (S1).

Historical aspects of OH (723 words)

OH appeared for the first time in the medical literature in 2005 to emphasize its potential to strengthen health systems¹⁰ by demonstrating value added from a closer cooperation between human and animal health that could not be achieved by the disciplinary approaches alone¹¹. This point however revealed the fragmentation of the health communities and differing agendas and much of the ensuing years have been fraught with debate and discussion about what exactly OH is about. Box 1 summarises current OH theoretical foundations and applied methods for demonstrating the incremental benefits of the approach are outlined in **Boxes 2 and 3.** ¹² The first paper to use the term OH in 2005 stated, with regard to avian influenza, that: "research for vaccines should urgently be complemented by modifications to smallholder livestock systems and live-animal markets to prevent or reduce interactions between [wildlife] and [livestock], which might be reservoirs for future human pandemics"10. "However, these implementations should be handled carefully to avoid impending poverty...". This warning, published 15 years ago in *The Lancet*, sounds like a forecast in the face of the current COVID-19 pandemic, but remained largely unheard with a limited global response to preparedness. This may still be a narrow view on how these emergent pathogens are established. Certainly it is not just the transmission and interface which matters but also the socioecological and economic context in which these occurrences happen, enabling expansion and establishment of pathogens across species, much of which happens in the domestic and peri-domestic landscape 13,14.

Conceptual relationship of OH, EcoHealth and PH

As such there remains an acute need for a proper framing of integrative concepts like OH, EcoHealth or Planetary Health (PH) to promote a better integration across sectors¹⁵ including, importantly, wildlife health which often necessitates being distinguished from animal health where the focus is almost entirely on domestic animals, both legally, economically and practically¹². OH's conceptual

relationship to related ecosystem approaches to health (EcoHealth) and PH are explained in Figure 1. 150 a Figure 2 shows the "One Health-ness" spider diagram of a semi-quantitative assessment of the level 151 of OH operation and infrastructure in a given hypothetical context adapted from 16. and relates to 152 earlier graphical depictions^{12,15}. In Figure 1, OH is in the first place at the intersection of human (red 153 ellipse) and animal (currently primarily domestic) health (green ellipse), aiming to demonstrate a 154 benefit from a closer cooperation of human and veterinary medicine. Clearly, there are large sections 155 156 of separated human and animal health not requiring an OH approach. Broader approaches, considering interactions of health and the environment, within social-ecological systems (SES)¹⁷ 157 incorporate OH. OH is thus embedded within ecosystem approaches to health, for which a newer term 158 "Health in Social-Ecological Systems" (HSES) has been coined¹⁸. SES are most often delimited by a 159 given context of a country or a region (black ellipse). OH, by the definition of this paper, includes 160 social and environmental (ecological) factors, which are depicted by the yellow gradient circle, 161 reaching beyond the limits of public and (domestic) animal health. 162 163 A first step in operationalising this element will be the proper incorporation of wildlife health, 164 ecosystem science and its actors into the community. The yellow gradient symbolizes the overlap and expanding transition to the health of the whole SES, identifying appropriate proxy indicators for 165 monitoring SES, growing the evidence base and recognizing its contribution to supporting efforts to 166 achieve the United Nations sustainable development goals (SDG), especially SDG-3¹⁹. It is also in 167 line with the Berlin principles²⁰, including mitigation of the causes and adaptation to the impacts of 168 climate change²¹. Planetary Health (PH) sets the ambitious task of understanding the dynamic and 169 systemic relationships between global environmental changes and health including climate change, 170 transboundary fire emissions, persistent organic pollutants and other changes²² (blue ellipse). PH 171 conceptual thinking aims to identify co-benefits across targets, but remains centred on human health 172 and does not explicitly include animal health^{20,22}. 173 PH can be seen as a historical extension from global health and international health. PH attempts to 174 demonstrate linkages of global environmental change and health, which are hard to prove, based on 175 the inherent data variability, confounding factors, and the duration and scale of the phenomena 176 (Equation 5, Box 2). Thus OH should be still in the centre of interest, building inter-sectoral 177 cooperation from the inside and gradually expanding it to more complex issues and health security 178 hazards across the whole of the SES, as the evidence base for its effectiveness matures ^{16,23}. 179 180 181 Evidence for the benefit of OH 182

While there is consensus that the OH approach is crucial for tackling challenging global health security threats, it is not yet clear that evidence of its effectiveness has been reliably demonstrated. OH characterises the logical view that by coordinating the people and systems working to improve the health of humans, animals and the environment, any associated health threats can be identified as

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early as possible. This results in reduction or even prevention of harm to health and fewer resources required to deal with the long-term repercussions. There is evidence of benefits of OH across a range of health hazards⁹ for health services, newly emerging and endemic zoonoses control in the domestic animal environment, food safety and food/nutrition security, integrated disease and antimicrobial resistance (AMR) surveillance-response systems, water security and sanitation, infrastructure sharing and communication²¹. For example, joint human and animal routine vaccination services for mobile pastoralists in Chad provide access to health care for populations which would otherwise be excluded and save financial resources by sharing cold chain and transport²⁴. Mass vaccination of livestock against brucellosis in Mongolia is not cost effective for public health alone, but when benefits for livestock production and nutrition security are also included it is financially three times more profitable²⁵ (Formula 3, Box 2). Combining dog vaccination with human post-exposure prophylaxis in an African city is less costly than human post-exposure prophylaxis alone after ten years ^{26,27} and may lead to the elimination of rabies (Formula 4, Box 2). The Institute of Medicine (IOM) in 2009²⁸, and later the World Bank, conceptualized integrated surveillance response in a visionary way, as a time sequence of detection in the environment, wildlife, domestic animals and humans (**Figure 3a**)²⁹. The model shows ever increasing costs the later a new emerging pathogen is detected^{21,30}. The current COVID-19 pandemic could not be a better example of the urgent need for the kinds of integrated environment-entomological-wildlife-domestic animalhuman surveillance and response systems that the World Bank proposes, and the catastrophic socioeconomic consequences of failure to implement such systems. There are several examples of the potential benefit of more targeted surveillance of vector borne zoonoses. The integrated surveillance and response of West Nile Virus in mosquitos, wild birds, horses and humans in Emilia Romagna region (Italy), saved more than one million Euros between 2009-2015 compared to separate human and animal surveillance³¹. Wielinga et al. similarly argue that inter-sectoral surveillance has had a significant impact on reducing human salmonellosis through lowering Salmonella prevalence in animals³² citing research which described how disease control was achieved in Denmark through integration of control measures in farms and food processing plants, saving 25.5 million USD ³³. The Canadian Integrated Program for Antimicrobial Resistance Surveillance (CIPARS) saves financial and infrastructural resources and reduces time to detection of newly emerging AMR^{18,34}. The CIPARS was able to demonstrate the impact of regulating antimicrobial use on the number of resistant salmonella isolates identified in humans and chickens³⁵. A decrease in the number of Salmonella heidelberg isolates coincided with the introduction of a voluntary ban on the use of ceftiofur in Quebec, with a subsequent increase when the antibiotic was partially returned to use. Without such integrated surveillance systems, it would not have been possible to determine the impact and cost effectiveness of interventions designed to reduce AMR in human and livestock populations.

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The World Bank estimates a saving of 26% of the operations cost of the Canadian Science Centre in 221 Winnipeg, which hosts laboratories for human and animal highly contagious diseases under one roof, 222 when compared to running two separate laboratories for human and animal diseases³⁶. The outbreak 223 of Q-fever in the Netherlands (2007-2009) with several thousand human cases could probably have 224 been largely avoided if the veterinary and public health authorities had maintained continuous 225 communication³⁷ (Figure 3a), or if joint human and animal studies had been done, as they were in the 226 case of brucellosis in Kyrgyzstan³⁸ (**Box 2**). These examples demonstrate that where capacity exists in 227 both animal (domestic) and human health to address these issues, progress is made. The under-228 resourced wildlife environment interface remains a major challenge to applied One Health 229 approaches. 230 As food safety and nutrition security cuts across human, animal and environmental concerns, OH is 231 similarly considered key to multi-sector coordinated progress³⁹. The limited research in this area 232 233 reinforces the importance of coordinated responses but only seldom supports the benefit of OH with consistent evidence of effectiveness, whether in terms of directly attributable improvement to health 234 outcomes or financial savings. Meanwhile the burden of food borne disease is well established: 235 according to the WHO Foodborne Disease Burden Epidemiology Reference Group (FERG), 31 236 foodborne hazards were estimated to have caused more than 600 million illnesses and 420,000 deaths 237 globally in 2010. 40,41. The World Bank describes an example of 'applying One Health' to foodborne 238 disease (FBD) in the European Union's coordination of control programs for salmonellosis. The 239 evidence provided is a reduction in reports of human salmonellosis cases from over 200,000 before 240 2004 in 14 member states to under 90,000 cases in 2014. Integration is described as the involvement 241 of member states and four major institutions (the European Commission, the European Parliament, the 242 European Food Safety Authority, and the European Centre for Disease Prevention and Control), while 243 methods highlighted as key to success range from target reductions to salmonella in livestock to the 244 imposition of trade restrictions⁴². 245 The direct impact of funding provided to integrated systems was assessed by the World Bank using 246 data from FERG. This compared the 'adequacy' of operational funding for veterinary services, based 247 on OIE PVS reports, and found that the burden of foodborne disease caused by Animal Source Foods 248 249 (ASF) was lower in sub-Saharan African countries with adequate funding, with 208 disability adjusted life years (DALYs) per 100,000 population vs. 569 DALYs per 100,000 population in 250 countries with inadequate funding⁴². 251 In the same report, the World Bank identified only seven countries from low or lower-middle income 252 countries with adequate operational funding for their veterinary services (based on PVS reports). The 253 254 burden of FBD in these countries was 192 DALYs per 100,000 people, compared to 407 per 100,000 in the 48 other low and lower-middle income countries observed⁴². These findings were translated 255 into productivity losses of approximately 95 billion USD (based on their assessment of 2016 income 256 data) due to illness, disability, and premature deaths related to unsafe food⁴². Despite these published 257

examples emphasising improvements to food safety/security as a result of an applied OH approach, 258 the evidence, or lack of evidence, does not allow improvements to be directly attributed to any 259 particular measure. This is unsurprising given the multi-sector, systems-based nature of OH which 260 cannot be studied in isolation and therefore cannot easily adjust for the impact of confounding factors. 261 Emerging evidence from sewage analysis in the UK and elsewhere suggests that a One Health 262 approach to COVID-19 transmission risk at the human/environmental interface could inform both 263 case detection efforts as well as measures to prevent potential transmission via wastewater⁴³. Given 264 suggestions that the COVID-19 pandemic will result in annual UK borrowing this year at five times 265 the amount borrowed in the previous financial year⁴⁴, One Health measures which work to identify 266 and control potential sources of infection would prove to be cost-effective. 267 268 These examples across the spectrum of disease control from prevention to preparedness, detection and response clearly show the benefits of OH approaches across a range of health hazards. In order for 269 such benefits to be maximised and extended, we need a better and more sustained OHO. The United 270 Nations Environment Program (UNEP) recently joined the Tripartite to address the wildlife 271 272 environment interface, which is a strong signal for a stronger integration of the environmental dimension of health. This opportunity to integrate the environmental sector more fully opens up an 273 exciting new array of potential partnerships and interventions to improve GHS. For example, the 274 piloting and scaling up of biological control programmes for emerging and endemic infectious 275 diseases has the potential to add new tools to the GHS armoury^{45,46}. Already in use widely to support 276 vector borne disease control in malaria programmes, the use of biological controls can be further 277 278 expanded to help control endemic neglected diseases such as shistosomiasis, through the introduction of cercariae devouring river prawn species⁴⁷, to the use of larvivorous fish species and predatory 279 copepods to reduce and prevent dengue transmission as demonstrated successfully in Vietnam⁴⁸. Here 280 in particular, OH approaches across the SES are necessary to test these types of interventions and help 281 describe the complex interplay between host-pathogen -vector-natural predator and their impact on 282 other species within the ecosystem. Environmental science can also help support the control of 283 invasive plant species such as mesquite (Prosopis juliflora), which are implicated in maintaining 284 mosquito populations in the dry season⁴⁹ and driving malaria, rift valley fever and dengue 285 transmission, while also taking over vast areas of grazing and farmland, outcompeting native 286 vegetation preferred by livestock resulting in large numbers of poisoned cattle and goats, and 287 ultimately depleting water sources⁵⁰. Ironically, the plant was introduced for supporting livestock 288 agriculture by international development agencies, the focus on forage for small ruminants in 289 particular, with sectoral benefits but without consideration of the wider ecological impacts – 290 291 underscoring the need for wider environmental expertise when testing interventions. With COVID-19 highlighting the intimate links between populations density, urban health and pandemic spread, air 292 quality management for the control of respiratory illness and co-morbid conditions has become a 293 priority for policy makers⁵¹. Here too, environmental science along with urban planners can play an 294

important role in advancing a OH approach with the introduction of plant and tree species that specifically reduce air pollution⁵². This way, strategies and plans can be aligned, for example, towards a global solidarity for the control of zoonoses and other diseases across the human-animal-environment interface (**Figure 3b**), analogous to the Global Fund to Fight AIDS, Tuberculosis and Malaria⁵.

Relevance of OH for IHR (2005) and OIE PVS

Our analyses of WHO IHR MEF and OIE PVS reports show: 1) further appropriation of the use of the term OH in the global evaluation tools and reporting in relation to IHR and PVS, which can be linked to 2) an increased awareness of the relevance of OH for global health security and the use of this terminology or its essence in the language of national leaders and politicians, 3) that despite the progress made in integrating OH for GHS, the IHR MEF would benefit from a separate category in which the operationalisation of OH is systematically evaluated, 4) a certain vagueness of the commonly used definition that allows for mobilising global and local stakeholders from different sectors, but may render the evaluation of its operationalisation more challenging. This is particularly relevant in the definitions of Animal Health which currently in practice excludes non-domestic animals to a large degree.

In the implementation of the IHR MEF, WHO puts forward their collaboration with FAO and OIE in

In the implementation of the IHR MEF, WHO puts forward their collaboration with FAO and OIE in order to support bridging the human-animal interface for the implementation of the IHR for global health security. Tools such as the IHR-PVS National Bridging Workshop have been developed in order to support this joint review⁵³.

Many of the WHO members state identified gaps with regard to their OHO, also with reference to the recommendations by the team of experts in the JEE reports. The narratives of some of the countries point to their limitations in their current *ad hoc* collaborations based on emergencies or their focus on multi-sectoral approaches with regard to a particular disease. These are aspects that WHO describes as "vertical" approaches, and the aim would be to achieve more "horizontal" and sustainable solutions⁵⁴ for disease surveillance and global health security. In order to make progress within the policy cycle, partnership between public institutions and a myriad of private sector actors is required, to establish robust health systems which meet the needs of society. For example, the integration of emerging infections and health impact assessment into the environmental impact assessment process for large scale industrial and land transformation projects could be one area where public-private sector collaboration could be key in mitigating the risk of emerging infectious diseases while also helping companies manage their business continuity risk. Struggles to provide (human) resources for establishing sustainable mechanisms for multi-sectoral collaboration were mentioned at several stages in the available reports, while external long-term funding enabled particularly successful foundation

for some of the national OH mechanisms mentioned in the reports (see, for example, the case study on 330 Côte d'Ivoire in S2). 331 While OH in forms of multi-sectoral collaboration or external coordination found its way into the 332 discourse of the policy documents evaluating countries' IHR implementation, our analysis also 333 reveals some vagueness in the definition of the term OH. As mentioned earlier, such a "productive 334 vagueness" is not necessarily considered as a disadvantage as it may facilitate communication among 335 different social worlds. At the same time, however, it may prevent active engagement if global as well 336 as local actors interpret their existing activities as already within the scope of OH. One Health, in this 337 capacity may also be described as a "soft global health governance" 55, dependent on peer influence of 338 global and local actors rather than the pressure of law⁵⁶. Governance issues are discussed in greater 339 detail in paper four of this series. 340 Multisectoralism is highly promoted and clearly advocated in the JEE tool and the voluntary request 341 by countries may already reveal a certain commitment to OH, transparency, multisectoral engagement 342 and responsibility to take a systems approach to building the core capacities required under IHR 343 (2005). The available data from the JEE reports therefore also have to be read in this light, and it is 344 noticeable that a high proportion of completed JEE missions have been conducted in African 345 countries (total number 44), revealing particular priorities and aspects linked to donor funding of such 346 missions. In addition, it is important to take into account the different methodologies and the variable 347 348 quality control that is inherent to the different reporting tools. The JEE could be advantageously complemented with a tool rating the level of a country's OHO, such 349 as network for evaluation of OH (NEOH), keeping in mind that other tools such as the IHR-PVS 350 National Bridging Workshops (NBW) can complement by helping countries developing concrete 351 roadmaps to improve performance at the human-animal interface⁴. An additional category in the 352 SPAR reporting could be advantageous as this compulsory evaluation is performed annually by all 353 member states and could therefore provide a global overview of countries' self-assessments of their 354 OH-ness on a regular basis. 355 The newest development of the current COVID-19 pandemic shows that a global technical (WHO-356 FAO-OIE-UNEP) and political coordination (United Nations) of pandemics is crucial, especially 357 when taking into account the current global context with multiple actors and interests involved on 358 different scales (Box 4). 359 360 Certainly, the JEE and the other elements of the IHR MEF, along with other existing tools such as the 361 Global Health Security Index, require improvements to adequately assess country preparedness and response capacity to all public health hazards – by adoption of a broader vision of OH more in 362 363 keeping with a holistic HSES framework. As such, the IHR MEF will likely need to be revisited if OH is to be firmly embedded in the future and the gaps in the all-hazard approach can be closed as far 364 as possible. 365

Considering the above examples of the benefit of OH and the analysis of the relevance of OH for IHR (2005) we can summarize the evidence that OH approaches work for tackling GHS risks and hazards as follows: For emerging infections and novel pathogens there are OH institutional (governance) arrangements and engagements, but only episodic effective integrated wildlife-domestic animalhuman surveillance and response programs^{31,57}. There is an appalling weakness and much need for improvement of OHO, as shown in the current COVID-19 pandemic. Most of the current research reactively focuses on vaccines and drugs with very little on how to prevent future pandemics. For AMR there are important institutional efforts and engagement and more and more nations implement integrated AMR surveillance programs analogous to the Canadian CIPARS. One Health oriented AMR control programmes have certainly benefitted from greatly increased levels of funding despite the evidence base for these approaches being relatively weak. For endemic infections and Neglected Tropical Diseases (NTD), there is a strong evidence base for OHO, including control programs and proof of economic benefits. Institutions and engagement are well established, but still require a stronger political will for example for rabies⁵⁸ or brucellosis elimination⁵⁹. OHO for food safety and nutrition security, institutions and engagement are well established. Surprisingly there is little formal analysis of incremental economic benefits of OHO for food safety and nutrition security, requiring more research. There is a clear shortfall of evidence of OHO for extreme weather, water security and environmental degradation despite the wide array of expertise, experience and insight the environmental sciences have to offer. The recent joining of United Nations Environment Program (UNEP) of the Tripartite FAO/WHO/OIE, becoming a quadripartite engagement is a most welcome extension towards environmental and ecological sectors and actors. The same applies also for the prevention of emerging infections and novel pathogens (see below). Across all the hazard groups, the evidence base was most strongly established for prevention and preparedness interventions using a One Health approach versus those relating specifically to response. **Table 1**, summarises the strength of the current evidence base of applied One Health approaches across a range of health security hazards based on the reviewed literature and JEE/PVS reports analysed in this paper

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DISCUSSION

Outlook on future OHO

The conclusions of the current state of OHO are mixed. Although excellent in themselves, institutions, laws and capacities even if intending to do otherwise, globally fail to integrate environmental risk factors of all types and or consider the role of the natural systems (wildlife) in both preventing and promoting microbial evolution and pathogen emergence. There are significant efforts to operationalize OH by many countries, as shown by the case studies (S2) however, there is still a long way to go towards mainstreaming of OHO⁶⁰ with sustainable (programmed) budgetary implications to

19 pandemic, which outweighs by a factor of several tens of thousands the cost of the preventive 403 effect of effective OHO. To demonstrate this conceptually, we use the World Bank 30 framework of 404 Figure 3a as a starting point. We modified it to include environmental risk ²¹ as a vision for OH in 405 Global Health Security (Figure 3a-c) and its longer term effects (DALYs) to society and households. 406 In essence, the figure shows how the cumulative societal cost increases from earliest detection of 407 408 emerging pathogens of zoonotic origin from both wildlife and domestic animals until it reaches human populations. The earlier a novel pathogen, food security risk or other SES-relevant hazard (e.g. 409 impending drought/natural hazard) can be detected (reduced time to detection) and the faster 410 information is communicated between animal and human health sectors, the earlier an effective 411 412 response, preventing exposure and reducing risk of transmission, can be organized and the lesser are the cumulative societal costs of the outbreak or emergency (Figure 3b-c). Figure 3c would be the 413 final desirable expected stage of global health security through an OH approach. Despite existing 414 environmental threats and some animal exposure, fewer human cases would be observed and cost 415 could be kept at a minimum⁶¹. This is in keeping with our analysis of hazards across the GHS 416 spectrum (table 1) which indicate that the evidence base favours shifting the paradigm of disease 417 control upstream from the current focus on detection and response in humans, to prevention and 418 preparedness across the SES. This is the avenue where global OHO can lead in the prevention of 419 future pandemics and other health emergencies⁶². 420 This "early detection-early response (EDER)" framework can be used as a backbone for the OHO 421 within the IHR (2005) and can be evaluated by the four instruments of a revised IHR MEF. 422 Within GHS, not all global health threats health^{8,63} can be analysed by this EDER framework alone 423 when grouped into hazard categories. Some of these hazards and risks are more amenable or relevant 424 to being addressed through an OH approach than others and any linked investment should be based on 425 evidence of effectiveness. Advancing OHO would also require the use of different methodological 426 approaches in specific Animal-Human Interfaces (AHI)¹¹,⁶⁴. AHI can use linear³⁸ or non-linear 427 models^{26,65} and different types of cross-sector economic analyses^{25,66}. Case examples like the above 428 mentioned West Nile Virus Surveillance in Italy, can be generalized, paving the way to OH 429 economics of integrated disease surveillance-response systems^{31,57}. Novel evaluation frameworks^{23,67} 430 will need to be included and tested for complementary usefulness to the IHR MEF. OH dimension in 431 the core indicators of the IHRMEF, functional regional platforms, multi-hazard national public health 432 preparedness, epidemiology training programs and disease specific targets could be assessed as a 433 proxy for the current status of national OHO coordination.⁶¹ Where proxy indicators are lacking for 434 435 more holistic OH-based assessments of the health of the whole SES, these should be developed, and agreed to ensure that the IHR and other GHS initiatives are truly all-hazards in their approach. These 436 considerations around improved monitoring and indicators are further explored in paper three of this 437 438 Lancet series.

make it effective in the immediate and long term. This is of concern in the face of the current COVID-

Towards policies and implementation of OHO

OHO at the national level requires regulations for the prevention, preparedness and response to epidemics and other health emergencies and hazards that are written into environmental standards and public health, animal (domestic and wild) health law⁶⁸. This includes the preparation for an early response to crises through mechanisms that engage all relevant government institutions (whole-ofgovernment emergency management), as well as private sector and civil society organizations. OH and its operationalisation should be specifically defined and expanded based on available scientific evidence. A clear purpose of OHO should be expressed with regard to its relationship towards ministries and government. The legal basis of OHO tasks should be specified with regard to community participation, technical support, multi-sectoral coordination, communication, and scientific exchange. The composition of organisational structures for OHO surely includes representatives of community organisations, public (IHR National Focal Points) and animal (domestic and wild) health, environment (e.g. UNEP National Focal Points), industry, city and town planning (e.g. UN HABITAT, UNIDO National Focal Points), agriculture, nutrition and defence at national and provincial level. The involvement of non-governmental organisation, educators and academia (which are often drivers of OH approaches) and the private sector should be specified. The organisation and leadership, for example, in rotation between sectors, should be clarified. Schedules of meetings and standing committees and taskforces are needed. Procedures for coordination, joint prioritization and agenda setting, decision making, implementation and evaluation / feedback are required. Communication and information channels should be clarified between sectors.

Most importantly the funding of OHO has to be negotiated between the different government sectors, along with the potential of cost sharing²⁵. Both donor and national OHO funding should be focused sustainably on those hazards where clear benefits of OH approaches have been demonstrated, and which are initially framed around local and endemic hazards where the evidence base on effectiveness is most firmly established and where the various sectoral interests are equitably met. This horizontal approach to OHO at the national and sub-national level is essential for implementation of GHS on the ground. This should be reflected by increasingly harmonised and further developed reporting mechanisms within the IHR (2005) and PVS Pathways (**Figure 3c**) and more comprehensive surveillance and monitoring using indicators of relevance across the spectrum of hazards in the SES. The COVID-19 outbreak clearly shows that besides a global technical leadership, political coordination mechanisms are needed to achieve GHS at national and international levels.

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CONCLUSIONS

- OH approaches show quantitative incremental benefits for health services and infrastructure,
- surveillance-response systems, AMR, food safety and nutrition security, environmental sanitation and
- zoonoses control for GHS, but gaps in the realisation of OH to covers all species of interest remain.

The evidence base is generally strongest for those OH interventions focused on prevention and preparedness across the spectrum of GHS hazards. In order for such benefits to be maximised and extended for GHS, a wider, global operationalisation of OH is needed, which must be budgeted in multiannual national plans and include a larger allocation of resource towards prevention and preparedness. The existing tools of IHR and PVS reporting are working in principle, but they remain insufficient, as the current COVID-19 pandemic shows, and should be further developed to be more effective in future GHS incidents. Specific OH categories in the IHR MEF should contribute to increased fostering of OHO. Certain vagueness of commonly used definitions across the spectrum of hazards and risks, such as zoonoses, require further efforts to better frame integrative health concepts and promote understanding across sectors. The Tripartite international organizations FAO, OIE and WHO play a pivotal role for the expansion, implementation and guidance of OHO at the international and regional level and can encourage and support implementation at national and local levels, although this is ultimately the responsibility of national governments. Further research is needed to demonstrate financial savings associated with OHO similar to the examples mentioned in this paper (S2) and systematic evidence reviews are required of the effectiveness of OH approaches within specific GHS hazard groups. The recent inclusion of UNEP to the Tripartite and the establishment of a One Health high level expert panel⁶⁹ is most welcome and would further benefit from the contributions of other institutions such as UN HABITAT, UNIDO to broaden the understanding of ecosystem health and ecosystem services, industrial, rural and urban development and their impact on human and animal agriculture, wellbeing, and welfare. OH has a high potential to sustainably improve GHS for all by first prioritising national capacity building and focusing on local community health needs and hazards before considering those risks of more global concern.

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AUTHOR ROLES AND CONTRIBUTIONS:

- 500 OD, DH, RK and AZ ideated the Lancet Theme Series on ONE-HEALTH and GLOBAL HEALTH
- 501 SECURITY and developed the outline articles and selected lead authors. JZ developed the first and
- subsequent drafts and led the writing of this article. AKG, BB, ED, FC, HB, JH, JL, KHT, LC, OD,
- $88, SdR, SS, VRV \ contributed \ to \ the \ content \ and \ planning. \ AKG, FC, JZ, KHT, SdR, VRV$
- 504 contributed to the data collection and analysis; AKG, DM, ED, JZ, KHT, OD contributed to the cases
- studies; All authors contributed to the writing of the manuscript; AKG, FC, HB, JH, JL, JZ, KHT,
- 506 LC, OD, SdR, VRV, AZ, RK, DH contributed to the article revision and pre-final editing.

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Declaration of interests

- All authors have an interest in ONE-HEALTH. All authors declare no conflicts of interest. The views
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LEGENDS 738 **Legends to figures:** 739 Figure 1: Venn diagram Boolean conceptual relationships of OH, EcoHealth, Health in Social-740 Ecological Systems and Planetary health. 741 Figure 2: "One Health-ness" spider diagram of a semi-quantitative assessment of the level of OH 742 operation and infrastructure in a given hypothetical context 743 **Figure 3:** Vision of One Health governance (OHG) in Global Health Security: 744 3a) Status quo with very limited collaboration between animal and public health and separated 745 surveillance and response systems. 746 3b) OHG supported closer collaboration between animal and public health; onset of integrated human-747 animal-environment surveillance and response systems 748 3c) Full One Health status with closest possible collaboration between animal and public health and 749 integrated human -animal-environment surveillance and response systems. 750 751 Legends to boxes 752 Box 1. OH background and contemporary theory 753 Box 2: Quantitative OH methods 754 Box 3: Qualitative OH methods 755 Box 4: COVID-19 and OHO 756 757 Legend to table: 758 Table 1, Summary of the evidence that One Health approaches work when tackling critical Global 759 760 Health Security risks and hazards 761 762 WEB APPENDIX S1 Analysis method of One Health governance 763 S2 Case studies of One Health governance 764 ST1 Table One Health Governance appearing in JEE reports 765 ST2 Table One Health Governance appearing in PVS Pathway reports 766 767 768

Figure 1: Venn diagram Boolean conceptual relationships of OH, EcoHealth, Health in Social-Ecological Systems and Planetary health.

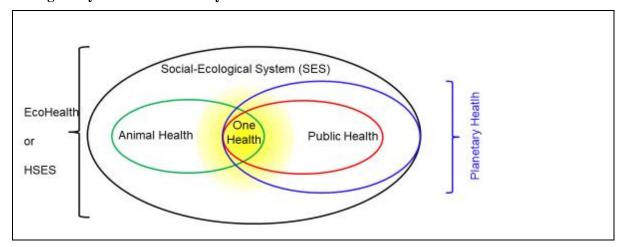


Figure 2: "One Health-ness" spider diagram of a semi-quantitative assessment of the level of OH operation and infrastructure in a given hypothetical context

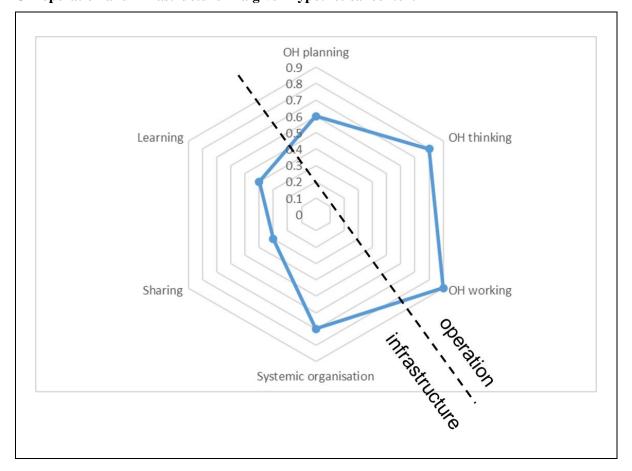
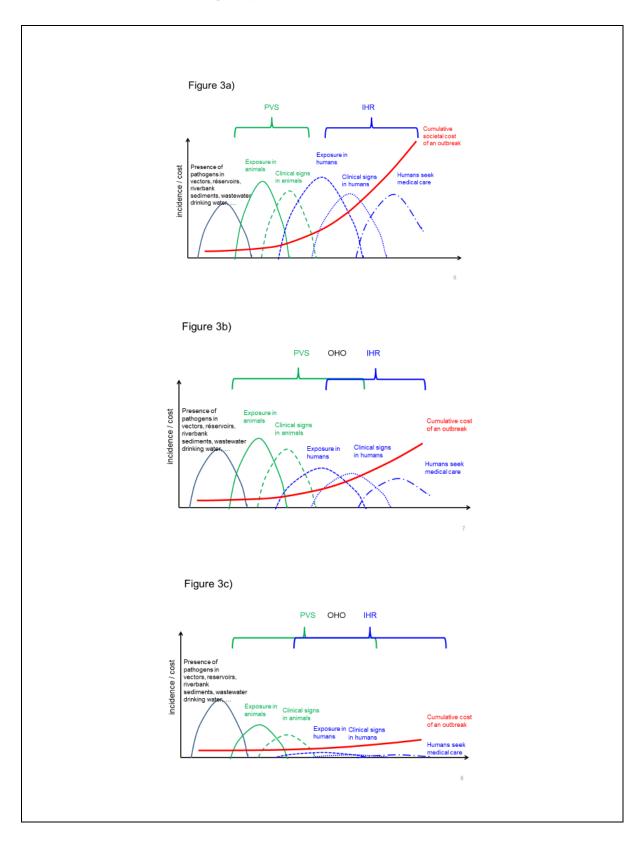


Figure 3: Vision of One Health governance (OHG) in Global Health Security:

3a) Status quo with very limited collaboration between animal and public health and separated surveillance and response systems.

- 3b) OHG supported closer collaboration between animal and public health; onset of integrated human-animal-environment surveillance and response systems
- 3c) Full One Health status with closest possible collaboration between animal and public health and integrated human animal-environment surveillance and response systems.



Box 1. OH background and contemporary theory

In the 1960s, the veterinary epidemiologist Calvin Schwabe coined the term "One medicine" to focus attention on the commonality of human and animal health interests⁷⁰. Historically, such unifying views are much older⁷¹. For example, institutional developments such as Veterinary Public Health emerged as a contribution of veterinary medicine to public health in the 1950s⁷². More recently, growing interest in sustainable development has pointed towards the inextricable linkage of human, animal and ecosystem dimensions of health⁷³⁻⁷⁵. In 2004, the Wildlife Conservation Society (WCS) coined the phrase "One World, One HealthTM" to underscore the importance of securing human and animal health, ecosystem integrity and the protection of conservation areas under the manifesto of the "Manhattan principles" ⁷⁶ which were renewed by the "Berlin principles on One Health" in 2019²⁰.

There has been a range of different adoptions of OH approaches. All of them incorporate human and animal health (although infrequently wildlife), and some also involve contributions from natural and social sciences and the humanities. At its best, OH as a societal problem solving approach, which engages with non-academic actors in the co-production of transformational knowledge for societal problem solving 77,78. Cooperating partners and stakeholders seek a benefit of working together. A necessary but not sufficient requirement for OH is to fully understand systemically, how humans and animals (wildlife and domestic) and their environment are interrelated over all time and space scales. While several definitions of OH have been proposed 12,61, we consider as a sufficient requirement for achieving OH to demonstrate benefits resulting from the crosstalk and closer cooperation between human and animal health (domestic and wild) and all related disciplines and stakeholders. This can be expressed as any added value in terms of health of humans, wildlife, domestic animals and their ecosystems, financial savings, social resilience and environmental sustainability achievable by the cooperation between individuals and institutions working in human and animal health and including other disciplines when compared to the two medicines and other disciplines working separately 11.

Box 2: Quantitative OH methods

Quantitative and qualitative OH methods

Demonstrating incremental benefits of OH requires an understanding of the human / animal health interface. Box 2 describes both linear and dynamic quantitative approaches that have been used to develop the evidence base and demonstrate these incremental benefits in terms of OH (Box 1). Human health H and animal health A can be related as linear regression (Equation 1):

$$H_i = \alpha + \beta_k A_{jk} + e_{jk} \tag{1}$$

Whereby H_i is, for example, the brucellosis seroprevalence status of the i-th human community, related to the brucellosis seroprevalence status A_{jk} of the j-th animal of the k-th species in close spatio-temporal relationship, say a household or a village. The term α is the intercept and e_{jk} the residual in the notation of linear regression. In this way, we could show that human brucellosis seroprevalence in Kyrgyz villages most strongly depended on the brucellosis seroprevalence of sheep and not of goats or cattle in this setting, with behavioural risk factors captured in the residual³⁸. The relative importance of sheep for the transmission of brucellosis was confirmed by molecular typing of brucellosis strains⁷⁹. The method is interchangeable in that animal health can also be the dependent on a human health indicator.

For dynamic relationships like the transmission of directly transmitted zoonotic diseases (stage 2^{80}), the animal-human interface can be expressed as coupled differential equations in a simplified way, ignoring demographic processes, as Equation 2 for newly infected humans:

$$\frac{dI_h}{dt} = \beta I_a S_h \tag{2}$$

Whereby the instantaneous change of newly infected humans I_h is equal to an animal-human transmission constant β times the number of infectious animals I_a and the number of susceptible humans S_h . Such models allow assessing, for example, the effect of animal mass vaccination on the number of human exposures for brucellosis⁸¹ or rabies⁸². Such models can be expanded to metapopulation or contact network models^{83,84}. Similarly, such models can also describe the dynamics of human to animal transmission in an interchangeable way.

Cross sector economic analyses show that Benefit-Cost Ratios (BCR) including benefits to humans and animal health are greater than BCR including human health benefits only (Formula 3)²⁵.

Similarly, the Cost-Effectiveness (CE), expressed as cost per disability adjusted life year (DALY) averted, of interventions in animals and humans is higher (i.e. requires less cost per disability

adjusted life year (DALY) averted) than the CE of interventions in humans only, if transmission between animals, and consequently transmission from animals to humans, can be interrupted.²⁵ In the case of directly transmitted stage 2 zoonoses, it can be shown that the societal cumulative cost of interventions in animals and humans are lower than interventions in humans only (Formula 4).

$$Cumulative cost_{(animals and humans)} < Cumulative cost_{(humans)}$$
(4)

This is because, in the case of directly transmitted zoonoses, interventions in animals interrupt transmission between animals and consequently from animals to humans, while interventions in humans alone do not interrupt transmission from the animal reservoir. This has been demonstrated for the example of rabies control by dog rabies mass vaccination in N'Djaména, Chad^{27,85}. Such analyses should be context specific to assure local validity. If cross-species transmission is rare, human health benefits may be too low to justify intervention costs in animals⁸⁶.

The systemic understanding of human and animal health would benefit from expansions to include parameters of the ecosystems (EcoHealth)^{73,74} (**Figure 1**). Dynamic changes of human health, animal health and environmental determinants can again be expressed as coupled differential equations, as in Equation 5.

$$\frac{dI_h}{dt} = \beta I_a S_h + \gamma E S_h + \varepsilon E S_a \tag{5}$$

Newly infected humans I_h depend on the transmission from infected animals I_a and exposure to the environment E (environment-human transmission constant γ) and indirectly from E and susceptible animals S_a (environment – animal transmission constant ϵ). Equation 5 is applicable for example to the transmission dynamics of human exposure to anthrax (*Bacillus anthracis*) from animals (food), water and other environmental sources. Expansions to ecological determinants are more complex and data variability increases. In a recent study on the dependence of human vitamin A status in pastoralists in Chad, we could demonstrate a link between human serum retinol status and consumed milk, but not between cow milk retinol levels and the level of beta-carotene in the pasture grass⁸⁷. This example shows that eco-systemic studies of human and animal health have the potential for a broader understanding but are more difficult to prove due to the high variability of environmental factors.

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Box 3: Qualitative OH methods

There are other benefits from OH cooperation that can be difficult to quantify, such as improved insights into complex and context-specific systems, capacity development of institutions and practitioners, or better designed regulatory and non-regulatory interventions generating confidence and resulting social cohesion. By expanding the integration of health towards broad socialecological issues like antimicrobial resistance or deforestation, complex interactions can become "wicked" and untractable. Rüegg et al. state: "There is a need to provide evidence on the added value of these integrated and transdisciplinary approaches to governments, researchers, funding bodies and stakeholders "16,23". The network for evaluation of OH (NEOH) proposes a qualitative and semi-quantitative evaluation and knowledge framework addressing OH operations and infrastructure like Thinking, Planning, Working, Sharing, Learning and Systemic organization within a policy and intervention cycle¹⁶. This involves a number of components. A OH index is proposed as a spider diagram, whose surface can be calculated and expressed as the so called "One Healthness" of a program or health system (Figure 2). NEOH has further developed an OH knowledge integration approach to support international health governance⁶⁷ (see also below Relevance of OH for IHR). The OH index has been applied to West Nile virus surveillance in Italy⁵⁷. An OH policy cycle analysis allows the assessment of different stages of OH policy development and governance by reviewing systemic thinking and transdisciplinary processes developing target and transformation knowledge for policy development. This is the basis for OH agenda setting, policy formulation and decision making which leads to implementation and evaluation as an iterative process 16,23,67. It is postulated that a truly One Health integrative approach, not yet achieved in any health sector, will reduce the risk of the global community suffering further pandemics and health crises that cripple the world's economies and cause hardship to rich and poor communities and considerable loss of life.

Box 4: COVID-19 and OHO

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The COVID-19 pandemic clearly shows that GHS cannot be disconnected from socio-economic wellbeing, whether poor or rich, and consequently public health and economic imperatives have to be balanced against the detrimental socioeconomic impact of pandemic prevention measures at local, national and global levels^{78,88}. Vulnerabilities to infectious disease emergence and pandemics like COVID-19 exist at all scales from local to global with implications for all sectors of business and society. There appears to be a paradox between health and wellbeing related development goals and a consumption driven economic model purporting to help achieve these through ever increasing intensification and efficiency of production. Ultimately, more research is needed on how we can adapt the largely consumption driven economy towards a more ecologically and socially sound economy, reducing the risk of new pandemics of zoonotic origin while maintaining essential livelihoods.⁷⁸

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| | | Strength of evidence | | | | |
|--------------------------------|---|-----------------------------------|---------|--------|--------|----------------|
| | | No evidence/little evidence | Limited | Medium | Strong | Very strong |
| Health security risks/ hazards | Emerging infections and novel pathogens including AMR | | | XXX | | |
| | Endemic Infections and Neglected Tropical Diseases | | | | XXX | |
| | Food safety and food/nutrition security | | | XXX | | |
| | Extreme weather, water security and environmental degradation | | | | XXX | |

Colour coding for boxes in table: blue (prevention/preparedness m

blue (prevention/preparedness measures) green (detection/surveillance measures) yellow (response/service delivery)